Performance Reporting

Data for a Competitive Edge.
Data for a Complete Picture.
Data That Tells the Whole Story.

BNY MELLON | ALBRIDGE

THE STRENGTH OF ALBRIDGE IS IN THE NUMBERS[1]

- **$4.4 trillion** in assets on our platform
- **51 million** accounts
- **68,000** advisors
- **1.2 million** active securities
- **9.3 terabytes** of data received each month[2]
- **8 million** investor reports executed each month[2]

Cerulli Associates found that 21% of U.S. households with at least $5 million in investible assets work with multiple financial advisors, and 7% of all households have plans to switch their primary financial advice provider.[3]

The Industry's Best Performance Reporting

Scalable and reliable wealth reporting solutions

Seeing a holistic view of investor assets has always been an important strategy. The ability to accurately and clearly illustrate performance and progress towards a goal for any point in time helps the best advisors collaborate with clients more effectively.

Through Wealth Reporting and Insights, BNY Mellon | Albridge delivers an accurate, single view of your client's assets, even those "held away," to help you illustrate a complete financial picture and guide better decision making. Our industry certified, award-winning, comprehensive web-based solution is used by financial professionals at some of the most widely-respected broker-dealers and registered investment advisors in the industry.

Benefits

- **Anytime, Anywhere Access.** A one-size-fits-all approach may not meet the complex requirements of today's multifaceted financial services providers. Albridge offers access to investor performance reporting on-demand, for any point in time, both customizable and configurable. Client meetings can take place at their convenience, with the benefit of access to comprehensive reporting on any device to provide real-time answers.
- **Personalized Rate-of-Return Calculations.** You can select from three rate-of-return calculations: Daily Time-Weighted Return (DTWR), Internal Rate of Return (IRR) and Modified Dietz..
- **Configurable Reporting.** Albridge offers your firm and your advisors flexibility with asset classification, benchmarking and report package content. Reports can be private labeled to align with your firm's brand and configured to display allocation, holdings, benchmark comparisons and more.
- **Flexible Delivery.** Flexible data delivery services allow you to consume data via flat batch files, real-time Web service calls or by single sign-on or a framed-in version of Wealth Reporting and Insights. This is the flexibility that gives you the ability to review the information you need, and use the data in a variety of ways.

[1] December 31, 2018

[2] 12-month average for 2018

[3] *The Cerulli Report*, U.S. Retail Advice Relationships 2019

Client Performance Reporting

Painting a complete picture

For Your Investors

Accurate performance reporting is an integral part of the wealth management business. Quite frankly, your clients expect it. Our proven dedication to data timeliness, data accuracy and an expanded data footprint makes us an industry leader. Albridge delivers on-demand reporting that covers performance, asset allocation, holdings analysis, transactions, benchmarking and more.

- Comprehensive portfolio risk and return data
- Investor-level historical performance by portfolio, account, asset class and security level
- Asset allocation model portfolio guidelines
- Standard or customized benchmark comparisons
- Choice of rate-of-return methods: DTWR, IRR and Modified Dietz
- Configurable report settings
- Transparency into portfolio composition, positions, cash flows and returns
- Ability to choose report packages and automate reports through batch processes
- Integration of firm branding and disclosures on reports with Albridge and Netx360® integration

For Your Firm

Albridge Quarterly Performance Reporting, our enterprise solution, provides access to a comprehensive performance reporting package—meeting your firm's needs for automated quarterly processing, reporting content and calculation methods. The value-added service enables your firm to generate reports based on a defined list of accounts or portfolios to meet advisor and client needs.

- Flexible report-packages
- Performance reports by portfolio, account, asset class and security level
- Customized cover pages and market commentary
- Seamless, automated process for delivering reports to your firm

INDUSTRY-CERTIFIED.[1]
AWARD-WINNING.
ADVISOR-PREFERRED.

WEALTH REPORTING AND INSIGHTS was designated a Certified Software by The Spaulding Group in January 2020

"Outstanding Achievement in Performance Reporting"
wealthmanagement.com, 2015

"Must-have Solution"
InvestmentNews
Technology Usage and Satisfaction Survey, 2012-2014

Albridge offers unmatched solutions for measuring and reporting performance at the individual holding, asset class, account, investor and consolidated portfolio levels.

[1] Certification by The Spaulding Group provides independent and authoritative credibility for financial industry performance and risk software. It is conveyed by a panel of independent industry specialists based on eight different criteria.

