



# Nonlinear standing wave excitation in very-high-frequency capacitive discharges

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International Online Plasma Seminar  
16 July 2020

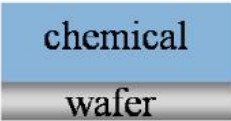
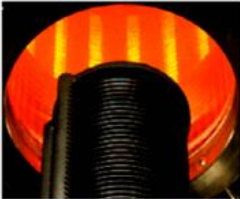
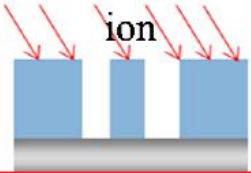

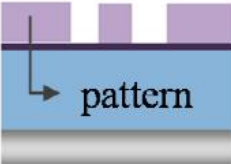
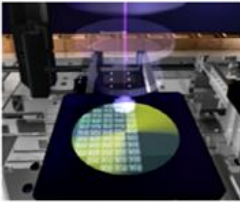
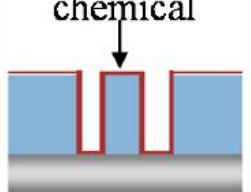

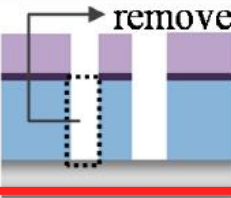

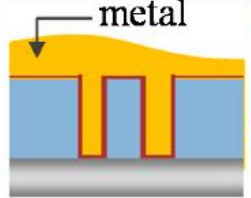

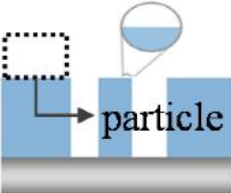
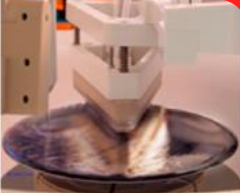


# Agenda

- *Nonlinear standing wave excitation in a single-frequency CCP*
- *Suppressing nonlinear standing wave excitation via the EAE*
- *Role of the low-frequency source on the nonlinear standing wave excitation in a dual-frequency CCP*
- *Summary*

# **1 Nonlinear standing wave excitation in a single-frequency CCP**

# 1.1 Introduction: applications of CCP sources

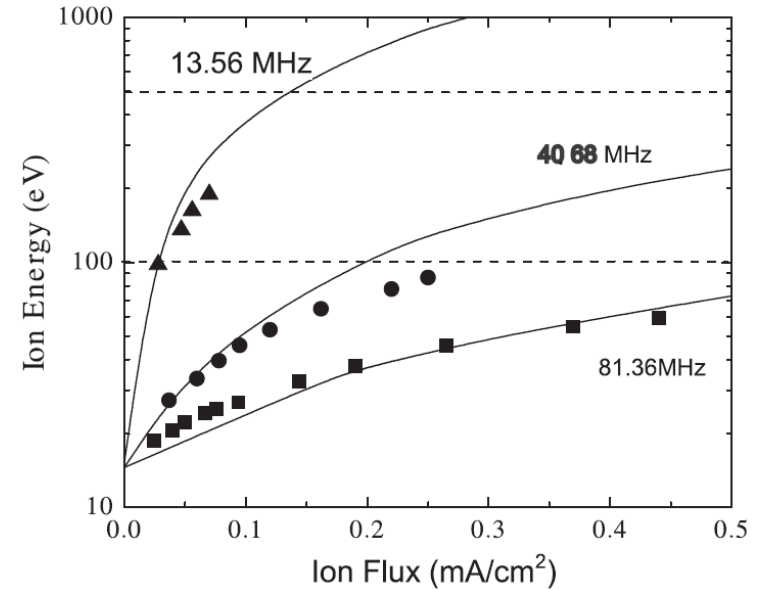
- Capacitively coupled plasmas (CCPs) have been widely employed in etching and thin film deposition processes in the semiconductor industries.

No	Process	Image	Equipment Structure	No	Process	Image	Equipment Structure
①	Oxidation			⑤	Ion-Implantation		
②	Lithography			⑥	Chemical Vapor Deposition		
③	Etching			⑦	Metal Deposition		
④	Strip & Cleaning			⑧	Chemical and Mechanical Planarization		

# 1.1 Introduction: current trends

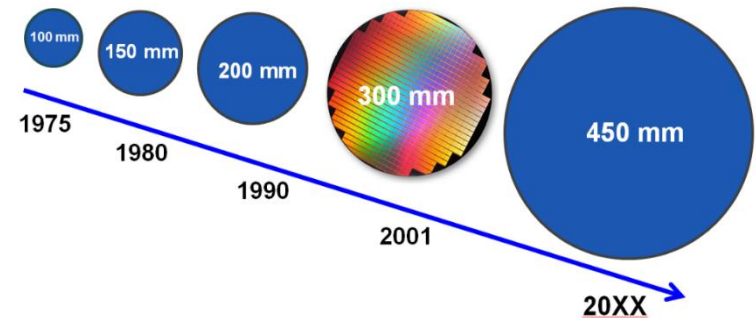
## ➤ Trend-1: increase of frequency

Higher excitation frequencies produce *reduced ion bombarding energy*, required to minimize the substrate damage.



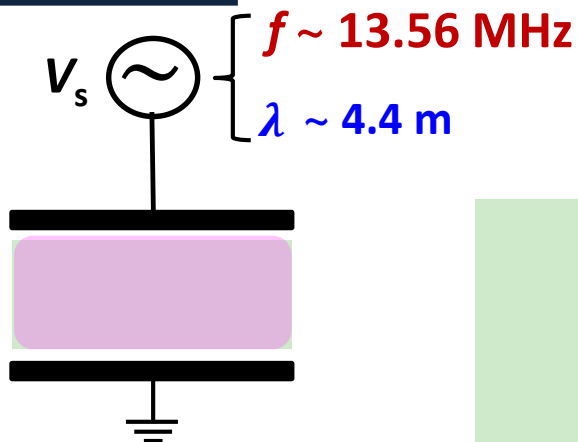
## ➤ Trend-2: increase of reactor size

Larger plasma reactors are required *as wafer size increases*, in order to improve the throughput.

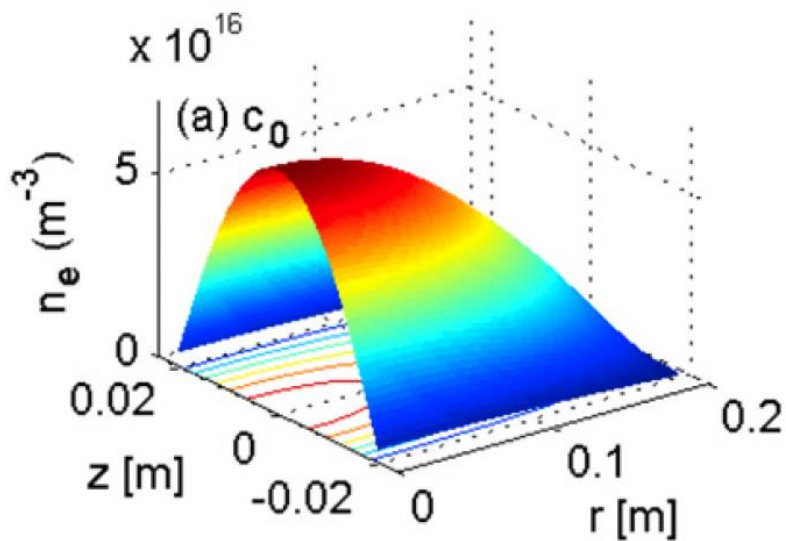
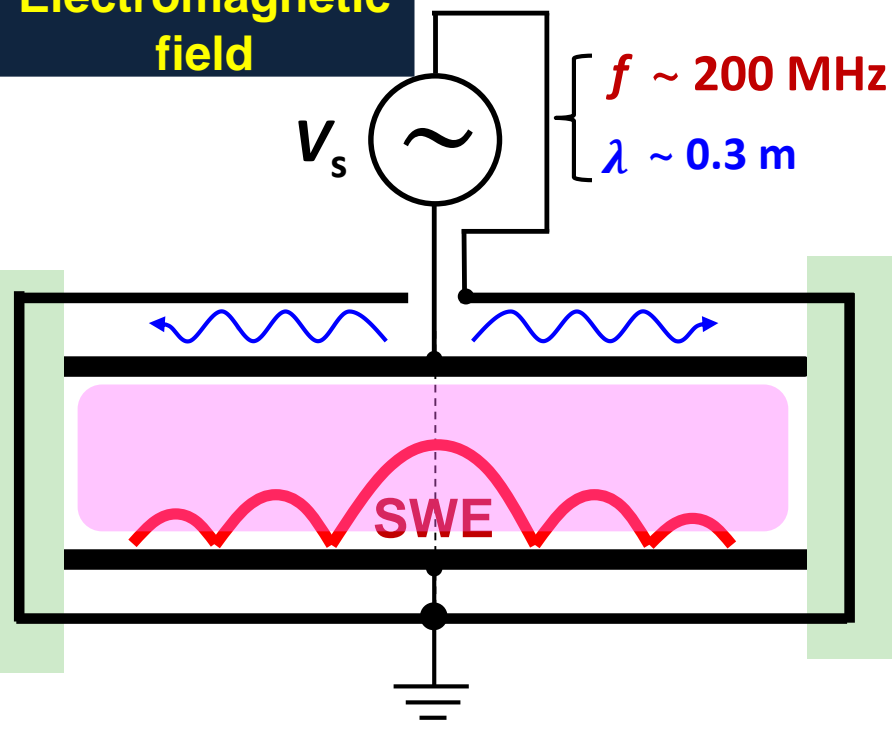


# 1.1 Introduction: standing wave effect

Electrostatic field

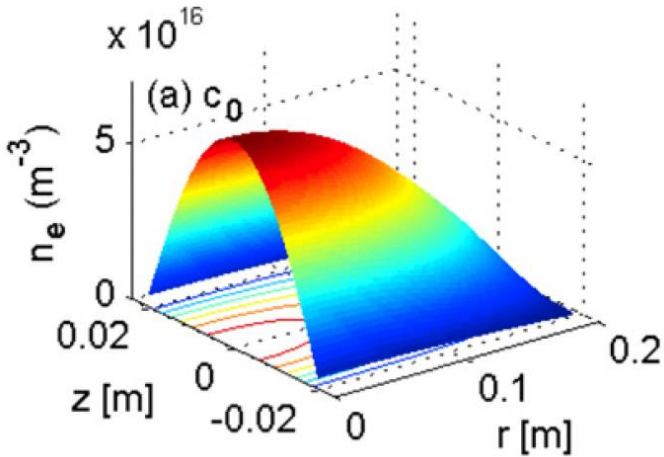


Electromagnetic field

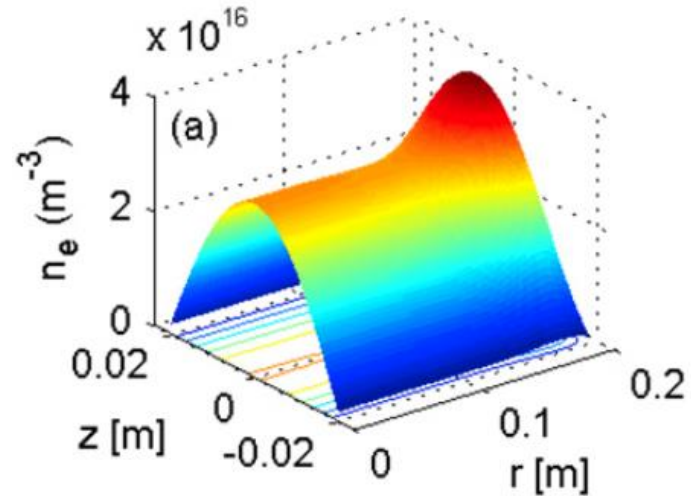


Causing a severe plasma non-uniformity!

