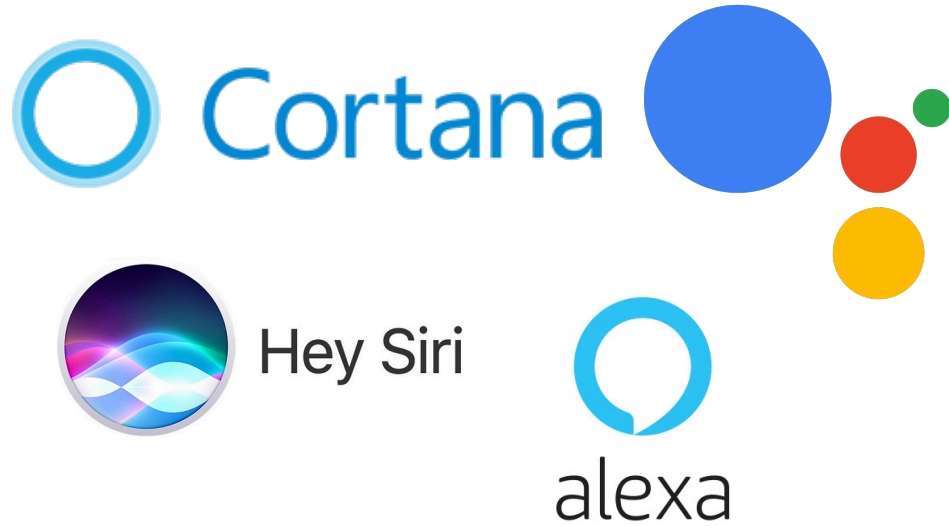


# Neural Semantic Parsing

Pengcheng Yin  
pcyin@google.com  
Google Research

# Semantic Parsers: Natural Language Interfaces to Computers



```
Untitled-1
Python 3.6.5 64-bit
1 my_list = [3, 5, 1]
2 sort in descending order →
3 sorted(my_list, reverse=True)
4
5
```

## Virtual Assistants

- Set an alarm at 7 AM*
- Remind me for the meeting at 5pm*
- Play Jay Chou's latest album*

## Natural Language Programming

- Sort my\_list in descending order*
- Copy my\_file to home folder*
- Dump my\_dict as a csv file output.csv*

# The Semantic Parsing Task

Parsing natural language utterances into machine-executable meaning representations

**Natural Language Utterance**



*Show me flights from Pittsburgh  
to Seattle*



**Meaning Representation**



```
lambda $0 e (and (flight $0)
  (from $0 pittsburgh:ci)
  (to $0 seattle:ci))
```


# Machine-executable Meaning Representations

Translating a user's **natural language utterances** (e.g., queries) into machine-executable **formal meaning representations** (e.g., logical form, SQL, Python code)

## Domain-Specific, Task-Oriented Languages (DSLs)




 *Show me flights from Pittsburgh to Seattle*

 `lambda $0 e (and (flight $0)  
 (from $0 Pittsburgh:ci)  
 (to $0 Seattle:ci))`

lambda-calculus logical form

## General-Purpose Programming Languages



 *Sort my\_list in descending order*

 `sorted(my_list, reverse=True)`

Python code generation

# Clarification about Meaning Representations (MRs)

**Machine-executable MRs** (our focus today) executable programs to accomplish a task

**MRs for Semantic Annotation** capture the semantics of natural language sentences

## Machine-executable Meaning Representations



*Show me flights from Pittsburgh to Seattle*



```
lambda $0 e (and (flight $0)
  (from $0 pittsburgh:ci)
  (to $0 seattle:ci))
```

Lambda Calculus Logical Form

Lambda Calculus

Python, SQL, ...

## Meaning Representations For Semantic Annotation



*The boy wants to go*



```
(want-01
  :arg0 (b / boy)
  :arg1 (g / go-01))
```

Abstract Meaning Representation (AMR)

Abstract Meaning Representation (AMR),

Combinatory Categorical Grammar (CCG)

# Workflow of a Semantic Parser

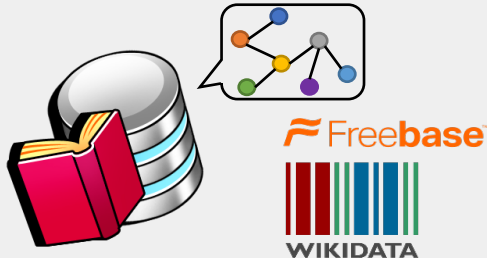
## User's Natural Language Query

*Show me flights from Pittsburgh to Seattle*

## Parsing to Meaning Representation

```
lambda $0 e (and (flight $0)
  (from $0 pittsburgh:ci)
  (to $0 seattle:ci))
```

## Execute Programs against KBs



## Execution Results (Answer)

1. Alaska Air 119
2. American 3544 -> Alaska 1101
3. ...

# Semantic Parsing Datasets

## Domain-Specific, Task-Oriented Languages (DSLs)



*Show me flights from Pittsburgh to Seattle*



```
lambda $0 e (and (flight $0)
  (from $0 Pittsburgh:ci)
  (to $0 Seattle:ci))
```

lambda-calculus logical form

GeoQuery / ATIS / JOBS

WikiSQL / Spider

IFTTT

## General-Purpose Programming Languages



*Sort my\_list in descending order*



```
sorted(my_list, reverse=True)
```

Python code generation

Django

HearthStone

CONCODE

CoNaLa

JulCe

# GEO Query, ATIS, JOBS

- **GEO Query** 880 queries about US geographical information
- **ATIS** 5410 queries about flight booking and airport transportation
- **Jobs** 640 queries to a job database

## GEO Query



*which state has the most rivers running through it?*



```
argmax $0
  (state:t $0)
  (count $1 (and
             (river:t $1)
             (loc:t $1 $0)))
```

Lambda Calculus Logical Form

## ATIS



*Show me flights from Pittsburgh to Seattle*



```
lambda $0 e
  (and (flight $0)
       (from $0 pittsburgh:ci)
       (to $0 seattle:ci))
```

Lambda Calculus Logical Form

## JOBS



*what Microsoft jobs do not require a bscs?*



```
answer(
  company(J,'microsoft'),
  job(J),
  not((req deg(J,'bscs'))))
```

Prolog-style Program

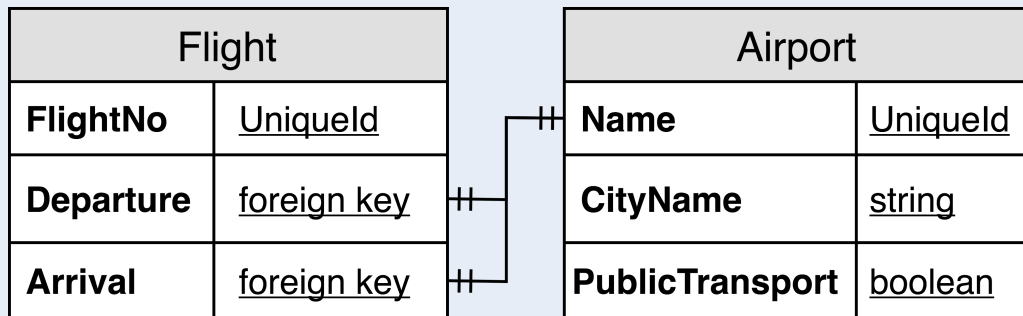


# Text-to-SQL Tasks

## Natural Language Questions with Database Schema

### Input Utterance

*Show me flights from Pittsburgh to Seattle*



## SQL Query

```
SELECT Flight.FlightNo
FROM Flight
JOIN Airport as DepAirport
ON
    Flight.Departure == DepAirport.Name
JOIN Airport as ArvAirport
ON
    Flight.Arrival == ArvAirport.Name
WHERE
    DepAirport.CityName == Pittsburgh
AND
    ArvAirport.CityName == Seattle
```

# Semantic Parsing Datasets

## Domain-Specific, Task-Oriented Languages (DSLs)



*Show me flights from Pittsburgh to Berkeley*



```
lambda $0 e (and (flight $0)
  (from $0 Pittsburgh:ci)
  (to $0 Berkeley:ci))
```

lambda-calculus logical form

GeoQuery / ATIS / JOBS

WikiSQL / Spider

IFTTT

## General-Purpose Programming Languages



*Sort my\_list in descending order*



```
sorted(my_list, reverse=True)
```

Python code generation

Django

HearthStone

CONCODE

CoNaLa

# Generating General-purpose Programs: HearthStone Dataset

**Description** properties/fields of a HearthStone card

**Target code** implementation as a Python class from HearthBreaker



## Input (Card Description)

Name: Divine Favor

Cost: 3

Desc: Draw cards until you have as many as your opponent




## Target Code (Python class)

```
class DivineFavor(SpellCard):
    def __init__(self):
        super().__init__('Divine Favor', 3, CHARACTER_CLASS.PALADIN,
                         CARD_RARITY.RARE)
    def use(self, player, game):
        super().use(player, game)
        difference = len(game.other_player.hand) - len(player.hand)
        for i in range(0, difference):
            player.draw()
```


# Generating General-purpose Programs: CoNALA

[conala-corpus.github.io](https://conala-corpus.github.io)


 Get a list of words `words` of a file 'myfile'


 `words = open('myfile').read().split()`


 Copy the content of file 'file.txt' to file 'file2.txt'

 `shutil.copy('file.txt', 'file2.txt')`

 Check if all elements in list `mylist` are the same

 `len(set(mylist)) == 1`

 Create a key `key` if it does not exist in dict `dic` and append element `value` to value

 `dic.setdefault(key, []).append(value)`

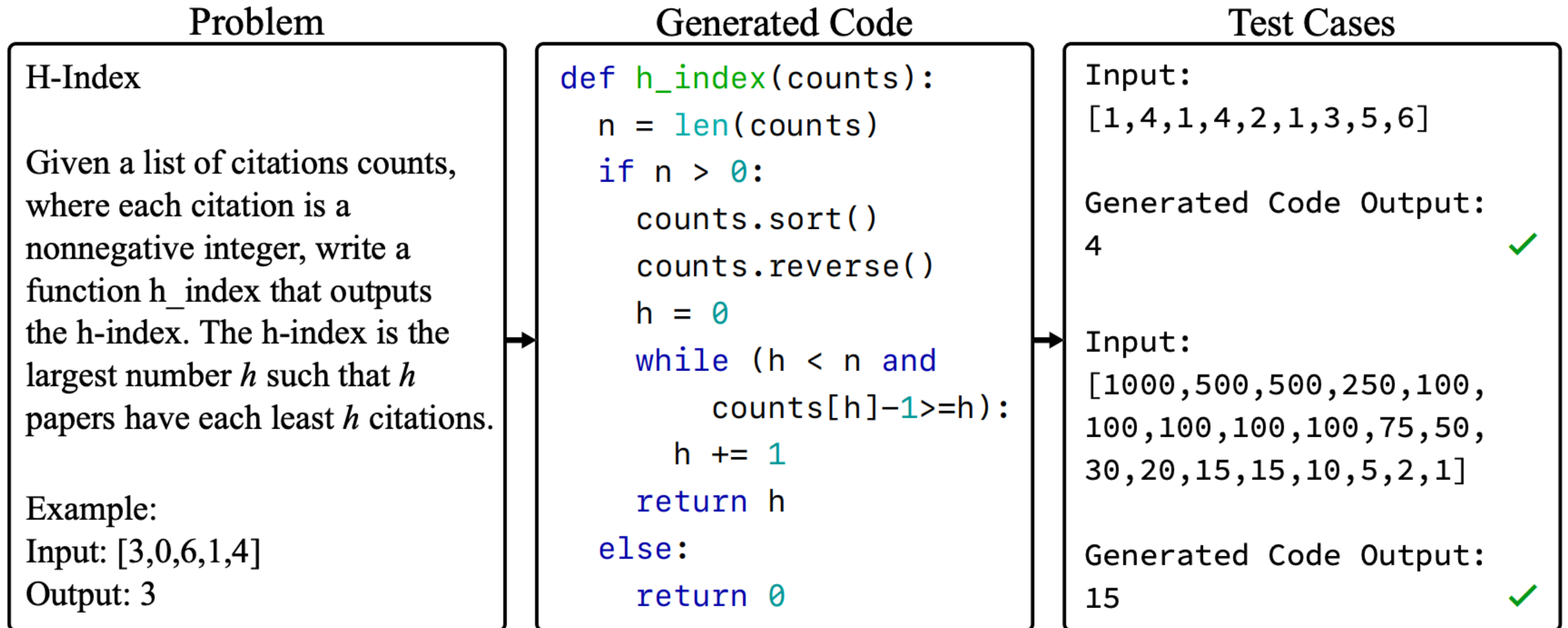
- 2,379 Manually annotated, high quality natural language queries
- Programs reflect real-world usage of Python programmers
- Code is highly expressive and compositional
- The task is very hard. Exact match accuracy is low. Still evaluated as a text generation problem using fuzzy metrics like BLEU

