# Mine Safety Impoundment Inspection and Verification Tool: Phase I Final Report

## Mining and Industrial Safety Technology and Training Innovation (MISTTI)

Submitted by: John Quaranta, Ph.D., P.E. (Co-Principal Investigator) Eric W. Baker, MSCE, EIT Department of Civil and Environmental Engineering West Virginia University

And Paul Ziemkiewicz, Ph.D. (Principal Investigator) Melissa O'Neal West Virginia Water Research Institute West Virginia University

> Morgantown, West Virginia October 2012

Keywords: coal impoundment, impoundment inspection, dam safety

## ABSTRACT

## Mine Safety Impoundment Inspection and Verification Tool: Mining and Industrial Safety Technology and Training Innovation

The purpose of this investigation primarily focuses on coal impoundment safety and early hazard potential awareness as well as addressing the need for adapting a field hardened computer so that its more advanced technology may be applied to the mining industry. The purpose was to develop a protocol based on West Virginia State and federal laws and regulations and use a mobile field computer into the inspection system for coal waste impoundments. The inspection template was constructed using paper forms presently used by MSHA and the West Virginia Water Research Institute and iterated after conducting a number of field trials. Throughout the duration of conducting field trials, many new features were created such as the inclusion photos with corresponding GPS coordinates as well as a means of recording the entire path the inspector travels while inspecting. Some features were also amended to include more text fields which will allow the inspector to comment on problems as they arise in the field instead of commenting on all problems on one part of the inspection form. A method was developed for saving the inspection file and exporting it to supplementary software for obtaining a finalized output report, which automatically populates based on the inspection information available in the file.

Overall, the procedure developed with the technology and software met the tasks within the scope of the investigation. The benefits of this mobile field computer include the ability to store more information for a field inspection including geotagged photos and expedite the inspection process by providing a resource for email and automated data storage. Utilizing the mobile field computer for impoundment inspection will improve the quality of the inspection practice as well because the information can be time stamped, which will show the time duration spent on each portion of the dam. Time stamping can be employed as a method of guaranteeing that the inspection is performed correctly and more importantly, may persuade inspectors to be more thorough in their practice. The automated inspection form will improve the coal impoundment inspection practice and the overall safety rating of coal impoundments because of its improved ability to foresee potential hazards. Further analysis of this technology to include a more refined procedure as well as a means to meet the federal requirement of obtaining mine official signatures should be considered for industrial preparation.

ii

# **TABLE OF CONTENTS**

A	BSTF	RACT	۲i	i
T.	ABLE	E OF	CONTENTSii	i
LI	ST C	DF FIG	GURES	v
LI	ST C	DF TA	ABLESv	′İ
1	l	ntro	duction	1
	1.1		Promulgation of Coal Waste Impoundment Inspection	1
	1.2		Impoundment Inspection Requirements	2
	1.3		Terms and Conditions Related to a Routine Coal Impoundment Inspection	3
	1.4		How the Inspection Form is Evaluated and Used	5
	1.5		Historical Impoundment Failures	5
2	L	itera	ature Review	õ
	2.1		Mobile Field Computers	õ
	2.2		Terrasync/Pathfinder Office Software Evaluation12	2
3	Ν	Neth	nod14	4
	3.1		Microsoft Excel Electronic Inspection Form14	4
	3.2		Data Dictionary Development15	5
	3.3		Beta Testing for Optimal Functionality22	2
	3.4		Final Data Dictionary Template25	5
	3.5		Risk Assessment Attributes27	7
	3.6		Using the Yuma in the Field/Uploading in the Office29	Э
4	F	Resul	Its & Analysis	1
	4.1		Pedlar Inspection Results	1
	4	1.1.1	Pedlar Inspections over Aerial Imagery42	2
	4	1.1.2	Differential Correction Report45	5
	4	1.1.3	Example Final Report47	7
	4.2		Hibbs Run Inspection Results50	)
	4	1.2.1	Hibbs Run Inspections over Aerial Imagery50	)
	4	1.2.2	Differential Correction Report53	3
	4	1.2.3	Example Final Report55	5

	4.3	Combined Inspection Mapping	. 58
	4.4	Technology Evaluation	. 59
5	Con	clusions	.64
6	Futu	ire Research	.67
	6.1	Risk Assessment Using Palisade Software	.67
	6.2	ArcGIS Mapping of Inspection	.69
7	Refe	erences	. 70
8	Арр	endices	.72
	8.1	Inspection Template Created Using Microsoft Excel	.72
	8.2	Hyperlinked Form Created with Microsoft Excel	.74
	8.3	Example Access Database of Hibbs Run – Inspection 3	. 79

# **LIST OF FIGURES**

1.	General information feature and coinciding attributes.	15
2.	Minimum position setting on point feature.	16
3.	Data dictionary dropdown menu.	17
4.	Text attribute with set character length.	17
5.	Attributes for additional photos/comments.	18
6.	Auto-generated time attribute.	19
7.	Screen shot of template in Trimble Terrasync.	20
8.	Conditional setting for describing erosion based on answer that erosion is present.	21
9.	Illustration of conditional attributes appearing after answer was provided that trash	
	racks are not clear and open.	28
10.	Flow chart showing basic electronic data collection process.	30
11.	Differential Correction Wizard showing Uniontown, PA CORS station.	33
12.	Changing of world imagery coordinate system in ArcGIS to match recorded	
	inspection routes.	34
13.	Export of imagery map from ArcGIS with world file for use in Pathfinder Office.	35
14.	Adding imagery map into Pathfinder Office as background file.	36
15.	Use of Pathfinder Office export utility to create Access database for inspection.	37
16.	Use of Access export utility to create excel file for inspection.	38
17.	Complexity of inspection information used for output report.	39
18.	Output report template tab inserted into inspection excel file.	40
19.	Pedlar1 inspection mapped over aerial imagery.	42
20.	Pedlar2 inspection mapped over aerial imagery.	43
21.	Pedlar3 inspection mapped over aerial imagery.	44
22.	Page 1 of example populated output report for Pedlar inspection.	48
23.	Page 2 of example populated output report for Pedlar inspection.	49
24.	HibbsRun1 inspection mapped over aerial imagery.	50
25.	HibbsRun2 inspection mapped over aerial imagery.	51
26.	HibbsRun3 inspection mapped over aerial imagery.	52
27.	Page 1 of example populated output report for Hibbs Run inspection.	56

28.	Page 2 of example populated output report for Hibbs Run inspection.	57
29.	Combined map of Pedlar inspections over aerial imagery.	58
30.	Combined map of Hibbs Run inspections over aerial imagery.	59
31.	Evaluation form regarding technology and inspection process.	62
32.	Evaluation form regarding technology and inspection process.	63

# LIST OF TABLES

1. Comparison of Selected Field Computers Based on Physical Characteristics10-11& Key Features in Subtask 3.2.10-11

## **1** Introduction

Coal waste impoundments are a critical part of any underground and surface mining operations. Impoundments are used for water supply and treatment, sediment control, and the precipitation and disposal of fine coal waste, which is known as slurry in the mining industry (U.S. Department of Labor 2007). Inspection is important to the life of the coal impoundment, the health of the natural habitat surrounding the site, and most importantly, the safety of the human resources on the site. Any problem related to an impoundment that is left unattended can cause a massive release of slurry, resulting in probable damage to wildlife and property as well the potential for loss of life.

### 1.1 Promulgation of Coal Waste Impoundment Inspection

The inspection of coal waste impoundments is required by the Federal Mine Safety and Health Act of 1977 (Public Law 91-173). Section 103(a) of the Act set many of the initial rules and regulations for mine inspections and investigations and is shown below.

SEC. 103. (a) Authorized representatives of the Secretary or the Secretary of Health, Education, and Welfare shall make frequent inspections and investigations in coal or other mines each year for the purpose of (1) obtaining, utilizing, and disseminating information relating to health and safety conditions, the causes of accidents, and the causes of diseases and physical impairments originating in such mines, (2) gathering information with respect to mandatory health or safety standards, (3) determining whether an imminent danger exists, and (4) determining whether there is compliance with the mandatory health or safety standards or with any citation, order, or decision issued under this title or other requirements of this Act. In carrying out the requirements of this subsection, no advance notice of an inspection shall be provided to any person, except that in carrying out the requirements of clauses (1) and (2) of this subsection, the Secretary of Health, Education, and Welfare may give advance notice of inspections. In carrying out the requirements of clauses (3) and (4) of this subsection, the Secretary shall make inspections of each underground coal or other mine in its entirety at least four times a year, and of each surface coal or other mine in its entirety at least two times a year. The Secretary shall develop guidelines for additional inspections of mines based on criteria including, but not limited to, the hazards found in mines subject to this Act, and his experience under this Act and other health and safety laws. For the purpose of making any inspection or investigation under this Act, the Secretary, or the Secretary of Health, Education, and Welfare, with respect to fulfilling his responsibilities under this Act, or any authorized representative of the Secretary or the Secretary of Health, Education, and Welfare, shall have a right of entry to, upon, or through any coal or other mine.

In essence, the purpose of inspection is for obtaining information related to health and safety conditions, gathering information with respect to health and safety standards, determining whether any

dangers exist, and determining whether there is compliance with the mandatory health and safety standards issued in the Act (United States Department of Labor 1977).

Binder (2002) explains that negligence law and the duty of reasonable care, when applied to dam safety, extends to those injured by negligence, and that even inspectors may be liable for negligence for failure to discover problems. Negligence liability consists of a failure to exercise reasonable care to either prevent or minimize foreseeable risks. Binder (2002) indicates that negligence could develop from poor training, lack of employee experience, and ignorance of plans. Field inspection of dams is designed to prevent accidents (Binder 2002).

#### 1.2 Impoundment Inspection Requirements

After the Federal Mine Safety and Health Act of 1977 (Mine Act) came the creation of the Mine Safety and Health Administration (MSHA) in 1978. The following mission statement for MSHA is primarily to enforce the Mine Act and to reduce and eliminate all types of health and safety hazards:

The mission of the Mine Safety and Health Administration (MSHA) is to administer the provisions of the <u>Federal Mine Safety and Health Act of 1977 (Mine Act)</u>, as amended by the <u>Mine Improvement and New</u> <u>Emergency Response Act of 2006 (MINER Act)</u>, and to enforce compliance with mandatory safety and health standards as a means to eliminate fatal accidents; to reduce the frequency and severity of nonfatal accidents; to minimize health hazards; and to promote improved safety and health conditions in the Nation's mines.

The Mine Safety and Health Administration has since shaped the mine industry by creating important safety procedures for inspection and operation. MSHA now provides inspection procedures and frequencies for dam inspection as well as an Impoundment Inspection Form (U.S. Department of Labor 2007)for keeping records of the performed inspections. Guidelines are made available for dealing with the hazard-potential classifications and abandonment of coal waste impoundments. In addition, the Mine Safety and Health Administration produced many types of training programs to qualify individuals for performing tasks associated with mine safety.

The Coal Mine Safety and Health (CMS&H) Administrator, appointed to do so under the Assistant Secretary for MSHA, has the main responsibility over enforcing the Mine Act and employing regulations as they apply to coal mining operations. There are inspectors, specialists, and supervisors who share the responsibility by conducting thorough inspections of coal waste impoundments on underground and surface mine sites. CMS&H District Managers have the responsibility of making sure the inspections are performed routinely and guaranteeing that design plans have been reviewed and approved/disapproved (U.S. Department of Labor 2007). For the scope of this assessment, impoundment specialist and inspector duties have been further reviewed and explained.

There are two typical inspections performed by MSHA personnel on any active underground or surface mine site having coal waste impoundments. The first type of inspection is most frequently known as the complete safety and health, or the regular inspection, which must be fully documented on the inspection form at the time of the inspection. The form includes a precise checklist of the most important inspection criteria for a coal impoundment. The pre-inspection preparation primarily consists of a review of the Uniform Mine File, a sheet with basic impoundment plan requirements, to gain knowledge of what should to be taken into account throughout the inspection (U.S. Department of Labor 2007). The inspector will perform his duties by following the criteria supplied on the impoundment inspection form and report his findings.

The MSHA impoundment specialist performs duties very similar to that of a normal safety and health inspector who evaluates the site conditions for hazards and signs of instability; however, his or her work will be performed in greater detail. The impoundment specialist completes the Impoundment Inspection Form but does not limit him/herself to the space available because a specialist will more than likely have more characteristics to consider than what is provided on the simplified inspection form. The specialist also has the duty of communicating with equipment operators and other personnel working on site, as they are a good source of information for construction problems and modifications made to the initial design plan for the coal waste impoundment. When construction is taking place throughout multiple work day shifts, it is recommended that inspections are performed on alternate shifts so that the specialist may have contact with all construction personnel. One additional responsibility that the impoundment specialist has is to photograph any potentially unusual or hazardous site conditions or any components that are soon to be covered or filled, such as a foundation or internal drain (U.S. Department of Labor 2007).

#### **1.3** Terms and Conditions Related to a Routine Coal Impoundment Inspection

Coal waste impoundment inspection involves traveling to the site of a coal refuse containment dam and evaluating it for potential failure modes based on its physical properties. After identifying these potential failure modes, a screening is performed to uncover and disregard those modes that are

improbable for occurring onsite and clearly non-credible. A risk analysis is then performed to classify each failure mode as a low, significant, or high hazard characteristic (U.S. Department of the Interior 2010). A high hazard potential is assigned to impoundments where failure will most likely result in a loss of human life. A significant hazard potential is used to describe an impoundment that almost certainly poses no risk to human life; however, economic loss, environmental damage, and disruption of support facilities can occur. Low hazard potential impoundments carry no probable loss of life and very low economic or environmental damage (U.S. Department of Labor 2007).

The hazard potential classification is used not for the present condition of the dam, but for the engineering criteria for designing the impoundment. As the potential for loss of life and property damage increases, the criteria used to design the impoundment becomes more stringent. An example presented in the MSHA Handbook for 2007 notes that a high hazard potential impoundment is designed with sufficient spillway-discharge capacity and storage capacity to handle runoff from a maximum flood without allowing the embankment to be overtopped, whereas impoundments with lower hazard potential are designed for smaller amounts of rainfall. The hazard potential criteria are also included on the coal impoundment inspection form provided by MSHA so that the inspector is able to take the hazard potential classification into account during a routine inspection. Following the inspection, adjustments to the potential classification may need to be made so that it remains applicable to the coal impoundment.

*Chapter 2* §2*a* of the 2007 U.S Department of Labor MSHA Handbook provides regular guidelines to follow for the frequency for coal impoundment inspection. In this section, it is stated that any impoundment associated with active underground mines should be inspected at least once every quarter during the routine safety and health inspection. For an impoundment on the site of an active surface mine, an inspection should occur every six months during the time of a typical safety/health inspection. For surface or underground mine impoundments that possess a high hazard potential, an inspection should take place every quarter and be performed by an impoundment specialist. Moreover, high hazard potential impoundments experiencing periods of considerable rainfall, snowmelt, or any type of seismic activity should see an increased number of inspection during those periods. For a surface mine impoundment holding a significant hazard status, an inspection performed by an impoundment on an underground mine site with the same hazard potential, quarterly inspections are required, two of which must be performed by an impoundment specialist (U.S. Department of Labor 2007).

#### 1.4 How the Inspection Form is Evaluated and Used

After the impoundment has been inspected, the inspector must follow some procedures required by state and federal regulations for correct handling of the impoundment inspection form. Foremost, the form must be countersigned by a mine foreman, superintendant or assistant superintendant, or a person designated to take responsibility for health and safety. West Virginia State Code 38CSR2, Part 22.7.b. states that the qualified professional engineer shall promptly provide a certified report that the impoundment has been constructed and maintained according to the approved plan. The document must also report any appearances of instability, structural weakness, or other potential hazard. The depths and elevations of impoundment waters, monitoring procedures and instrumentation, and any structural aspects that could affect the stability of the dam must be reported as well. These requirements originate from Federal Code 30CFR §77.216-3.

#### 1.5 Historical Impoundment Failures

The most catastrophic coal waste impoundment failure occurred in Logan County, West Virginia at the Buffalo Creek Mine. On February 26, 1972, about 132 million gallons of coal waste water rushed through Buffalo Creek Hollow, killing 125 and injuring about 1100. Roughly 1000 homes were destroyed leaving 4000 homeless (West Virginia Division of Culture and History 2010). The disaster changed the way that the public saw coal waste impoundments and initiated the creation of the Mine Safety and Health Administration as well as coal mine impoundment inspection.

One of the largest scale tragedies took place in Martin County, Kentucky, where on October 11, 2000, a 72-acre surface impoundment containing coal waste ruptured, spilling about 309 million gallons into an adjacent mine opening. The coal slurry then flowed through the mine, emptying into neighboring water bodies and contaminating local drinking water; however, the impoundment failure fortunately resulted in no loss of life (National Research Council 2002). Nonetheless, this particular mine disaster had a substantial effect on the way impoundments are inspected in the twenty-first century.

Congress appointed the National Research Council to detect new methods of inspecting impoundments so that these types of incidents do not occur in the future. The NRC assigned members to a committee, which had three major entities to investigate. The committee first studied the engineering design standards and practices used at the time so that they could consider new alternatives for the evaluation, improvement, and monitoring of coal waste impoundments. The National Research Council committee

also checked the accuracy of the mine mapping and evaluated more accurate ways to observe how underground mines can affect coal waste impoundments. The last task the committee was given involved more conservative procedures for underground mining to reduce the amount of coal slurry. The options included more productive use of the waste and safer disposal options (National Research Council 2002).

The most recent sludge spill internationally occurred on October 8, 2010 in Hungary, where nearly 200 million gallons of red sludge made its way to the Danube River, killing eight and injuring hundreds. The impoundment was on the site of an alumina plant, which converts bauxite into alumina for the manufacturing of aluminum. The managing director of the company was blamed for the resulting injuries because of his failure to prepare emergency warning and rescue plans in the case of an impoundment breach (Bilefsky 2010). This recent failure to foresee warning signs of an impoundment failure in addition to prepare and distribute emergency action plans is sufficient evidence that improved inspection practice is necessary not only in the United States, but in other countries as well.

## 2 Literature Review

#### 2.1 Mobile Field Computers

A literature review was performed to determine the best suited mobile field computer and software that may be integrated and used for coal impoundment inspection. A mobile field computer, considered here, is a hand-held device designed to withstand rugged use such as rain, dust, and high impacts. Six select mobile field computers were examined to assess their application for use during routine coal impoundment inspection. The six field computers evaluated are listed below:

- Motion F5v (Motion Computing Inc. 2010)
- Intermec CN3e (Intermec Technologies Corp. 2009)
- Intermec CS40 (Intermec Technologies Corp. 2010)
- Trimble Ranger (Trimble Navigation Limited 2005 2005-2009)
- Trimble Yuma (Trimble Navigation Limited 2009 2009-2010)
- Panasonic U1 Ultra Toughbook (Panasonic Corp. 2010)

The selected mobile field computers were compared for critical features using the checklist discussed in Table 1. It was discovered that most field computers are equipped with normal Windows operating systems and are capable of uploading and storing data as well as emailing and navigating via Global Positioning Systems. The most suitable mobile computers for coal mine impoundment inspection were reviewed and compared based on the following criteria:

- Electronic based check-listing software with a standard or custom template that must be completed during routine field inspections
- GPS for georeferencing field observations and geotagging photographs
- Tracking of field observations over time as well as time stamped data to guarantee time of field inspection
- Input areas for data storage and graphing so field data may be compared over time to evaluate impoundment performance
- Ability to upload data to office computer for storage and printing
- Field computer is already in use by government or industry and has proven itself in the field
- Ability to email alerts or text message MSHA District Engineer or Tech Support for assessment of information more rapidly
- Ability to upload and store MSHA Inspection Manual and Emergency Action Plan (EAP) for ease of access
- Ability of software program to mark fields and alarm the inspector of any missing information (equipment should be equipped with speaker for ability to alert inspector by sound)
- Ability to load and store completed inspection reports
- Ability to record inspection path with GPS and store map of routine route traveled

After examining the required components for a coal mine impoundment inspection tool, a decision has to be made concerning which field computer best met the constraints. The first requirement for the equipment is that it must have electronic based check-listing software with a template to be completed during each routine inspection. For any piece of equipment with a Windows operating system, the ability to upload software is nearly endless. The Motion F5v, Trimble Yuma, and Panasonic U1 Toughbook each come with Windows 7 Professional, a full Windows operating system capable of uploading and running any software.

Time stamping data and tracking observations over time are more dependent on the software than the mobile field computer itself, however, any Excel file created will be time stamped with the "last modified" title. Therefore, the F5v, Yuma, and Toughbook were the best suited field computers because of their ability to run Microsoft Office. Microsoft Excel has the additional potential of creating a cell that time stamps data as it is altered. As a result, any field computer running Microsoft Excel on Windows 7 Pro had two potential resources for time stamping data in the field.

Pertaining to data storage and graphing, the three viable field computers previously mentioned would provide the best practical results. All six potential field computers are capable of storing data; however, the field computers with Windows 7 Pro and Microsoft Office have the ability to store inspection data and reports as Excel and/or Word files and may be opened in the field at any time for ease of access. Since the Palisade software uses Excel as a database, it is very talented at graphing results and comparing to historical data. In addition to storing and graphing data, the Motion F5v, Trimble Yuma, and Panasonic Toughbook all have the means of storing the MSHA Inspection Manual as well as the Emergency Action Plan for any mine site. These documents can then be opened up as PDF files by the Adobe Reader add-on to Windows 7 Pro.

As well as having the capacity to store data on its own solid state hard drive, the three most feasible pieces of equipment have the ability to connect to a desktop computer via USB or Bluetooth so that the data can be safely stored in the office. In addition, each piece of equipment has an express card slot so that a wireless 3G or 4G network may be used to email inspection reports to an MSHA District Office upon completion of a routine inspection. Having this amount of potential can account for an approximate time stamp as well as save time and possibly a failure. Text messaging would only be an option for the Intermec devices since they are the only field computers that have mobile phone features. The internet service in the field would work from a cellular phone network; on the other hand, only the two Intermec mobile computers have any form of cell phone feature.

An additional feature is the integration of a sound alarm to unmarked fields or pertinent information regarding a potential failure or problem. Besides alerting the inspector on screen, an audible alarm may follow to make sure the inspector is aware that an important feature has been missed, if the software and field computer can coincide to do so.

Regarding the GPS capability of the suitable equipment, every mobile computer is proficient at georeferencing locations and geotagging photographs during inspections. For mobile field computers

with GPS capability, the average accuracy ranges from 2 -5 meters. The Trimble Ranger has better accuracy (1 - 3 meters) because it is more suitable for GPS navigation and routing. In any instance, all of the evaluated field computers are able to georeference locations on site and geotag photographs of suspicious occurrences or possible failure modes.

Each field computer has field experience and has proven its durability in the course of many different applications and conditions. The Motion F5v has seen much experience with making route planning more efficient and eliminating the re-entering of data by automating inspection forms, both of which are germane to the intended purpose of the mine inspection field computer. The Intermec CN3 models were used to streamline data tracking and inspection processes by PBS&J during the Hurricane Katrina recovery. The use of this piece of equipment helped homeless residents find new homes much quicker and increased the accuracy of the home search procedure to 90 percent. The Intermec CS40 has the best camera of the six field computers, and it has sufficient storage space as well as a compact lightweight structure; conversely, it is the least tolerable to harmful field conditions such as impact resistance. The Trimble Ranger has been available for years now and is the oldest of the field computers evaluated. The Ranger is popular among the surveying industry because of its size and capability in the field. Excluding the GPS accuracy, The Trimble Yuma has every feature of the Ranger and a few improvements as well. A few extra features of the Yuma include two cameras including video recording capability and a much larger memory for storage and loading. The last field computer examined was the Panasonic U1 Ultra, which is very similar to the Yuma vis-à-vis physical characteristics and overall qualifications to perform.

