



# 1           **On the Accuracy and Convergence of the Hybrid FE-** 2           **meshfree Q4-CNS Element in Surface Fitting Problems**

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8           **Abstract.** In the last decade, several hybrid methods combining the finite  
9           element and meshfree methods have been proposed for solving elasticity  
10          problems. Among these methods, a novel quadrilateral four-node element with  
11          continuous nodal stress (Q4-CNS) is of our interest. In this method, the shape  
12          functions are constructed using the combination of the ‘non-conforming’ shape  
13          functions for the Kirchhoff’s plate rectangular element and the shape functions  
14          obtained using an orthonormalized and constrained least-squares method. The  
15          key advantage of the Q4-CNS element is that it provides the continuity of the  
16          gradients at the element nodes so that the global gradient fields are smooth and  
17          highly accurate. This paper presents a numerical study on the accuracy and  
18          convergence of the Q4-CNS interpolation and its gradients in surface fitting  
19          problems. Several functions of two variables were employed to examine the  
20          accuracy and convergence. Furthermore, the consistency property of the Q4-  
21          CNS interpolation was also examined. The results show that the Q4-CNS  
22          interpolation possess a bi-linear order of consistency even in a distorted mesh.  
23          The Q4-CNS gives highly accurate surface fittings and possess excellent  
24          convergence characteristics. The accuracy and convergence rates are better than  
25          those of the standard Q4 element.

26          **Keywords:** *continuous nodal stress; finite element; meshfree; Q4-CNS; quadrilateral*  
27          *four-node element; surface fitting.*

## 28          **1           Introduction**

29          The finite element method (FEM) is now a widely-used, well-establish  
30          numerical method for solving mathematical models of practical problems  
31          in engineering and science. In practice, FEM users often prefer to use  
32          simple, low order triangular or quadrilateral elements in 2D problems and  
33          tetrahedral elements in 3D problems since these elements can be

34 automatically generated with ease for meshing complicated geometries.  
35 Nevertheless, the standard low order elements produce discontinuous  
36 gradient fields on the element boundaries and their accuracy is sensitive  
37 to the quality of the mesh.

38 To overcome the FEM shortcomings, since the early 1990's up to present  
39 a vast amount of meshfree (or meshless) methods [1], [2], which do not  
40 require a mesh in discretizing the problem domain, have been proposed.  
41 A recent review on meshfree methods presented by Liu [3]. While these  
42 newer methods are able to eliminate the FEM shortcomings, they also  
43 have their own, such as: (i) the computational cost is much more  
44 expensive than the FEM, and (ii) the computer implementation is quite  
45 different from that of the standard FEM.

46 To synergize the strengths of the finite element and meshfree methods  
47 while avoiding their weaknesses, in the last decade several hybrid  
48 methods combining the two classes of methods based on the concept of  
49 partition-of-unity have been developed [4]-[8]. Among several hybrid  
50 methods available in literature, the authors are interested in the four-node  
51 quadrilateral element with continuous nodal stress (Q4-CNS) proposed  
52 by Tang et al. [6] for the reason that this work is the pioneering hybrid

53 method possessing the property of continuous nodal stress. The Q4-CNS  
54 can be regarded as an improved version of the FE-LSPIM Q4 [4], [5]. In  
55 this novel method, the nonconforming shape functions for the  
56 Kirchhoff's plate rectangular element are combined with the shape  
57 functions obtained using an orthonormalized and constrained least-  
58 squares method. The advantages of the Q4-CNS are [6], [9], [10]: (1) the  
59 shape functions are  $C^1$  continuous at nodes so that it naturally provides a  
60 globally smooth gradient fields. (2) The Q4-CNS can give higher  
61 accuracy and faster convergence rate than the standard quadrilateral  
62 element (Q4). (3) The Q4-CNS is more tolerant to mesh distortion.