# Generating General-purpose Programs: SIMPLE PYTHON CODE

```
def solution(lst):  
    """Given a non-empty list of integers, return the sum of all of the odd elements  
    that are in even positions.  
  
    Examples  
    solution([5, 8, 7, 1]) ==>12  
    solution([3, 3, 3, 3, 3]) ==>9  
    solution([30, 13, 24, 321]) ==>0  
    """  
    return sum(lst[i] for i in range(0, len(lst)) if i % 2 == 0 and lst[i] % 2 == 1)
```

- **Task:** Fill in the function body given function signature and doc-string
- Examples also includes unit-tests, making them directly executable
- Evaluation is done by checking if the model-predicted code could pass unit tests

# Generating General-purpose Programs: COMPETITION PROBLEMS



- Coding competition problems provide a large-scale testbed for code generation

# Supervised Learning of Semantic Parsers

## User's Natural Language Query

*Show me flights from Pittsburgh to Seattle*


## Parsing to Meaning Representation


```
lambda $0 e (and (flight $0)
  (from $0 pittsburgh:ci)
  (to $0 seattle:ci))
```

Train a neural semantic parser with source natural language utterances and target programs

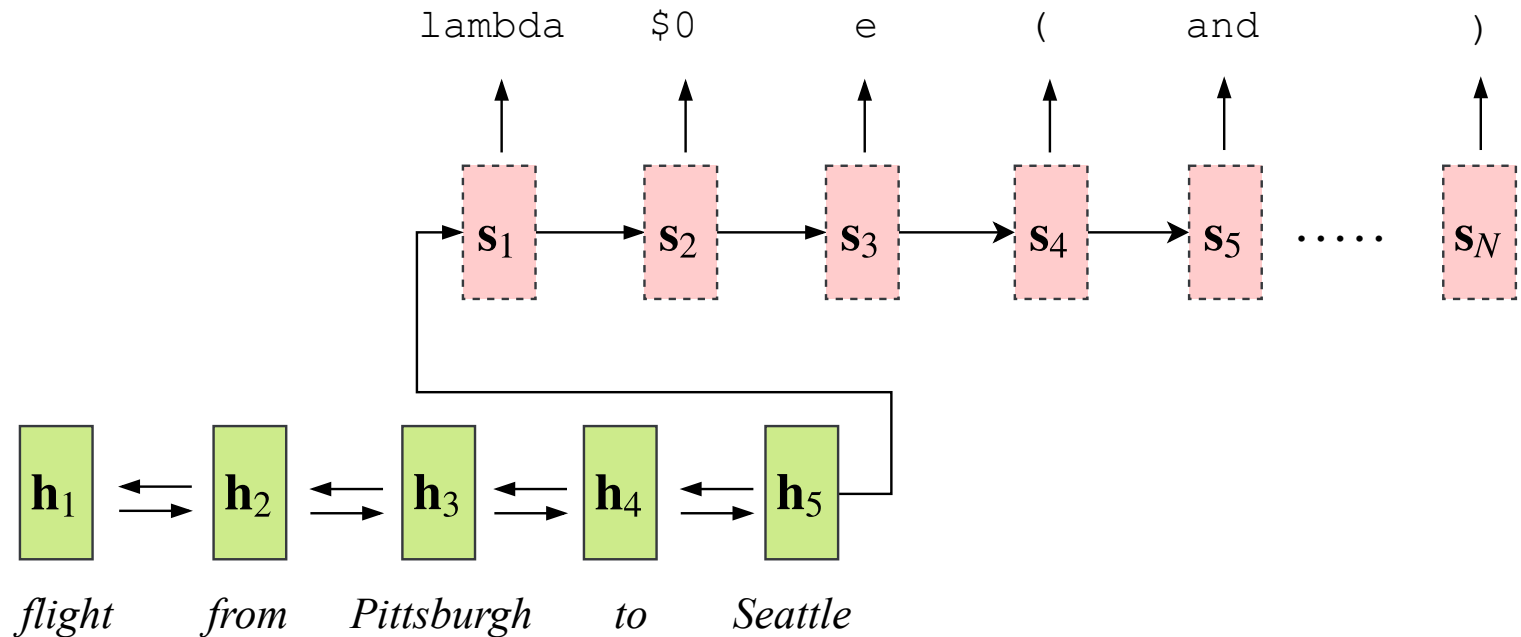
# Semantic Parsing as Sequence-to-Sequence Transduction

## Task-Specific Meaning Representations

 Show me flights from Pittsburgh to Seattle

  $\lambda$   $\$0$   $e$   $($   $and$   $($   $flight$   $\$0)$   
 $($   $from$   $\$0$   $pittsburgh:ci)$   
 $($   $to$   $\$0$   $seattle:ci)$

Lambda Calculus Logical Form



- Treat the target meaning representation as a sequence of linearized tokens
- Reduce the structured prediction task as another sequence-to-sequence learning problem



# Meaning Representations have Strong Structures

## Semantic Parsing

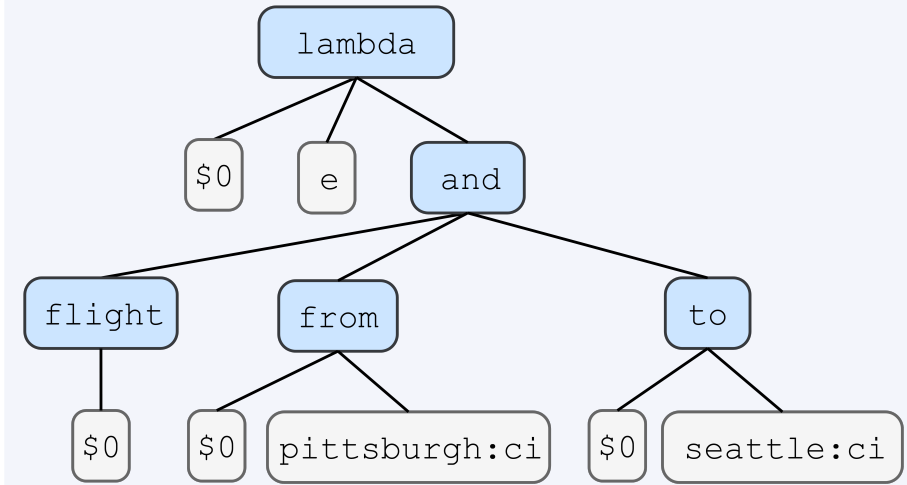


*Show me flights from Pittsburgh to Seattle*



```
lambda $0 e (and  
  (flight $0)  
  (from $0 Pittsburgh:ci)  
  (to $0 Seattle:ci)  
)
```

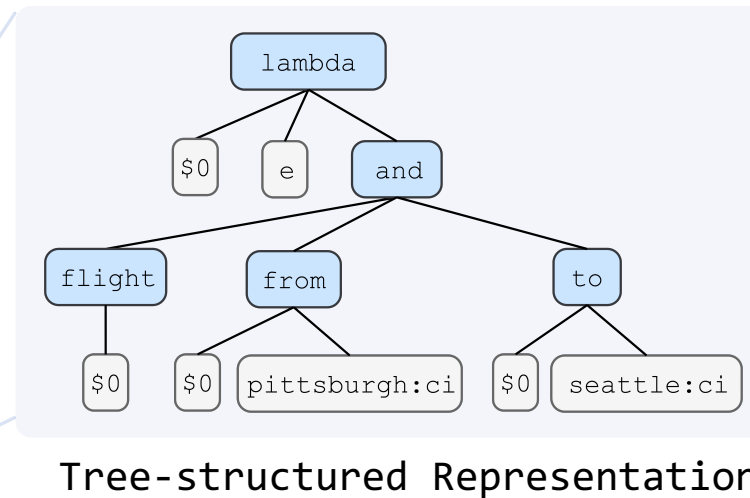
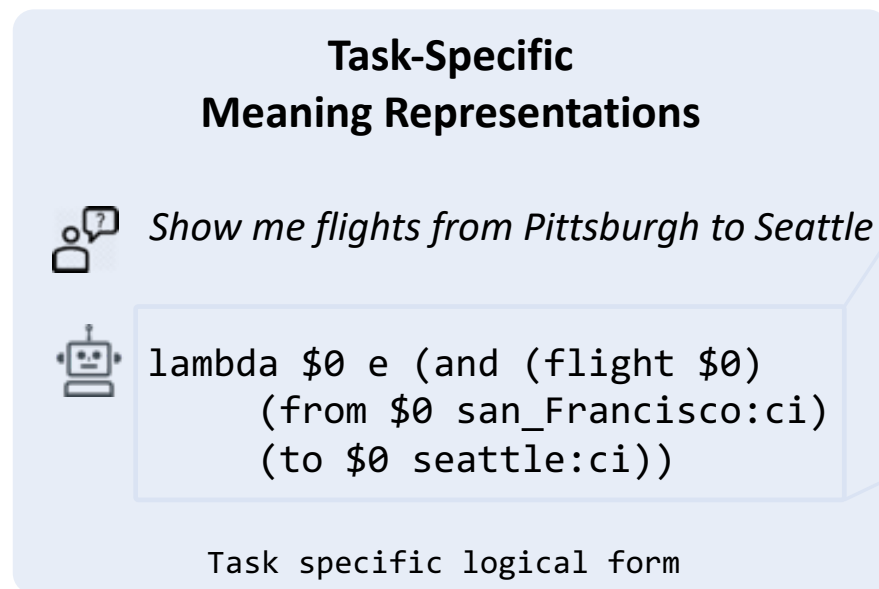
lambda-calculus logical form



Tree-structured Representation

# Issues with Predicting Linearized Programs

- **Meaning Representations** (e.g., a database query) have strong underlying structures.
- **Issue** Using vanilla seq2seq models ignore the rich structures of meaning representations, and could generate invalid outputs that are not trees



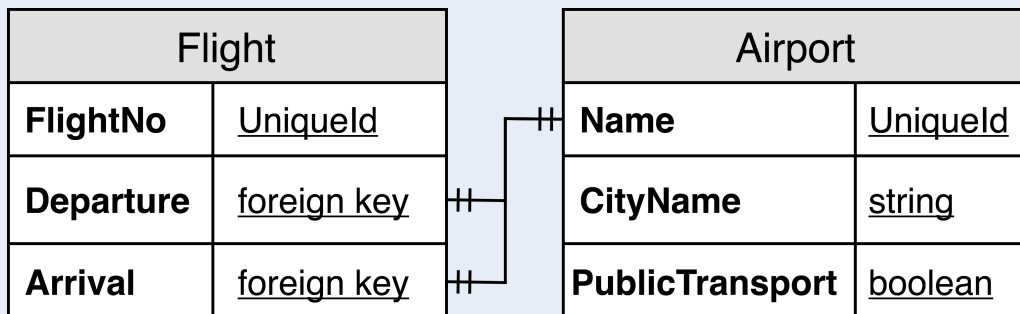
# Core Research Question for Better Models

How to add inductive biases to networks to better capture the **program structures**?