Product Specifications for Physical Use	Motion F5v	Intermec CN3e	Intermec CS40	Trimble Ranger	Trimble Yuma	Panasonic U1 Ultra
SPECIFICATION DATABASE	(Motion Computing Inc. 2010)	(Intermec Technologies Corp. 2009)	(Intermec Technologies Corp. 2010)	(Trimble Navigation Limited 2005 2005-2009)	(Trimble Navigation Limited 2009 2009-2010)	(Panasonic Corp. 2010)
DURABILITY CHARACTERISTICS						
DISPLAY SCREEN	10.4"	3.5″	2.8″	3.8″	7″	5.6″
LED BACKLIGHT	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	
TOUCH SCREEN	×	$\boldsymbol{\times}$	<b>√</b>	<b>v</b>	<b>√</b>	
SCREEN PROTECTION	Gorilla Glass	×	×	<b>v</b>	<b>√</b>	
WEIGHT	3.3 lb	1.25 lb	.43 lb	2.1 lb	2.6 lb	2.3 lb
DIMENSIONS	10" x 10" x .95"	7.7" x 3.2" x 1.3"	5.3" x 2.5" x .94"	10.5" x 5.2" x 1.9"	5.5″ x 9″ x 2″	7.2" x 5.9" x 2.2"
BATTERY LIFE	4 hours	14.8 hours	NA	30 hours	8 hours	9 hours
MEETS MIL-STD-810 SPECS FOR IMPACT	<b>√</b>	<b>√</b>	$\boldsymbol{\times}$	<b>√</b>	<b>√</b>	~
WATER AND DUST RESISTANCE	IP54 rated	IP64 rated	IP54 rated	IP67 rated	IP67 rated	IP65 rated
COMMUNICATION CAPABILITIES						
BLUETOOTH	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	
WiFi	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>
GPS	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>
OPERATING SYSTEM & SOFTWARE					·	
OPERATING SYSTEM	Windows 7 Pro	Windows Mobile 5.0, 6.1	Windows Mobile 6.5	Windows Mobile 5.0	Windows 7 Pro	Windows 7 Pro
SYSTEM MEMORY (RAM)	1GB – 4GB	128MB	256MB	64MB	1GB	2GB
STORAGE (SSD/HDD)	160GB HDD 64-128GB SSD	2GB miniSD card slot	32GB microSD card slot	256MB	32GB SSD	64GB SSD
SD CARD, USB, OR SMARTCARD	USB & SmartCard	miniSD	microSD	SD slot	SD slot	SD slot & SmartCard
Adobe Reader	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	
ACCESSORIES						
INTEGRATED CAMERA	3.0MP rear- facing	2.0MP w/flash	3.2MP w/flash	×	2.0MP outer 1.3MP inner (vids & pics)	2.0MP with dual LED light
INTEGRATED SPEAKER	-	<b>√</b>	<b>√</b>	<b>√</b>		<b>√</b>
MICROPHONE	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>		
SECURITY	fingerprint reader	NA	NA	passcode protection	passcode protection	fingerprint reader/passcode protection
Product Capabilities	Motion F5v	Intermec CN3e	Intermec CS40	Trimble Ranger	Trimble	Panasonic U1

for Scope of Work					Yuma	Ultra
SPECIFICATION DATABASE	(Motion Computing Inc. 2010)	(Intermec Technologies Corp. 2009)	(Intermec Technologies Corp. 2010)	(Trimble Navigation Limited 2005 2005-2009)	(Trimble Navigation Limited 2009 2009-2010)	(Panasonic Corp. 2010)
GEOREFERENCING CAPABILITIES & COMMUNICATIONS						
GEOTAGGING	<b>√</b>		<b>√</b>	×	<b>√</b>	<b>√</b>
GPS SENSITIVITY	NA	NA	10 m	1 – 3 m	2 – 5 m	2 – 5 m
ROUTE MAPPING	<b>~</b>	NA	NA	<b>√</b>	<b>√</b>	<b>√</b>
RELAY OF REPORT BY EMAIL	<b>√</b>	<b>√</b>	✓	×	<b>√</b>	✓
MEMORY/UPLOAD OPTIONS						
STORING MANUALS	<b>√</b>	×	×	×		
INSPECTION TEMPLATE UPLOAD	<b>√</b>	×	$\boldsymbol{\times}$	×		
TIME STAMPED DATA	<b>√</b>	×	$\boldsymbol{\times}$	<b>√</b>	<b>√</b>	<b>√</b>
RISK/EVENT TREE SOFTWARE UPLOAD		×	$\boldsymbol{\times}$	×		<b>√</b>
EAP/MSHA MANUAL UPLOAD	<b>~</b>	×	$\boldsymbol{\times}$	×	<b>√</b>	<b>√</b>
HISTORICAL INSPECTION ARCHIVE	<b>√</b>	×	×	×	<b>√</b>	✓
ABILITY TO GRAPH NEW VS. OLD DATA	<b>√</b>	×	×			
UPLOAD TO OFFICE COMPUTER TO PRINT	<b>√</b>		✓	<b>√</b>	<b>√</b>	<b>√</b>
ADOBE READER			<b>√</b>			<b>√</b>

: the product meets the necessary criteria

#### 🔀 : the product does not meet the necessary criteria

Table 1: Comparison of Selected Field Computers Based on Physical Characteristics & Key Features in Subtask 3.2

After examining all six mobile field computers based on the preceding criteria requested for performance, one emerged as the most suitable piece of equipment. The Trimble Yuma, because of its higher International Protection Rating against water as well as the ability to capture video, is the recommended mobile field computer for coal impoundment inspection. The IP67 rating of the Trimble Yuma establishes that it may be submerged in 1 meter of water for 30 minutes without penetration through the protective case. The IP65 rating does not include the verification for immersion in water, which may be an asset to inspecting a coal impoundment. The ability to capture video is another important benefit to the mine inspection tool because of the need for inspectors to view the

construction process and the movement of water through the impoundment. Poor construction and internal pore pressure are two of the main hazards to impoundments, and having the ability to capture live feed of these two active events could save an impoundment before it fails completely. An additional feature of the Yuma is the presence of two cameras to make photography easier, and according to consumer reviews, the Yuma has better screen visualization in the sunlight. In view of these features only being available on the Trimble Yuma, it is recommended as the most beneficial mobile field computer to the purpose of making coal mine impoundments safer and increasing employee productivity.

#### 2.2 Terrasync/Pathfinder Office Software Evaluation

The Trimble® Terrasync and Pathfinder software was evaluated based on features and relevance to performing a coal impoundment dam inspection. The Terrasync software is proficient at collecting Geologic Information Systems data when it is paired with a receiver and field computer, both of which are available for the purpose of this study. The software has the ability of integrating photos into the data collection by pairing with a Trimble device capable of taking pictures. The software also stamps each photo with the time, date, and geographic location at which the picture was taken. The photos can retain an accuracy up to 4 in. when the software is being used with a Trimble ProXH receiver (Trimble Navigation Limited 2011), a piece of equipment which will be available for the purpose of this research.

The software can also use data dictionaries previously created with the Trimble Pathfinder Office software, which is GIS structured. The data dictionary is a tool used by organizations for creating and customizing attributes which are related to geographical locations on a work site. The dictionary has the primary use of prompting the inspectors to enter specific information in the fields in the form, which ensures that all necessary data has been collected. The attribute values may be organized by using the structure of an electronic form. These data capture forms can store data entered by the user as well as geographical data and time-stamped geotagged photos. The data forms may be modified in many ways to ensure that they maximize worker productivity in addition to remaining user-friendly (Trimble Navigation Limited 2011).

Overall, the Terrasync software improves worker productivity and eliminates connection errors between devices. The software can be simply uploaded onto a paired Trimble field computer and GPS receiver for use in the field. The Pathfinder Office Software is vital for analyzing the collected data and verifying that it does not contain any errors or unwanted data. In addition to finding errors, coordinates and

attributes may be edited to guarantee that only the suitable data is exported to the GIS (Trimble Navigation Limited 2011).

Terrasync and Pathfinder software has been used in the field for many applications including the mapping of hiking trails for the USACE and the redevelopment of New Orleans local surveys after Hurricane Katrina. Pathfinder Office was used after the devastating Hurricane Katrina for creating local maps because most were previously kept on paper only and lost in the water. Consequently, Pathfinder Office was used to create the data dictionary to be used in the field for collecting new data. An ArcGIS database was built so that all the mobile GPS units could share the same information and upload to the same location. According to some, the creation of the data dictionary was the crucial step in collecting the cultural resource data and increased productivity greatly throughout the project (Trimble Navigation Limited 2010).

Additionally, the West Virginia Water Research Institute and USACE relied on the Trimble software to assist in capturing data in the Dolly Sods Wilderness, an area used during World War II for explosives testing. Employees of the WVWRI and USACE performed a survey of the hiking trails in the Wilderness area because traveling away from the trails can be unsafe as there are UXOs (Unexploded Ordnance) in the region. A data dictionary for assessing the hiking trails, which included erosion conditions and trailside campsites, was created before traveling to the site. As the trails were mapped, photos were captured and stored via Terrasync. The project resulted in the creation of a new interactive map including trailside and campsite photos. The use of Terrasync as well as Pathfinder Office enhanced productivity by organizing attributes and GPS data for trails, campsites, and UXOs (West Virginia Water Research Institute 2010).

After thorough evaluation, the Terrasync software used in accordance with Trimble Pathfinder Office was chosen as the best fit tool for inspecting a coal impoundment. The software will be easier to use on the Trimble Yuma because of potential compatibility issues with software generated by other agencies. The Terrasync software will enhance the speed at which the routine coal impoundment inspection can be performed because it is skilled at creating seamless forms for data entry, and having the ability to capture photos with time-stamped location and attributes will prove essential throughout the process of an inspection. An even more vital skill possessed by Terrasync is the ability to sort and filter data imported from a GIS in chronological order, which will allow inspectors to create an inspection route from the data collected on site, thus eliminating a tedious step of the routine. The attribute data may be viewed as a list or distributed over a map with an imported aerial photo or satellite image in the

background. Features may be edited in the Terrasync software during or after the inspection, increasing productivity and complication nonetheless. The use of Terrasync and Pathfinder Office will guarantee a better performance of inspecting a coal impoundment by eliminating painstaking steps of the coal impoundment inspection routine.

## 3 Method

#### 3.1 Microsoft Excel Electronic Inspection Form

Upon the completion of an inspection form using Microsoft Excel (Appendices I & II), it was concluded that all pertinent information could not be integrated accordingly. For the purpose of this study, it is necessary to have the ability of time stamping information/records in the field instantly and automatically. In addition to the time stamping issue, Microsoft Excel was unqualified regarding the automatic capturing of GPS coordinates. The use of hyperlinks in excel could have caused a problem as well because of the significance of the photo/video and GPS links on the form. Microsoft Excel did not have any means of confirming completion of the form before the departure by the inspector, which could pose a serious threat to the safety of the workers and the stability of the dam upon the failing to foresee imminent failures. By using a form created with Excel, the responsibility would fall on the inspector, thus requiring more time in the field to perform an inspection.

Following a meeting with York Grow of Earth Vector Systems in Charlottesville, Virginia, it was decided that a more capable technology was necessary for functioning as a coal waste impoundment inspection form. Trimble Terrasync software paired with Pathfinder Office was used for the creation of the inspection form because of its ability to record GPS coordinates, capture photos with quick links, and time stamp information automatically as well as its compatibility with the Trimble field computer. The Pathfinder software can be used in the office to generate a data dictionary with all of the required fields for an impoundment inspection. The dictionary may then be uploaded onto the Yuma and used in the field via the Terrasync software. The details of the inspection procedure using this software are explained under Section 3.4. Accordingly, the information from the excel inspection form was used to input fields into the Pathfinder Office data dictionary, and Terrasync was used for field inspection and mapping.

## 3.2 Data Dictionary Development

Following the installation of Trimble's Pathfinder Office and Terrasync software programs, a data dictionary was created for use by the inspector in the field. The data dictionary contains all the necessary text fields for completing a routine coal impoundment inspection. A form created in Microsoft Excel (Appendices I and II) was used as a template for the electronic form later structured in Trimble Pathfinder Office software. The automated inspection process begins with the creation of a data dictionary using Pathfinder Office. The data dictionary serves as the template used with the Trimble Yuma in the field and consists of only features and attributes. Each feature in the data dictionary may serve as a portion of the coal impoundment. For example, crest, downstream toe, and piezometer could all be considered individual features because each portion of the impoundment has particular criteria to be evaluated during an inspection. Each feature may be set to record in the field as a point, line, or area. The concept of features and attributes may be viewed in Figure 1.

🛃 Coal Impoundment 6.dd	lf - Data Dictionary Editor						
Eile Edit Options Help	le Edit Options Help						
D 🛎 🖬 🕼 🗟 의							
Name:	e. Cosi Impoundment						
Comment:							
⊻ersion:	TerraSync V5.00 and later 💌 Preview in	n TerraSync					
Features:       X     General Information       X <sup>+</sup> Creat       X <sup>+</sup> Dipteram Face       X <sup>-</sup> Ilipiteram Face       X <sup>-</sup> Ilipiteram Face       X <sup>-</sup> Dipteram Face       X <sup>-</sup> Read Novays       X <sup>-</sup> Read Novays       X <sup>-</sup> Read Novays       X <sup>-</sup> Read Sauge       X <sup>-</sup> Staf Gauge       X <sup>-</sup> Mine Discharges		Attributes:	Menu Inspection Folder on Desktop*				
			* = Default Value On Creation: Normal				
			On Update: Normal				
			Condition: Disabled				
1			Default Feature Settings:				
1	New Feature F3	New Attgibute F7	Accuracy: Code				
	Edjt Feature F4	Edit Attribute F8	Label 1: Inspection Type				
	Delete Feature F5	Dejete Attribute F9	Laber 2. Inspector Name				
Press F1 for help			NUM				

Figure 1: General Information feature and coinciding attributes.

Point and line features were employed in the impoundment dictionary because using an area feature requires the inspector to make nearly a full loop in order to close a polygon and record an area. The use of an area feature is equally inappropriate because the main purpose of recording locations for each feature is to monitor the inspection route traveled as well as make it more efficient to locate potential hazards during maintenance. Most features were set to record as lines with a recording interval of five seconds while others, such as piezometers, pore pressure gauges, and mine discharges, were recorded as points with the same recording interval. An additional setting was enabled with point features however (Figure 2). The point features were required to contain a minimum of three recorded locations to improve accuracy by allowing Pathfinder Office to differentially correct the data back in the office. In fifteen short seconds, the three positions will be recorded; therefore, the inspection is not delayed because the inspector most definitely spends more than fifteen seconds recording the point IDs and numerical values for gauges and discharges.

Edit Feature
Properties Default Settings Symbol
C Off
Minimum Positions:
Labeling
Label 1: Inspection Type
Label 2: Inspector Name
OK Cancel Default Help

Figure 2: Minimum position setting on point feature.

Under each feature lies a collection of attributes, which acts as the template for all questions pertaining to that feature. An attribute may appear as a dropdown menu for questions requiring a "yes" or "no" answer (Figure 3), or as a text or numerical field for questions that cannot be given a concise answer (Figure 4). For instance, a question asking to explain an observation such as erosion requires a text attribute because an explanation may not be picked from a menu. Similarly, gauge readings must be recorded as a numerical attribute because of the complexity of the values. The dropdown menus may have options created by the user, and the text and numerical fields may have a character limit set (Figure 4). The numerical fields may also have a predetermined range of values so that the inspector may not type a value outside of that range, which acts as an alert if the inspector is reading a gauge incorrectly.

- 2

Edit Menu Attribute	
Name:     Inspection Type       Alias:     What type of inspection is this?       Menu Attribute Values       Name     User Code 1       7-Day       Specific Needs       Emergency       Night	Edit Text Attribute       Name:       Inspector Name       Alias:       Name
New       Edit       Delete       ↓         Display In Field As <ul> <li>Picklist</li> <li>Checkbox</li> <li>Radio Buttons</li> </ul> <ul> <li>Feature Repeat</li> <li>Include in Repeat</li> <li>Omit From Repeat</li> <li>Field Entru</li> </ul> <ul> <li>Display In Field As</li> <li>Omit From Repeat</li> <li>Feature Repeat</li> <li>Omit From Repeat</li> </ul> <ul> <li>Field Entru</li> </ul> <ul> <li>Field Entru</li> </ul> <ul> <li>Field Entru</li> </ul>	Length:       100         Default:
On Creation:       On Update:         ○ Normal       ○ Normal         ○ Bequired       ○ Required         ○ Not Permitted       ○ Not Permitted         ○ Not Visible       ○ Not Visible         Condition       Condition         Condition is disabled       Change         ○ K       Cancel       Help	Auto-Incrementing            • No Increment            • Increment            • Step Value: 1         • • • • • • • • • • • • • • •

Figure 3: Data dictionary dropdown menu.

Figure 4: Text attribute with set character length.

Photo links are part of the Pathfinder Office program for storing photographs as well as video with audio within the data file. When the links are selected in the field, the camera utility opens, allowing the user to capture a photograph. Within the camera utility, the option for capturing video is present. Taking advantage of this option allows the inspector to capture a video with an oral description of the observance or problem. Additional fields are provided as well for extra comments or additional photos (Figure 5).

Attributor:					
Ambues.					
	- dia finata analati da d0)				
	aurigszinstrumentation recorded ()				
TE Prezometer (Are all pie	zometer values recorded ()				
C Fole Flessule (All pol	e pressure gauge values recorded ()				
123 Lift Thickness (Hecori	int (nickness)				
Int inickness Units (L	lift thickness unitsj				
TE Placement/Lompactic	on an an a				
Water Impounded (An	y water against the upstream race?)				
Beach Formation (Any     Destal inter (Reach Destal)	(sinknoies/depressions on the beach?)				
ProtoLink (Beach Deformation Proto)      Expert Sines (Annualized in the face 2)					
Siurry Fines (Any siurry     Destablish (Charry Dest	rines deposited on the race?)				
PhotoLink (Slutty Dep Distallists (Substitution)	osition Photoj				
PhotoLink (Extra Phot     PhotoLink (Extra Phot	os (ir necessary))				
FriotoLink (Extra Phot     Secondary (Extra Phot	os (ii necessaiy)) manta)				
Comments (Extra Com	mentsj				
I me began					
	New Attribute E7				
	New Augudue F7				
	Edit Attri <u>b</u> ute F8				
	Dejete Attribute F9				

Figure 5: Attributes for additional photos/comments.

Time and date attributes may be appended to each feature for the purpose of data organization in the office and monitoring inspection practices (Figure 5). The time and date fields may be automatically generated and locked so that the inspector may not change them in the field (Figure 6). The use of

automatic generation validates the date and time that each feature of the impoundment was inspected. Taking the difference between times allows the capability of showing how much time was spent inspecting each feature of the impoundment. Additionally, each five-second location recorded has a time affixed by the GPS unit; therefore, these data may be used for monitoring the time delay between ending one feature and beginning another to confirm the inspector is applying his time efficiently.

Edit Time Attribute					
<u>N</u> ame:	Time Began				
Alias:	Time Began				
💌 Auto <u>G</u> enerate	on Creation				
🔽 Auto G <u>e</u> nerate	on Update				
Format					
• <u>2</u> 4 Hour		C <u>1</u> 2 Hour			
Feature Repeat					
C Include in Repeat C Omit From Repeat					
Field Entry					
On Creation:		On Update:			
O Normal		O N <u>o</u> rmal			
○ <u>R</u> equired		C R <u>e</u> quired			
Not Perm	litted	Not Permitted			
⊂ Not ⊻isib	le	O Not Visible			
Condition					
Condition is disa	bled	Ch	ange		
	OK	Cancel	<u>H</u> elp		

Figure 6: Auto-generated time attribute (notice field entry is not permitted).

Each feature and attribute in the data dictionary contains a field for a title as well as a description. The title is used primarily for the manipulation and organization of data in the office while the description of the feature is seen on-screen while performing an inspection. Only the description can be viewed in Trimble Terrasync, thus all pertinent information to each question must be portrayed in the description field so that it may be understood in the field. Figure 7 (below) demonstrates what the inspector sees on screen while performing a routine inspection.



Figure 7: Screen shot of template in Trimble Terrasync.

Each attribute in the Pathfinder Office data dictionary contains settings related to security and conditional situations. Notice the field entry box in Figure 6. Attributes may be configured so that they are not permitted to receive an answer, such as the time and date stamp attributes. The time and date attributes are automatically generated; hence, the inspector does not have permission to alter them in any way. Each attribute may be set so that the inspector chooses to input an answer for the question. Attributes may be required instead, forcing the inspector to provide an answer in order to make sure he/she does not accidentally miss the question. Most attributes in the coal impoundment dictionary were created as required fields to ensure all questions are answered. There are some required attributes, however, that are not visible unless the inspector provides a particular answer to a question.

Some attributes may be set so that they are not visible unless another attribute is given a specific response. Notice the condition box in Figure 6. For example, if the inspector answers that there is erosion on a spillway of the impoundment, then he or she will be prompted to provide a description of

where and what was observed. The inspector will also be prompted to take a photograph of the observance, which will contain matching coordinates. Conditional settings are used in the data dictionary utility to create questions that only pertain to specific situations. In the case of erosion, the description attribute contains a conditional setting, which can be selected so that the description attribute is only visible if the inspector provides that there is erosion (Figure 8). Any attribute following another may be set so that it only appears depending on the answer provided to the first.

Set Con	dition For Attribute		×					
Attribute	Name: Erosion/Cracks							
💌 Ena	Enable Condition							
If this c	ondition is true							
	Erosion/Cracks (Erosiol 💌	is 🔻 Yes 💌						
Then a	pply these settings							
[	Field Entry							
	On Creation:	On Update:						
	C Nor <u>m</u> al	Normal						
	Required	C Required						
	O Not Permitted	Not Permitted						
	○ Not <u>V</u> isible	O Not Visible						
	🗖 Assign On Creation 👘 Assign On Update							
	Value to assign:							
Else ap	Else apply the settings from the previous attribute edit form							
	OK Cancel <u>H</u> elp							

Figure 8: Conditional setting for describing erosion based on answer that erosion is present.

The second characteristic of the conditional attributes is that they may be required or optional similar to the primary attributes. This option was taken advantage off by not requiring all conditional fields because some photo links may be unnecessary. The option of taking a photo that is not required will be left at the discretion of the inspector. Most other conditional attributes not including the photo links are required nonetheless because they are important to risk assessment and reduction in error due to negligence.

All information provided on the inspection form was successfully conveyed using the Trimble Pathfinder Office software; therefore, a trial was necessary to examine the functionality of the equipment, especially concerning ease of use and ability to produce geotagged photos. The use of audio tags as well as GPS tagged photographs were also investigated to determine how they can be incorporated into the template.

### 3.3 Beta Testing for Optimal Functionality

A total of five field trials were performed as upset condition tests to evaluate the electronic inspection concept as well as the organization and functionality of the form. The first trial of the examination progressed into identifying a number of problems which helped to identify ways of improving the organization and method of opening/saving the data file. The data transfer utility made by Trimble was not employed for transferring the data dictionary from the desktop computer to the Trimble Yuma. Instead, the data dictionary was saved to a portable flash drive to be uploaded to the Yuma at a later point in time. The Terrasync program only recognizes the dictionary if it is placed in the designated folder for data dictionaries, which had an unknown location at the time.

When the field trial was to be conducted, the location of the designated Terrasync folder was unknown and as a result, the dictionary could not be sent to the correct location for use. This caused an issue later in the field because the data dictionary would not appear in the Terrasync program, which in turn would not allow the user to view any of the features or record any points via GPS. Without the ability to record any points or open the data dictionary to store information, the field trial was essentially terminated. The first field trial had the purpose of inspecting the ease of opening the data dictionary and ability of entering responses into generated fields. This trial was not complete, as it would be unnecessary to create an entire data dictionary if it were done incorrectly; therefore, only a few features were created with simple attributes under each feature.

Following the successful upset condition test of the first field trial, many improvements were made to the program to ensure better functionality in the field. More features were added to the data dictionary first since the opening/saving problem was identified as a failure to use the Trimble data transfer utility. For trial 2, photos were taken as well to demonstrate the Yuma's capability for taking photos and recording coordinates for each concurrently. Upon arrival in the field however, more problems arose regarding the opening/saving locations in Terrasync. A flash drive was used again, but this time the data transfer utility was used to copy the dictionary to the predetermined Terrasync folder.

