

# NEW BREAST CANCER CLASSIFICATION:

Traditional pathology and molecular subtypes  
Prognostic and predictive factors

Frédérique Penault-Llorca

Preceptorship in breast cancer 2019





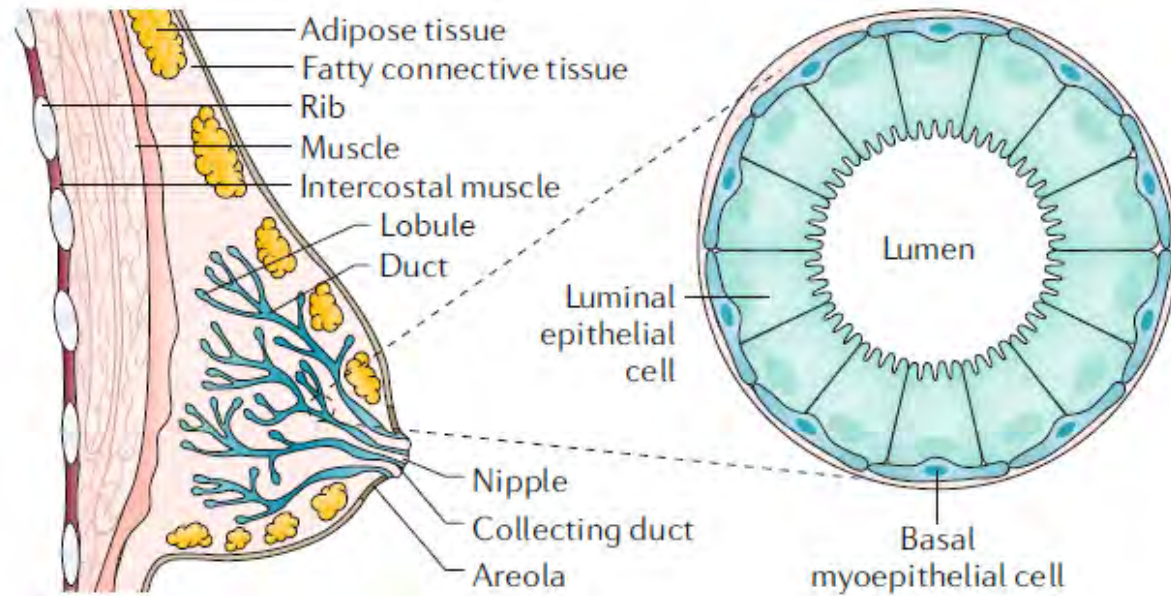
## DISCLOSURE OF INTEREST

Frédérique Penault-Llorca

- **Personal financial interests:** Abbvie, Astrazeneca, Bayer, BMS, Genomic Health, Lilly, MERCK lifa, MSD, Myriad, Nanostring, Novartis, Pfizer, Pierre-Fabre, Roche, Tesaro
- **Institutional financial interests:** Astrazeneca, Bayer, BMS, Genomic Health, MSD, Myriad, Nanostring, Roche
- **Congress invitations:** Abbvie, Astrazeneca, BMS, MSD, Novartis, Roche

## Objectives

To learn about the biology of breast cancer and its implication in the management of BC patients



### Histological subtypes

#### Preinvasive

Ductal carcinoma in situ (DCIS)

- Spreads through ducts and distorts ductal architecture; can progress to invasive cancer; unilateral

Lobular carcinoma in situ (LCIS)

- Does not distort ductal architecture; can be bilateral
- Risk factor rather than precursor

#### Invasive

Ductal carcinoma no special type (NST)

- Develops from DCIS; fibrous response to produce a mass; metastasizes via lymphatics and blood

Lobular carcinoma (ILC)

- Isolated tumor cells (*CDH1* mutations) minimal fibrous response; metastasizes preferentially via viscera

# “What is new”: changes in the practice of breast cancer diagnostics

- **Mass screening**: smaller tumours at diagnosis
- Pre-surgery “**strategic biopsy**”: less frozen sections for breast cancer diagnosis
- Therapeutic **de-escalation** in surgery: **sentinel lymph node assessment**
- Personalized medicine:
  - **Treatment driven by tumour biology** (“intrinsic” classification) rather than by stage
  - **Reflex testing of predictive factors** (hormonal receptors, HER2)
- Therapeutic **de-escalation** in oncology: prognostic and predictive molecular **signatures**

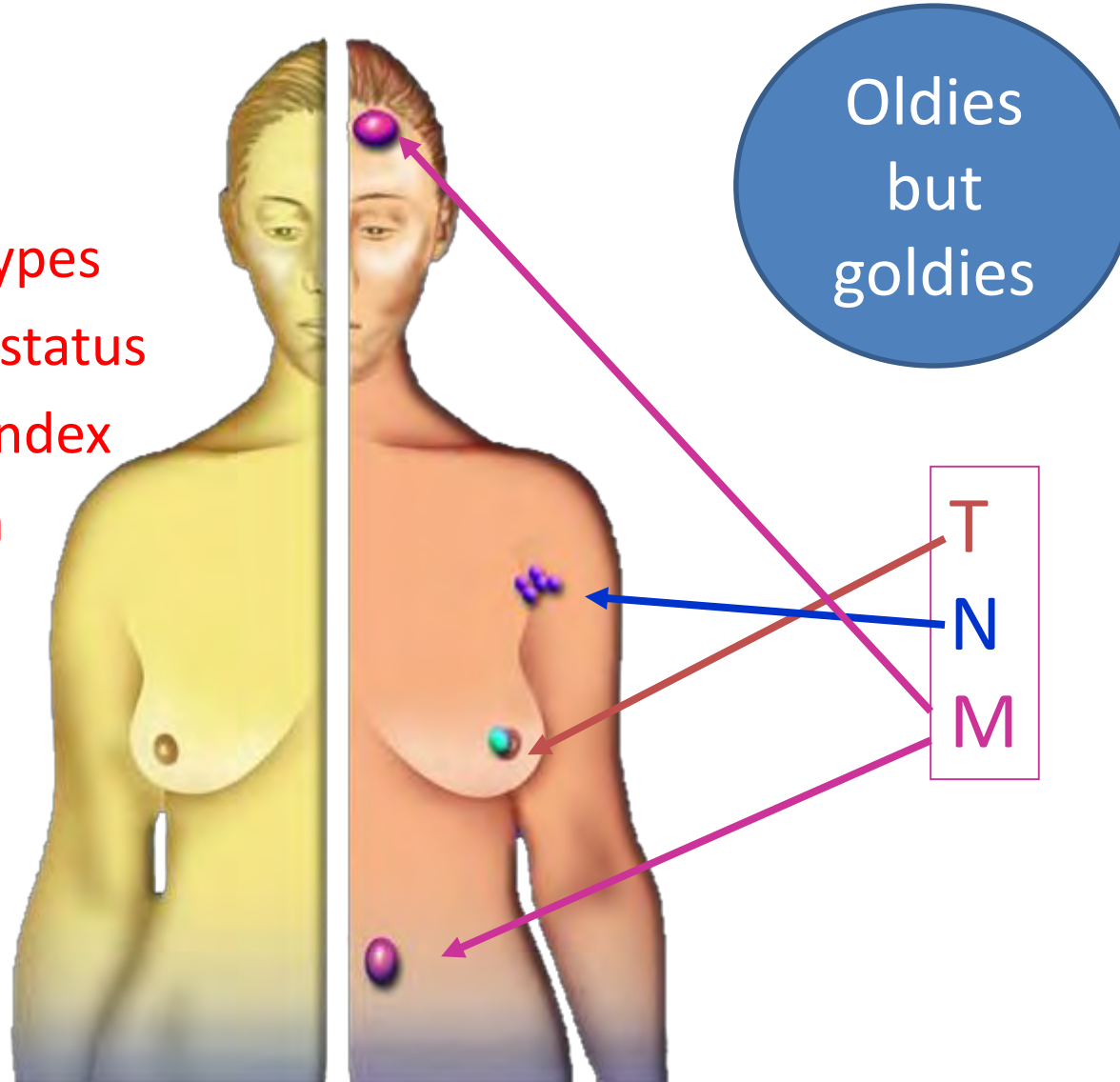
# Outlines

- Breast cancer pathology: the basics revisited
- Molecular pathology
- Specific subtypes
- Molecular signatures
- Molecular stratification of metastatic breast cancer

# **THE CLASSICS**

# Classical prognosis and predictive factors

- Age
- Grade
- Histological subtypes
- ER/PR and HER2 status
- Ki67 +/- mitotic index
- Vascular invasion
- **Tumor margins**





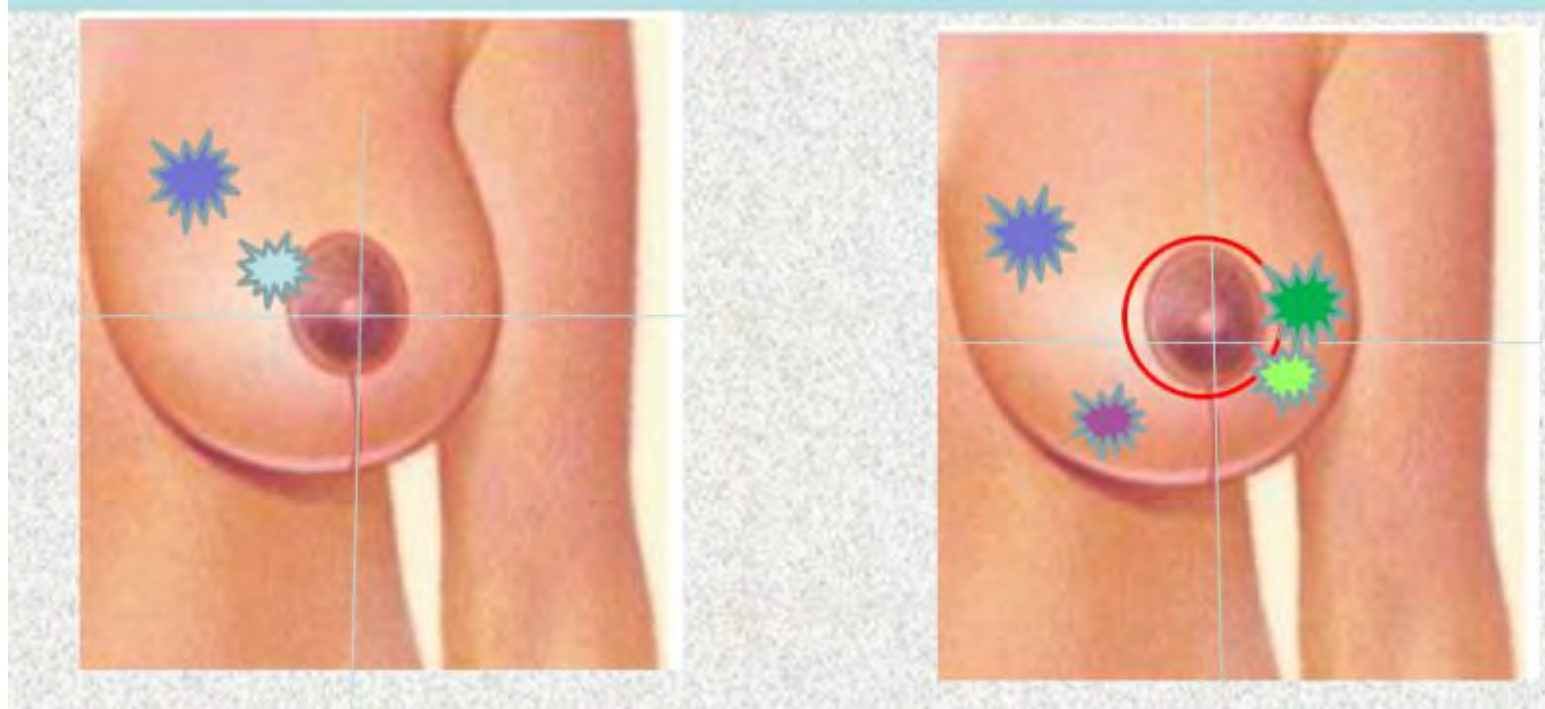
# **CLASSICAL PARAMETERS**

## **TIPS AND TRICKS**

**T**

# Multifocality vs multicentricity

## Fisher Cancer 1975

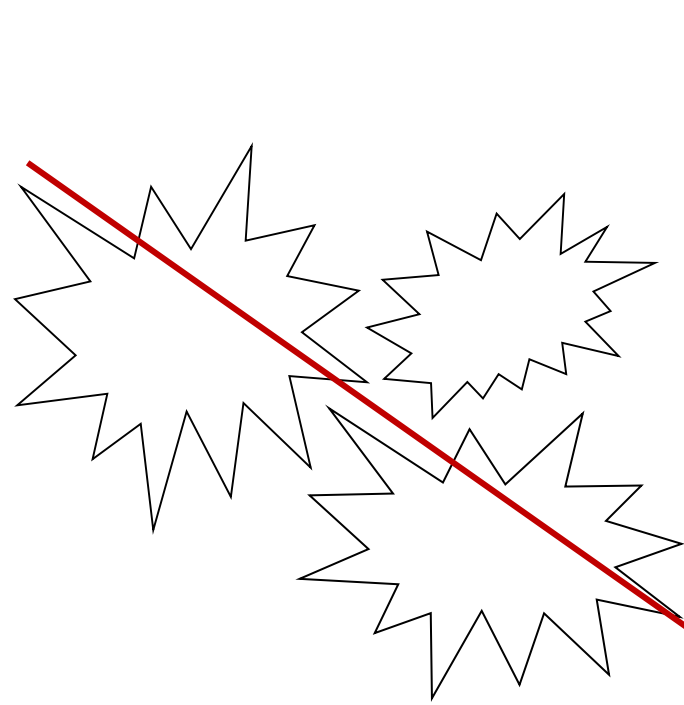
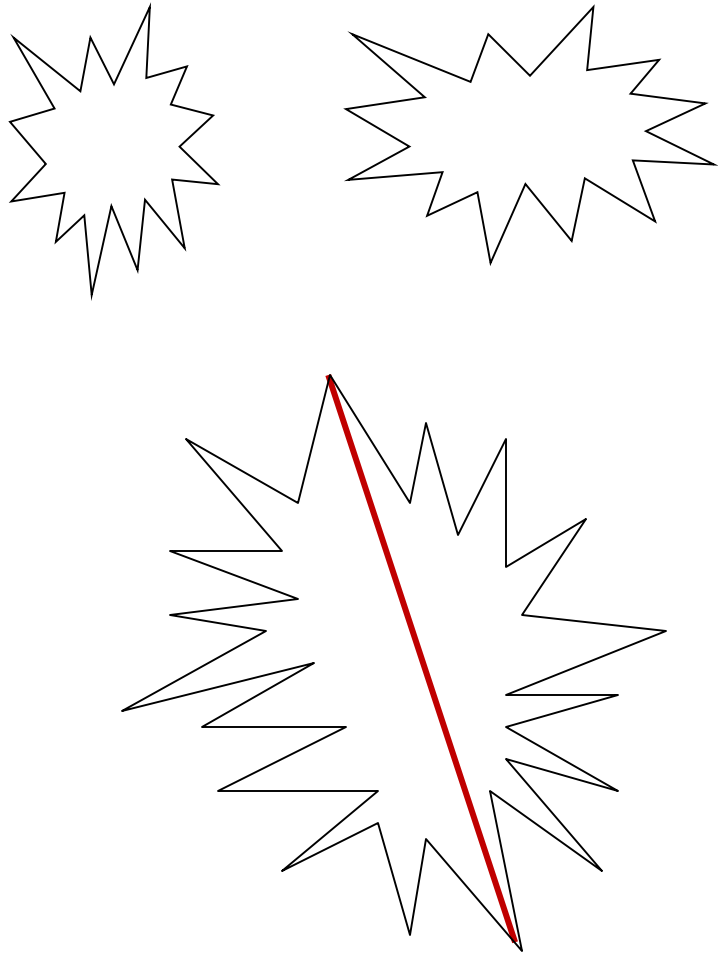


Multifocal

Multicentric

**With the use of MRI: 13-70% of multiple lesions**

# Multiple sites?

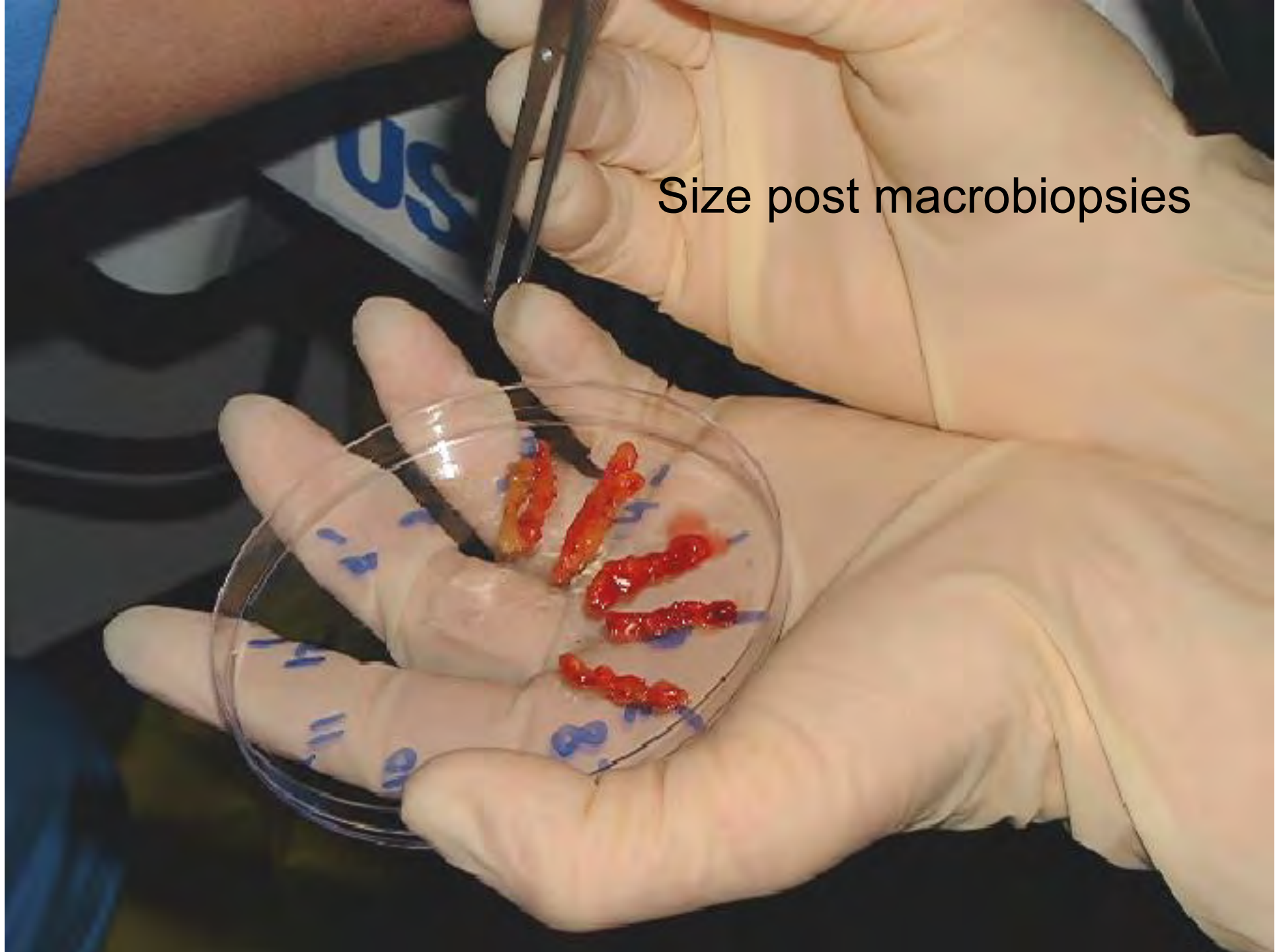


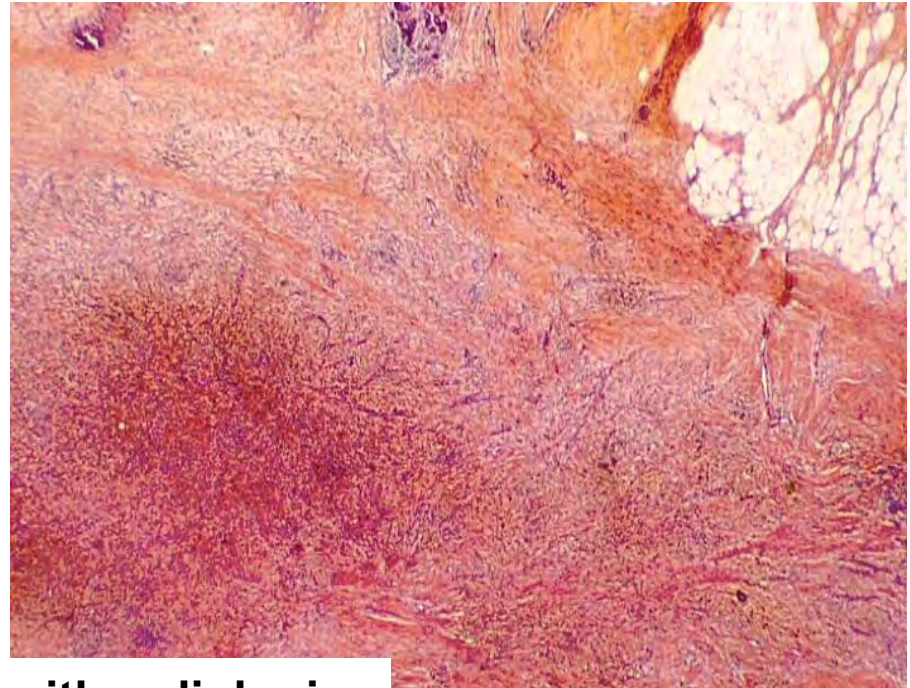
# Clarification of the AJCC 7th edition

## Staging multiple tumors

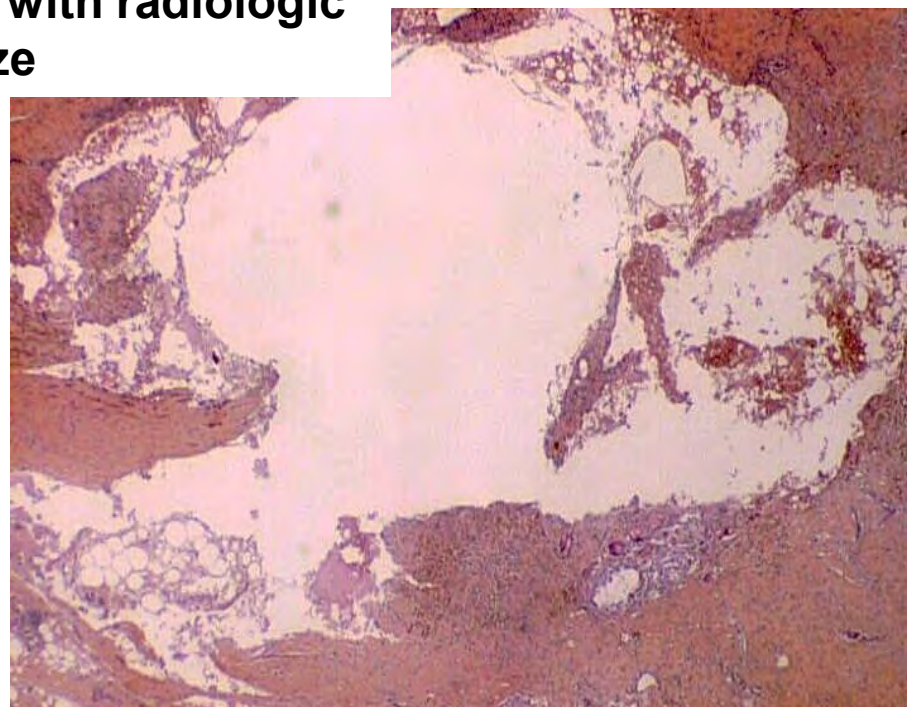
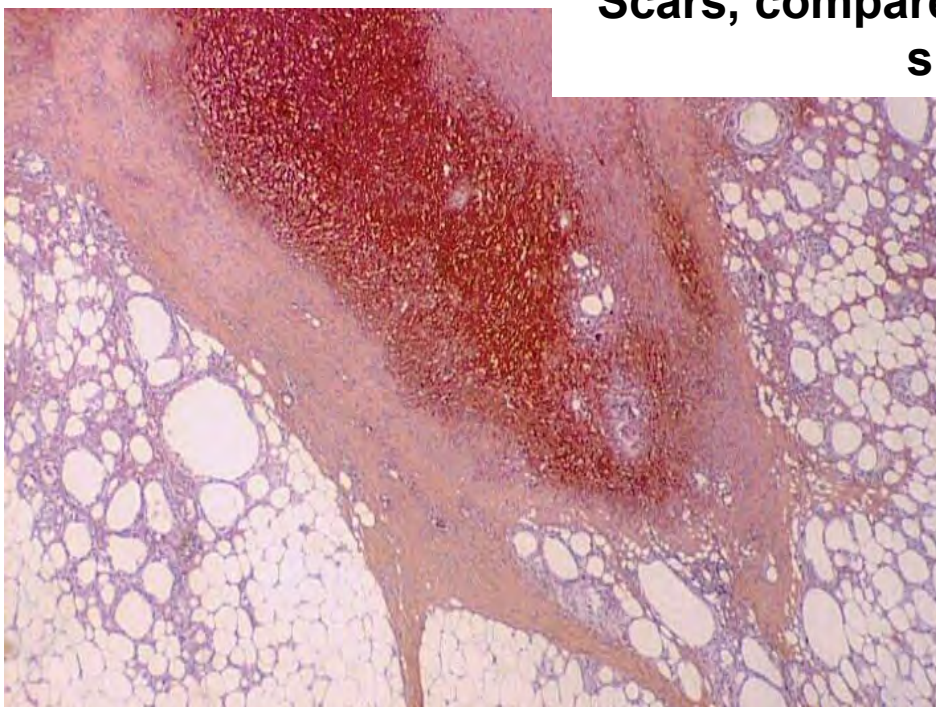
- If in same breast:
  - T category is based on **single largest tumor focus**
  - Don't include satellite foci when measuring tumor size
  - If multiple foci of microinvasion, report the # of foci and **the size of the largest focus** (don't combine)
  - Use (m) modifier
- If bilateral:
  - Stage each side separately

Size post macrobiopsies





**Scars, compare with radiologic size**



# Clarification of the AJCC 7th edition in the 8th edition

- **Correlate gross, microscopic and imaging findings to assign correct pT when necessary.**
  - For small tumors diagnosed by core biopsy, measuring only the residual tumor in the excision may result in understaging.
- Example:
  - 6 mm mass by imaging; largest focus in biopsy core – 4 mm
  - 2 mm focus of residual carcinoma in excision: categorize as pT1b (not pT1a)
  - No residual cancer in excision: categorize as pT1a (not pTX)
- Same rule applies when tumor is present in multiple fragments: Use clinical and imaging findings to assign pT
- pTX should rarely be used



**N**

# Initial concepts for the use of SLNB in Breast Cancer

- Obtention of prognostic information
- Therapeutic role (!)
- Avoid full axillary dissection for pN0 patients



## Consequences:

- Better management of the nodes (full node assessment)
- Changes in the TNM

# Facts about SLNB

- Completion **ALND** is not providing benefit of OS and DFS in **microscopic** metastatic SLN [pN0(i+) and pN1mi].
- Even **macrometastasis** in 1 or 2 SLN(s) in ACOSOG Z0011 did not affect OS.
- SLN biopsy alone can be a **standard practice** demonstrating its efficacy, accuracy **in staging** and equivalent survival outcome when compared to complete ALND and SLNB alone in **T1–T2** breast cancer

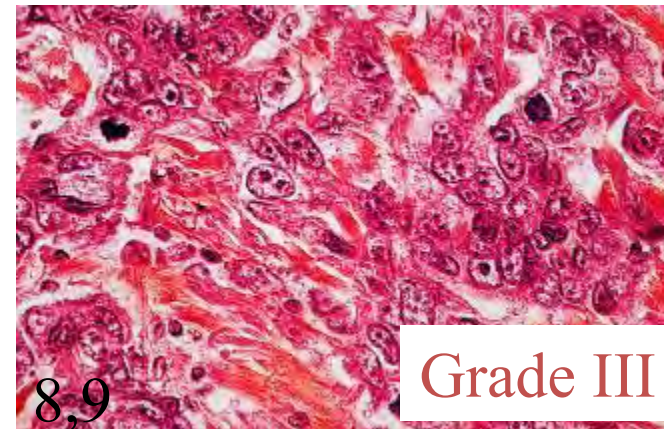
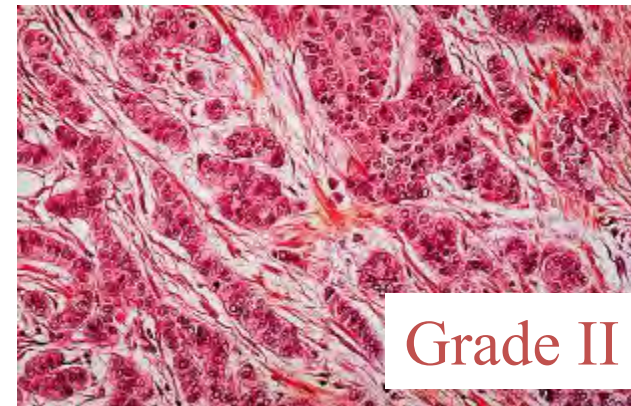
# SLNB conclusion

- **No longer systematic intraoperative assessment**
- In case of + SLN, ALND is no longer systematic and as to be discussed in MDTB
- **Ultra-stadification:**
  - balance between what is useful for the patient or not, and should not be deleterious (over treatment)
  - Careful in case of use of molecular signatures (not validated ith SLNB)
  - Balance between what is possible or not in the lab
  - Depends upon guidelines (adjuvant TT and RTT)
- **NACT: 2 options are possible**
- Pre NACT > post NACT

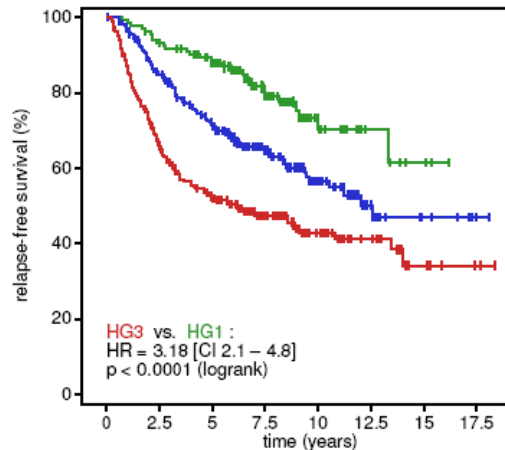
**GRADE**

# SBR grade modified by Elston and Ellis

- Standardization of tumor grading
- France 2010: Gr I 25%, Gr II 50%, Gr III 25%
- Genomic grade : **not confirmed**