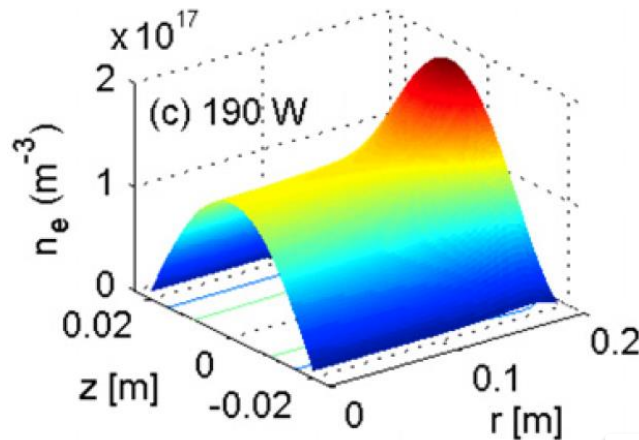
# 1.1 Introduction: causes of plasma nonuniformity



**(1) Standing wave effect**



**(2) Edge effects**



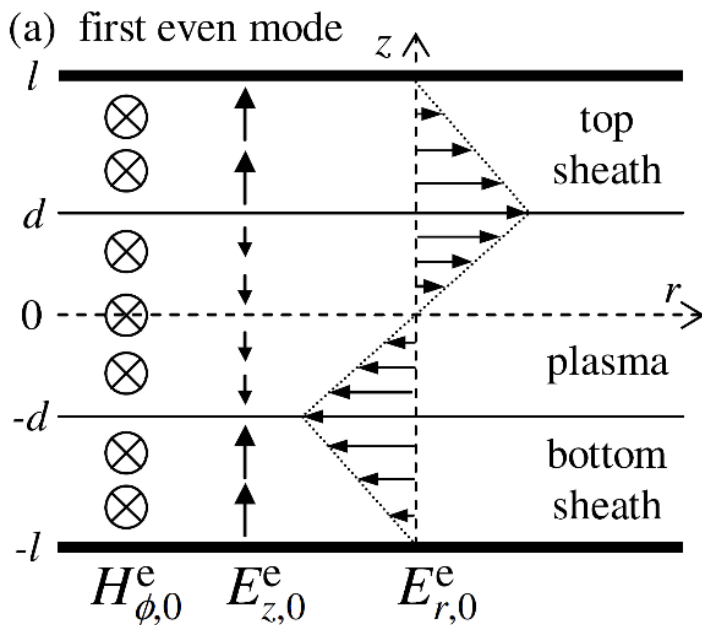
**(3) Skin effect**

➤ Lee I, Graves D B, Lieberman M A. *Plasma Sources Sci. Technol.*, 2008, 17(1): 015018.

# 1.1 Introduction: causes of plasma nonuniformity

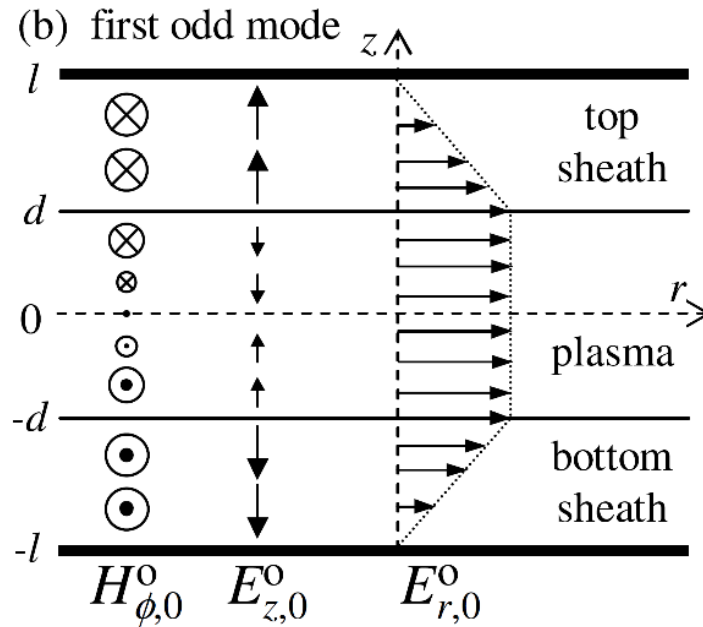
## ➤ Two distinct electromagnetic modes

### Even (symmetric) mode



**Standing wave effect**

### Odd (asymmetric) mode



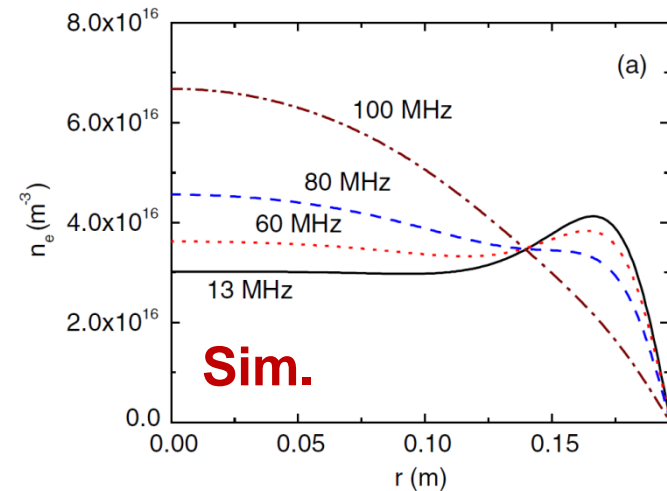
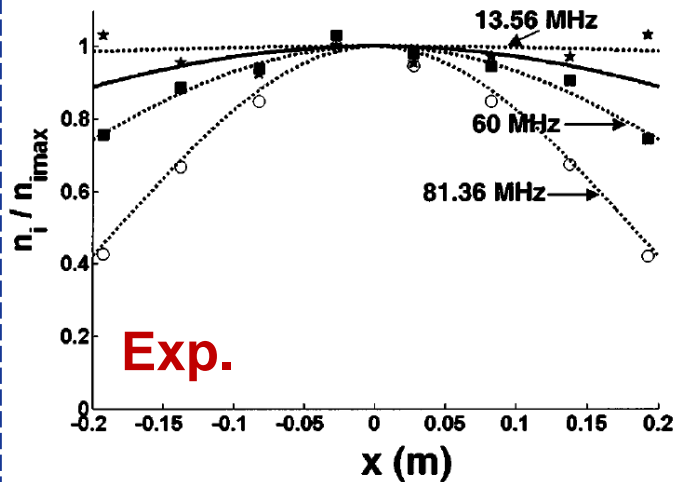
**Telegraph effect**

➤ Sansonnens L, Howling A A, Hollenstein C. *Plasma Sources Sci. Technol.*, 2006, 15(3): 302-313.

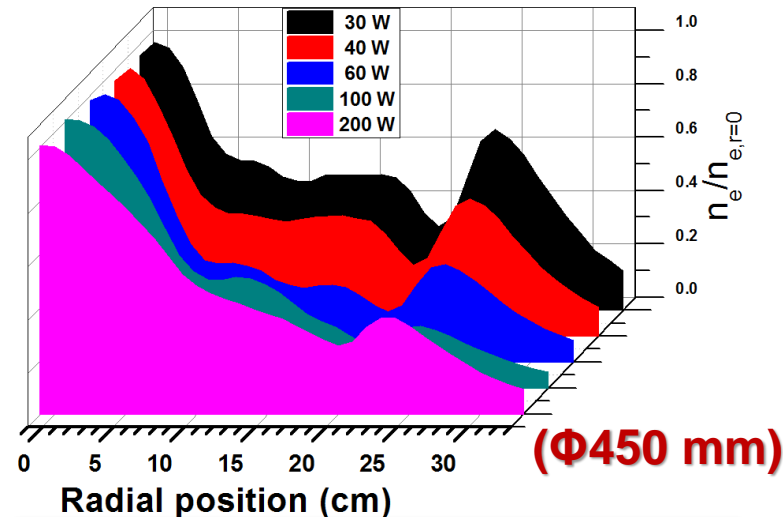
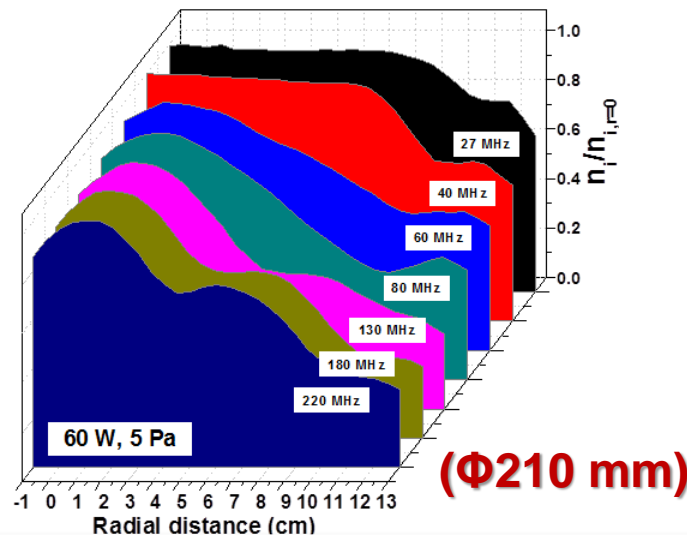


# 1.1 Introduction: standing wave effect

Previous studies



Our work

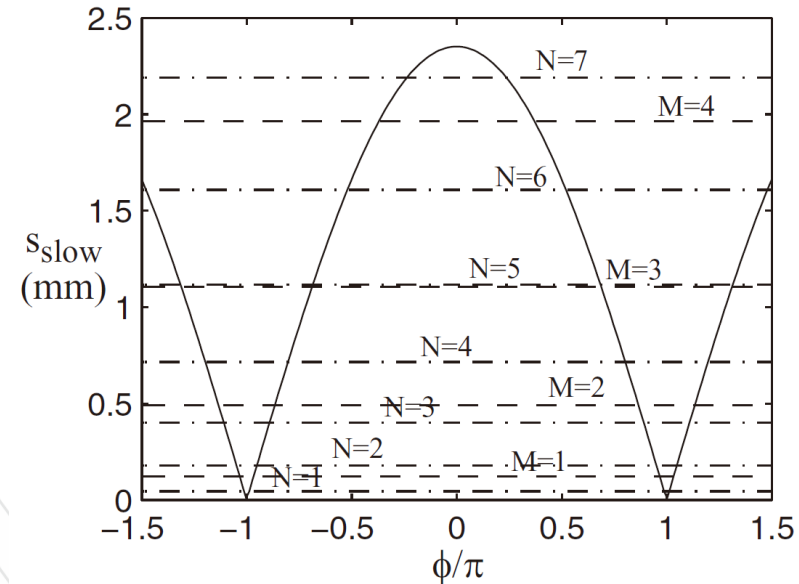
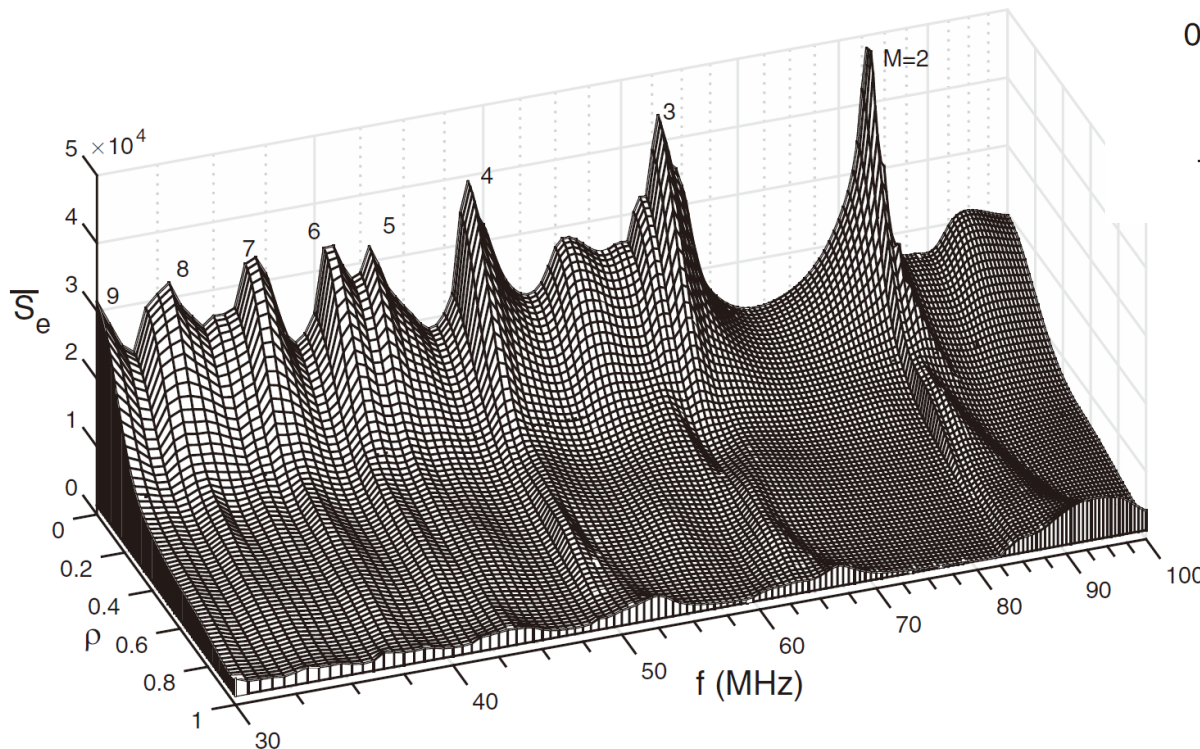


- Perret A, et al. *Appl. Phys. Lett.*, 2003, 83(2): 243-245.
- Lee I, et al. *Plasma Sources Sci. Technol.*, 2008, 17(1): 015018.
- Liu Y X, et al. *Plasma Sources Sci. Technol.*, (2015) 24, 025013.

