# More Examples of Randomized Experiments: Direct Interventions

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#### Outline

Projects designed to improve public goods through influencing the providers directly (training, gender composition).

- 1. Springs Project
- 2. Police Project

Tomorow: monitoring projects.

## Springs Project: Overview

Leino, Jessica (2007) "Gender and Community Management of Water Infrastructure: Evidence from a Randomized Evaluation in Kenya." Working Paper

- ➤ Central Development Objective: Increasing gender equity and female participation in the management of public goods.
  - 1. How can we increase female participation in local governance?
  - 2. Given that females increasingly participate, are public goods outcomes any better?
- ▶ **Evidence**: An evaluation of a spring protection program in Kenya. Water management committees were randomly selected for a *female participation intervention*.

## Springs Project: Overview

Participation intervention added to a larger study of rural water improvements (Kenya Rural Water Project).

- In Western Kenya, naturally occurring spring water seeps from the ground, especially vulnerable to contamination from runoff.
- ► Contaminated water is known to create severe health problems (e.g. diarrhea among young children).

## Springs Project: Design

#### Pre-intervention surveys and randomization:

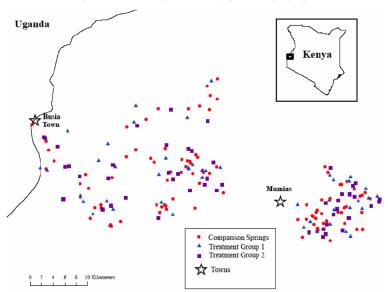
- 1. Identified universe of springs; June-July 2004
- 2. Conducted initial site visits and water quality tests, Selection of sample; July-November 2004 ( $N_s = 200$ )
- 3. Spring user lists compiled; July 2004-January 2005  $(N_s = 200)$

#### 4. Randomization:

- Springs randomly selected for one of 3 treatment waves  $(N_s = 200)$
- Random selection of 7-8 households per spring (for surveys)  $(N_h = 1500)$

#### Treatment Area

Figure 1: Rural Water Project (RWP) study region and sample springs



## Springs Project: Roll Out

- Baseline Surveys: Households surveyed, water quality tested August 2004-February 2005
- 2. **Wave 1:** January-April 2005 ( $N_s = 50$ )
  - ▶ **Survey 1**: Households surveyed, water quality tested April-August 2005 ( $N_s = 184, N_h = 1384$ )
- 3. Wave 2: August-November 2005 ( $N_s = 50$ )
  - ▶ **Survey 2**: Household surveys, water testing; August-November 2006 ( $N_s = 175, N_h = 1,250$ )
- 4. **Survey 3:** January-April 2006 ( $N_s = 100$ )
  - ► Household surveys, water testing; January-March 2007  $(N_s = 184, N_h = 1, 231)$

# **Unprotected Spring**



# Protected Spring



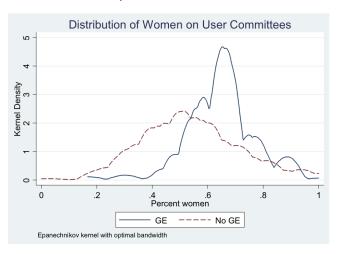
#### Water Maintenance

- After springs are protected, some maintenance activities are required, such as:
  - 1. Clearing the drainage ditches around the spring, so that the concrete encasement does not crack, or become waterlogged.
  - 2. Keeping the area clean and free of rubbish.
  - Cutting back the grass around the spring, so that seepage contamination is minimized.
- Maintenance activities extend the life of the spring for up to 50 years.
- Poorly maintained springs: initial health benefits deteriorate in 5 to 10 years.

### Participation Intervention

- ► 1/2 of communities that received spring upgrades were randomly assigned to receive a female participation intervention.
  - 1. NGO workers spoke to water management committees about the merits of female participation.
  - Committee meetings held in the afternoons, so more women could attend.
  - NGO informed local elders to encourage women to attend committee meetings.
- ▶ Very cost-effective treatment program.

## Results: Female Participation



- ► Average increase on committee membership is approximately 1 woman (20% increase).
- Probability that a committee chair was a woman doubled.