63 The Q4-CNS has been developed and applied for the free and forced  
64 vibration analyses of 2D solids [9] and for 2D crack propagation analysis  
65 [10]. Recently the Q4-CNS has been further developed to its 3D  
66 counterpart, that is, the hybrid FE-meshfree eight-node hexahedral  
67 element with continuous nodal stress (Hexa8-CNS) [11]. However,  
68 examination of the Q4-CNS interpolation in fitting surfaces defined by  
69 functions of two variables has not been carried out. Thus, it is the  
70 purpose of this paper to present a numerical study on the on the accuracy  
71 and convergence of the Q4-CNS shape functions and their derivatives in

72 surface fitting problems. Furthermore, the consistency (or completeness)  
 73 property of the Q4-CNS shape functions is numerically examined in this  
 74 study.

## 75 2 The Q4-CNS Interpolation

76 As in the standard finite element procedure, a 2D problem domain,  $\bar{\Omega}$ , is  
 77 firstly divided into four-node quadrilateral elements to construct the Q4-  
 78 CNS shape functions. Consider a typical element  $\bar{\Omega}^e$  with the local node  
 79 labels 1, 2, 3 and 4. The unknown function  $u$  on the interior and boundary  
 80 of the element is approximated by

$$81 \quad u^h(x, y) = \sum_{i=1}^4 w_i(\xi, \eta) u_i(x, y) \quad (1)$$

82 where  $w_i(\xi, \eta)$  and  $u_i(x, y)$  are the weight functions and nodal  
 83 approximations, respectively, associated with node  $i$ ,  $i=1, \dots, 4$ . Note that  
 84 in the classical isoparametric four-node quadrilateral element (Q4), the  
 85 weight functions are given as the shape functions and the nodal  
 86 approximations are reduced to nodal values  $u_i$ . The weight functions in  
 87 the Q4-CNS are defined as the non-conforming shape functions for the  
 88 Kirchhoff's plate rectangular element [6], [12], that is,

$$89 \quad w_i(\xi, \eta) = \frac{1}{8}(1 + \xi_0)(1 + \eta_0)(2 + \xi_0 + \eta_0 - \xi^2 - \eta^2), \quad (2a)$$

$$90 \quad \xi_0 = \xi_i \xi, \quad \eta_0 = \eta_i \eta, \quad i=1, 2, 3, 4. \quad (2b)$$

91 where  $\xi$  and  $\eta$  are the natural coordinates of the classical Q4 with the  
 92 values in the range of  $-1$  to  $1$ . The weight functions satisfy the partition  
 93 of unity property, that is,  $\sum_1^4 w_i(\xi, \eta) = 1$ . The nodal approximations  
 94  $u_i(x, y)$  are constructed using the orthonormalized and constrained least-  
 95 squares method (CO-LS) as presented by Tang et al. [6] and Yang et al.  
 96 [9], [10]. Here the CO-LS is briefly reviewed.

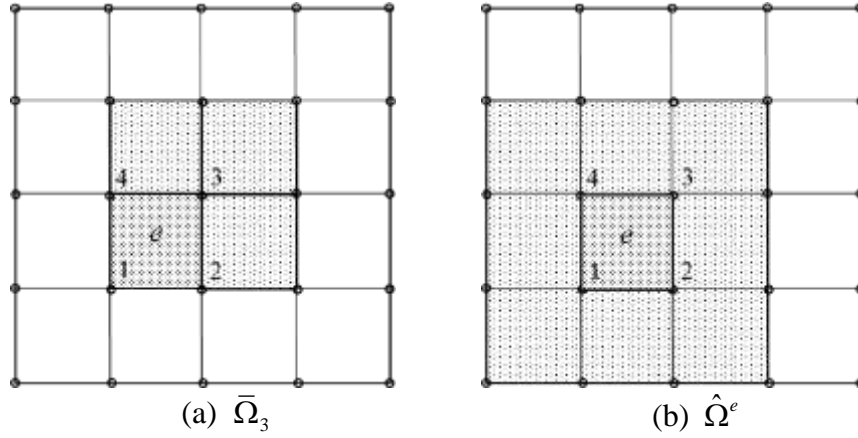
97 To construct the CO-LS approximation, nodal support domains of node  $i$ ,  
 98  $\bar{\Omega}_i$ ,  $i=1, \dots, 4$  of a typical quadrilateral element  $\bar{\Omega}^e$  are firstly defined  
 99 using the neighboring nodes of node  $i$ . For example, the nodal support  
 100 domain of node 3 of element  $e$  is shown in Fig. 1(a). The element support  
 101 domain  $\hat{\Omega}^e$  is then defined as the union of the four nodal support  
 102 domains, that is,  $\hat{\Omega}^e = \cup_1^4 \bar{\Omega}_i$ , as shown in Fig. 1(b).