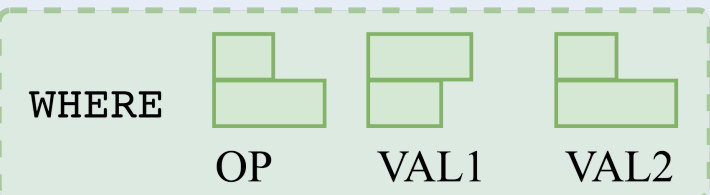
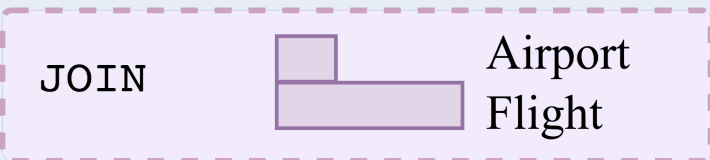
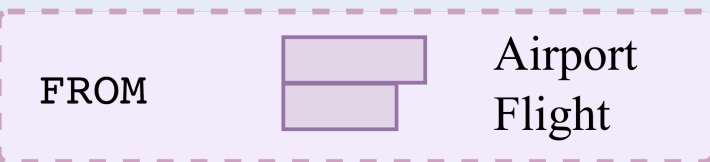
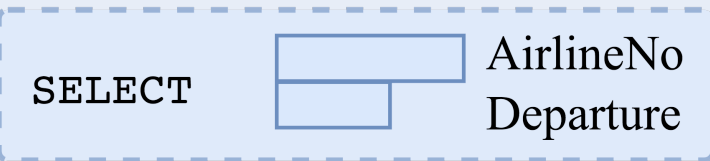
## Encode Utterance and In-Domain Knowledge Schema

### Input Utterance

*Show me flights from Pittsburgh to Berkeley*

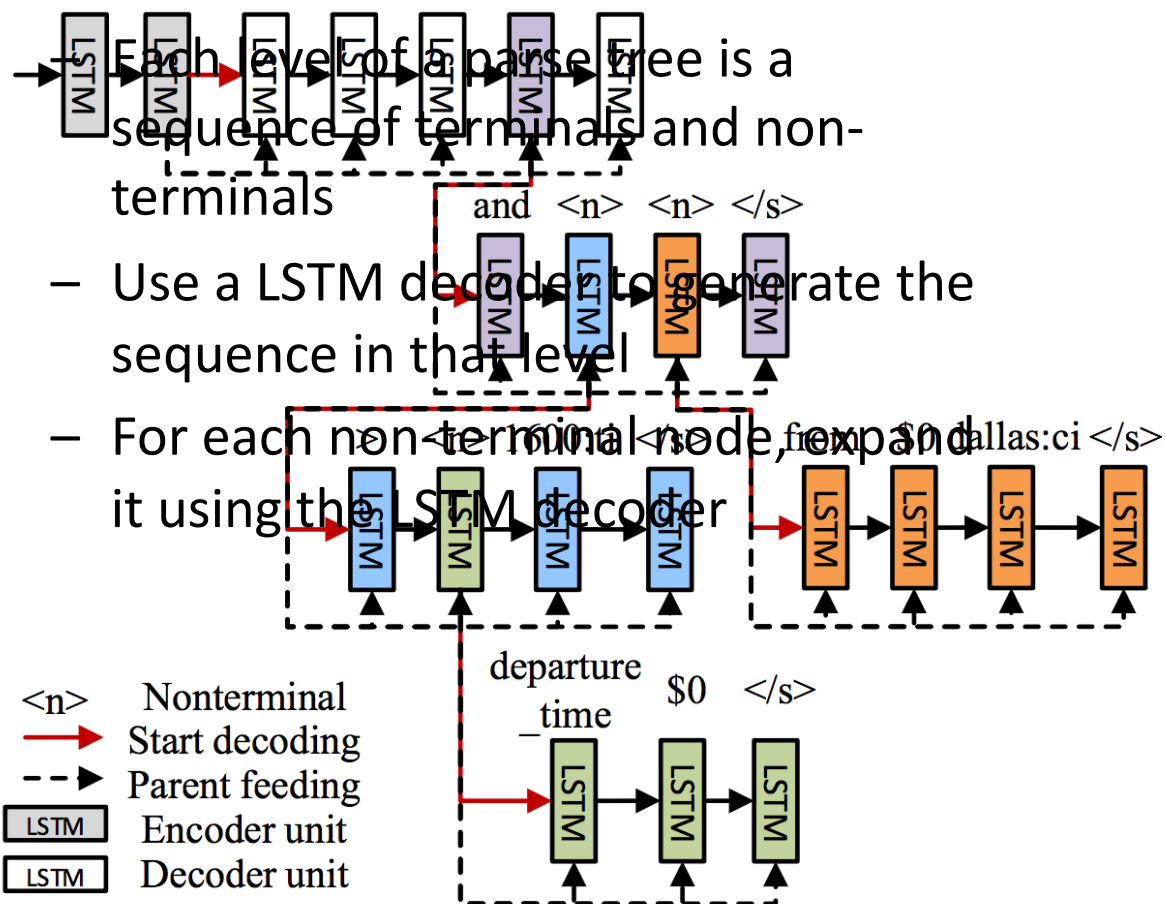


## Predict Programs Following Task-Specific Program Structures

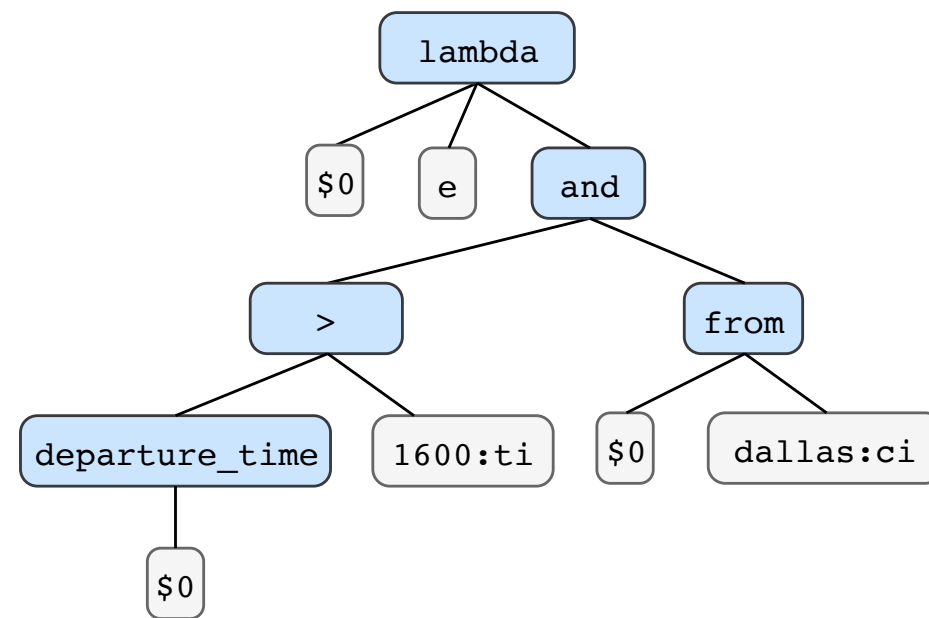


# Structure-aware Decoding for Semantic Parsing

- **Seq2Tree** Generate the parse tree of a program using a hierarchy of recurrent neural decoders following the tree structure
- **Sequence-to-tree Decoding Process**

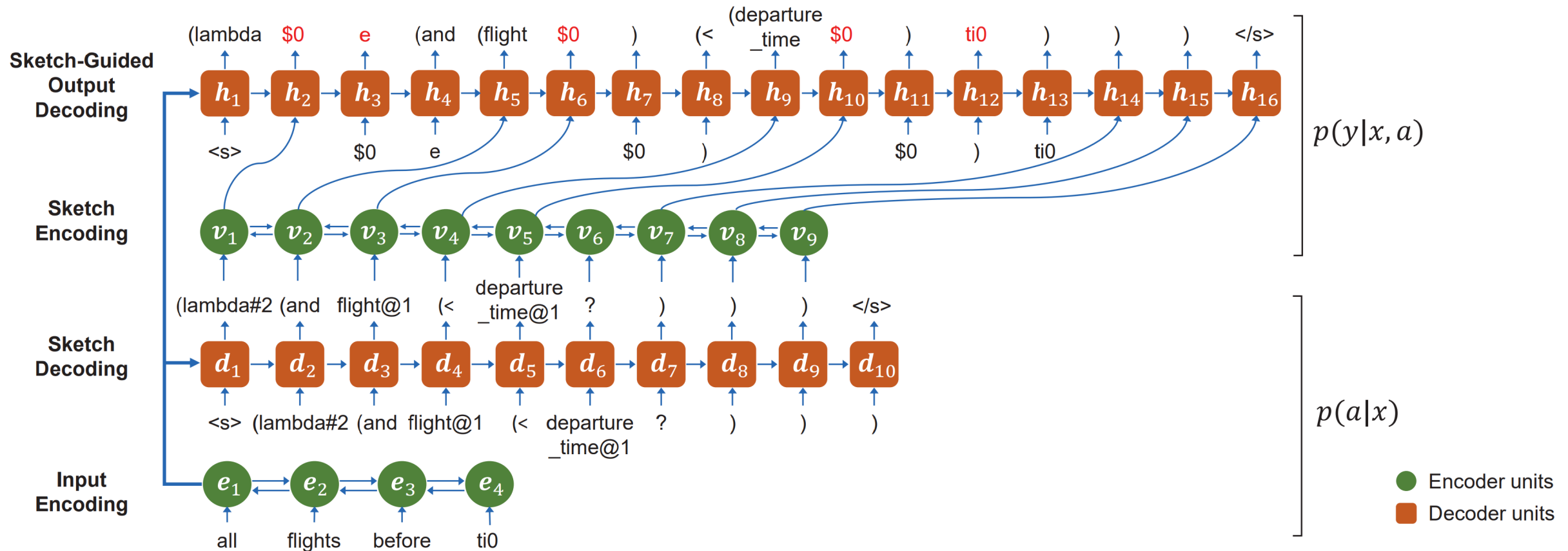


*Show me flight from Dallas departing after 16:00*



# Structure-aware Decoding: Model Decomposition in Programming

- **Coarse-to-Fine Decoding** decodes a coarse **sketch** of the target logical form first and then decode the full logical form conditioned on both the input query and the sketch
- Explicitly model a **coarse global structure** of the logical form, and use it to guide the generation of the **fine-grained structure**



# Grammar-constrained Decoding

- Previously introduced methods could generate tree-structured representations, but cannot guarantee they are grammatically correct.
- Meaning representations (e.g., Python) have strong underlying grammar/syntax
- How can we explicitly leverage the grammar of programs for better generation?