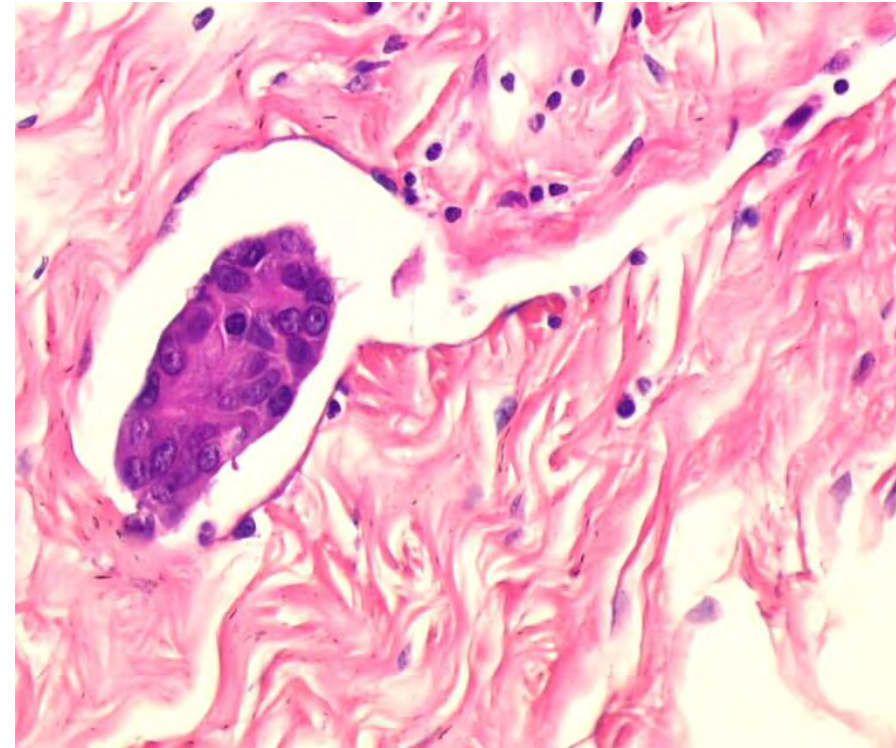
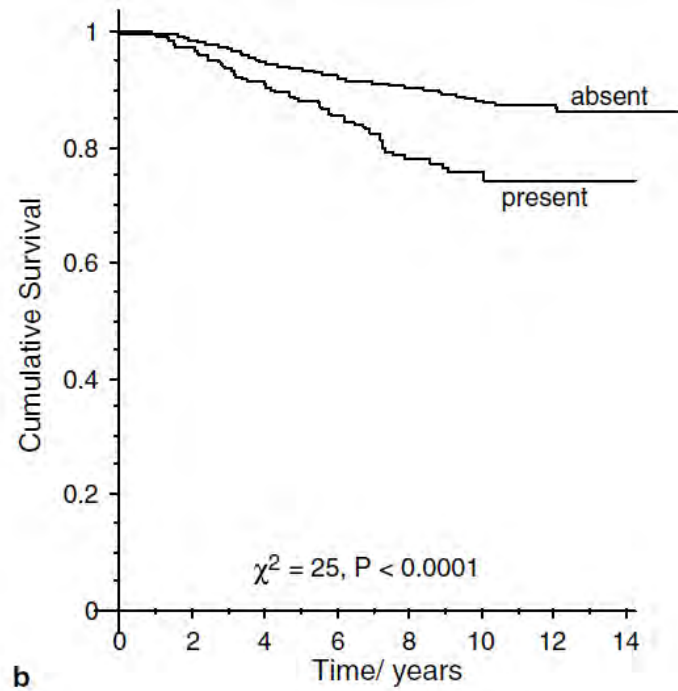
a)



SBR grade and RFS in operable BC (57% N-) treated by adjuvant therapy

# **VASCULAR OR LYMPHATIC EMBOLIES**

# VASCULAR EMBOLI: no value on microbiopsies



Peripheral lymphovascular invasion and BCSS in N- operable BC treated by adjuvant therapy (from Lee)



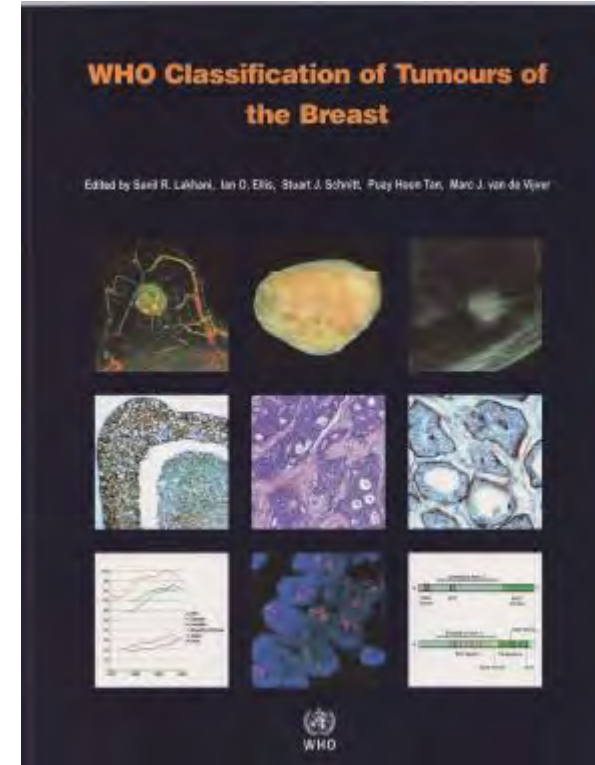
# **HISTOLOGIC SUBTYPES**

# histologic subtypes Epithelial breast cancer WHO 2012

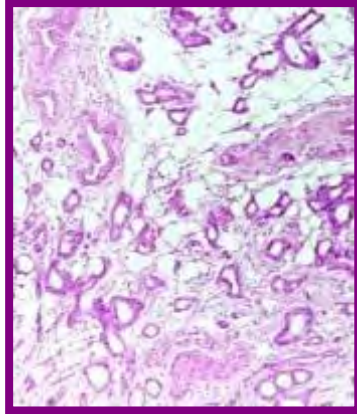
## *Infiltrative carcinoma*

Ductal  
Lobular  
Tubular  
Cribriform  
**Medullary**  
Mucinous  
Neuroendocrine  
Papillary  
Micropapillary  
**Apocrine**

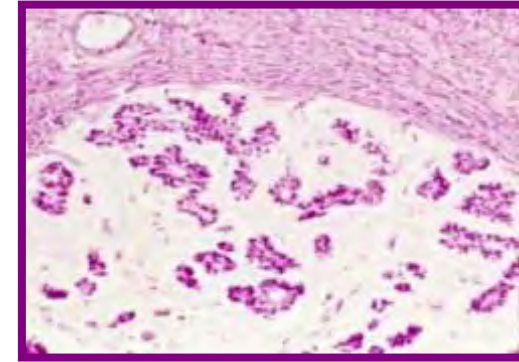
**Metaplastic**  
**Secretory**  
**Lipid Rich**  
**Oncocytic**  
**Adenoid Cystic**  
**Acinar**  
Clear Cell  
**Sebaceous**  
Inflammatory



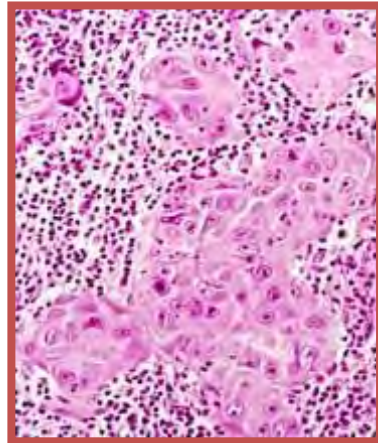
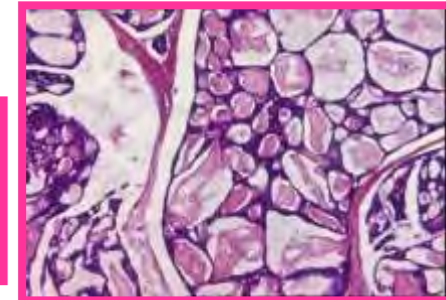
# 19 Histological types: morphology matters!



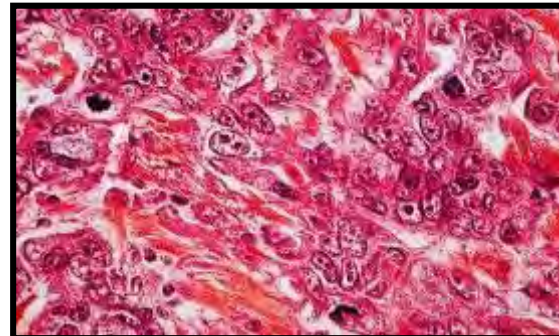
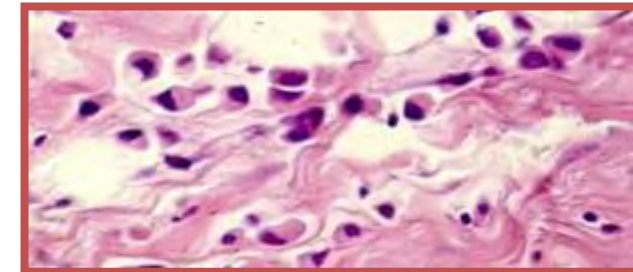
**Group 1 - Excellent prognosis:**  
Tubular, invasive cribriform, mucinous



**Group 2 - Good prognosis:**  
Tubular mixed, mixed ductal NST and special type like adenoid cystic, secretory



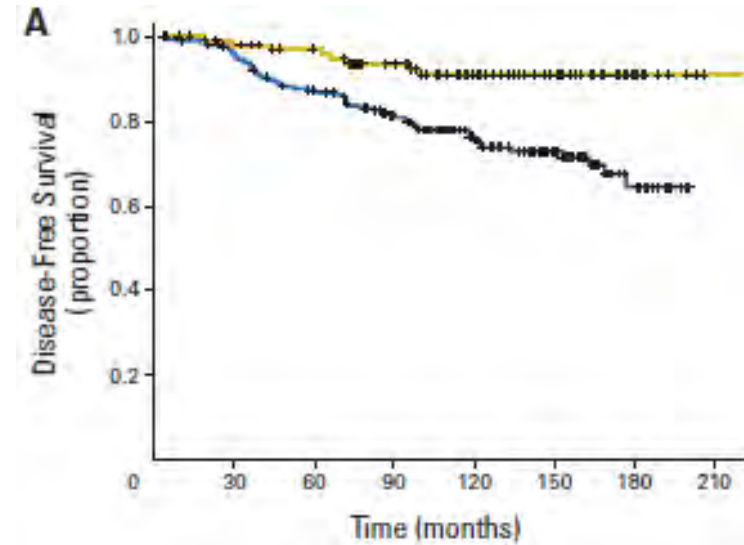
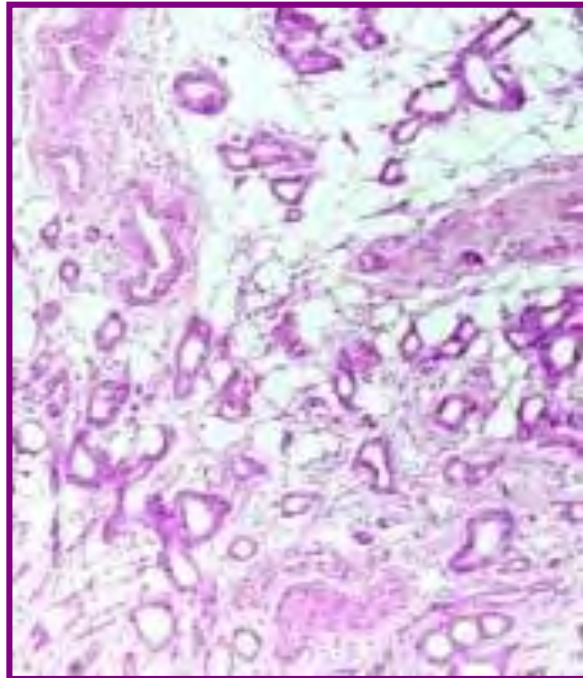
**Group 3 - Average prognosis:**  
Medullary, classical lobular, lobular mixed



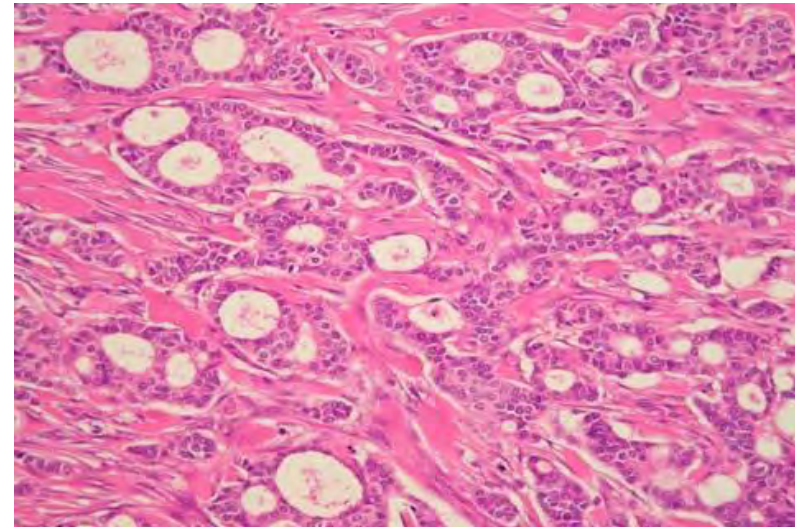
**Group 4 - Poor prognosis**  
Ductal NST, solid lobular, mixed ductal NST and lobular, micropapillary

# Special types

“Tubular and cribriform carcinoma may be suitable for observation without therapy or for endocrine therapy alone”



Tubular carcinoma and DFS (Rakha)



**CLASSICAL TNM AND HISTOPATHOLOGICAL  
PARAMETERS MATTER!**

# Minimal items in a pathology report

**NOT ALL INVASIVE BREAST CANCERS ARE BEHAVE AS  
INVASIVE.... ENCAPSULATED PAPILLARY BC**

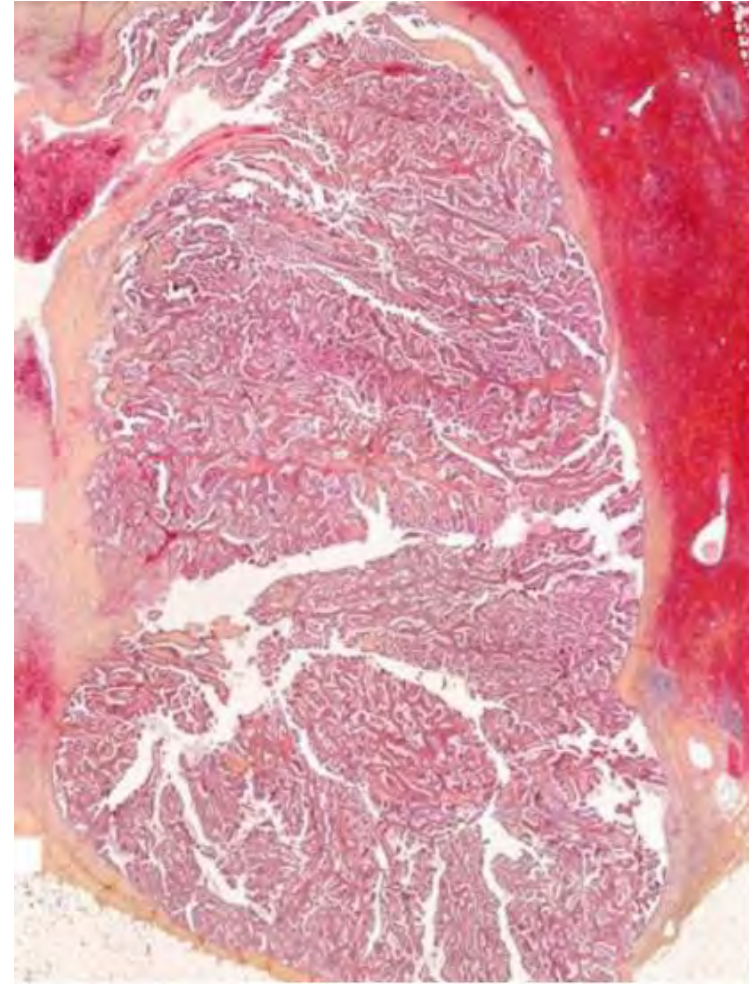
# Carcinomatous lesions with papillary architecture

- Papilloma with DCIS
- DCIS papillary type
- Encapsulated papillary carcinoma → consider as a DCIS, no therapeutic IHC if low grade
- Papillary carcinoma massive type (solid papillary carcinoma) → consider as DCIS
- Infiltrative papillary carcinoma → pT



# Encapsulated papillary carcinoma

- Post menopausal patient (>60yrs)
- Palpable or infraclinical lesion
- Capsule +/- thick
- If low grade:
  - → pTis
  - Treat as a DCIS + SLNB
- If high grade
  - → pT
  - Treat as an invasive carcinoma (RE, PR, HER2)



**NOT ALL BREAST NODULES ORIGINATE FROM  
BREAST**

# Metastasis to the breast

- 0.2 to 1.3% = rare
  - lymphomas
  - Melanoma
  - carcinomas (lung, GYN, kidney, digestive tract, prostate ...)
  - non-mammary neuroendocrine tumors
- 1st clinical sign of the disease in 30% of cases
- Delay between primary tumor and metastasis sometimes very long (22 years) especially for melanoma and ovary.
- Often large masses, fast growing, well limited and round, sometimes superficial
- May mimic benign lesions (ACR3)
- **Often unique**



<i>Tumor site/type (n = 49)</i>	<i>No. of cases/(% of carcinomas)</i>
<i>Ovary (n = 14)</i>	
High-grade serous carcinoma	10 (21)
Low-grade serous carcinoma	3 (6)
Clear cell carcinoma	1 (2)
<i>Lung (n = 11)</i>	
Adenocarcinoma	4 (8)
Large cell neuroendocrine	3 (6)
Poorly differentiated carcinoma	2 (4)
Small cell carcinoma	1 (2)
'Large' cell carcinoma	1 (2)
<i>Gastrointestinal tract (n = 7)</i>	
Colonic adenocarcinoma	3 (6)
Pancreatic adenocarcinoma	2 (4)
Carcinoid (colon)	1 (2)
Carcinoid (liver)	1 (2)
<i>Genitourinary tract (n = 5)</i>	
Urothelial carcinoma (bladder)	2 (4)
Renal cell carcinoma	2 (4)
Prostatic adenocarcinoma	1 (2)
<i>Gynecologic tract (excluding ovary) (n = 5)</i>	
Endometrioid adenocarcinoma (endometrial)	1 (2)
Combined endometrioid/small cell carcinoma (endometrium)	1 (2)
Undifferentiated carcinoma (endometrium)	1 (2)
Choriocarcinoma	1 (2)
Small cell carcinoma (cervix)	1 (2)
<i>Thyroid (n = 2)</i>	
Papillary thyroid carcinoma	2 (4)
Medullary thyroid carcinoma	1 (2)
<i>Skin (n = 2)</i>	
Merkel cell carcinoma	2 (4)
<i>Submandibular gland (n = 1)</i>	
Adenoid cystic carcinoma	1 (2)
<i>Tongue (n = 1)</i>	
Squamous cell carcinoma	1 (2)

## Most frequent primary tumors

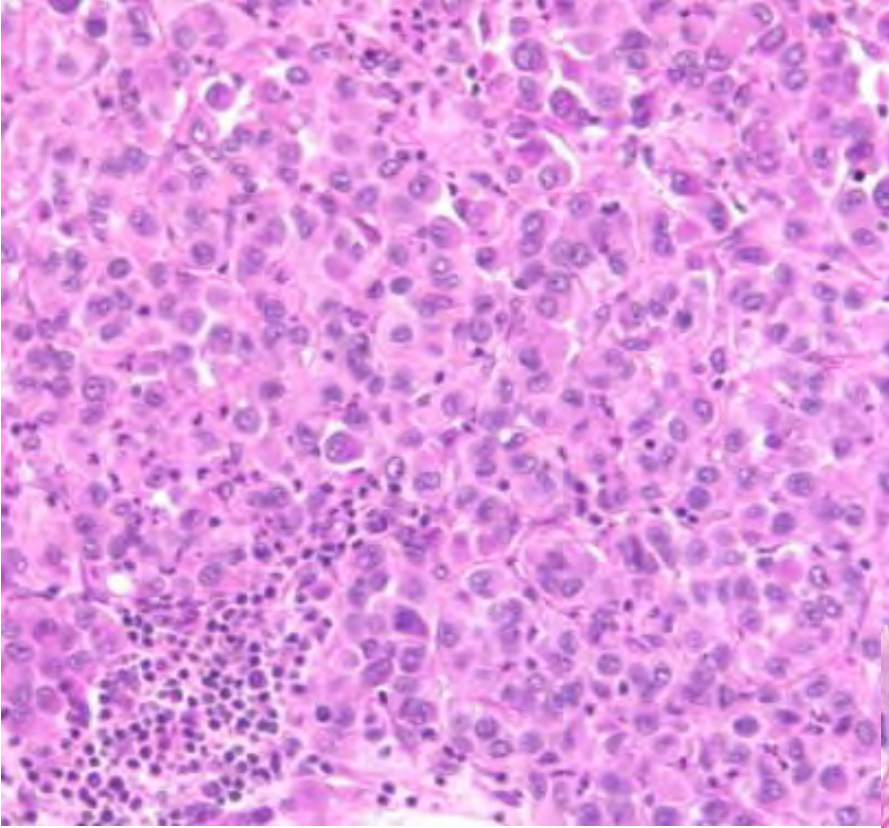
- Carcinoma (58%, 49/85)
- Mélanoma (21%, 18/85)
- Sarcoma (21%, 18/85)

## Among carcinoma :

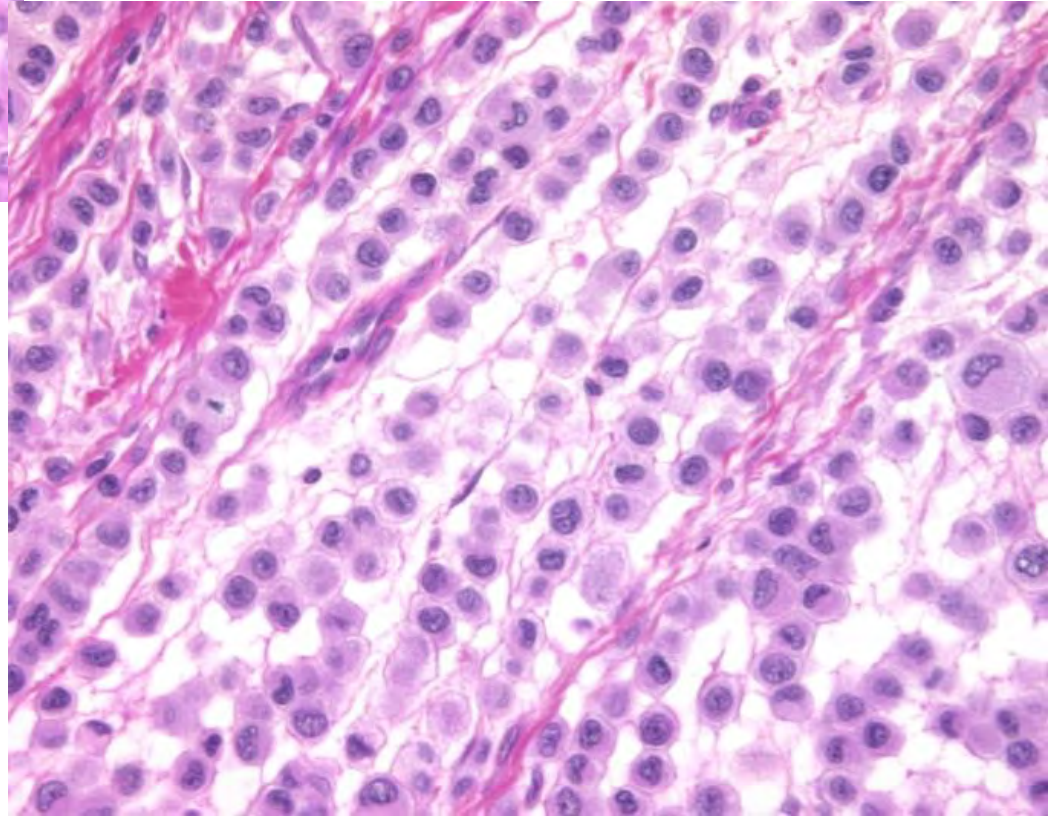
- **GYN cancer**(39%, 19/49)
- Including ovarian K (29%, 14/49)

Non-mammary metastases to the breast and axilla : a study of 85 cases, DeLair and al, Modern Pathology, 2014

# Histology

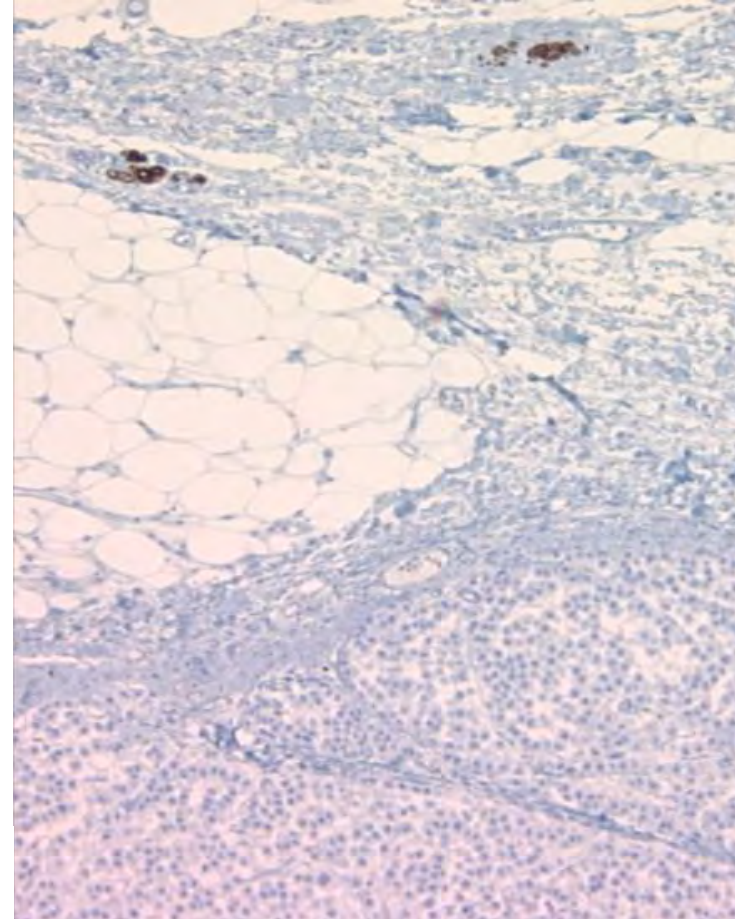


**Looks like a lobular  
But triple negative**



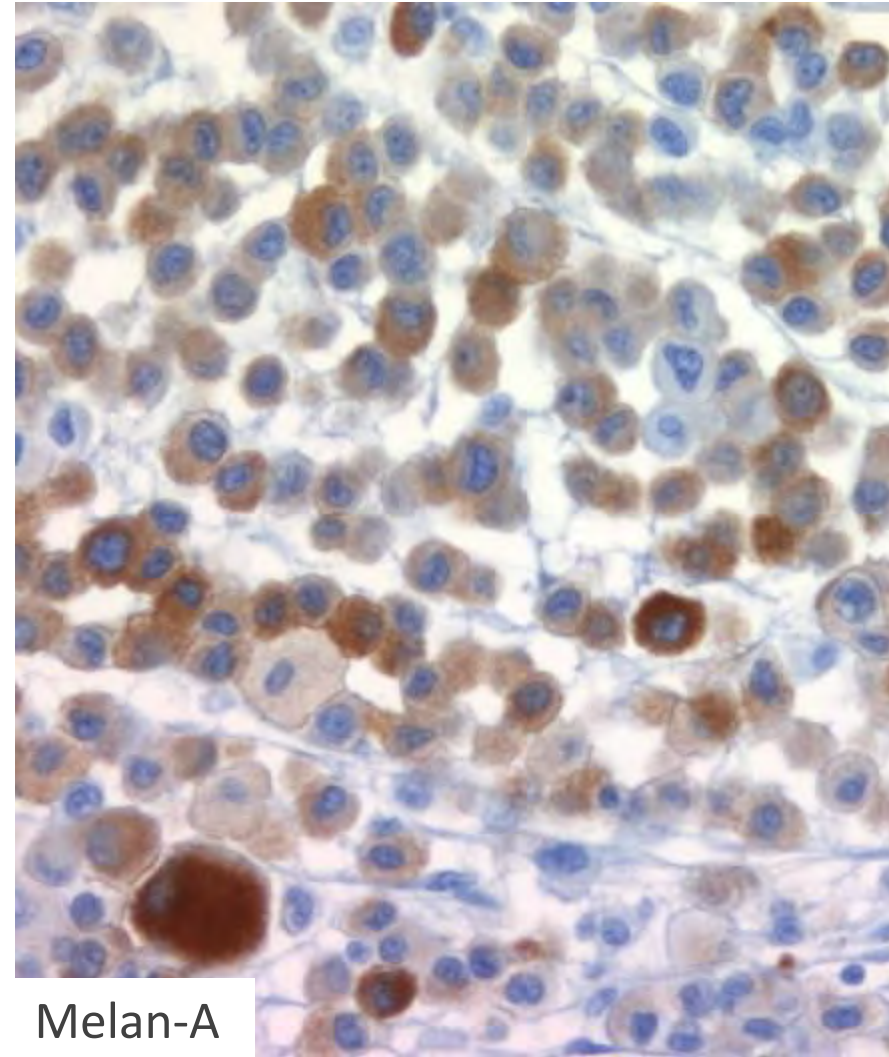
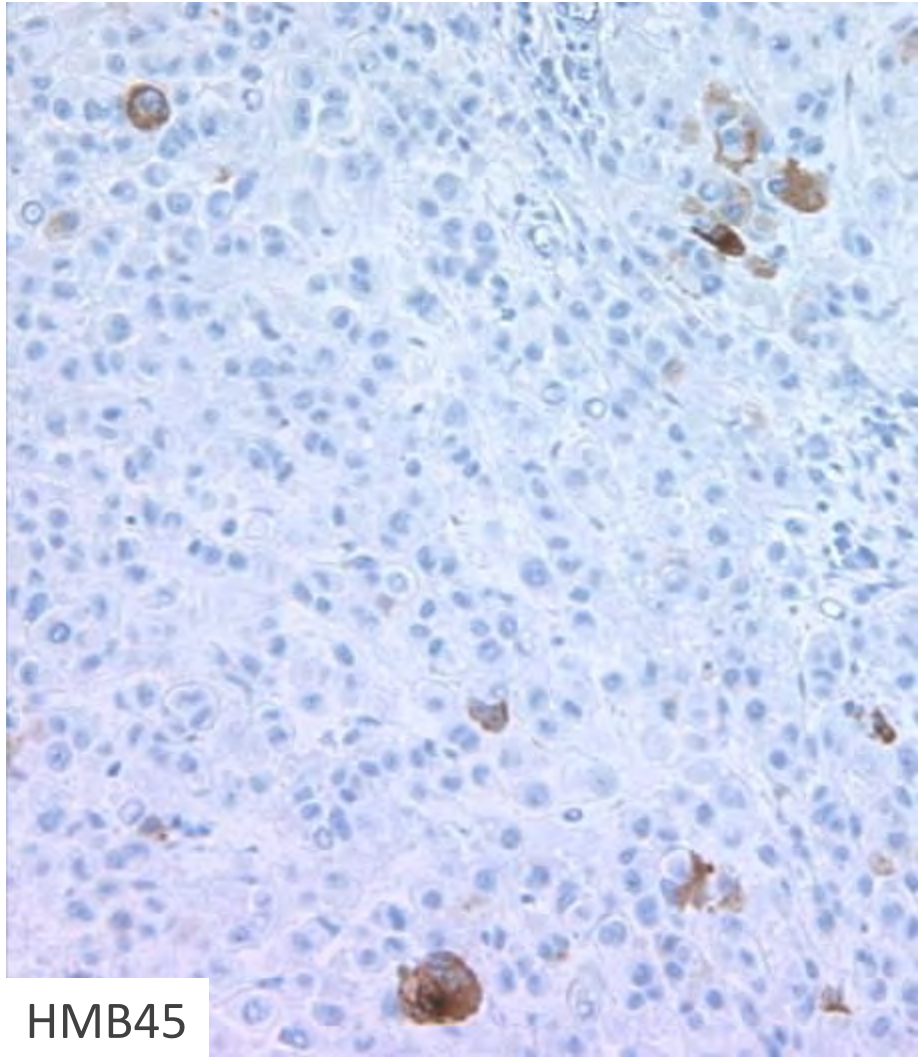
# Mammary or not?