Although the dictionary was transferred correctly and showed no signs of failure, the default save location could not be used for storing a completed data file. The Terrasync program is set up with a predetermined save location on the Yuma hard drive, usually in a "GPS Projects" folder within the "My Documents" folder under the main drive on the Yuma. This folder could not be found on the Trimble Yuma during field trial 2, which did not completely cause a failure but did leave the user with a small inconvenience. Instead of using the default location on the hard drive to store the data file, the user was able to leave the flash drive plugged into the Yuma as a means of storing the data file.

Upon completion of the second field trial, more improvements were under identified with respect to the functionality of geotagging and field input aliases. While in the field, it was difficult to view the desired input fields because they were created incorrectly in Pathfinder Office. The "new attribute" window in Pathfinder Office has a field for a title as well as a field for alias. The fields were created under the impression that both fields would appear on the unit during a field trial, but this was simply not the case when trial 2 was performed. Instead of being able to view the subject and the detail under that subject, only the detail field could be seen, which caused confusion because the operator was unable to identify to which part of the dam the detail belonged. For the third field trial, these alias fields needed to be more complete so that the operator is able to fully understand what the field is requiring him/her to inspect.

The second major problem in the field dealt with taking photos that contained GPS information, an activity more commonly referred to as geotagging. When the data dictionary was designed, it was assumed that photos could simply be taken at points during the field inspection and saved automatically with corresponding GPS information. This assumption was also incorrect and was quickly noticed in the field. The Trimble Yuma has a button on its control panel that provides direct access to the camera utility, and this was employed by the operator to capture photos during the second field trial.

After transferring the data file back to the desktop via data transfer, it was noticed that the photos were not included as part of the field trial 2 file, which was suspected by the operator during the field trial. The problem was corrected by creating photolinks within the data dictionary to be used during the third field trial. The links are added as attributes and can have titles and aliases similar to the other attributes in the data dictionary, but the most effective characteristic of the photolink is that it automatically opens the camera utility when it is selected. After the photo has been captured, it is stored in a default location within the data file with its matching coordinates. The use of this attribute is a very valuable tool as he/she can take geotagged photos in seconds.

One issue that remained unresolved after field trial 2 dealt with the default save location of the Terrasync software. After talking with representatives for the Trimble equipment, the problem continued without a solution. The Terrasync software was removed from the Trimble Yuma and reinstalled to be sure that the first install was not corrupted, but the default save folder was still missing after the second installation. Instead of focusing on the saving issue, more effort was directed toward the completion of the data dictionary. The decision was made because even though the use of a flash drive was a bit inconvenient, the problem arose as a result of the equipment and not the operator, and the data dictionary was still functional.

After the advancements were made from the second field trial, the data dictionary was expected to function in the field much more smoothly. The third field trial was performed soon after the improvements were finished, yielding a better collection of data including geotagged photos as well as complete field entry descriptions to serve as questions. The operator was easily capable of geotagging imitation problem areas on the mock impoundment site. Additionally, the operator was able to view the new aliases, which were reformed into more complete questions to be better understood. Overall, the field trial was a success, as many goals were met regarding the functionality of the automated inspection program.

The completion of the third field trial was followed by a series of improvements that were not construed from problems in the field but from the advanced capability of the data dictionary editor in Pathfinder Office. Through the previous work with the editor, a few new features were found which made the automated inspection form appear more organized and function better overall. The conditional attribute aspect in the editor, allowing fields to only appear if the operator provides a particular answer to an attribute, was utilized. The use of this feature allows for a much nicer appearance on-screen as unnecessary fields are not visible until they are required. The condition feature can even be utilized for photolinks, which will not prompt the operator to take a photo unless it is required.

A second feature of the editor includes the use of the "field entry" utility, which allows the programmer to require that the inspector visits each attribute and enters data. As a means of guaranteeing the inspector performs each part of the inspection, the data dictionary was created so that each feature will not save until all attributes have been visited and the field entry has been completed for each. The addition of this aspect will serve as a sure way to check the inspection practice because the coordinates of each feature will be stored as well, showing the inspection path traveled over the duration that each feature was open in Terrasync.

The fourth field trial was executed at a mine impoundment so that the full functionality of the data dictionary in addition to its organization could be examined. The ordering of the attributes was checked so that the operator may inspect the dam without scanning through the dictionary continuously looking for the attributes to be completed for each area. A precise ordering of the attributes under each feature was necessary to guarantee that the inspector will not waste his/her time with the equipment. The most important part of using this automated form with the Trimble Yuma mobile computer is that it should provide more capability than the paper form with a minimal amount of problems resulting in wasted time.

This field trial brought about a few more ideas about the general information settings and the security of the inspection form. After using the data transfer to upload the data file onto the desktop computer, it was detected that the general information was difficult to find because no GPS information was recorded. After the data file was exported to ArcGIS, the general information could not be found at all because no layer existed for the feature. A decision was made to modify the general information feature so that it records points as the inspector fills out the information. This will ensure that the information is not lost back in the office as it is very important to the inspection. The second modification made to the data dictionary after trial 4 was the addition of automatic locked time stamping, which was added to each feature so that it records the time each feature was completed. The feature may not be modified in the field, so it provides as a good verification that the inspector is spending the correct amount of time on each part of the inspection and not skipping over that area instead.

### 3.4 Final Data Dictionary Template

For the fifth and final unofficial field trial, all amendments were made and worked successfully. It was decided that instead of trying to add direct links for PDF files into the data dictionary, a folder was created on the desktop of the Yuma with all the necessary documents needed for inspection. A reference to the destination of the folder was added onto the general information feature so that the inspector can be made aware at the beginning of the inspection. The time stamps, which automatically generate a locked time stamp when each feature is opened in Terrasync, were added to each feature as well.

Another decision made for this field trial involved the inclusion of probability statistics for decant trash rack attributes as well as spillway clog attributes. The probability attributes were based on a study

presented at a technical seminar (Dise 2009). These additional attributes required conditional elements, which only prompt the inspector to inspect if another attribute is given a particular answer. For example, if the inspector answers that the decant trash rack is not clear and open, then he/she is prompted to answer a question asking to predict the clogging of the trash rack as a percentage. The clogging attribute is categorized into ranges of percentages, and any category chosen above 50 percent alerts the inspector to contact the mine manager immediately.

In addition to including the clog percentage of the trash rack, an attribute regarding likelihood of causing pool level rises was incorporated into the template. An attribute for pool level rise likelihood follows the inspector providing an answer to the clog percentage attribute. The attribute is shown as a dropdown menu with five possible answers, all having a designated probability as presented by Dise (Dise 2009). Once the inspector provides an answer from the dropdown menu, a new attribute follows with an assigned probability value. Lastly, the inspector is required to take a photo of the decant trash rack to solidify the clog percentage estimation provided.

Another innovative attribute added to the data dictionary includes a reference guide, which provides a location on the Trimble Yuma desktop for finding pertinent information to the inspection, such as an Emergency Action Plan and MSHA Inspection Handbook. One more new attribute deals with an input area for weather conditions, which are important to the pool level and structural stability of a newly constructed impoundment without vegetation. A torrential rain on a newly constructed impoundment without vegetation. A torrential rain on a newly constructed impoundment without vegetation can cause rills and trenching quickly, resulting in a weakened structure. Extra photos/comments attributes were added to each feature to simply leave an area for the inspector to comment on additional observations on-site. An attribute was included in the Spillways/Ditches feature involving the spillway type. The inspector must choose what type of spillway/ditch he or she is inspecting. A dropdown menu is provided, which includes left groin ditch (from crest), right groin ditch (from crest), spillway, and auxiliary spillway. The use of this attribute will help support the GPS data recorded for each spillway/ditch feature as well.

The audio/video capture problems were resolved within the software by using the video utility on the Trimble Yuma. When the inspector is prompted to capture a photo for a particular attribute, he/she must click the photo icon within the data dictionary. Within just a few seconds, the camera utility opens on the Yuma, and the inspector may capture a photo. After a photo has been taken, the inspector simply exits the camera utility, and the picture is automatically saved in its designated location within the data file. For a video or audio attribute, the procedure is the same for triggering the camera. While

the camera utility is open, the inspector must select the video option from the top menu. An audio-only file cannot be recorded easily by using the data dictionary capability, so an alternative method was found to make the process more efficient for the inspector. In order to record audio files, the inspector is able to open the camera utility and choose video. Instead of using the rear camera, which faces away from the inspector, he/she may switch to the forward facing camera by choosing the option from the menu bar at the bottom of the camera screen. The inspector is then able to record him/herself speaking about the necessary observations. The rear camera may also be utilized for taking a video of the observation and providing a verbal description simultaneously.

The capability of the final inspection form is not limited to the geotagging of photographs and capturing of videos. All numerical values for piezometers, mine discharges, pore pressures, and rain gauges may be stored within the inspection file as well. The capability of storing all the information within the file improves organization and allows the information to be imported into a historical database for easy storage. All gauges will have coordinates linked to the numerical values and identification numbers, which helps to ensure the gauges are marked correctly on-site. The ability to map these features is an improvement to the inspection process as well because it saves time when locating potential hazards for maintenance.

### 3.5 Risk Assessment Attributes

Poor risk assessment and negligence are equally important to the improvement of inspection procedures as both have caused catastrophic failures recently. Involving features to assist in risk assessment and including these fields in the output report are both essential to the improvement of inspection practice. Additional attributes were included involving decant trash rack clogging and spillway/groin ditch obstructions. One attribute prompts the inspector to provide an estimate of the likelihood of each instance causing a pool level rise, while another requires an estimate of the clog as a percentage if the inspector claims that the trash rack or spillway is obstructed in some way. Both of these attributes are conditional in that they only appear if the inspector answers that the decant trash rack is not clear and open (Figure 9). Once the inspector answers that the trash rack is not clear, the additional questions appear regarding clogging percentage and likelihood. If the inspector answers that the rack is over 50 percent clogged, then a third conditional attribute will appear directing the inspector to notify the mine manager immediately. These three attributes function in the final report as a risk assessment tool for determining what level of risk the feature has on the impoundment.

TerraSync			
Data V			?
		Options 🔻 Log 🕨	¥ ↗ ▼ Options ▼ Layers ▼ ■ ►
	1 Crest OK Cancel		*
	Date Visited: 7/12/2011		+
	Length of Dam Crest Photo:		
	<u> </u>		
	Record lift thickness: 0.0		
	Lift Thickness Units:		
	Placement/Compaction:		
	Are all piezometer values recorded:		
	Decent Dipe Outlet Dipoto		
	Are decant trashracks clear and open?:		
	Decant Inlet Trashrack Photo:		
	No		
	Is there any visible structural damage?:		
	Any fire, smoke, or steam visible?:		
	Extra Photos (if necessary):		
	Euler Dielen // nanaganu ()		
	▼ ►		
	Extra Comments:		Skyplot V
	Time Began: 12:24:23 pm		
			GPS is disconnected
🐌 TerraSync			
TerraSync			
Set TerraSync Data ▼ Ĵ Collect ▼		Options V Log D	Ţ Ţ Ţ Ţ Ţ Ţ Ţ Ţ Ţ Ţ Ţ Ţ Ţ Ţ
Set TerraSync Data ▼ ① Collect ▼	1 Grest Cancel	Qptions ▼ Log ▶	Ţ Ţ Ţ Ţ Ţ Ţ
TerraSync Data   ▼      ①     Colect:   ▼	1 Crest Cancel Date Visited: 7/12/2011	Qptions 🔻 🕼 🕨	Ţ Ţ Ţ Ţ Ţ Ţ Ţ Ţ
TerraSync Data  ▼ ① Collect  ▼	1 Crest Cancel Date Visited: 7/12/2011 Length of Dam Crest Photo:	Options 🔻 Log 🛛 🕨	Ţ Ţ Ţ Ţ Ţ Ţ Ţ Ţ Ţ
TerraSync Dota   ▼      ① Collect   ▼	1 Crest Cancel Date Visited: 7/12/2011 Length of Dam Crest Photo: Concellible biological concentration of the con	Options 🔻 Log 🕨	Ţ Ţ Ţ Ţ Ţ Ţ
TerraSync	1 Crest OK Cancel Date Visited: 7/12/2011 Length of Dam Crest Photo: Record lift thickness: Uf: Thickness [Inits:	Options 🔻 Log 🕨	Ţ Ţ Ţ Ţ Ţ Ţ Ţ Ţ Ţ
TerraSync	1 Crest OK Cancel Date Visited: 7/12/2011 Length of Dam Crest Photo: Record lift thickness: 0.0 Lift Thickness Units: ▼ Plasemer Frompaction: ▼	Options 🔻 Log 🕨	_ ₪ X ? <u>Ť ≯ v Options v Layers v</u> ■ ► Ť
TerraSync Data Collect	1 Crest OK Cancel Date Visited: 7/12/2011 Length of Dam Crest Photo:  Record lift thickness: 0.0 Lift Thickness Units: ▼ Placement/Compaction: ▼ Are all picementer values recorded?: ▼	Options V Log 🕨	Ţ Ţ Ţ Ţ
TerraSync Data Collect	1 Crest OK Cancel Date Visited: 7/12/2011 Length of Dam Crest Photo:	Options V Log 🕨	Ţ Ţ Ţ Ţ
TerraSync Dota	1 Crest OK Cancel Date Visited: 7/12/2011 Length of Dam Crest Photo:	Options V Log 🕨	Ţ Ţ Ţ Ţ
TerraSync Doto   Collect	I Crest     OK     Cancel     Date Visited:     7/12/2011 Length of Dam Crest Photo:	Options V Log P	Ţ Ţ Ţ Ţ Ţ
TerraSync Data  v  Collect  v	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li></li></ul>	Options V Log 🕨	Ţ Ţ Ţ Ţ Ţ Ţ Ţ Ţ
TerraSync Data  v  Collect  v	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li></li></ul>	Options V Log 🕨	Ţ Ţ Ţ Ţ Ţ Ţ Ţ
TerraSync  Data	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo:	Options V Log 🕨	Ţ Ţ Ţ Ţ Ţ
TerraSync Data  v  () Collect  v	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li></li></ul>	Options V Log V	Ţ Ţ Ţ Ţ Ţ Ţ Ţ
TerraSync  Data	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li></li></ul>	Options V Log V	Ţ Ţ Ţ Ţ Ţ Ţ Ţ
TerraSync Data Colect	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li>Image: Creater Photo:</li> <li>Image: Creater Photo</li></ul>	Options V Log V	Ţ Ţ Ţ
TerraSync  Data  Collect	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li>If Thickness:</li> <li>D.0</li> <li>Lift Thickness:</li> <li>D.0</li> <li>Lift Thickness Units:</li> <li>Placement/Compaction:</li> <li>If an elecant pipe idear of clostructions?:</li> <li>Ceant Pipe Outlet Photo:</li> <li>If are decant trashracks clear and open?:</li> <li>No</li> <li>About what percentage is clogged?:</li> <li>If there any visible structural damage?:</li> <li>If there any visible structural damage?:</li> <li>Context Photo:</li> <li>Extat Photo:</li> <li>If there any visible?:</li> <li>If there any visible?</li> /ul>	Options V Log IN	Image: Content of the second seco
TerraSync Data  Collect	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li>If Thickness:</li> <li>0.0</li> <li>Ufr Thickness:</li> <li>0.0</li> <li>Ufr Thickness Units:</li> <li>Placement/Compaction:</li> <li>Are decant pipes clear of clostructions?:</li> <li>Decant Pipe Outlet Photo:</li> <li>If the outlet Ph</li></ul>	Options V Log IN	
TerraSync Dota  Collect	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li>If Thickness:</li> <li>0.0</li> <li>Uff Thickness:</li> <li>0.0</li> <li>Uff Thickness Units:</li> <li>Placement/Compaction:</li> <li>Are decant pipes clear of obstructions?:</li> <li>Decant Pipe Outlet Photo:</li> <li>If are decant trashracks clear and open?:</li> <li>No</li> <li>About what percentage is clogged?:</li> <li>Ukelhood to cause a pool level rise:</li> <li>Decant Inlet Trashrack Photo:</li> <li>Is there any visible structural damage?:</li> <li>Any fire, smoke, or steam visible?:</li> <li>Extra Photos (if necessary):</li> <li>Extra Photos</li></ul>	Options V Log IN	
TerraSync Dota	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li>Image: Construction of the construle of the construction of the construction of the cons</li></ul>	Options V Log P	
TerraSync  Doto  Collect  V	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li></li></ul>	Options V Log V	
TerraSync  Data	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li></li></ul>	Options V Log >>	
TerraSync Data V 1	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li></li></ul>	Options V Log V	Image: Content of the second seco
TerraSync  Data	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo:       Image: Constructions in the construction in the constructions in the construction in the constructions in the construction in the constructin the constructin th	Options V Log V	
TerraSync Data Collect V	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li></li></ul>	Options V Log V	
TerraSync  Data  Collect	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo: <ul> <li>Image: Construction of the construlic of the construction of the construction of the con</li></ul>	Options V Log V	
TerraSync  D Data  Collect	1 Crest       OK       Cancel         Date Visited:       7/12/2011         Length of Dam Crest Photo:       Image: Constant Co	Options V Log V	

Figure 9: Illustration of conditional attributes appearing after answer was provided that trash racks are not clear and open.

Another form of risk assessment involves the employment of frequency of occurrence histograms to describe the rate of recurrence of specific answers provided by the inspector. Over time, the populated histograms may be used to illustrate how often a decant trash rack or emergency spillway was clogged or somehow impeded. The graphs appear on the final output report after each inspection with the latest information provided. The results shown in these figures may suggest that an impoundment either needs better maintenance practice or has the potential to fail. Utilizing this method may efficiently improve impoundment maintenance and reduce failures resulting from negligence.

### 3.6 Using the Yuma in the Field/Uploading in the Office

Once the data dictionary is created, it may be saved onto the Trimble Yuma for use on an impoundment field inspection. This may be done more than one way because the Trimble Yuma has its own Window system. On older Trimble equipment, the data transfer utility has to be employed, which uses a link between a default folder in Pathfinder Office and another default folder in Terrasync. The Trimble equipment has to be linked to the desktop PC with a special cable, usually provided by Trimble. The transfer only takes seconds to send the data dictionary file from the desktop to the predetermined folder on the Yuma. The Trimble Yuma is different however because it is a computer on its own. The cable method cannot be used because two computers may not be connected via USB ports. A SD card or portable flash drive may be used instead to transfer dictionary files to the Yuma. The files may simply be located on the desktop and copied to the portable memory. Next, the data transfer utility may be opened on the Yuma to create the link between the Terrasync program and the portable memory. The link takes only seconds to create and is only required once. After the link is created, Terrasync will recognize the portable drive when it is plugged in; therefore, the location of the data dictionary templates will accessible from Terrasync without making a new link every time. Another method for transferring the template is to locate the Terrasync default folder on the Yuma and copy the file to that location.

The inspector can simply open the Terrasync program and access the correct data dictionary to begin the inspection. The template is completed during the inspection by answering the questions provided. The inspection file saves to the default location on the Yuma unless otherwise specified. After the inspection, the data file on the Yuma may be saved on a portable storage device (flash drive, SD card, etc.) if it was not already configured to do so and sent to a desktop PC using the Trimble Data Transfer program. If the data transfer link was previously made to the storage device, the data transfer may be

performed similarly to the data dictionary. The eight files created during the inspection will be sent to the desktop PC as one compressed file for viewing in Pathfinder Office. Following the data transfer, the data may be opened in Pathfinder Office, which is primarily used for mapping the inspection route and exporting to other software programs. The complete process is illustrated in Figure 10.



Figure 10: Flow chart showing basic electronic data collection process.

In a few easy steps, the data file may be exported to a Microsoft Access database, which includes all inspection fields and coordinates. Access stores the file as individual tables for each feature of the impoundment (crest, abutment, etc.). This database may be updated in Access as more inspections are performed or sent to Microsoft Excel for data manipulation and report generation. Sending the data to Excel stores each feature as a new worksheet tab, and a master report worksheet may be made which automatically populates from the data for each feature. The report includes the general information for the impoundment as well as a risk assessment of each feature based on particular fields from the inspection. For each new inspection, the output report tab may be brought in as a new tab and should automatically populate with new inspection information upon doing so.
A historical database may be formed by adding new data to the master tables in Access using an append utility in the program. The updated master sheet may be exported to Excel along with the output report template, and the risk assessment frequency of occurrence graphs, which are histograms containing information pertaining to the number of times the inspector selected particular trash rack clog values, will update with the new information. Upon completion of exporting and formatting, the output report may be printed with risk assessment recommendations. The report will contain general information on the impoundment as well as a risk assessment value for each feature inspected. The final portion of the report will illustrate the frequency of which some answers, particularly those pertaining to clogging and probability, were provided by the inspector.

## 4 Results & Analysis

For the purpose of testing the functionality of the template, a series of mock field inspections were conducted at two different sites. Both sites are former slurry impoundments reclaimed by the West Virginia Division of Natural Resources as wildlife management areas (WMA) for fishing and other recreation, such as boating, kayaking, and wildlife observation. The purpose for performing the inspections was to ensure recording sufficient data for building the historical database. Performing inspections on different dates would allow the data to be sorted more easily and the possibility of changes to be seen. After performing multiple inspections, the template becomes more user-friendly as well because the user develops a familiarity with locations of attributes.

The Pedlar WMA was the first impoundment used to test the functionality of the custom template for inspection. Located in Core, WV, the impoundment now retains the structure of Mason Lake and is maintained by the WV DNR. A series of three inspections were performed over a five day period in order to change observation methods and test functionality of conditional attributes. Throughout the course of the three inspections, observations were changed intentionally to simulate problems with the structure and test the alerting capabilities of the template. During the series of inspections, no problems were encountered regarding the usability of the template; however, the GPS utility on the Yuma failed to work correctly at the beginning of the second inspection. The Yuma was restarted and the problem resolved itself. The data from the three inspections are located in Section 4.1.

After assessing the collection of data from the Pedlar inspections, it was observed that a few minor changes could be made to the template. Some attributes were not properly organized for the

31

inspection process. For example, the decant pipe outlet photolink was placed in the crest feature, and in most cases, a photo of the decant outlet may not be taken from the crest of the impoundment because of the distance to the structure as well as the vegetative cover that may exist on the downstream face of the impoundment. Another attribute not located conveniently was the question asking if the water exiting the decant outlet was clear. The attribute was located under the impoundment pool feature, making it impossible to answer the question without travelling from one face of the impoundment to the other. Leaving the attribute under the impoundment pool feature would result in time lost or omission because the inspector would not want to make the trip over the downstream face of the impoundment more than once. Both of these misplaced attributes were relocated for the second series of inspections for increased usability in the field.

The second series of inspections was performed at the Hibbs Run impoundment outside of Mannington, WV. The Hibbs Run site is an area within the Dents Run WMA for fishing and other recreation. A series of three inspections was performed over a period of seven days. Over the seven days, no significant changes were observed, and the inspection template was fully functional. Photos were stored as part of the template and comments were added primarily to capture more information for the database. The data from the three inspections are located in Section 4.2. One inspection yielded problems similar to that of the Pedlar inspection with the Trimble GPS utility; however, the Yuma resolved the problems after a system restart.

### Data Reduction

After the three inspections were completed for each series, the data was returned to the office for uploading and report generation. The portable flash drive used for the inspection data save location was inserted into the desktop PC, and the Trimble data transfer utility was employed for uploading the data files into their respective locations. Once all three files were uploaded onto the desktop PC, each file was opened separately within Trimble Pathfinder Office. Each file was opened first for viewing, and differential correction was used to enhance the accuracy of the GPS locations collected during the inspection. A base station located in Uniontown, PA was used for differentially correcting the series of inspections (Figure 11). At least 67% of the recorded positions for Pedlar and 80% for Hibbs Run were corrected within two to five meters, which is the best accuracy the Trimble Yuma can accomplish. The correction reports are in Sections 4.1.2 and 4.2.2. The differential correction utility can create a new file for each inspection with the same file name and a new file extension, or it can overwrite the original

32

shape file depending on what option is chosen. For all inspections, the option was chosen to create a new file so the original data was kept unmodified.