## Python Abstract Grammar

Expr  $\mapsto$  Name | Call

Call  $\mapsto$  expr[*func*] expr\*[*args*]  
keyword\*[*kwargs*]

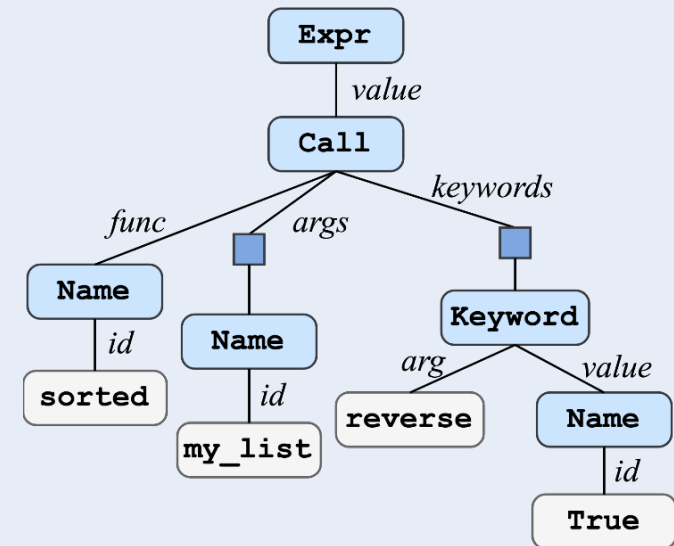
If  $\mapsto$  expr[*test*] stmt\*[*body*] stmt\*[*otherwise*]

For  $\mapsto$  expr[*target*] expr\*[*iter*]  
stmt\*[*body*] stmt\*[*otherwise*]

FunctionDef  $\mapsto$  identifier[*name*] expr\*[*iter*]  
stmt\*[*body*] stmt\*[*otherwise*]



## Abstract Syntax Tree (AST)



sorted(my\_list, reverse=True)

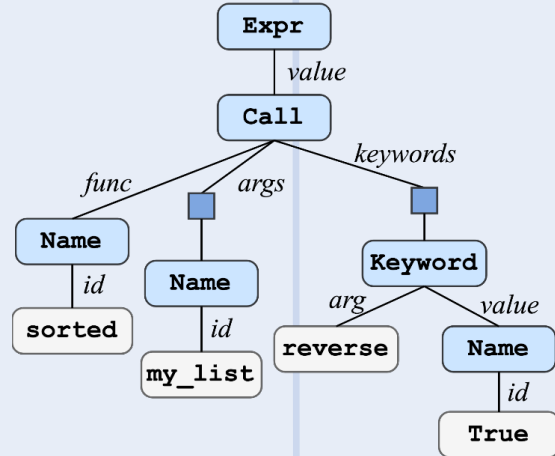
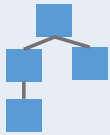
## Natural Language Intent



Sort *my\_list* in descending order



## Abstract Syntax Tree (AST)



## Python Source Code



```
sorted(my_list, reverse=True)
```



# General-purpose Syntax-driven Program Generation

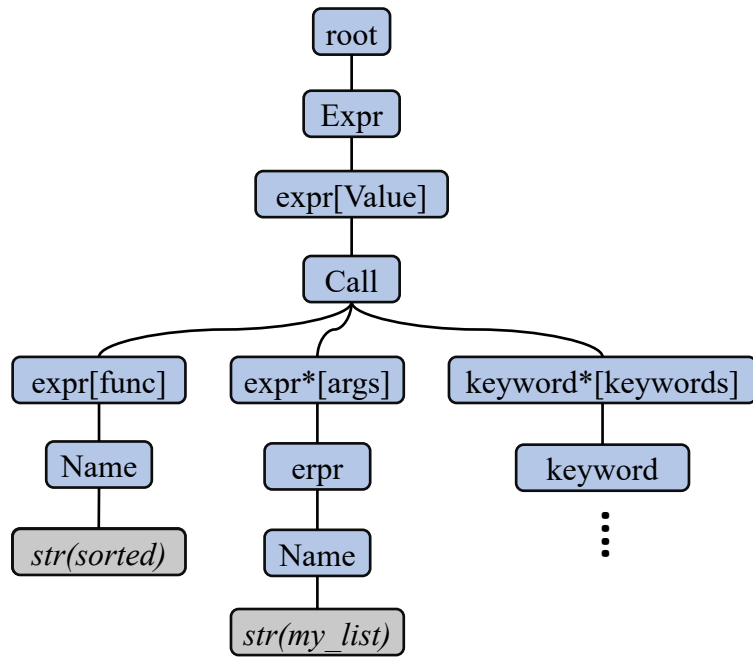
- Use Abstract Syntax Trees as general-purpose intermediate meaning representations
- $p_{\theta}(\text{AST} | \text{NL})$  is a seq-to-tree model using program grammar as prior syntactic knowledge to guide neural decoding

- Deterministic transformation to source code

# $p_{\theta}(\text{AST} | \text{User})$ : AST Generation using Auto-regressive Models

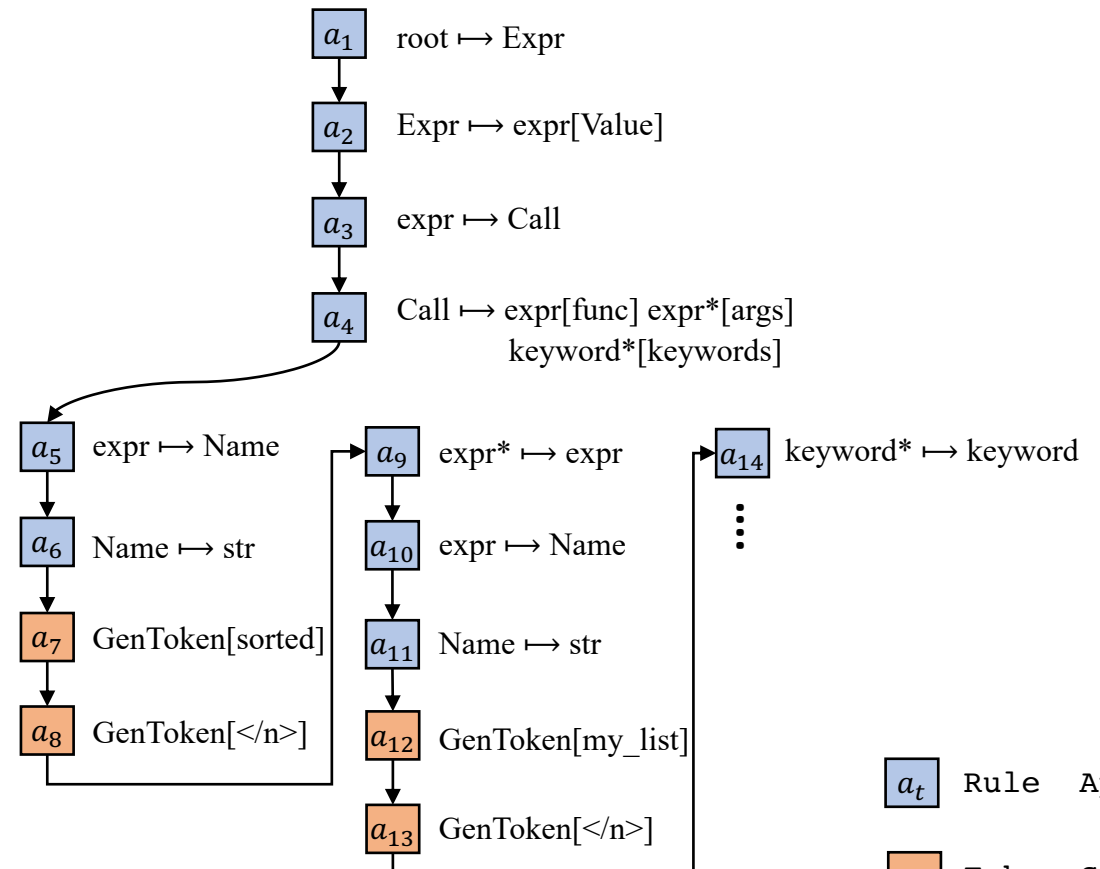
Factorize the generation story of an AST into sequential application of *actions*  $\{a_t\}$ :

Derivation Abstract Syntax Tree



sorted(my\_list, reverse=True)

Action Sequence



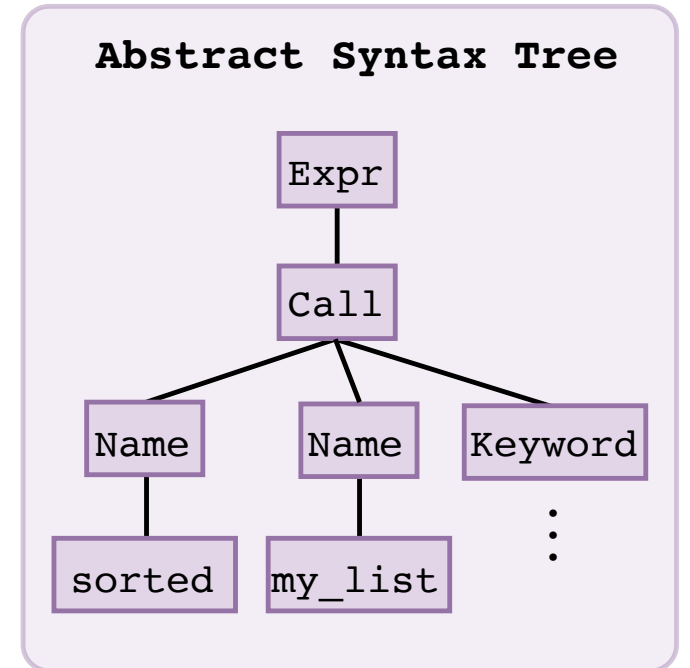
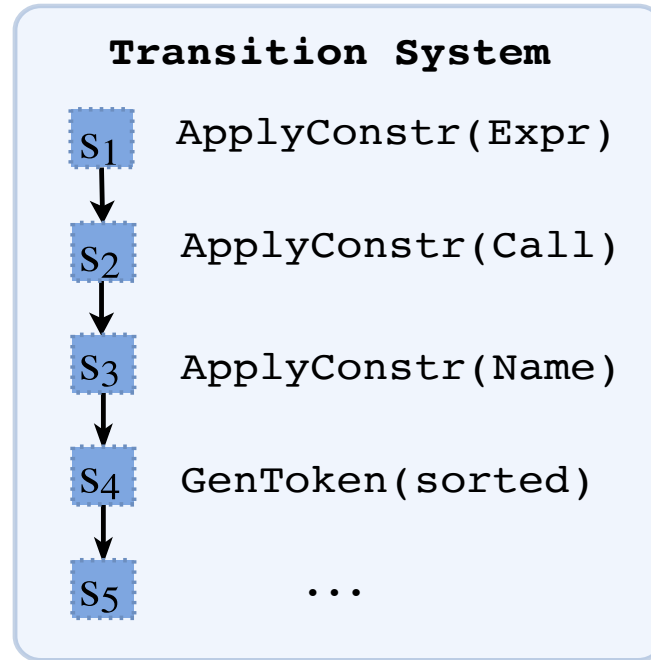
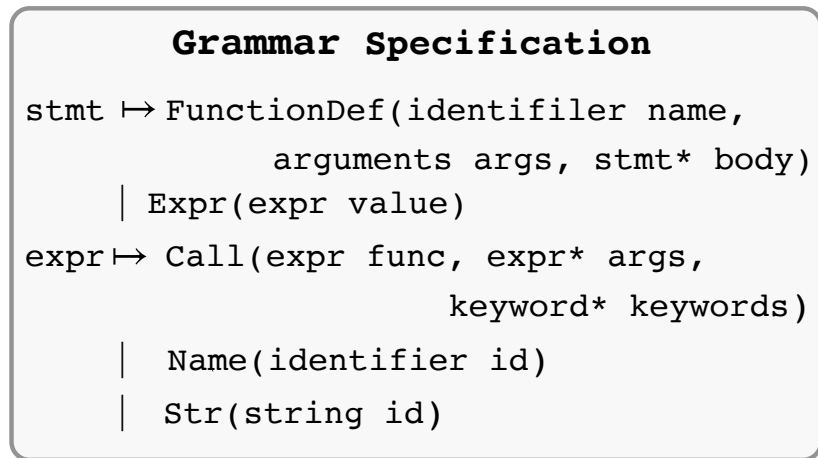
$a_t$  Rule Application

