ROCHESTER INSTITUTE OF TECHNOLOGY MICROELECTRONIC ENGINEERING

# **Plasma Etching**

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### WET ETCHING CHARACTERISTICS

#### Advantages:

Simple equipment High throughput (batch process) High selectivity

**Disadvantages:** 

Isotropic etching leads to undercutting

Uses relatively large quantities of etch chemicals, must immerse wafer boats, must discard partially used etch to maintain etch rate

Hot chemicals create photoresist adhesion problems Small geometries difficult, etch block caused by surface tension Critical Etch time, dimensions change with etch time, bias develops Chemical costs are high Disposal costs are high

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# THE NEED FOR PLASMA ETCHING

Advanced IC Fabrication with small geometries requires precise pattern transfer

Sub Micrometer Geometry is common

Line widths is often comparable to film thickness

Some applications require high aspect ratio

Some materials wet etch with difficulty

High aspect ratio, anisotropic etch; only possible through plasma etch

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# PLASMA ETCHING CHARACTERISTICS

#### Advantages:

No photoresist adhesion problems

Anisotropic etch profile is possible

Chemical consumption is small

**Disposal of reaction products less costly** 

Suitable for automation, single wafer, cassette to cassette

#### **Disadvantages:**

Complex equipment, RF, gas metering, vacuum, instrumentation

Selectivity can be poor

**Residues left on wafer, polymers, heavy metals** 

**Particulate formation** 

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Stringers, profile effects



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# THE PLASMA STATE

<u>Plasma</u> - A partially ionized gas with equal numbers of positive and negative particles. Overall the plasma remains electrically neutral.

<u>Glow Discharge</u> - A non-ideal plasma. Some regions are positively charged, others are negative. A wide variety of particles exist in the discharge in addition to ions and electrons, including for example, radicals, excited species, and various fractured gas molecules created by collisions between electronics and gas molecules or atoms. Overall, the discharge system must remain electrically neutral even though some portions of it are not. (Glow Discharge and Plasma are terms that are used interchangeably in dry etching)

<u>Collisions</u> – Ions and electrons are accelerated by the electric field, and collide with other gas particles and bombard all surfaces.



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## THE PLASMA STATE

<u>Ion, (Positive)</u> - A positively charged particle - a gas molecule or atom with and electron removed.

<u>Radical -</u> A neutral gas particle (atom or molecule) that exists in a state of incomplete chemical bonding and is therefore chemically reactive. It is formed by the fracturing of a gas molecule by a high energy electron collision. Example:  $O2 + e^{--} > 2O$ 



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### **PLASMA COMPOSITION**

A typical plasma contains:

Neutral Molecules at a density of Radicals Electrons Positive ions 10e16/cm3 10e14/cm3 10e8/cm3 10e8/cm3

There are a million times more radicals than ions or electrons. Radicals form more easily and their lifetime is much longer.

Ions don't etch, radicals do. Ions affect the process by energetic (physical) bombarding of the surface, influencing the chemical processes of etching.

Radicals are responsible for the dry etching process. They are chemically active and react with the surfaces to produce volatile products.









## SILICON DIOXIDE ETCHING MECHANISM

C3 and F radicals adsorb. C bonds with oxygen at the surface F bonds with Si. By-products are CO, CO2, COF2, SiF4. The addition of H2 removes F from the system by forming stable HF gas. Addition of H2 therefore decreases the effective F/C ratio and increases selectivity of SiO2 with respect to silicon. As H2 is increased, it begins to consume fluorine H + F = HF This slows the formation of SiF4 and slows the removal of Silicon. Polymerization will be promoted on all surfaces, which tends to inhibit etching. On horizontal surfaces however, ionic bombardment provides enough energy to cause the carbon/hydrogen to combine with surface oxygen. Released CO and H2O expose the surface silicon will not be etched because of the absence of oxygen at the surface.