- triple negative (?!) very unusual for a lobular
  - So we did GATA3 → neg
- CK7 & EMA neg



GATA3

# Metastasis from a melanoma



# Always question unusual triple negative tumors

- Unusual clinical presentation
- Clinical history
- The pathologist plays an important role
  - Unusual microscopic aspect
  - Unusual phenotype
  - Absence of DCIS.....



# But sometimes the metastasis is HR+!

1) Tumors usually expressing HR:

Significant expression of ER, PR +/-

- Breast carcinoma: 80%

- Carcinoma of **gynecological origin**: endometrioid carcinoma and serous carcinoma:> 80%

2) Tumors rarely expressing HR:

Often low expression

- Bronchopulmonary adenocarcinoma** (5%)

- Salivary gland tumor**: a minority can express HR (ER and PR): weak expression

- Neuroendocrine carcinomas** and pseudopapillary solid carcinomas of the pancreas: PR only

# Take home message: Is it a primary breast cancer?

- Clinical presentation **large nodules**, growing fast, well demarcated sometimes they are superficial.
- **Can mimick benign lesions (ACR3)**
- Frequently unique
- **→ beware of triple negative lesions with an unusual presentation** (mucinous for instance)
- **→ beware of ER+ with papillary aspects and psammomas**
- **→ aspect of lobular carcinoma in a men**

**Prediction**

Biomarker	Prognostic	Predictive	Technical validation	Clinical validation
ER	++	+++	YES LOE Ib	YES
PgR	+++	++	YES LOE Ib	NO
HER2	++	+++	YES LOE Ib	YES
Ki67	++	+	NO	NO
<b>Test and scoring recommendations</b>				
ER	IHC $\geq 1\%$			
pgR	IHC $\geq 1\%$			
HER2	IHC $\geq 10\%$ cells with complete membrane staining ISH: number of HER2 gene copies $\geq 4$ or the ratio HER2/chromosome 17 $\geq 2$			
Ki67	IHC no final consensus on cut-off around 20% (Ki67 < 10% = low ; Ki67 > 30% = high)			

## Low ER+ Breast Cancer

### Is This a Distinct Group?

*Nika C. Gloyeske, MD, David J. Dabbs, MD, and Rohit Bhargava, MD*

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From the Magee-Womens Hospital of University of Pittsburgh Medical Center, Pittsburgh, PA.

**Key Words:** Low ER+/HER2-; Morphology; Response to neoadjuvant chemotherapy

*Am J Clin Pathol* May 2014;141:697-701

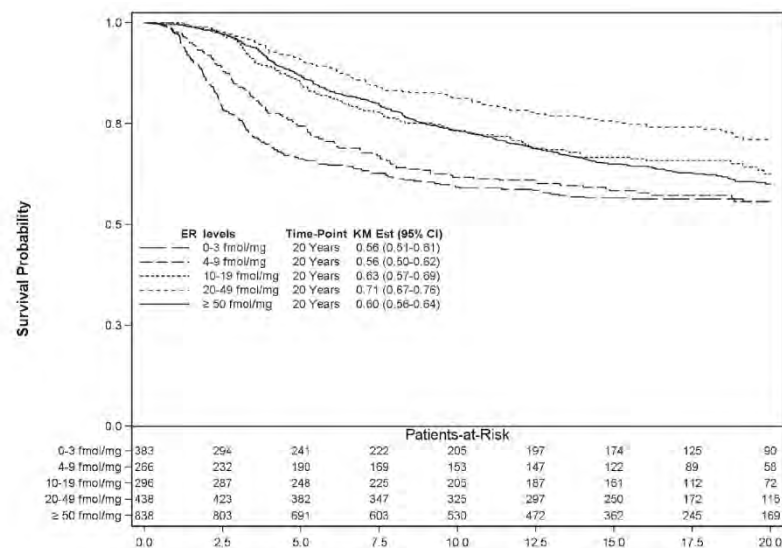
DOI: 10.1309/AJCP34CYSATWFDPO

**Conclusions:** *The low ER+/HER2- cases have morphologic features and a response to the chemotherapy rate that are more similar to triple-negative tumors than the usual type of ER+ tumors.*

- **5%** of BC, usually Grade 3
- Solid and necrotic T
- **80% Ki67 > 50%**
- 94% PR-
- 33% of pCR if NACT

# Prognostic and predictive value of low estrogen receptor expression in breast cancer

A. Bouchard-Fortier MD MSc,\* L. Provencher MD MA,<sup>†‡§</sup> C. Blanchette MSc,<sup>§</sup> and C. Diorio PhD<sup>†‡§</sup>



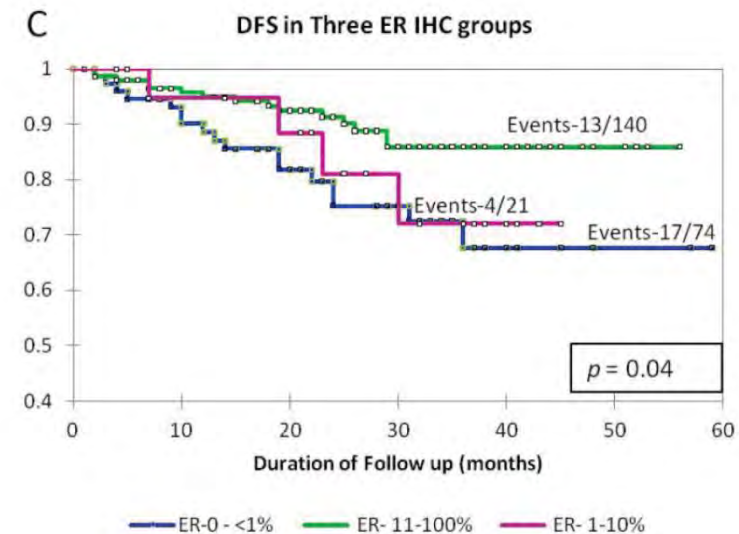
- Cytosolic
- tamoxifen
- Follow up >20 yrs
  - **17%** (383) 0–3 fmol/mg cytosol protein
  - **12%** (266) 4–9 fmol/mg cytosol protein.
- **56% 20 yrs OS** vs 71% for high ER

Research Paper

## A Majority of Low (1-10%) ER Positive Breast Cancers Behave Like Hormone Receptor Negative Tumors

Jyothi S. Prabhu<sup>1</sup>✉, Aruna Korlimarla<sup>1</sup>, Krisha Desai<sup>1</sup>, Annie Alexander<sup>1</sup>, Rohini Raghavan<sup>1</sup>, CE Anupama<sup>1</sup>, Nandini Dendukuri<sup>3</sup>, Suraj Manjunath<sup>2</sup>, Marjorie Correa<sup>4</sup>, N Raman<sup>5</sup>, Anjali Kalamdani<sup>5</sup>, MSN Prasad<sup>5</sup>, K.S Gopinath<sup>5</sup>, B.S. Srinath<sup>6</sup> and T.S. Sridhar<sup>1</sup>

- 240 cases
- 144 high ER (>10%), 75 ER negative and 21 low-ER (1-10%) tumors by IHC
- qRT-PCR test with 6 ER related genes
- **½ low-ER positive tumors → ER negative group based on the probability score**
- 95% of ER negative and 92% of the high ER positive tumors classified correctly ( $p < 0.0001$ ).
- **Survival of the low-ER group was intermediate** between that of the high ER positive and ER negative groups ( $p < 0.05$ ).



# In case of weak ER (1-9%) in practise

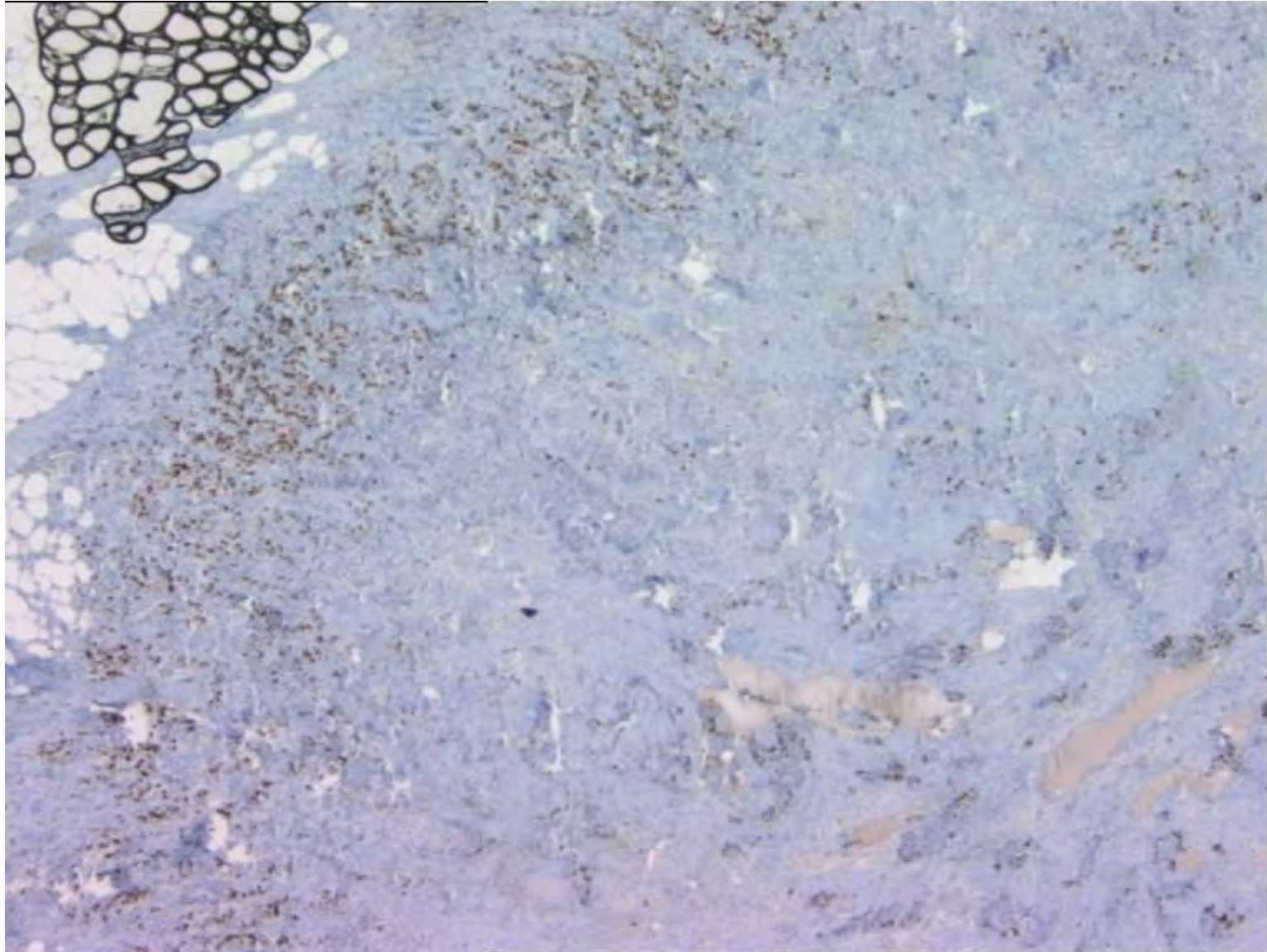
- On biopsy: redo on surgical specimen
- On surgical specimen: take into account also the other parameters
- Role of Gene Expression Signatures (GES) ?



# Ki67 why?

- Definition of luminal A and B
- Decision of CT for ER+, Grade II tumors

Ki67 = Not standardized



# Reproducibility

J Natl Cancer Inst;2013;105:1897–1906

## An International Ki67 Reproducibility Study

Mei-Yin C. Polley, Samuel C. Y. Leung, Lisa M. McShane, Dongxia Gao, Judith C. Hugh, Mauro G. Mastropasqua, Giuseppe Viale, Lila A. Zabaglo, Frédérique Penault-Llorca, John M.S. Bartlett, Allen M. Gown, W. Fraser Symmans, Tammy Piper, Erika Mehl, Rebecca A. Enos, Daniel F. Hayes, Mitch Dowsett, Torsten O. Nielsen, on behalf of the International Ki67 in Breast Cancer Working Group of the Breast International Group and North American Breast Cancer Group

Manuscript received April 2, 2013; revised September 3, 2013; accepted September 16, 2013.

**Correspondence to:** Torsten Nielsen, MD, PhD, FRCPC, University of British Columbia Pathology and Laboratory Medicine, Anatomical Pathology, JP 1401, Vancouver Hospital & Health Sciences Centre, 855 W 12th Ave, Vancouver, BC V5Z 1M9, Canada (e-mail: [torsten@mail.ubc.ca](mailto:torsten@mail.ubc.ca)).

PLOS ONE | DOI:10.1371/journal.pone.0125131 May 1, 2015

## An Interobserver Reproducibility Analysis of Ki67 Visual Assessment in Breast Cancer

Ruohong Shui<sup>1,2</sup>, Baohua Yu<sup>1,2</sup>, Rui Bi<sup>1,2</sup>, Fei Yang<sup>1,2</sup>, Wentao Yang<sup>1,2\*</sup>

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\* [yangwt2000@163.com](mailto:yangwt2000@163.com)

## Interobserver concordance of Ki67 labeling index in breast cancer: Japan Breast Cancer Research Group Ki67 Ring Study

Yoshiki Mikami,<sup>1,10</sup> Takayuki Ueno,<sup>2,10</sup> Kenichi Yoshimura,<sup>3</sup> Hitoshi Tsuda,<sup>4</sup> Masafumi Kurosumi,<sup>5</sup> Shinobu Masuda,<sup>6</sup> Rie Horii,<sup>7</sup> Masakazu Toi<sup>2</sup> and Hironobu Sasano<sup>8,9</sup>

Departments of <sup>1</sup>Diagnostic Pathology, <sup>2</sup>Breast Surgery, Kyoto University Hospital, Kyoto; <sup>3</sup>Translational Research Center, Kyoto University Hospital, Kyoto; <sup>4</sup>Diagnostic Pathology Section, Clinical Laboratory Division, National Cancer Center Hospital, Tokyo; <sup>5</sup>Department of Pathology, Saitama Cancer Center, Saitama; <sup>6</sup>Department of Pathology, Nihon University School of Medicine, Tokyo; <sup>7</sup>Department of Pathology, The Cancer Institute Hospital of the Japanese Foundation for Cancer Research, Tokyo; <sup>8</sup>Department of Pathology, Tohoku University School of Medicine, Sendai, Japan

MODERN PATHOLOGY (2015) 28, 778–786

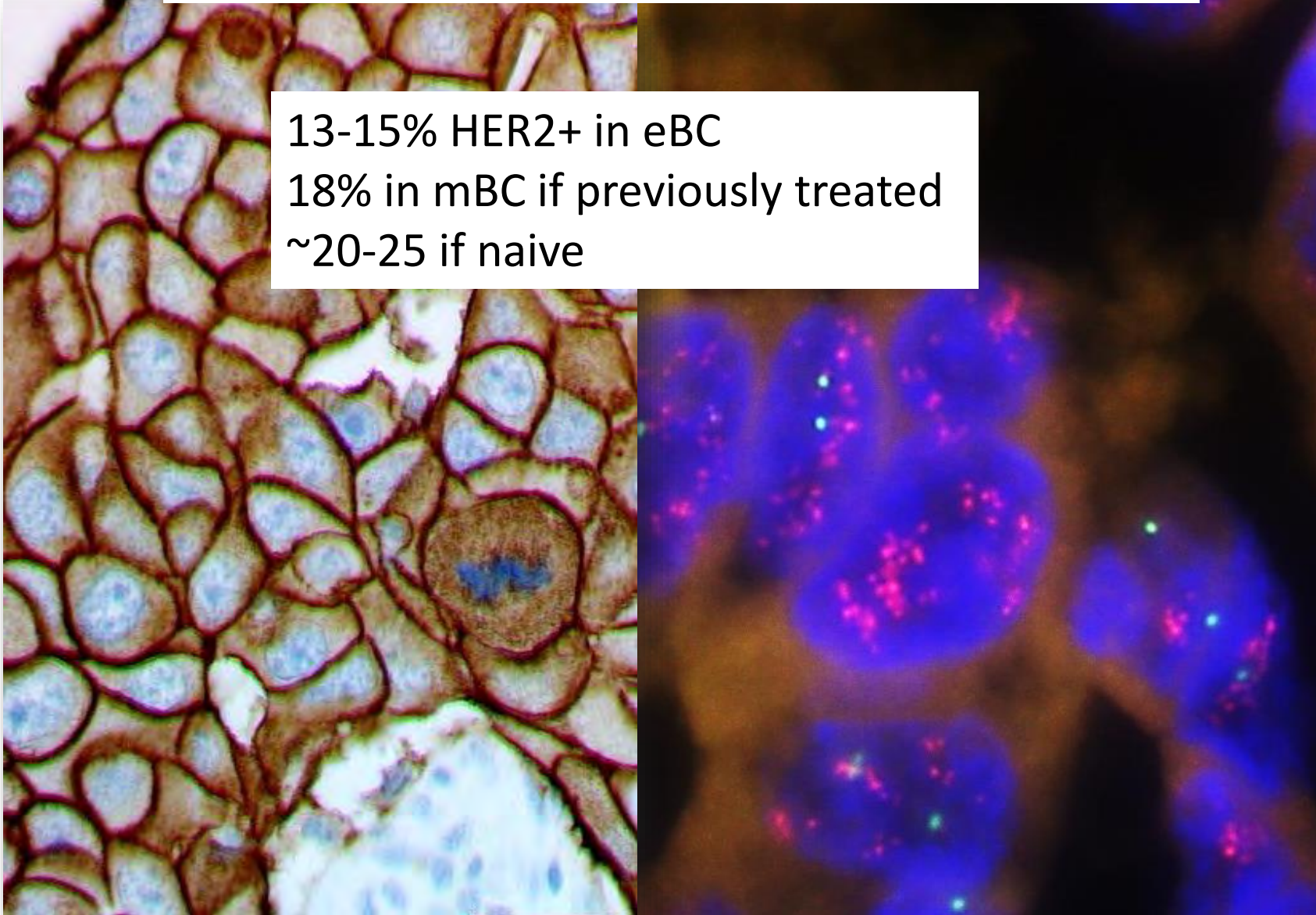
## An international study to increase concordance in Ki67 scoring

Mei-Yin C Polley<sup>1</sup>, Samuel CY Leung<sup>2</sup>, Dongxia Gao<sup>2</sup>, Mauro G Mastropasqua<sup>3</sup>, Lila A Zabaglo<sup>4</sup>, John MS Bartlett<sup>5</sup>, Lisa M McShane<sup>1</sup>, Rebecca A Enos<sup>6</sup>, Sunil S Badve<sup>7</sup>, Anita L Bane<sup>8</sup>, Signe Borgquist<sup>9</sup>, Susan Fineberg<sup>10</sup>, Ming-Gang Lin<sup>11</sup>, Allen M Gown<sup>12</sup>, Dorthe Grabau<sup>9</sup>, Carolina Gutierrez<sup>13</sup>, Judith C Hugh<sup>14</sup>, Takuya Moriya<sup>15</sup>, Yasuyo Ohi<sup>16</sup>, C Kent Osborne<sup>13</sup>, Frédérique M Penault-Llorca<sup>17</sup>, Tammy Piper<sup>18</sup>, Peggy L Porter<sup>11</sup>, Takashi Sakatani<sup>19</sup>, Roberto Salgado<sup>20</sup>, Jane Starczynski<sup>21</sup>, Anne-Vibeke Lænkholm<sup>22</sup>, Giuseppe Viale<sup>23</sup>, Mitch Dowsett<sup>24</sup>, Daniel F Hayes<sup>25</sup>, Torsten O Nielsen<sup>2</sup> on behalf of the International Ki67 in Breast Cancer Working Group of the Breast International Group and North American Breast Cancer Group (BIG-NABCG)

**FOCUS ON HER2 GUIDELINES**

## Huge benefit from anti HER2 therapies for patients with mBC and eBC

13-15% HER2+ in eBC  
18% in mBC if previously treated  
~20-25 if naive



2013

Recommendations for Human Epidermal Growth Factor Receptor 2 Testing in Breast Cancer: American Society of Clinical Oncology/College of American Pathologists Clinical Practice Guideline Update

**30th may 2018 !**

### Human Epidermal Growth Factor Receptor 2 Testing in Breast Cancer: American Society of Clinical Oncology/ College of American Pathologists Clinical Practice Guideline Focused Update

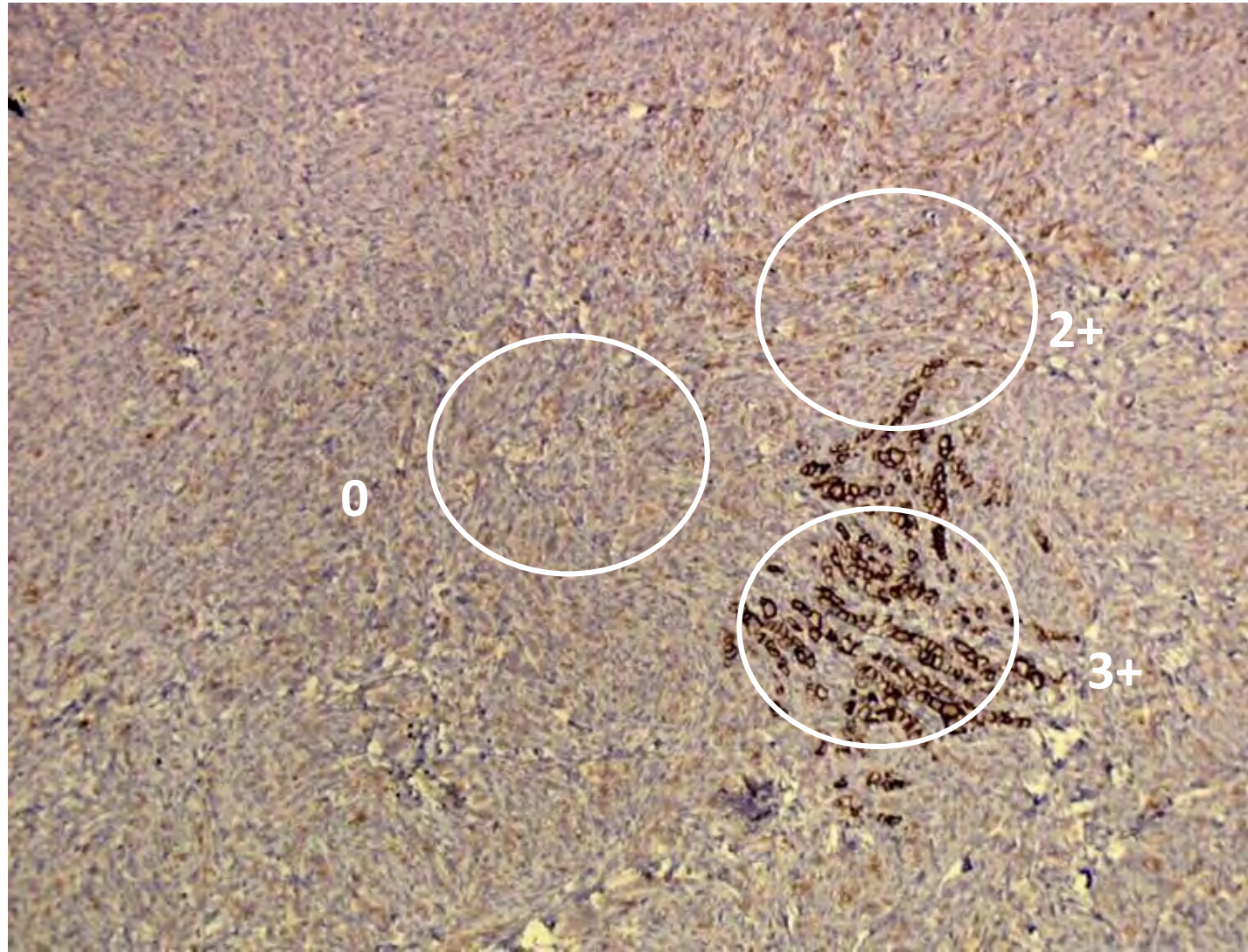
*Antonio C. Wolff, M. Elizabeth Hale Hammond, Kimberly H. Allison, Brittany E. Harvey, Pamela B. Mangu, John M.S. Bartlett, Michael Bilous, Ian O. Ellis, Patrick Fitzgibbons, Wedad Hanna, Robert B. Jenkins, Michael F. Press, Patricia A. Spears, Gail H. Vance, Giuseppe Viale, Lisa M. McShane, and Mitchell Dowsett*

Reply to E.A. Rakha et al

narios will be limited to the figure legend. The Figure 1 legend will be partly revised to read: "Unusual staining patterns of HER2 by IHC can

ases (5%) had  
onding tumor  
e index tumor  
result category  
ological grade,  
such a small  
rline low-level  
be applied to

# Heterogeneity: Where to count?



## Act III?

1. Simplification of IHC 2+ definition (moderate/weak)
2. Re-testing on surgical specimen if a biopsy is HER2 - :  
“may” in instead of “should”
3. Revision and/or definition of difficult ISH categories (monosomies, co-amplification, “equivocal”) → avoid as much as possible “equivocal/eligible” cases

**Based on IHC results**



## Messages for HER2 ASCO/CAP new guidelines

- **Simplification of HER2 2+ 2**
- No longer systematic re-testing
  - **Difficult ISH categories:** between 4-6 copies +/- ratio  $HER2/CEP17 < 2$ 
    - Interpretation with IHC++++
    - Independent (second reader for ISH) for 2+
    - Disparition of equivocal ISH category
      - Category 2 (monosomy): rather negative
      - Caegory 3 3 (co-ampl): rather positive
      - Category 4(ex-equivocal): rather negative
  - Avoid single probe ISH

# **THE PATHOLOGY REPORT**

## Box 4 | The pathology report for breast cancer

---

- Histological type according to the current WHO classification<sup>107</sup>
- Histological grade according to the Elston- and Ellis-modified Scarff–Bloom–Richardson system<sup>108</sup>
- Peritumoral vascular or lymphatic emboli<sup>a</sup>
- Hormone receptor status (oestrogen receptor (ER) and progesterone receptor)
- Human epidermal growth factor receptor 2 (HER2) status
- Excision margins (mm)<sup>a</sup>
- Tumour size, single or multiple tumours
- Ductal carcinoma in situ component type, grade and percentage
- Lymph node status
- Pathological stage according to the Union for International Cancer Control TNM system<sup>122</sup>
- Ki67 score according to the international group guidelines<sup>b</sup>

<sup>a</sup>Information obtained at surgical resection. <sup>b</sup>Particularly relevant for ER-positive, HER2-negative breast cancers.

# When to question a pathology report

- PgR+, ER-
  - Lobular, tubular carcinoma HER2+
  - Grade 1, ER+++ , PgR+++ , HER2+
  - Grade 3, ER-, ki67 <5%
  - Grade 3 ER+++ , PgR+++
  - Medullary carcinoma is extremely rare and has been removed from WHO classification
- ➔ May redo HER2 (and ER) on surgical specimen if grade 3, ER- or ER+
- ➔ If ER and/or PgR is negative on a biopsy redo on surgical specimen

# Does Estrogen Receptor–Negative/ Progesterone Receptor–Positive Breast Carcinoma Exist?

De Maeyer L et al. J Clin Oncol, DOI: 10.1200/JCO.2007.14.8411

Hefti et al. *Breast Cancer Research* 2013, **15**:R68  
<http://breast-cancer-research.com/content/15/4/R68>



RESEARCH ARTICLE

Open Access

## Estrogen receptor negative/progesterone receptor positive breast cancer is not a reproducible subtype

Marco M Hefti<sup>1</sup>, Rong Hu<sup>2</sup>, Nicholas W Knoblauch<sup>1</sup>, Laura C Collins<sup>1</sup>, Benjamin Haibe-Kains<sup>3</sup>, Rulla M Tamimi<sup>2</sup>  
and Andrew H Beck<sup>1\*</sup>

# ER-/PR+

- Approximately 70% of breast cancers are ER+,
  - ER+/PR+ 57% EBC
  - 25% ER+/PR- with a more aggressive biological behavior than ER+/PR+ tumors [8]
- ER-/PR+ controversial +++ breast cancers incidence of 1% to 4%
  - **Technical artifact** arising from inadequate tissue fixation or failure of the immunohistochemical assay ?
  - Others argued that even using optimally fixed tissues and any level of nuclear immunoreactivity of tumor cells as a positive result, the ER-/PR+ was still retained as a **unique entity**
- ER-/PR+ classification was too rare to be of clinical use ?