- Liu Y X, et al. *J. Appl. Phys.*, (2014) 113, 043303.
- Han D M, et al. *J. Appl. Phys.*, (2016) 119, 113302.

# 1.1 Introduction: nonlinear standing wave excitation

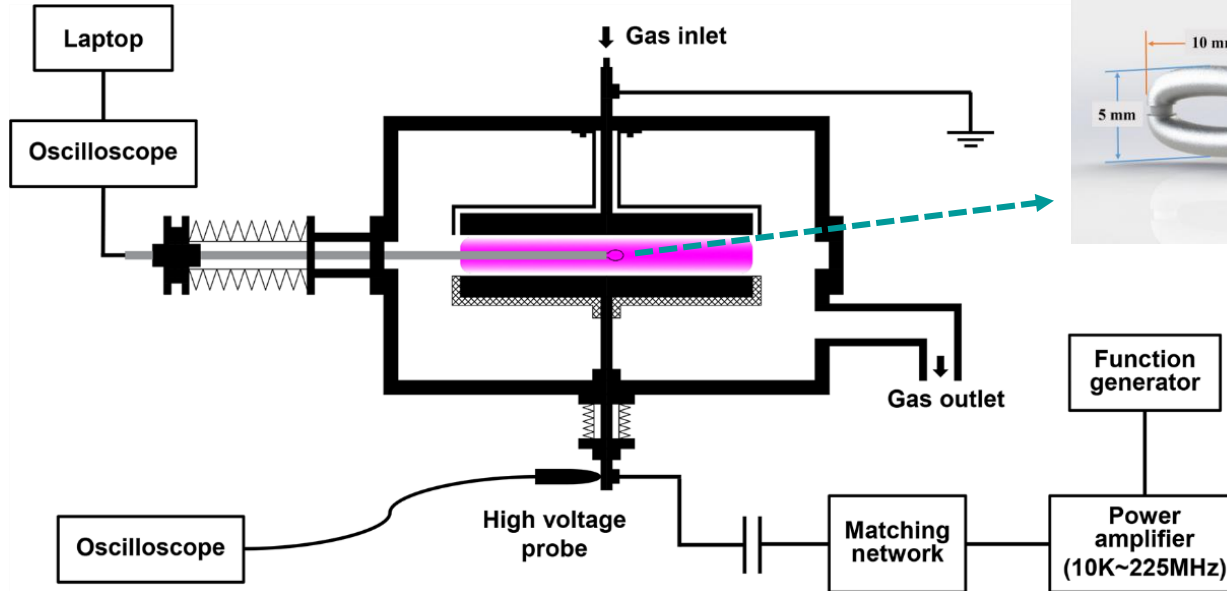
- Lieberman *et al.* developed a transmission line model, which predicted that the higher harmonics excited by PSR can lead to **spatial resonances, significantly enhancing the power deposition at the reactor center.**



- Lieberman M A, *et al. Plasma Sources Sci. Technol.*, 24, 055011 (2015).
- Wen D Q, *et al. Plasma Sources Sci. Technol.*, 26, 015007 (2016).

# 1.2.1 Experimental setup and diagnostics

## ➤ Schematic diagram of CCP reactor



## External parameter

Reactor diameter: 28 cm  
Electrode diameter: 21 cm  
Electrode gap: 3 cm

Working gas: argon  
Pressure: 3 - 50 Pa  
Flow rate: 40 SCCM

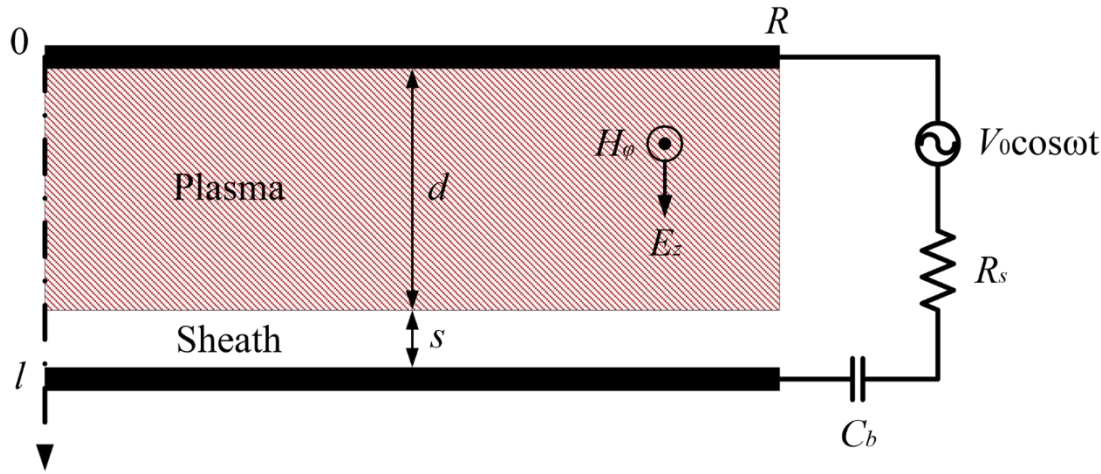
Driving frequency:  
13.56/60/100 MHz

## ➤ Diagnostic methods

- 1) High-frequency, high-voltage probe
- 2) Floating double probe
- 3) High-frequency B-dot probe

# 1.2.2 Numerical model

## ➤ Nonlinear transmission line model



$$\begin{cases} \frac{\partial \Sigma}{\partial t} = J - J_{i0} + J_{e0} e^{-V_s/T_e}, \Sigma > 0 \\ \frac{\partial J}{\partial t} = \frac{e^2 n_e}{md} (V + V_b - V_s) - \nu J \end{cases}$$

$$V_s = 0.51 V_0 \frac{H^{1.159}}{h_l^{0.841}} \left( \frac{\Sigma}{\Sigma_0} \right)^{1.681} \left( \frac{T_e}{V_0} \right)^{0.159}$$

- Lieberman M A, et al. *Plasma Sources Sci. Technol.*, 24, 055011 (2015).
- Zhao K, et al. *Physical review letters*, 2019, 122(18): 185002.

$$\begin{cases} \nabla \times \mathbf{H} = \mathbf{J} \\ \nabla \times \mathbf{E} = -\mu_0 \frac{\partial \mathbf{H}}{\partial t} \end{cases}$$

$$\frac{1}{r} \frac{\partial}{\partial r} \left( r \frac{\partial V}{\partial r} \right) = \mu_0 l \frac{\partial J}{\partial t}$$

$$\begin{cases} \left. \frac{\partial V(r,t)}{\partial r} \right|_{r=0} = 0 \\ V(R,t) = V_0 \cos \omega t - I_R(t) R_s \end{cases}$$

$$\left\langle \int_0^R 2\pi r dr J(r,t) \right\rangle_{\omega t} = 0$$

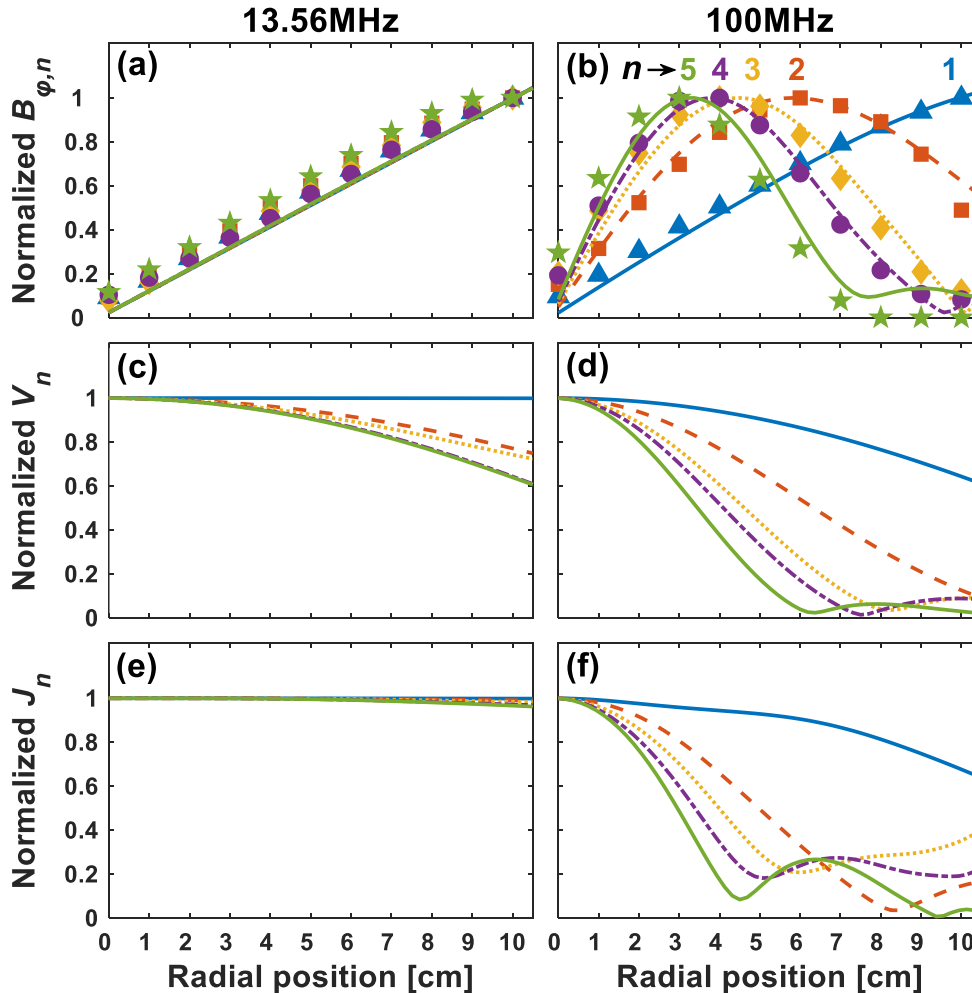
# 1.3 Results: a comparison between ES and EM cases

- Spatial structure of the physical quantities ( $n = 1-5$ )

Harmonic magnetic field

Harmonic voltage

Harmonic current

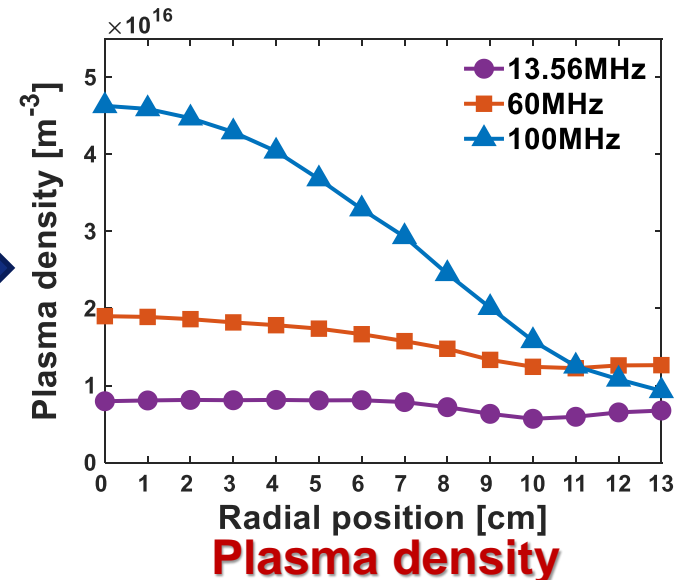


13.56/60/100MHz, 80W, 3Pa

$$(1) J_n \propto \frac{dB_{\phi,n}}{dr} \quad (2) k_p R_1 = \chi_{01}$$

$R_1$ : distance from the node to the electrode center

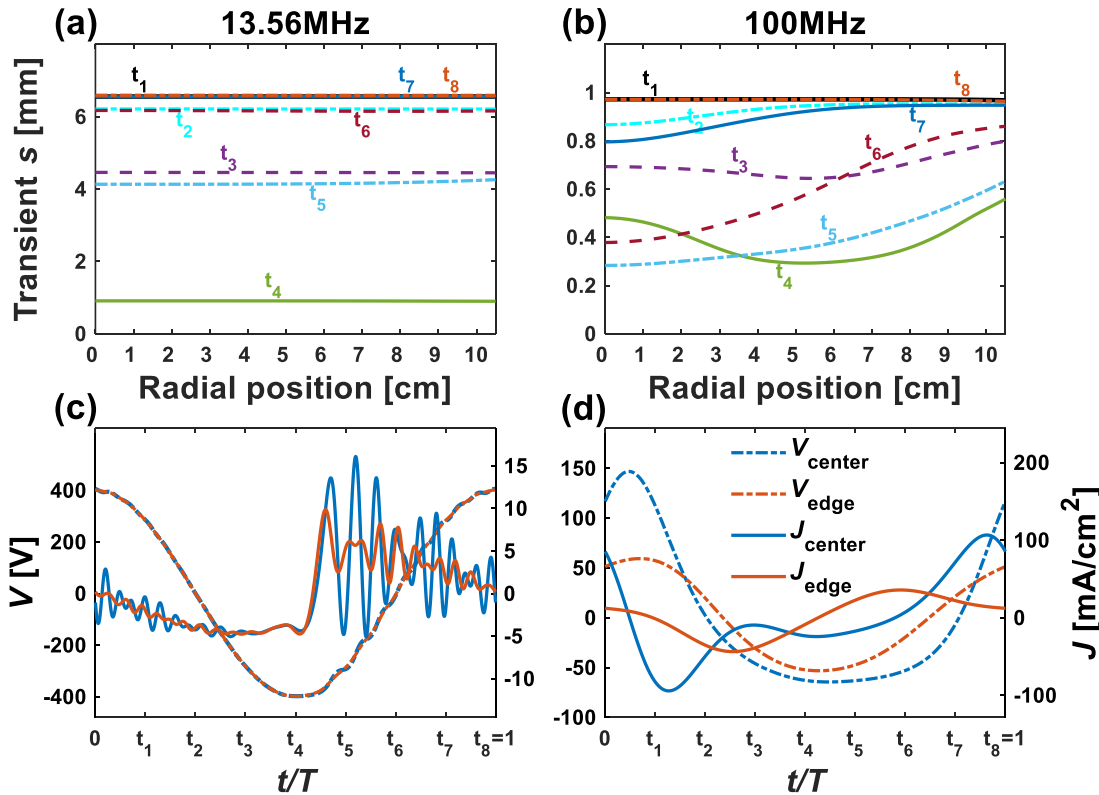
$k_p$ : wave number of radially propagating surface waves