Albridge continues to innovate and improve Wealth Reporting and Insights based on advisor feedback. Our advisor-focused user interface offers easy navigation, tile-based dashboards and quick-click access to important client information—with the ability to share key reports and charts with them, on any device.

Wealth Reporting & Insights

Built with one goal in mind—increasing an advisor's productivity

Take advantage of our web-based, scalable and reliable portfolio accounting and performance reporting solution. You can benefit from efficient workflow processes, exponentially higher client satisfaction and increased business productivity. Wealth Reporting and Insights enables advisors to:

› Access consolidated client account data from hundreds of diverse sources such as proprietary feeds, banking, brokerage, insurance, retirement, managed accounts, alternatives and trusts.

› Automate data entry to significantly reduce the amount of time spent preparing for client review meetings.

› Quickly produce and distribute consolidated client-ready reports that cover performance, asset allocation, holdings, transactions, benchmarking, cost basis and more.

› Examine an existing client base to cross-sell and up-sell additional products and services.

› Integrate client account data into applications they use every day.

Advantages

Advisors continue to rely on Albridge for a number of reasons:

› **Productivity.** Spend less time manually entering data and preparing for client reviews, and spend additional time maximizing client service, marketing and referral-gathering.

› **Flexibility.** Albridge offers a range of standard reporting formats. Batch reports can be generated for multiple clients and customized with your firm's logo and legal disclosures.

› **Interoperability.** Wealth Reporting and Insights is compatible with and can be linked to leading third-party software, including—among others—financial planning and client relationship management applications.

› **Accountability.** To support annual business planning and goal-setting activities, supervisors and advisors can view a book of business in total or by client segments, products or other metrics.

› **Access.** Albridge retains historical client and transactional data from the start of service, which allows point-to-point, detailed performance reporting on all data ever loaded.

› **Support.** Albridge team members provide support through several avenues—such as online resources, chat or phone. On-demand training sessions featuring topics for beginning and advanced users are available for continued learning.

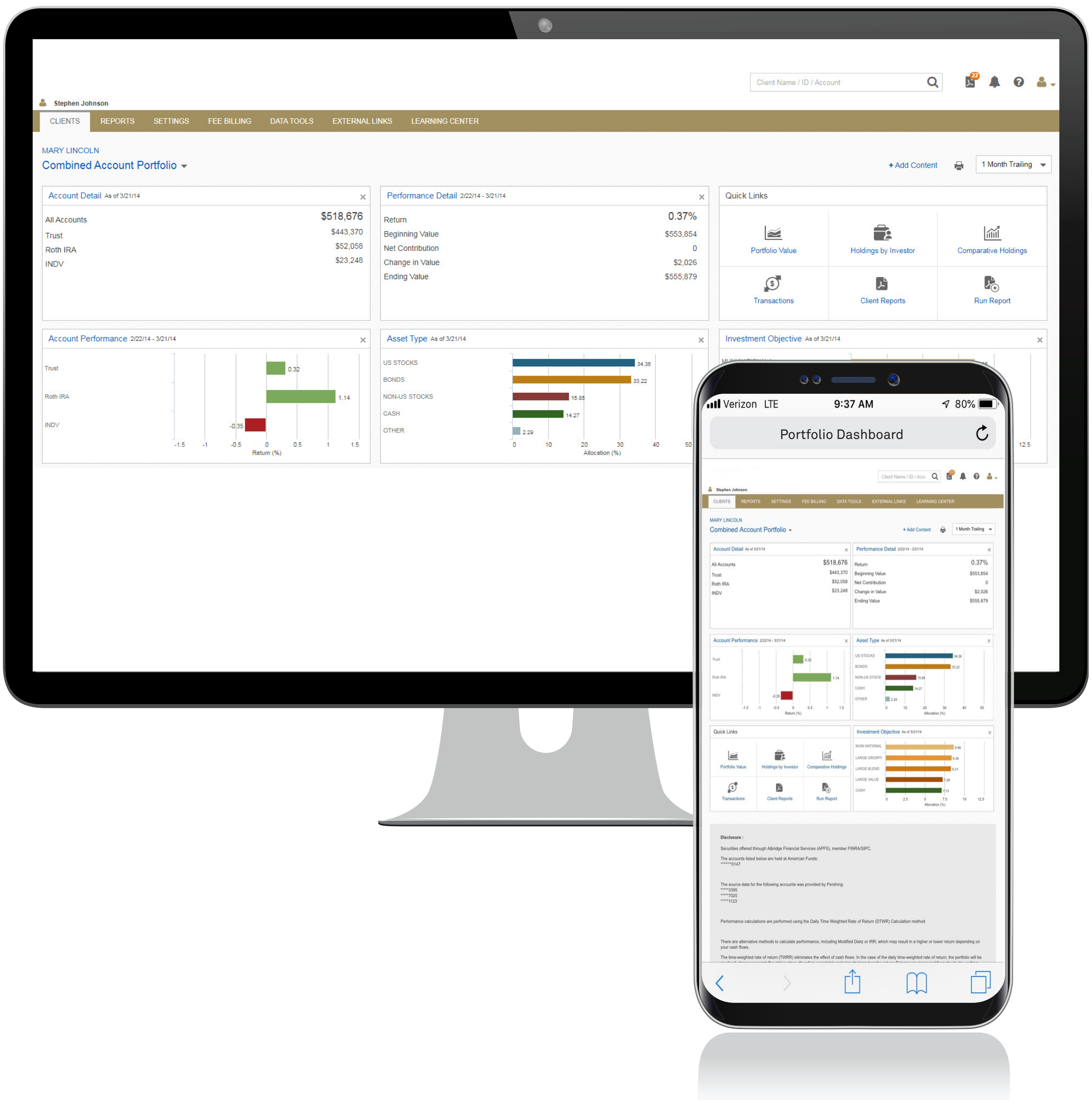
› **Mobility.** Full availability 24/7, regardless of your meeting location or if you are on your desktop, tablet or smartphone.