Original contribution

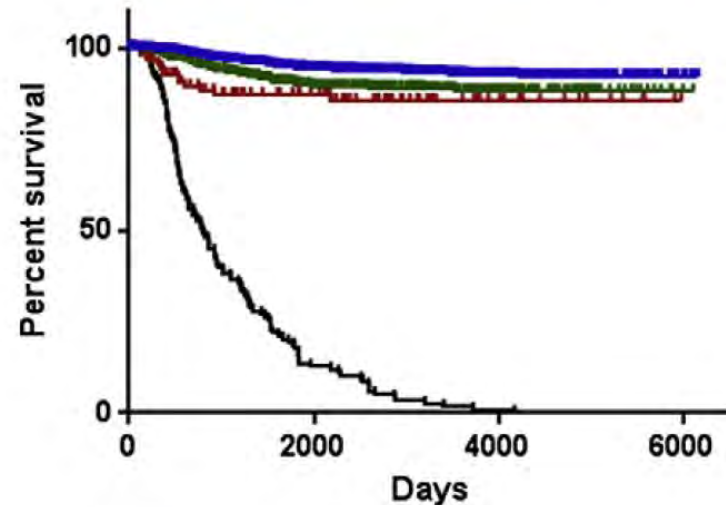
## Characterization of estrogen receptor–negative/ progesterone receptor–positive breast cancer ☆, ☆ ☆



Tiansheng Shen MD, PhD, Margaret Brandwein-Gensler MD, Omar Hameed MD,  
Gene P. Siegal MD, PhD, Shi Wei MD, PhD\*

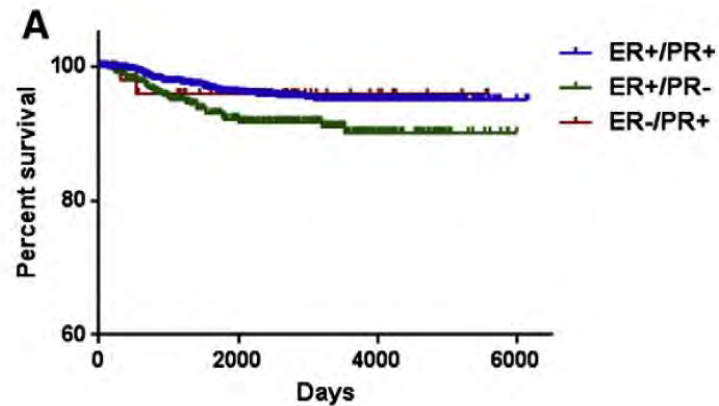
- 5374 consecutive breast cancers
- **2.3% ER–/PR+ tumors**
- High grade and significantly seen in younger patients and African American women (vs ER+/PR+ and ER+/PR–)
- **Similar to ER–/PR– phenotype** (P < 0 .0001).

# Survival



- ER+/PR+
- ER+/PR-
- ER-/PR+
- ER-/PR-

A significantly prolonged relapse-free survival (RFS) was associated with the ER+/PR+ subtype when compared with the ER+/PR- ( $P = .0002$ ) or ER-/PR+ ( $P = .0004$ ) tumors, whereas all 3 groups showed a superior outcome to that of the ER-/PR- phenotype.



## RFS in patients HR+ treated with endocrine therapy.

- ER+/PR+ associated with a significantly prolonged RFS when compared with the ER+/PR- group  $p=0,001$
- No significant difference was found **between ER+/PR+ and ER-/PR+**
- Same trends for disease specific deaths  $p=0,005$



RESEARCH ARTICLE

Open

## Estrogen receptor negative/progesterone receptor positive breast cancer is not a reproducible subtype

Marco M Hefti<sup>1</sup>, Rong Hu<sup>2</sup>, Nicholas W Knoblauch<sup>1</sup>, Laura C Collins<sup>1</sup>, Benjamin Haibe-Kains<sup>3</sup>, Rulla M Tami and Andrew H Beck<sup>1\*</sup>

classified cases were relatively evenly split between ER+ and ER- subgroups on repeat testing.

Taken together, our data do not support that ER-/PR+ represents a biologically distinct or clinically useful breast cancer subtype. These data suggest that PR testing is not warranted in ER- breast cancer, as ER-/PR+ breast cancer is very rare and non-reproducible, thus the vast majority of cases classified as ER-/PR+ will represent false classifications. Our data suggest that ER+/PR-

- 4,111 cases from 20 published studies with gene expression microarray (GEM) and clinicopathological data (ER + / PR +, ER + / PR-, ER- / PR-, ER- / PR +) and basis of 2011 Nurses' Health Study (NHS) with ER / PR data, clinical data and molecular analysis
- The ER- / PR + subtype is rare (1 to 4%) and not reproducible in the molecular classes
- Most patients classified as ER- / PR + in the clinical databases (97 and 94% respectively) were reclassified by a second method.
- The expression of PR in RNAm in the GEM base was associated with prognosis for ER + (P <0.001) but not for ER- (p = 0.21)

# ER-/PR+ what to do in practise?

- Re-test the case, check internal controls
- In case of absence of + internal controls Re-test on a other block
- If still ER-/PR+
  - If available require a GES
  - The prognosis of those lesions appears less favorable than ER+:PR+ but the positivity of PR receptor remains a strong prognostic factor in case of hormonal treatment

**TILS**



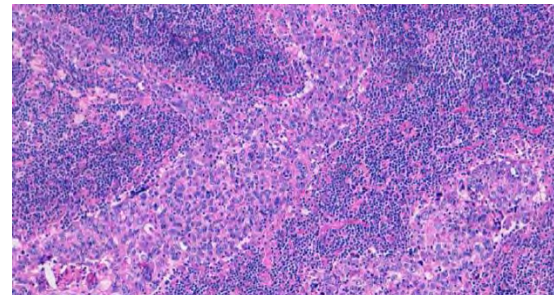
## Assessing Tumor-infiltrating Lymphocytes in Solid Tumors: A Practical Review for Pathologists and Proposal for a Standardized Method From the International Immunooncology Biomarkers Working Group Part 1 Assessing the Host Immune Response, TILs in Invasive Breast Carcinoma and Ductal Carcinoma In Situ, Metastatic Tumor Deposits and Areas for Further Research

original report

## Tumor-Infiltrating Lymphocytes and Prognosis: A Pooled Individual Patient Analysis of Early-Stage Triple-Negative Breast Cancers

Sherene Loi, MD<sup>1</sup>; Damien Drubay, PhD<sup>2,3</sup>; Sylvia Adams, MD<sup>1</sup>; Giancarlo Pruneri, MD<sup>3</sup>; Prudence A. Francis, MD<sup>1</sup>; Magali Lacroix-Triki, MD<sup>2</sup>; Heikki Joensuu, MD<sup>7</sup>; Maria Vittoria Dieci, MD<sup>8,9</sup>; Sunil Badve, MD<sup>10</sup>; Sandra Demaria, MD<sup>11</sup>; Robert Gray, PhD<sup>12</sup>; Elisabetta Munzone, MD<sup>13</sup>; Jerome Lemonnier, PhD<sup>6</sup>; Christos Sotiriou, MD<sup>14</sup>; Martine J. Piccart, MD<sup>14</sup>; Pirkko-Liisa Kellokumpu-Lehtinen, MD<sup>15</sup>; Andrea Vingiani, MD<sup>16</sup>; Kathryn Gray, PhD<sup>12</sup>; Fabrice Andre, MD<sup>2,3</sup>; Carsten Denkert, MD<sup>17</sup>; Roberto Salgado, MD<sup>1,18</sup>; and Stefan Michiels, PhD<sup>2,3</sup>

J Clin Oncol 37:559-569. © 2019

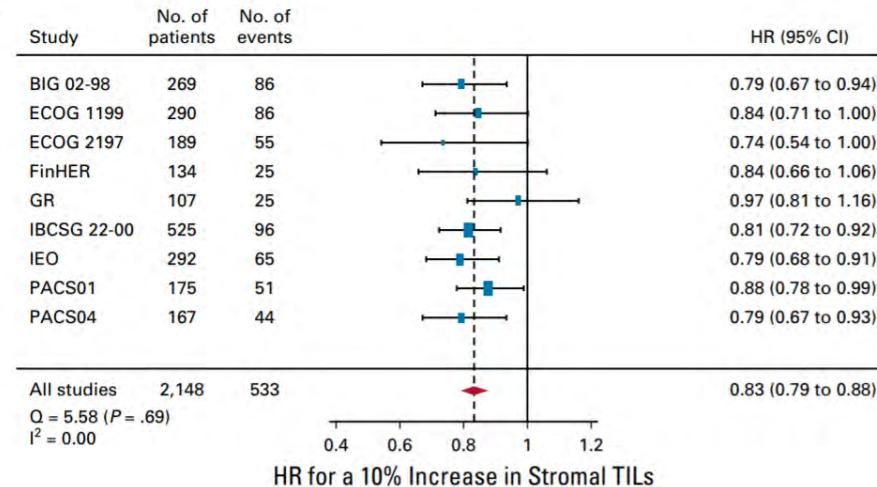


Review

Update on tumor-infiltrating lymphocytes (TILs) in breast cancer, including recommendations to assess TILs in residual disease after neoadjuvant therapy and in carcinoma in situ: A report of the International Immunooncology Biomarker Working Group on Breast Cancer<sup>☆</sup>

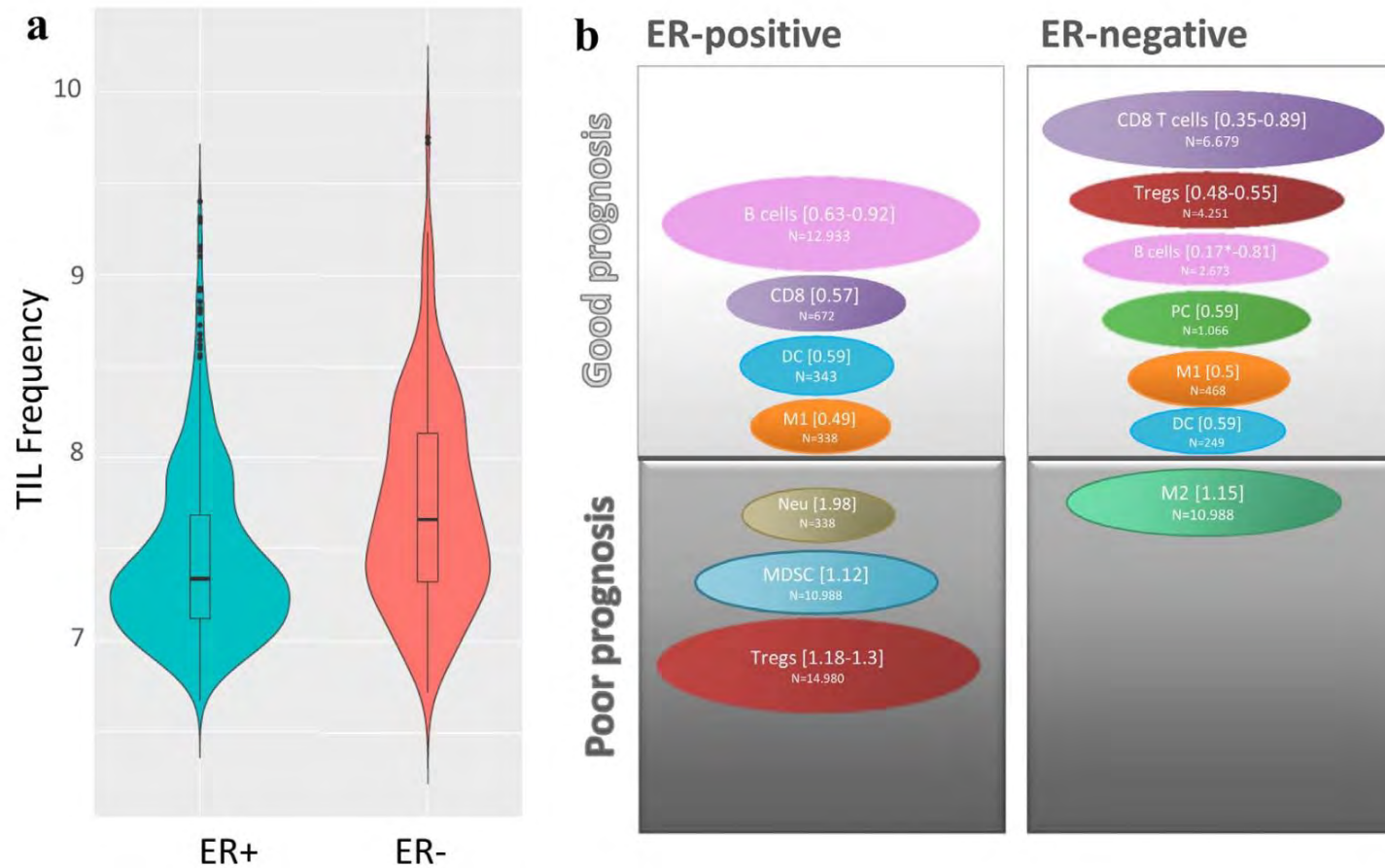
Maria Vittoria Dieci<sup>a,b,\*</sup>, Nina Radosevic-Robin<sup>c,d</sup>, Susan Fineberg<sup>e,f</sup>, Gert van den Eynden<sup>g,h</sup>, Nils Ternes<sup>i,j</sup>, Frederique Penault-Llorca<sup>c,d,k</sup>, Giancarlo Pruneri<sup>l,m</sup>, Timothy M. D'Alfonso<sup>n</sup>,

C

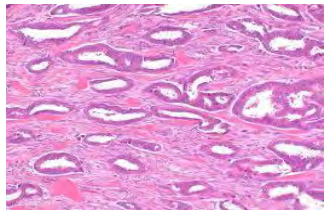


9 essais randomisés TILs et survie globale dans les CSTN

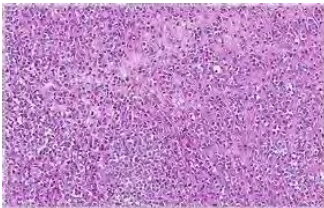
# Different TILs infiltrates in different categories of breast cancer



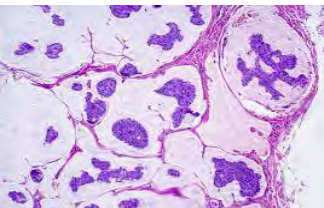
# Immunogenicity of breast cancers



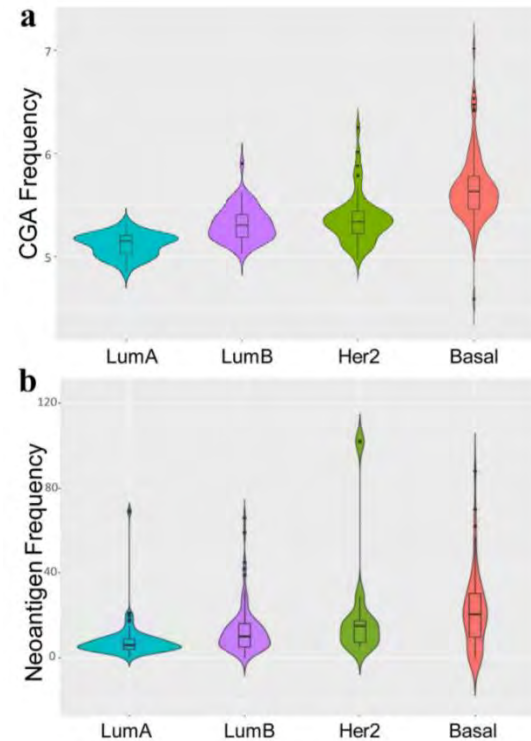
Tubular BC



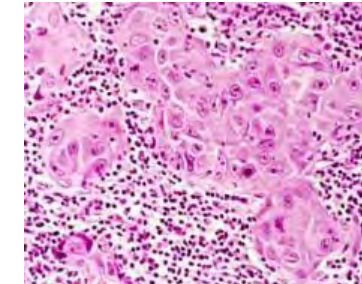
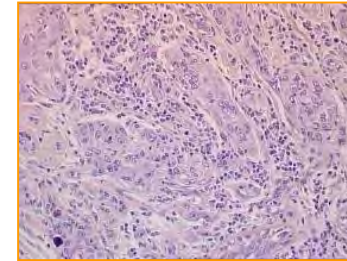
Lobular BC



Mucinous BC



$P < 0,0001$



Carcinoma with medullary features

## The evaluation of tumor-infiltrating lymphocytes (TILs) in breast cancer: recommendations by an International TILs Working Group 2014

R. Salgado<sup>1,2,†</sup>, C. Denkert<sup>3,†</sup>, S. Demaria<sup>4,†</sup>, N. Sirtaine<sup>5</sup>, F. Klauschen<sup>3</sup>, G. Pruner<sup>6</sup>, S. Wienert<sup>3</sup>, G. Van den Eynden<sup>7</sup>, F. L. Baehner<sup>8,9</sup>, F. Penault-Llorca<sup>10</sup>, E. A. Perez<sup>11</sup>, E. A. Thompson<sup>12</sup>, W. F. Symmans<sup>13</sup>, A. L. Richardson<sup>14,15</sup>, J. Brock<sup>15,16</sup>, C. Criscitiello<sup>17</sup>, H. Bailey<sup>8</sup>, M. Ignatiadis<sup>18</sup>, G. Floris<sup>19</sup>, J. Sparano<sup>20</sup>, Z. Kos<sup>21</sup>, T. Nielsen<sup>22</sup>, D. L. Rimm<sup>23</sup>, K. H. Allison<sup>24</sup>, J. S. Reis-Filho<sup>25</sup>, S. Loibl<sup>26</sup>, C. Sotiriou<sup>18</sup>, G. Viale<sup>27</sup>, S. Badve<sup>28</sup>, S. Adams<sup>4,†</sup>, K. Willard-Gallo<sup>29,†</sup> & S. Loi<sup>30\*,†</sup>

### TILs assessment requires standardized approaches

Lymphocyte predominant breast cancer can be used as a descriptive term for tumors that contain “more lymphocytes than tumor cells.” However, the thresholds vary between **50% and 60%** stromal lymphocytes.

REVIEW ARTICLE

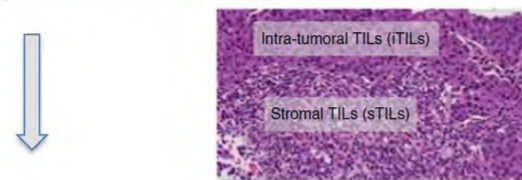
Assessing Tumor-infiltrating Lymphocytes in Solid Tumors: A Practical Review for Pathologists and Proposal for a Standardized Method From the International Immunooncology Biomarkers Working Group: Part 1: Assessing the Host Immune Response, TILs in Invasive Breast Carcinoma and Ductal Carcinoma In Situ, Metastatic Tumor Deposits and Areas for Further Research

#### Standardized approach for TILs evaluation in solid tumors

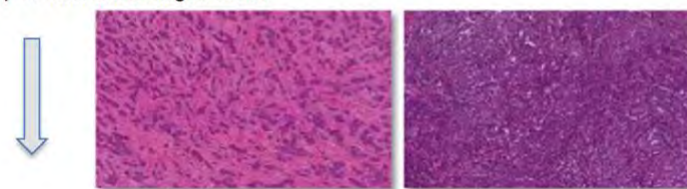
Step 1: Select tumor area



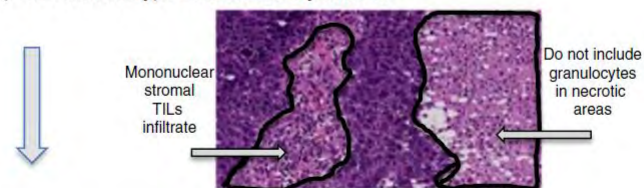
Step 2: Define stromal and intra-tumoral areas



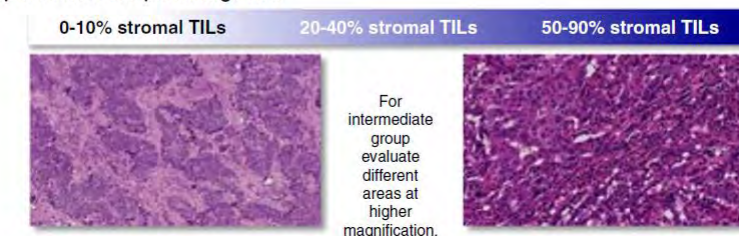
Step 3: Scan at low magnification



Step 4: Determine type of inflammatory infiltrate



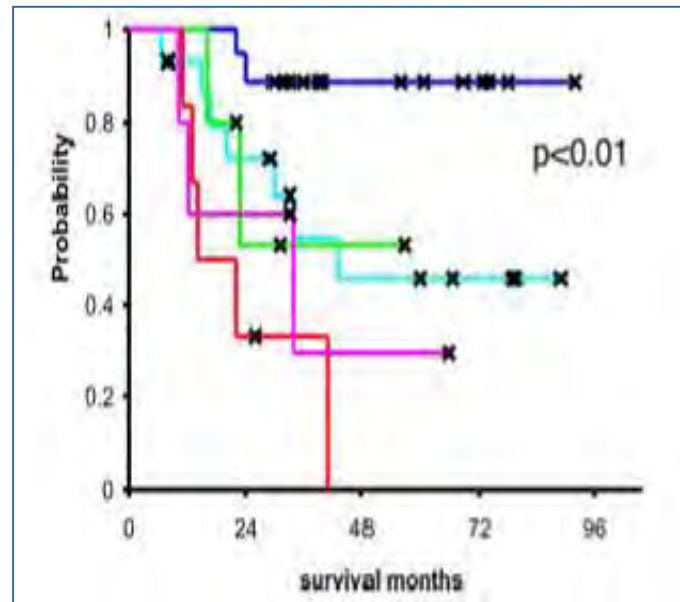
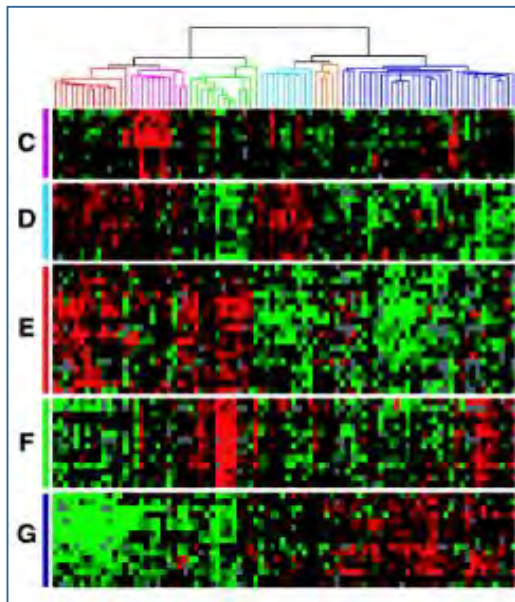
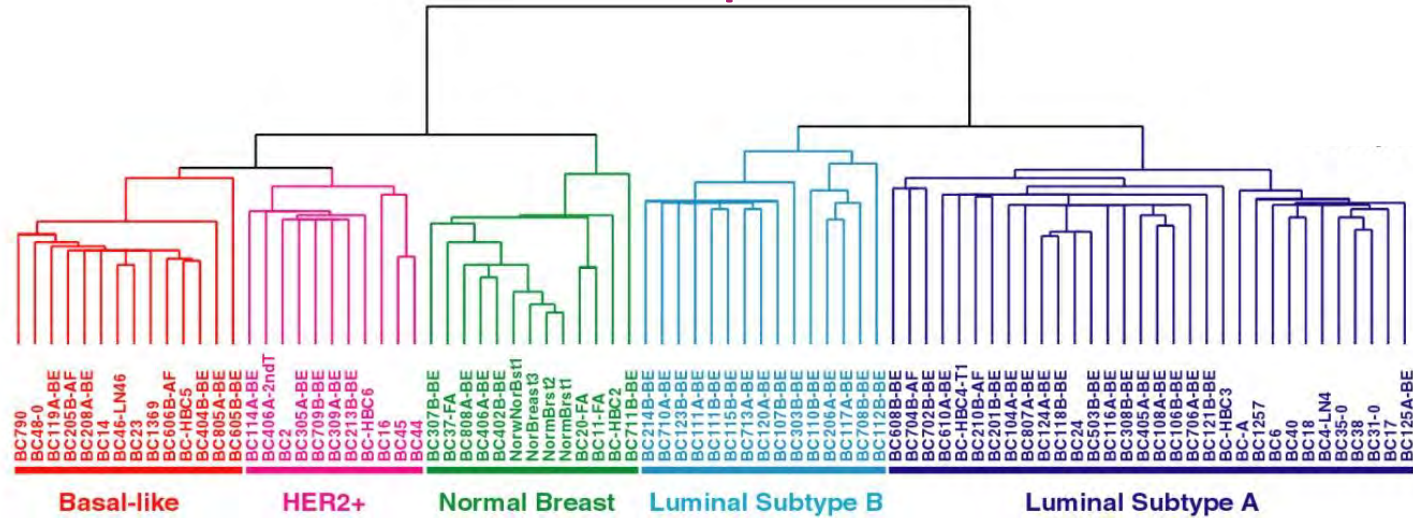
Step 5: Assess the percentage TILs



# **MOLECULAR AND HISTOLOGIC CLASSIFICATION**

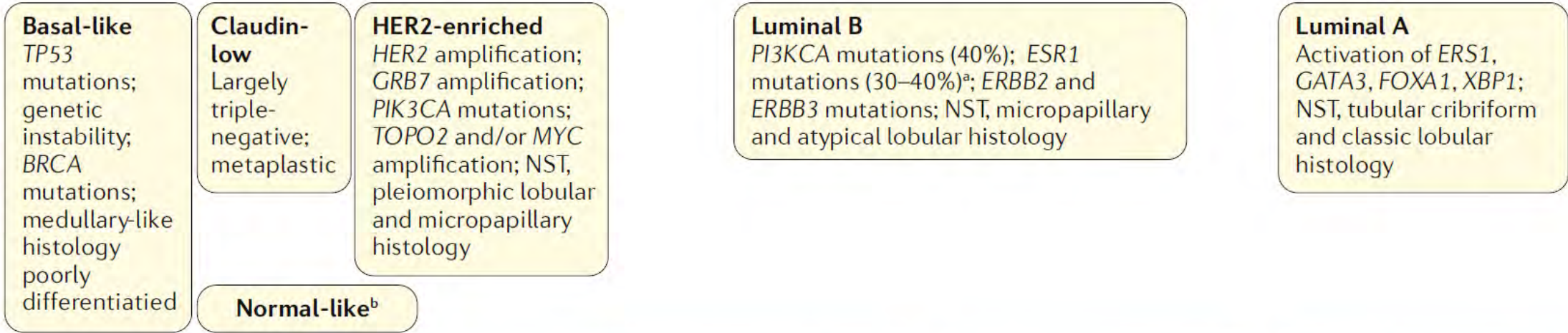


# Towards a simplified taxonomy of breast cancer? « definition of intrinsic subtypes has proven efficient in defining prognosis for breast cancer patients »

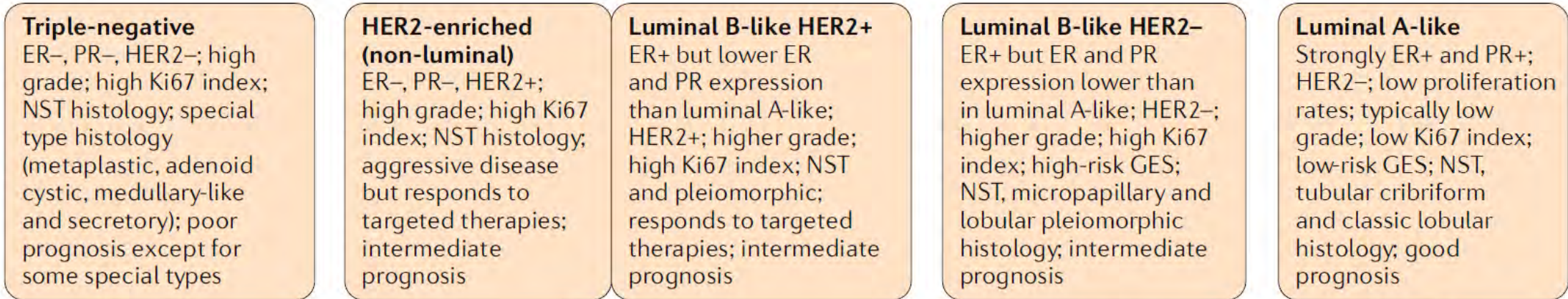


*C Perou & T Sorlie*

**Intrinsic subtypes (PAM50)**



**Surrogate intrinsic subtypes**



10–15%

13–15%

10–20%

60–70%



# 2013 St Gallen International Expert Consensus

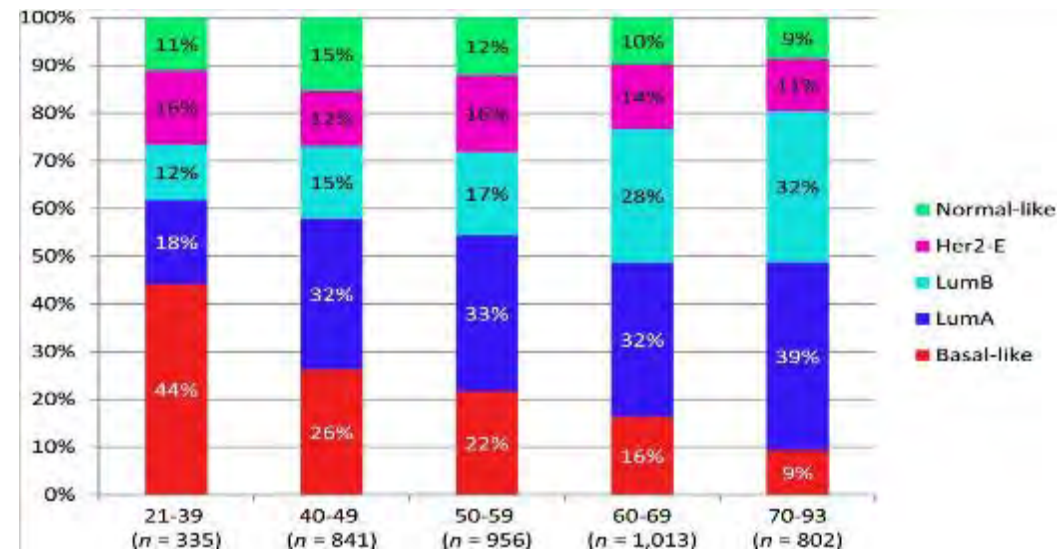
Intrinsic subtype	Clinico-pathologic surrogate definition	Recommended Treatment
Luminal A	'Luminal A-like' all of: ER and PgR positive HER2 negative Ki-67 'low' <sup>a</sup> Recurrence risk 'low' based on multi-gene-expression assay (if available) <sup>b</sup>	Endocrine Therapy (chemo in selected cases)
Luminal B	'Luminal B-like (HER2 negative)' ER positive HER2 negative and at least one of: Ki-67 'high' PgR 'negative or low' Recurrence risk 'high' based on multi-gene-expression assay (if available) <sup>b</sup>	Endocrine + Chemo (most)
Erb-B2 overexpression	'Luminal B-like (HER2 positive)' ER positive HER2 over-expressed or amplified Any Ki-67 Any PgR	Endocrine+ Chemo + anti-HER2
'Basal-like'	'HER2 positive (non-luminal)' HER2 over-expressed or amplified ER and PgR absent	Chemo + anti-HER2
	'Triple negative (ductal)' ER and PgR absent HER2 negative	Chemo

14-20% ←

**Quote: “Panel endorsed gene expression signatures that permit avoidance of chemotherapy in many patients with ER-positive breast cancer”.**