# 1.3 Results: a comparison between ES and EM cases

## ➤ Spatiotemporal dynamics of the sheath

13.56/100MHz, 80W, 3Pa



Sheath thickness versus radius in eight equally spaced times over one rf cycle

Waveforms of the total discharge voltage and current at the electrode center and edge

➤ **At 100 MHz:** a higher voltage, a thinner sheath and a higher speed of sheath motion prevail at the center, contributing to a center-high plasma density.

➤ Zhao K, et al. *Physical review letters*, 2019, 122(18): 185002.

# 1.3 Results: a comparison between ES and EM cases

- Coupling between plasma series resonance (PSR) and spatial wave resonance (SWR)

13.56/100MHz, 80W, 3Pa

- PSR frequency:

$$\omega_{PSR} = \left(\frac{s}{l}\right)^{1/2} \omega_p = N\omega$$

- SWR frequency

$$\omega_{SWR} = \left(\frac{s}{l}\right)^{1/2} \frac{\chi_{0m}c}{R} = M\omega$$

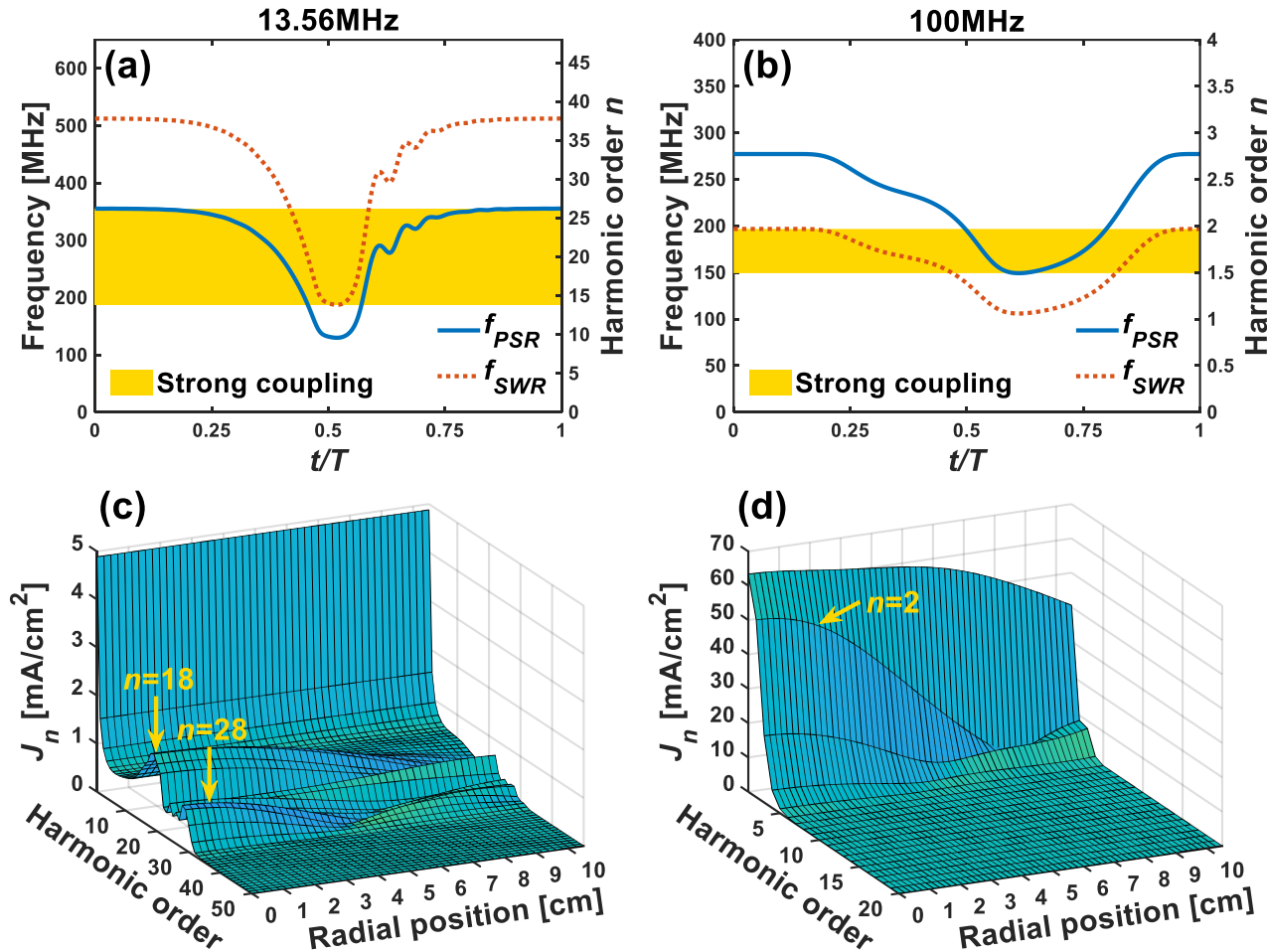
- A strong coupling occur at:  $N = M$

◆ 13.56 MHz:

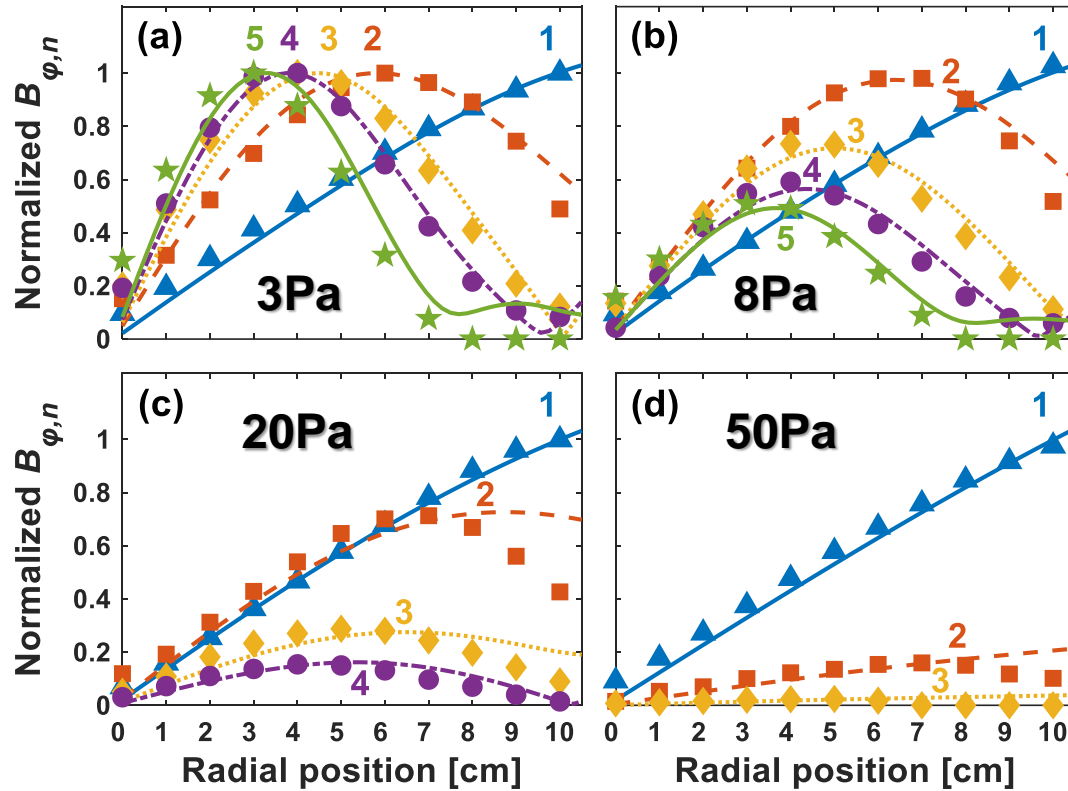
$$15 < N = M < 29$$

◆ 100 MHz:

$$N = M = 2$$

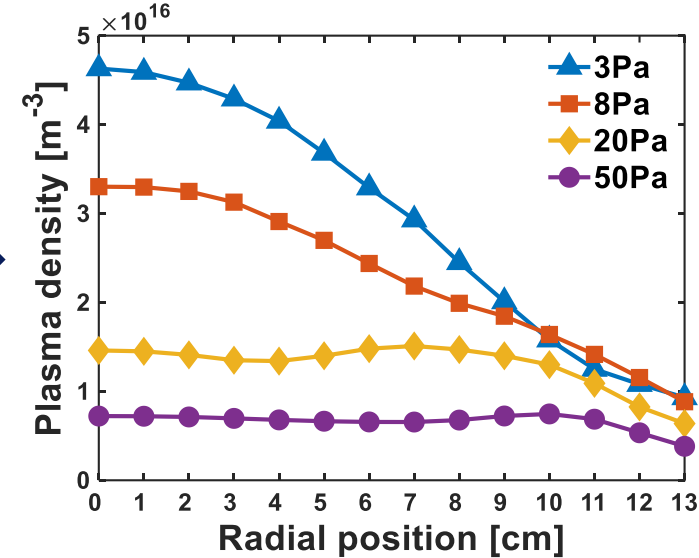


# 1.3 Results: effect of gas pressure on higher harmonics



Harmonic magnetic field ( $n=1-5$ )

100MHz, 80W, 3-50Pa



Plasma density

- The higher harmonics decay dramatically with pressure, resulting in improved plasma uniformity.

➤ Zhao K, et al. Physical review letters, 2019, 122(18): 185002.



## **2 Suppressing nonlinear standing wave excitation via the EAE**

# 2.1 Introduction: PSR oscillations

## ➤ PSR oscillations in geometrically *asymmetric* discharges

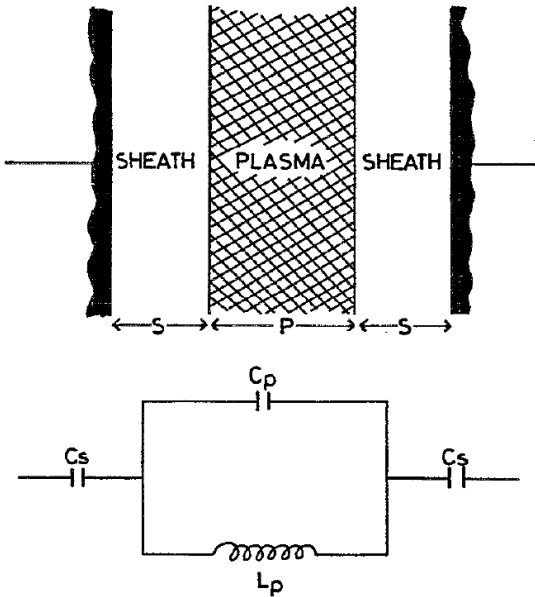


FIG. 1. Schematic diagram of the plasma model.