103 Consider a nodal support domain of node  $i$ ,  $\bar{\Omega}_i$  with the total number of  
 104 supporting nodes  $n$ . Let the labels for the nodes be  $j, j=1, \dots, n$ . Using the  
 105 least-squares method, the nodal approximation  $u_i(x, y)$  is given as

$$106 \quad u_i(x, y) = \mathbf{p}^T(x, y) \mathbf{A}^{-1} \mathbf{B} \mathbf{a} \quad (3)$$

107 where  $\mathbf{p}(x, y)$  is a vector of polynomial basis functions, viz.

$$108 \quad \mathbf{p}^T(x, y) = \{1 \quad x \quad y \quad x^2 \quad xy \quad y^2 \quad \dots \quad \} \quad (1 \times m) \quad (4)$$



109 **Figure 1** Definitions of: (a) the nodal support domain of node 3 of element  $e$   
 110 and (b) the element support domain of element  $e$ .

111 Here  $m$  is the number of monomial bases in  $\mathbf{p}$ . Following the original  
 112 work [6], in this study the ‘serendipity’ basis function  
 113  $\mathbf{p}^T(x, y) = \{1 \ x \ y \ x^2 \ xy \ y^2 \ x^2y \ xy^2\}$  is used if  $n > 8$  and the bi-  
 114 linear basis function  $\mathbf{p}^T(x, y) = \{1 \ x \ y \ xy\}$  is used if  $n \leq 8$ . Matrices  $\mathbf{A}$   
 115 and  $\mathbf{B}$  are the moment matrix and the basis matrix, respectively, given as

$$116 \quad \mathbf{A} = \sum_{j=1}^n \mathbf{p}(x_j, y_j) \mathbf{p}^T(x_j, y_j) \quad (m \times m) \quad (5)$$

$$117 \quad \mathbf{B} = [\mathbf{p}(x_1, y_1) \ \mathbf{p}(x_2, y_2) \ \cdots \ \mathbf{p}(x_n, y_n)] \quad (m \times n) \quad (6)$$

118 Vector  $\mathbf{a} = \{a_1 \ a_2 \ \cdots \ a_n\}^T$  is the vector of nodal parameters. Note that  
 119 in general vector  $\mathbf{a}$  is *not* a vector of nodal values because the  
 120 approximation  $u_i(x, y)$  does not necessarily pass through the nodal values.

121 Defining the inner product for any two basis functions  $f(x, y)$  and  $g(x, y)$  as

$$(f(x, y), g(x, y)) = \sum_{j=1}^n f(x_j, y_j)g(x_j, y_j) \quad (7)$$

and using the Gram-Schmidt orthonormalization algorithm [6], the basis vector  $\mathbf{p}$  can be transformed into an orthonormal basis function vector  $\mathbf{r}$  so that the moment matrix  $\mathbf{A}$  becomes the identity matrix. Subsequently, the nodal approximation is constrained using the Lagrange multiplier method so that the nodal parameter  $u_i(x, y)$  at node  $i$  is equal to the nodal value  $u_i$ . Going through the abovementioned process, the nodal approximation, Eqn. (3), turns into

$$u_i(x, y) = \mathbf{\Phi}(x, y)\mathbf{a} = \sum_{j=1}^n \phi_j^i(x, y)a_j \quad (8)$$