💁 Differential Cor	rection Wizard	
	Base Data   Base Provider Search  CORS, UNIONTOWN (PAFU), PENNSYLVANIA  Contemporation of the search  C:\Documents and Settings\Eric Baker\My Documents^	Select
	C Browse	Browse,.,,
	Use reference position from base provider     CORS, UNIONTOWN (PAFU), PENNSYLVANIA      Confirm base data and position before processing	Select
	< <u>B</u> ack Next > Cancel	Help

Figure 11: Differential Correction Wizard showing Uniontown, PA CORS station.

Following the differential correction, the original shape file was closed and the corrected file was opened. Only minor changes exist between the original and corrected shape files, most of which cannot be seen by the user unless one file is placed over the other, which demonstrates the high precision GPS feature of the Yuma. For the purpose of impoundment inspection, the differences are insignificant because the mapping is mostly for monitoring inspection routes. Background files were then added into the inspection file using aerial images provided by the Environmental Systems Research Institute (ESRI). A world imagery layer was first uploaded into ArcGIS 9.3 from the ESRI website. The impoundment was located next and zoomed to so that the impoundment was visible and all the data recorded would be displayed on the map.

Before using the imagery file in the Trimble software, the coordinate system was checked to ensure that it was displayed in the NAD83 coordinate system, and it indeed was not after a quick assessment. The coordinate system was transformed from WGS1984 to NAD83 (Figure 12) because all inspection data files were recorded in the NAD83 coordinate system and using two different coordinate systems is not allowed by Pathfinder Office. The transform utility in ArcGIS was found within the coordinate systems section of the data frame properties. The export utility within ArcGIS was used to save the imagery as a .tiff file so that it could be opened by Trimble Pathfinder Office. A world file was also required for opening in Pathfinder Office so that imagery pixels were matched correctly to geographical coordinates (Figure 13). The world file was easily created by ArcGIS by indicating that a world file was necessary. ArcGIS created the .tiff file of the GIS map window display with an attached world file.

Data Frame Properties	? 🛛
Annotation Groups Extent Rectangles Frame General Data Frame Coordinate System Illumination	Size and Position
Current coordinate system:	
GCS_North_American_1983	<u>_lear</u>
<ul> <li>✓</li> </ul>	Iransformations
Select a coordinate system:	
	Modify
MAD 1983 (CSRS)	Import
NAD Michigan	
North American 1983 (CSR598)	<u>N</u> ew •
Worth American 1983 HARN Worth American Datum 1927 Worth American Datum 1983 Occhementer 1935	Add To Favorites
Old Hawaiian	Remove From Favorites
Puerto Rico 💌	
OK	Cancel <u>Apply</u>

Figure 12: Changing of world imagery coordinate system in ArcGIS to match recorded inspection routes.

Export Map					? 🗙
Savejn:	🗀 Background		•	+ 🗈 💣 🎟-	
My Recent Documents Desktop My Documents My Computer	HibbsRun.bmp				
My Network Places	File <u>n</u> ame: Save as <u>t</u> ype:	HibbsRun.bmp BMP (*.bmp)		•	<u>S</u> ave Cancel
- 💙 <u>O</u> ptions ——					
General Format					
<u>R</u> esolution:	96	🔹 dpi			
<u>W</u> idth:	1063	pixels			
<u>H</u> eight:	775	pixels			
Vrite World	File				

Figure 13: Export of imagery map from ArcGIS with world file for use in Pathfinder Office.

The imagery file was opened in Pathfinder Office by locating it through the background option in the software (Figure 14). The aerial image was uploaded correctly and all data was displayed over an image of the impoundment. Without these imagery files, the route mapping is virtually irrelevant because it is impossible to see where the mapped routes fall on the impoundment. Using the imagery file ensures that the mapped routes were in fact on the impoundment site and the inspector inspected each required portion of the impoundment.

Load Background Files	
Check to load background files  C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impound\west_virginia_highway.shp  C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impound\tl_2010_54061_cousub10.shp  C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impound\tl_2008_54061_edges.shp  C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspectio\PedIar.bmp  C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspectio\PedIar.bmp  C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspe\HibbsRun.bmp	OK Cancel Add Add <u>w</u> eb map <u>R</u> emove
	<u>H</u> elp
Coordinate system of selected file(s): Site: n/a System: Lat/Long Zone: n/a Datum: NAD 1983 (Conus)	<u>C</u> hange

#### Figure 14: Adding imagery map into Pathfinder Office as background file.

Once the data file was combined with a background file, the attribute information was exported into a Microsoft Access database. The Trimble export utility was used to perform this task efficiently (Figure 15). After creating the Access database composed of all the necessary attributes, the file itself was opened and reviewed. The Access database was used primarily for organizing the information to be stored as a historical archive. In Access, the data is all present; however, it is not displayed for rapid assessment and for obtaining signatures from mine officials (see Sections 4.1.3 and 4.2.3).

In order to meet these requirements, the data was exported from Access to Excel (Figure 16). In Excel (Version 2007), individual worksheets may be linked to a master report template for rapid printing. A master report template was created in Excel with links to each worksheet tab (see Sections 4.1.4 and 4.2.4). All the necessary questions on the MSHA inspection form, portrayed on the Excel inspection form located in Section 8.1, were appended to the master form and linked to answers provided during the inspection. The output report was completed in Excel for printing so that it could be signed by an official for the impoundment, which is a federal regulation dealing with impoundment inspection practice.

式 Export		
Input Files	1	ПК
Folder:	<u>B</u> rowse	
C:\\GNSS Projects\Impound	Cancel	
Selected Files:	<u>H</u> elp	
HibbsRun1.cor		
1	J	
Output Folder		
C:\Documents and Settings\Eri	c Baker\Mu Documents\GNSS Projects\Impoundm	Browse
je. voločaments and settings tem	e baker wy bocuments (an 65 Trojects (impoundin	<u>Diomec</u>
<u>Choose an Export Setup</u>		
Sample Microsoft Access ME	)B Setup 🗾 👻	
Format:	Microsoft Access MDB	
Type of Export:	Features - Positions and Attributes	
Output Option:	Combine and output to Export folder	
GIS Coordinate System:		
Site:		
System: Zope:	Lat/Long	
Datum:	NAD 1983 (Conus)	
Coordinate Units:		
<u>N</u> ew	Delete E	Properties

Figure 15: Use of Pathfinder Office export utility to create Access database for inspection.

Export - Excel Sp	readsheet	? 🗙
Select the de	estination for the data you want to export	
Specify the destir	nation file name and format.	
<u>F</u> ile name:	C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\Pedla	
File forma <u>t</u> :	Excel Workbook (*.xlsx)	
Specify export op	otions.	
Export Select t	data <u>with formatting and layout.</u> his option to preserve most formatting and layout information when exporting a table, query, form, or report.	
Open t Select t	he destin <u>a</u> tion file after the export operation is complete. his option to view the results of the export operation. This option is available only when you export formatted data.	
Export Select ti selected	only the <u>s</u> elected records. his option to export only the selected records. This option is only available when you export formatted data and have reco d.	ords
	OK Cance	!

Figure 16: Use of Access export utility to create Excel file for inspection.

There are several problems that exist with this method of reporting however. The first is that the time elapsed during this process is a factor in determining its overall functionality in the industry may be too long. Many inspectors need to have a paper form of the form for signatures readily available following the inspection. The process illustrated takes time that many inspectors do not have and may not be the most efficient means for obtaining a hard copy of the inspection. The form in Excel is also difficult to populate correctly because of the complexity of the information being provided each time. Features are repeated often during inspections because of how the dam is traveled, and different answers may be provided to certain questions the second time around. For example, at least two crest features were created during each Pedlar inspection. This occurred because a piezometer was located at the center of the dam crest, so the crest feature was closed upon arriving at the piezometer in the center. After the piezometer value was recorded, a second crest feature was opened to record the route traveled across the remaining half of the impoundment crest. The first crest feature contained some responses while the second feature contained the remaining responses (Figure 17).

🛐 🖬 🤊 - (° - ) Ŧ		Table Tools	Microsoft Access			_ = ×
Home Create Extern	al Data Database Tools Acrobat	Datasheet				۲
Saved Access Excel SharePoint List	Text File	PDF or XPS More *	eate Manage mail Replies Collect Data	Discard Changes * Cache List Data Relink Lists Shar SharePoint Lists	ve to ePoint	
All Tables						
Abutments 2						
Abutments : Table	Crest					- X
Breakthrough	Position ID - Longitudo -	Latituda - Data Vi	isited - Time Bogan -	PhotoLink - Lift Thicknos -	Lift Thicknes - Blacomont/( -	Piezemeter - Deca
Breakthrough : Table	28 -80 112978081	39 687273778 7/	/15/2011 9:46:45 AM	C·\Documents 0	Abides by plan	No Ves
Crest	29 -80.112973809	39.687286062 7/	/15/2011 9:46:45 AM	C:\Documents 0	Abides by plan	No Yes
Crest : Table	30 -80,112982403	39.68730998 7/	/15/2011 9:46:45 AM	C:\Documents 0	Abides by plan	No Yes
Downstream Toe 🛛 🕆	31 -80.113010938	39.687323435 7/	/15/2011 9:46:45 AM	C:\Documents 0	Abides by plan	No Yes
Downstream Toe : Table	32 -80.113037135	39.687346535 7/	/15/2011 9:46:45 AM	C:\Documents 0	Abides by plan	No Yes
General Information	33 -80.113026509	39.687372798 7/	/15/2011 9:46:45 AM	C:\Documents 0	Abides by plan	No Yes
General Information : Table	34 -80.113031454	39.687400502 7/	/15/2011 9:46:45 AM	C:\Documents 0	Abides by plan	No Yes
Impoundment Pool	35 -80.113056926	39.687411618 7/	/15/2011 9:46:45 AM	C:\Documents 0	Abides by plan	No Yes
Impoundment Pool : Table	36 -80.1130869	39.687430744 7/	/15/2011 9:46:45 AM	C:\Documents 0	Abides by plan	No Yes
Piezometer 🌣	37 -80.113095723	39.687431832 7/	/15/2011 9:46:45 AM	C:\Documents 0	Abides by plan	No Yes
Piezometer : Table	38 -80.113097731	39.687427384 7/	/15/2011 9:46:45 AM	C:\Documents 0	Abides by plan	No Yes 📄
Return Liner	39 -80.113101589	39.687429389 7/	/15/2011 9:46:45 AM	C:\Documents 0	Abides by plan	No Yes
Return Lines · Table	1 -80.113136655	39.687454317 7/	/15/2011 9:51:14 AM	0		
Pagehourse A	2 -80.11313005	39.687459154 7/	/15/2011 9:51:14 AM	0		
Roadways A	3 -80.113122343	39.68746051 7/	/15/2011 9:51:14 AM	0		
	4 -80.11308484	39.687472106 7/	/15/2011 9:51:14 AM	0		
Spillways_Ditches &	5 -80.113083049	39.687471786 7/	/15/2011 9:51:14 AM	0		
spinways_bitches : Table	6 -80.1130/9482	39.68/469469 //	/15/2011 9:51:14 AM	0		
Upstream Face	/ -80.11308023/	39.68/4/3096 //	/15/2011 9:51:14 AM	0		
Upstream Face : Table	8 -80.113089952	39.08/488503 //	/15/2011 9:51:14 AM	0		
	9 -80.113123313	39.08/302349 //	/15/2011 9:51:14 AM	0		
	10 -00.113125224	29 69752/205 7/	/15/2011 9:51:14 AM	0		
	12 -80 113119852	39.687537526 7/	/15/2011 9:51:14 AM	0		
	13 -80.11314204	39.687550782 7/	/15/2011 9:51:14 AM	0		
	14 -80.11317023	39.687568387 7/	/15/2011 9:51:14 AM	0		
	15 -80.113172411	39.687567942 7/	/15/2011 9:51:14 AM	0		
	16 -80.113164975	39.6875625 7/	/15/2011 9:51:14 AM	0		
	17 -80.113167402	39.687569386 7/	/15/2011 9:51:14 AM	0		
	18 -80.113164313	39.687570779 7/	/15/2011 9:51:14 AM	0		
	19 -80.113168279	39.687603478 7/	/15/2011 9:51:14 AM	0		
	20 -80.113177642	39.68761562 7/	/15/2011 9:51:14 AM	0		
	21 -80.113176209	39.68763308 7/	/15/2011 9:51:14 AM	0		
	22 -80.113198803	39.687650676 7/	/15/2011 9:51:14 AM	0		
	23 -80.113226384	39.687673616 7/	/15/2011 9:51:14 AM	0		
	Record: H 4 1 of 104 + H H2 🐺	No Filter Search	•			•
Datasheet View						Num Lock 🔟 🔀 🗳 🖌



When the attribute information was exported to Excel and the output report template was attached (Figure 18), it was difficult to link the report information to particular cells on the worksheet containing the crest information because the first part of the feature included only some of the answers. The information was not the same throughout multiple inspections; therefore, it was impossible to know which cells would contain the correct information to be viewed on the final output report. Without the ability to automatically populate the output report, the Excel form is not useful for obtaining a hard copy for rapid assessment and official signatures.

Microsoft Access has the capability of reporting, but due to time constraints and the complexity of the Access report wizard, research was limited. Alas, completing the task was not within the scope of this phase of the project. The task of creating a report template may be difficult at first; however, the templates may be saved in Access and updated rapidly for efficient reporting. A benefit of using

Microsoft Access for reporting is that many organizations have the Microsoft Office Suite containing Access. A simple export from Pathfinder Office to Access in the field would result in a report to be signed by the officials on site. The wireless internet connection on the mine site may be employed to send the file to the official for printing, or a portable printer may be an option as well. The simplicity of only using two programs is desirable, and many already have Access but are not very familiar with its flexibility.

	<b>.</b>	ŋ - (u - ) ∓		HibbsRun3	.xlsx - Microsoft Ex	el									= x
	Hor	e Insert Page Lavout For	rmulas Data Review View	Acrobat										<ul> <li>—</li> </ul>	e x
	V.			land											
	å ⊂	ut Calibri - 10	• A A = = * * = *	Vrap Text	Time	-	<				× 💷	Σ AutoSum	2		
Paste	L) C	opy		targa & Captor x	€ - 0/ • <b>◆</b> .0	.00	Conditional	Format	Cell	Insert D	elete Format	🛃 Fill 👻	Sort &	Find &	
*	💞 F	ormat Painter		aerge & Center	<b>3</b> 70 7 .00	>.0 F	Formatting * a	is Table *	Styles *	- T	* *	🖉 Clear 🔹	Filter *	Select *	
C	lipbo	ard 🕞 Font	G Alignment	Gi.	Number	Gi -	S	tyles		C	lells	E	diting		
	G86	j → 💽 f =Roa	dways!L2												×
	Δ	BCD	F F	G H			К	1	м	N	0	P	0	B	
				FORM			TX	-					~		
1		COAL WASTE IN	POUNDIVIENT INSPECTION	FURIVI											_
2 Ins	pecto	r's Name	Hazard Potential Clas	sification											_
3		Eric Baker	Low Hazard Pote	ential											
F Imr	pecto	r S AR NO 123430	Inspection Type Specific Meeds	Date 7/	24080										
6 One	erato	r's Name WV DNR	Present Construction Phase	complet	ed										
7		S Hume	Tresence construction Thase	compier											
8 A.		CREST													
9	1.	Lift thickness		0 0											
10		Is placement and compaction of mat	terial per approved plan?	N/A											
11	3.	Are decant pipes clear of obstruction	n?	Yes											=
12		Are decant trashracks clear and oper	n?	No											
13		What percentage of the trashrack is	clogged?	25-499	%										
14		Likelihood to cause a pool level rise?	?	Neutra	ıl 👘										
15	4.	Settlements, cracks, or scarps on em	bankment crest? (if yes, list below)	No											_
16			0												_
1/	<ol><li>Observed fires, smoke, or steam seen on embankment?</li></ol>			No		-									_
18	6. Time Began			10:00:33	AM										_
20 0	1.	Comments	U												
20 0.	1	ADDIMENTS	essectly (vegetation and styme semewall)?	Ver											
22	2	Has the foundation been well establi	ished into the bedrock?	Yes											
23	3.	Are there any areas of erosion visible	e in the abutment?	No											
24	4.	Are there cracks in the abutment or a	areas of visible different movement?	No											
25	5.	Are there any wet areas at the abutm	ent where seepage has taken place?	No											
26	6.	Are there any slides on the abutment	?	No											
27	7.	Time Began		10:04:50	AM										
28	8.	Comments	0												_
29 C.		UPSTREAM FACE													_
30	1.	Lift thickness		00											
31		is placement and compaction of mat	erial per approved plan?	N/A											
32	2.	is there water impounded against the	e upstream face?	Yes											
34	3. 4	Are there slurry fines deposited on th	he unstream face?	No											
35		Time Began	re operation (11166)	10:21:53	AM										
36	6.	Comments	0												
37 D.		IMPOUNDMENT POOL													
38	1.	Are depressions or sinkholes present	t?	No											
39	2.	Are pumps presently functioning to r	emove water?	No											
40	3.	Are any eddies or other signs of leak	age or seeps present?	No											
41	4.	Is any superfluous material floating	in the pool (trees, garbage, etc.)?	No											
42	5.	Any obstructions, slides, and extrane	eous material in diversion ditches?	N/A											
43	6.	is water entering decant pipe but not	t exiting outlet?	No											
44	/.	is water exiting decant pipe but not e	entering infet?	10:18:45	0.04										
46	<u>ð.</u> 0	Comments	0	10:18:15	AM										
	H 0	utputReport Abutments / Bre	eakthrough 📈 Crest 🔏 Downstream_Toe	General_Info	ormation / Impour	dmen		_			I				
Ready											<b></b>	100%	0		-+

#### Figure 18: Output report template tab inserted into inspection excel file.

ArcGIS also has a report wizard, which may or may not be an effective method for reporting. ArcGIS may be capable of creating a template which could populate with all the pertinent inspection data. The template could be saved and reused for each individual inspection. Once again, by employing this method of reporting, only two software programs are being used. Not all organizations have ArcGIS however, and reporting with the software requires a good working knowledge of the program. ArcGIS

may be installed on the Trimble Yuma with a license for use, but its use in the field would require training. Providing training may be undesirable to some and there are annual fees for keeping the ArcGIS program licenses active.

Another procedure performed involved a compilation within Pathfinder Office of all three inspections for each impoundment. All three individual corrected files were combined using a feature provided by the Trimble software. All three inspections routes were located on the same background aerial map (Section 4.3), which is a good illustration of the consistency of inspection practice. Using this feature in the software is effective for showing a portion of the dam continuously missed by the inspector during a routine assessment of the impoundment. The features for the multiple inspections were also combined, which may allow for an easier assessment of the continuity of provided answers. Viewing multiple inspections within the same window in a single software program can allow the inspector to identify radical changes quickly, which can help to improve the safety of impoundments by increasing the ability to identify potential failure modes.

### 4.1 Pedlar Inspection Results

Section 4.1.1 discusses each inspection performed at the Pedlar WMA, particularly the order that the features were recorded in to demonstrate the discrepancy amongst the inspections. The inspections were performed in alternating orders to show that the template may be used in a manner that best suits the inspector. The results show up identically on a map regardless of the order of which they were recorded. The following aerial images illustrate the inspector learning curve throughout the series as well. Notice how the traveled routes for the second and third inspections do not overlap or cover the same area more than once.

### 4.1.1 Pedlar Inspections over Aerial Imagery



Figure 19: Pedlar1 inspection mapped over aerial imagery.

The first Pedlar inspection (Figure 19) began with an examination of the crest (red). At the midpoint of the crest, a piezometer was in place; therefore, the crest feature was ended and a piezometer feature was created. Following the piezometer recording, a second crest feature was opened in order to record the remaining crest. The left abutment (green), upstream face (dark blue), and impoundment pool (yellow) features were recorded next, completing the upstream face of the impoundment. After completing the breakthrough, return lines, and roadway features, the right abutment was inspected, followed by the left groin ditch (magenta). The downstream toe and face (cyan) was examined as well, and the right groin ditch inspection concluded the full inspection routine.



#### Figure 20: Pedlar2 inspection mapped over aerial imagery.

Similar to the first inspection, the second inspection (Figure 20) began with a crest examination. The piezometer was recorded at the midpoint of the crest feature as it was during the first inspection. On the second inspection, however, a spillway on the north side of the upstream face was encountered. The vegetation was overgrown near the abutments; therefore, the spillway went unnoticed during the first inspection. A quick inspection of the impoundment pool was performed from the north end of the upstream face because it reduced overall time by not traveling across the upstream face twice. Next, the left abutment and upstream face of the impoundment was checked for potential hazards. The questions involving breakthrough, return lines, and roadways were responded to before performing an inspection of the right abutment, and the groin ditches and downstream toe/face completed the second inspection. The second inspection resulted in less time and less distance was traveled due to the increased familiarity of the impoundment.



39°41'11.31125"N 80°06'40.23896"W USNG: 175ND8

NUM

#### Figure 21: Pedlar3 inspection mapped over aerial imagery.

After the general information was completed for the third inspection (Figure 21), the impoundment pool was examined for any hazards. The spillway at the north end of the upstream face was examined next, followed by the left groin ditch. This ditch could not easily be followed because of the exceptionally overgrown vegetation covering the downstream face of the impoundment. The downstream toe/face was inspected after the left groin ditch, and the right groin ditch was navigated in order to reach the top of the impoundment again. The right abutment was inspected from the top of the right groin ditch, and the recest was examined after along with the piezometer at the midpoint. The inspection continued with the examination of the left abutment and the recording of the breakthrough, return lines, and roadway features. The latter three features are not so important to this impoundment as there are no return lines or mines adjacent to the impoundment, and the roadways are paved and well maintained. The inspection was completed with the assessment of the upstream face. The total time to inspect the

impoundment was roughly 30 min compared to about 72 min for the first inspection, which clearly demonstrates the quick ability to become familiar with the template as it pertains to a particular impoundment.

## 4.1.2 Differential Correction Report

The data below is the result of the differential correction wizard for Pedlar in Trimble Pathfinder Office. The distribution of corrected positions is displayed on Page 47.

Searching for base files...

File C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\Base\CORS, UNIONTOWN (PAFU), PENNSYLVANIA\pafu19511133.zip downloaded. File C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\Base\CORS, UNIONTOWN (PAFU), PENNSYLVANIA\pafu19611122.zip downloaded. File C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\Base\CORS, UNIONTOWN (PAFU), PENNSYLVANIA\pafu19611122.zip downloaded. Successfully found or downloaded 3 of 3 files. Search complete.

-----Base Data Details:-----Base Data Using reference position from base provider: Name: CORS, UNIONTOWN (PAFU), PENNSYLVANIA Position: 39°55'35.71760"N, 79°41'50.52271"W, 326.85 m Source: C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\Base\CORS, UNIONTOWN (PAFU), PENNSYLVANIA pafu19511133.zip Local time: 7/14/2011 8:59:45 AM to 7/14/2011 11:59:45 AM Position: 39°55'35.68908"N, 79°41'50.51086"W, 328.01 m, 0.00 m Antenna height Distance from base provider: 0.92m pafu19611122.zip Local time: 7/15/2011 7:59:45 AM to 7/15/2011 9:59:45 AM Position: 39°55'35.68908"N, 79°41'50.51086"W, 328.01 m, 0.00 m Antenna height Distance from base provider: 0.92m pafu19911112.zip Local time: 7/18/2011 6:59:45 AM to 7/18/2011 8:59:45 AM Position: 39°55'35.68908"N, 79°41'50.51086"W, 328.01 m, 0.00 m Antenna height Distance from base provider: 0.92m

------Coverage Details:-----Rover file: Pedlar1.ssf Local time: 7/14/2011 9:38:15 AM to 7/14/2011 10:50:23 AM 100% total coverage 100% coverage by pafu19511133.zip Rover file: Pedlar2.ssf Local time: 7/15/2011 8:32:43 AM to 7/15/2011 9:10:56 AM 100% total coverage 100% coverage by pafu19611122.zip

Rover file: Pedlar3.ssf Local time: 7/18/2011 7:37:33 AM to 7/18/2011 8:10:29 AM 100% total coverage 100% coverage by pafu19911112.zip

Differentially correcting...