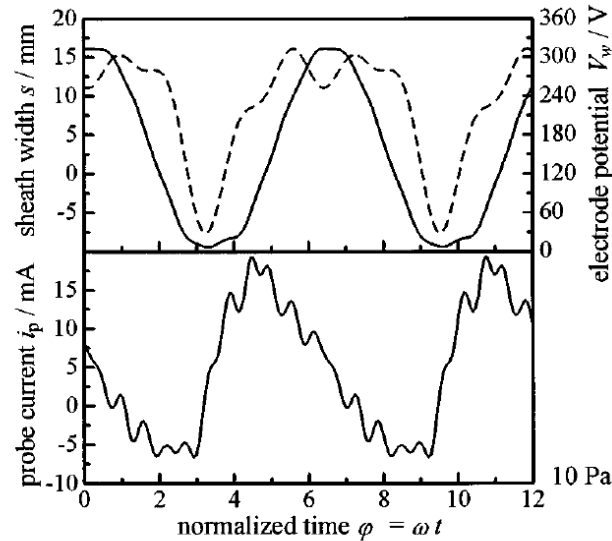
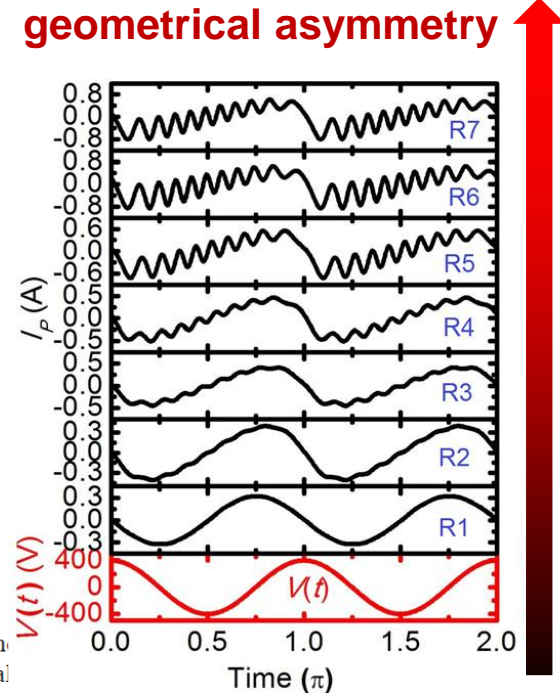


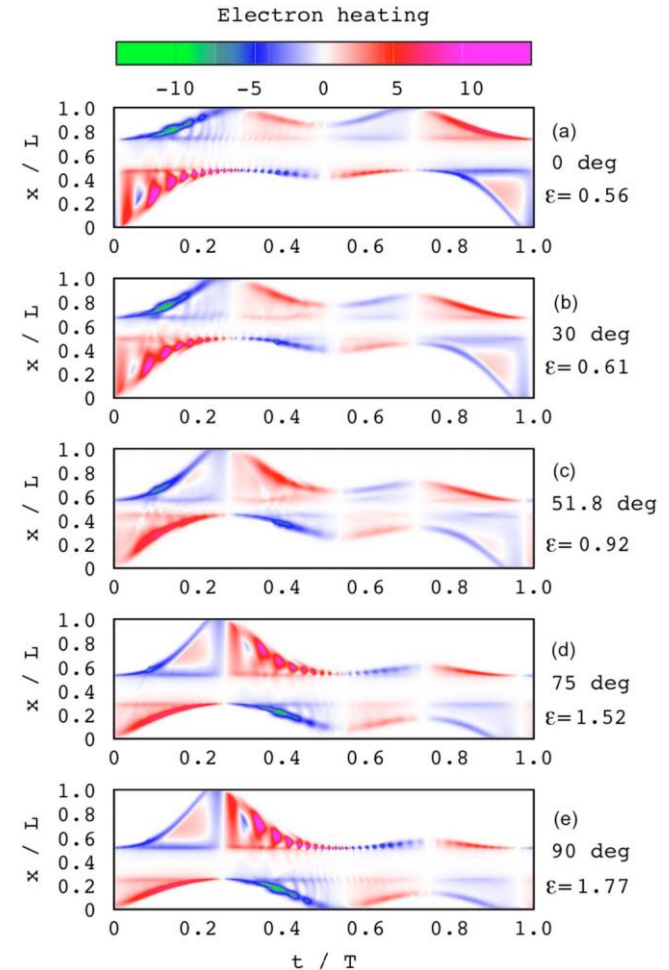
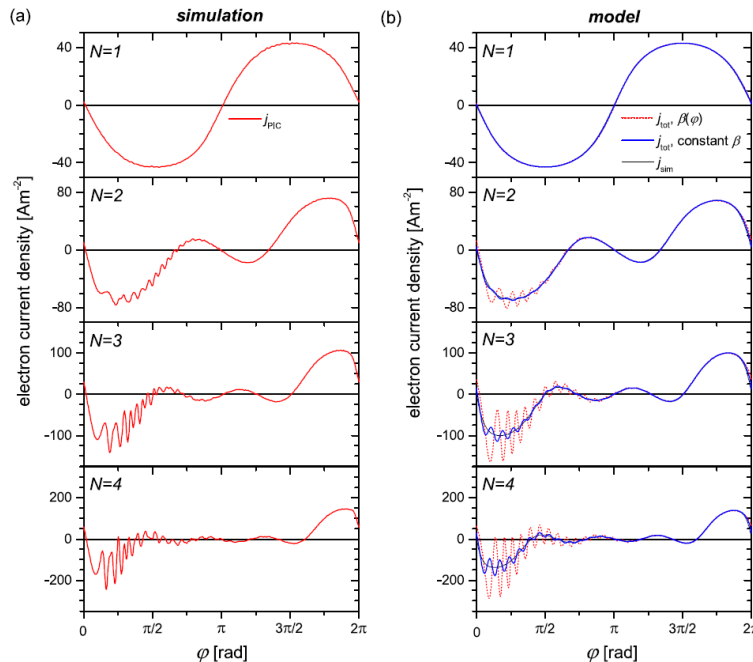
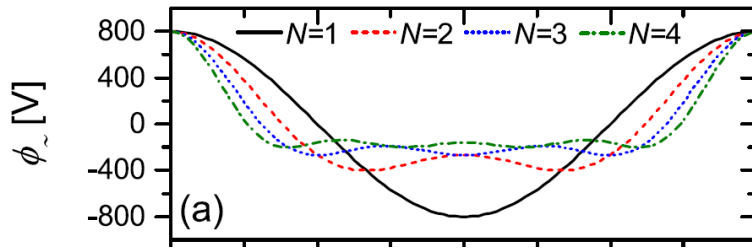
FIG. 6. Measured current in an **asymmetrical Ar rf discharge**. The solid and the dashed lines represent the probe current and the electrode potential respectively. Bias voltage  $U_B=153$  V and rf amplitude  $\hat{U}=155$  V.



- Annaratone B M, Ku V P T, Allen J E. *Journal of Applied Physics*, 1995, 77(10): 5455-5457.
- Klick M. *Journal of applied physics*, 1996, 79(7): 3445-3452.
- Bora B, Soto L. *Physics of Plasmas*, 2014, 21(8): 083509.

# 2.1 Introduction: PSR oscillations & EAE

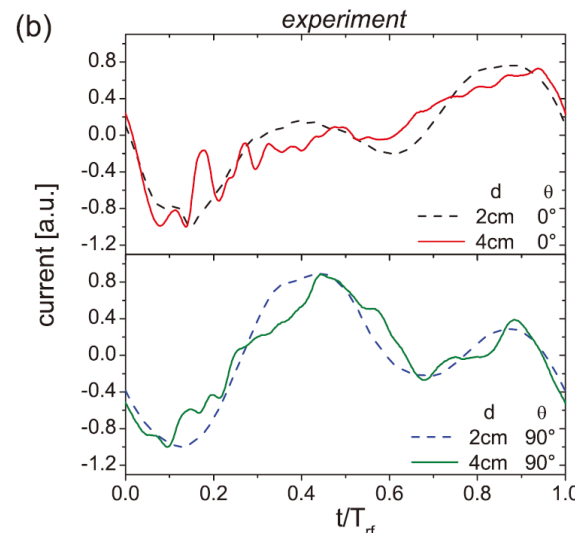
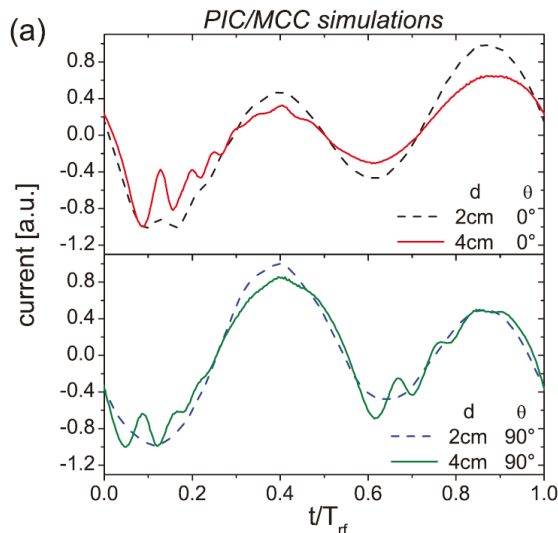
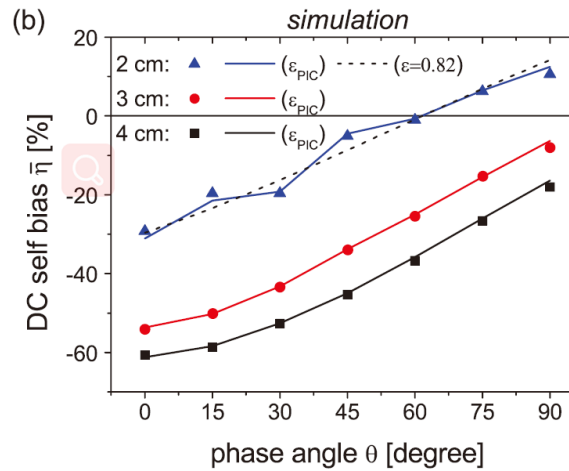
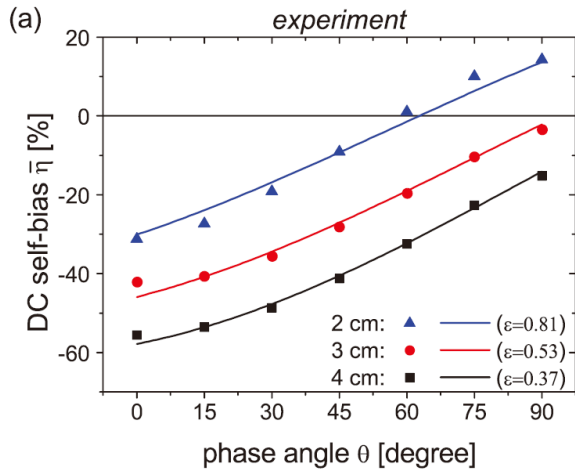
## ➤ PSR oscillations in geometrically *symmetric* discharges



- Schüngel E, et al. *Plasma Sources Sci. Technol.*, 24, 044009 (2015).
- Donkó Z, et al. *Applied Physics Letters*, 2009, 94(13): 131501.

# 2.1 Introduction: PSR oscillations & EAE

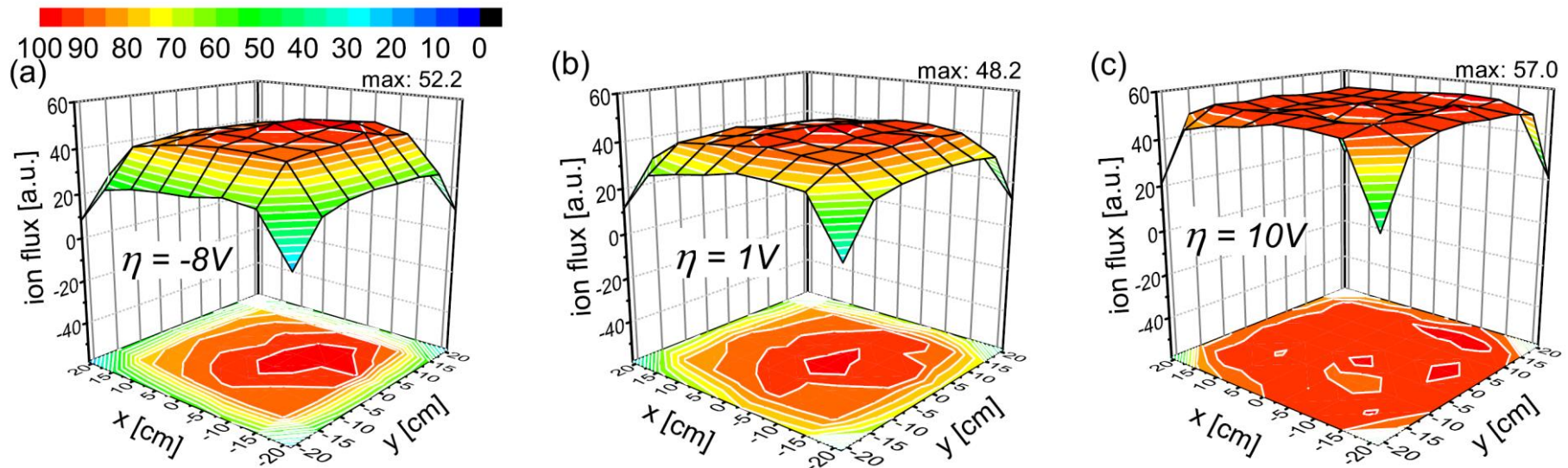
## ➤ PSR oscillations in a CCP with *finite electrode asymmetry*



➤ Schügel E, et al. *Journal of Applied Physics*, 2012, 112(5): 053302.