where

$$\mathbf{\Phi}(x, y) = [\phi_1^i(x, y) \quad \phi_2^i(x, y) \quad \cdots \quad \phi_n^i(x, y)] = \mathbf{r}^T(x, y)\mathbf{B}^i \quad (9)$$

$$\mathbf{B}^i = [\mathbf{B}_1^i \quad \mathbf{B}_2^i \quad \cdots \quad \mathbf{B}_n^i] \quad (10)$$

$$\mathbf{B}_j^i = \mathbf{r}(x_j, y_j) - f_j^i \mathbf{r}(x_i, y_i), \quad j=1, \dots, n \quad (11)$$

$$f_j^i = \begin{cases} (\mathbf{r}^T(x_i, y_i)\mathbf{r}(x_j, y_j))/(\mathbf{r}^T(x_i, y_i)\mathbf{r}(x_i, y_i)) & \text{if } j \neq i \\ (\mathbf{r}^T(x_i, y_i)\mathbf{r}(x_j, y_j) - 1)/(\mathbf{r}^T(x_i, y_i)\mathbf{r}(x_i, y_i)) & \text{if } j = i \end{cases} \quad (12)$$

Note that  $n$ , the number of nodes in the nodal support domain of node  $i$ , in general varies with  $i$ .

138 Consider now the element support domain of element  $e$ ,  $\hat{\Omega}^e$ , with the  
 139 total number of nodes  $N$ . Let the node labels in  $\hat{\Omega}^e$  be  $I=1, \dots, N$ . Using  
 140 this element level labelling system and substituting Eqn. (8) into Eqn.  
 141 (1), the approximate function can be expressed as

$$142 \quad u^h(x, y) = \sum_{i=1}^4 w_i(\xi, \eta) \sum_{I=1}^N \phi_I^i(x, y) a_I = \sum_{I=1}^N \psi_I(x, y) a_I \quad (13)$$

143 in which  $\psi_I(x, y)$  is the Q4-CNS shape function associated with node  $I$  in  
 144 the element support domain. In this equation, if node  $I$  is not in the nodal  
 145 support domain of node  $i$ , then  $\phi_I^i(x, y)$  is defined to be zero. It is obvious  
 146 that the shape function is the product of the nonconforming rectangular  
 147 element shape functions  $w_i(\xi, \eta)$  and the CO-LS shape functions  $\phi_I^i(x, y)$ ,  
 148 that is,

$$149 \quad \psi_I(x, y) = \sum_{i=1}^4 w_i(\xi, \eta) \phi_I^i(x, y) \quad (14)$$

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### 151 **3 Numerical Tests**

152 In this section, the accuracy and convergence of the Q4-CNS  
 153 interpolation in fitting surfaces of  $z = f(x, y)$  and their derivatives are  
 154 examined. To measure the approximation errors, the following relative  $L_2$   
 155 norm of error is used



$$156 \quad r_z = \sqrt{\frac{\int_{\Omega^h} (z - z^h)^2 dA}{\int_{\Omega^h} z^2 dA}} \quad (15)$$

157 in which  $z$  is the function under consideration,  $z^h$  is the approximate  
 158 function, and  $\Omega^h$  is the approximate domain with the element  
 159 characteristic size,  $h$ . This expression is also applicable to measure the  
 160 relative error of the function partial derivatives (replacing  $z$  and  $z^h$  with  
 161 their derivatives). The integral in Eqn. (15) is evaluated numerically  
 162 using Gaussian quadrature rule. The number of quadrature sampling  
 163 points is taken to be  $5 \times 5$ . For the purpose of comparison, the accuracy  
 164 and convergence of the standard Q4 interpolation and its partial  
 165 derivatives are also presented.

