

# On explaining the observed pattern of quark and lepton masses

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Talk based on:  
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Replace the Higgs sector of SM by new strong flavor (family, generation, horizontal)  $SU(3)_f$  dynamics (QFD for brevity) with one parameter, the scale  $\Lambda$ .

- For anomaly freedom add one triplet of sterile neutrino right-handed fields.

$$\mathcal{L}_f = -\frac{1}{4}F_{a\mu\nu}F_a^{\mu\nu} + \bar{q}_L i \not{D} q_L + \bar{u}_R i \not{D} u_R + \bar{d}_R i \not{D} d_R + \bar{l}_L i \not{D} l_L + \bar{e}_R i \not{D} e_R + \bar{\nu}_R i \not{D} \nu_R$$

$SU(3)_f \times SU(2)_L \times U(1)_Y$  symmetry manifest in the Lagrangian is observed as badly broken down to  $U(1)_{em}$

By elementary Higgses:  
Yanagida, PR D20, 2986(1979)

1. Majorana masses of sterile neutrinos:

$$3 \times 3 = 3^* + 6 \Rightarrow \text{introduce flavor sextet}$$

$$\Phi = (6, 1, 0) \quad \langle \Phi \rangle_0 \text{ huge } (\sim 10^{14} \text{ GeV})$$

gives also masses  $M_a$  to all eight flavor gluons  $C$

$$2 \times 6 = 12 = 8(\text{NG}) + 1(\text{pseudoNG}) + 3(\text{Higgs})$$

2. Dirac masses of SM fermions:

$$3^* \times 3 = 1 + 8 \Rightarrow \text{introduce}$$

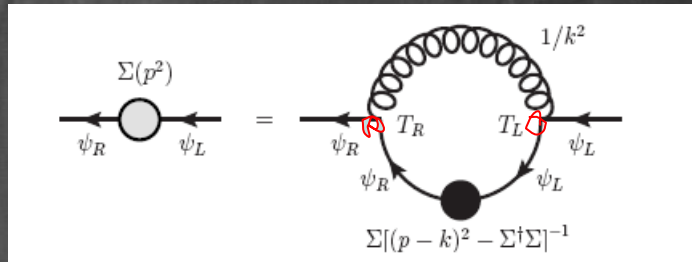
$$\Phi = (8, 2, 1) \text{ to break } SU(2)_L \times U(1)_Y \text{ down to } U(1)_{em}$$

3. Neutrino masses by seesaw come out naturally !

## The idea in words: Dynamical chiral gauge symmetry breakdown à la Nambu :

1. Flavor gluon exchanges in 6 of  $3 \times 3$  in SD equation generate 3 different **huge Majorana masses**  $M_{fR} \sim \Lambda$  of sterile neutrinos  $\Rightarrow$  huge masses of all 8 flavor gluons  $C_a$ .
2. Flavor gluon exchanges in  $3^* \times 3$  channels in SD equation generate 3 different **exponentially small Dirac masses**  $m_f$  same for neutrino, charged lepton, u- and d- quark in given family  $\Rightarrow$  masses of W,Z bosons.
3. Mass splitting of charged leptons and quarks in a family is attributed to known different weak hypercharges

Dynamical generation of both  $M_{fR}$  and  $m_f$  masses by  $SU(3)_f$  (rest are inevitable consequences of Goldstone theorem)



$$\Sigma(p) = 3 \int \frac{d^4 k}{(4\pi)^4} \frac{\bar{h}_{ab}^2 ((p-k)^2)}{(p-k)^2} T_a(f_R) \Sigma(k) [k^2 + \Sigma^+(k) \Sigma(k)]^{-1} T_b(f_L)$$

- fermion self-energy (mass) is a bridge between L and R valid both for Majorana and Dirac fermions
- sliding coupling at strong coupling entirely unknown
- IF the idea is warranted **ULTIMATELY** no free parameters  
seesaw neutrino mass spectrum truly **CALCULABLE**

Real life is more prosaic:  
We illustrate explicitly  $m_f \ll M_R$  by a separable  
Ansatz for the kernel of SD equation

Integrate only up to  $\Lambda$ . The model thus becomes not  
asymptotically, but **strictly free** above  $\Lambda$ . Fix external  
 $p=(p,0,0,0)$  and integrate over angles:

$$\Sigma(p) = \int_0^\Lambda k^3 dk K_{ab}(p, k) T_a(R) \Sigma(k) [k^2 + \Sigma^+ \Sigma]^{-1} T_b(L)$$

For **unknown kernel** we make the **BCS motivated Ansatz**

$$K_{ab}(p, k) = \frac{3}{4\pi^2} \frac{g_{ab}}{pk}$$

$g_{ab}$  are the effective low-energy constants (how many ?!)