$a_t$  Token Generation



# TranX: Transition-based Abstract SyntaX Parser

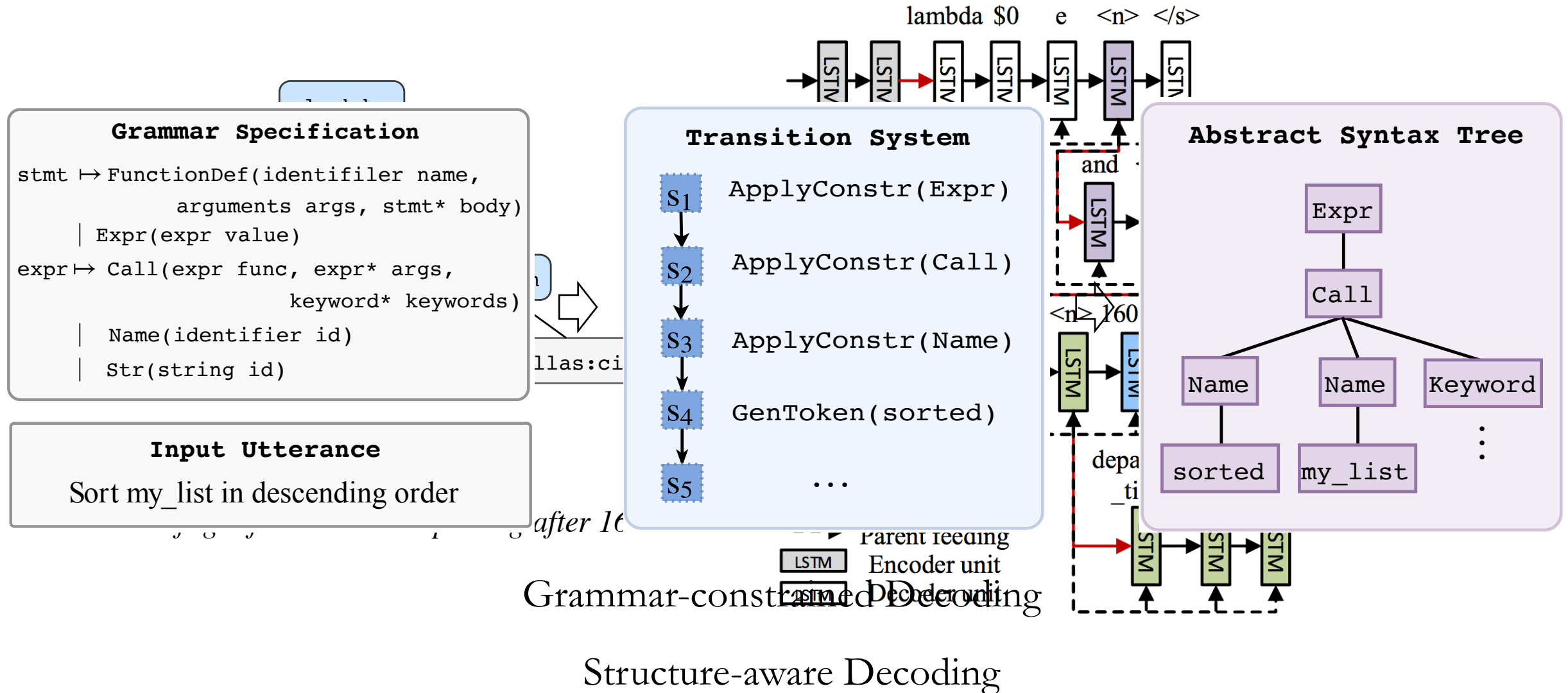
- Convenient interface to specify task-dependent grammar in plain text
- Customizable conversion from abstract syntax trees to domain-specific programs
- Built-in support for many languages: Python, SQL, Lambda Calculus, Prolog...



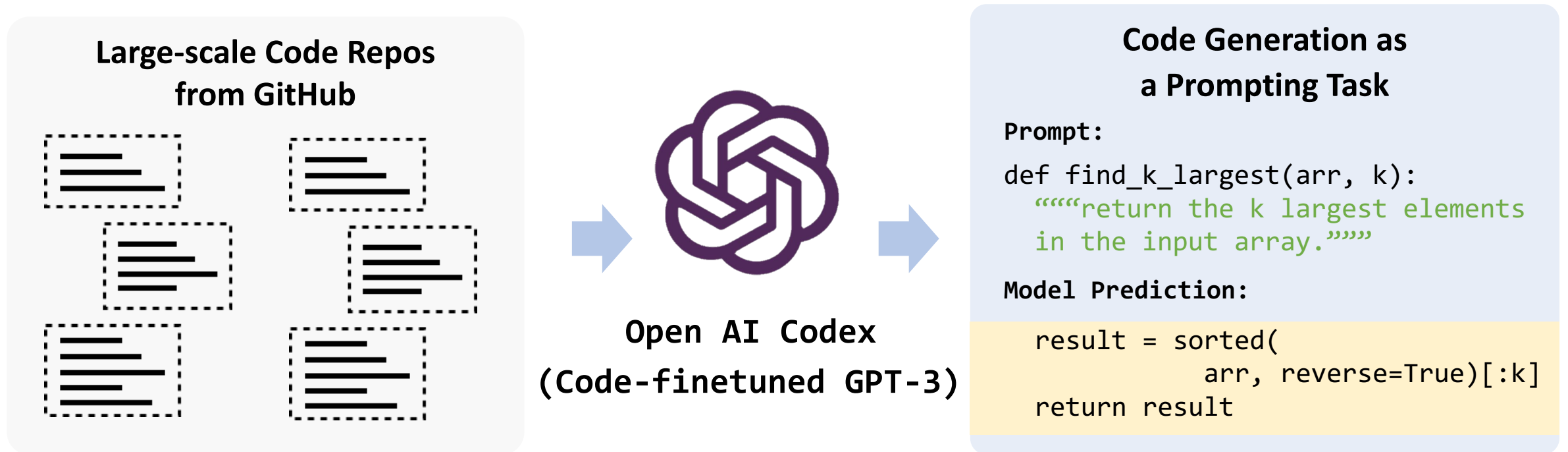
[github.com/pcyin/tranX](https://github.com/pcyin/tranX)

# Summary: Supervised Learning of Semantic Parsers

**Key Research Question:** constrain the output space following the structure of programs



# Wait.... Do We Really Need Program Structures?



- **Open AI Codex:** Large-scale transformer language model pre-trained on 170+ GB of GitHub code data

# Impressive Performance of Large Code Language Models

```
def solution(lst):  
    """Given a non-empty list of integers, return the sum of all of the odd elements  
    that are in even positions.  
  
    Examples  
    solution([5, 8, 7, 1]) ==>12  
    solution([3, 3, 3, 3, 3]) ==>9  
    solution([30, 13, 24, 321]) ==>0  
    """  
    return sum(lst[i] for i in range(0, len(lst)) if i % 2 == 0 and lst[i] % 2 == 1)
```

Solves nearly 50% such simple coding programs using only 10 samples

# Do We Really Need Program Structures?

- Perhaps.... not? Large language models trained on code seldom make syntax errors
- However, language models treat code as plain text without modeling its rich semantics (e.g., variables defined in the context).
- We hope to language models could capture program semantics with large-scale data, but this is not very sample efficient.

```
int foo() {  
  x.write(); Undefined variable.  
  x.flush();  
}
```

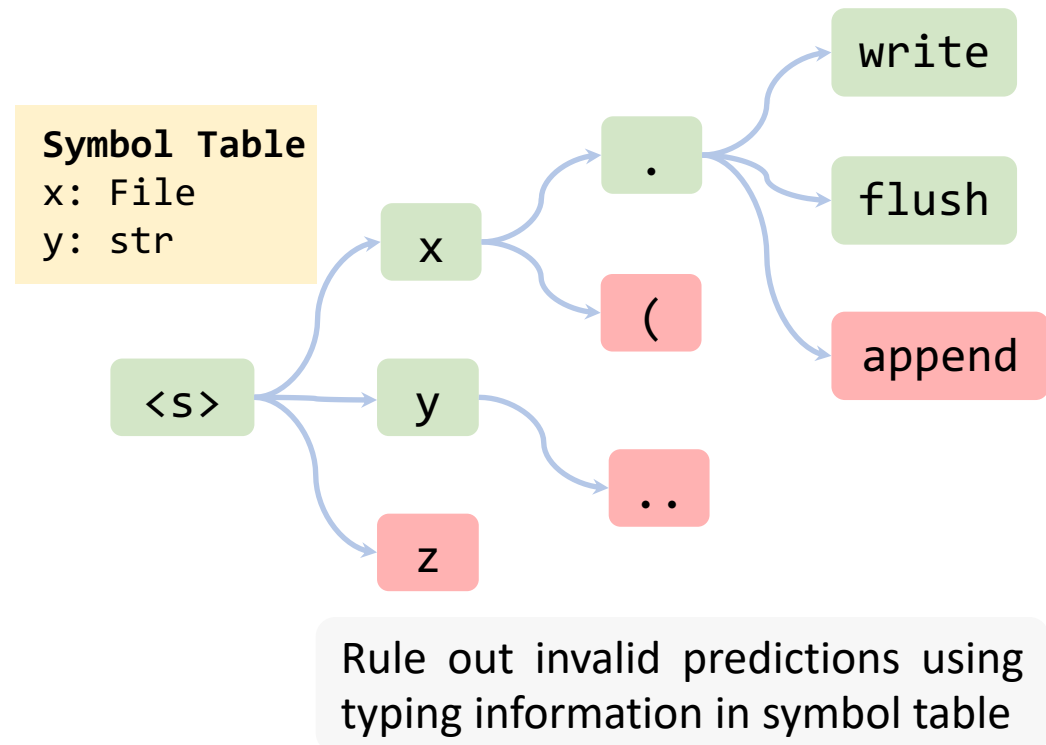
```
int foo() {  
  String x = "Hello";  
  return x; Return type violation  
}
```

Large language models are still prone to such semantic errors.

# How Constrained-decoding can be Useful?

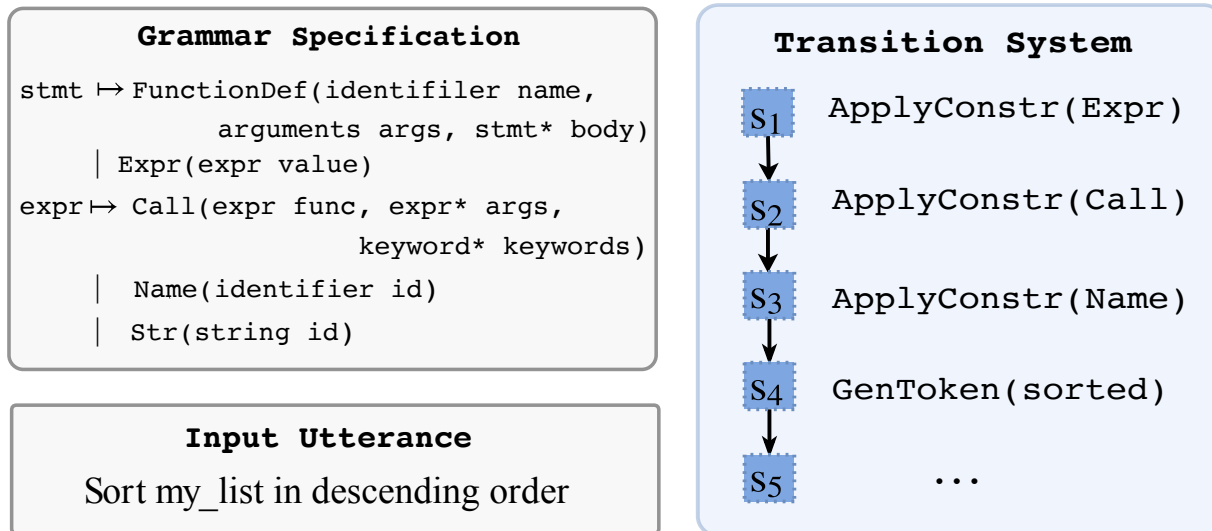
- Instead of constraining the generation based on the syntactic grammar, we constrain generation using the semantic properties of the programmatic context
  - Semantic properties can be inferred using a static program analyzer
  - We can augment the **neural** model with information from the **symbolic** analyzer

```
int foo() {  
  x.write(); Undefined variable.  
  x.flush();  
}
```