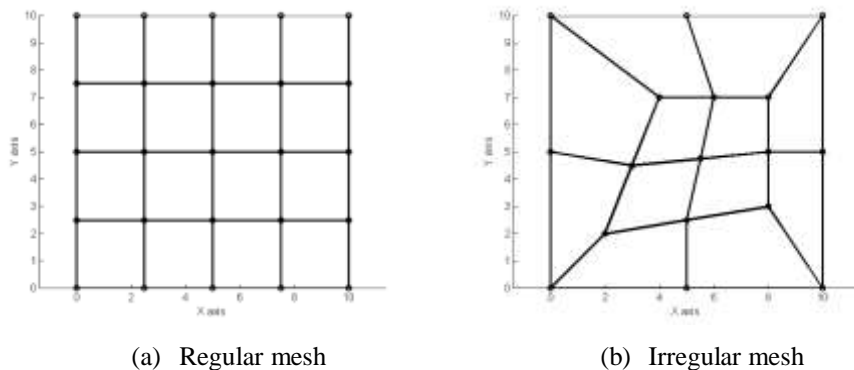
### 166 **3.1 Shape function consistency property**

167 In order to be applicable as the basis functions in the Rayleigh-Ritz based  
 168 numerical method, a set of shape functions is required to be able to  
 169 represent exactly all polynomial terms of order up to  $m$  in the Cartesian  
 170 coordinates [13], where  $m$  is the variational index (that is, the highest  
 171 order of the spatial derivatives that appears in the problem functional). A  
 172 set of shape functions that satisfies this condition is called  $m$ -consistent  
 173 [13]. This consistency property is a *necessary* condition for convergence

174 (that is, as the mesh is refined, the solution approaches to the exact  
 175 solution of the corresponding mathematical model).

176 To examine the consistency property of the Q4-CNS shape functions,  
 177 consider a  $10 \times 10$  square domain shown in Fig. 2. The domain is  
 178 subdivided using  $4 \times 4$  regular quadrilateral elements, Fig. 2(a), and  
 179 irregular quadrilateral elements, Fig. 2(b). The functions under  
 180 consideration are the polynomial bases up to the quadratic bases, that is,  
 181  $z = 1$ ,  $z = x$ ,  $z = y$ ,  $z = xy$ ,  $z = x^2$  and  $z = y^2$ . The results of the relative  
 182 errors for the Q4-CNS interpolation and its nonzero partial derivatives  
 183 are listed in Tables 1 and 2, respectively, together with those of the  
 184 standard Q4 interpolation.

185



186 **Figure 2** Square function domain of size 10-by-10 subdivided into: (a) regular  
 187 and (b) irregular quadrilateral elements.

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**Table 1** Relative  $L_2$  norm of errors for the approximation of different polynomial basis functions using the regular and irregular meshes.

Function	Regular Mesh		Irregular Mesh	
	Q4-CNS	Q4	Q4-CNS	Q4
$z=1$	9.98E-16	1.32E-17	1.88E-15	1.35E-17
$z=x$	1.41E-15	0	2.82E-15	0
$z=y$	1.20E-15	0	1.45E-15	0
$z=xy$	1.39E-15	1.49E-16	4.59E-15	2.37%
$z=x^2$	1.22%	2.55%	2.65%	5.83%
$z=y^2$	1.22%	2.55%	2.33%	5.37%

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**Table 2** Relative  $L_2$  norm of errors for the approximation of nonzero polynomial basis function derivatives using the regular and irregular meshes.

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(a) Basis function derivatives with respect to  $x$

Function Derivative to $x$	Regular Mesh		Irregular Mesh	
	Q4-CNS	Q4	Q4-CNS	Q4
$z_{,x}=1$	9.11E-15	2.25E-16	2.15E-14	2.82E-16
$z_{,x}=y$	9.36E-15	2.55E-16	3.06E-14	11.32%
$z_{,x}=2x$	6.70%	12.50%	10.94%	16.58%

196

(b) Basis function derivatives with respect to  $y$

Function Derivative to $y$	Regular Mesh		Irregular Mesh	
	Q4-CNS	Q4	Q4-CNS	Q4
$z_{,y}=1$	8.71E-15	1.98E-16	9.61E-15	2.11E-16
$z_{,y}=x$	1.02E-14	2.93E-16	3.58E-14	12.53%
$z_{,y}=2y$	6.70%	12.50%	10.30%	15.90%