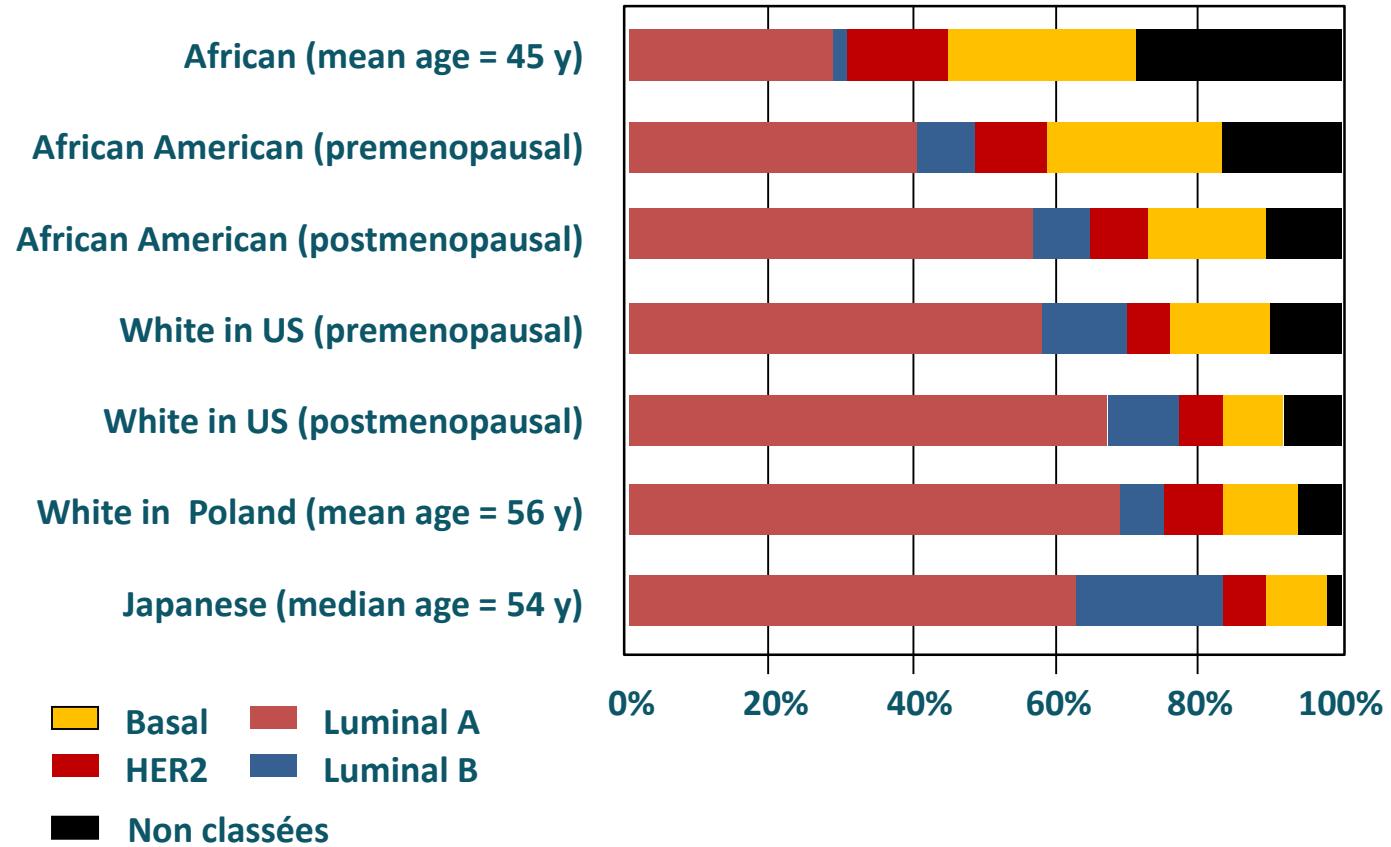
Curigliano Ann Oncol 2017  
 Goldhirsch et al. Ann Oncol 2013  
 Cheang et al. JNCI 2009

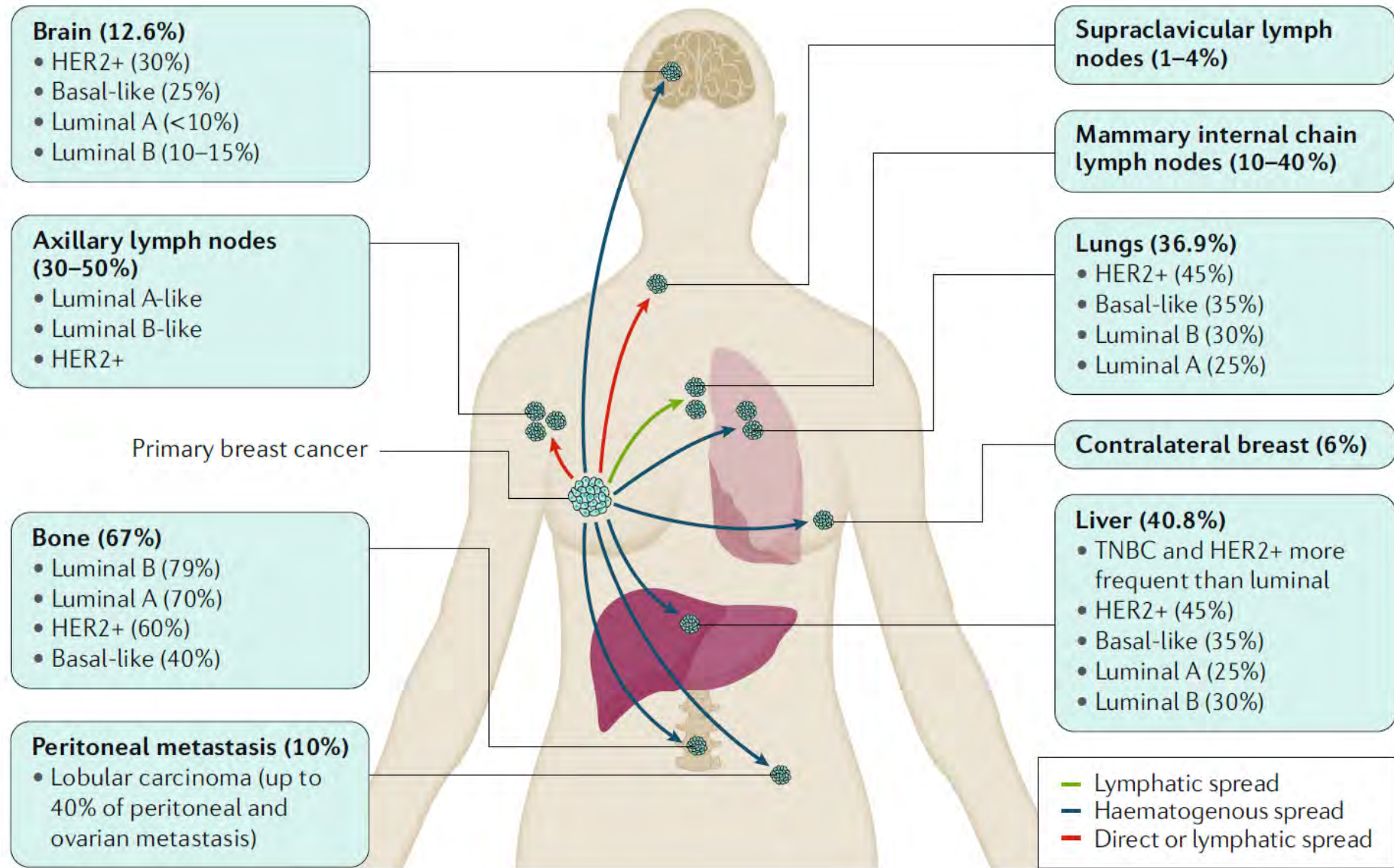
## Biology of breast cancer varies with aging



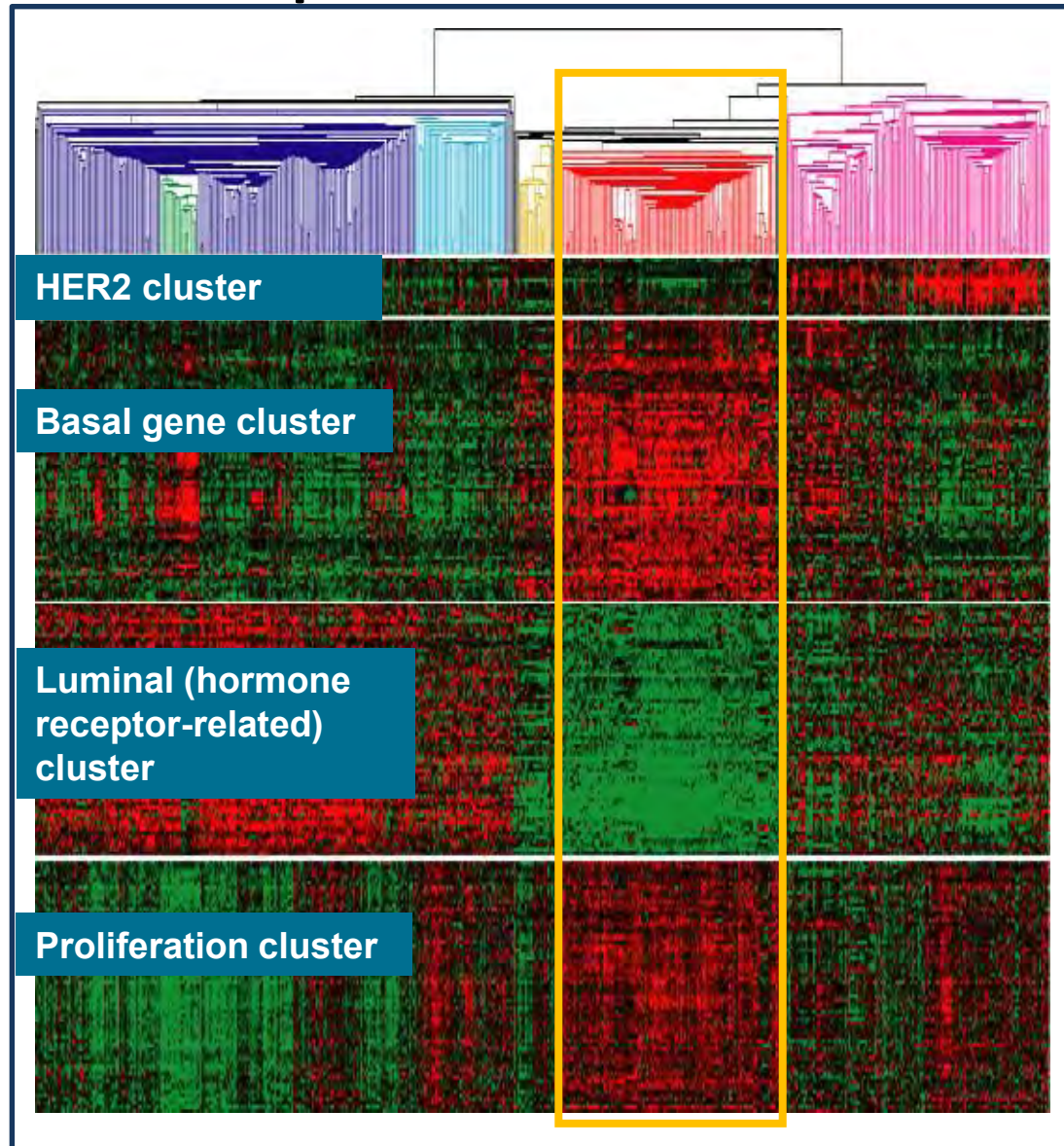
de Kruif Mol Oncol 2014, Jenkins Oncologist 2014

## Molecular biology of BC is influenced by ethnies and country of residence



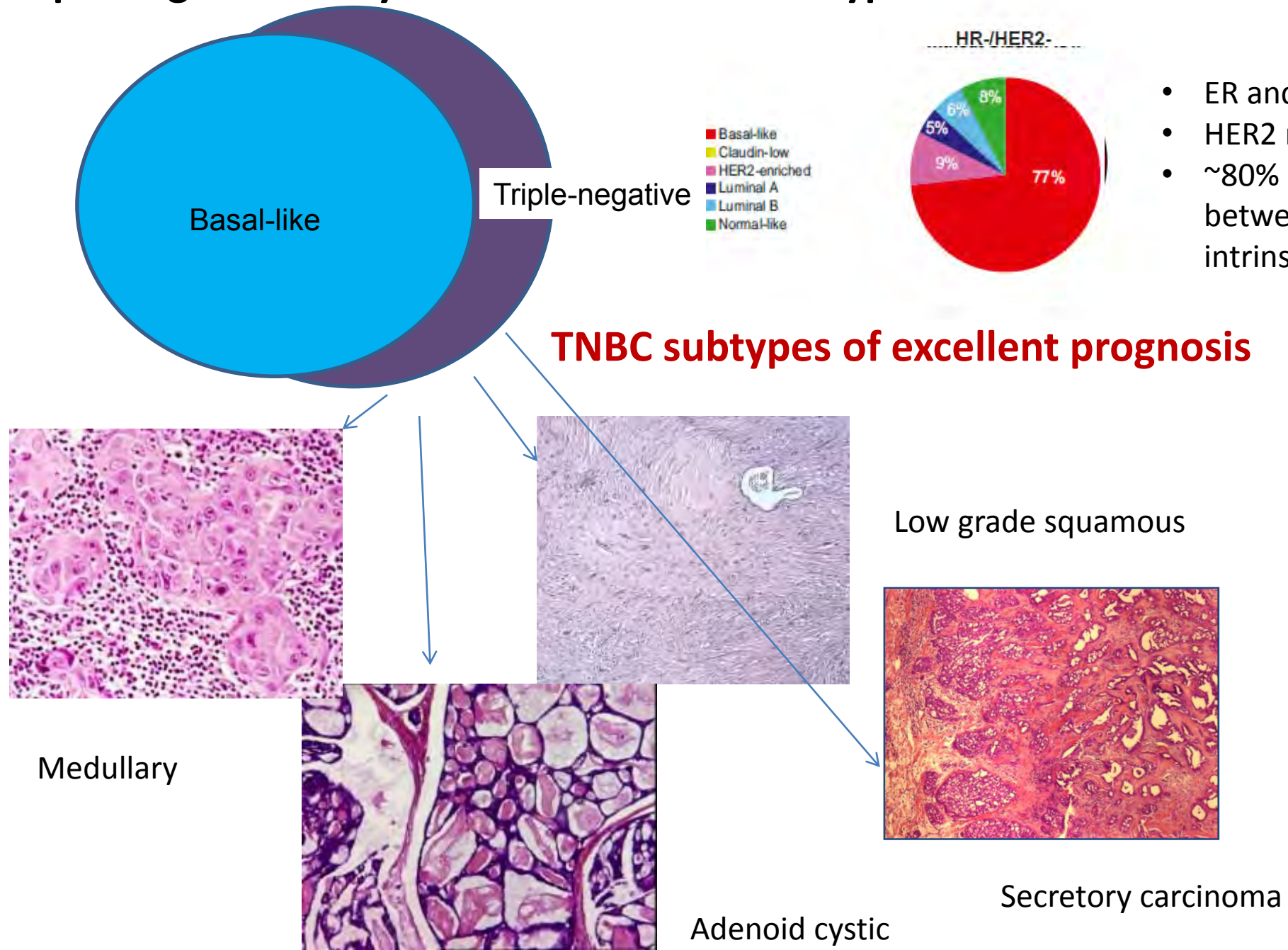


# The picture of basal-like breast cancer



- Low ER (and related genes) expression
- Low HER2 cluster expression
- usually “triple negative”
- High basal cluster
  - basal cytokeratins
  - EGFR
  - c-kit
  - others...
- Very proliferative
- Often p53 mutant (>90%)
- Evidence of genomic instability

# Triple negative BC by IHC and molecular subtypes: a 80% concordance





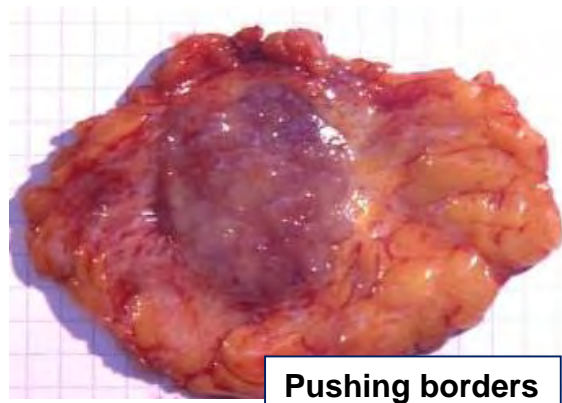
# Triple-Negative Breast Carcinomas: Prototypical Features

- Clinical features
  - Younger patients (47-55 years)
  - African American women
  - Interval cancers
  - BRCA-1 mutations
  - Prevalence of brain and lung metastases
  - Early metastasis (2-3 years)

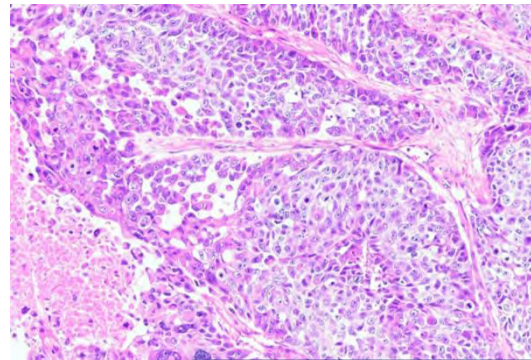
Reis-Filho JS, et al. *Histopathology*. 2008;52(1):108-118.

Diaz LK, et al. *Adv. Anat Pathol*. 2007;14(6):419-430.

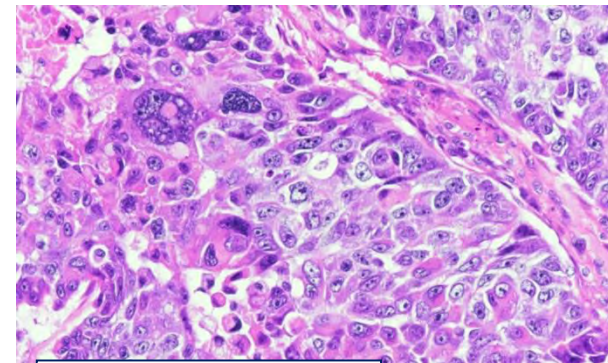
# 90% of Triple negative breast tumors: invasive ductal NST



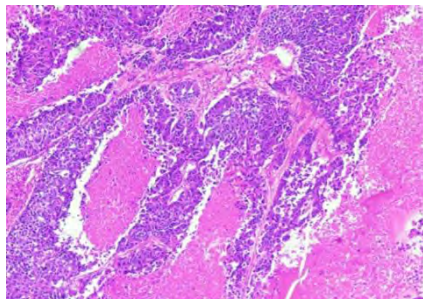
**Pushing borders**



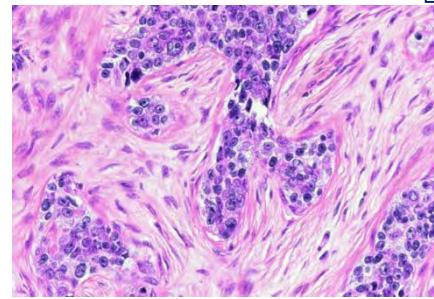
**Poor differentiation**



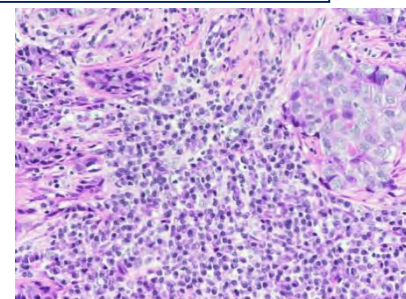
**Atypia, proliferation**



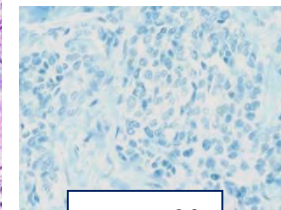
**Large areas of necrosis**



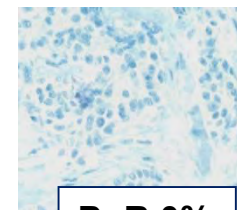
**Myofibroblastic stroma**



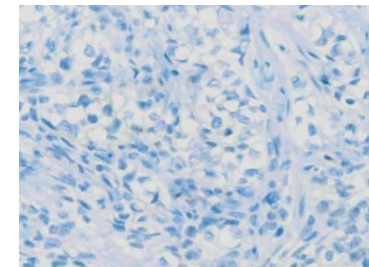
**Lymphocytic stroma**



**ER 0%**



**PgR 0%**



**HER2 negative**

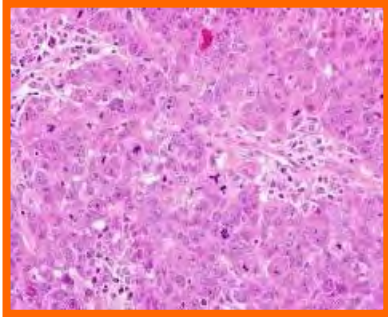
# TN Tumors Are Heterogeneous

- IDC NOS, high grade
- ILC high grade, pleomorphic
- Metaplastic, high grade
- Myoepithelial carcinoma
- High-grade (oat-cell) neuroendocrine
- Apocrine
- Adenoid-cystic
- Juvenile Secretory
- Carcinoma with rich lymphoid stroma
- Metaplastic, low grade
  - Low-grade adenosquamous
  - Fibromatosis-like

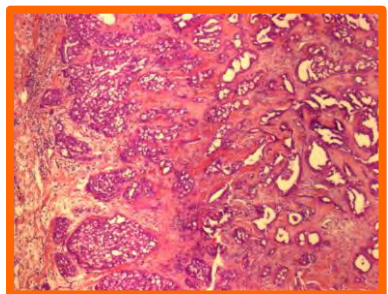
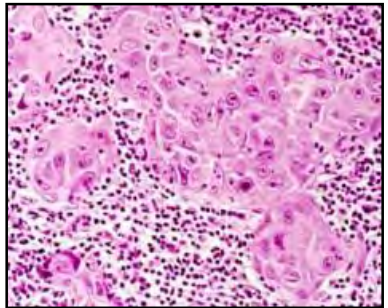
**Poor  
prognosis**

**Good  
prognosis**

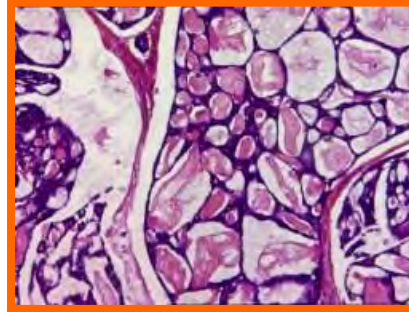
# Identify special types with better prognosis



Amplicons chr 10, 12  
10p+, 9p+, 16q+, 4p-

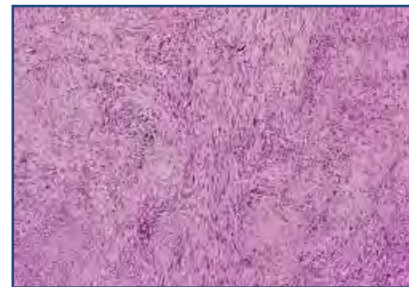


t(12;15) (ETV6; NTRK3)

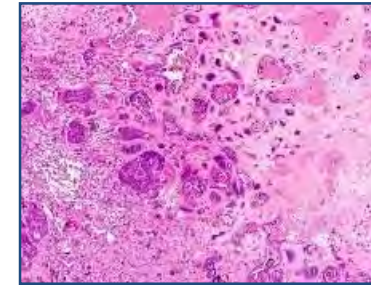
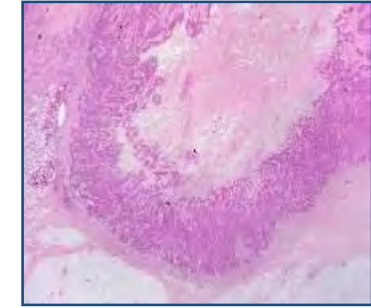


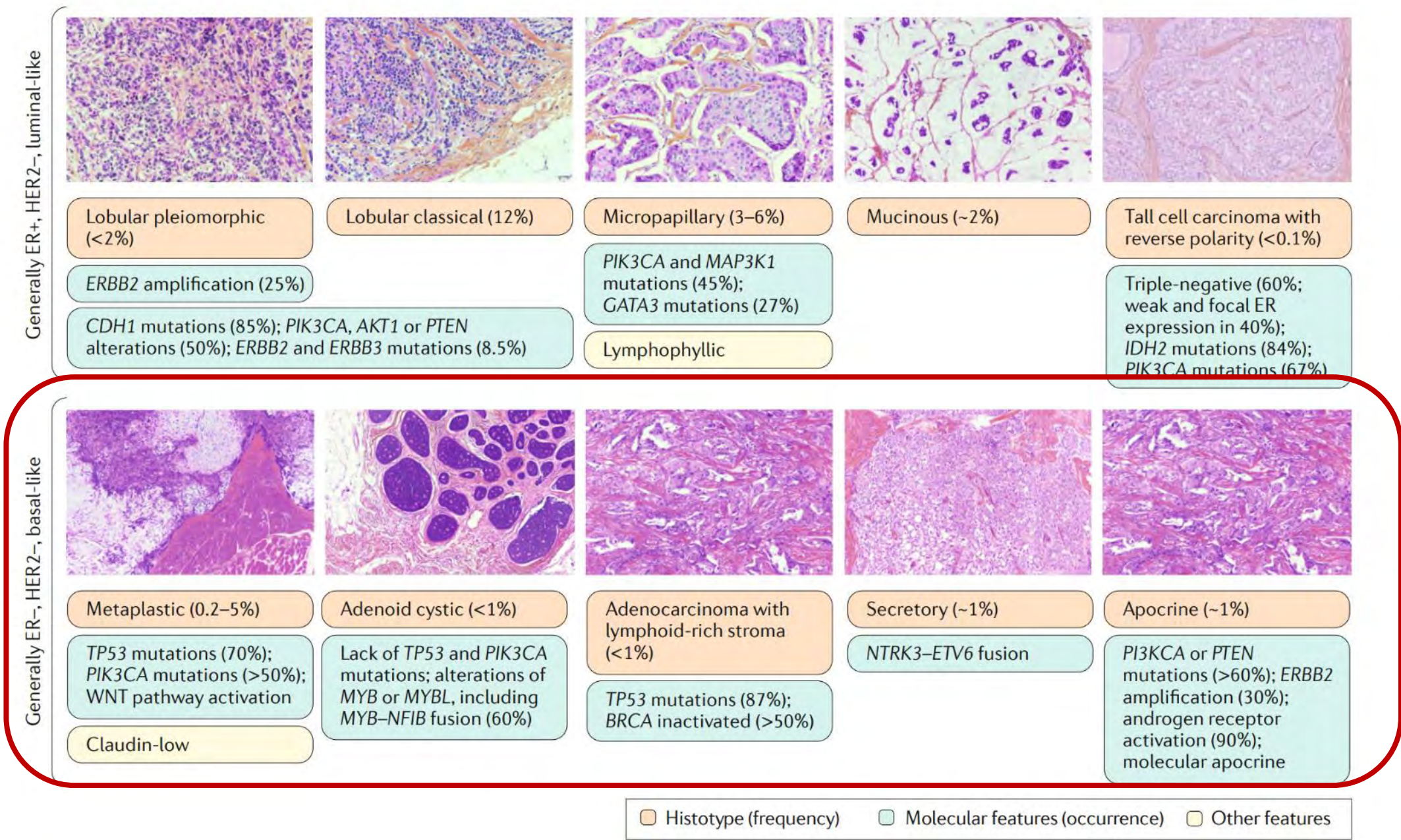
t(6;9) (q22-23; p23-24) (*MYB*; *NFIB*)

**Basal like carcinoma**



EGFR amplification  
WNT pathway alterations

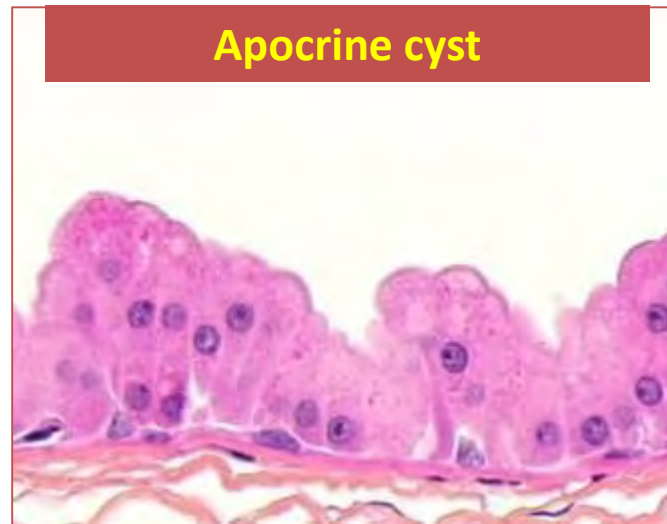
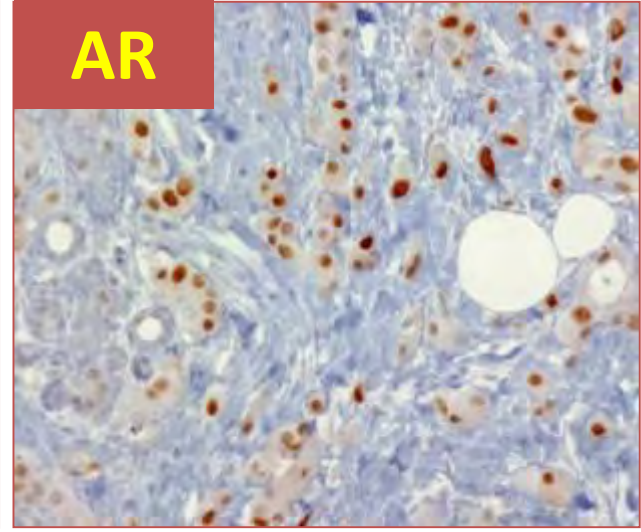
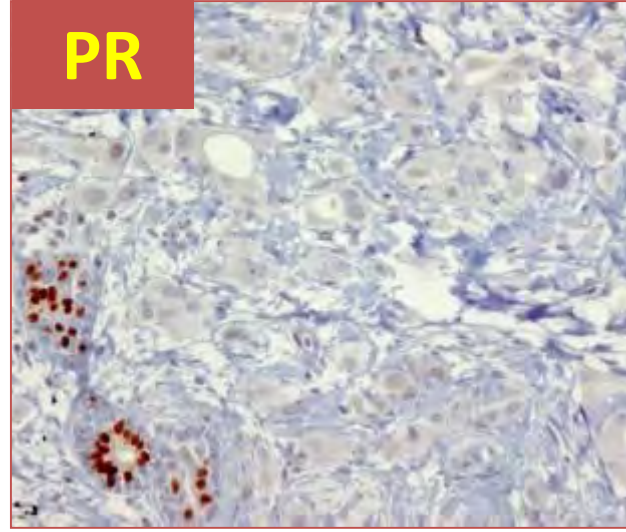
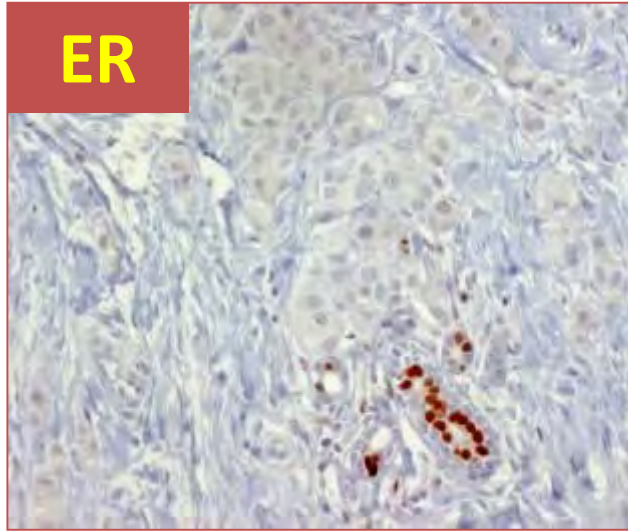