Differential correction settings: Use smart automatic filtering: On Re-correct real-time positions: On Output positions: Corrected only

\_\_\_\_\_

-----

Processing rover file, Pedlar1.ssf ...

...to output file, C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\Pedlar1\Pedlar1.cor

Carrier processing... No carrier processing performed as file has no carrier data Corrected 0 positions Code processing... Selected 492 positions for post-processing

Corrected 492 positions

Processing rover file, Pedlar2.ssf ...

...to output file, C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\Pedlar2\Pedlar2.cor

Carrier processing...

No carrier processing performed as file has no carrier data

Corrected 0 positions

Code processing...

Selected 335 positions for post-processing

Corrected 335 positions

-----

Processing rover file, Pedlar3.ssf ...

...to output file, C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\Pedlar3\Pedlar3.cor

Carrier processing...

No carrier processing performed as file has no carrier data

Corrected 0 positions

Code processing...

Selected 333 positions for post-processing

Corrected 333 positions

-----

Differential Correction Summary:

3 files processed. In these files:

1160 (100.0%) of 1160 selected positions were code corrected by post-processing 0 (0.0%) of 0 selected positions were carrier corrected by post-processing

Estimated accuracies for 1160 corrected positions are as follows:

 Range
 Percentage

 0-15cm

 15-30cm

 30-50cm

 0.5-1m

 1-2m

 2-5m
 67.8%

 >5m
 32.2%

Differential correction complete.

### 4.1.3 Example Final Report

Figures 22 and 23 show the final results from one of the Pedlar inspections performed. The data displayed below is similar to what is shown on the traditional paper form, as required by MSHA, and is automatically populated to an extent onto this sheet when the data is exported into Excel. The complexity of the GPS data and attributes limits the ability of the program to automatically fill out the output report in full.

Inspector's Name			Hazard Potential Classification					
Eric Baker			High Hazard Pot					
nspector	's AR No	123456	Site ID No	NA	Mine ID No	NA		
mpound	mentName	Pedlar	Inspection Type	Specific Needs	Date	7/16/2011		
perator	's Name	WV DNR	Present Constructi	on Phase	com	pleted		
	ODECT							
•	CREST							
1.	Lift thickness	and compaction of ma	tarial par approved ala	-2	00			
2	Are decent o	ines clear of obstructio	ateriar per approved pra	nı:	- · · ·	0		
-	Are decant p	restricted of observed one	202			No		
	What percen	tage of the trashrack is	s clossed?		25	-49%		
	Likelihood to	cause a pool level rise	Ne	eutral				
4.	Settlements,	cracks, or scarps on er		No				
			0		·			
5.	Observed fire	es, smoke, or steam se	en on embankment?			No		
6.	Time Began				8:38	:09 A M		
7.	Comments							
3.	ABUTMENTS	;						
1.	Has the foun	dation been prepared	correctly (vegetation ar	nd stump removal)?		Yes		
2.	Has the foun	dation been well estab	lished into the bedrock	?		Yes		
3.	Are there an	y areas of erosion visib	le in the abutment?			No		
4.	Are there cra	acks in the abutment of	r areas of visible differe	nt movement?		No		
5.	Are there an	y wet areas at the abut		No				
6.	Are there an	y slides on the abutme	nt?			No		
7.	Time Began				8:50	:02 AM		
8.	Comments	1.05		0				
à	UPSTREAMIN	ACE						
1.	Lift thickness	and compaction of ma	tarial par approved ala	-2	00			
2	Istherewate	and compaction of ma	he unstreamfare?	n1:	-	Vos		
3	Does the bea	ach formation have sin	choles and/or depressio	ins?	No			
4	Are there slu	rry fines deposited on	the upstream face?			No		
5.	Time Began				8:50	:33 AM		
6.	Comments			0				
).	IMPOUNDM	ENT POOL						
1.	Are depressi	ons or sinkholes preser	nt?			No		
2.	Are pumps p	resently functioning to	remove water?			No		
3.	Are any eddi	es or other signs of lea	kage or seeps present?			No		
4.	Is any superf	luous material floating	in the pool (trees, garb	age, etc.)?	-	Yes		
5.	Any obstruct	ions, slides, and extran	eous material in divers	ion ditches?	ļ	No		
6.	Is water ente	ering decant pipe but n	ot exiting outlet?			No		
7.	lswater exiti	ng decant pipe but not	entering inlet?			No		
8.	Iswater exiti	ng decant outlet flowir	ng clear and without de	bris?		Yes		
9.	Time Began		-		8:45	:56 A M		
10.	Comments		trees gr	owing up in water				
	DOWNSTRE/	AIVI FACE & TOE	a standard and a standard and a standard		1	N-		
1.	Are the deca	nt pipe/underdrain ou	uers deceniorated ?		+	No		
	Istnepipe be	eooing deteriorated?	mat algor/underdering			No		
2	Any signs of s	seepage around the de f the water uniform or	cant pipes/underdrains	se are as?	· ·	Voc		
∠.	Doesthewat	ter contain sediment?	in the of unusually COR	neu aleas:	1	No		
	sues the wa	er concern seument.			1	1997		

Figure 22: Page 1 of example populated output report for Pedlar inspection.

4	Is water impounded against	he downstream toe?			Yes	
	Boils, discoloring, sloughing,	eckcutting, or obstructions?	(if yes, list below)		No	
		0				
5.	Time Began			9:05	:04 AM	
6.	Comments		0			
F	SPILLWAYS, DIVERSION DITO	HES, AND GROIN TRENCHES				
1.	Erosion, slides, cracks, depre	sions, bulging, or sloughing?	(if yes, list below)		No	
		0		•		
2.	Are there any visible restricti	ons/obstructions (trees, garba	ge, etc.)?		Yes	
	What percentage of the spill	/ay is blocked?		50	)-74%	
	Likelihood to cause a pool lev	el rise?		L	ikely	
3.	Are there any tears in the lin	ng?			N/A	
4.	Any signs of deterioration or	erosion in the lining?			N/A	
5.	Time Began	8:43	:59 A M			
6.	Comments		0			
G.	BREAKTHROUGH					
1.	Are any underground mines	eneath or adjacent to the po	ol or embankment?		No	
2.	Any signs of subsidence on o	2		No		
	Have mine discharges signific	antly changed since the last m	neasurement?		N/A	
3.	Time Began	8:55	:22 AM			
4.	Comments	0				
н.	SLURRY/RETURN LINES					
1.	Abnormalities (gouge marks,	leaks, cracked joints, etc.)?			N/A	
2.	Are slurry lines out of the tra	fic zone and clear of moving e	equipment?	N/A		
3.	Are the pipe supports sufficie	nt and preventing significant :	sagging in the line?	N/A		
4.	Time Began			8:55:57 AM		
5.	Comments		0			
l. –	ROADWAYS ADJACENT/ON I	MBANKMENT				
1.	Are roadways well-maintaine	d and safe for travel?		Yes		
2.	Are berms installed and main	tained where necessary?		Yes		
3.	Are there any means of dust	control?		N/A		
4.	Are dump locations well lit a	d maintained?		N/A		
4.	Time Began			8:56:31 AM		
5.	Comments		0			
Piezomet	terID	1		125	Inches	
Piezomet	terID	0		0	0	
<b>—</b>	erID	0		0	0	
Plezomet	terID	0		0	0	
Piezomet				Date		
Piezome Piezome Signature						

Figure 23: Page 2 of example populated output report for Pedlar inspection.

## 4.2 Hibbs Run Inspection Results

Section 4.2.1 discusses each inspection performed at the Hibbs Run facility. Like the Pedlar series, these inspections were performed in alternating orders to show that the template may be used in a manner that best suits the inspector. Again, the results show up identically on a map regardless of the order of which they were recorded. The template worked better yet with this impoundment because of the locations of certain impoundment features such as the piezometer and emergency spillway. The inspections were easily performed without overlapping routes or alternating features multiple times.

### 4.2.1 Hibbs Run Inspections over Aerial Imagery



39°32'34.32007"N 80°23'11.15494"W USNG: 175ND58

NUM

#### Figure 24: HibbsRun1 inspection mapped over aerial imagery.

The first inspection at Hibbs Run began with completion of the general information. The first feature in Figure 24 recorded for the impoundment was the crest (red), which unlike the Pedlar site, could be

entirely recorded at once. This reduced the number of features to work with back in the office. After the crest was examined, the left abutment (green) followed. From the left side of the impoundment, the upstream face (dark blue) was recorded. The waterfront was inspected after under the impoundment pool (yellow) feature. The downstream toe (cyan) and face was assessed next by traveling over the downstream face to the outlet and back. The breakthrough and return line features were recorded next, which posed no significance because there are no mines or return lines adjacent to the site. The only piezometer on site was recorded at the left side of the crest, as was the left abutment. The walk from the impoundment consisted of recording the left spillway (magenta) and the roadway (black) leading out of the site.



Figure 25: HibbsRun2 inspection mapped over aerial imagery.

The second inspection (Figure 25) consisted of recording the roadway feature on the way into the impoundment, which differed from the order of the first inspection. The roadway was traveled down to

the impoundment pool, which was recorded next. From the right side of the impoundment, the upstream face was navigated and inspected, followed by the piezometer and then the downstream toe/face, which was traveled differently than before. Breakthrough and return line features were recorded after the downstream toe area. Then, the right abutment was inspected and the crest was navigated in the opposite direction from before. The left abutment and spillway were examined to complete the inspection, which only totaled about 28 minutes.



#### Figure 26: HibbsRun3 inspection mapped over aerial imagery.

The third inspection (Figure 26) of Hibbs Run began with the roadway inspection once again, which followed completion of the general information feature. The piezometer was recorded next, and the crest followed. The right abutment was assessed for hazards preceding the navigation over the downstream face of the structure. The downstream face was traveled differently again, making sure that all area was covered between the three inspections. After arriving at the crest of the impoundment

again, the section of roadway from the crest to the impoundment pool was examined. From this point, the left abutment was recorded and the breakthrough/return lines questions were completed. From this same location, the impoundment pool was inspected, and on the way back from the right side, the upstream face was checked. The spillway feature concluded the third inspection performed at the Hibbs Run area.

## 4.2.2 Differential Correction Report

The data below is the result of the differential correction wizard for Hibbs Run in Trimble Pathfinder Office. The distribution of corrected positions is displayed on Page 55.

Searching for base files...

File C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\Base\CORS, UNIONTOWN (PAFU), PENNSYLVANIA\pafu20111161.zip (1 of 3) found locally. File C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\Base\CORS, UNIONTOWN (PAFU), PENNSYLVANIA\pafu20711162.zip (2 of 3) found locally. File C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\Base\CORS, UNIONTOWN (PAFU), PENNSYLVANIA\pafu20711162.zip (2 of 3) found locally. File C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\Base\CORS, UNIONTOWN (PAFU), PENNSYLVANIA\pafu20811132.zip (3 of 3) found locally. Successfully found or downloaded 3 of 3 files. Search complete.

-----Base Data Details:-----Base Data Using reference position from base provider: Name: CORS, UNIONTOWN (PAFU), PENNSYLVANIA Position: 39°55'35.71760"N, 79°41'50.52271"W, 326.85 m Source: C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\Base\CORS, UNIONTOWN (PAFU), PENNSYLVANIA pafu20111161.zip Local time: 7/20/2011 11:59:45 AM to 7/20/2011 12:59:45 PM Position: 39°55'35.68908"N, 79°41'50.51086"W, 328.01 m, 0.00 m Antenna height Distance from base provider: 0.92m pafu20711162.zip Local time: 7/26/2011 11:59:45 AM to 7/26/2011 1:59:45 PM Position: 39°55'35.68908"N, 79°41'50.51086"W, 328.01 m, 0.00 m Antenna height Distance from base provider: 0.92m pafu20811132.zip Local time: 7/27/2011 8:59:45 AM to 7/27/2011 10:59:45 AM Position: 39°55'35.68908"N, 79°41'50.51086"W, 328.01 m, 0.00 m Antenna height Distance from base provider: 0.92m

------Coverage Details:-----Coverage Details:-----Rover file: HibbsRun1.ssf

Local time: 7/20/2011 12:27:24 PM to 7/20/2011 12:58:15 PM 100% total coverage 100% coverage by pafu20111161.zip

Rover file: HibbsRun2.ssf Local time: 7/26/2011 12:49:27 PM to 7/26/2011 1:17:44 PM 100% total coverage 100% coverage by pafu20711162.zip

Rover file: HibbsRun3.ssf Local time: 7/27/2011 9:48:53 AM to 7/27/2011 10:25:00 AM 100% total coverage 100% coverage by pafu20811132.zip

Differentially correcting... Differential correction settings: Use smart automatic filtering: On Re-correct real-time positions: On Output positions: Corrected only

Processing rover file, HibbsRun1.ssf ...

...to output file, C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\HibbsRun1.cor

Carrier processing...

No carrier processing performed as file has no carrier data Corrected 0 positions Code processing... Selected 320 positions for post-processing

Corrected 320 positions

-----

Processing rover file, HibbsRun2.ssf ...

...to output file, C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\HibbsRun2.cor

Carrier processing...

No carrier processing performed as file has no carrier data

Corrected 0 positions

Code processing...

Selected 286 positions for post-processing

Corrected 286 positions

Processing rover file, HibbsRun3.ssf ...

\_\_\_\_\_

...to output file, C:\Documents and Settings\Eric Baker\My Documents\GNSS Projects\Impoundment Inspection\HibbsRun3.cor

Carrier processing...

No carrier processing performed as file has no carrier data Corrected 0 positions Code processing... Selected 385 positions for post-processing Corrected 384 positions Failed to correct 1 positions Filtered out 1 uncorrected positions (only "Corrected" positions selected for output)

-----

Differential Correction Summary: 3 files processed. In these files:

990 (99.9%) of 991 selected positions were code corrected by post-processing 0 (0.0%) of 0 selected positions were carrier corrected by post-processing

Estimated accuracies for 990 corrected positions are as follows:

 Range
 Percentage

 0-15cm

 15-30cm

 30-50cm

 0.5-1m

 1-2m

 2-5m
 80.0%

 >5m
 20.0%

Differential correction complete.

### 4.2.3 Example Final Report

Figures 27 and 28 show the final results from one of the Hibbs Run inspections performed. The data displayed below is similar to what is shown on the traditional paper form, as required by MSHA, and is automatically populated to an extent onto this sheet when the data is exported into Excel. The complexity of the GPS data and attributes limits the ability of the program to automatically fill out the output report in full.

I

Inspector's Name			Hazard Potential Classification					
Eric B	la ker		Low Hazard Potential					
Inspector's AR No	123456	Site ID No	Site ID No 12345 Mine ID No 246					
Impoundment Name	Hibbs Run	Inspection Type	Specific Needs	Date	7/28/2011			
Operator's Name	WV DNR	Present Constructio	n Phase	cc	ompleted			

A.	CREST					
1	Lift thickness	0 0				
	Is placement and compaction of material per approved plan?	N/A				
3	Are decant pipes clear of obstruction?	Yes				
	Are decant trashracks clear and open?	No				
	What percentage of the trashrack is clogged?	25-49%				
	Likelihood to cause a pool level rise?	Neutral				
4	Settlements, cracks, or scarps on embankment crest? (if yes, list below)	No				
	0					
5	Observed fires, smoke, or steam seen on embankment?	No 10:00:33 AM				
6	Time Began					
7.	Comments 0					
В.	ABUTMENTS					
1	Has the foundation been prepared correctly (vegetation and stump removal)?	Yes				
2	Has the foundation been well established into the bedrock?	Yes				
3	Are there any areas of erosion visible in the abutment?	No				
4	Are there cracks in the abutment or areas of visible different movement?	No No No				
5	Are there any wet areas at the abutment where seepage has taken place?					
6	Are there any slides on the abutment?					
7.	Time Began	10:04:50 AM				
8	Comments 0	0				
с.	UPSTREAM FACE					
1	Lift thickness	00				
	Is placement and compaction of material per approved plan?	N/A				
2	Is there water impounded against the upstream face?	Yes				
3	Does the beach formation have sinkholes and/or depressions?	No				
4	Are there slurry fines deposited on the upstream face?	No				
5	Time Began	10:21:53 AM				
6	Comments 0	•				
D.	IMPO UNDMENT POOL					
1	Are depressions or sinkholes present?	No				
2	Are pumps presently functioning to remove water?	No				
3	Are any eddies or other signs of leakage or seeps present?	No				
4	Is any superfluous material floating in the pool (trees, garbage, etc.)?	No				
5	Any obstructions, slides, and extraneous material in diversion ditches?	N/A				
6	Is water entering decant pipe but not exiting outlet?	No				
7	Is water exiting decant pipe but not entering inlet?	No				
8	Time Began	10:18:15 AM				
9	Comments 0	•				
E	DOWNSTREAM FACE & TOE					
1	Are the decant pipe/underdrain outlets deteriorated?	No				
-	Is the pipe bedding deteriorated?	No				
	Any signs of seepage around the decant pipes/underdrains?	No				
2	Is the color of the water uniform and free of unusually colored areas?	Yes				
-	Does the water contain sediment?	No				
3	Is water impounded against the downstream toe?	No				
	The same of the second s					

Figure 27: Page 1 of example populated output report for Hibbs Run inspection.

	4. Boils, discoloring, sloug	hing, backcuttin	g, or obstructions? (if yes, list below)		No		
			0	1 10 05			
	5. Time Began			10:05:49 AM			
6	6. Comments 0						
	SPILLWAYS, DIVERSIO						
1	L Erosion, slides, cracks,	depressions, but	ging, or sloughing? (if yes, list below)		No		
			0				
2	<ol><li>Are there any visible re</li></ol>	strictions/obstru	uctions (trees, garbage, etc.)?		No		
	What percentage of th	0					
	Likelihood to cause a p		0 N/A				
	<ol><li>Are there any tears in t</li></ol>	rethere any tears in the lining?					
4	<ol> <li>Any signs of deteriorat</li> </ol>	Any signs of deterioration or erosion in the lining?		N	I/A		
	5. Time Began	10:25:01 AM					
	5. Comments		0				
G.	BREAKTHROUGH						
1	<ol> <li>Are any underground r</li> </ol>	nines beneath or	radjacent to the pool or embankment?		No		
2	2. Any signs of subsidence	e on or adjacent	to the embankment?		No		
	Are there any mine dis	charges adjacent	to the embankment?	N	I/A		
	Have mine discharges	significantly chan	ged since the last measurement?	N	N/A		
	3. Time Began			10:17	:36 AM		
4	4. Comments		0				
н.	SLURRY/RETURN LINES	5					
1	L Abnormalities (gouge r	narks, leaks, crac	:ked joints, etc.)?	N/A			
2	2. Are slurry lines out of t	N/A					
	8. Are the pipe supports :	N/A					
4	4. Time Began	10:17:54 AM					
5	5. Comments						
l.	ROADWAYS ADJACEN	I/O N EMBANKN	IENT				
1	I. Are roadways well-mai	Yes					
2	2. Are berms installed an	Yes					
	3. Are there any means o	No					
	4. Are dump locations we	I lit and maintai	ned?	N/A			
4	4. Time Began	Ean			9:53:57 AM		
	5. Comments		0				
	1						
Piezome	eter ID	1		152.6	Inches		
Piezome	eter ID	0		0	0		
Piezom	eter ID	0			0		
Piezom	eter ID	0			0		
	re	, v	1	Date	·		
Signatu			Date				
Signatu Countei	rsignature	wantersgrowte					

Figure 28: Page 2 of example populated output report for Hibbs Run inspection.

# 4.3 Combined Inspection Mapping

Combining inspection files may be beneficial to the industry because of its ability to show the multiple routes traveled over time. The maps are proficient at demonstrating either the consistency or the randomness of the routes walked over the retention structure. Figure 29 shows the series of three inspections performed at Pedlar WMA while the second is of the series performed at Hibbs Run impoundment. Notice how the downstream face of the Pedlar impoundment was only traveled one of the three times, while the downstream face of the Hibbs Run impoundment was covered in a different manner each time. These types of features are essential to proper inspection, and using a combined map of inspection routes will identify missed or skipped areas on any impoundment inspection.



Figure 29: Combined map of Pedlar inspections over aerial imagery.



39°32'42.81221"N 80°23'11.67746"W USNG: 175ND5

NUM

Figure 30: Combined map of Hibbs Run inspections over aerial imagery.

## 4.4 Technology Evaluation

Before the Mannington inspection series, a meeting was scheduled with members of Consol Energy in Monongah, WV. One employee was a project engineer and the other a professional surveyor. The purpose of the meeting was to give to the employees a presentation and receive feedback on the functionality of the instrument and inspection template in the coal industry. A short presentation was given on the Trimble Yuma and the inspection template created in Pathfinder Office, and a discussion pursued. The employees also filled out a questionnaire following the discussion to assess their overall feelings on the electronic inspection process. The forms were collected and are illustrated in Figures 31 and 32. The employees had many questions regarding the capability of the Terrasync program, particularly about calculating values within the program automatically for output in the final report. The employees were especially interested in the ability of the Trimble software to automatically calculate the difference between the piezometer values of the current and previous inspections. Each Consol representative stated that as the photos are innovative and helpful to locating and identifying problems, they may require too much space on the computer hard drive as well. The surveyor present also suggested that for constant logging of travel routes, a logging interval of more than five seconds would suffice. Fifteen seconds was recommended as an appropriate interval for logging walking paths.

They had concerns about the turnaround time for getting a hard copy of the final report because MSHA requires that the inspection be reviewed and signed by a mine official within a reasonable amount of time of inspecting the impoundment. Ultimately, their question asked if a final report could be saved as a Microsoft Word or PDF file following the inspection so that a mine official may receive a reader-friendly copy of the information soon after the inspection.

All of the questions posed by Consol were discussed at the meeting and addressed with potential solutions. As far as the Trimble software is equipped, it does not calculate values within the Terrasync program. Terrasync is equipped primarily for capturing GPS coordinates and does not have the capability to locate values from previous inspections and perform simple math in order to provide discrepancies in piezometer values. The surveyor at the meeting recommended that in the case the project is continued, using other software in order to achieve these results may be a more efficient method instead of modifying Trimble software to do so. The photo sizes were discussed in addition to the logging interval, and the Consol employees were notified that the photos do not need to be required unless problem areas are encountered. The logging interval can be changed to suit the project or employer as well.

The primary concern involved the output report deals with the signature requirement of the report as required by federal regulation. A few potential solutions were examined in order to achieve an output report on paper nearly immediately after the inspection. Ignoring the potential problems with the template used in Excel, the inspection can be exported to Access and then to Excel in only a few minutes. The output report template automatically populates the inspection information, and a copy of the file may be sent to the mine manager via the wireless internet on site or a portable flash drive. Another solution is to cut out the use of Access and Excel and turn to ArcGIS instead. Pathfinder Office export may be used to send all the selected inspection information to an ArcGIS shape file, which then

60

may be formatted into an output report. Limited investigation of ArcGIS reporting was performed, but custom templates may be made to include particular information as desired; however, the output report file may only be saved in particular file formats. Utilities may exist for saving the ArcGIS reports in Microsoft Word or PDF formats, but none were examined. This approach would require ownership of the ESRI program, but could reduce the time to achieve a hardcopy of the inspection report immensely. The procedure would be shortened drastically as well once the custom template has been created for the output report because ArcGIS has a more organized method for storing collected attributes.

Overall, the Consol staff found a use for the Trimble equipment and associated template for inspecting coal impoundments. Each employee claimed that the automated template would be at least somewhat more useful than the paper form used now. They also thought that the electronic template may be helpful in improving impoundment safety. The employees thought that it may be difficult to incorporate the inspection tool into their inspection operations, considering training and the technical level of understanding involved. The employees both implied that the inspection tool could be beneficial to impoundment inspection, but some improvements could be made to enhance the functionality, especially within their own organization. Each employee made valuable claims that helped to identify potential difficulties involved with the electronic inspection procedure, many of which may be mended or approached differently in order to make the tool more functional in the coal industry.