# 2.1 Introduction: PSR oscillations & EAE

## ➤ Optimizing plasma uniformity via the *EAE*

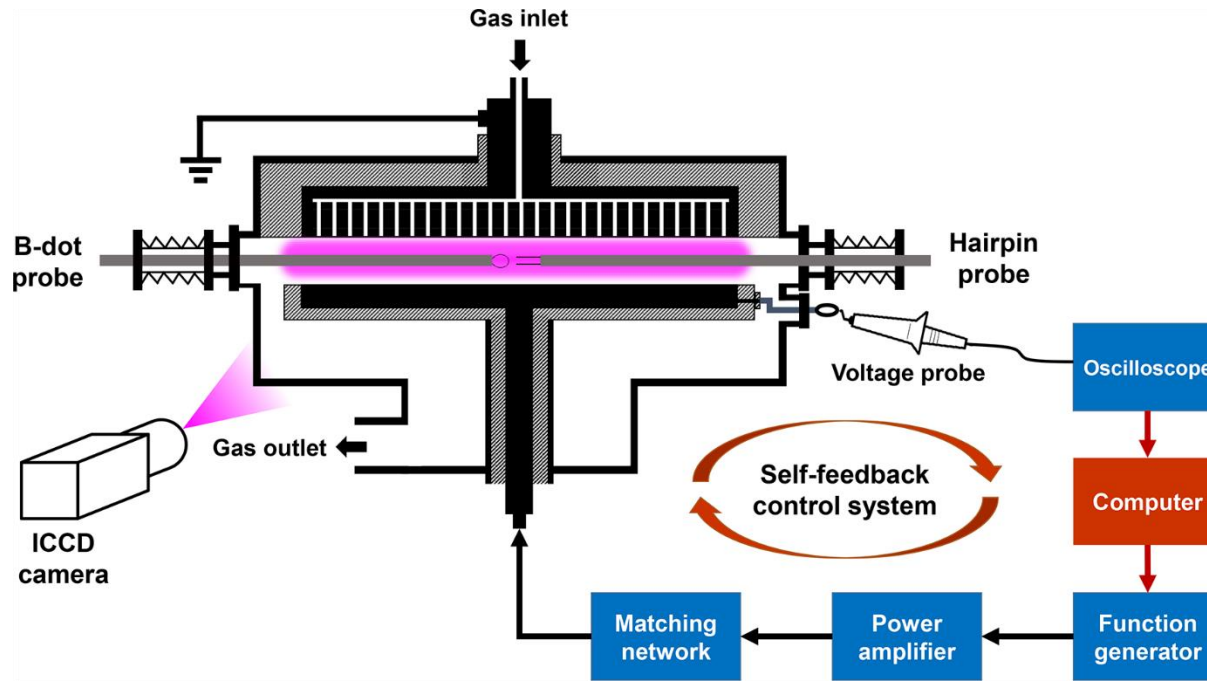


- Lateral inhomogeneities of the plasma density caused by standing wave effects can be *eliminated* based on the *EAE*.
- Nevertheless, the important interaction of the nonlinear standing wave excitation with the plasma nonuniformities was *inevitably neglected*.

➤ Schüngel E, et al. *Applied Physics Letters*, 2015, 106(5): 054108.

# 2.2 Experimental setup and diagnostics

## ➤ Schematic diagram of CCP reactor



### External parameter

Reactor diameter: 40 cm  
Electrode diameter: 30 cm  
Electrode gap: 4 cm

Working gas: argon  
Pressure: 4 Pa  
Flow rate: 40 SCCM

Driving frequencies:  
30MHz & 60MHz

## ➤ Diagnostic methods

- 1) High-frequency, high-voltage probe
- 2) Resonance hairpin probe
- 3) High-frequency B-dot probe
- 4) ICCD camera

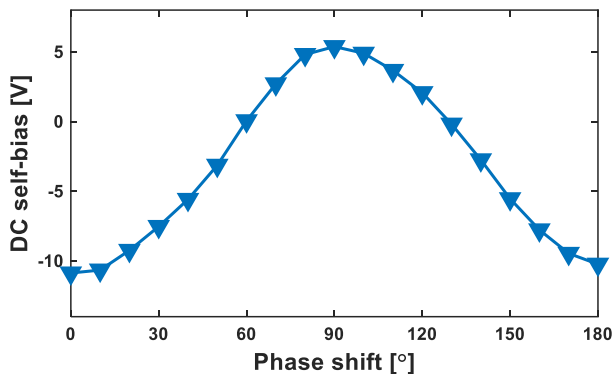
### Target voltage waveform:

$$V_{rf}(t) = V_0[\cos(2\pi ft + \theta) + \cos(4\pi ft)]$$

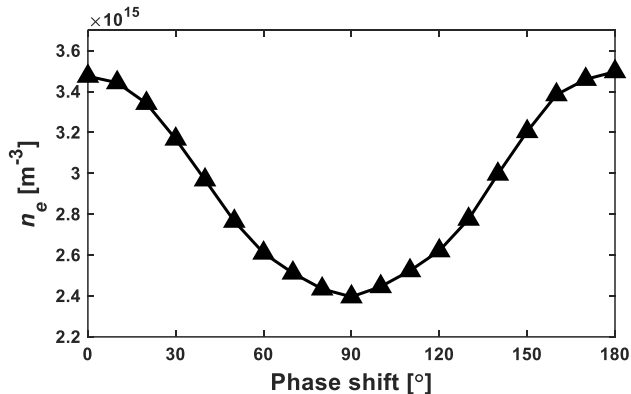
$$V_0 = 30V, f = 30MHz$$

# 2.3 Results: plasma density

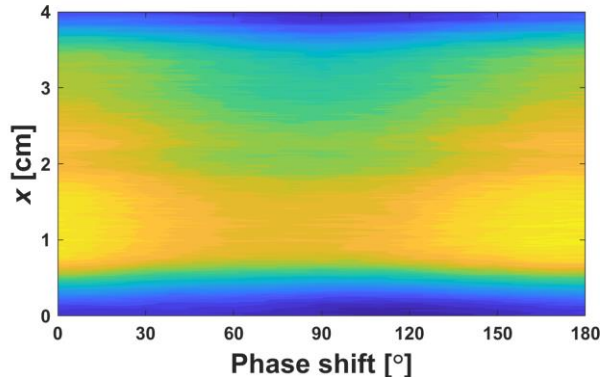
DC self-bias



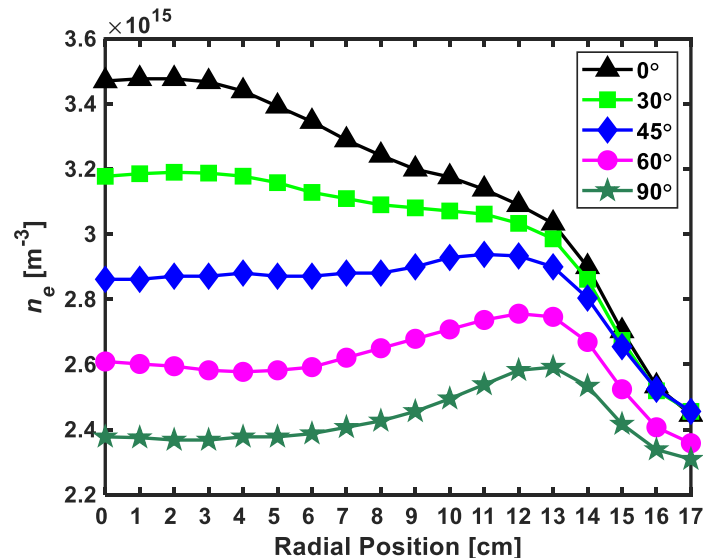
Plasma density at the reactor center



Axial distribution of the optical emission intensity



- A variation in the *DC self-bias*, the *central plasma density*, and the *optical emission intensity* with  $\theta$  can be clearly identified.
- Nonlinear SWE becomes *remarkable* as DC self-bias reaches a *minimum*.

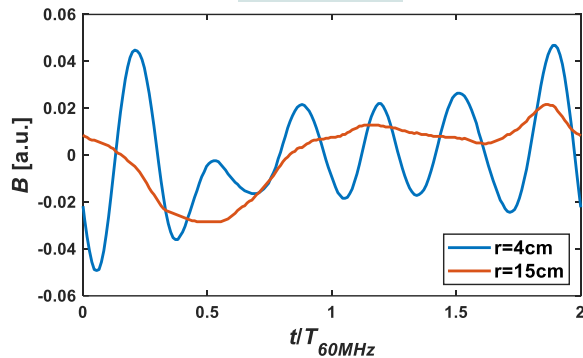


Radial distributions of the plasma density

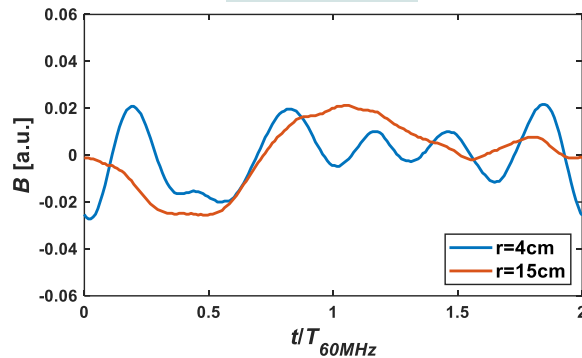
➤ Zhao K, et al. in preparation.

# 2.3 Results: harmonic magnetic field

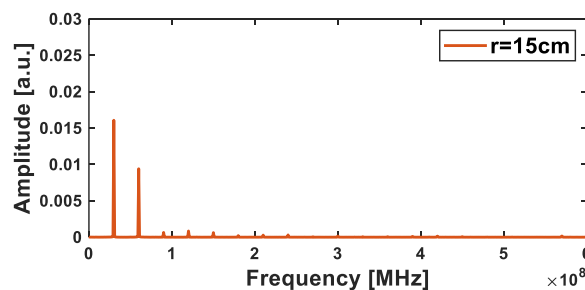
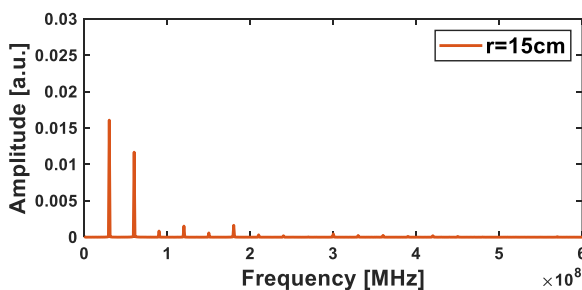
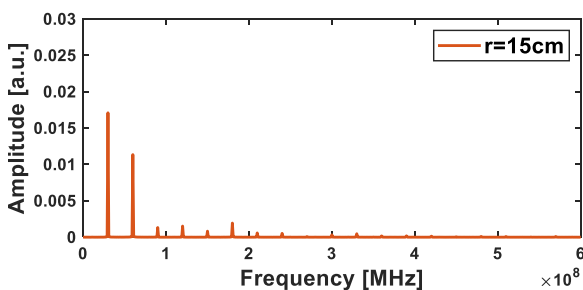
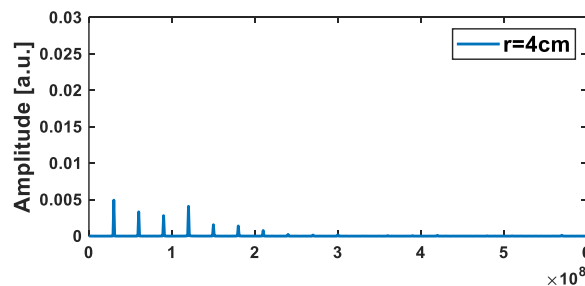
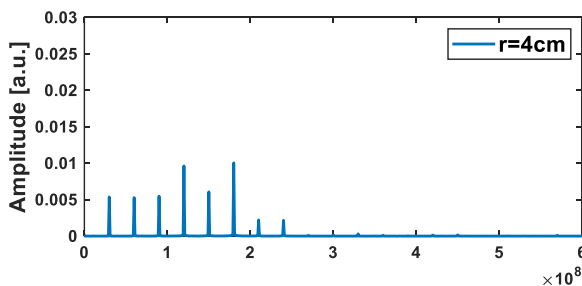
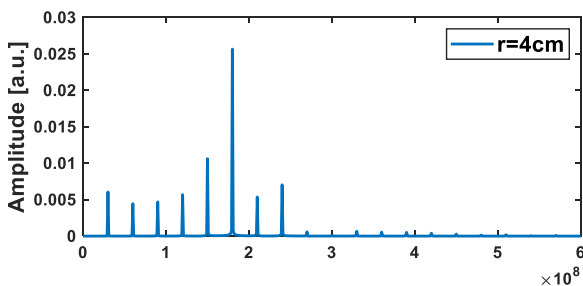
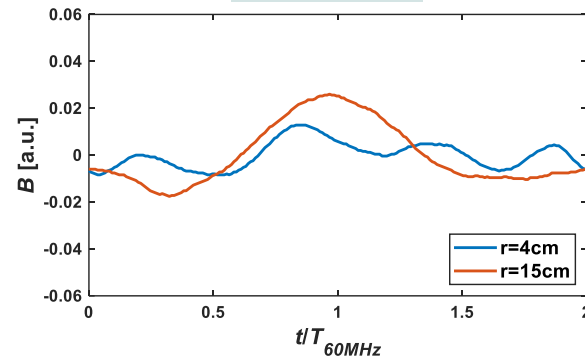
$\theta = 0^\circ$



$\theta = 45^\circ$



$\theta = 90^\circ$



Raw signals from the B-dot probe  
for different  $\theta$

➤ Zhao K, et al. in preparation.