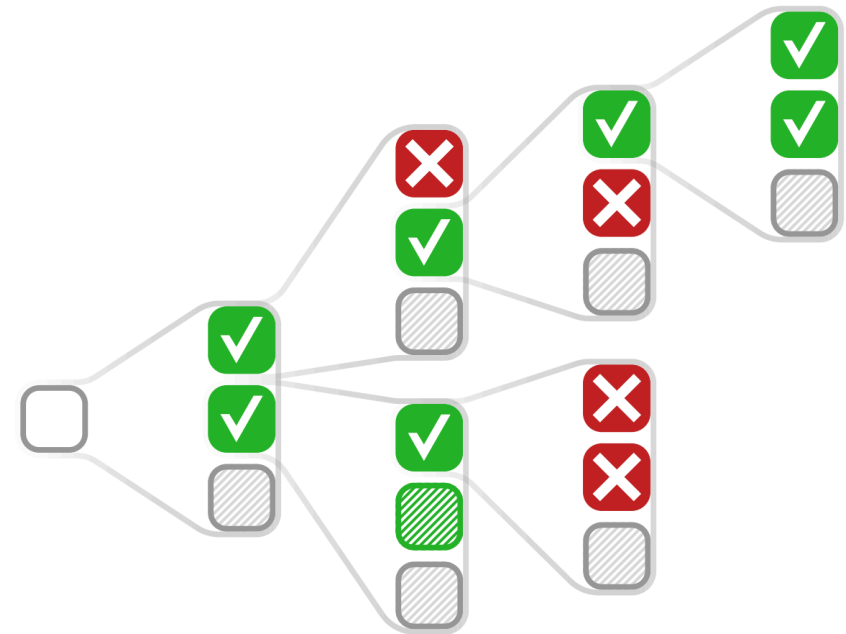


# Brief Summary

- **Grammar-constrained decoding** was quite popular for semantic parsing and code generation before pre-trained language models.
- With **pre-trained language models** on code, constrained decoding using semantic information of programs could still be useful to control and decoding process and prune invalid predictions.



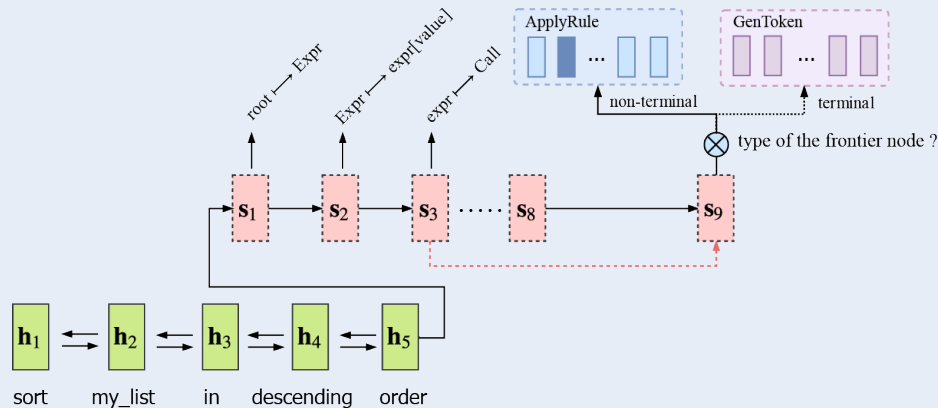
Grammar-constrained Decoding



Generalized-constrained Decoding  
[Scholak et al., 2021; Mukherjee et al., 2021]

# Supervised Learning: the Data Inefficiency Issue

## Supervised Parsers are Data Hungry



Purely supervised neural semantic parsing models require large amounts of training data 🍴

## Data Collection is Costly

*Copy the content of file 'file.txt' to file 'file2.txt'*  
`shutil.copy('file.txt', 'file2.txt')`

*Get a list of words `words` of a file 'myfile'*  
`words = open('myfile').read().split()`

*Check if all elements in list `mylist` are the same*  
`len(set(mylist)) == 1`

Collecting parallel training data costs 💰 and 🧠

\*Examples from [conala-corpus.github.io](https://github.com/conala-corpus) [Yin et al., 2018]  
1700 USD for <3K Python code generation examples



# Weakly-supervised Learning of Semantic Parsers

## User's Natural Language Query

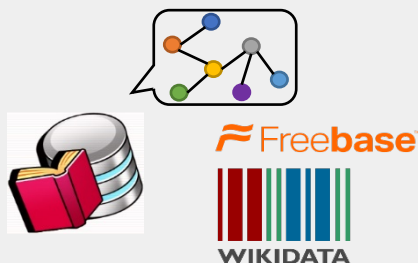
*Show me flights from Pittsburgh to Seattle*

## Parsing to Meaning Representation

```
lambda $0 e (and (flight $0)
  (from $0 pittsburgh:ci)
  (to $0 seattle:ci))
```

As unobserved latent variable

## Query Execution



## Execution Results (Answer)

1. AS 119
2. AA 3544 -> AS 1101
3. ...

Weak supervision signal

Train a semantic parser using natural language query and the execution results  
(a.k.a. Semantic Parsing **with Execution**)

# Weakly-supervised Parsing as Reinforcement Learning

## Weakly Supervised Semantic Parsing



*What is the most populous city in United States?*



City	Country	Population	GDP
New York	USA	8.62M	1275B
Hong Kong	China	7.39M	341.4B
Tokyo	Japan	9.27M	1800B
London	UK	8.78M	650B
Los Angeles	USA	4.00M	941B



Answer: New York

## Hypothesized Programs



```
City.OrderBy(Population)
.First() => Result: Tokyo
```



```
City.Filter(Country=='USA')
.OrderBy(Population)
.First() => Result: New York
```



```
City.Filter(Country=='USA')
.OrderBy(GDP)
.First() => Result: New York
```



# Weakly-supervised Learning -- Challenges

## Hypothesized Programs



```
City.OrderBy(Population)
.First() => Result: Tokyo
```



```
City.Filter(Country=='USA')
.OrderBy(Population)
.First() => Result: New York
```



```
City.Filter(Country=='USA')
.OrderBy(GDP)
.First() => Result: New York
```



## Large Search Space

Exponentially large search space w.r.t. the size of programs

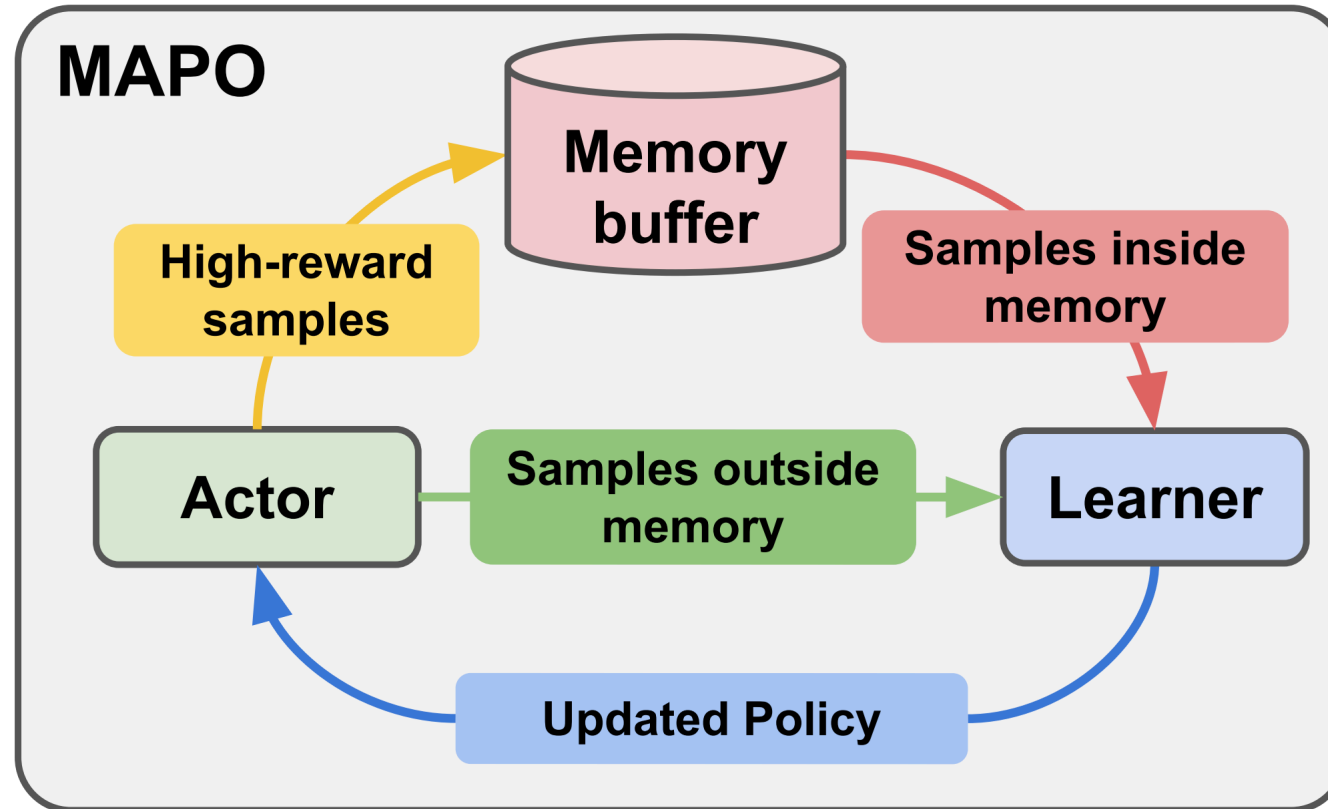
## Very Sparse Rewards

Only very few programs are actually correct

## Spurious Programs

Spurious programs could also hit the correct answer, leading to noisy reward signals.

# Efficient Search: Cache High-reward Programs



- Use a memory buffer to cache high-rewarding logical forms sampled so far
- During training, bias towards high-rewarding queries in the memory buffer

# Few-shot Learning: use a few annotated examples



Translate questions into programs:


Question 1: What is the capital of UK.

Program 1: `Country.Filter(Name='UK').Select(Capital)`

Question 2: What is the most populous city in United States?

Program 2: `City.Filter(Country=='USA').OrderBy(Population).First()`

Question 3: Show me countries with the highest GDP?

Program 3: `Country.OrderBy(GDP).First()`  **Language model prediction**

- Semantic Parsing as a prompting task using large language models.
- Use a handful amount of demonstration examples in the prompt, ask the model to generate a continuation.

# Few-shot Learning: Represent Programs as Canonical Sentences



Translate questions into programs:

Question 1: What is the capital of UK.

Solution: From Country, get rows whose name is 'UK', select Capital

Question 2: What is the most populous city in United States?

Solution: From City, get rows whose country is USA, rank by Population, get first row

Question 3: Show me countries with the highest GDP?




Solution: From Country, rank by GDP, get first row.



- Better prompt a language model using pseudo-English sentences.
- We can perform constrained decoding on those canonical utterances.