# IMPOUNDMENT INSPECTION TOOL REVIEWER EVALUATION FORM

Please complete this form based upon your experience in managing, engineering, or operating impoundments or dants. This information will be used to evaluate the impoundment inspection computer tool. 20 Date: Name: Title: Organization: Years of Experience with dams/impoundments: Role: Engineer Regulatory Field V 1. On the basis of your experience and role, how useful do you consider the tool for improving the quality and detail of an inspection over a paper inspection form? Very useful Somewhat useful Not very useful 2. How applicable do you consider this tool for improving impoundment safety? Very useful Somewhat useful Not very useful 3. To what level do you consider the GIS tracking features, time/date stamping, photographic, and audio recording features of the tool specifically for reducing liability for your organization? Very useful v Somewhat useful Not very useful 4. How applicable would this tool function in your company's operations, considering technical operation and software training requirements? Very difficult L Difficult Not difficult

- 5. How applicable would you consider this tool is for reducing the risk to your impoundment/dam?
  - Very useful
  - Somewhat useful Not very useful
- Please list features of the tool you found important or features you consider are detrimental to its use or implementation:

Thotos GP	2. Geodetic Coords	s, line & Vate into.
)	,	y and the
Farms to b	alar anon	

Figure 31: Evaluation form regarding technology and inspection process.

## IMPOUNDMENT INSPECTION TOOL REVIEWER EVALUATION FORM

Please complete this form based upon your experience in managing, engineering, or operating impoundments or dams. This information will be used to evaluate the impoundment inspection computer tool.

Date: 7/20/11
Name: P. Stuart Canter
Title: Senior Project Engineer
Organization: Consol Energy
Years of Experience with dams/impoundments:35
Role: Engineer 🔀 Regulatory 🔲 Field 🗌
<ol> <li>On the basis of your experience and role, how useful do you consider the tool for improving the quality and detail of an inspection over a paper inspection form?</li> <li>Very useful</li> <li>Somewhat useful</li> <li>Not very useful</li> </ol>
<ol> <li>How applicable do you consider this tool for improving impoundment safety?</li> <li>Very useful</li> <li>Somewhat useful</li> <li>Not very useful</li> </ol>
<ol> <li>To what level do you consider the GIS tracking features, time/date stamping, photographic, and audio recording features of the tool specifically for reducing liability for your organization?</li> <li>Very useful</li> <li>Somewhat useful</li> <li>Not very useful</li> </ol>
4. How applicable would this tool function in your company's operations, considering technical operation and software training requirements?           Very difficult              Difficult (but not impossible)
<ol> <li>How applicable would you consider this tool is for reducing the risk to your impoundment/dam?</li> <li>Very useful</li> <li>Somewhat useful</li> <li>Not very useful</li> </ol>
<ol><li>Please list features of the tool you found important or features you consider are detrimental to its use or implementation:</li></ol>
Photos, lacation of problem spots, & detail descriptions are all beneficia
It would be beneficial to treat instruement data of piczometers, weise, a survey monuments of 5/ag imatic changes a alarm levels.

#### Figure 32: Evaluation form regarding technology and inspection process.

# **5** Conclusions

The purpose of this investigation primarily focuses on coal impoundment safety and earlier hazard potential awareness. Development of a technology to achieve a higher degree of safety was proposed as a solution, and coincidentally, a mobile field computer and supplemental software were chosen in order to assess their ability to achieve that higher degree. The objectives of this investigation were clearly identified as the following:

- Electronic based check-listing software with a standard or custom template that must be completed during routine field inspections
- GPS for georeferencing field observations and geotagging photographs
- Tracking of field observations over time as well as time stamped data to guarantee time of field inspection
- Input areas for data storage and graphing so field data may be compared over time to evaluate impoundment performance
- Ability to upload data to office computer for storage and printing
- Field computer is already in use by government or industry and has proven itself in the field
- Ability to email alerts or text message MSHA District Engineer or Tech Support for assessment of information more rapidly
- Ability to upload and store MSHA Inspection Manual and Emergency Action Plan (EAP) for ease of access
- Ability of software program to mark fields and alarm the inspector of any missing information (equipment should be equipped with speaker for ability to alert inspector by sound)
- Ability to load and store completed inspection reports
- Ability to record inspection path with GPS and store map of routine route traveled

All requirements of the technology were met by creating an inspection template to be used with the selected mobile field computer which has all the necessary features. The use of this mobile field computer is intended to store more information for a field inspection including geotagged photos and expedite the inspection process by providing a resource for email and automated data storage. The success of the inspection template exposed the following benefits of using an automated inspection process:

- Time & date stamping for assurance that inspector is performing inspections promptly
- Stored GPS coordinates for all inspections as a liability for inspection quality
- Tracking of field observations over time to assess progressive potential hazards
- Ability to create historical database in Microsoft Access for later use
- Ability to use electronic data to perform risk management statistics and create frequency of occurrence graphs
- Ability to export data to ArcGIS for interactive mapping
- Accessibility of EAP and MSHA manual in the field for assistance in performing inspection

The creation of a technology to perform such a complicated task as generating databases as well as meeting MSHA requirements simultaneously was not perfected at the conclusion of this investigation and will call for improvements to ready the process for industry. The learning process throughout this exploration also grew upon the functionality of the technology in the industry partially due to the rapid file sharing and output report generation. After the technology was evaluated by representatives of the coal industry, the promptness of the entire procedure was reexamined. The following problems and improvements were encountered during the time of the assessment.

- Deletion of a decant pipe outlet photo and exiting water attribute under the impoundment pool feature because of inconvenient placement
- Inclusion of aerial imagery files into the Pathfinder Office software for overlaying the inspection routes because routes were essentially useless without aerial images (documents presence at the impoundment site by the inspector)
- The overall process appeared complicated to the individuals and thus did not emerge as useful without the rapid ability to generate an output report to obtain signatures from mine officials on site. The time elapsed from the beginning of the inspection until the generation of a hardcopy output report is essential to the coal industry, and the implication of this technology into the inspection process rests upon the ability to do so more rapidly.

Employing Microsoft Access could provide a solution to the expedited time requirement as it may have the ability to create a satisfactory report template to be signed by mine officials. Access is readily accessible by most of the industry because of its inclusion into the Microsoft Office suite, and it would reduce the number of steps in the inspection process by cutting the export into Excel and addition of the report template; however, more research is critical to assessing the capability of the software to perform these tasks.

The automated inspection form will improve the coal impoundment inspection practice and the overall safety rating of coal impoundments because of its improved ability to foresee potential hazards. Using the automated process will decrease the number of problems resulting from negligence and provide risk assessment information earlier. The automated process will provide the opportunity to create a low maintenance historical database with ease of access. Output reports containing risk management suggestions will be readily accessible within a short time of inspection, although the time must be reduced to make the technology industry-ready, and electronic information allows for rapid sharing and filing.
### 6 Future Research

#### 6.1 Risk Assessment Using Palisade Software

A software program made by Palisade was examined to test its validity for performing a routine coal impoundment inspection with the help of a mobile field computer. The Palisade software contains many entities intended for different principles, but for the purpose of inspecting a coal impoundment the two desirable programs are @RISK and PrecisionTree. These two programs can be used together in Microsoft Excel, making calculations much easier and saving storage space on the field computer. Upon purchasing, the Palisade software is sent via download and placed into Excel as an add-on tab. Palisade software has the ability to create event trees and financial models as well as perform Monte Carlo simulations, all of which will prove to be beneficial to an automated impoundment inspection.

Using a Monte Carlo simulation allows the qualified personnel to see all the possible outcomes and assess the level of risk for each given outcome. The Monte Carlo simulation works by creating a probability distribution and calculating results repeatedly by using a different set of values from the distribution every time (Palisade Corp. 2010). The Monte Carlo simulation has been used by the Bureau of Reclamation for finding flow values in aquifers (Leake 2010) in addition to the Census Bureau for estimating total lifetime risk from radon loaded drinking water based on different maximum contaminant levels (Raucher 2004).

Considering the required features for the software program, the Palisade software meets every need and is compatible with the Trimble Yuma, the recommended mobile field computer to be used for the impoundment inspection. The software must be capable of having a check-listing template to be completed during routine inspections. Since @RISK and PrecisionTree both work through Microsoft Excel, there should be no problem creating such a model. Excel is also very gifted at having the space to log field observations indefinitely so that the data may be graphed over time. Furthermore, the files will not take up much disk space and be easy to store on the field computer.

The time stamping of information during a routine inspection is critical as well; thus, the Excel software has the ability to input a time stamp on a particular cell. Moreover, the Excel file itself will have a time stamp revealing when it was last modified; in essence, Microsoft Excel saves the last date and time that anything in the document was altered. Additionally, the completed inspection files may be sent to the district office with ease because they will be saved as a simple .xlsx Excel file.

67

After checking over the Palisade software for a feature that marks fields of interest or fields that were not filled out, the search for such a set-up was inconclusive. The PrecisionTree portion of the software will design an event tree and evaluate the probability of any occurrence. If key values are not entered into the system, then the event tree will more than likely not be able to output any results, alerting the inspector that he or she has missed important data input. Excel does, however, have the ability to highlight cells and flag certain cells.

The Palisade software has seen much use in the field lately that is strongly related to the risk assessment of an impoundment. When a Chilean mine collapsed and trapped 33 miners over 200 feet underground, it was Palisade software that helped Metaproject, an engineering consultant, rescue the miners as quickly as possible. There were many options explored involving a rescue operation that used many different drill rigs and tunnels. After using the PrecisionTree modeling software, Metaproject used three different drill rigs to all work simultaneously, and as the PrecisionTree model predicted, Drill B was the first to reach the miners (Palisade Corp. 2010).

In another risk related incident, the @RISK software is helping to prepare for a volcanic explosion on the Caribbean island of Montserrat. The Soufriere Hills volcano erupted once in 1997, killing twenty individuals on the island. Since then, the @RISK software combined with the PrecisionTree analysis has been used to simulate risk under a variety of situations. The software is also used to calculate the probabilities of assorted eruptions based on past volcanic activity (Palisade Corp. 2010).

Overall, the Palisade software should accomplish the necessary tasks for performing risk assessment of an automated coal impoundment inspection. Mostly because of its simplicity to use and store files in Microsoft Excel, the Palisade software is the recommended selection for assessing risk to particular attributes of a coal impoundment. The software has demonstrated its worth in the field with its use by government agencies and is being suggested as the most viable resource for risk assessment and creating an event tree. The use of this software will help to ensure safer coal impoundments, which in turn will create safer working conditions for mine personnel and increase worker productivity.

This software could serve as an entirely new branch to impoundment inspection because of its expedited use in Microsoft Excel. Foremost, this software integrated with the Trimble Yuma could make available the ability to perform risk assessment procedures in the field. The process would involve performing a field inspection and opening the data file in Pathfinder Office for export to Microsoft Access. Once the file has been exported to Access, it may be exported from Access to Excel. Once the

68

file is in Excel, the output report as well as Palisade evaluation may be performed. It may be possible to create a template in Palisade so that the information provided from the inspection file will automatically populate an event tree. This feature would offer the ability to perform a detailed risk assessment in a short amount of time on site, seriously expediting the risk management process involved with impoundment inspection. The assessment may not be completed in fill on site; however, the findings may provide enough information to locate a potential hazard before it has progressed enough to result in failure.

### 6.2 ArcGIS Mapping of Inspection

ArcGIS may be integrated into the automated coal impoundment inspection process to utilize interactive mapping and FTP uploads. ArcGIS may be used to overlay multiple inspection routes on a topography map or aerial image and upload the information to a private or public website. The information presented may be passcode protected or available to the general public depending on the situation. A running interactive map and corresponding database may be created with an online version GIS for easier collaboration between companies for viewing inspection records, including risk assessment conclusions. Users will not necessarily need to have the background knowledge of ArcGIS but can utilize the user-friendly online version. Increasing communications and providing documentable accounts of current and historical conditions are essential to ensuring the successful longevity of these impoundments.

ArcGIS may also serve as a better means of creating the final output report to be signed on site by a mine official. The use of ArcGIS in place of Access and Excel may serve as an easier process for producing a hard copy of a detailed inspection report. The final inspection file can easily be opened on the Yuma in Pathfinder Office and exported to ArcGIS. From ArcGIS, the user may create a customized output report based on the necessary attributes, primarily those pertaining to information required by MSHA. The template may be generated in seconds and emailed to the mine official using the wireless internet connection on the impoundment site. If a wireless connection is not present, an express card from a cell phone may be employed. The mine official can print out the form, sign it, and return it to the inspector for his/her records within a very short time frame. The process would most likely take up to an additional fifteen minutes after the inspection; however, a signed hard copy would supplement the stored electronic information.

69

## 7 References

Bilefsky, D. (2010). Hungarian Arrested in Sludge Spill is Freed. <u>New York Times</u>. New York City: A15.

Binder, D. (2002). "Emergency Action Plans: A Legal and Practical Blueprint "Failing to Plan Is Planning to Fail"."

Dise, K. (2009). Subjective Probability and Expert Elicitation. <u>2010 NDSP Dam Safety Technical Seminar</u> <u>No. 17 Version 3</u>: 11.

Intermec Technologies Corp. (2009). "CN3e Mobile Computer." Retrieved November 18, 2010, from <u>http://www.intermec.com/public-files/product-profiles/en/CN3e\_spec\_web.pdf</u>.

Intermec Technologies Corp. (2010). "CS40 Mobile Computer." Retrieved November 18, 2010, from <u>http://www.intermec.com/public-files/product-profiles/en/CS40-spec-web.pdf</u>.

Leake, S. A., J.P. Hoffman, and Jesse E. Dickinson (2010). Numerical Ground-Water Change Model of the C Aquifer and Effects of Ground-Water Withdrawals on Stream Depletion in Selected Reaches of Clear Creek, Chevelon Creek, and the Little Colorado River, Northeastern Arizona: 39.

Motion Computing Inc. (2010). "Motion F5v Product Specifications." Retrieved November 17, 2010, from <a href="http://www.motioncomputing.com/resources/F5/F5">http://www.motioncomputing.com/resources/F5/F5</a> Product Specs <a href="http://www.motioncomputing.com/resources/F5/F5">http://www.motioncomputing.com/resources/F5/F5</a> Product Specs <a href="http://www.motioncomputing.com/resources/F5/F5">http://www.motioncomputing.com/resources/F5/F5</a> Product Specifications." Retrieved November 17, 2010, from <a href="http://www.motioncomputing.com/resources/F5/F5">http://www.motioncomputing.com/resources/F5/F5</a> Product Specs <a href="http://www.motioncomputing.com/resources/F5/F5">http://www.motioncomputing.com/resources/F5/F5</a> Product Patient <a href="http://www.motioncomputing.com/resources/F5/F5">http://wwwm

National Research Council (2002). <u>Coal Waste Impoundments - Risks, Responses, and Alternatives</u>. Washington D.C.

Palisade Corp. (2010). "Metaproject Determines Safest Way to Rescue Chilean Miners Using Palisade's PrecisionTree Analysis Tool." Retrieved December 15, 2010, from <a href="http://www.palisade.com/cases/metaproject.asp">http://www.palisade.com/cases/metaproject.asp</a>.

Palisade Corp. (2010). "Monte Carlo Simulation." Retrieved December 16, 2010, from <a href="http://www.palisade.com/risk/monte\_carlo\_simulation.asp">http://www.palisade.com/risk/monte\_carlo\_simulation.asp</a>.

Palisade Corp. (2010). "@RISK, PrecisionTree Critical in Preparing for Volcano Eruption." Retrieved December 15, 2010, from <u>http://www.palisade.com/cases/volcano.asp</u>.

Panasonic Corp. (2010). "The Toughbook U1 Ultra." Retrieved November 18, 2010, from <u>ftp://ftp.panasonic.com/pub/panasonic/toughbook/specsheets/TB-U1-Ultra\_ss.pdf</u>.

Raucher, B., and Megan Harrod. (2004). "Estimating Lifetime Excess Cancer Risks for Potential MCLs for Radon in Drinking Water: A Monte Carlo Simulation and Results to Reflect Residential Mobility." Retrieved December 16, 2010, from

http://www.nrwa.org/whitepapers/conserve/conserve03/appendixb/appendixb.doc.

Trimble Navigation Limited 2005. (2005-2009). "Trimble Ranger handheld." Retrieved November 22, 2010, from <u>http://trl.trimble.com/docushare/dsweb/Get/Document-241754/022501-</u> 061D Trimble%20Ranger DS 0109 lr.pdf.

Trimble Navigation Limited 2009. (2009-2010). "Trimble Yuma Rugged Tablet Computer." Retrieved November 18, 2010, from <u>http://trl.trimble.com/docushare/dsweb/Get/Document-452035/NZ022490-068C\_MCSTrimble\_Yuma\_DS\_0210\_LR.pdf</u>.

Trimble Navigation Limited. (2010). "GPS and GIS Technologies Speed Assessment of Historic Sites in Post-Katrina New Orleans." Retrieved February 11, 2011, from <u>http://trl.trimble.com/docushare/dsweb/Get/Document-495003/022501-</u> 230 Assessment%20of%20Historic%20Sites%20 CS MGIS 0510 LR.pdf.

Trimble Navigation Limited. (2011). "Powerful and easy to use GNSS data processing software." Retrieved February 11, 2011, from <u>http://www.trimble.com/pathfinderoffice.shtml</u>.

Trimble Navigation Limited. (2011). "Software for Highly Productive Field GIS Data Collection and Maintenance." Retrieved February 14, 2011, from <u>http://www.trimble.com/terrasync.shtml</u>.

U.S. Department of Labor (2007). MSHA Coal Mine Impoundment Inspection and Plan Review Handbook.

U.S. Department of the Interior (2010). RECLAMATION - Managing Water in the West. <u>FEMA National</u> <u>Dam Safety Seminar</u>: 222.

United States Department of Labor (1977). Federal Mine Safety & Health Act of 1977.

West Virginia Division of Culture and History. (2010). "Buffalo Creek." Retrieved January 14, 2011, from <a href="http://www.wvculture.org/history/buffcreek/intro.html">http://www.wvculture.org/history/buffcreek/intro.html</a>.

West Virginia Water Research Institute (2010). Geoenvironmental Assessment of Dolly Sods Trail Network for CERCLA Review: 93.

# 8 Appendices

# 8.1 Inspection Template Created Using Microsoft Excel

COAL WASTE IMPOUNDMENT INSPECTION FORM							
Inspecto	or's Name	Hazard Potential Classification					
		Low	Significa	int	High		
Inspecto	or's AR No	Site ID No		Mine ID N	10		
Name of	Impoundment	Name of Mine Site		1			
Operato	r's Name	Present Construction Pha	ase				
Α.	CREST			YES	NO	N/A	
1.	Record lift thickness and collect comp	paction information			•		
	Is placement and compaction of mate	rial per approved plan?					
2.	Record readings from piezometers and	d rain gauges			_		
3.	Are decant pipes clear of obstruction	2					
	Are decant trashracks clear and open	?					
4.	Settlements, cracks, or scarps on emb	ankment crest? (if yes, li	st below)				
				•			
5.	Observed fires, smoke, or steam seen	on embankment?					
в.	ABUTMENTS			YES	NO	N/A	
1.	Record readings from piezometers and	d rain gauges		•			
2.	Has the foundation been prepared cor	rectly (vegetation and st	ump removal)?				
3.	Has the foundation been well establis	hed into the bedrock?					
4.	Are there any areas of erosion visible	in the abutment?					
5.	Are there cracks in the abutment or ar	eas of visible different m	novement?				
6.	Are there any wet areas at the abutme	nt where seepage has tal	ken place?				
7.	Are there any slides on the abutment?						
с.	UPSTREAM FACE			YES	NO	N/A	
1.	Check instrumentation and record any	y readings available					
	Record any piezometer and pore press	sure gauge readings avai	lable				
2.	Record pushout-lift thickness and con	npaction information		r			
	Is placement and compaction of mate	rial per approved plan?				ļ	
3.	Is there water impounded against the	upstream face?					
4.	Is the beach formation uniform and fr	ee of sinkholes and/or d	epressions?				
5.	Are there slurry fines deposited on the	e upstream face?					
D.	IMPOUNDMENT POOL			YES	NO	N/A	
1.	Record staff gauge readings for pool e	elevation		<b>I</b>		<u> </u>	
2.	Are depressions or sinkholes present	2					
3.	Are pumps presently functioning to re	move water?				ļ	
4.	Are any eddies or other signs of leaka	ge or seeps present?				ļ	
5.	Is any superfluous material floating in	n the pool (trees, garbage	e, etc.)?			ļ	
6.	Are diversion ditches clear of obstruc	tions, slides, and extran	eous material?			ļ	
7.	is water entering decant pipe but not e	exiting outlet?		ļ		<b> </b>	
8.	is water exiting decant pipe but not en	itering inlet?	2			<b> </b>	
9.	is water exiting decant outlet flowing	clear and without debris	f .	1		1	

E.		DOWNSTREAM FACE	YES	NO	N/A
	1.	Record lift thickness and collect compaction information			
		Is placement and compaction of material per approved plan?			
	2.	Erosion, slides, cracks, depressions, bulging, or sloughing? (if yes, list below)			
	3.	Are there any seeps present? (if yes, describe location and flow below)			
F.		SPILLWAYS, DIVERSION DITCHES, AND GROIN TRENCHES	YES	NO	N/A
	1.	Erosion, slides, cracks, depressions, bulging, or sloughing? (if yes, list below)			
	2.	Are there any visible restrictions/obstructions (trees, garbage, etc.)?			
	3.	Are there any tears in the lining?			
	4.	Any signs of deterioration or erosion in the lining?			
G.		DOWNSTREAM TOE	YES	NO	N/A
	1.	Check the outlets of decant pipes and underdrains			
		Are the decant pipe/underdrain outlets deteriorated?			
		Is the pipe bedding deteriorated?			
		Any signs of seepage around the decant pipes/underdrains?			
	2.	Examine the water discharge			
		Is the color of the water uniform and free of unusually colored areas?			
		Does the water contain sediment?			
	3.	Is water impounded against the downstream toe?			
	4.	Boils, discoloring, sloughing, backcutting, or obstructions? (if yes, list below)			
				•	•
	5.	Are the settling ponds functioning per design requirements?			
н.		BREAKTHROUGH	YES	NO	N/A
	1.	Are any underground mines beneath or adjacent to the pool or embankment?			
	2.	Any signs of subsidence on or adjacent to the embankment?			
	3.	Measure any water flow from mine openings on adjacent or underlying mines		•	•
		Has the flow significantly changed since the most previous measurement?			
I.		SLURRY/RETURN LINES	YES	NO	N/A
	1.	Examine pipes for abnormalities (gouge marks, leaks, cracked joints, etc.)			
	2.	Are slurry lines out of the traffic zone and clear of moving equipment?			
	3.	Are the pipe supports sufficient and preventing significant sagging in the line?			
J.		ROADWAYS ADJACENT/ON EMBANKMENT	YES	NO	N/A
	1.	Are roadways well-maintained and safe for travel?			
	2.	Are berms installed and maintained where necessary?			
	3.	Are there any means of dust control?			
	4.	Are dump locations well lit and maintained?			
<i>c</i> :					
Signati	ure	2	Date		
Count	er	signature	Date		

COAL	VASTE IM	POUNDMENT I	NSPECTION	FORM	
Inspector's Name		На	zard Potential Clas	sification	
		Low	Significant		High
Inspector's AR No		Site ID No		Mine ID No	
Name of Impoundment		Name of Mine Site	- -		
Operator's Name		Present Construction I	Phase		
Α.		CREST	·		3
В.		ABUTMENT	<u>'S</u>		
С.		UPSTREAM FA	A <u>CE</u>		
D.		<b>IMPOUNDMENT</b>	POOL		
E.		DOWNSTREAM	FACE	1 1	1
F	<u>SPILLWAYS,</u>	DIVERSION DITCHES,	AND GROIN TREE	NCHES	
-					
G.		DOWNSTREAM	<u>1 TOE</u>	1 1	
н.		BREAKTHROU	<u>JGH</u>		
l		SLURRY/RETURN	LINES		
J.	ROAL	WAYS ADJACENT/ON	N EMBANKMENT		
<b>v</b>					
к.	-	INSTRUMENTATIO	<u>N FORM</u>		
Ci-matume				Data	
Signature				Date	
Countersignature	untersignature Date				