  Histotype (frequency)    
   Molecular features (occurrence)    
   Other features

# Uncertain prognosis: Apocrine carcinoma

in ½ cases: HER2+



**Bicalutamide-abiraterone acetate**

**HER2 POSITIVE**

# Surrogate definition of intrinsic subtypes of breast cancer

## «HER2 enriched»

- HER2 positive → 3+ by IHC or amplified by FISH
- And ER and PgR negative



# HER2+ diseases

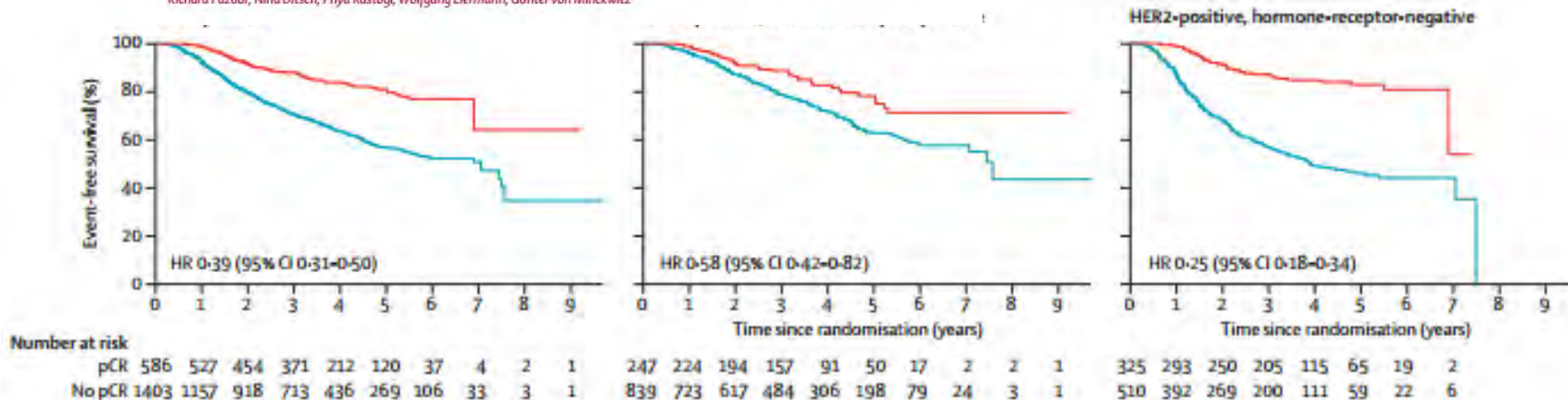
- Rare +++ lobular, tubular carcinoma
- ~ 50% are ER+ → completely different disease



## Pathological complete response and long-term clinical benefit in breast cancer: the CTNeoBC pooled analysis

www.thelancet.com Vol 384 July 12, 2014

Patricia Cortazar, Lijun Zhang, Michael Untch, Keyur Mehta, Joseph P Costantino, Norman Wolmark, Hervé Bonnefoi, David Cameron, Luca Gianni, Pinuccia Valagussa, Sandra M Swain, Tatiana Prowell, Sibylle Loibl, D Lawrence Wickerham, Jan Bogaerts, Jose Baselga, Charles Perou, Gideon Blumenthal, Jens Blohmer, Eleftherios P Mamounas, Jonas Bergh, Vladimir Semiglazov, Robert Justice, Holger Eidtmann, Soonmyung Paik, Martine Piccart, Rajeshwari Sridhara, Peter A Fasching, Leen Slaets, Shenghui Tang, Bernd Gerber, Charles E Geyer Jr, Richard Pazdur, Nina Ditsch, Priya Rastogi, Wolfgang Eiermann, Gunter von Minckwitz



**HER2+, prognostic value of pCR in HR-**

## 2 different HER2+ groups /HR status

### Review Article

### Predictive Factors of Response in HER2-Positive Breast Cancer Treated by Neoadjuvant Therapy

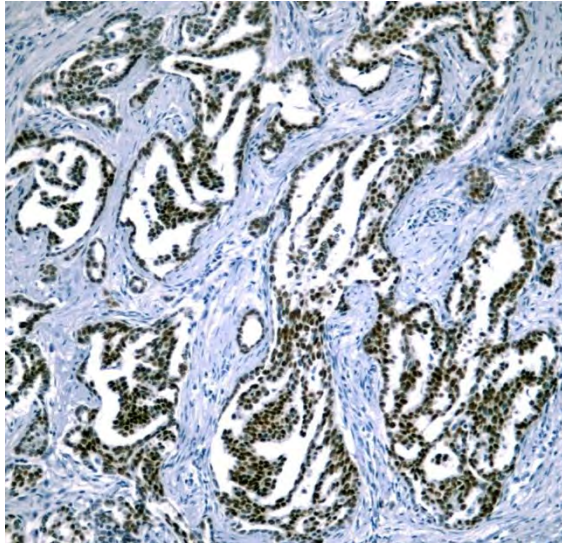
Less pCR in HER2+, ER +

TABLE 3: Rates of pCR according to HR status.

Study (ref.)	Neoadjuvant regimen	pCR rate HR+	pCR rate HR-
NeoSphere [18]	(i) Docetaxel + trastuzumab—(arm A)	20%	36.8%
	(ii) Docetaxel + trastuzumab + pertuzumab (arm B)	26%	63.2%
	(iii) Trastuzumab + pertuzumab (arm C)	5.9%	27.3%
	(iv) Docetaxel + pertuzumab (arm D)	17.4%	30%
Neo-ALTTO [12]	(i) Weekly P + trastuzumab	22.7%	36.5%
	(ii) Weekly P + lapatinib	16.1%	33.7%
	(iii) Weekly P + trastuzumab + lapatinib	41.6%	61.3%
CHER-LOB [14, 19]	(i) CT + trastuzumab	25%	26.6%
	(ii) CT + lapatinib	22.7%	35.7%
	(iii) CT + trastuzumab + lapatinib	35.7%	56.2%
Buzdar et al. [4]	(i) CT + trastuzumab	61.5%	70%
	(ii) CT alone	27.2%	25%
NOAH [20]*	(i) CT + trastuzumab	18%	48%
	(ii) CT alone	17%	22%
REMagUS 02 [9]	(i) CT + trastuzumab	20.5%	32%
	(ii) CT alone	20.5%	19%
NSABP B-41 [15]	(i) CT + trastuzumab	46.7%	65.5%
	(ii) CT + lapatinib	48%	60.6%
	(iii) CT + trastuzumab + lapatinib	55.6%	73%

# **LUMINAL BREAST CANCER**

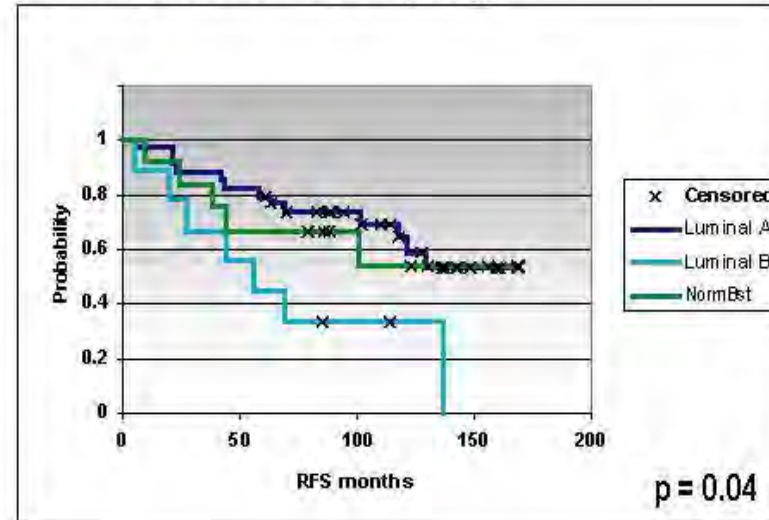
## Luminal breast cancer



### Luminal A

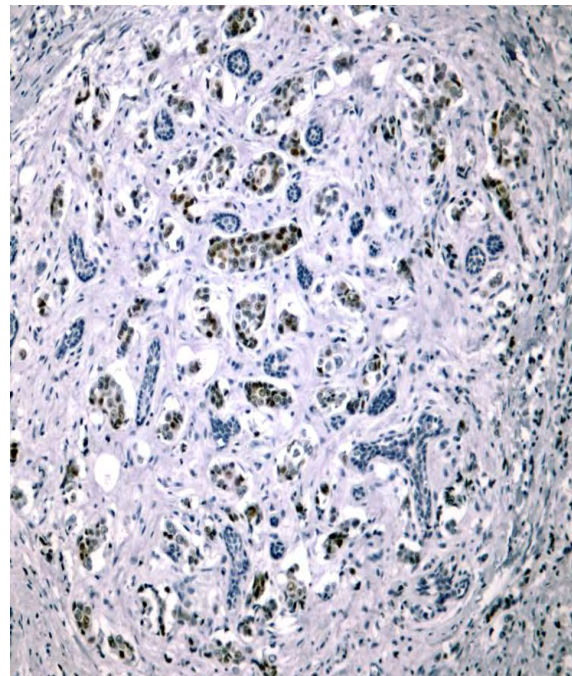
- ER+
- And all
  - PR +
  - Ki67 low
  - HER2 -
  - Low molec risk

60 Sample ER+ Tamoxifen-Treated Test Set  
Ma et al., Cancer Cell 5, 1-10 (2004).



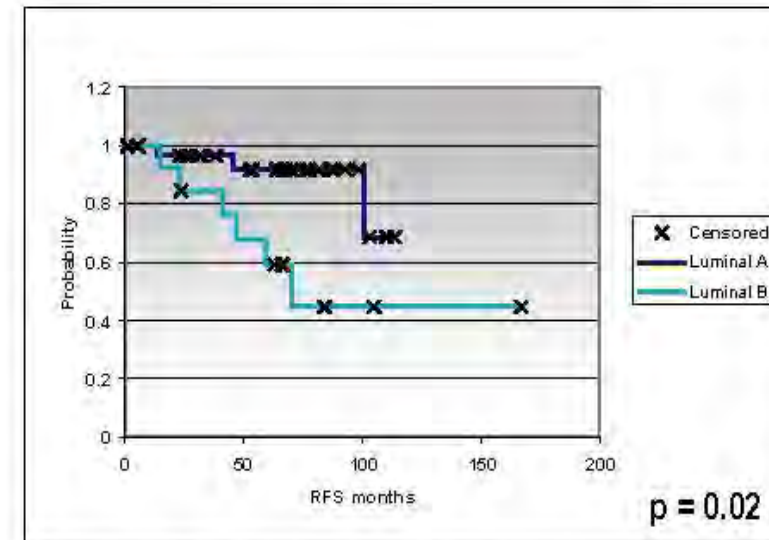
### Luminal B

- ER+
- And at least
  - PR low
  - Ki67 high
  - High molec risk



### Luminal B HER2 +

- ER+, HER2 3+
- Whatever PR
- Whatever Ki67



45 Tamoxifen Treated Test Set #2  
Chang et al., PNAS 102, 3738-43 (2005) + UNC

# Luminal BC

## LUMINAL A

- Grade 1
- ER<sup>+</sup>
- PR<sup>+</sup> (> 20%)
- Ki67 low (< 20%)
- NOS, tubular, cribriform, mucinous mol low risk, simplex genomic profile
- Low activation PI3K/AKT
- Hormonosensitivity

Intermediate  
category

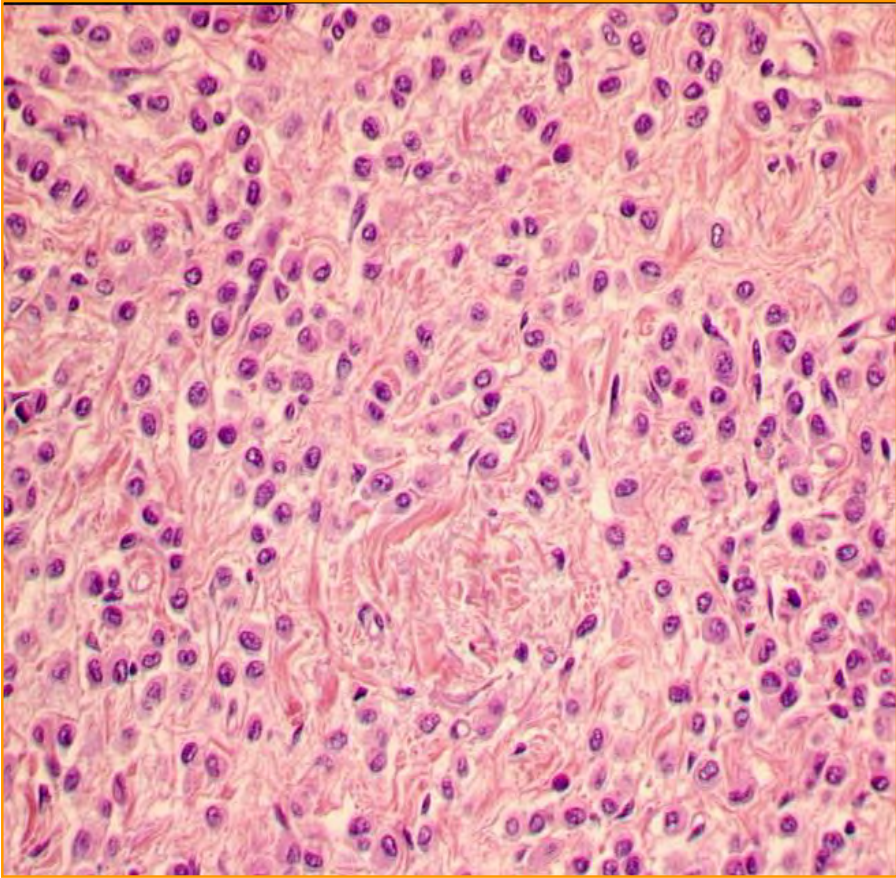
## LUMINAL B

- Grade 3
- ER<sup>+</sup>
- PR<sup>+/-</sup> (≤ 20%)
- Ki67 high (≥ 20%)
- HER2<sup>+/-</sup>
- NOS, micropapillary
- Mol high risk, complex genomic profile
- Activation growth factor R
- Hormonosensitivity, chemosensitivity

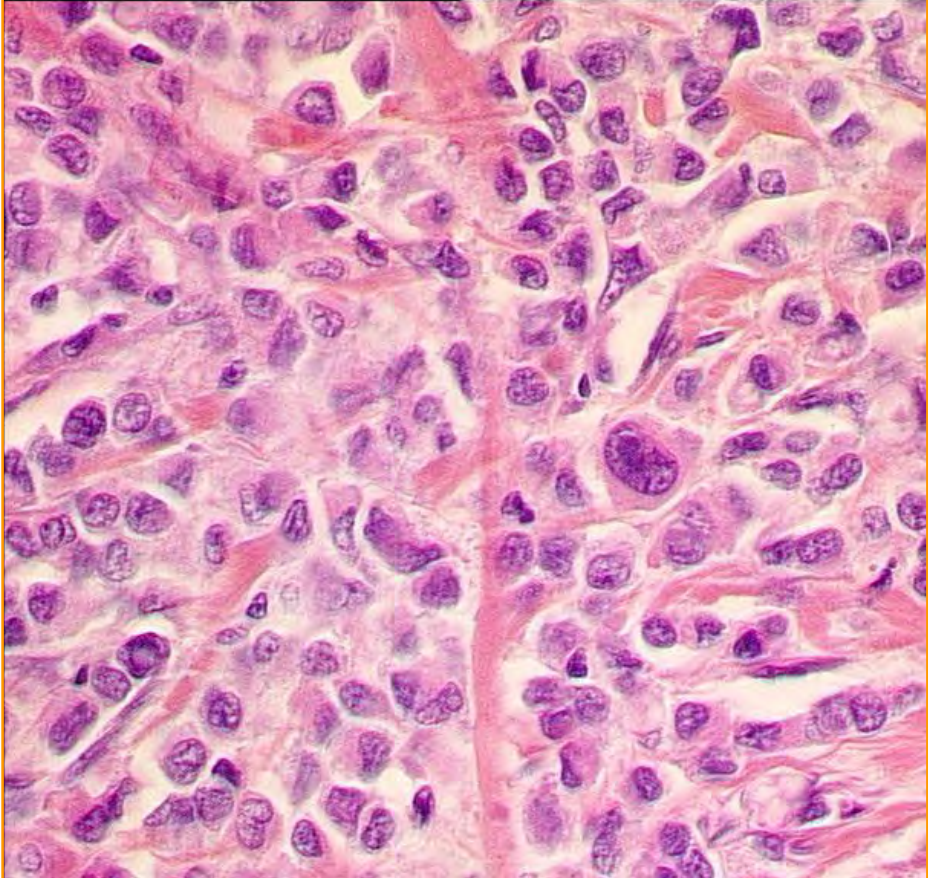
- Heterogeneous tumours defined by the expression of ER
- **Current detection method is IHC** ( issues on threshold, standardization)
- ER<sup>+</sup> tumours and HER2<sup>+</sup> classified as luminal B
- Major role of proliferation
- **Potential over/undertreatment / late recurrences**

# **LOBULAR CARCINOMA**

**Classical**



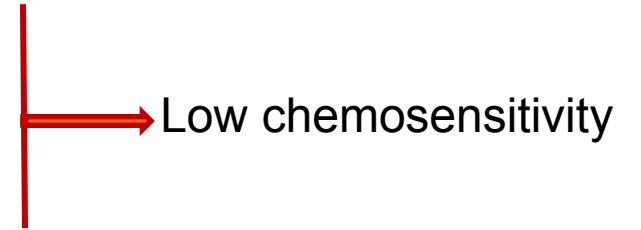
**Pleomorphic**



12-14% of BC, poor limitation  
Frequent metastasis to serous tissues (pleura, peritoneum, pericardia)

# Lobular carcinoma

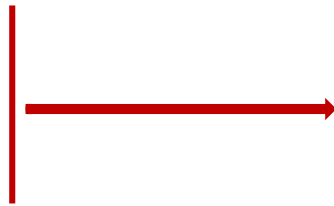
- E-cadherin Inactivation in 95% of cases
- ER+ > 90% of cases
- Low proliferation



- HER2 score 3+ < 5% of cases

- *HER2* Mutations :

- 6% classical ILC
- 15% ILC high grade



- ***PIK3CA* Mutations in 48% of the cases**

- Mutations *TP53*, *GATA3*, *FOXA1*, *RUNX1* ~ 5 -10% of the cases

PTEN/AKT pathway activation mutually exclusive with *PIK3CA* mutations.

mTOR  
inhibitor  
*PIK3CA* i

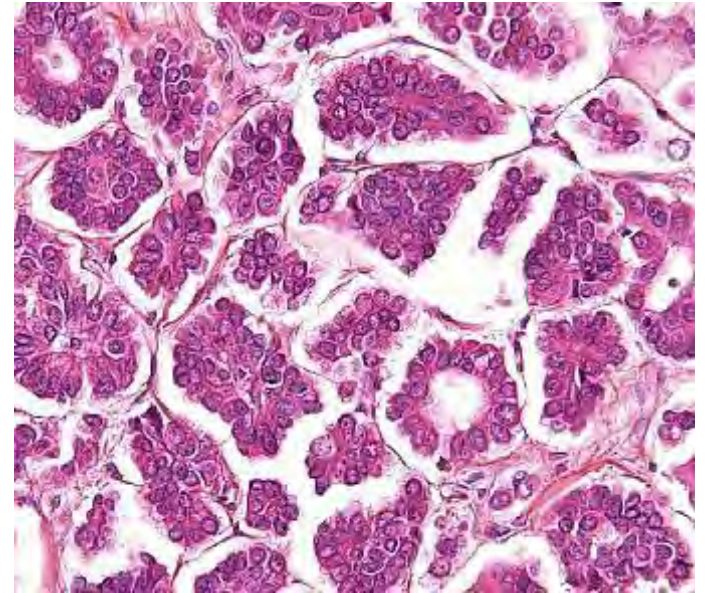
- 3 or 2 transcriptomic groups have been identified

- « reactive-like » (good prognostic), « Immune-related » & « proliferative »  
or « immune-related » & « hormone – related »



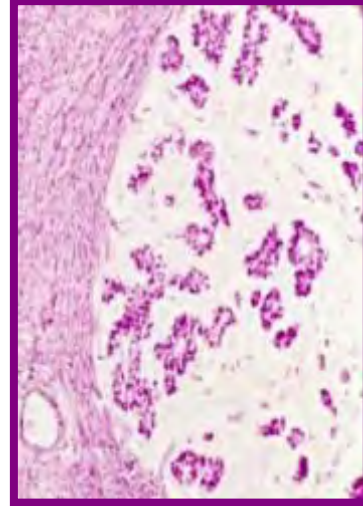
# Micropapillary carcinoma a very aggressive luminal tumor

- Embolies -70-80%) and frequent node invasion (pure 60%, mixed 40%)
- SBR II or III Recurrent abnormalities in 8p11-22, involving FGFR1, NGR1 / neuregulin
- HR + 70-90%
- HER2 + 35-50%
- C-MYC amplification



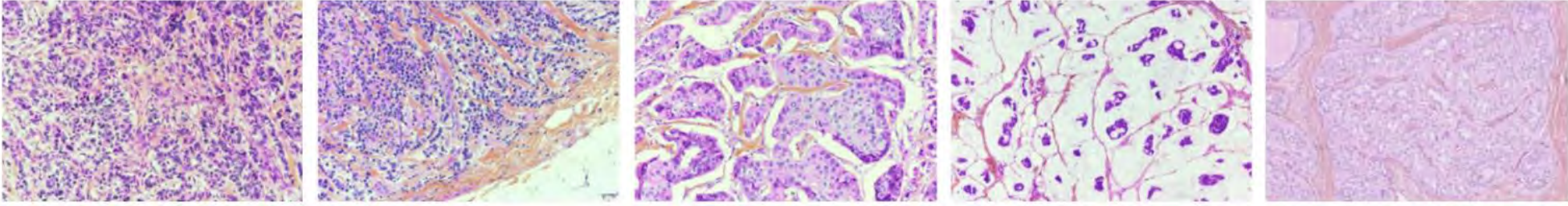
# Specific/frequent molecular alterations

- **Mucinous carcinomas (2%)**
  - Characterized by **increased frequency of GATA3 (23%)** mutations, and **decreased frequency of PIK3CA (8%)** and **TP53 (8%)** alterations compared to IDC.
- **One third of all BC primary tumors do not present any reported driver mutation.**



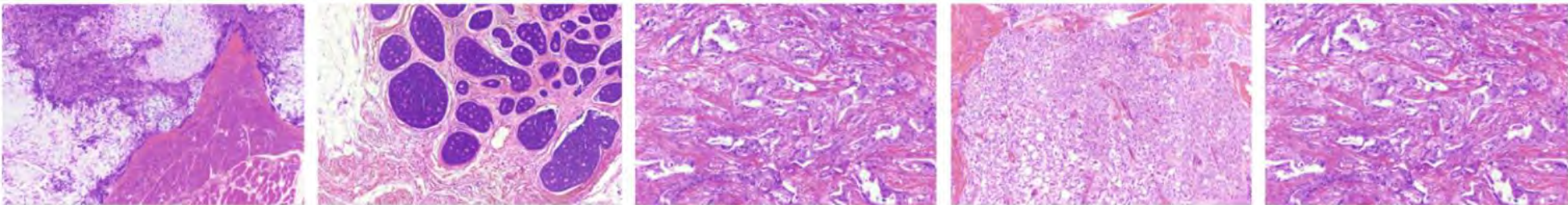
# **LUMINAL KEY MESSAGES**

Generally ER+, HER2-, luminal-like



<p>Lobular pleiomorphic (&lt;2%)</p> <p>ERBB2 amplification (25%)</p> <p>CDH1 mutations (85%); PIK3CA, AKT1 or PTEN alterations (50%); ERBB2 and ERBB3 mutations (8.5%)</p>	<p>Lobular classical (12%)</p>	<p>Micropapillary (3–6%)</p> <p>PIK3CA and MAP3K1 mutations (45%); GATA3 mutations (27%)</p> <p>Lymphophyllic</p>	<p>Mucinous (~2%)</p>	<p>Tall cell carcinoma with reverse polarity (&lt;0.1%)</p> <p>Triple-negative (60%; weak and focal ER expression in 40%); IDH2 mutations (84%); PIK3CA mutations (67%)</p>
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Generally ER-, HER2-, basal-like



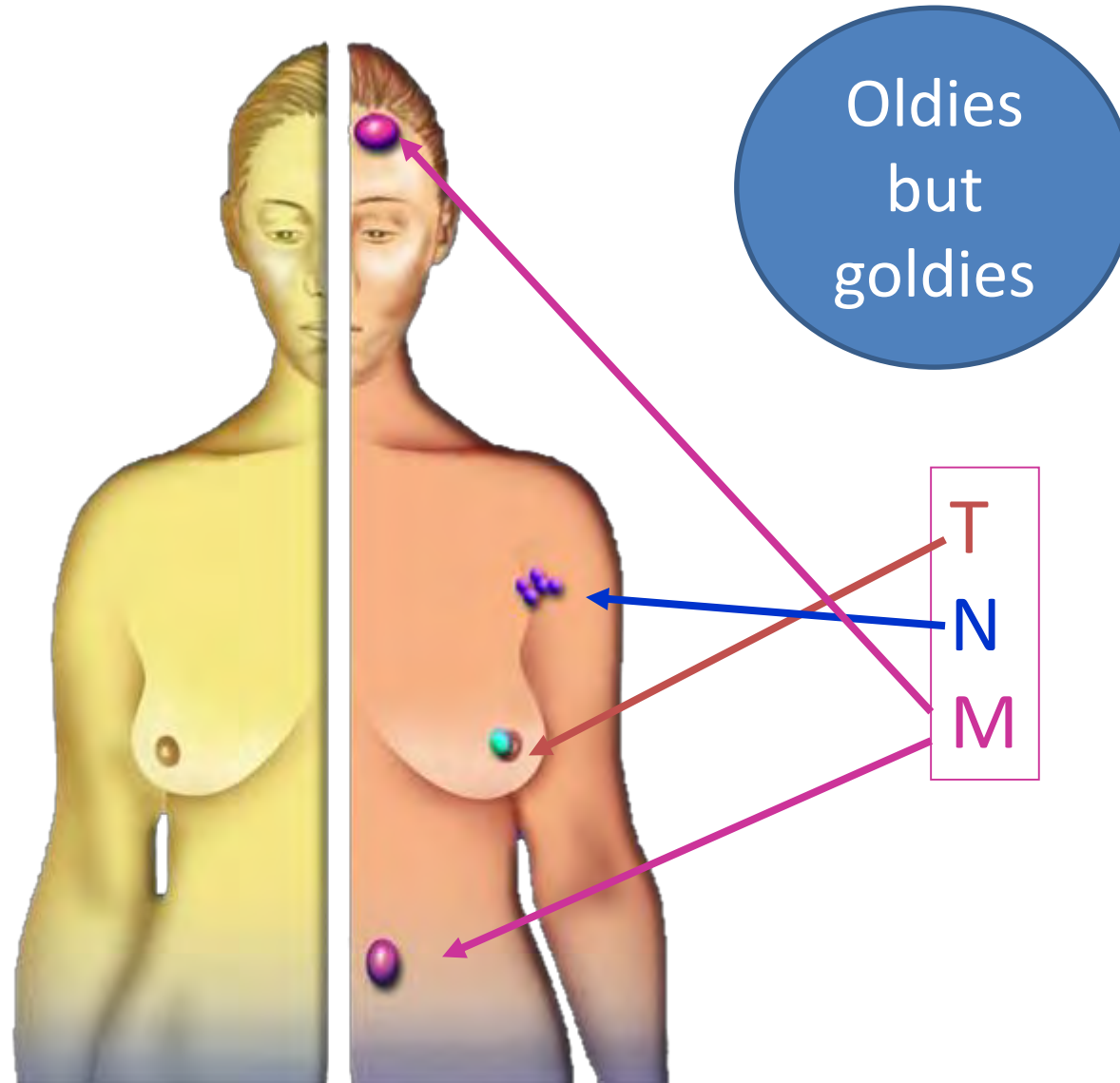
<p>Metaplastic (0.2–5%)</p> <p>TP53 mutations (70%); PIK3CA mutations (&gt;50%); WNT pathway activation</p> <p>Claudin-low</p>	<p>Adenoid cystic (&lt;1%)</p> <p>Lack of TP53 and PIK3CA mutations; alterations of MYB or MYBL, including MYB–NFIB fusion (60%)</p>	<p>Adenocarcinoma with lymphoid-rich stroma (&lt;1%)</p> <p>TP53 mutations (87%); BRCA inactivated (&gt;50%)</p>	<p>Secretory (~1%)</p> <p>NTRK3–ETV6 fusion</p>	<p>Apocrine (~1%)</p> <p>PI3KCA or PTEN mutations (&gt;60%); ERBB2 amplification (30%); androgen receptor activation (90%); molecular apocrine</p>
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   Histotype (frequency)   
    Molecular features (occurrence)   
    Other features

**CLASSICAL PARAMETERS ARE IMPORTANT,  
BUT.....**

# Classical prognosis and **predictive** factors

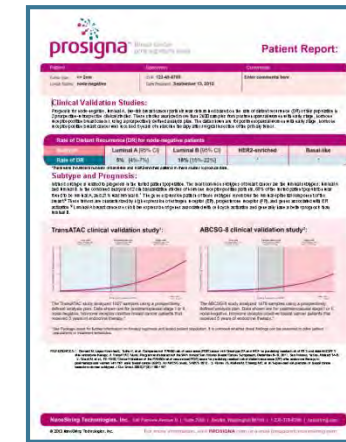
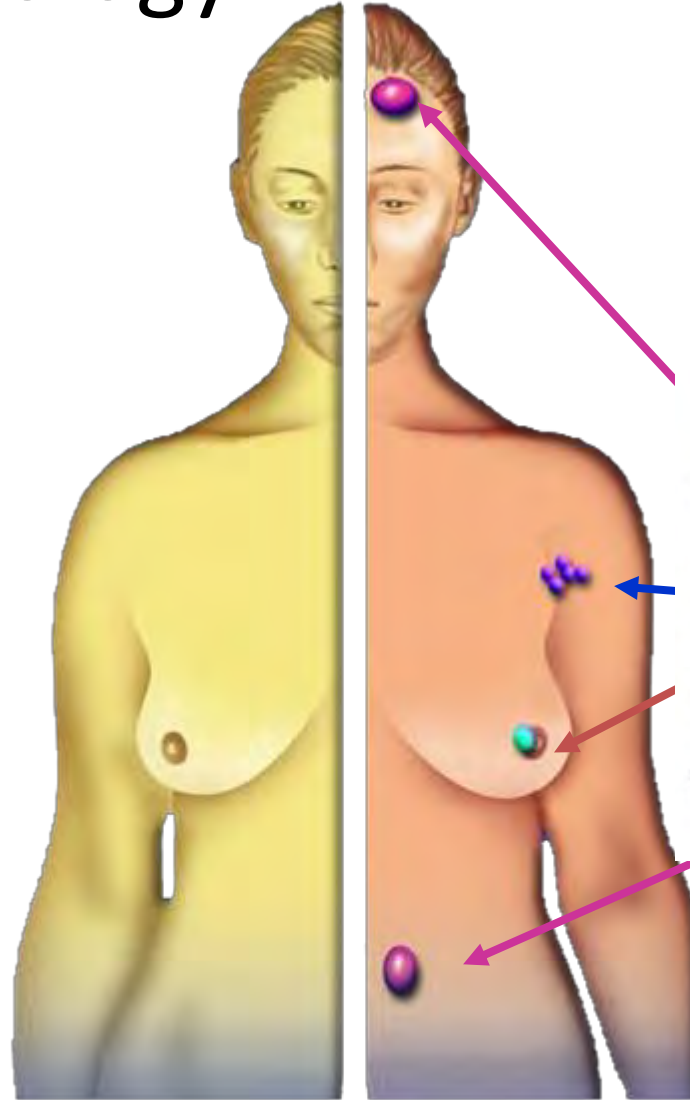
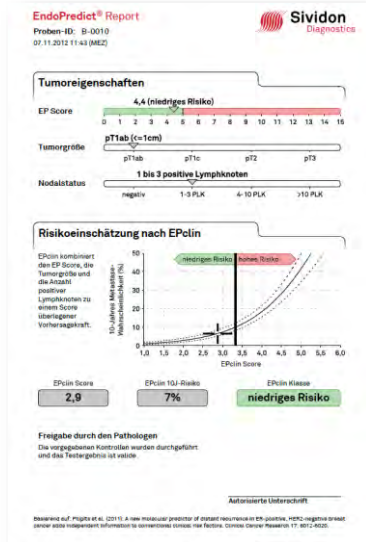
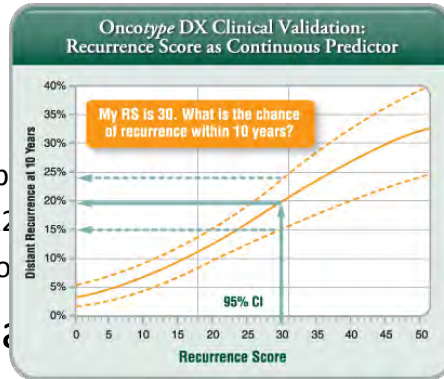
- Age
- Grade
- Histological subtypes
- **ER/PR and HER2 status**
- **Ki67 +/- mitotic index**
- **Vascular invasion**
- **Tumor margins**