Portfolio Dashboard

A complete, concise view that guides advisors to successful client meetings

The portfolio dashboard is often the starting point for advisors as they conduct everything from a quick client review or a full investment analysis. The interactive tiles are responsively designed to recognize the device being used and automatically provides the most optimum view.

Clickable summary tiles can show more detailed information, such as holdings and transactions within those holdings. Charts and data tables can be mixed and matched to highlight, add or remove data points from the display. The dashboard can be filtered based on the client portfolio and the time period chosen by the advisor.



Popular Report Samples

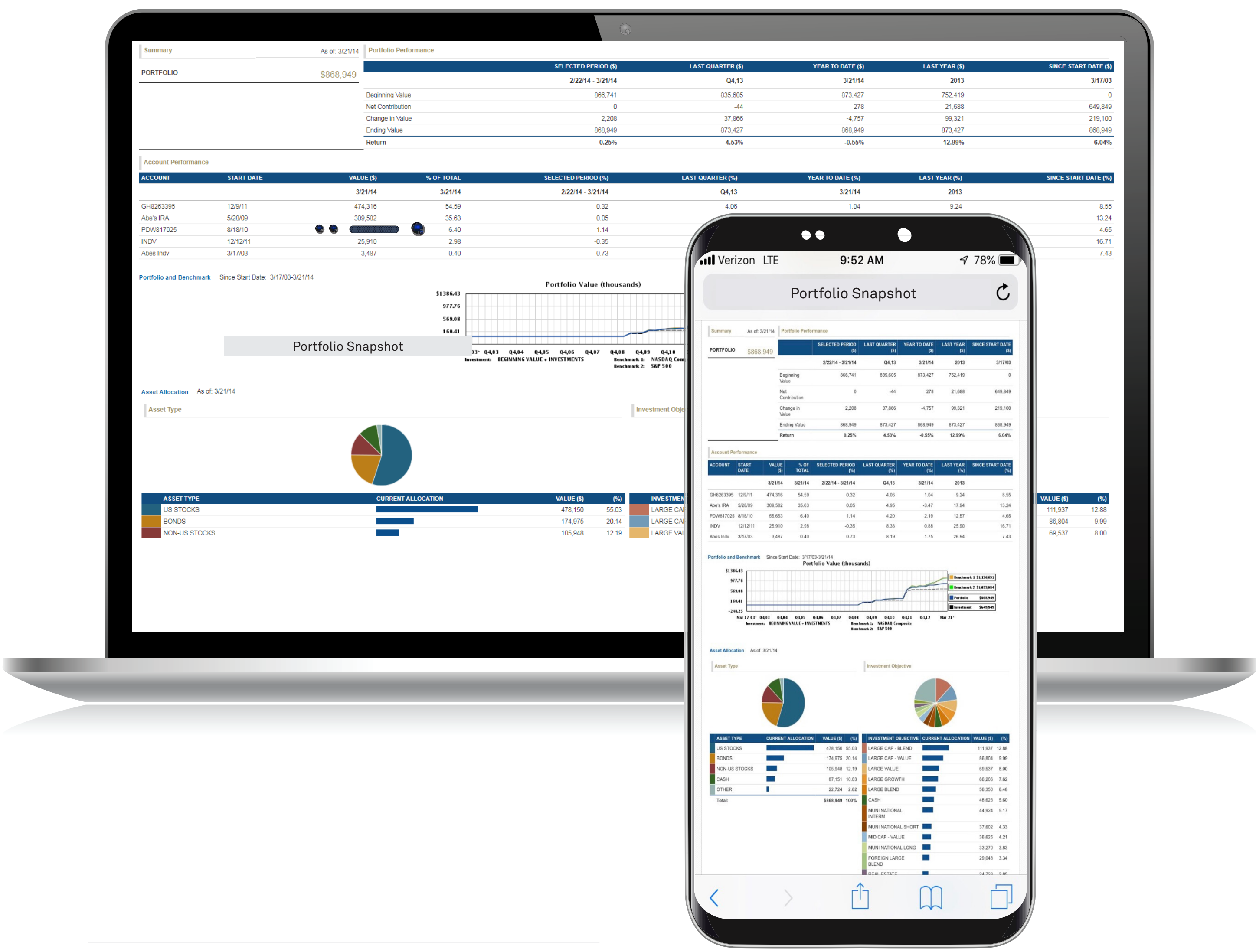
Albridge's client reports provide an accurate, single view of client portfolio information. These versatile reports display information for individual clients or for user-defined portfolios, which are households that can represent any combination of clients or accounts.