#### Results: Public Goods Outcomes

- Outcome measures included:
  - 1. # of days since grass last slashed.
  - 2. # of days since trenches last cleared.
  - 3. # of days since storm drain cleared.
- Central Finding: No significant differences on maintenance outcomes for springs with the female participation intervention.
- ▶ Very preliminary results. Maintenance outcomes measured only 6-12 months after the treatment.

## Police Project: Overview

Banerjee, A., R. Chattopadhyay, E. Duflo, and D. Keniston (2009) "Rajasthan Police Performance and Perception Intervention." Working Paper.

- ▶ In many developing countries, police are widely viewed to be:
  - 1. Corrupt.
  - 2. Ineffective at fighting crime.
  - 3. Tools of political manipulation.
- ▶ **Question**: Can cost-effective training exercises and simple changes in operating procedure make a difference?
- ► **Evidence**: An evaluation of a series of performance interventions in police departments across Rajasthan, India.

## Police Project: Design

- 1. **Baseline Surveys**: Survey used identify problem areas, but also as a benchmark for comparision (September, 2005).
  - Interviews with police officers of all ranks.
  - Surveys of police perception administered to members of the public.

#### 2. Design of Interventions:

- A In-service training programs.
- B Community observers.
- C Weekly day off / Duty rosters.
- D Freezing of transfers.
- 3. **Pre-pilot**: Field testing of interventions (N = 11, Feb 2006).
- 4. **Scale up**: Full sample of N = 150 stations (Jan 2007).



## Police Project: Interventions

#### A In-service training programs

- Technical training on how to better fight crime, use of scientific techniques.
- Improving public relations with soft-skills, such as communication, mediation.
- Stress management, team building, leadership improvements.

#### **B** Community observers

- Local volunteers chosen to sit in the police station.
- Observe activities and monitor police behavior.

## Police Project: Interventions

#### C Weekly day off / Duty rosters

- Entire staff received one day off every week.
- All staff rotated tasks, given the opportunity to perform multiple tasks.

#### **D** Freezing of transfers

- ► All administrative transfers in the police stations were prohibited for 1.5 years.
- Frequent police transfers had adverse effects on personal, professional lives.

## Police Project: Design

- Working paper does not mention how the randomization was actually implemented.
- ▶ **Question**: How would you do it?
  - ▶ Form groups and discuss.
  - ▶ Remember: we have 4 treatments, and want to separately identify the effects of each treatment.

## Police Project: Simple Design Approach

- ▶ Simplest approach: take N = 1000 police stations, randomly assign them to one of five groups:
  - 1. Control Group: p = 1/5
  - **2**. **Group A**: p = 1/5
  - 3. **Group B**: p = 1/5
  - 4. **Group C**: p = 1/5
  - **5**. **Group D**: p = 1/5

## Police Project: Cross-cutting Randomizations

▶ Another approach: take N = 1000 police stations, randomly assign them to one of 9 bins:

	Control	Treatment C	Treatment D
Control Treatment A	p = 1/9 $p = 1/9$	p = 1/9 $p = 1/9$	p = 1/9 $p = 1/9$
Treatment B	p = 1/9	ho=1/9	p = 1/9

▶ Advantage of this approach: estimate combined effects of certain treatments (e.g. A and C vs. B and D) separately from the direct effects of any individual treatment.

## Police Project: Public Opinion Results

#### A In-service training programs

- No effects on perceptions of police responsiveness.
- No effects on perceptions of corruption.
- No effects on fear of police.
- ▶ 31% increase in satisfaction of crime victims.

#### **B** Community Observers

- ▶ No effects on perceptions of police responsiveness.
- No effects on perceptions of corruption.
- No effects on fear of police.
- No effects on satisfaction of crime victims.

## Police Project: Public Opinion Results

#### C Weekly day off / Duty rosters

- ▶ 14% increase in perceptions of police responsiveness.
- No effects on perceptions of corruption.
- No effects on fear of police.
- No effects on satisfaction of crime victims.

#### **D** Freezing of transfers

- ▶ No effects on perceptions of police responsiveness.
- No effects on perceptions of corruption.
- ▶ 20% decrease in fear of police.
- ▶ 30% increase in satisfaction of crime victims.

## Police Project: Police Performance and Satisfaction Results

Note: Large attrition in the police satisfaction followup survey (40%).

- 1. Significant increases in police satisfaction from most interventions.
- 2. Staff complaints about certain aspects of policing decrease (especially those aspects that were treated).