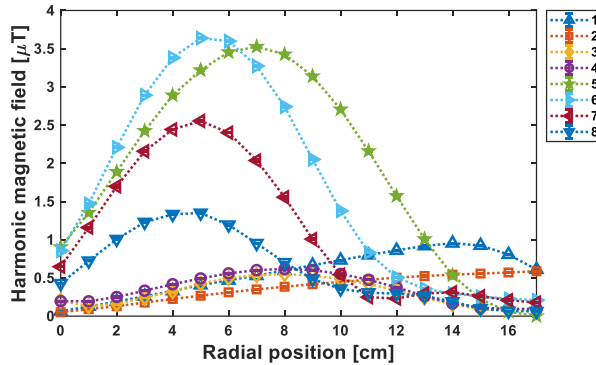


# 2.3 Results: harmonic magnetic field/current

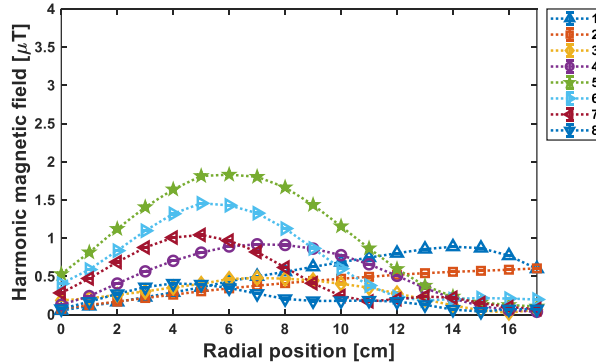
$\theta = 0^\circ$



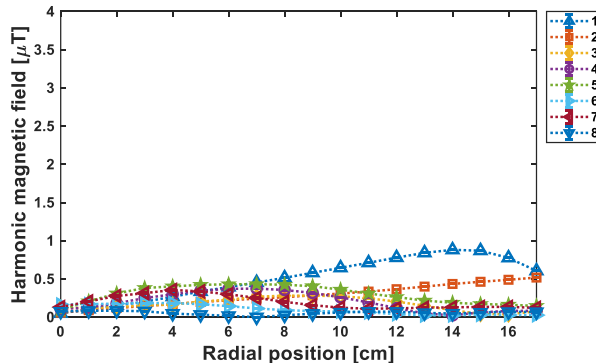
Harmonic magnetic field



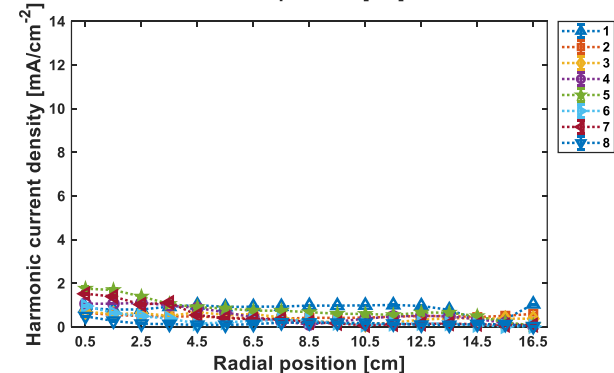
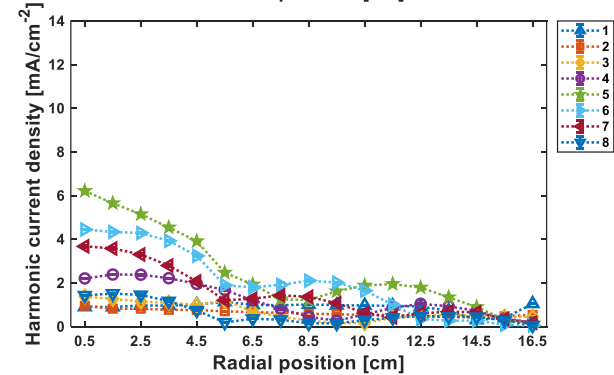
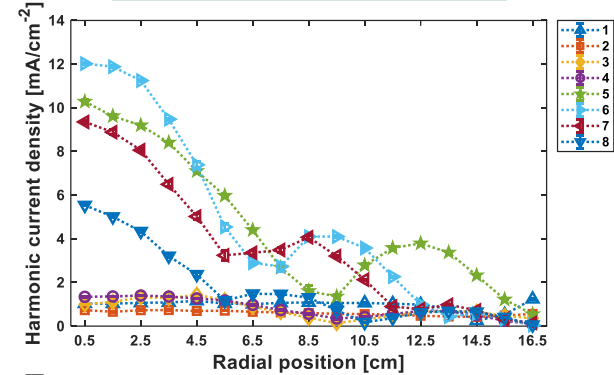
$\theta = 45^\circ$



$\theta = 90^\circ$



Harmonic current



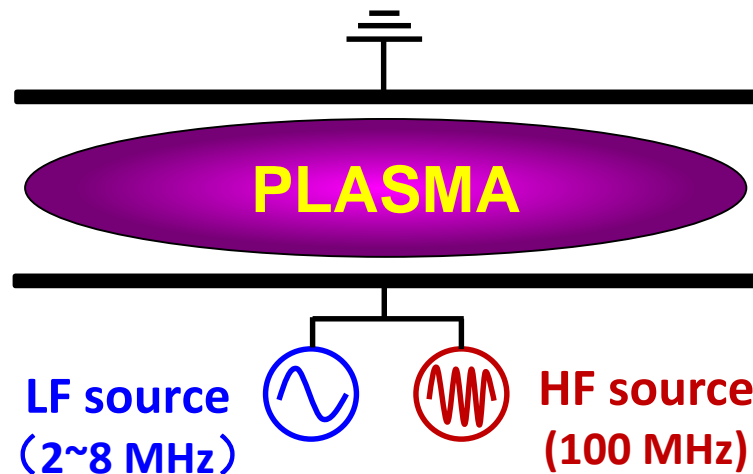
### **3 Role of the low-frequency source on the nonlinear standing wave excitation in a dual-frequency CCP**

# 3.1 Introduction: ways to improve the plasma uniformity

A) Special-shape electrodes

B) Power source and external circuit  $\left\{ \begin{array}{l} 1. \text{ Phase shift control} \\ 2. \text{ Electrical asymmetry effect} \end{array} \right.$

◆ Another way to suppress the SWE:

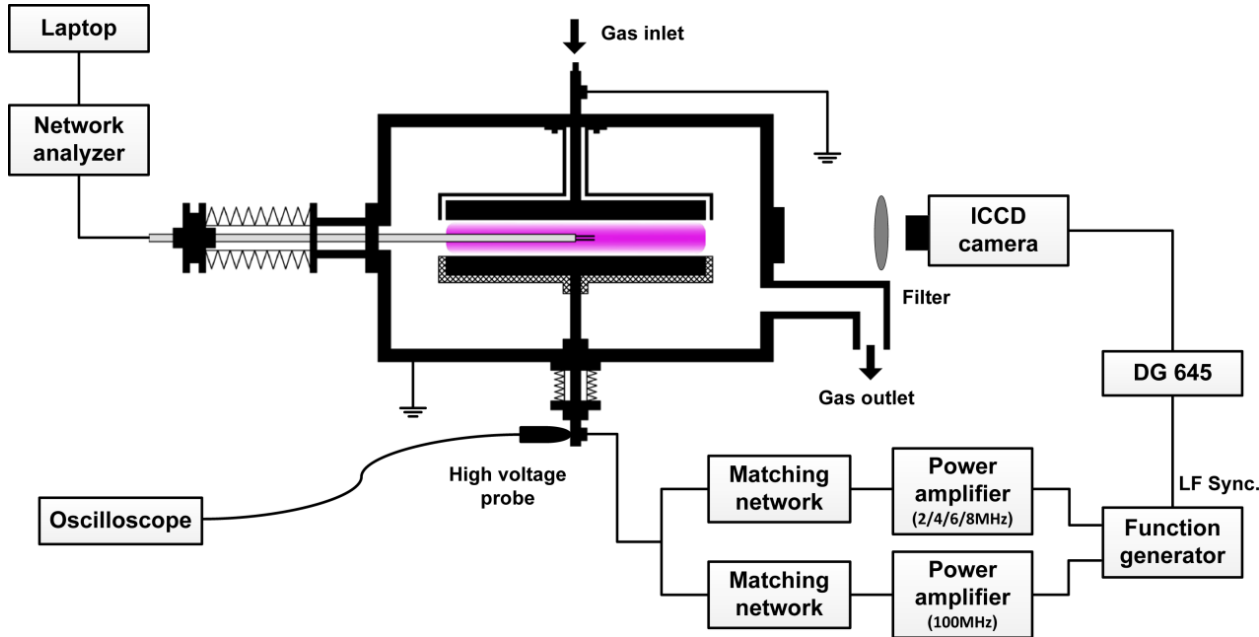


◆ Advantages:

- 1) By regulating the LF parameters, the **plasma uniformity** can be optimized;
- 2) **The independent control** of the ion flux and ion energy can be achieved.

# 3.2 Experimental setup and diagnostics

## ➤ Schematic diagram of CCP reactor



## External parameter

Reactor diameter: 28 cm  
Electrode diameter: 21 cm  
Electrode gap: 3 cm

Working gas: argon  
Pressure: 1-40Pa  
Flow rate: 40 SCCM

Driving frequency:  
HF+LF → 100+4MHz

## ➤ Diagnostic methods

- 1) Resonance hairpin probe
- 2) ICCD camera
- 3) High-frequency B-dot probe

➤ Zhao K, et al. *Plasma Sources Sci. Technol.*, 2018, 27(5): 055017.