Client reports consist of holdings, transaction and performance reports, and are generated for either a specific time period or date. They are available in two formats so you can have critical information available to share portfolio performance and create holistic financial plans for your investors quickly and easily:

- A web-based on-screen display
- An easy-to-print and present PDF document

The Portfolio Snapshot

One of the most inclusive one-page reports available in Wealth Reporting and Insights. It represents a combination of the most frequently used reports, displaying asset allocation, benchmark comparison and multiple time periods of performance at the account and portfolio levels.



Portfolio Monitor Report

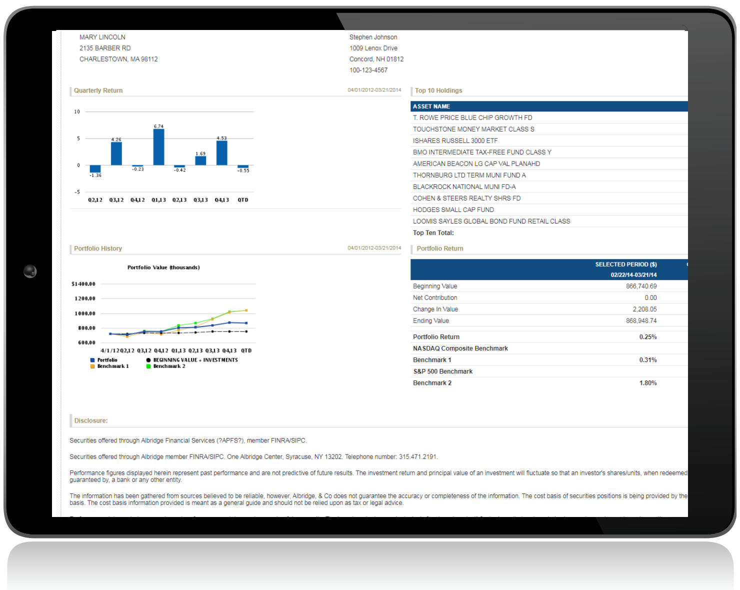
A comprehensive report that provides a snapshot of a client's portfolio which displays:

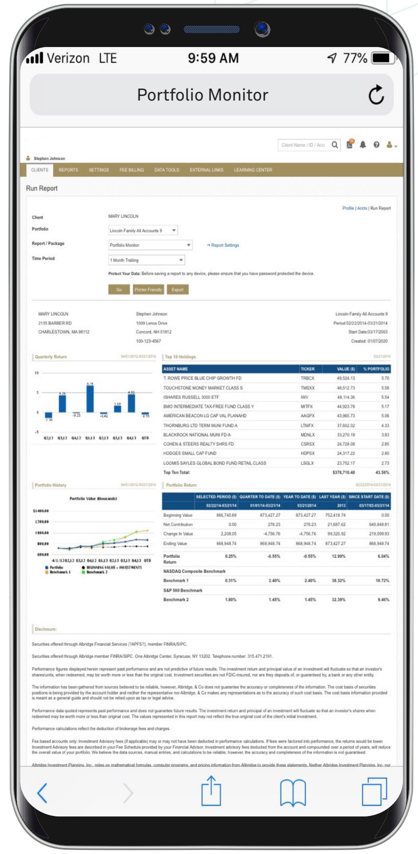
› Top 10 holdings

› Visual representations of the portfolio against a benchmark

› A comparison of portfolio returns for selected time period

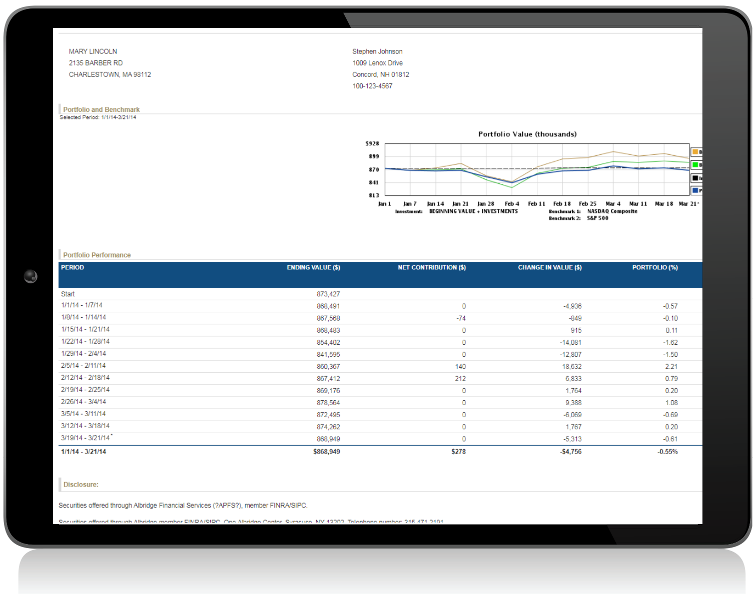
› Default time periods for the portfolio

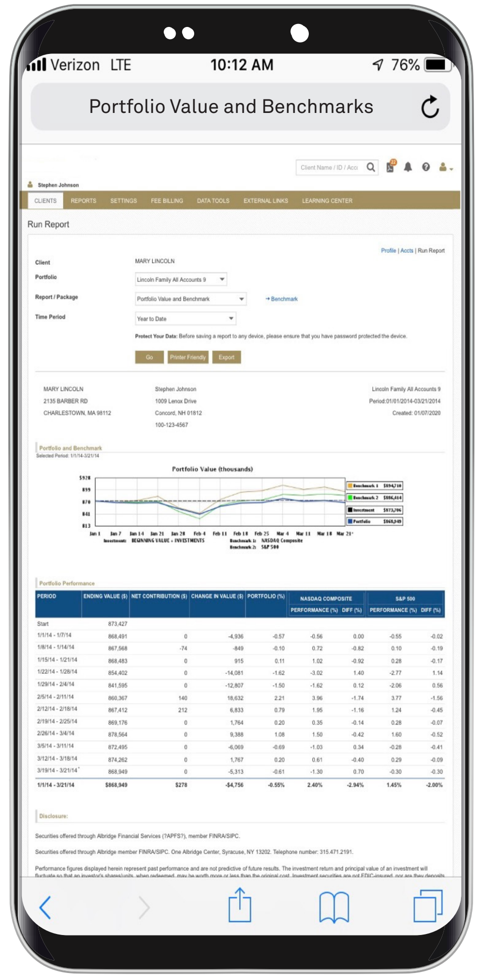




Portfolio Value and Benchmarks

Tracks performance of a portfolio over time against an industry or composite index.