With separable Ansatz the SD equation is immediately formally solved:

$$\Sigma(p^2) = \frac{\Lambda^2}{p} T_a(R) \Gamma_{ab} T_b(L)$$

Difficult part is that  $\Gamma$  has to fulfil non-linear algebraic self-consistency condition (gap equation).

The obtained behavior of  $\Sigma(p^2) \sim 1/p$  is not without support: P. Mannheim, Phys. Lett. B773(2017)604, and references therein, and his contribution to this conference.

1. Majorana masses of sterile neutrinos  $\bar{\nu}_R \Sigma_R (\nu_R)^c$

$$M_{fR} \sim \Lambda$$

2. Dirac masses of leptons and quarks  $\bar{f}_R \Sigma_D f_L$

$$m_f = \Lambda \exp(-1/4\alpha_f)$$

$$\alpha_1 = \frac{3}{64\pi^2} \left( g_{33} + \frac{2}{\sqrt{3}} g_{38} + \frac{1}{3} g_{88} \right)$$

$$\alpha_2 = \frac{3}{64\pi^2} \left( g_{33} - \frac{2}{\sqrt{3}} g_{38} + \frac{1}{3} g_{88} \right)$$

$$\alpha_3 = \frac{3}{64\pi^2} \frac{4}{3} g_{88}$$

same for all fermion species in a family

# Neutrino masses—ultimately exact—true prediction

$$\Sigma_\nu = \begin{pmatrix} \Sigma_L & \Sigma_D \\ \Sigma_D^T & \Sigma_R \end{pmatrix}$$

- $\Sigma_D \sim \Lambda \exp(-1/\alpha)$  and  $\Sigma_R \sim \Lambda$  were already computed
- $\Sigma_L$  is a Majorana mass of the left-handed neutrino of the electroweak left-handed doublet.  $2 \times 2 = 1 + 3$ , and the condensing component would be the neutral component of the complex triplet

$$\phi = (\phi^{(0)}, \phi^+, \phi^{++}) \quad \phi^{ab}(6, 3, -2) \sim (\bar{l}_L)^{c a} i\tau_2 \vec{\tau} l_L^b$$

- Such a suggestion costs nothing provided  $\phi$  is elementary (triplet Majoron).
- We wishfully argue against formation of **composite  $\phi$**  – **strong repulsion without confining force**. Hence **SEESAW**

$$\Sigma_\nu = \begin{pmatrix} 0 & \Sigma_D \\ \Sigma_D^T & \Sigma_R \end{pmatrix}$$

$$m_\nu \sim \frac{m_D^2}{M_R}$$

Masses of charged leptons and quarks are distinguished by weak hypercharges

In SD eq. consider besides  $C$  also the  $B$  exchanges:

$$\bar{g}'(q)^2 \frac{1}{4} Y_R Y_L$$

$$\begin{aligned} Y(l_L) &= -1, & Y(e_R) &= -2, & Y(\nu_R) &= 0, \\ Y(q_L) &= \frac{1}{3}, & Y(u_R) &= \frac{4}{3}, & Y(d_R) &= -\frac{2}{3} \end{aligned}$$

We do not know how to incorporate the  $B$  exchange into separable Ansatz, and use a primitive parametrization

$$\begin{aligned} \alpha_1(i) &= \frac{3}{64\pi^2} \left\{ [g_{33} + \frac{2}{\sqrt{3}}g_{38} + \frac{1}{3}g_{88}] + g_1^i \right\} \\ \alpha_2(i) &= \frac{3}{64\pi^2} \left\{ [g_{33} - \frac{2}{\sqrt{3}}g_{38} + \frac{1}{3}g_{88}] + g_2^i \right\} \\ \alpha_3(i) &= \frac{3}{64\pi^2} \left\{ \frac{4}{3}g_{88} + g_3^i \right\} . \end{aligned}$$

TABLE I: Fermion masses from experiment [21].