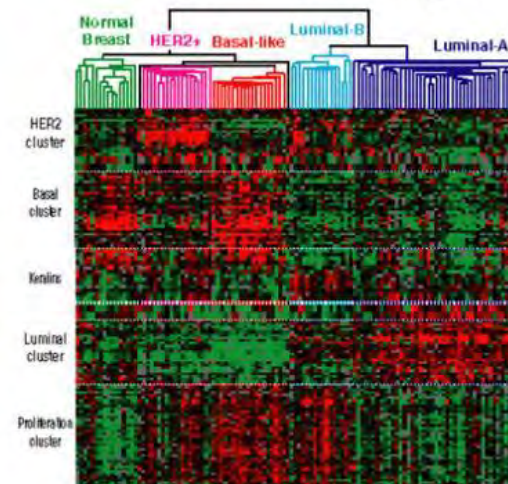
**TREATMENT DESCALATION IN HR+ HER2-  
→ MOLECULAR SIGNATURES**

# Yes, we have molecular biology!

- Age
- Grade
- Histological sub
- ER/PR and HER2
- Vascular invasion
- Tumor ma



Diversity of Breast Tumor Subtypes





## 4 signatures, 4 different worlds

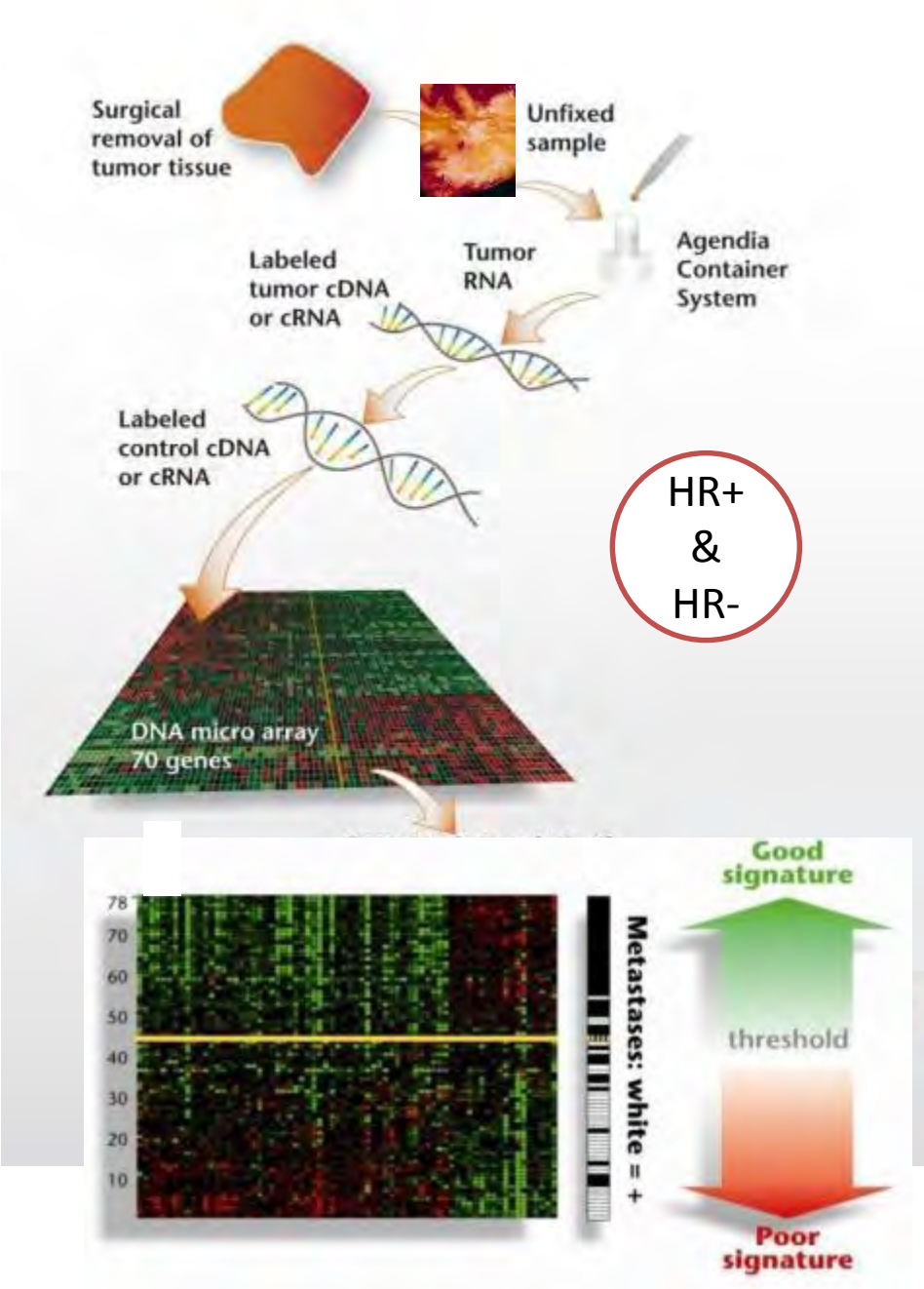
	Oncotype DX	MammaPrint	Prosigna	EndoPredict
<b>Gene number</b>	16 + 5 reference	70	50 + 8 reference	8 + 3 reference
<b>Patient Type</b>	Pre or postmenopausal HR+, HER2 Node +/- (1-3) early stage	Pre or postmenopausal ER+/- Node +/- early stage tumor <5cm	Postmenopausal HR+, HER2- Node +/- (1-3) Stage I to IIIA BC	Postmenopausal HR+, HER2- Node +/-
<b>Individual Risk</b>	Yes	No	Yes	Yes
<b>Classification</b>	Continuous score 0-100; reports individualised	Low, High	Continuous score reported as Low, Inter, High	Low, High
<b>Prognostic</b>	Yes level 1A	Yes level 1A	Yes level 1B	Yes level 1B
<b>Predictive of chemotherapy benefit</b>	Yes level 1A	No clinical evidence	No clinical evidence	No clinical evidence
<b>Technology</b>	Quantitative RT-PCR	Microarray	direct mRNA hybridization	Quantitative RT-PCR

Paik et al. N Engl J Med. 2004, 51:2817-26; Paik J Clin Oncol 2006, 24:3726-3734; Filipits et al. Clin Cancer Res. 2011; 4. Bueno-de-Mesquita et al. Lancet Oncol. 2007; 5. Mook et al. Breast Cancer Res Treat. 2009; 6. Sapino et al. J Mol Diagn. 2013; 7. Dowsett et al. J Clin Oncol. 2013; 8. Gnant et al. Ann Oncol. 2013



Centralized tests

# MammaPrint (Agendia, NL)



HR+ ET HR - / HER2- , T < 5cm, N ≤ 3

Fresh frozen=> FFPE

DNA array

70 GENES

CELL CYCLE/ PROLIFERATION

SIGNAL TRANSDUCTION

INVASION, METASTASIS, ANGIOGENESIS

« CENTRALIZED » TEST

RECENTLY ADAPTATED TO FFPE

Group of genes (« signatures »)

EARLY RECURRENCE (Dg < 5 ans)

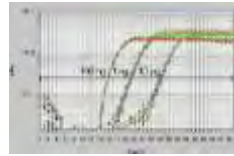
**PROGNOSTIC**

GOOD SIGNATURE :

LOW RISK

POOR SIGNATURE :

HIGH RISK



# OncotypeDX (Genomic Health, USA)

**HR+ / HER2- , T1-3, N-/N+  
FFPE specimens  
qRT-PCR  
21 GENES  
PROLIFERATION, OESTROGENE,  
HER2, INVASION (16 GENES) + REFS (5 GENES)**

**« CENTRALIZED » TEST**

**(recurrence score) RS**

**Late recurrence (10 years)**

**Benefit from adjuvant TT**

**PROGNOSTIC AND PREDICTIVE**

**16 gènes de cancer et 5 gènes de référence de 3 études**

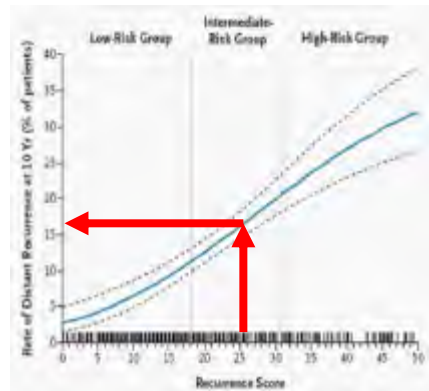
<b>Groupe Prolifération</b> Ki-67 STK15 Survivine Cycline B1 MYBL2	<b>Groupe Estrogène</b> ER PR Bcl-2 SCUBE2	<b>Groupe Invasion</b> Stromélysine 3 Cathepsine L2
<b>Groupe HER2</b> GRB7 HER2	<b>GSTM1</b> <b>BAG1</b> <b>CD68</b>	<b>Groupe de référence</b> Béta-actine GAPDH RPLPO GUS TFRC

**Calcul du score de récurrence**

$$RS = +0,47 \times \text{score du groupe HER2} - 0,34 \times \text{score du groupe estrogène} + 1,04 \times \text{score du groupe prolifération} + 0,10 \times \text{score du groupe invasion} + 0,50 \times \text{CD68} - 0,08 \times \text{GSTM1} - 0,07 \times \text{BAG1}$$

**Interprétation du score de récurrence**

Catégorie	RS (0-100)
Bas risque	RS < 18
Risque intermédiaire	RS ≥ 18 et > 31
Haut risque	RS ≤ 31



**LOW RISK 1-25:  
HORMONOTHERAPY  
HIGH RISK >26:  
+ HORMONOTHERAPY / + CHEMOTHERAPY**

First generation signatures	Prognostic	Predictive	Technical validation
<b>MammaPrint®</b> All BC, N0-N1-3 70 genes signature 2 categories (low & high risk)	+++	++	YES Gene expression profile Central Lab
<b>Oncotype Dx®</b> ER+, HER2- BC, N0-N1-3 21 genes signature Recurrence score RS 3 categories	+++	+++	YES RT-PCR Central Lab
<b>Clinical validation</b>			
<b>MammaPrint®: LOEIA</b> Prospective validation for prognostic value of low genetic in clinically high risk: 5yrs DMFS >94% (48%N+) 14% reduction in CT prescription up to 46% in high clinical risk			
<b>Oncotype Dx®: LOEIA</b> prospective validation for RS <26 prognosis LO1B validated retrospectively in prospective clinical trials (prediction chemotherapy benefit), prospective clinical validation ongoing for prediction			



Decentralized tests



# EndoPredict (Sividon, GE)

HR+ / HER2- , T1-2, N0

FFPE  
qRT-PCR  
8 GENES SIGNATURE  
PROLIFERATION, OESTROGENES

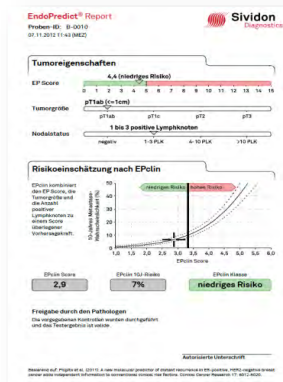
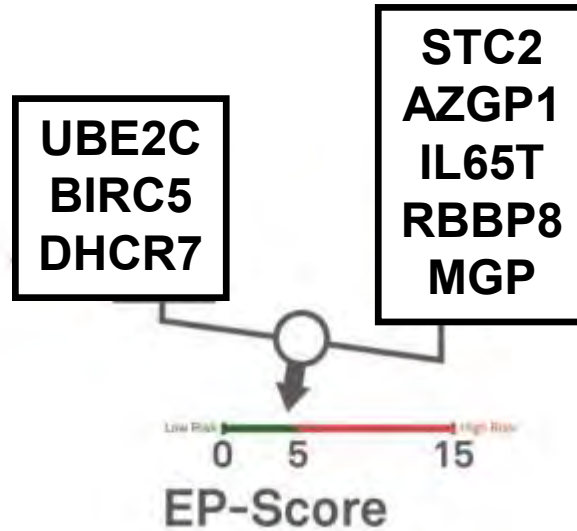
« LOCAL » TEST  
(SPECIAL EQUIPMENT IS REQUIRED)

SCORE OF RECURRENCE EP SCORE  
LATE AND EARLY RECURRENCES  
(5 & 10 YEARS)

PROGNOSIS

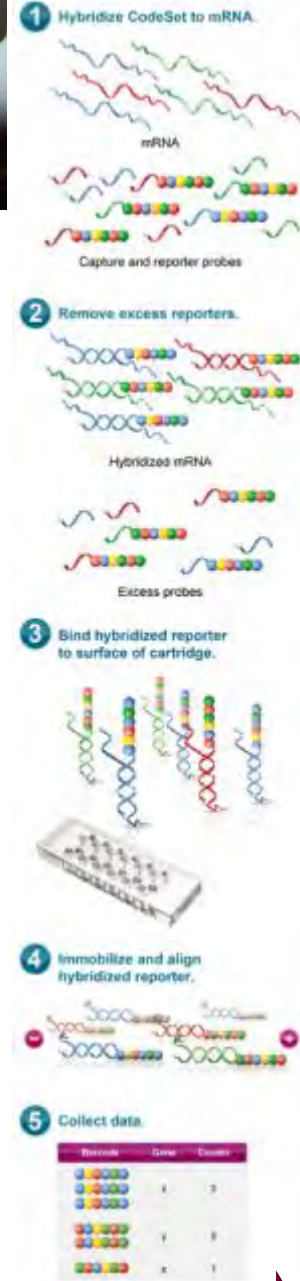
LOW RISK

HIGH RISK

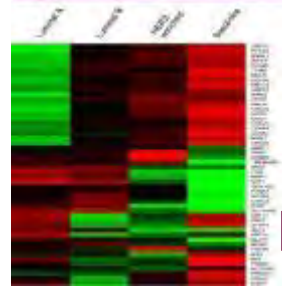




Prosigna™ labels genes with a barcode



Subtypes have distinct gene expression



$ROR = aR_{LumA^+}$

$bR_{LumB^+}$

$cR_{Her2e^+}$

$dR_{Basal^+}$

$eP^+$

$fT$

Pearson's correlation to centroids\*

Proliferation score (19 genes)

Tumor size

# Prosigna (PAM50) (NanoString Technology, USA)

IDENTIFICATION OF « MOLECULAR SUBTYPES »  
(LumA, LumB, HER2-enrichi, Basal)

Two risk scales  
N0  
N 1-3  
Tumor size  
≤ 2cm  
>2 cm

FFPE  
DNA ARRAY WITH BARCODES  
(1 gene = 1 barcode)

50 GENES

« LOCAL » TEST  
(SPECIAL EQUIPMENT IS REQUIRED)

LATE AND EARLY RECURRENCES  
(5 & 10 YEARS)  
PROGNOSIS

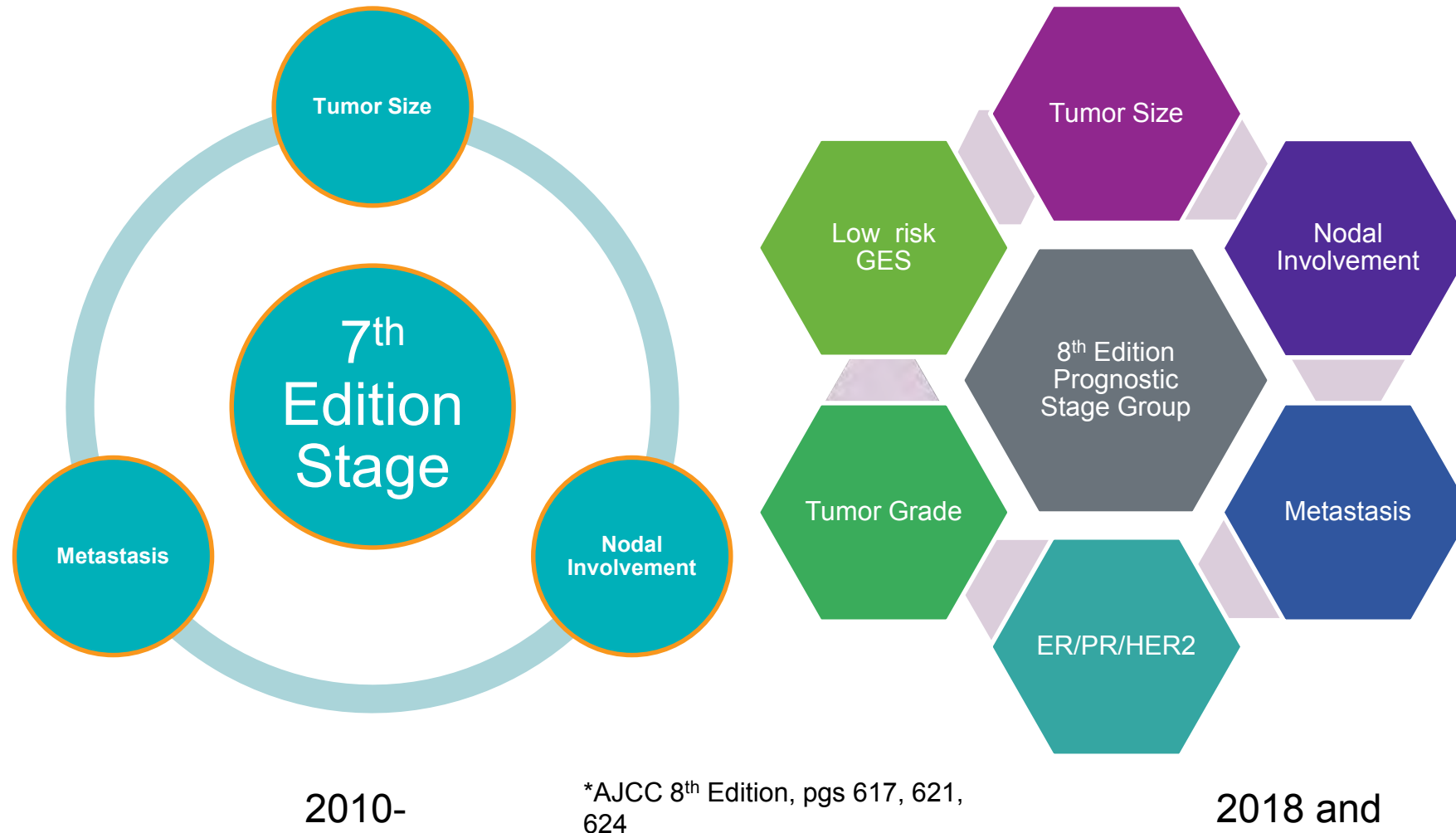
LOW RISK (ROR)  
Intermediate risk  
HIGH RISK (ROR)



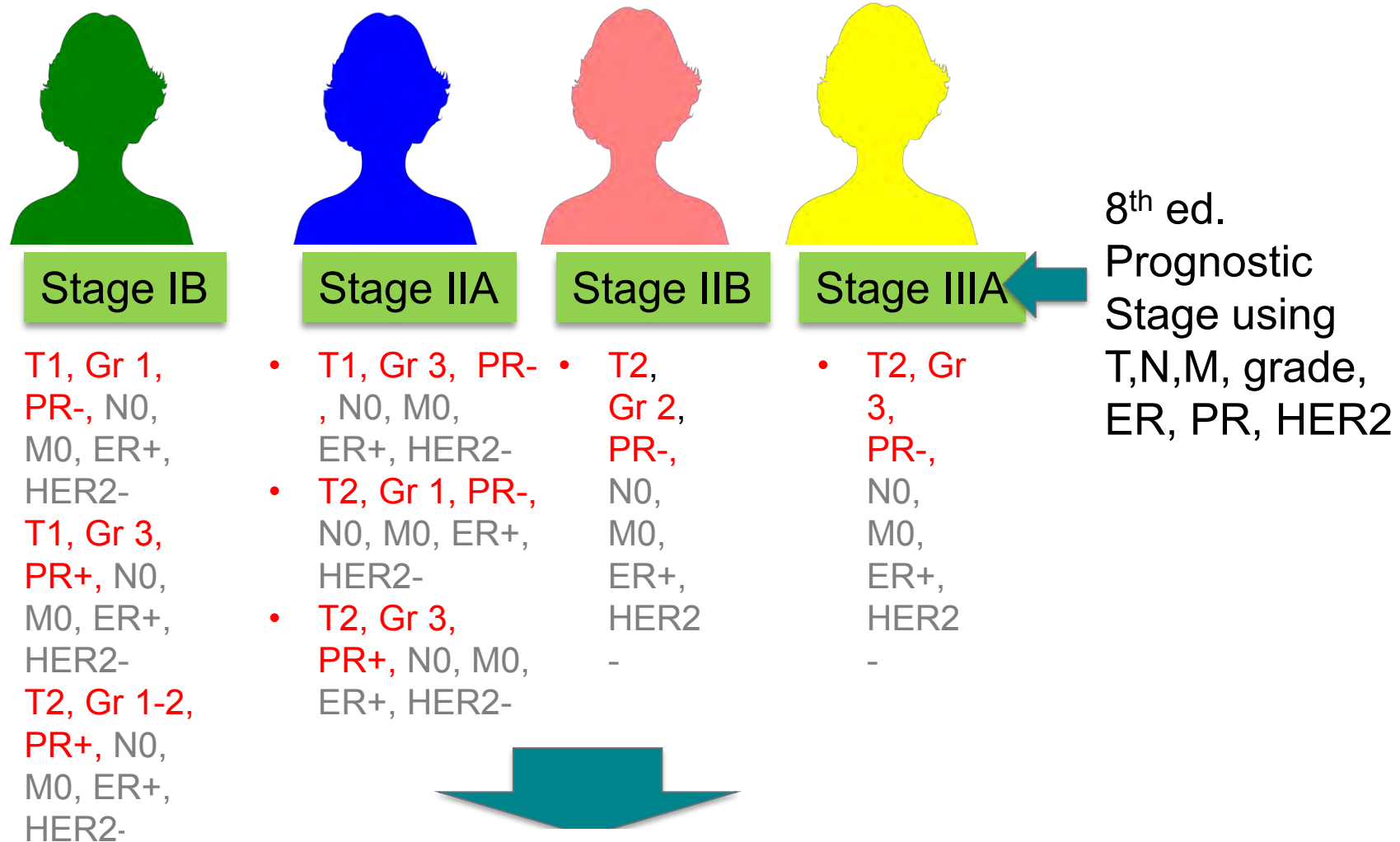
Second generation signatures	Prognostic	Predictive	Technical validation
<b>Prosigna®</b> <b>ER+, HER2- BC, N0-N1-3</b> <b>50 genes signature</b> Includes size and N	++	++	YES N-Counter® technology Dedicated instrument
<b>Endopredict®</b> <b>ER+, HER2- BC, N0-N1-3</b> <b>8 genes signature</b> Includes size and N	++	++	YES RT-PCR Dedicated instrument
<b>Clinical validation</b>			
<b>Prosigna®: LOE1B</b> Validated retrospectively in prospective clinical trials of HT Prognosis Late recurrences (after 5 years)			
<b>Endopredict®: LOE1B</b> Validated retrospectively in prospective clinical trials of HT Prognosis Late recurrences (after 5 years)			

# **NEW AJCC TNM AND SIGNATURES**

8<sup>th</sup> Edition – “Genomic panels...have become as or more important than the anatomic extent of disease to define prognosis”\*