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198 The tables show that the Q4-CNS interpolation is capable to reproduce  
199 exact solutions up to the  $xy$  basis both for the domain with regular and  
200 irregular meshes. In other words, the Q4-CNS interpolation is consistent  
201 up to the  $xy$  basis. On the other hand, the Q4 interpolation is consistent

202 up to the same basis for the regular mesh, but it is only purely linear  
203 consistent for the irregular mesh. This finding may partly explain the  
204 reason the Q4-CNS has higher tolerance to mesh distortion [6]. For the  $x^2$   
205 and  $y^2$  bases, both the Q4-CNS and Q4 interpolations are not able to  
206 produce the exact solutions, as expected. For these bases, the Q4-CNS  
207 interpolation is consistently more accurate than the standard Q4.

208 The tables clearly reveals that the Q4-CNS interpolation is not consistent  
209 up to all of the quadratic bases. As a consequence, the Q4-CNS is not  
210 applicable to variational problems possessing variational index  $m=2$ ,  
211 including the Love-Kirchhoff plate bending and shell models. This is in  
212 contradiction to the statement made in the original paper [6], which  
213 mentioned that the Q4-CNS “is potentially useful for the problems of  
214 bending plate and shell models”. If the Reissner-Mindlin theory is  
215 adopted, however, the Q4-CNS is of course applicable.

## 216 **3.2 Accuracy and Convergence**

### 217 **3.2.1 Quadratic function**

218 The accuracy and convergence of the Q4-CNS interpolation in fitting  
219 functions in 2D domain are firstly examined using quadratic function  
220 (adapted from an example in Wong and Kanok-nukulchai [14]) given as

221 
$$z = 1 - x^2 - y^2 \quad (16)$$

222 with two different domains, viz.

223 
$$\bar{\Omega}_s = \{(x, y) | 0 \leq x \leq 1, 0 \leq y \leq 1\} \quad (17)$$

224 
$$\bar{\Omega}_c = \{(x, y) | x^2 + y^2 \leq 1, x \geq 0, y \geq 0\} \quad (18)$$

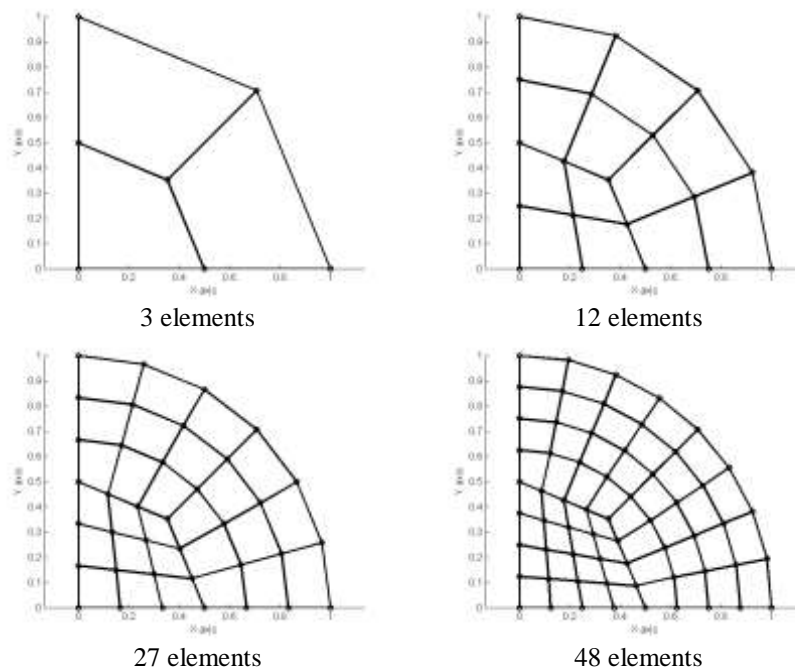
225 The first domain, Eqn. (17), is the unit square while the second one, Eqn.  
 226 (18), is a quarter of the unit circle, both of which are located in the first  
 227 quadrant of the Cartesian coordinate system. The unit square is  
 228 subdivided using regular meshes of  $2 \times 2$ ,  $4 \times 4$ ,  $8 \times 8$ , and  $16 \times 16$  square  
 229 elements. The quarter of the unit circle is subdivided into 3, 12, 27, and  
 230 48 quadrilateral elements as shown in Fig. 3 (taken from an example in  
 231 Katili [15]).