# Zero-shot Learning: Learning using only synthetic Data

## Synchronous Context Free Grammar based on Domain Schema

 \$Entities $\mapsto$ \$property of \$Entity	GetProperty( \$Entity , \$property )
 \$PrepNP $\mapsto$ \$prep \$Entity	\$Entities $\mapsto$ \$EntityType ( \$PrepNP   \$Compl. )
 \$Compl. $\mapsto$ that has the largest \$property	\$Entities $\mapsto$ \$Entities and \$PrepNP

## Synthetic Canonical Examples

*Citation count of Alan Turing*

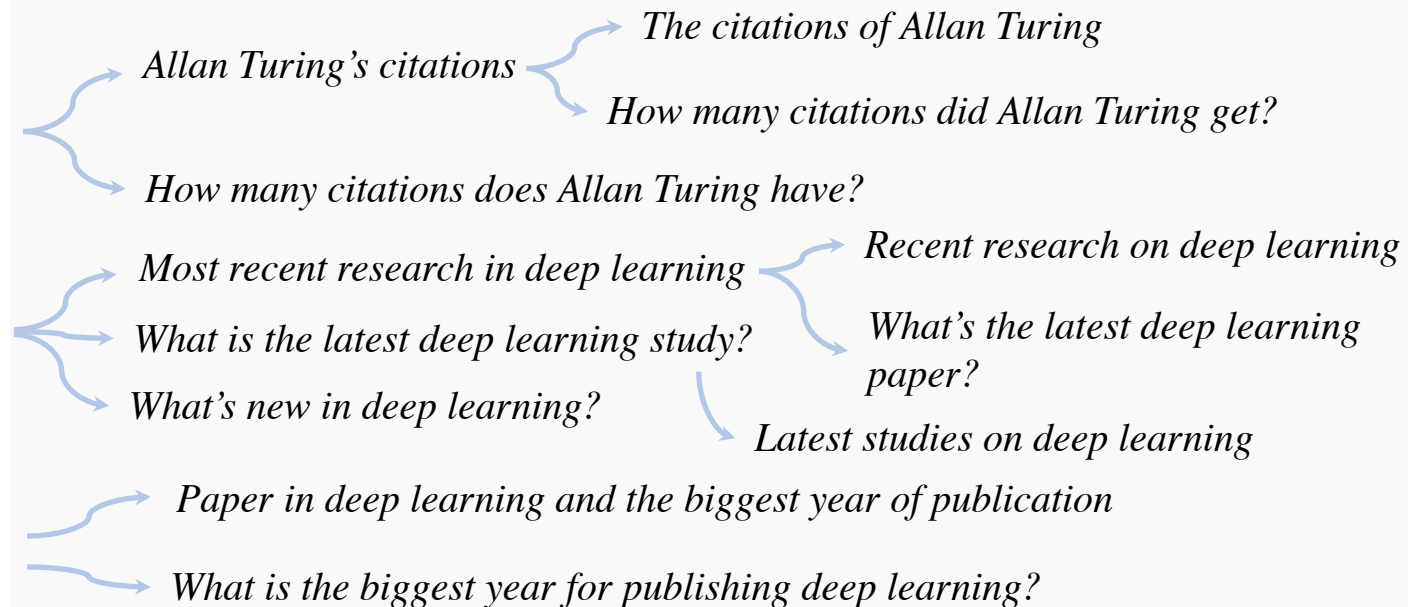
GetProperty(alan\_turing, citation\_num)

*Most recent paper in deep learning*

*Paper that has the largest*

*publication year and in deep learning*

## Iterative Paraphrasing by Paraphrase Generation Model



# Conclusion: Workflow of a Semantic Parser

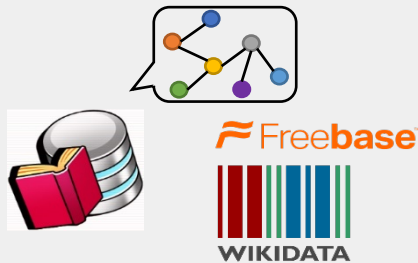
## User's Natural Language Query

*Show me flights from Pittsburgh to Seattle*

## Parsing to Meaning Representation

```
lambda $0 e (and (flight $0)
  (from $0 san_Francisco:ci)
  (to $0 seattle:ci))
```

## Query Execution



## Execution Results (Answer)

1. AS 119
2. AA 3544 -> AS 1101
3. ...



# Conclusion: Learning Paradigms

## Supervised Semantic Parsing



*What is the most populous city in United States?*



```
City.Filter(Country=='USA')  
  .OrderBy(Population)  
  .First() => Result: New York
```

Tree-based Decoding

Grammar-constrained Decoding

Few/zero-shot Training

## Weakly Supervised Semantic Parsing



*What is the most populous city in United States?*



City	Country	Population	GDP
New York	USA	8.62M	1275B
Hong Kong	China	7.39M	341.4B
Tokyo	Japan	9.27M	1800B



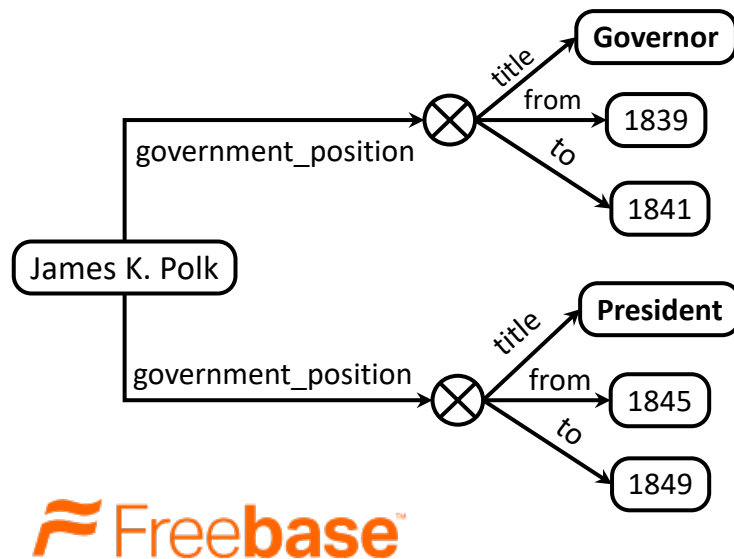
Answer: New York

Efficient Exploration over Large Search Space

Tackle Spurious Programs

# Challenge: Natural Language is Highly Compositional

Q: what was James K. Polk before he was president?



```
SELECT ?job_title.  
FROM Freebase  
WHERE {  
  James K. Polk government_position ?job.  
  ?job title ?job_title.  
  
  ?job to ?to_date.  
  
  FILTER(?to_date < (  
    SELECT ?start_date.  
    WHERE {  
      James K. Polk government_position ?job1.  
      ?job1 title President.  
      ?job1 from ?start_date.  
    }  
  ))  
}
```

Meaning Representation in SPARQL Query

- Sometimes even a short NL phrase/clause has complex structured grounding

# Challenge: Scale to Open-domain Knowledge

- Most existing works focus on parsing natural language to queries to structured, curated knowledge bases
- Most of the world's knowledge has unstructured, textual form!
  - Machine Reading Comprehension tasks (e.g., SQUAD) use textual knowledge

## User's Natural Language Query

*Show me flights from Pittsburgh to Seattle*

## Parsing to Meaning Representation

```
lambda $0 e (and (flight $0)
  (from $0 san_Francisco:ci)
  (to $0 seattle:ci))
```

How to design MRs that can be used to query textual knowledge?

## Query Execution



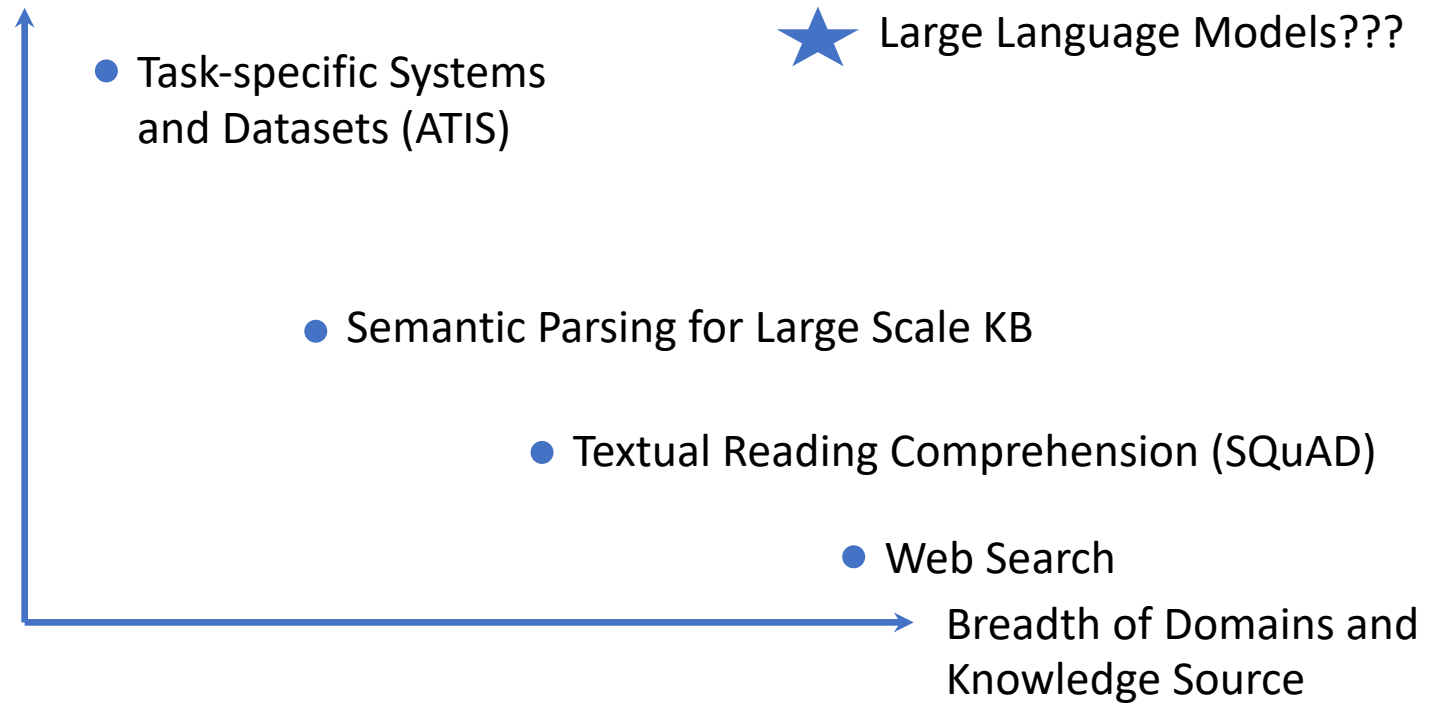
Textual Knowledge (e.g., Wikipedia Articles)

## Execution Results (Answer)

1. AS 119
2. AA 3544 -> AS 1101
3. ...

# Final Notes: Challenges

Depth of Semantic Compositionality





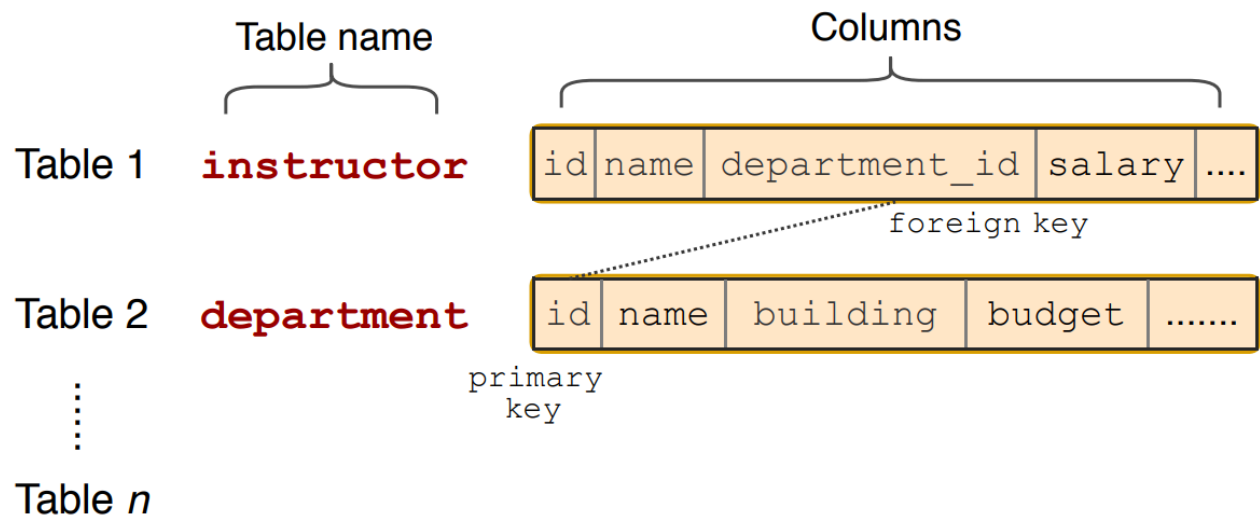
# Supplementary Slides



# More Semantic Parsing Datasets

# Spider

Annotators check database schema (e.g., database: college)



- Examples from 200 databases
- Target SQL queries involve joining fields over multiple tables
- Non-trivial Compositionality
  - Nested queries
  - Set Union
  - ...

