

# PECB MS Auditor Certification Program

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## Why become a Certified PECB MS Auditor?

PECB MS is a trusted and reliable certification body which has built strong reputation for its services in the field of ISO standards. We have demonstrated effective evaluation methods on the preparation of experienced and highly skilled management system auditors who represent our values.

Becoming a Certified PECB MS Auditor includes the following benefits:

- Establish a reputable image as a professional MS Auditor in our network and conduct audits through a continual interaction with PECB MS.
- Well-paid audit mandates.
- Opportunity to conduct audits worldwide.
- Improve audit expertise in the respective certification field.
- Work by applying best auditing practices.



#### Requirements

		Trainee MS Auditor	Interim MS Auditor	MS Auditor	MS Lead Auditor
1	Education	Secondary Level	Secondary Level	Secondary Level	Secondary Level
2	Training/Certification	Lead Auditor Training	Lead Auditor Certificate	Lead Auditor Certificate	Lead Auditor Certificate
3	Work Experience	_	5 years of professional experience; 2 years relevant work experience	5 years of professional experience; 2 years relevant work experience	5 years of professional experience; 2 years relevant work experience
4	Audit Experience	_	4 complete audits > Minimum 20 days > 8 days on-site	4 complete audits > Minimum 20 days > 8 days on-site	4 complete audits > Minimum 20 days > 8 days on-site > 3 audits as Lead Auditor
5	PECB MS Auditor Documentation Package	~	~	~	~
6	Interview by PECB MS	_	~	~	~
7	Certified Management Systems Auditor (CMSA) Training Course	_	_	1	~
8	Witness Audit	-	-	~	~

#### Credentials

Trainee MS Auditor	Interim MS Auditor	MS Auditor	MS Lead Auditor
> Be part of an audit team	<ul> <li>&gt; Be part of an audit team</li> <li>&gt; Conduct audits as sole auditor</li> </ul>	<ul> <li>&gt; Be part of an audit team</li> <li>&gt; Conduct audits as sole auditor</li> <li>&gt; Priority when selecting auditors</li> </ul>	<ul> <li>&gt; Lead audit teams</li> <li>&gt; Conduct audits as sole auditor</li> <li>&gt; Priority when selecting auditors</li> </ul>

### How it works?



## 1

#### **Initiate the Application Process**

The initial requirements that have to be fulfilled by an applicant in order to initiate the evaluation process are listed below:

- > Education: Have at least secondary level education.
- > Training/Certification1: Have a valid2 Lead Auditor Certificate obtained from an internationally recognized certification body along with the Attendance Record Certificate of the respective training course.
- > Work experience<sup>3</sup>: Have at least five years of professional work experience; two of which should be relevant experience in the implementation, operation, and/or auditing of management systems, involving the exercise of judgment.
- > Audit experience<sup>3</sup>: Have performed at least four complete audits, the total duration of which should be a minimum of twenty days. For Lead Auditor, at least three of these audits should have been performed as a team leader.
- Personal behavior and competence: Be able to reflect the best personal behavior necessary to carry out effective audits in accordance with clause 7.2.2 of ISO 19011:2018 and Annex D of ISO/IEC 17021-1:2015, as well as be able to demonstrate that they have the needed competence and knowledge to carry out an effective audit in line with the clause 7.2.3.2 of ISO 19011:2018.





<sup>1</sup>Each applicant also needs to have relevant audit experience in the respective standard (e.g. to be approved as PECB MS Auditor for ISO 9001, an applicant needs to have an ISO 9001 Lead Auditor Certificate, as well as experience in ISO 9001 audits).

<sup>2</sup>Valid is considered the certificate which corresponds to the actual version of the respective standard.

<sup>3</sup>For Trainee MS Auditors no work and audit experience is required.







#### **PECB MS Auditor Documentation Package**

If the applicant has met the initial requirements, the following forms need to be completed:

- > Auditor Application Form
- > Auditor Competence Form
- > Audit Log Form
- > Auditor Agreement Form

Audit background and experience will be evaluated based on specific certification requirements and PECB MS competence criteria.

The applicant should hold a Lead Auditor Certificate in the respective field and be able to demonstrate their years of professional experience and number of audits conducted. All of the documents need to be filled out in details with the correct information in order for PECB MS to have a complete file of the applicant.



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#### **Online Interview**

In case of a positive evaluation and fulfillment of the previously described requirements, the applicant will be invited to an interview with the Auditor Program Coordinator.

Such interviews are held online and intend to further elaborate on the information provided in the applicant's CV and professional experience. The interview consists of questions that include areas of planning the audit, reporting nonconformities, handling a difficult situation, previous experience, etc.