232 The relative error norms of the Q4-CNS and Q4 interpolations in  
 233 approximating the quadratic function, Eqn. (16), and its partial  
 234 derivatives, are presented in Table 3 for the square domain and in Table 4  
 235 for the quarter circle domain. The tables show that the Q4-CNS  
 236 interpolation converges very well to the quadratic function  $z$  both for the  
 237 regular mesh in the unit square domain and for the relatively irregular  
 238 mesh in the quarter of the unit circle domain. The tables also confirm that  
 239 the Q4-CNS interpolation is consistently more accurate than the Q4

240 interpolation. The finer the mesh the more accurate the Q4-CNS

241 interpolation compared to the Q4.

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**Figure 3** A quarter of the unit circle subdivided into different number of quadrilateral elements (Katili [15], p.1899).

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**Table 3** Relative  $L_2$  norm of errors for the approximation of the quadratic function,  $r_z$ , and its partial derivatives,  $r_{z_{sx}}$  and  $r_{z_{sy}}$  over the unit square domain.

$M$	$r_z$		$r_{z_{sx}}$		$r_{z_{sy}}$	
	Q4-CNS	Q4	Q4-CNS	Q4	Q4-CNS	Q4
2	10.18%	16.26%	22.77%	25.00%	26.29%	28.87%
4	1.83%	4.07%	10.62%	12.50%	12.26%	14.43%
8	0.33%	1.02%	4.13%	6.25%	4.77%	7.22%
16	0.06%	0.25%	1.52%	3.13%	1.76%	3.61%

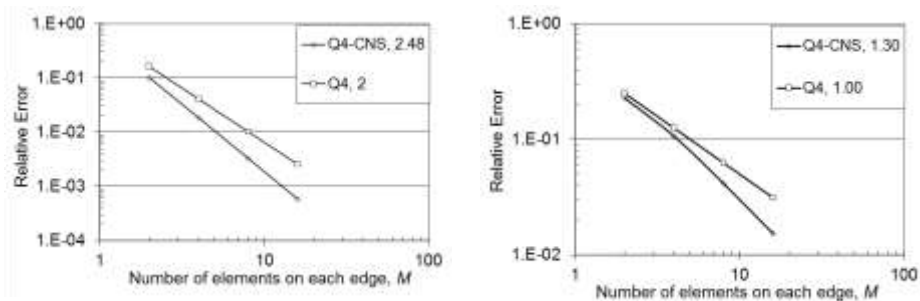
$M$ : the number of elements on each edge

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**Table 4** Relative  $L_2$  norm of errors for the approximation of the quadratic function,  $r_z$ , and its partial derivatives,  $r_{z_{xx}}$  and  $r_{z_{yy}}$  over a quarter of the unit circle domain.

Number of elements	$r_z$		$r_{z_{xx}}$		$r_{z_{yy}}$	
	Q4-CNS	Q4	Q4-CNS	Q4	Q4-CNS	Q4
3	11.06%	16.59%	28.14%	33.92%	22.48%	27.10%
12	2.51%	4.52%	14.56%	16.16%	12.57%	13.96%
27	0.91%	2.04%	8.42%	10.68%	7.37%	9.36%
48	0.44%	1.15%	5.64%	7.99%	4.97%	7.03%

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(a) Relative error norms of interpolations

(b) Relative error norms of interpolation  $x$ -partial derivative

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**Figure 4** Convergence of the Q4-CNS and Q4 interpolations in approximating: (a) the quadratic function, (b) the partial derivatives of the function with respect to  $x$ , over the unit square. The number in the legend indicate the average convergence rate.