$m_3(e) \equiv m_\tau$	$m_2(e) \equiv m_\mu$	$m_1(e) \equiv m_e$
1.777 GeV	105.7 MeV	0.511 MeV
$m_3(u) \equiv m_t$	$m_2(u) \equiv m_c$	$m_1(u) \equiv m_u$
173.1 GeV	1.28 GeV	2.2 MeV
$m_3(d) \equiv m_b$	$m_2(d) \equiv m_s$	$m_1(d) \equiv m_d$
4.18 GeV	96.0 MeV	4.6 MeV

TABLE II: The parameters of the theory describing the fermion spectra.

$g_{33}$	$g_{38}$	$g_{88}$
1.27262	-0.0594915	1.502790
$g_1^e$	$g_2^e$	$g_3^e$
-0.382808	-0.315776	-0.341190
$g_1^u$	$g_2^u$	$g_3^u$
-0.332490	-0.196766	-0.060098
$g_1^d$	$g_2^d$	$g_3^d$
-0.305581	-0.320025	-0.295027

An impressionistic painting of water lilies, featuring vibrant colors like blue, green, and yellow, with soft, dappled light effects. It occupies the top portion of the slide.

## Impressionistic conclusion: Properties of quantum flavor dynamics

- Masses of leptons and quarks are ultimately calculable
  - There is a QFD understanding of seesaw origin of neutrino mass spectrum
  - No genuine electroweak symmetry scale,  $\Lambda \sim 10^{14}$  GeV
  - Composite Higgs is similar to but distinct from SM Higgs
  - There are three superheavy neutrino-composite inflatons  $\chi$
  - There are decent candidates for dark matter – axions
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- An impressionistic painting of water lilies, featuring vibrant colors like blue, green, and yellow, with soft, dappled light effects. It occupies the bottom portion of the slide.

# Illustration of composite NG bosons by Ward-Takahashi identity ( $SU_3$ )

dynamical neutrino mass term (sextet) :  $\bar{\nu}_R \Sigma_R (\nu_R)^c$   
 neutrino current  $j_a^\mu = \bar{\nu}_R \gamma^\mu \frac{1}{2} \lambda_a \nu_R = \frac{1}{2} \bar{n} \gamma^\mu \frac{1}{2} \Lambda_a n$  where  
 $\frac{1}{2} \Lambda_a = \frac{1}{2} \lambda_a P_R + \frac{1}{2} (-\lambda_a)^T P_L$   $n = \nu_R + (\nu_R)^c$   $(\nu_R)^c = C(\bar{\nu}_R)^T$   
 WT identity, pole term

$$\Gamma_{a,pole}^\mu = \frac{q^\mu}{q^2} [\hat{\Sigma}(p+q) \Lambda_a - \bar{\Lambda}_a \hat{\Sigma}(p)]$$

Yukawa couplings of 'would-be' NG bosons

$$P_a(p, p) \sim \{\Sigma(p), \frac{1}{2} \lambda_a\} \gamma_5, \quad a = 1, 3, 4, 6, 8$$

$$P_a(p, p) \sim [\Sigma(p), \frac{1}{2} \lambda_a], \quad a = 2, 5, 7$$

flavor gluon masses  $\boxed{M_{aC} \sim M_R}$  (Jackiw-Johnson)

Dynamically generated masses of leptons and quarks break spontaneously  $SU(2)_L \times U(1)_Y$  to  $U(1)_Q$  : There are 3 'would-be' NG bosons i.e., massive  $W$  and  $Z$ .

$$\Gamma_{W,pole}^\alpha = -\frac{g}{2\sqrt{2}} \frac{q^\alpha}{q^2} [(1 - \gamma_5)\Sigma_f(p+q) - (1 + \gamma_5)\Sigma_f(p)]$$

compute the  $W, Z$  masses in terms of  $\Sigma$  using the Pagels-Stokar formula

$$F_f^2 = 8N \int \frac{d^4p}{(2\pi)^4} \frac{\Sigma_f^2(p^2) - \frac{1}{4}p^2(\Sigma_f^2(p^2))'}{(p^2 + \Sigma_f^2(p^2))^2}$$

$$m_W^2 = \frac{1}{4}g^2 \frac{5}{4\pi} \sum_f m_f^2$$

$$m_Z^2 = \frac{1}{4}(g^2 + g'^2) \frac{5}{4\pi} \sum_f m_f^2$$

$$m_{3D} \doteq 390 \text{ GeV}$$

*Thanks for your attention !*



"Before I came here I was confused about this subject. Having listened to your lecture I am still confused. But on a higher level."

Enrico Fermi