# 8.2 Hyperlinked Form Created with Microsoft Excel

Α.	CREST	YES	NO	N/A			
1.	Record lift thickness and collect compaction information						
	Is placement and compaction of material per approved plan?						
2.	Record readings from piezometers and rain gauges						
3.	Are decant pipes clear of obstruction?						
	Are decant trashracks clear and open?						
4.	Settlements, cracks, or scarps on embankment crest? (if yes, list below)						
5.	Observed fires, smoke, or steam seen on embankment?						
	BACK TO N						

В.	ABUTMENTS	YES	NO	N/A
1.	Record readings from piezometers and rain gauges			
2.	Has the foundation been prepared correctly (vegetation and stump removal)?			
3.	Has the foundation been well established into the bedrock?			
4.	Are there any areas of erosion visible in the abutment?			
5.	Are there cracks in the abutment or areas of visible different movement?			
6.	Are there any wet areas at the abutment where seepage has taken place?			
7.	Are there any slides on the abutment?			
				NU

C.	UPSTREAM FACE	YES	NO	N/A		
1.	Check instrumentation and record any readings available					
2.	. Record pushout-lift thickness and compaction information					
	Is placement and compaction of material per approved plan?					
3.	Is there water impounded against the upstream face?					
4.	Is the beach formation uniform and free of sinkholes and/or depressions?					
5.	5. Are there slurry fines deposited on the upstream face?					
	BACK TO MENU					

D.	IMPOUNDMENT POOL	YES	NO	N/A
1.	Record staff gauge readings for pool elevation			
2.	Are depressions or sinkholes present?			
3.	Are pumps presently functioning to remove water?			
4.	Are any eddies or other signs of leakage or seeps present?			
5.	Is any superfluous material floating in the pool (trees, garbage, etc.)?			
6.	Are diversion ditches clear of obstructions, slides, and extraneous material?			
7.	Is water entering decant pipe but not exiting outlet?			
8.	Is water exiting decant pipe but not entering inlet?			
9.	Is water exiting decant outlet flowing clear and without debris?			
			СК ТО МЕ	<u>NU</u>

Ε.	DOWNSTREAM FACE	YES	NO	N/A		
1.	Record lift thickness and collect compaction information	-	-			
	Is placement and compaction of material per approved plan?					
2.	Erosion, slides, cracks, depressions, bulging, or sloughing? (if yes, list below)					
3.	Are there any seeps present? (if yes, describe location and flow below)					
	BACK TO MENI					

F.	SPILLWAYS, DIVERSION DITCHES, AND GROIN TRENCHES	YES	NO	N/A		
1.	Erosion, slides, cracks, depressions, bulging, or sloughing? (if yes, list below)					
2.	2. Are there any visible restrictions/obstructions (trees, garbage, etc.)?					
3.	Are there any tears in the lining?					
4.	Any signs of deterioration or erosion in the lining?					
		BA	СК ТО МЕ	NU		

G.	DOWNSTREAM TOE	YES	NO	N/A			
1.	Check the outlets of decant pipes and underdrains						
	Are the decant pipe/underdrain outlets deteriorated?						
	Is the pipe bedding deteriorated?						
	Any signs of seepage around the decant pipes/underdrains?						
2.	Examine the water discharge						
	Is the color of the water uniform and free of unusually colored areas?						
	Does the water contain sediment?						
3.	Is water impounded against the downstream toe?						
4.	Boils, discoloring, sloughing, backcutting, or obstructions? (if yes, list below)						
5.	Are the settling ponds functioning per design requirements?						
		BA		NU			

н.	BREAKTHROUGH	YES	NO	N/A			
1.	Are any underground mines beneath or adjacent to the pool or embankment?						
2.	Any signs of subsidence on or adjacent to the embankment?						
3.	3. Measure any water flow from mine openings on adjacent or underlying mines						
	Has the flow significantly changed since the most previous measurement?						
		BACK TO MENU					

Ι.	SLURRY/RETURN LINES	YES	NO	N/A		
1.	1. Examine pipes for abnormalities (gouge marks, leaks, cracked joints, etc.)					
2.	Are slurry lines out of the traffic zone and clear of moving equipment?					
3.	Are the pipe supports sufficient and preventing significant sagging in the line?					
		BACK TO MENU				

J.	ROADWAYS ADJACENT/ON EMBANKMENT	YES	NO	N/A									
1.	Are roadways well-maintained and safe for travel?												
2.	Are berms installed and maintained where necessary?												
3.	Are there any means of dust control?												
4.	Are dump locations well lit and maintained?												
	BACK TO MENU												

	1	FIELD MON	IITORIN	G INSTRU	JMENTA	TION F	ORM	
					Type of l	spection		heck Box with "Y"
Croct		TRESOLIS			7 Dov loco	oction	C	
Unstroam	Faco				7-Day Ilisp	sportion		
Downstro	rale				Data of In	spection		
DOWIISTIC	ani Face				Signaturo	spection		
					Signature			
D. WEINF			ĺ		CountarS	ignaturo		
Mair No	Г	iow (gpiii)			Counter 5	ignature		
wen no.								
								BACK TO IVIENU
Pipe No.								
					F. SEEPS	(0)		
		1			Locatio	n (Coordir	lates)	Estimated Flow (gpm)
		•						
C. FACE AI	GLES (DEG	i)						
Upstream	Face							
Downstrea	am Face						1	
D. D.O.D.C. D.								
D. PORE P	RESSURE G	AUGE						
Gaug	je No.	Units						
					G. ELEVAT	ION DATA		
						Location		Reading
	1				Free Board	d		
					Pool			
E. RAIN GA	AUGES	1			Current Fi			
Gaug	e No.	Reading (inches)			Decant Inl	et		
					Lowest Po	int on Cre	st	
					Crest Wid	th		
					Push-Out			
H. PIEZON	IETERS		Yes	No				
Have piez	ometers be	en monitored?						
ID No.	Тір	Top of Pipe	Depth to	Water Level	Max. Al	lowable	Piezon	netric Surface Elevation
	Elevation	Elevation (a)	Water (b)	(c = a-b)	Phreat	ic Level	fr	rom Previous Visit
	Units	Units	Units	Units	Un	nits		Units
							ļ	

			Table Tools	Abutments -	Microsoft Ac	ress					_ = X
Home Create E	xternal Data Datab	ase Tools Acrol	pat Datasheet								0 _ = x
View Views Clipboard G	Calibri B Z U A V	• 11 •		Refresh All *	Save Celete ~ Records	∑ Totals ABC Spelling More ▼	Filter Toge	ction * anced * gle Filter Size to Fit Form	Switch Windows *	Find Hind Find Bind Conto → Find Conto → Find Conto → Find	-
All Tables 🔍 «	Position ID -	Longitude 👻	Latitude 🔸	Date Visited -	Piezometer	<ul> <li>Foundation</li> </ul>	<ul> <li>Foundation</li> </ul>	Erosion 👻	PhotoLink	Cracks/Move	PhotoLink2
Abutments	1	-80.389274361	39.545536838	7/28/2011	/es	Yes	Yes	No		No	
Abutments : Table	2	-80.389274988	39.545536327	7/28/2011	/es	Yes	Yes	No		No	
Breakthrough	3	-80.389276072	39.545536838	7/28/2011	/es	Yes	Yes	No		No	
Breakthrough : Table	4	-80.389292373	39.545520813	7/28/2011	(es	Yes	Yes	No		No	
Crest	5	-80.389292101	39.545521519	7/28/2011	res /es	Ves	Ves	NO		No	
Crest : Table	1	-80.38775018	39.545144346	7/28/2011	/es	Yes	Yes	No		No	
Downstream Toe	2	-80.387749887	39.545145626	7/28/2011	/es	Yes	Yes	No		No	
Downstream Toe : Table	3	-80.387749604	39.545146845	7/28/2011	/es	Yes	Yes	No		No	
General Information	4	-80.387750732	39.545145714	7/28/2011	/es	Yes	Yes	No		No	
General Information : Table	5	-80.387750158	39.545147123	7/28/2011	/es	Yes	Yes	No		No	
Impoundment Pool : Table	*										
Piezometer ^											
Piezometer : Table											
Return Lines											
Return Lines : Table											
Roadways 🌣											
Roadways : Table											
Spillways_Ditches											
Spillways_Ditches : Table											
Upstream Face 🛛 🕆											
Upstream Face : Table											
	Record: M 4 1 of 11		lo Filter Search	1							•
Datasheet View	Incordant 10111		is rater []search							NumLock	西西伯区

### 8.3 Example Access Database of Hibbs Run – Inspection 3

	n) - (n - ) ±				Table Tool	s Abutr	nents -	Microsoft Ac	cess							- • ×
	Home Create E	cternal D	Data Database Tools	Acrobat	Datashee	et										0 - 🗖 X
View Views	Paste Clipboard	Calibri B Z	- <u>u</u> ] <u>A</u> - <u></u> Font	·≡·		ab2 → th Text	Refresh All *	Save → Delete → Records	∑ Totals		Filter Togg	tion * nced * Ile Filter	Size to Fit Form	Switch Windows *	♣ac Replace       ➡ Go To ▼       Find       ↓ Select ▼       Find	
All Tables		P	hotoLink2 - Seepa	ge 👻 Pho	otoLink3 👻	Slides	-	PhotoLink4 -	PhotoLin	k5 👻	PhotoLink6 👻	Comm	ients 👻	Time Began	<ul> <li>Max PDOP</li> </ul>	Max HDOP
Abutmen	ts 🌣		No	0		No								10:04:50	AM 3.8071782589	1.59713649
🛄 Abut	tments : Table		No			No								10:04:50	AM 3.8071782589	1.59713649
Breakthro	ough 🏾 🕆		No			No								10:04:50	AM 3.8071782589	1.59713649
🛄 Breal	kthrough : Table		No			No								10:04:50	AM 3.8071782589	1.59713649
Crest	*		No			No								10:04:50	AM 3.8071782589	1.59713649
Crest	t : Table		NO			NO								10:04:507	AM 3.8071782585	1.59/13649
Downstre	am Toe 🛛 🕆		NO			No								10:17:13	ANI 3.19220741791	1.309402108
Dow Dow	nstream Toe : Table		No			No								10:17:13	AM 3 19226741791	1 309402108
General I	nformation 🕆		No			No								10:17:13	AM 3.19226741791	1.309402108
🛄 Gene	eral Information : Table		No			No								10:17:13	AM 3.19226741791	1.309402108
Impound	ment Pool 🌼	*														
🛄 Impo	oundment Pool : Table															
Piezomet	er 🌣															
🛄 Piezo	ometer : Table															
Return Li	nes 🌣															
🛄 Retu	rn Lines : Table	_														
Roadways	s ×															
🛄 Road	dways : Table															
Spillways_	Ditches ×															
Spilly Spilly	ways_Ditches : Table															
Upstream	n Face 🌼															
🛄 Upst	ream Face : Table															
		Recor	d: I → 1 of 11 → H	🛤 🛛 🕅 No F	ilter Search		4									•
Datasheet \	/iew														Num Lock	0 8 C ¥

				Tab	le Tools	Abutments	- Microsoft A	cess							- 0	х
Home Create	Exter	nal Data Datab	ase Tools Acrob	at Da	atasheet										0	x
🖌 🦳 🖁 Cut	Ci	alibri	- 11 - Ē	<b>E E</b>			New	Σ Totals	₽↓	Select	tion • 🛛 🖳		æ.	ab Replace		
View Paste	T		A THE			ab2 Refre	Save 📾	Spelling	×↓	Filter	nced • Size to	Switch	Find	🔿 Go To 👻		
Format Painter			🛎 (🎞 ) 🔤 )	5	Dich 1	All	Delete •	More *	₽ Z⊘	Toggi	le Filter Fit Forn	Windows *		Select ▼		
views Cipboard			May UDOD	CDS Dat	Rich		Cont Name	Data Disti		CNISS Length	CNISS 2DI en	Line ID	_	Find		_
All Tables 🔍 «	- 14	2 9071792590	1 5071264075	GPS Dat	e ≠ ( /2011	0:01:01 AM	Abutmonto	<ul> <li>Data Dictio</li> <li>Coal Impo</li> </ul>	on +	SINSS Lengtr +	GNSS 3DLen +	Line_ID	-			
Abutments A		3.8071782589	1.5971304975	7/27	/2011	9:01:01 AM	Abutments	Coal Impo	unar	8.45059980148	8.45345019971	L	5			
Abutments : Table		2 9071792599	1.5971264975	7/27	/2011	9-01-01 AM	Abutmonts	Coal Impo	undr	9 45050090148	8.45345019971		5			
Breakthrough		3 8071782589	1 5971364975	7/27	/2011	9:01:01 AM	Abutments	Coal Impo	undr	8 45059980148	8 45345019971		5			
Breakthrough : Table		3.8071782589	1.5971364975	7/27	/2011	9:01:01 AM	Abutments	Coal Impo	undr	8.45059980148	8.45345019971		5			
Crest A		3.8071782589	1.5971364975	7/27	/2011	9:01:01 AM	Abutments	Coal Impo	undr	8.45059980148	8.45345019971		5			_
Crest : Table		3.19226741791	1.30940210819	7/27	/2011	9:13:25 AM	Abutments	Coal Impo	undr	1.98345511612	1.99653732255	5	8			
Downstream Toe 🌼		3.19226741791	1.30940210819	7/27	/2011	9:13:25 AM	Abutments	Coal Impo	undr	1.98345511612	1.99653732255	5	8			
Downstream Toe : Table		3.19226741791	1.30940210819	7/27	/2011	9:13:25 AM	Abutments	Coal Impo	undr	1.98345511612	1.99653732255	5	8			
General Information 🛛 🕆		3.19226741791	1.30940210819	7/27	/2011	9:13:25 AM	Abutments	Coal Impo	undr	1.98345511612	1.99653732255	5	8			
General Information : Table		3.19226741791	1.30940210819	7/27	/2011	9:13:25 AM	Abutments	Coal Impo	undr	1.98345511612	1.99653732255	5	8			
Impoundment Pool	*	ĸ														
Impoundment Pool : Table																
Piezometer 🔅																
Piezometer : Table																
Return Lines 🔗																
Return Lines : Table																
Roadways 🌣																
Roadways : Table																
Spillways Ditches																
Spillways Ditches : Table	1															
Unstream Face : Table																
Opstream race. Table																
	B	Record: 14 - 1 of 11	• • • • • • •	lo Filter	Search	4										•
Datasheet View	0													Num Lock	<b>• •</b>	8 2

Home Create E	Breakthroug xternal Data	gh - Microsoft Access Database Tools Acrol	Table To pat Datas	neet							_ = ×
View Views Clipboard	Calibri B I U	- 11 - ► ▲ - ③ - Ⅲ- Ⅲ - Font		Rich Text	h New Records	Σ Totals APC Spelling More ▼ A	Filter	on * ced * Filter Size to Fit Form W	Switch Windows *	the Replace ⇒ Go To + ind ↓ Select + Find	
All Tables	Positio	n ID 👻 Longitude 👻	Latitude	<ul> <li>Date Visited -</li> </ul>	Undergroun -	Subsidence -	PhotoLink - I	Mine Discha 👻	Discharge Va	PhotoLink2 -	PhotoLink3
Abutments A		1 -80.387751395	39.5451466	72 7/28/2011	No	No		N/A	N/A		
Abutments : Table		2 -80.387753196	39.5451452	66 7/28/2011	No	No	1	N/A	N/A		
Breakthrough		3 -80.387755244	39.5451467	07 7/28/2011	No	No	I	N/A	N/A		
Breakthrough : Table	*										
Crest *											
Crest : Table											
Downstream Toe											
Downstream Toe : Table											
General Information											
General Information : Table											
Impoundment Pool											
Rissemater 0											
Piezometer : Table											
Return Lines											
Return Lines : Table											
Roadways 🔅											
Roadways : Table											
Spillways_Ditches											
Spillways_Ditches : Table											
Upstream Face 🕆											
Upstream Face : Table											
	Record: H	<1 of 3 ► H H2 🔣 1	lo Filter Sea	rch 1							•
Datasheet View										Num Lock	0 8 8 ¥

			Table To	ools Crest - Mi	crosoft Access						-	• x
Home Create	External Data	Database Tools Acrob	oat Datasi	heet	📑 New	Σ Totals	<u></u> ¢↓	V Selection -		an ab Replace	<b>0</b> -	
Сору	Calibri				Save	Spelling	X↓ 1	Advanced *		Go To 👻		
👻 Paste 🗸 Format Painter	BIU	<b>\</b> •   <b>2</b> •   <b>⊞</b> •   <b>□</b> •	100	All *	🗙 Delete 🔹	More -	A Z	🍸 Toggle Filter	Fit Form Windows *	Find 🔓 Select 🔻		
Views Clipboard 🕞		Font	Ga .	Rich Text	Records		Sort	& Filter	Window	Find		
All Tables 🔍 «	Position_	ID 👻 Longitude 👻	Latitude	<ul> <li>Date Visited -</li> </ul>	PhotoLink 🕞	Lift Thickne	es 👻 Lift Thi	cknes 👻 Placem	ent/( - Piezomete	👻 Decant Pipe	Decar	nt Tra 🔺
Abutments		1 -80.38804234	39.5447276	27 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
Abutments : Table		2 -80.388046359	39.5447379	38 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
Breakthrough 🕆		3 -80.38804666	39.5447390	18 7/28/2011 C	:\Documents		0	N/A	Yes	Yes	No	
Breakthrough : Table		4 -80.38804527	39.5447389	68 7/28/2011 C	:\Documents		0	N/A	Yes	Yes	No	
Crest 🌣		5 -80.388044939	39.544740	87 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	_
Crest : Table		6 -80.388053959	39.5447532	79 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
Downstream Toe A		7 -80.38805774	39.5447548	64 //28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
Downstream Toe : Table		8 -80.388053568	39.5447526	91 //28/2011 0	:\Documents		0	N/A	Yes	Yes	NO	
General Information		9 -80.388054625	39.5447543	64 //28/2011 C	:\Documents		0	N/A	Yes	Yes	NO	_
General Information : Table		10 -80.388059893	39.3447300	43 7/28/2011 0	Decuments		0	IN/A	Yes	Yes	NO	
Impoundment Pool		11 -80.388074063	39.5447095	01 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	NO	
Impoundment Pool : Table		12 -80.388099039	39.5447759	20 7/28/2011 0	Documents		0	IN/A	Yes	Yes	No	
Bissemeter A	-	14 90 29916744	20 5447373	52 7/28/2011 0	Documents		0	N/A	Vec	Yes	No	
Piezometer / Table	-	14 -00.50010744	20 5440440	52 7/28/2011 C	. Documents		0	N/A	Voc	Voc	No	
Piezonieter : Table	-	15 -90.38822202	29 5//9710	12 7/28/2011 0	Nocuments		0	N/A	Vec	Ves	No	
Return Lines A	-	17 -80 388330262	39 5//915/	63 7/28/2011 C	Nocuments		0	N/A	Vec	Ves	No	
Return Lines : Table		18 -80 388353387	39 544959	46 7/28/2011 0	Documents		0	N/A	Ves	Ves	No	
Roadways A	-	19 -80.38838725	39.5449989	91 7/28/2011 0	·\Documents		0	N/A	Yes	Yes	No	
Roadways : Table		20 -80.388450469	39,545010	94 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
Spillways_Ditches		21 -80.388495642	39.5450332	53 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
Spillways_Ditches : Table		22 -80.388525117	39,5450862	22 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
Upstream Face 🏾 🕆		23 -80.388571798	39.545112	86 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
Upstream Face : Table		24 -80.388634299	39.5451252	45 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		25 -80.388701653	39.5451501	57 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		26 -80.388728815	39.5451964	32 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		27 -80.388763217	39.5452222	85 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		28 -80.388836694	39.5452335	95 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		29 -80.388877456	39.5452744	98 7/28/2011 C	:\Documents		0	N/A	Yes	Yes	No	
		30 -80.388902315	39.5453189	38 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		31 -80.388959269	39.5453448	55 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		32 -80.389022274	39.5453593	28 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		33 -80.389055744	39.5454038	39 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		34 -80.389089525	39.545446	78 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		35 -80.389144252	39.5454695	77 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		36 -80.389209643	39.5454799	99 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		37 -80.389240779	39.5455208	57 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		38 -80.389268914	39.5455334	01 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	
		39 -80.389269168	39.5455351	77 7/28/2011 0	:\Documents		0	N/A	Yes	Yes	No	-
	Record: H - 4	1 of 39 🕨 H 👪 📉 N	lo Filter Sea	irch 🛛 📢 🔛	Ш							•
Datasheet View										Num Loci	: 🔟 đ	8 (L) ¥

💛 🖗 Home Create External Data Database Tools Acrobat Datasheet	🔞 _ 🗖 X
View     Save	als Replace ⇒ Go To ~ ⇒ Select ~ Find
The second secon	hotol ink2 - Water Dis
All tables of Pointon b congroup Latroup out once visited of once visited of the	Yes
Abuttents Table 2 - 80.38928856 39.545510678 7/28/2011 No No No	Yes
Braskfweine Not 3 -80.389303563 39.545486378 7/28/2011 No No No	Yes
Breakingshi         4         -80.389318857         39.545454057         7/28/2011 No         No	Yes
Creat 6 5 -80.389315082 39.545420011 7/28/2011 No No No	Yes
6 -80.389301475 39.545382319 7/28/2011 No No No	Yes
7 -80.389322184 39.545368007 7/28/2011 No No No	Yes
Boundteam for:         Table         8 -80.389320877         39.545368471         7/28/2011 No         No         No	Yes
9 -80.389302002 39.545357479 7/28/2011 No No No	Yes
Center Information - A 10 - 80.389299408 39:54357982 7/28/2011 No No No	Yes 🖵
In a contract not not the contract of the cont	Yes
Inpoint Ref 1 00 NO NO NO	Yes
Instantanta	Yes
Trezoniteu Ible     14 -00.502/000 55.0462/2161 //202011 NO NO NO     NO     NO     NO     NO     NO     NO     NO     NO	Voc
HELOINTEET, ISDE     10	Ves
Return Lines * 10 00.05/22/27 55.05/2101 7/28/2011 No No No	Yes
Recurring 1 - Concernence - 1, 2000 - 1,	Yes
Koadways * 19 -80.389172727 39.545193477 7/28/2011 No No No	Yes
20 -80.389126902 39.545167051 7/28/2011 No No No	Yes
Spillways_Ditches  21 -80.389080652 39.545141656 7/28/2011 No No No	Yes
Experimentary Contract State 22 -80.38903992 39.545123935 7/28/2011 No No No	Yes
Upstream Face 23 -80.388993629 39.545104687 7/28/2011 No No No	Yes
Upstream Face : Table 24 -80.38895633 39.545075646 7/28/2011 No No No	Yes
25 -80.388920451 39.545052615 7/28/2011 No No No	Yes
26 -80.388880953 39.545024734 7/28/2011 No No No	Yes
27 -80.388847068 39.545005549 7/28/2011 No No No	Yes
28 -80.388812271 39.544979517 7/28/2011 No No No	Yes
29 -80.388757969 39.544934642 7/28/2011 No No No	Yes
30 -80.388722189 39.54491482 7/28/2011 No No No	Yes
31 - 80.38895155 39.544894736 7/28/2011 No No No	Yes
32 - 80.38865304 39.5448/0222 //28/2011 No No No	Yes
33 - 50/3680/30/3 33:4447/28/2011 NO NO NO NO	Yes
34 50/350/751/ 35.344/31462 1/20/2011 NO NO NO	Voc
35 0000000102 35.34473353 77.267211 NO NO NO	Voc
30 00.307/05/3 37.047/2522 7/26/2011 No No No	Ves
38 -80.3871605 39.5472617 7/28/2011 No No No	Yes
39 -80.38718813 39.544729016 7/38/2011 No No No	Yes
Record H < 10113 > N H2 K No Filter Search	
Datasheet View	Num Lock