After the successful completion of the interview, the applicant will get approved as an "Interim MS Auditor". At the same time, they will be informed in more details about the remaining steps/requirements that need to be completed in order to get the "Fully Approved MS Auditor" status.



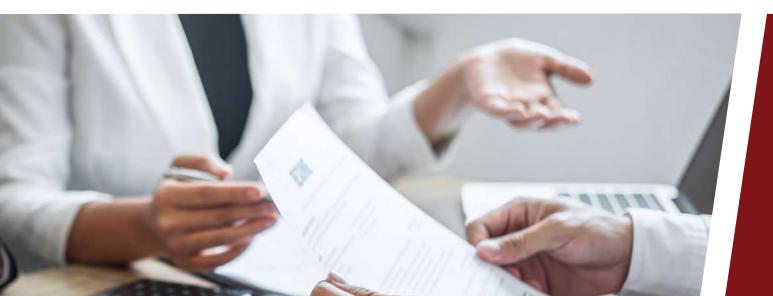




The interim period will be valid for one year. Within this time frame, the Interim MS Auditors will need to:

- > Complete the PECB Certified Management Systems Auditor (CMSA) Training Course.
- > Be witnessed (observed) while conducting an audit on behalf of PECB MS.

If the additional requirements are not fulfilled within the agreed time frame, the PECB MS Interim Auditor credential will be canceled and the auditor will need to complete all the requirements all over again to become Fully Approved MS Auditor and be able to conduct audits on behalf of PECB MS.





#### **PECB Certified Management Systems Auditor Training Course (CMSA)**

The PECB Certified Management Systems Auditor Training Course (CMSA) is a specific training course which intends the preparation of PECB MS Certified Auditors and in advancement of their audit techniques and skills in line with the PECB MS audit processes and procedures.

This training is exclusively lectured by experienced PECB MS Auditors and is organized several times during the year in different parts of the world. Additionally, during this training course role play audits will be conducted as part of the practical part of the training.

Taking the Certified Management Systems Auditor Course and passing the respective exam is mandatory, and this has to be completed within one year from the interim approval date.

The PECB MS Auditor will be kept updated on the schedule of the CMSA Training Course around the world, so they will have an opportunity to finish the required step on time.

There will be two options to complete this step:

- > Normal track: Attend the entire CMSA Training Course
- Coming soon Fast track: Experienced auditors (10+ years of experience in third-party audits) will attend only the last day of the CMSA training course. This will be done online through a webinar at the end of which the auditors will have to complete the CMSA exam.

However, to be eligible for this, auditors need to provide evidences to PECB MS either:

- > Of being approved by another accredited certification body, or
- > 3 audit reports (all information will be treated with utmost confidentiality).

#### Witness Audit

After being approved as an "Interim MS Auditor", the auditor will be subject to a witness audit – being observed while conducting an audit on behalf of PECB MS.

The purpose of the witness audit is to validate and gain confidence in the knowledge and skills of the auditor. The observation will be done either on-site or remotely and will be conducted by someone who is assigned by PECB MS.



The MS Auditor will be Fully Approved after completing all the necessary requirements, including: Documentation and Professional Experience, the Interview, Certified Management Systems Training Course (CMSA), and the Witness Audit. Hereby, the MS auditor will be provided with one of the following credentials, depending on their experience and fulfillment of requirements:

- a. PECB MS Certified Auditor can perform audits as a team member or as a sole auditor.
- b. PECB MS Certified Lead Auditor can perform audits as a Lead Auditor by managing an audit team.

PECB MS Auditor Certificate is valid for three years. In this period MS auditors will be evaluated on a continuous basis through different evaluation methods, such as: observation, review of records, feedback from audit clients, post-audit reviews, etc.



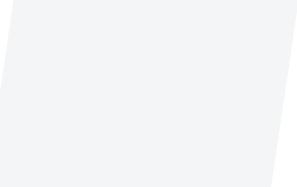


#### Recertification

In order to get recertified, the PECB MS Certified Auditor should have performed a minimum of three complete audits with at least 10 audit days during the last three years. For PECB MS Certified Lead Auditors, one of the audits should be in the capacity of a Lead Auditor – leading a team of at least one other auditor.

The recertification process can be performed within the six months period, prior/after the expiry date of the PECB MS Auditor Certificate. If the recertification has not been performed within this period, the MS Auditor may be required to pass an additional observation.











#### Become part of a global network by joining **PECB MS Auditors Network** For more information, contact us at:

#### auditor@pecb-ms.com