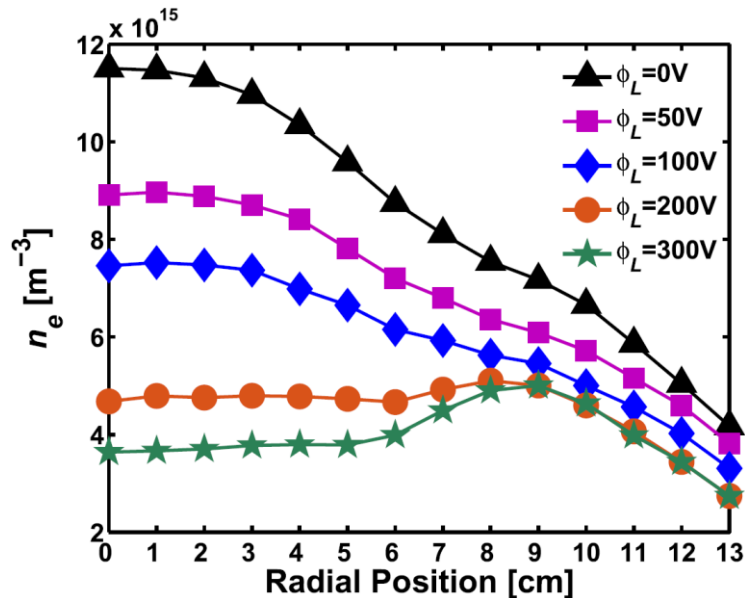
# 3.3 Results: effect of the LF voltage $\phi_L$

➤ Sources parameters

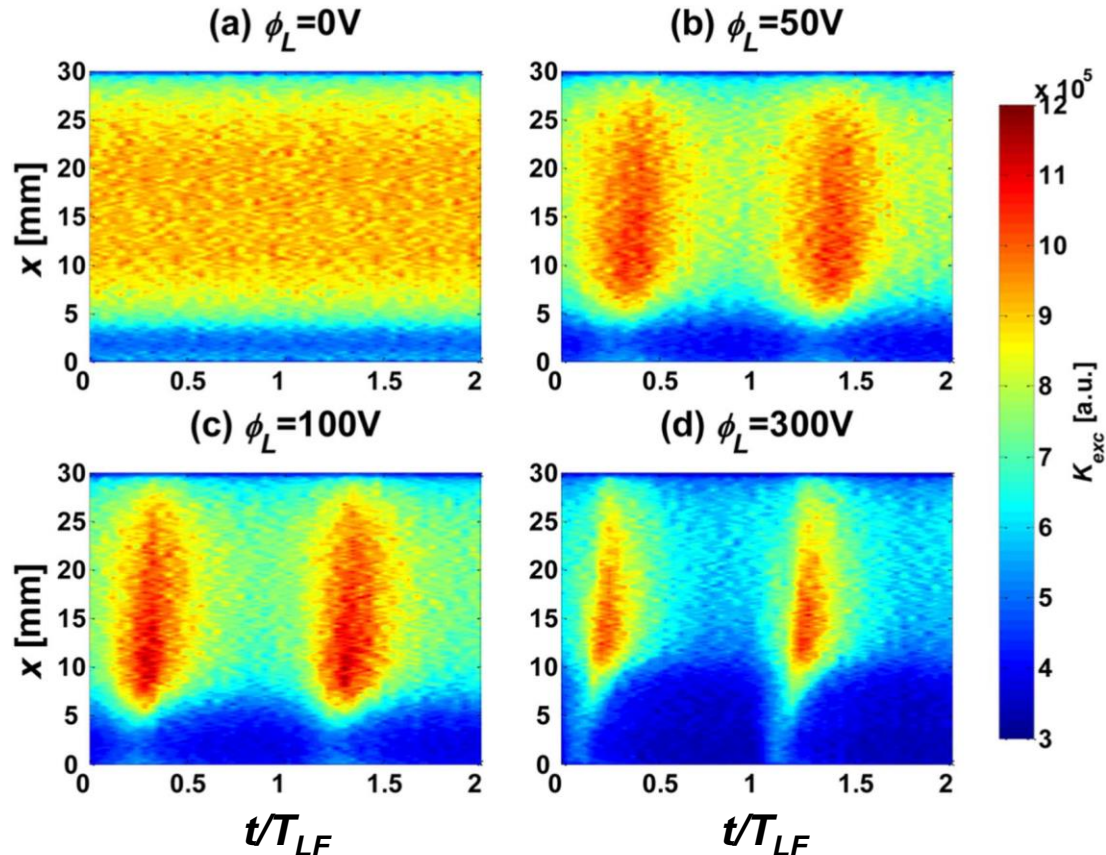
HF : 100MHz @ 50V

LF : 4MHz @ 0/50/100/200/300V

## Case I (8Pa)



➤ Optimized LF voltage:  
 $\phi_L = 200\text{V}$  !

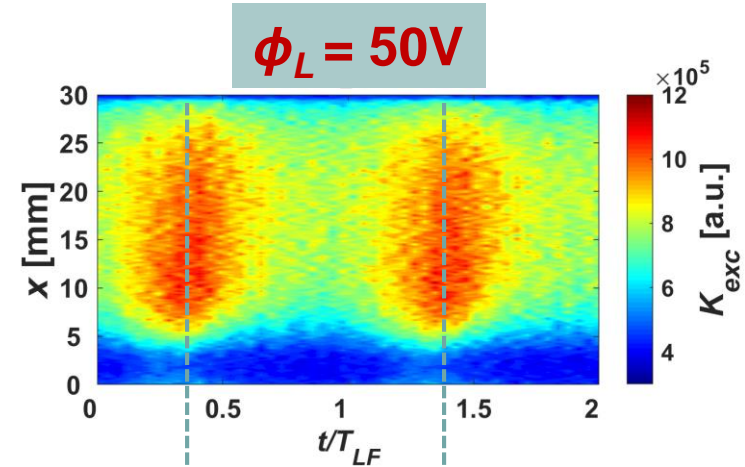
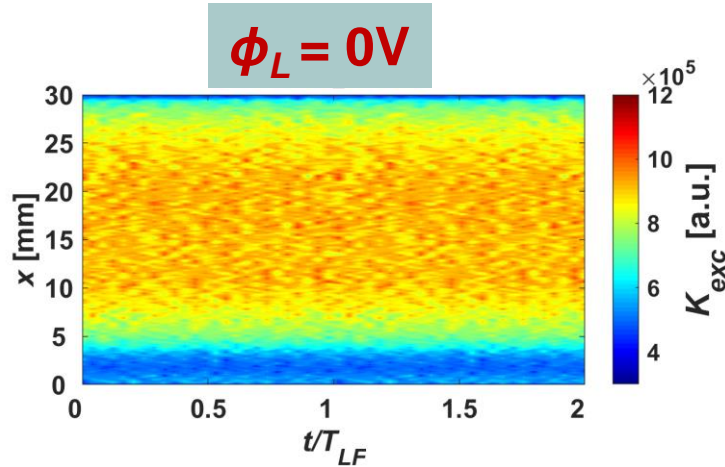


■ Spatiotemporal plots of the electron-impact excitation rate

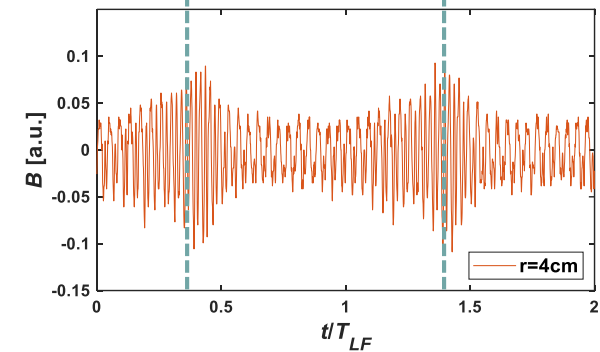
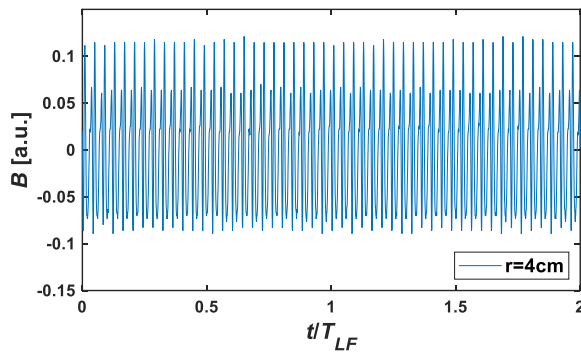
➤ Zhao K, et al. Plasma Sources Sci. Technol., 2018, 27(5): 055017.

# 3.3 Results: effect of the LF voltage $\phi_L$

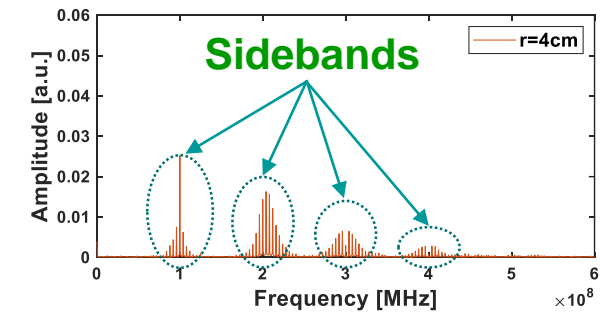
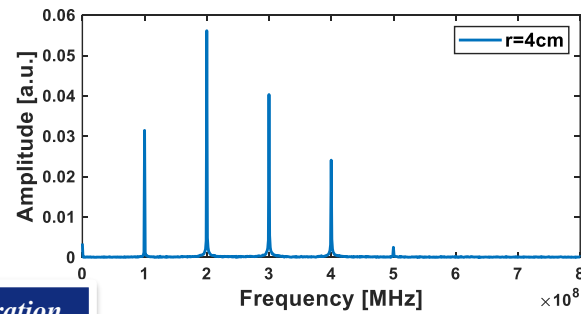
Electron-impact excitation rate



Magnetic field



FFT spectrum



➤ Zhao K, et al. in preparation.

# 4 Summary

- ◆ At relatively low pressure, higher harmonics enhanced by the nonlinear PSR can induce *radial standing waves*, with voltage and current *maxima on axis*, resulting in *center-high plasma density*.
- ◆ In an electrically asymmetric discharge driven by 30MHz and 60MHz, the nonlinear standing wave excitation can be *suppressed* by tuning the phase shift between the two driving frequencies, leading to an *improved plasma uniformity*.
- ◆ By introducing a second LF source into a VHF (100 MHz) capacitive discharge, the nonlinear standing wave excitation dominated by the HF source becomes *highly modulated* by the LF source, producing a series of *sidebands* of the harmonics.

# Acknowledge

- This work is partially supported by the National Natural Science Foundation of China (NSFC).
- We also acknowledge Prof. Lieberman from University of California, Berkeley and Prof. Economou from University of Houston for their distinguished contribution to parts of this work.

Thank You !



# Appendix

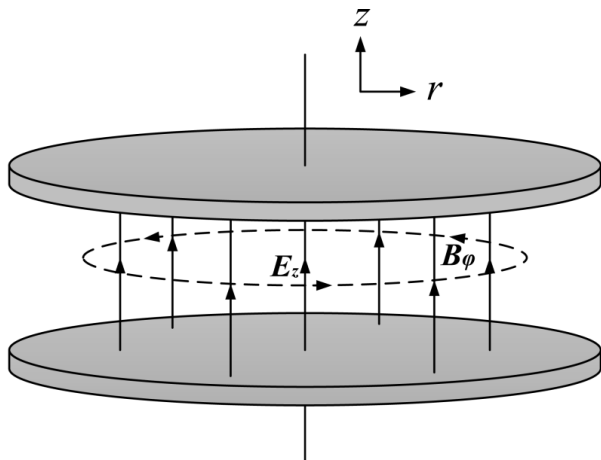
# Appendix-1

TABLE I. Radial maxima of the physical quantities for the first five harmonics in argon discharges driven at 13.56 and 100 MHz.

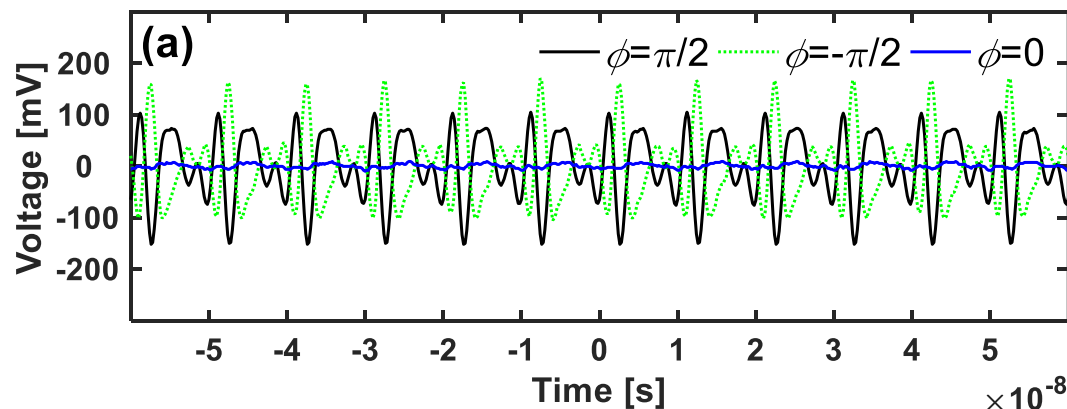
$f$ [MHz]	13.56					100				
Harmonic order $n$	1	2	3	4	5	1	2	3	4	5
$B_{\phi,n,\max}$ (Exp.) [ $\mu\text{T}$ ]	3.2	0.9	0.5	0.2	0.2	22.8	16.4	-	-	-
$B_{\phi,n,\max}$ (Sim.) [ $\mu\text{T}$ ]	2.5	0.8	0.5	0.4	0.3	30.7	10.8	3.2	0.7	0.2
$V_{n,\max}$ (Sim.) [V]	400.8	0.8	0.5	0.5	0.6	94.1	37.6	11.2	3.0	0.7
$J_{n,\max}$ (Sim.) [ $\text{mA}/\text{cm}^2$ ]	4.9	1.6	1.0	0.8	0.7	63.5	51.6	19.4	5.1	1.3

# Appendix-2 Validation of the B-dot probe

## ➤ Output signals from the B-dot probe for three probe orientations



100MHz, 80W, 3Pa Radial position @4cm



## ➤ Criteria to validate the B-dot probe measurements

1)  $V_{\phi=0,n} \approx 0$

2)  $V_{\phi=\pi/2,n} \approx V_{\phi=-\pi/2,n}$

- $\phi = 0$ : the plane of the probe coil is parallel to the electrodes