# Low risk molecular signature result in lower stage than would be recorded using biologic and anatomic factors alone

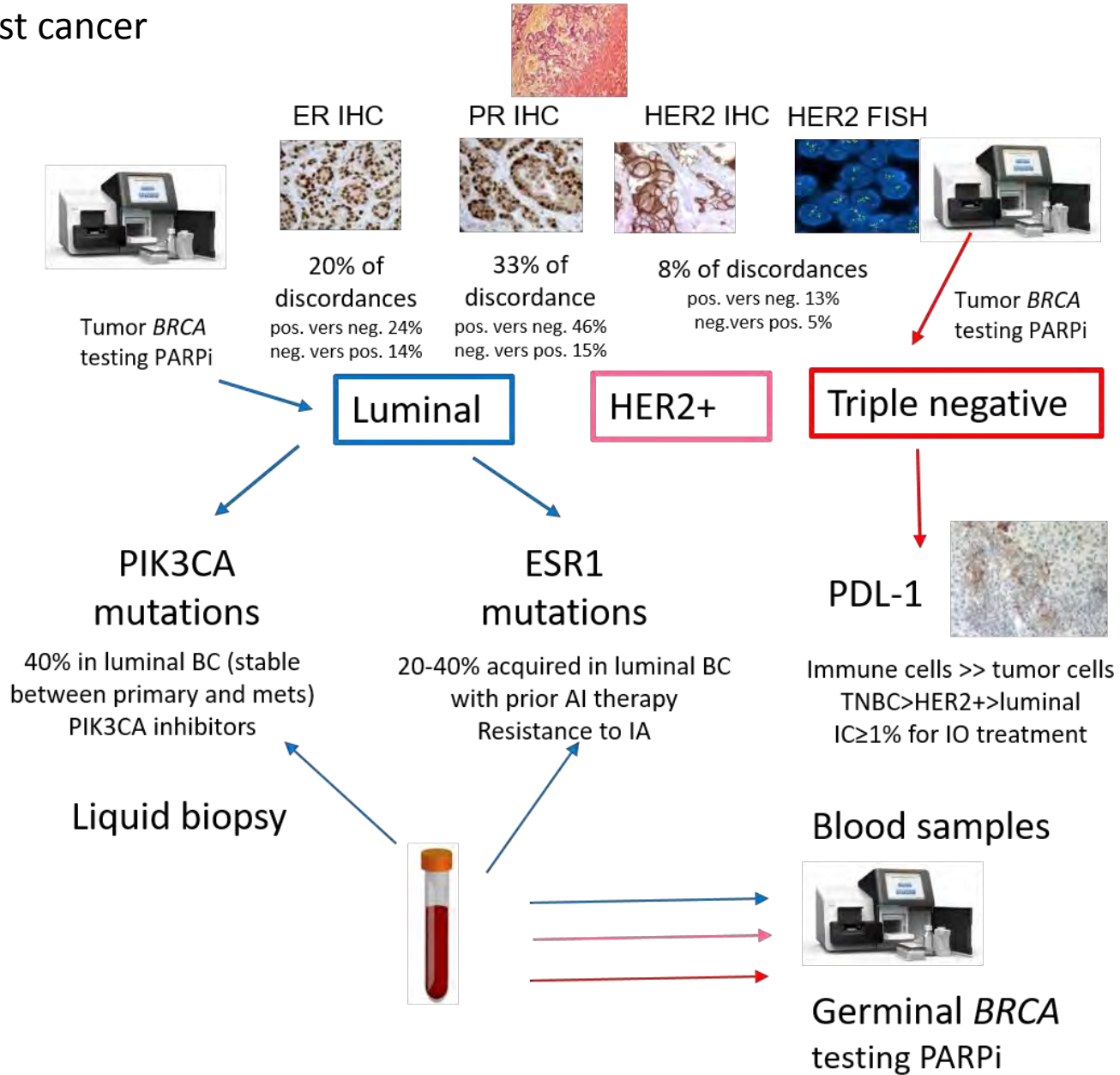


If low risk **GES**, all of these patients are classified as Stage IA

# **EMERGING BIOMARKERS (FOR METASTATIC DISEASE)**

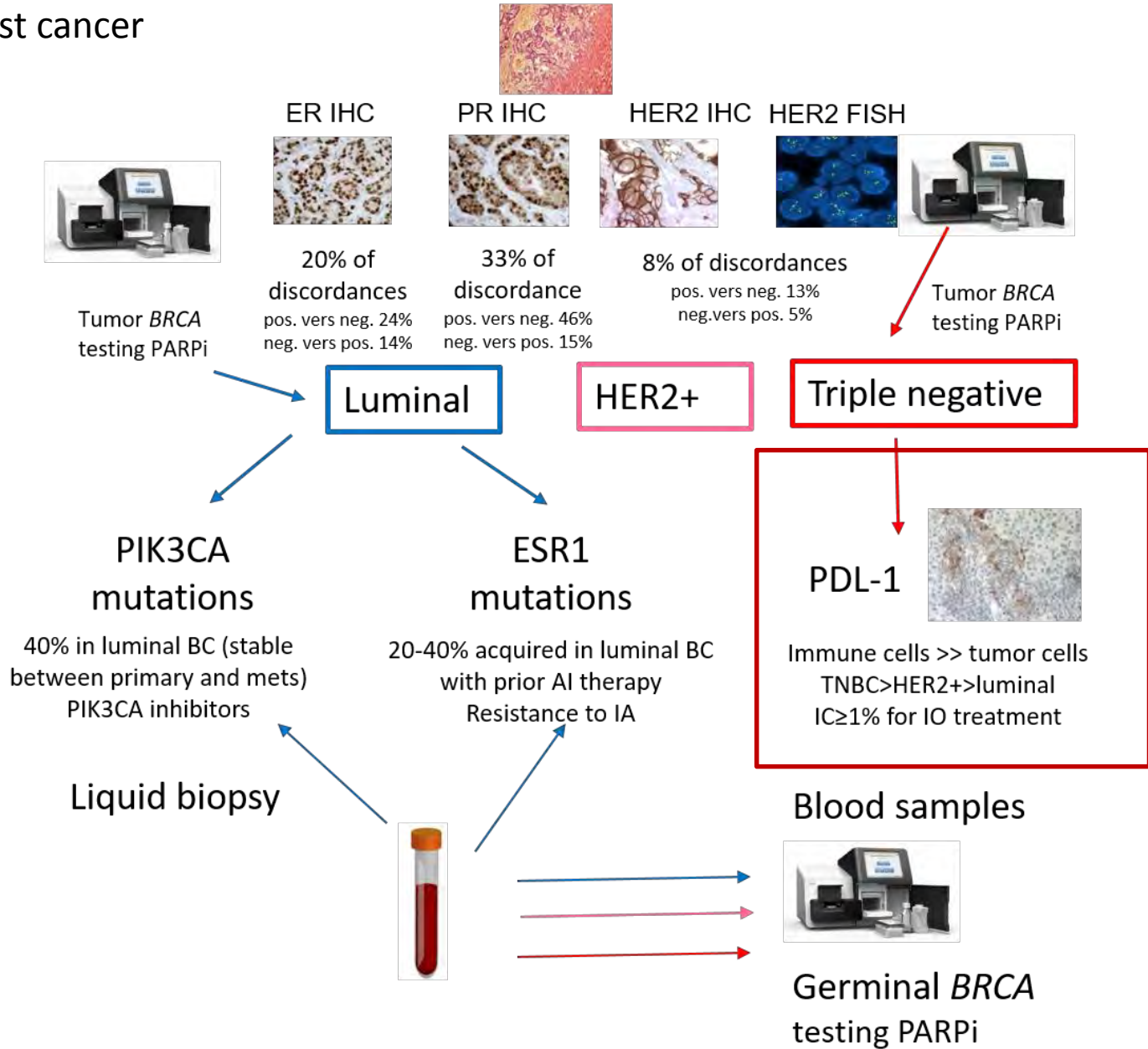
# Metastatic breast cancer

## Tumor biopsy



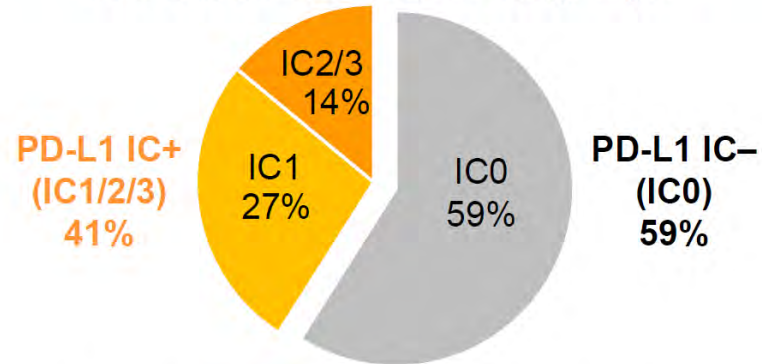
# Metastatic breast cancer

## Tumor biopsy

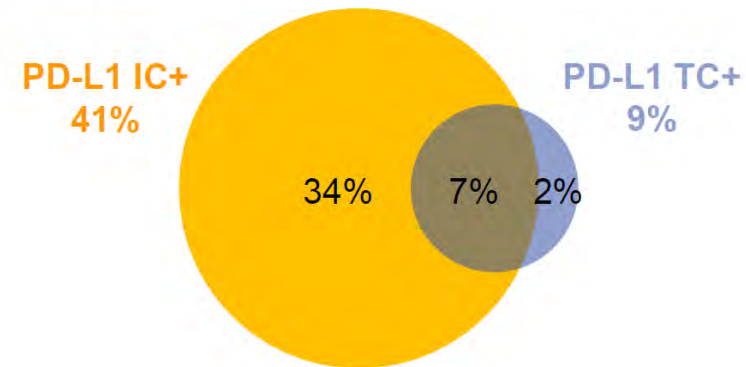


# In IMpassion130, PD-L1 in TNBC is expressed mainly on tumor-infiltrating immune cells

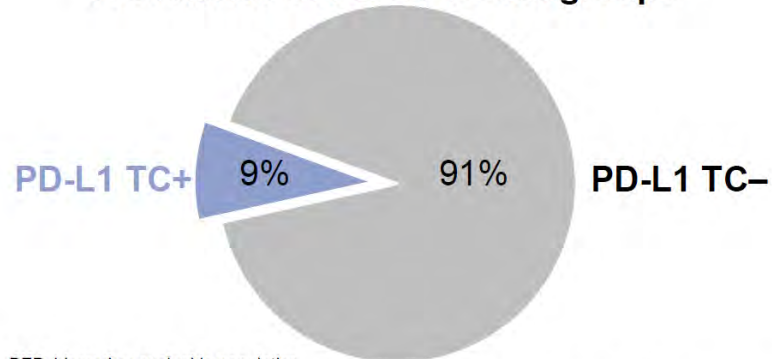
Prevalence of PD-L1 IC subgroups



The majority of patients with expression of PD-L1 on TC are included within the PD-L1 IC+ population



Prevalence of PD-L1 TC subgroups

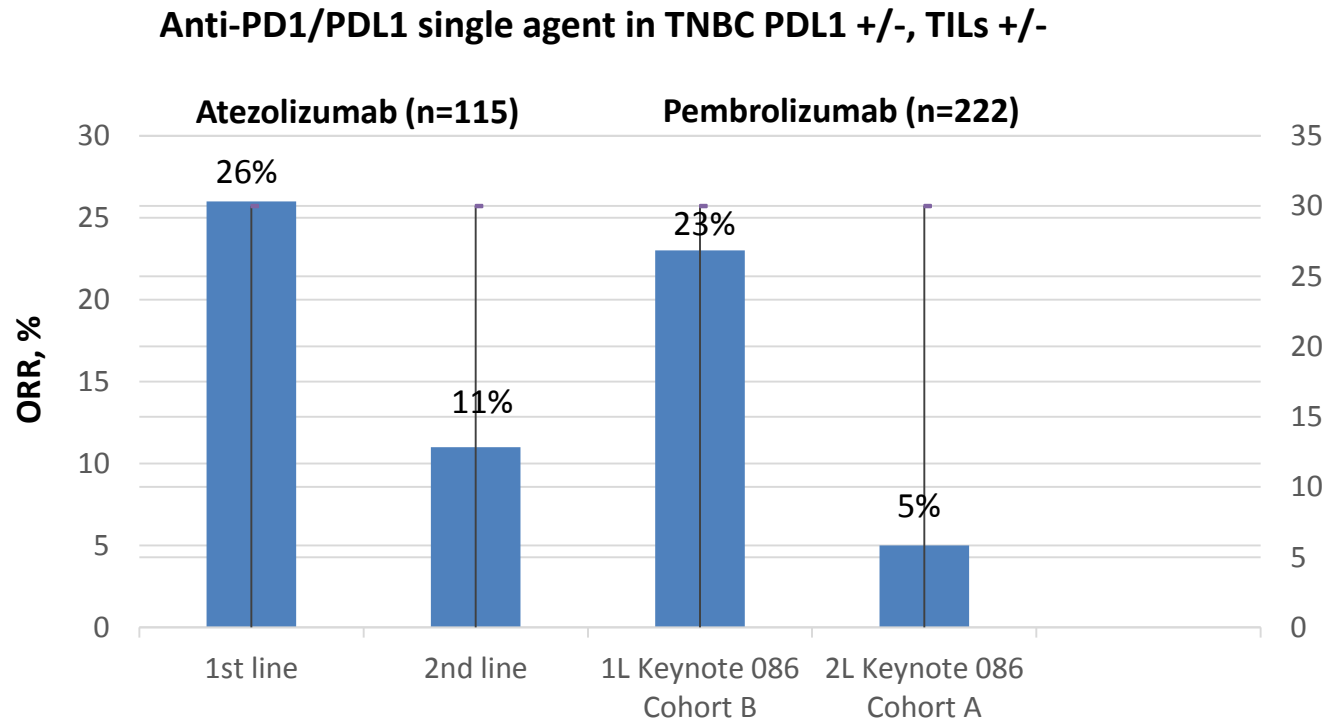


BEP, biomarker-evaluable population.  
BEP (TC): n = 900. PD-L1 scoring: IC0: < 1%; IC1: ≥ 1% and < 5%; IC2: ≥ 5% and < 10%; IC3: ≥ 10%; TC-: < 1% PD-L1 on tumor cells; TC+: ≥ 1% PD-L1 on tumor cells.

Emens LA, et al. IMpassion130 biomarkers.  
SABCS 2018 (program #GS1-04)



# Response to Immunotherapy Alone

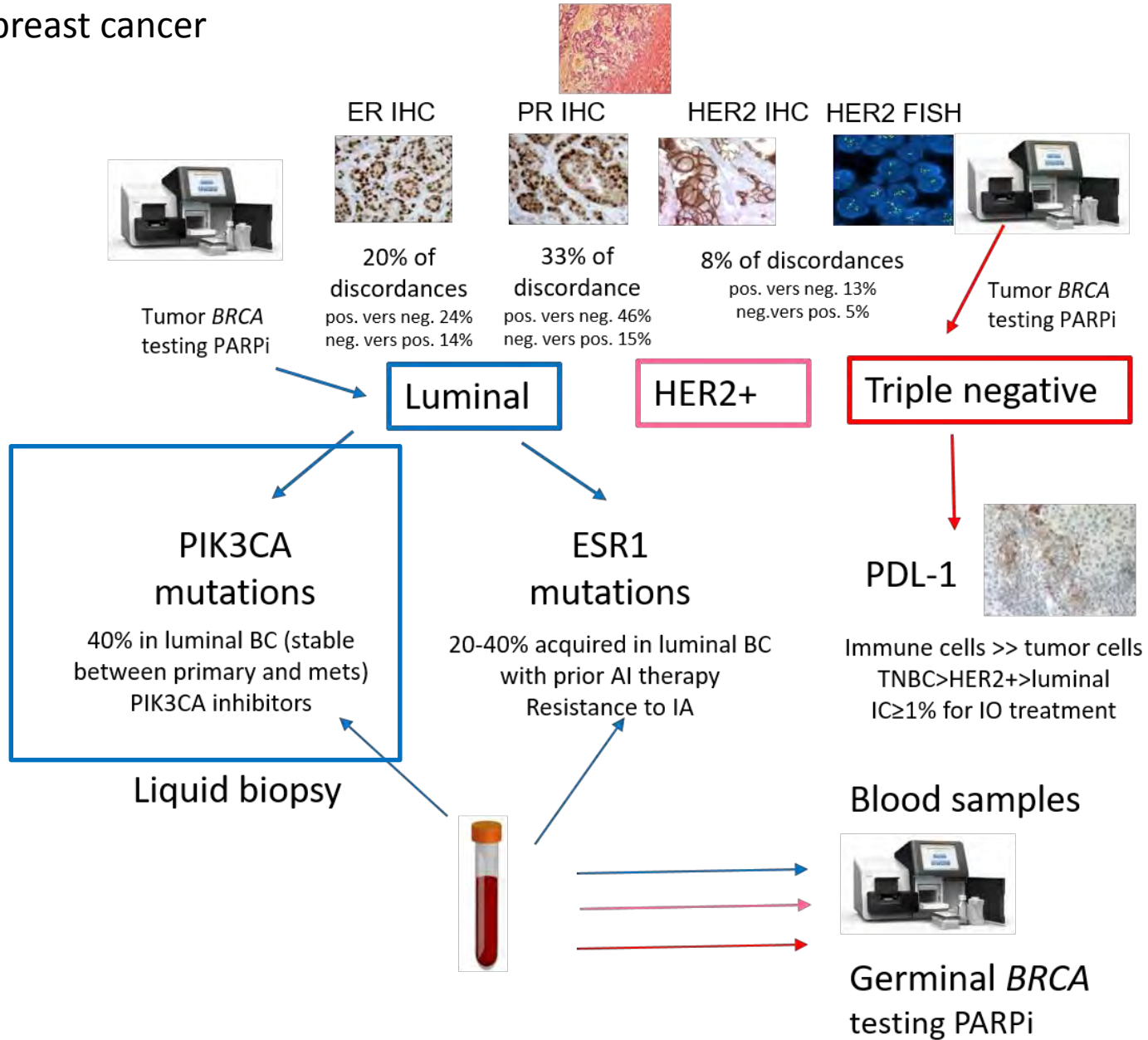


TNBC, Triple negative breast cancer

Schmid P, et al. AACR 2017, Abstract 2986. Adams S, et al. J Clin Oncol. 2017;35(Suppl 4):Abstract 1088. Adams S, et al. J Clin Oncol. 2017;35(Suppl 4):Abstract 1008. Loi S, et al. Ann Oncol. 2017;28(Suppl 5):Abstract LBA13.

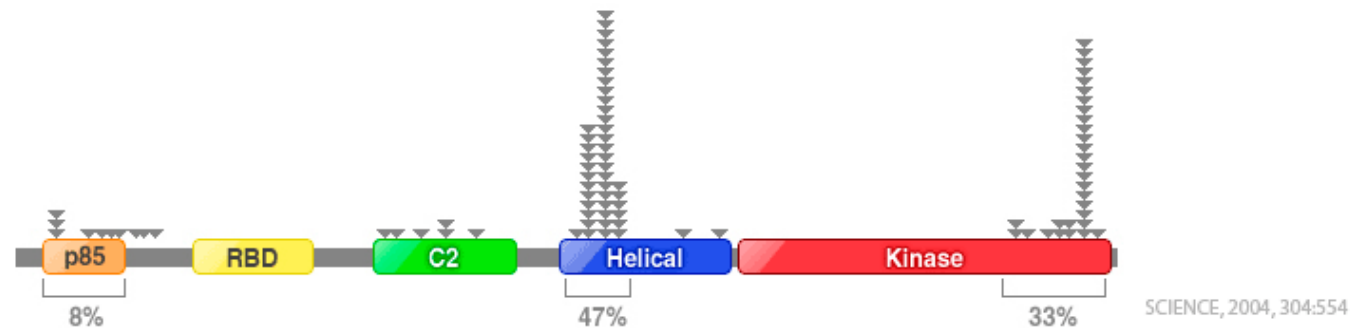
Metastatic breast cancer

Tumor biopsy



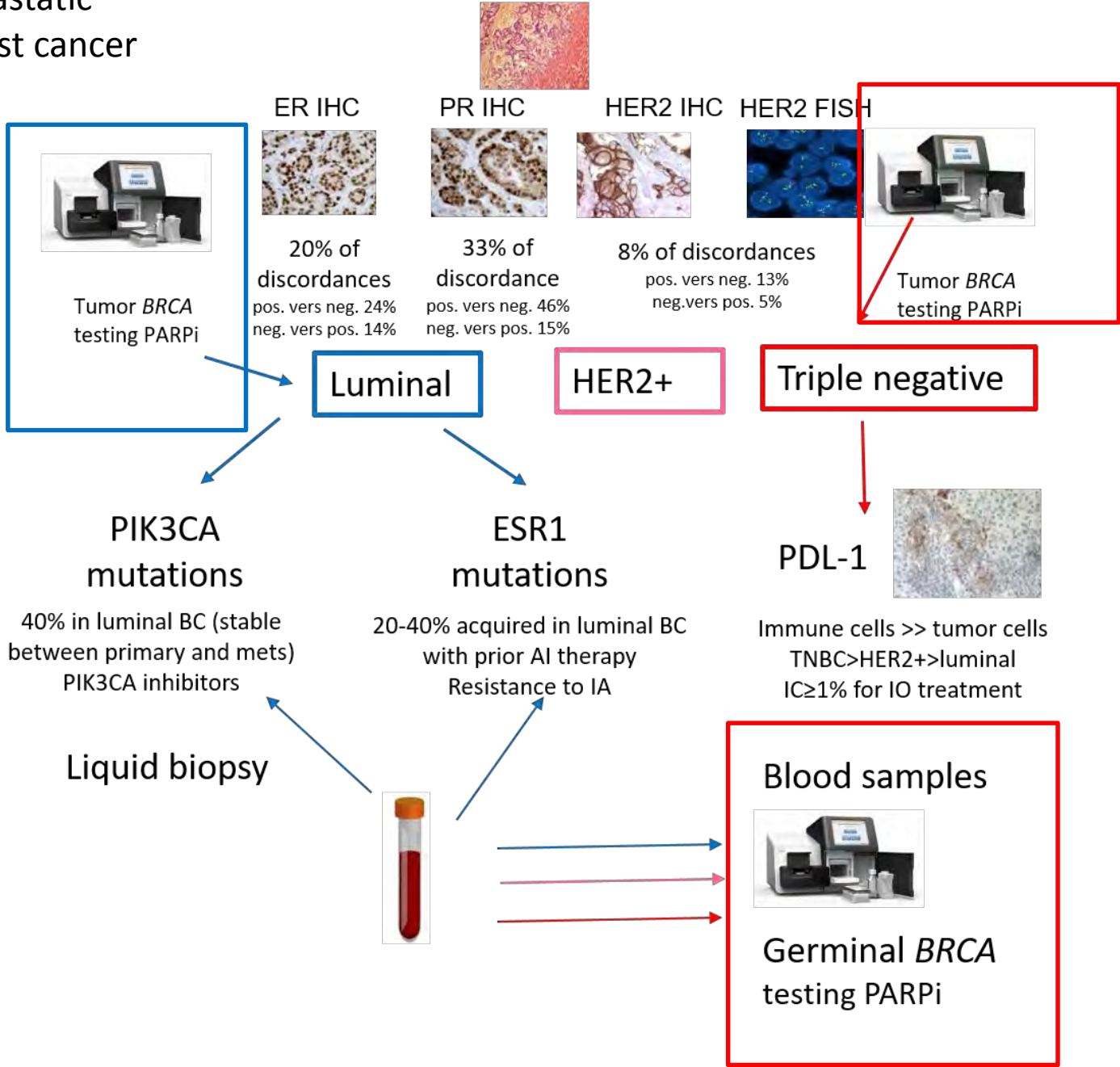
# PIK3CA

- **Recurrent mutations**
  - exon 9: E542K, E545K, Helicase domain
  - exon 20: H1047R, Kinase domain
  - Frequent: 30 to 40% of BC
- **Prognostic role?**
- **Predictive role for specific PIK3CA inhibitors**



Metastatic breast cancer

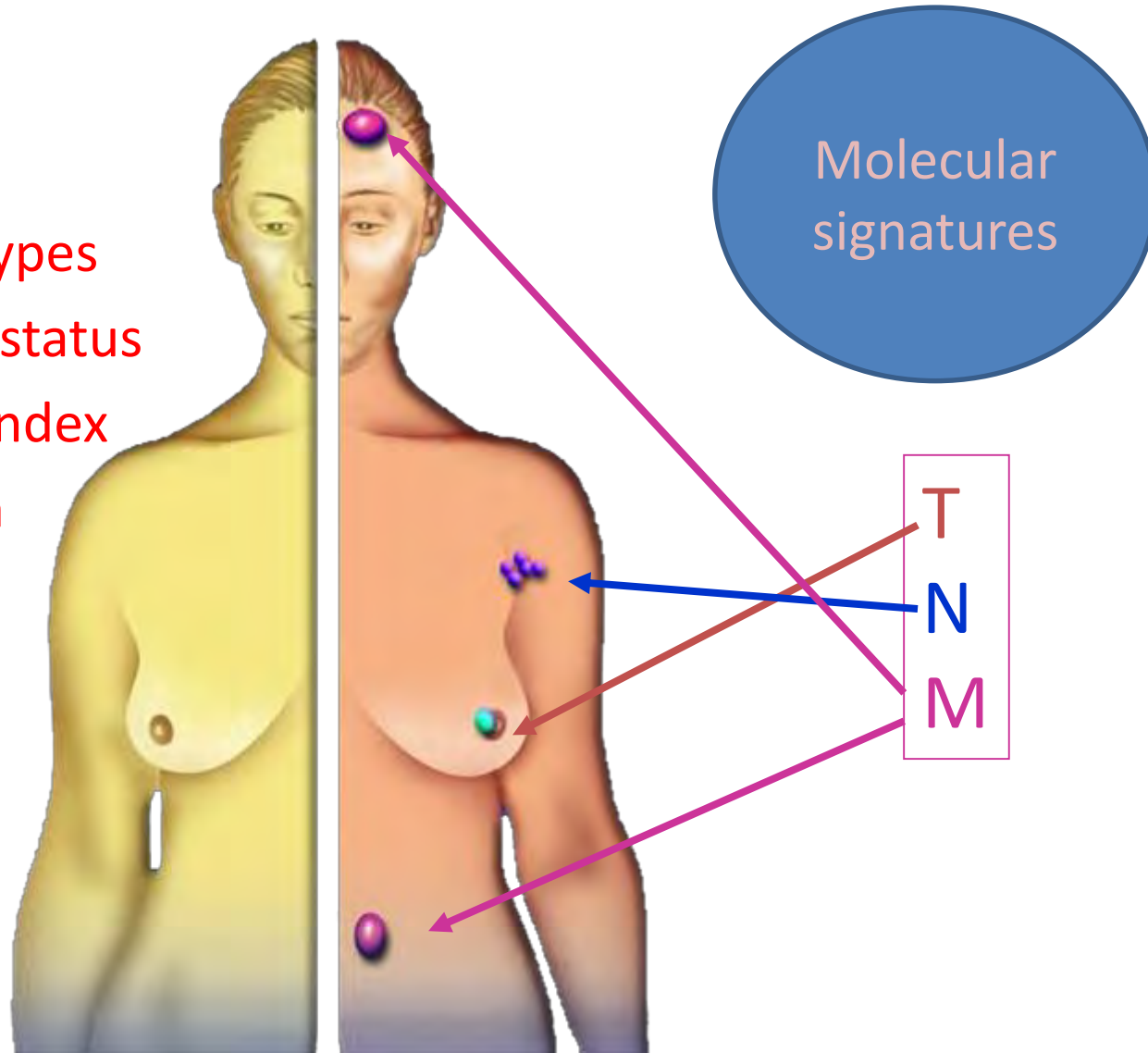
Tumor biopsy



**CONCLUSION**

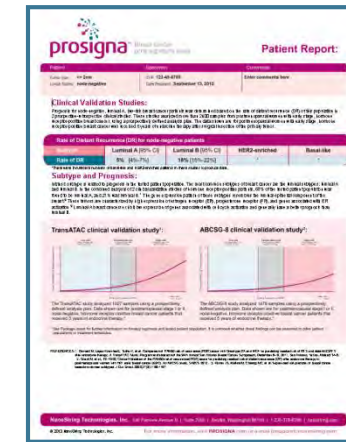
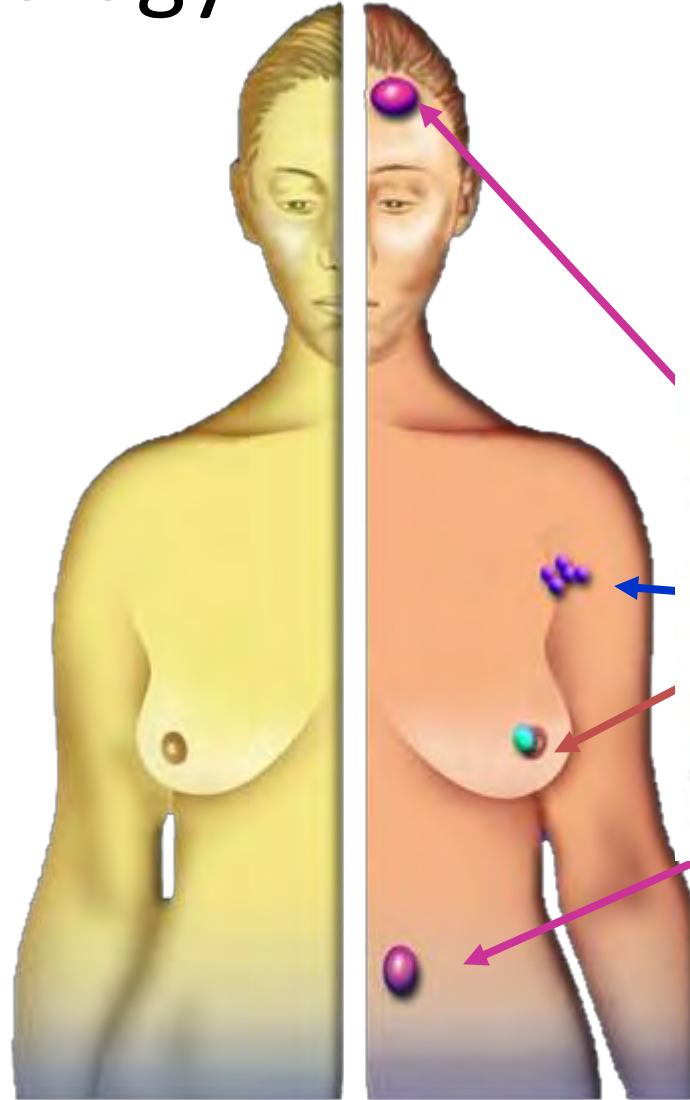
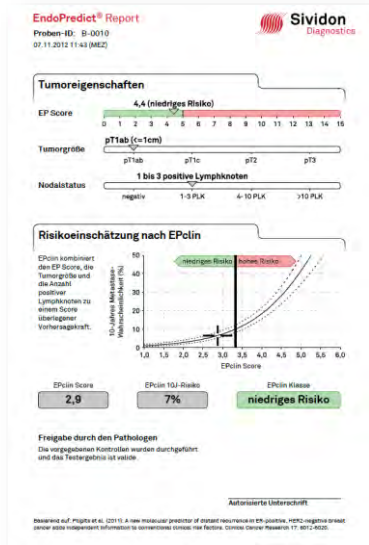
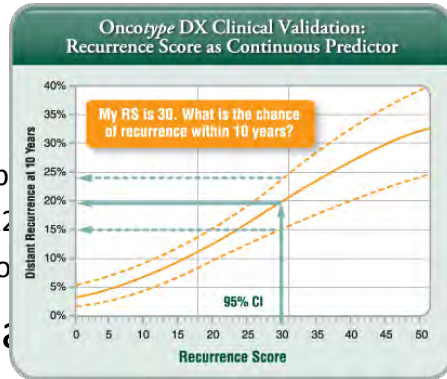
# Classical prognosis and predictive factors

- Age
- Grade
- Histological subtypes
- ER/PR and HER2 status
- Ki67 +/- mitotic index
- Vascular invasion
- **Tumor margins**

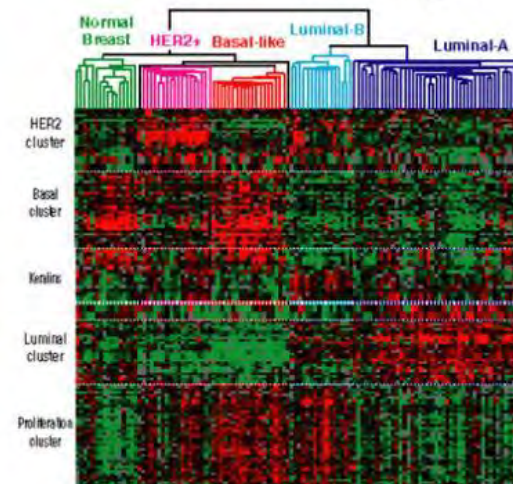


# Yes, we have molecular biology!

- Age
- Grade
- Histological sub
- ER/PR and HER2
- Vascular invasion
- Tumor ma

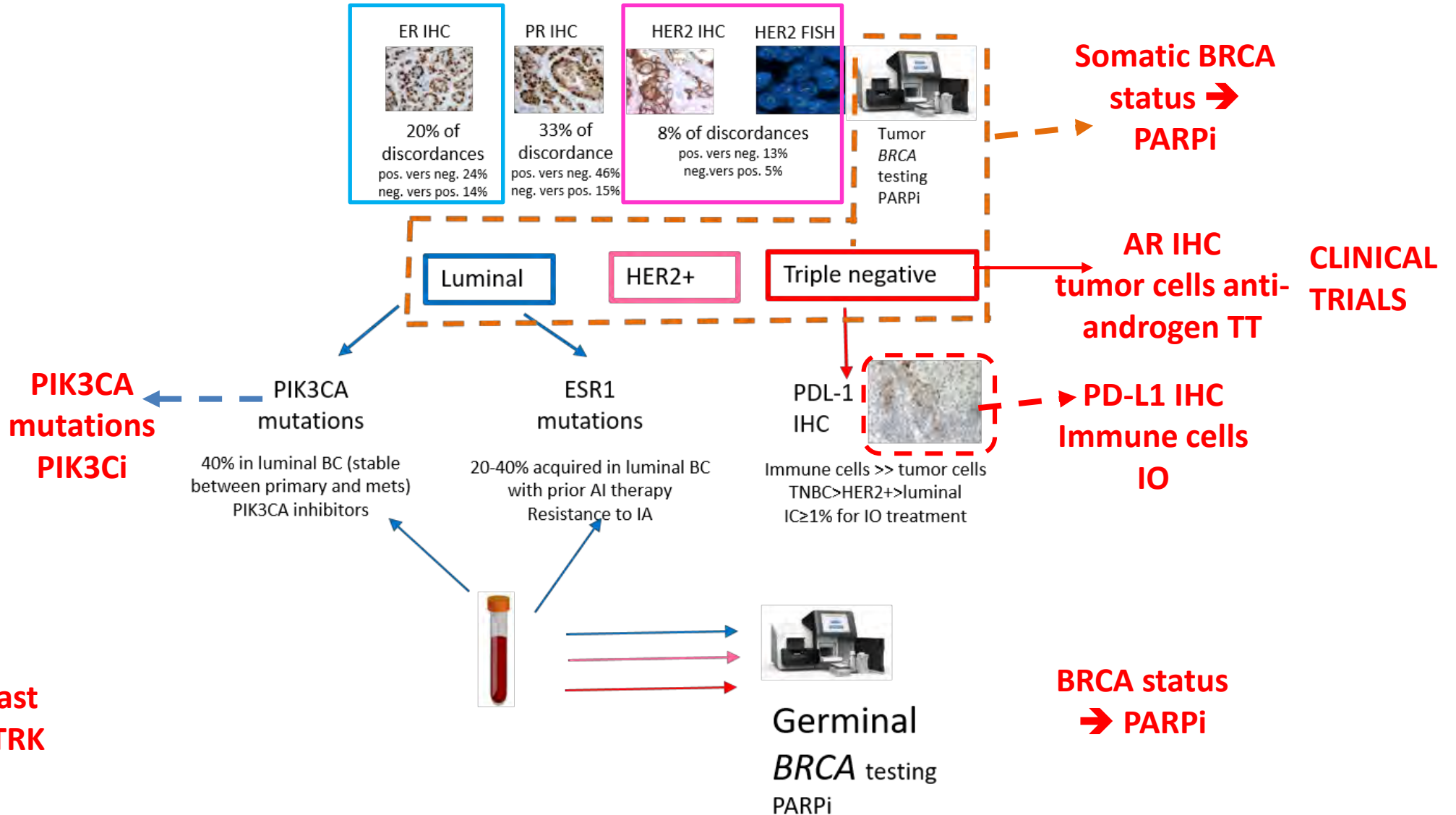


Diversity of Breast Tumor Subtypes



# Present and Future biomarkers in mBC

Metastatic breast cancer  
BIOPSY





**THANK YOU!**