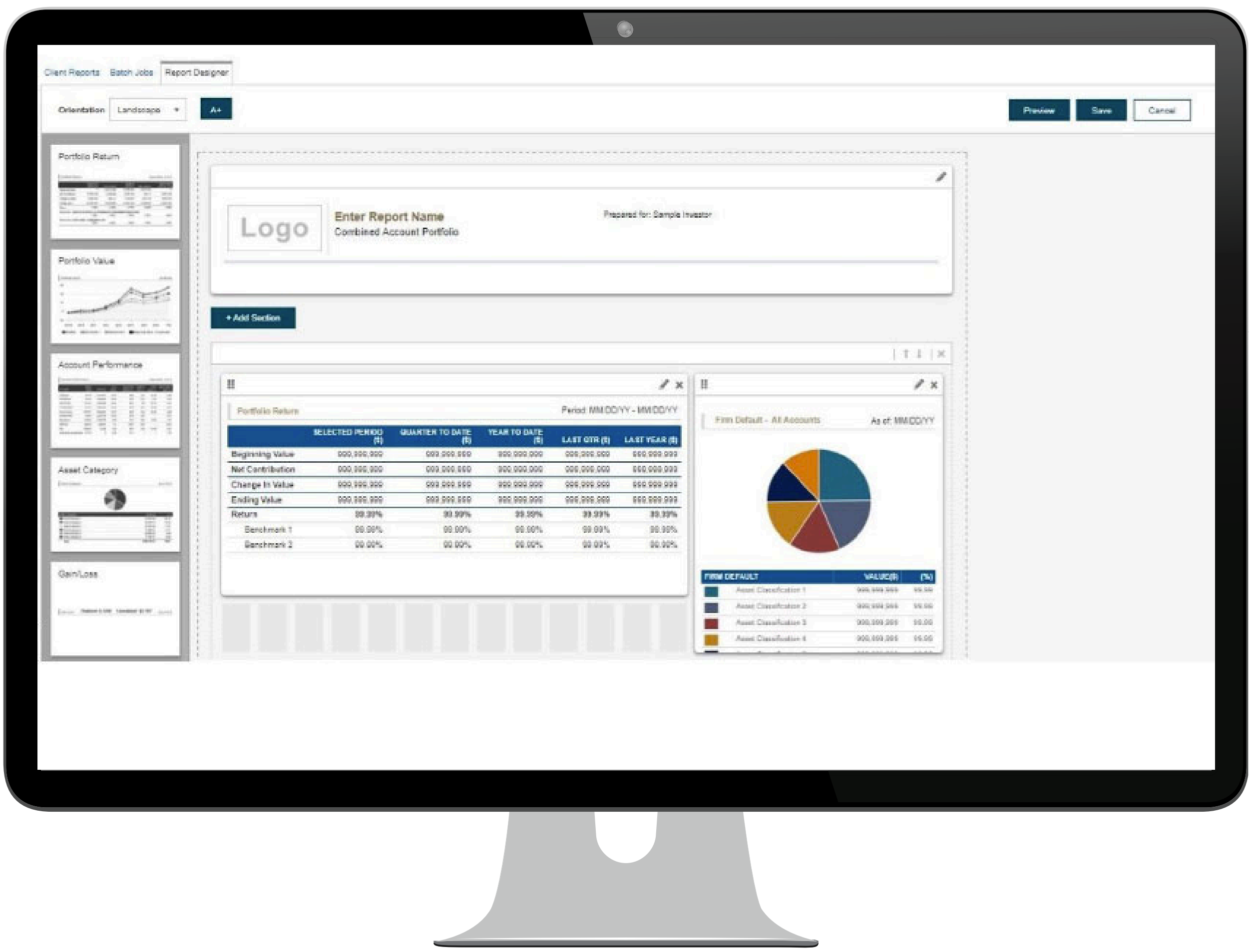




Report Designer

Efficiently build and design reports tailored to the needs of your clients

Wealth Reporting and Insights report designer functionality offers advisors a number of tools to efficiently build impactful and personalized reports tailored to their clients. Along with our extensive catalog of pre-defined reports, advisors can create and design new reports from scratch using data elements from the library, or modify an existing report template by simply editing individual sections. An easy-to-use design interface guides advisors through the process and provides the ability to select between various chart types and font sizes, edit columns, control the sort order of the report's content, and preview the design to make sure it's presented the way they want.



Albridge

Manage Your Business, Not Your Data

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albridge.com

ABOUT BNY MELLON | ALBRIDGE

BNY Mellon | Albridge is a leading provider of enterprise data management solutions that deliver a single view of an investor's broad range of assets. Its proprietary technology consolidates and reconciles client account and transaction data from hundreds of data sources representing banking, brokerage, insurance, retirement, managed accounts and more.

Albridge provides the foundation for financial organizations to leverage a single source of information to power a number of mission-critical technology applications. Albridge Solutions, Inc. is an affiliate of Pershing LLC, a BNY Mellon company. Additional information is available on albridge.com.

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