Home Create	Senera Extern	al Information - N al Data Databa	vicrosoft Acces ase Tools Ac	ss Tab robat Da	le Tools atasheet									_ = ×
View Views Clipboard 9	Cali B	ibri IU(A)	• 11 • [ 2 • [ ]] • [ ]] ■ ont	•	िंद्व देवे भा • विं⊒ स्⊒िक्रे • Rich Text	Refresi All *	h Xew	∑ Totals		Filter Select Toggl Sort & Filter	tion • nced • le Filter Fit F	to Switch orm Windows * Window	♣ ac Replace       ➡ Go To ▼       Find       ▷ Select ▼       Find	
All Tables		Longitude 👻	Latitude 🔹	Date Visit	ted - Referer	nce G 👻	Inspection T 🔻	Inspector	Na 👻	Inspector AF -	Impoundme	- Impoundm	e - Site ID Numl -	Mine ID Nun
Abutments		-80.390312078	39.54351671	7 7/28	/2011 Inspect	ion Folc	Specific Need	s Eric Baker		123456	Hibbs Run	WV DNR	12345	24680
Abutments : Table	*													
Breakthrough														
Breakthrough : Table														
Crest *														
Crest : Table														
Downstream Toe														
Downstream Toe : Table	_													
General Information A														
Impoundment Real	4													
Impoundment Pool : Table														
Piezometer														
Piezometer : Table														
Return Lines														
Return Lines : Table														
Roadways 🌣														
Roadways : Table														
Spillways_Ditches														
Spillways_Ditches : Table														
Upstream Face														
Opstream race : Table														
	Pa	cord: M ( 1 of 1	<b>N N N</b>	No Filter	Search	4								
				K NOTINCI	Scarch				_				Num Lock	<b>•</b> ••

	npoundment P	Pool - Microsoft Access	Table Tools						_ = x
Home Create E	calibri	v 11 v	at Datasheet ≣≣	New Street	∑ Totals A ABG Spalling Z	Selection •		A Co To Y	<u> </u>
View Paste	BIU	<u>A</u> - 🖄 - 🌐 -	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	aby Refresh	More X Ao	Filter	Size to Switch	Find Select *	
Views Clipboard 5		Font	G Rich	n Text Records		Sort & Filter	Windows	Find	
All Tables	Position	ID - Longitude -	Latitude - [	Date Visited - Staff Gauge	Depression/	PhotoLink - Pumr	os - PhotoLink2	✓ Eddies ✓	PhotoLink3
Abutments A		1 -80.387763699	39.545144616	7/28/2011 N/A	No	No		No	
Abutments : Table		2 -80.387774072	39.54512692	7/28/2011 N/A	No	No		No	
Breakthrough		3 -80.387813063	39.545099661	7/28/2011 N/A	No	No		No	
Breakthrough : Table		4 -80.387846877	39.545070731	7/28/2011 N/A	No	No		No	
Crest 🌣		5 -80.387880223	39.545050074	7/28/2011 N/A	No	No		No	
Crest : Table		6 -80.387917715	39.545028237	7/28/2011 N/A	No	No		No	
Downstream Toe 🌼		7 -80.387970128	39.545014056	7/28/2011 N/A	NO	NO		No	
Downstream Toe : Table		8 -80.388020940 9 -80.388075875	39.545031030	7/28/2011 N/A	No	NO		No	
General Information 🛛 🕆		10 -80 388123758	39.545086464	7/28/2011 N/A	No	No		No	
General Information : Table		11 -80.388131224	39.545092998	7/28/2011 N/A	No	No		No	
Impoundment Pool 🛛 🕆		12 -80.388153451	39.545104416	7/28/2011 N/A	No	No		No	
Impoundment Pool : Table		13 -80.38820043	39.545126301	7/28/2011 N/A	No	No		No	
Piezometer 🕆		14 -80.388238963	39.545149825	7/28/2011 N/A	No	No		No	
Piezometer : Table		15 -80.38827826	39.545176573	7/28/2011 N/A	No	No		No	
Return Lines 🛛 🕆		16 -80.388315891	39.545201722	7/28/2011 N/A	No	No		No	
🛄 Return Lines : Table		17 -80.388353187	39.545227843	7/28/2011 N/A	No	No		No	
Roadways 🕆		18 -80.388387309	39.545254621	7/28/2011 N/A	No	No		No	
Roadways : Table		19 -80.388434993	39.545284817	7/28/2011 N/A	No	No		No	
Spillways_Ditches	_	20 -80.388476915	39.545310538	7/28/2011 N/A	No	No		No	
Spillways_Ditches : Table		21 -80.38852025	39.545340458	7/28/2011 N/A	No	No		No	
Upstream Face 🕆	-	22 -80.388553073	39.345303713	7/28/2011 N/A	NO	NO		No	
🛄 Upstream Face : Table		23 -80.388637246	39 545418048	7/28/2011 N/A	No	No		No	
		25 -80.388679059	39.54544605	7/28/2011 N/A	No	No		No	
		26 -80.38872142	39.545470024	7/28/2011 N/A	No	No		No	
		27 -80.388766121	39.545496279	7/28/2011 N/A	No	No		No	
		28 -80.388809677	39.54552446	7/28/2011 N/A	No	No		No	
		29 -80.388851346	39.54555533	7/28/2011 N/A	No	No		No	
		30 -80.388897125	39.545595125	7/28/2011 N/A	No	No		No	
		31 -80.388929651	39.545629432	7/28/2011 N/A	No	No		No	
		32 -80.388943974	39.545645123	7/28/2011 N/A	No	No		No	
		33 -80.388944708	39.545651158	7/28/2011 N/A	No	No		No	
		34 -80.388938677	39.545656382	7/28/2011 N/A	No	No		No	
	*	35 -80.388954596	39.545648257	7/28/2011 N/A	NO	No		No	
	*								
	Record: H	1 of 35 🕨 🕅 👫	No Filter Search	4					•
Datasheet View								Num Lock	0 8 6 ¥

Home Create	Exter	mal Data Data:	base Tools Acro	Tat	ble Tools Datasheet	Piezomete	r - Microsoft A	cess						_ = ×
View Paste Ciphoard	Ci	alibri 3 <i>I</i> <u>U</u> <u>A</u> ·	• 11 • ■			Refre	sh X Delete	∑ Totals <sup>ABC</sup> Spelling More +	A Z↓ A↓ Z⊘	Filter Sold & Filter	d • iilter	Switch Windows *	Find ac Replace ⇒ Go To × Select × Find	
All Tables		4 Longitude -	Latitudo -	Date Visi	ited - Die	zometer	Diezometer	- Unite		PhotoLink - C	omments -	Time Compl	- Max PDOR -	Max HDOP
All tables	-16	-80.388148493	39.544684254	7/28	3/2011 1	zometer .	152	.6 Inches		C:\Documents	onninents .	9:59:41 A	M 1.9132044315?	0.996252655
Abutments : Table	*	ĸ												
Breakthrough	F													
Breakthrough : Table														
Crest *														
Crest : Table														
Downstream Toe														
Downstream loe : lable														
General Information : Table	н													
Impoundment Pool														
Impoundment Pool : Table														
Piezometer														
Piezometer : Table														
Return Lines														
Return Lines : Table														
Roadways A														
Spillways Ditches														
Spillways_Ditches : Table														
Upstream Face 🌼														
Upstream Face : Table														
		acardi M. 4 1 -64	N N M	No Filtor	Search									
Datasheet View					search								Num Lock	

	• 17 × (21 × ) ∓		ani Data - 1		T!- A	1	Table Tool	s Return	Lines	- Microsoft Ac	cess								_ = X
	Create E	Ca	ilibri	Jatab	+ 11 + (≣			₹ ₽ ₽¶~ - ₽₽₽		📑 New	∑ Totals	A Z↓ Z↓	Y	🌾 Select	tion × nced ×			Go To ▼	
view -	Format Painter	в			≌ * (⊞*) (≕ *		3= 3		All	Delete -	More -	A ZØ	r incer	Togg	le Filter	Fit Form	Windows *	Select *	
Views	Clipboard		Desthies 1	-	ont	1 - 414	w Ki	ch Text	a d	Records	Dhatatta		Sort	& Filter	D1	W	Indow	Find	
All Table	s 💌 «	4	Position_I	U 🗸 1	Longitude →	20 54	Jae •	Date Visit	ea • /2011	Abnormaliti -	PhotoLin	К 🖛	Clear o	t irat 👻	Pipe Si	ippor 👻	PhotoLink2	<ul> <li>PhotoLink3</li> </ul>	Comments
Abutme	nts 🌣			2	-80.387753274	39.54	5145055	7/28	/2011	N/A			N/A		N/A				
Brookth	rough			3	-80.387755163	39.54	5145502	7/28	/2011	N/A			N/A		N/A				
Bre	akthrough : Table			4	-80.387757271	39.54	5145085	7/28	/2011	N/A			N/A		N/A				
Crest	*	*	ŧ																
🛄 Cre	st : Table																		
Downstr	ream Toe 🌼																		
Dov.	wnstream Toe : Table																		
General	Information																		
Ger Ger	neral Information : Table																		
Impound	dment Pool																		
Dianama	ioundment Pool : Table																		
Piez	zometer : Table																		
Return L	ines 🌣																		
🛄 Ret	urn Lines : Table																		
Roadway	ys ☆																		
🛄 Roa	adways : Table																		
Spillway	s_Ditches																		
🛄 Spil	llways_Ditches : Table																		
Upstream	m Face 🏾 🕆																		
Up:	stream Face : Table																		
		R	ecord: 🛚 🖂 1	of 4	н н 🕅 🕅	No Filter	Search		4		11								+
Datasheet	: View																	Num Loc	< 🔟 🖷 🗳 🔽

			Table Tools	Roadways - Microsoft A	ccess					_ = x
Home Create E	xternal Data D	atabase Tools Acrol	oat Datasheet		S Tatala				an al numero	<b>0</b> - • ×
	Calibri	- 11 - 🔳		Market Rev	ABC Spelling	Z Select				
View Paste Format Painter	B I U	• 🗠 • 🏢 • 💻 •		All - X Delete	More -	A Filter Togg	e Filter Fit Form	Switch Windows *	Find Select -	
Views Clipboard 🕞		Font	Rich Te	ext Record		Sort & Filter	W	indow	Find	
All Tables 💿 «	Position_IE	) 👻 Longitude 👻	Latitude 👻 Da	te Visited - Maintenand	- Berms	- Dust Control -	Dump Locati 👻	PhotoLink	✓ PhotoLink2 ✓	Comment 🔺
Abutments		1 -80.390299566	39.543523258	7/28/2011 Yes	Yes	No	N/A			
Abutments : Table		2 -80.390288488	39.543486375	7/28/2011 Yes	Yes	No	N/A			
Breakthrough		3 -80.390264746	39.543447942	7/28/2011 Yes	Yes	No	N/A			
Breakthrough : Table		4 -80.39025814	39.543418889	7/28/2011 Yes	Yes	No	N/A			
Crest 🌣		5 -80.39023634	39.543368302	7/28/2011 Yes	Yes	No	N/A			
Crest : Table		6 -80.390215345	39.543343585	7/28/2011 Yes	Yes	NO	N/A			=
Downstream Toe 🌼		7 -80.390227804 8 90.290206652	39.343338233	7/28/2011 Yes	Voc	No	N/A			
Downstream Toe : Table		9 -80 39018872	39 54335998	7/28/2011 Yes	Ves	No	N/A			
General Information 🌼		10 -80 390135886	39 543314832	7/28/2011 Yes	Yes	No	N/A			
General Information : Table		11 -80.390093904	39.543269364	7/28/2011 Yes	Yes	No	N/A			
Impoundment Pool		12 -80.390048226	39.543225705	7/28/2011 Yes	Yes	No	N/A			
Impoundment Pool : Table		13 -80.390015268	39.543171495	7/28/2011 Yes	Yes	No	N/A			
Piezometer 🌣		14 -80.389961783	39.543121903	7/28/2011 Yes	Yes	No	N/A			
Piezometer : Table		15 -80.389909609	39.543065375	7/28/2011 Yes	Yes	No	N/A			
Return Lines 🔅		16 -80.389875977	39.54300674	7/28/2011 Yes	Yes	No	N/A			
Return Lines : Table		17 -80.389866431	39.542971001	7/28/2011 Yes	Yes	No	N/A			
Roadways 🌣		18 -80.389867542	39.542990064	7/28/2011 Yes	Yes	No	N/A			
Roadways : Table		19 -80.389863092	39.543043015	7/28/2011 Yes	Yes	No	N/A			
Spillways_Ditches 🖈		20 -80.389835238	39.543095412	7/28/2011 Yes	Yes	No	N/A			
Spillways_Ditches : Table	-	21 -80.389816218	39.543129457	7/28/2011 Yes	Yes	No	N/A			
Upstream Face 🕆		22 -80.389788831	39.5431398	7/28/2011 Yes	Yes	No	N/A			
🛄 Upstream Face : Table		23 -80.389780549	39.543134200	7/28/2011 Yes	Voc	No	N/A			
		25 -80.389774383	39.543317897	7/28/2011 Yes	Yes	No	N/A			
		26 -80.389737607	39.543365294	7/28/2011 Yes	Yes	No	N/A			
		27 -80.389722212	39.543386901	7/28/2011 Yes	Yes	No	N/A			
		28 -80.389688662	39.543443696	7/28/2011 Yes	Yes	No	N/A			
		29 -80.389655828	39.54348498	7/28/2011 Yes	Yes	No	N/A			
		30 -80.389624758	39.543517691	7/28/2011 Yes	Yes	No	N/A			
		31 -80.389587121	39.54356061	7/28/2011 Yes	Yes	No	N/A			
		32 -80.389558667	39.543600991	7/28/2011 Yes	Yes	No	N/A			
		33 -80.389506979	39.543641809	7/28/2011 Yes	Yes	No	N/A			
		34 -80.389472506	39.543682429	7/28/2011 Yes	Yes	No	N/A			
		35 -80.389428044	39.543708817	7/28/2011 Yes	Yes	No	N/A			
		36 -80.389417155	39.543735257	7/28/2011 Yes	Yes	No	N/A			
		37 -80.389379308	39.543777516	7/28/2011 Yes	Yes	NO	N/A			
		20 -80.389339010	29 542856989	7/28/2011 Yes	Yes	No	N/A			
	Perord: M. 4	of 85 b b b	Jo Filter Search	1/20/2011 Tes	162	NO	14/ A			· · ·
Datacheet View	incondini ( 1)		Search						Num Lock	man v
									real LOCK	

Image: Spillways_Ditches - Microsoft Access         Table Tools								
Home Create Ex	ternal Data Database Tools Acr	obat Datasheet				🛛 – 🔍 🗙		
K Cut	Calibri • 11 •	日本日 住住州	New Σ Totals	Z Selection *	Sac Replace			
View Paste	в / ц А - 🐎 - Ш- 🖽	. <u>1</u> = := aby	Refresh	Advanced *	Size to Switch Find			
Format Painter		Dich Text	All + Delete + More +	20 Y Toggle Filter	Fit Form Windows + Select +			
views Clipboard ···	Font	Kich Text	Records	Sont & Pinter	Window Find			
All Tables 💿 «	Position_ID  Longitude	Latitude - Date	Visited  Spillway Typ  Erosion/Cr	rac - Erosion/Crac - Photol	Link - Restrictions - PhotoLink2	<ul> <li>Ditch Clo, A</li> </ul>		
Abutments	1 -80.387672406	39.545039199	7/28/2011 Left Groin Ditci No		No			
Abutments : Table	2 -80.387001873	29 545024729	7/28/2011 Left Groin Ditch No		No			
Breakthrough	4 -80 38764633	39 54503203	7/28/2011 Left Groin Ditch No		No			
Breakthrough : Table	5 -80.387641429	39.545009674	7/28/2011 Left Groin Ditcl No		No			
Crest ×	6 -80.387660655	39.544956162	7/28/2011 Left Groin Ditcl No		No			
Crest : Table	7 -80.387660273	39.544906615	7/28/2011 Left Groin Ditcl No		No			
Downstream Ioe	8 -80.387646952	39.544870829	7/28/2011 Left Groin Ditcł No		No			
Downstream Toe : Table	9 -80.387672718	39.54481914	7/28/2011 Left Groin Ditcl No		No			
General Information	10 -80.387682569	39.544768713	7/28/2011 Left Groin Ditcl No		No			
General Information : Table	11 -80.387709529	39.544722085	7/28/2011 Left Groin Ditcl No		No			
Impoundment Pool	12 -80.387719182	39.544668128	7/28/2011 Left Groin Ditcl No		No			
Impoundment Pool : Table	13 -80.387730133	39.544612646	7/28/2011 Left Groin Ditcl No		No			
Piezometer	14 -80.387740378	39.544554497	7/28/2011 Left Groin Ditch No		No			
Piezometer : Table	15 -80.387763993	39.544502975	7/28/2011 Left Groin Ditci No		No			
Return Lines	17 00 207002012	29 544495732	7/28/2011 Left Groin Ditch No		No			
Return Lines : Table	18 -80 387956434	39 544478534	7/28/2011 Left Groin Ditch No		No			
Roadways	19 -80.388011001	39.544447969	7/28/2011 Left Groin Ditch No		No			
Roadways : Table	20 -80.388025737	39.544401869	7/28/2011 Left Groin Ditcl No		No			
Spillways_Ditches	21 -80.38803822	39.544346829	7/28/2011 Left Groin Ditcl No		No			
Spillways_Ditches : Table	22 -80.388041204	39.544288542	7/28/2011 Left Groin Ditcl No		No			
Upstream Face	23 -80.388076604	39.544246973	7/28/2011 Left Groin Ditcl No		No			
Upstream Face : Table	24 -80.388146302	39.544225532	7/28/2011 Left Groin Ditcl No		No			
	25 -80.388205485	39.544216249	7/28/2011 Left Groin Ditcl No		No			
	26 -80.388260825	39.544203052	7/28/2011 Left Groin Ditcl No		No			
	27 -80.388330133	39.544184187	7/28/2011 Left Groin Ditcl No		No			
	28 -80.388380386	39.544152734	7/28/2011 Left Groin Ditcl No		No			
	29 -80.388401556	39.544103788	7/28/2011 Left Groin Ditci No		No			
	30 -80.388412838	39.544048686	7/28/2011 Left Groin Ditci No		No			
	31 -00.300440072	29 5/299//15	7/28/2011 Left Groin Ditch No		No			
	33 -80 388563771	39 5//3973367	7/28/2011 Left Groin Ditch No		No			
	34 -80.38862781	39.544005759	7/28/2011 Left Groin Ditch No		No			
	35 -80.388691381	39.544039153	7/28/2011 Left Groin Ditcl No		No			
	36 -80.388749667	39.544034274	7/28/2011 Left Groin Ditcl No		No			
	37 -80.388812129	39.54400801	7/28/2011 Left Groin Ditcl No		No			
	38 -80.388862433	39.543978153	7/28/2011 Left Groin Ditcl No		No			
	39 -80.388929093	39.543967463	7/28/2011 Left Groin Ditcł No		No	+		
	Record: H 🖂 1 of 43 🕨 H 👫 🌾	No Filter Search				•		
Datasheet View					Num Lo	ck 🔟 🖪 🕮 😢		

Upstream Face - Microsoft Access Table Tools									_ = ×	
Home Create Ex	Home Create External Data Database Tools Acrobat Datasheet									0 - 🗖 X
Cut	Calibri	- 11 - E			📑 New Σ	Totals 👌	Selection		ac Replace	
View Paste	P 7 II A			= ab2 Refres	Save 💞	Spelling X	Filter	d - Size to Switch	⇒ Go To ▼ Find	
→ ✓ Format Painter	B I U		5	All *	🖌 Delete 👻 🧮	More • A	Toggle F	ilter Fit Form Windows *	Select -	
Views Clipboard 🕞		Font	Ric	ch Text	Records		Sort & Filter	Window	Find	
All Tables 💿 «	Position_ID	🔾 👻 Longitude 👻	Latitude 👻	Date Visited -	Instrumenta 👻 Pi	iezometer 👻 🖡	Pore Pressul 👻 Lif	t Thicknes - Lift Thicknes	<ul> <li>Placement/( -</li> </ul>	Water Impor
Abutments		1 -80.388961824	39.54564288	7/28/2011	Yes Ye	25 1	N/A	0	N/A	Yes
Abutments : Table		2 -80.388977339	39.545630301	7/28/2011	Yes Ye	25 1	N/A	0	N/A	Yes
Breakthrough  A		4 -80.389003044	39.545009610	7/28/2011	Yes Te	25 1	N/A	0	N/A N/A	Yes
Breakthrough : Table		5 -80.389067525	39.54554381	7/28/2011	Voc Ye	25		0	N/A N/A	Ves
Crest *		6 -80.389054277	39.545505077	7/28/2011	Yes Ye	es l	N/A	0	N/A	Yes
Crest : Table		7 -80.389035954	39.545465501	7/28/2011	Yes Ye	25 1	N/A	0	N/A	Yes
Downstream Toe		8 -80.389003275	39.545428736	7/28/2011	Yes Ye	es l	N/A	0	N/A	Yes
Downstream Toe : Table		9 -80.388955193	39.545413837	7/28/2011	Yes Ye	25 1	N/A	0	N/A	Yes
General Information		10 -80.388901709	39.545415589	7/28/2011	Yes Ye	es l	N/A	0	N/A	Yes
General Information : Table		11 -80.388837218	39.545411396	7/28/2011	Yes Ye	es l	N/A	0	N/A	Yes
Impoundment Pool		12 -80.388801684	39.545402973	7/28/2011	Yes Ye	25 1	N/A	0	N/A	Yes
Impoundment Pool : Table		13 -80.388764907	39.545386054	7/28/2011	Yes Ye	25 1	N/A	0	N/A	Yes
Piezometer		14 -80.388/51823	39.545343487	7/28/2011	Yes Ye	25 1	N/A	0	N/A	Yes
Piezometer : Table		15 -80.388/41340	39.545303994	7/28/2011	Yes re	25 1	N/A	0	N/A	Yes
Return Lines		10 -80.366725052	39.545205515	7/28/2011	Voc Vo	25 1		0	N/A	Ves
Return Lines : Table		18 -80,388664666	39,545195031	7/28/2011	Yes Ye		N/A	0	N/A	Yes
Roadways 🗢		19 -80.388603276	39.545183983	7/28/2011	Yes Ye	es l	N/A	0	N/A	Yes
Roadways : Table		20 -80.388541761	39.545166945	7/28/2011	Yes Ye	25 1	N/A	0	N/A	Yes
Spillways_Ditches		21 -80.388472691	39.545153216	7/28/2011	Yes Ye	es M	N/A	0	N/A	Yes
Spiliways_Ditches : Table		22 -80.388415298	39.545131563	7/28/2011	Yes Ye	es l	N/A	0	N/A	Yes
Upstream Face ×		23 -80.388399539	39.545088184	7/28/2011	Yes Ye	es l	N/A	0	N/A	Yes
Upstream race : Table		24 -80.388378995	39.545046702	7/28/2011	Yes Ye	es l	N/A	0	N/A	Yes
		25 -80.388355131	39.545007307	7/28/2011	Yes Ye	25 1	N/A	0	N/A	Yes
		26 -80.388322786	39.544963128	7/28/2011	Yes Ye	25 1	N/A	0	N/A	Yes
		27 -80.388269245	39.544926827	7/28/2011	Yes Ye	25 1	N/A	0	N/A	Yes
		28 -80.388195433	39.54491009	7/28/2011	Yes re	25	N/A	0	N/A	Yes
		29 -00.300110041	29 544903303	7/28/2011	Yes re	25 1		0	N/A N/A	Yes
		31 -80.388013588	39.544936815	7/28/2011	Ves Ye		N/A	0	N/A	Yes
		32 -80.388011187	39.544938767	7/28/2011	Yes Ye	es l	N/A	0	N/A	Yes
1		33 -80.388009909	39.544937822	7/28/2011	Yes Ye	es l	N/A	0	N/A	Yes
	*									
L	Record: H 4 1 0	of 33 🕨 🖬 👫 🛒	No Filter Search		Ш					•
Datasheet View									Num Lock	