Annotators create:

**Complex question** What are the name and budget of the departments with average instructor salary greater than the overall average?

**Complex SQL**

```
SELECT T2.name, T2.budget
FROM instructor as T1 JOIN department as
T2 ON T1.department_id = T2.id
GROUP BY T1.department_id
HAVING avg(T1.salary) >
(SELECT avg(salary) FROM instructor)
```

<https://yale-lily.github.io>

[Yu et al., 2018]

# WikiSQL Dataset

Table: CFLDraft

Pick #	CFL Team	Player	Position	College
27	Hamilton Tiger-Cats	Connor Healy	DB	Wilfrid Laurier
28	Calgary Stampeders	Anthony Forgone	OL	York
29	Ottawa Renegades	L.P. Ladouceur	DT	California
30	Toronto Argonauts	Frank Hoffman	DL	York
...	...	...	...	...

Question:

How many CFL teams are from York College?

SQL:

```
SELECT COUNT CFL Team FROM  
CFLDraft WHERE College = "York"
```

Result:

2

- 80,654 examples of Table, Question, SQL Query and Answer
- **Context** a small, single database table extracted from a Wikipedia article
- **Target** an SQL query



# HearthStone (HS) Card Dataset

- Description: properties/fields of an HearthStone card
- Target code: implementation as a Python class from HearthBreaker



## Utterance (Card Property)

*<name> Divine Favor </name>*

*<cost> 3 </cost>*

*<desc> Draw cards until you have as many in hand as your opponent </desc>*

## Target Code (Python class)

```
class DivineFavor(SpellCard):
    def __init__(self):
        super().__init__("Divine Favor", 3, CHARACTER_CLASS.PALADIN,
                         CARD_RARITY.RARE)
    def use(self, player, game):
        super().use(player, game)
        difference = len(game.other_player.hand) - len(player.hand)
        for i in range(0, difference):
            player.draw()
```

# IFTTT Dataset

- Over 70K user-generated task completion snippets crawled from ifttt.com
- Wide variety of topics: home automation, productivity, etc.
- Domain-Specific Language: IF-THIS-THEN-THAT structure



<https://ifttt.com/applets/1p-autosave-your-instagram-photos-to-dropbox>



**IFTTT Natural Language Query  
and Meaning Representation**

 *Autosave your Instagram photos to Dropbox*

 **IF** Instagram.AnyNewPhotoByYou  
**THEN** Dropbox.AddFileFromURL

Domain-Specific Programming Language

# Django Annotation Dataset

- Description: manually annotated descriptions for 10K lines of code
- Target code: one liners
- Covers basic usage of Python like variable definition, function calling, string manipulation and exception handling

**Utterance** *call the function `_generator`, join the result into a string, return the result*

**Target** `return ''.join(_generator())`



# Notes for Weakly Supervised Parsing

# Weakly-supervised Parsing as Reinforcement Learning

NL question

*What is the most populous city in United States?*



Semantic Parsing



Sampled Logical From  
(Lambda DCS, Liang 2011)

- $z_1$   $\text{argmax}(\lambda x.\text{city}(x) \wedge \text{located}(x, \text{US}), \lambda x.\text{population}(x))$  ✓
- $z_2$   $\text{argmax}(\lambda x.\text{city}(x), \lambda x.\text{population}(x))$  ✗
- $z_3$   $\text{argmax}(\lambda x.\text{city}(x) \wedge \text{loc}(x, \text{US}), \lambda x.\text{GDP}(x))$  ✓

⋮



Query Execution

Answer

(with rewards)

- $y_1$  New York ✓
- $y_2$  Tokyo ✗
- $y_3$  New York ✓



Optimize Objective

Probability of  
Gold Answer

$$p(\mathbf{y}^* = \text{New York}) = p(z_1|\mathbf{x}) + p(z_3|\mathbf{x})$$

Gradient Updates

# Maximum Marginal Likelihood Training Objective

*What is the most populous city in United States?*



Semantic Parsing

Reward

$z_1 \text{ argmax}(\lambda x.\text{city}(x) \wedge \text{located}(x, \text{US}), \lambda x.\text{population}(x))$  ✓

$z_3 \text{ argmax}(\lambda x.\text{city}(x) \wedge \text{loc}(x, \text{US}), \lambda x.\text{GDP}(x))$  ✓

Marginalization over all  
(sampled) hypotheses

$$\nabla \log p_{\theta}(\mathbf{y}^* | \mathbf{x}) = \sum_{z: \text{answer}(z) = \mathbf{y}^*} w(z, \mathbf{x}) \cdot \nabla \log p_{\theta}(z | \mathbf{x})$$

Gold Answer

Candidate Logical Form  
(Latent Variable)

where

$$w(z, \mathbf{x}) = \frac{p_{\theta}(z | \mathbf{x})}{\sum_{z': \text{answer}(z') = \mathbf{y}^*} p_{\theta}(z' | \mathbf{x})}$$

- Intuitively, the gradient from each candidate logical form is weighted by its normalized probability. The more likely the logical form is, the higher the weight of its gradient

# Weakly-supervised Learning Issue 1: Spurious Logical Forms

- **Spurious Logical Forms** have the correct execution result, but are semantically wrong

*What is the most populous city in United States?*



Semantic Parsing

Reward

**Correct**      $z_1$       $\text{argmax}(\lambda x.\text{city}(x) \wedge \text{located}(x, \text{US}), \lambda x.\text{population}(x))$      ✓

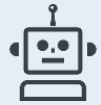
**Spurious**      $z_3$       $\text{argmax}(\lambda x.\text{city}(x) \wedge \text{loc}(x, \text{US}), \lambda x.\text{GDP}(x))$      ✓

- Solutions:
  - Encourage diversity in gradient updates by updating different hypotheses with roughly equal gradient weights (Guu *et al.*, 2017)
  - Use prior lexical knowledge to promote promising hypotheses. E.g., *populous* has strong association with  $\lambda x.\text{population}(x)$  (Misra *et al.*, 2018)

# Tackle Spurious Programs using Heuristics



*What is the most populous city in United States?*



```
City.Filter(Country=='USA')  
  .OrderBy(Population)  
  .First() => Result: New York
```



```
City.Filter(Country=='USA')  
  .OrderBy(GDP)  
  .First() => Result: New York
```



Similarity('populous', population)



Back-translation-score  $p(\text{user} | \text{robot})$

Similarity('populous', GDP)



Back-translation-score  $p(\text{user} | \text{robot})$



# Weakly-supervised Learning Issue 2: Search Space

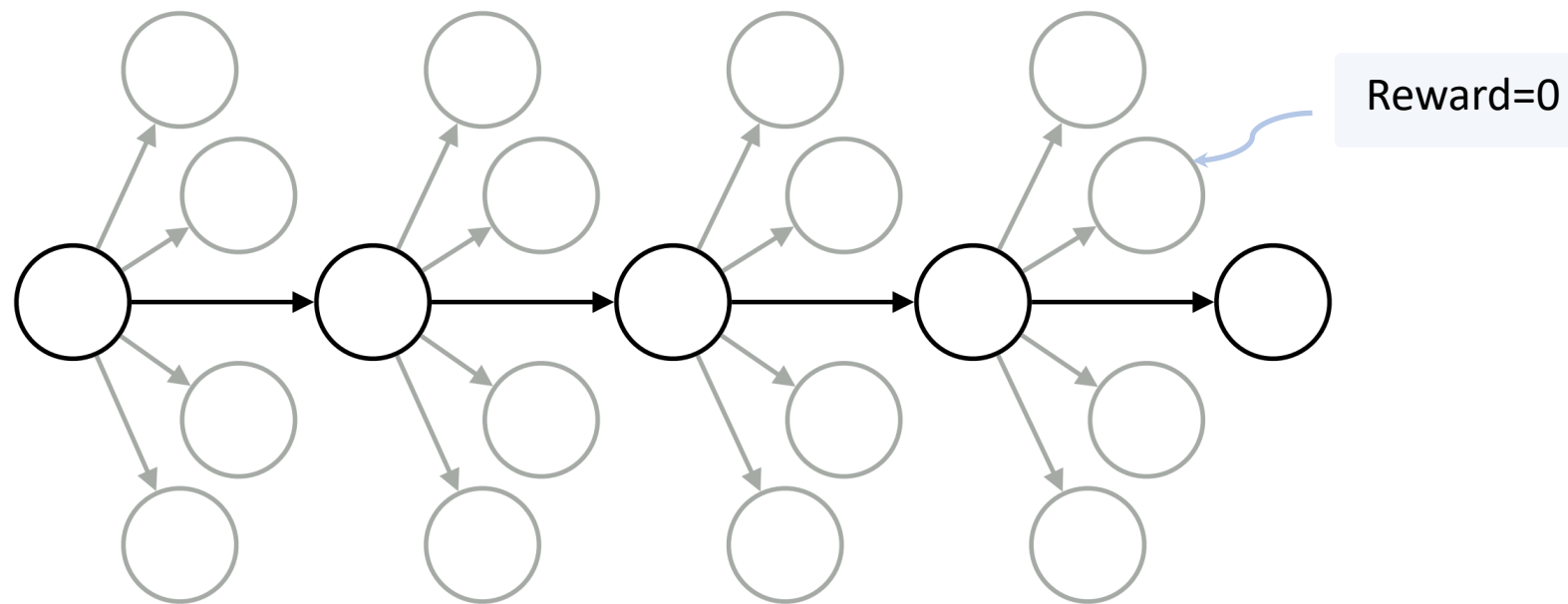
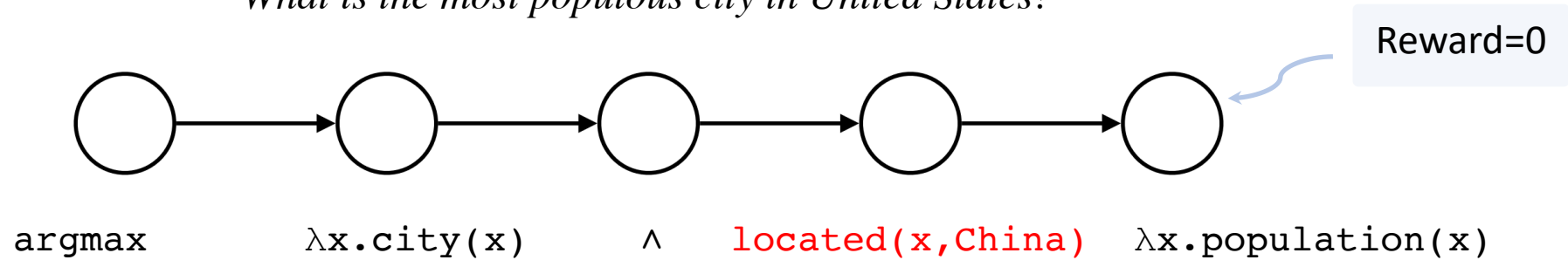
- The space of possible logical forms with correct answers is exponentially large
- How to search candidate logical forms more efficiently?

$$\nabla \log p_{\theta}(\mathbf{y}^* | \mathbf{x}) = \sum_{\mathbf{z} : \text{answer}(\mathbf{z}) = \mathbf{y}^*} w(\mathbf{z}, \mathbf{x}) \cdot \nabla \log p_{\theta}(\mathbf{z} | \mathbf{x})$$

Prohibitively Large  
Search Space

# Efficient Search: Single Step Reward Observation

*What is the most populous city in United States?*



Factorize the reward into each single time step (a.k.a., reward shaping)