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259 The relative error norms are plotted against the number of elements on  
260 each edge,  $M$ , in log-log scale as shown in Fig. 4. The convergence  
261 graphs for the partial derivatives with respect to  $y$  are similar to Fig. 4(b)  
262 and have the same convergence rates. The graphs show that the average  
263 convergence rate of the Q4-CNS interpolation is about 25% faster than  
264 that of the Q4. It is worth mentioning here that the convergence rates of

265 the Q4 interpolation, 2, and its partial derivatives, 1, are exactly the same  
266 as predicted by the interpolation theory [16].

### 267 **3.2.2 Cosine function**

268 The second function chosen to examine the accuracy and convergence of  
269 the Q4-CNS interpolation is

$$270 \quad z = \cos\left(\frac{\pi}{2}x\right)\cos\left(\frac{\pi}{2}y\right) \quad (19)$$

271 defined over the square unit domain, Eqn. (17). The meshes used are the  
272 same as those in the previous example.

273 The convergence graphs of the relative error norms of the Q4-CNS and  
274 Q4 interpolations and their partial derivatives with respect to  $x$  are shown  
275 in Fig. 5. The graphs confirm the superiority of the Q4-CNS interpolation  
276 over the Q4 interpolation both in terms of the accuracy and convergence  
277 rate.

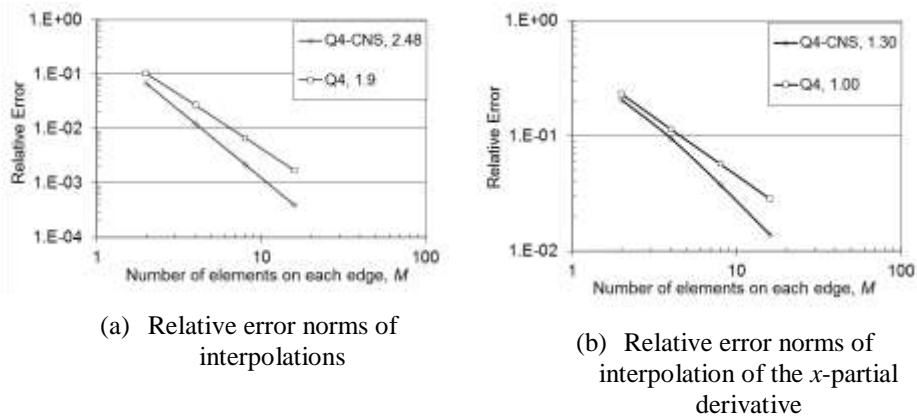
## 278 **4 Conclusions**

279 The consistency property, accuracy and convergence of the Q4-CNS  
280 interpolation in surface fitting problems have been numerically studied.  
281 The results show that the Q4-CNS interpolation is consistent up to the  
282 bilinear basis both for the regular and irregular meshes. It is more  
283 accurate than the Q4 in fitting the functions and their derivatives. In a



284 sufficiently fine mesh, the error norm of the Q4-CNS interpolation is  
 285 around 3 to 4 times smaller than that of the Q4, and the error norm of its  
 286 derivatives is around 1.5 to 2 times smaller than that of the Q4. The Q4-  
 287 CNS interpolation converge very well to the fitted function. Its  
 288 convergence rate is approximately 25% faster than that of the Q4. The  
 289 demerits of the present method is that the computational cost to construct  
 290 the shape function is much higher than the Q4 shape function.

291



292 **Figure 5** Convergence of the Q4-CNS and Q4 interpolations in approximating:  
 293 (a) the bi-cosine function, (b) the partial derivatives of the function with respect  
 294 to  $x$ , over the unit square. The number in the legend indicate the average  
 295 convergence rate.

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