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#### DRYTEK QUAD ETCH RECIPE FOR CC AND VIA

Recipe Name:		FACCU	JT
Chamber		3	
Power		200W	
Pressure		100 mT	Torr
Gas 1	CHF3	50 sccr	n
Gas 2	CF4	10 sccr	n
Gas 3	Ar	100 sco	cm
Gas 4	O2	0 sccm	
(0	could be a	changed	to N2)
TEOC Etab Data		404	Å /main
IEUS Etch Rate		494	A/min
Annealed TEOS		450	Ă/min

Annealed TEOS450A/minPhotoresist Etch Rate:117Å/minThermal Oxide Etch Rate:441Å/minSilicon Etch Rate82Å/minTiSi2 Etch Rate1Å/min

Rochester Institute of Technology Microelectronic Engineering US Patent 5935877 - Etch process for forming contacts over titanium silicide



# **CONTACT CUT ETCH RECIPE**

Theory: The CHF3 and CF4 provide the F radicals that do the etching of the silicon dioxide, SiO2. The high voltage RF power creates a plasma and the gasses in the chamber are broken into radicals and ions. The F radical combines with Si to make SiF4 which is volatile and is removed by pumping. The O2 in the oxide is released and also removed by pumping. The C and H can be removed as CO, CO2, H2 or other volatile combinations. The C and H can also form hydrocarbon polymers that can coat the chamber and wafer surfaces. The Ar can be ionized in the plasma and at low pressures can be accelerated toward the wafer surface without many collisions giving some vertical ion bombardment on the horizontal surfaces. If everything is correct (wafer temperature, pressure, amounts of polymer formed, energy of Ar bombardment, etc.) the SiO2 should be etched, polymer should be formed on the horizontal and vertical surfaces but the Ar bombardment on the horizontal surfaces should remove the polymer there. The O2 (O radicals) released also help remove polymer. Once the SiÖ2 is etched and the underlying Si is reached there is less O2 around and the removal of polymer on the horizontal surfaces is not adequate thus the removal rate of the Si is reduced. The etch rate of SiO2 should be 4 or 5 times the etch rate of the underlying Si. The chamber should be cleaned in an O2 plasma after each wafer is etched.

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US Patent 5935877 - Etch process for forming contacts over Titanium Silicide



#### SEM OF 6µm LINES / 2X2µm VIAS







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#### PLASMA ETCHING OF VARIOUS MATERIALS

Material	Kind of Gas Plasma	Remark
Si	CF4, CF4 + O2, CCl2F2, SF6	
poly-Si	CF4, CF4 + O2, CF4 + N2, SF6	doped or undoped
SiO2	CF4, CF4 + O2, HF* ,SF6	*selective
	CCl2F2, C3F8**, C2F6 + H2**	
Si3N4	CF4, CF4 + O2, SF6	
Mo	CF4, CF4 + O2	
$\mathbf{W}$	CF4, CF4 + O2	
Au	C2Cl2F4	
Pt	CF4 + O2, C2Cl2F4 + O2, C2Cl3F3 + O2	
Ti	CF4	
Ta	CF4	
Cr	Cl2, CCl4, CCl4 + Air	evaporate or sputter
Cr2O3	Cl2 + Ar, CCl4 + Ar	oxidation method
Al	CCl4, CCl4 + Ar, BCl3	
Al2O3	CCl4, CCl4 + Ar, BCl3	
GaAs	CCl2F2	
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	© April 17, 2013 Dr. Lynn Fuller	Page 27

### ION ASSISTED ANISOTROPIC ETCHING

Two mechanisms are proposed to explain the phenomenon of ion assisted anisotropy. Anisotropic etching is believed to result from a combination of physical and chemical removal processes. The ratio of vertical etch rate to horizontal etch rate may be increased either by reducing the horizontal rate or by increasing the vertical rate.

#### ION INDUCED DAMAGE MECHANISM:

In this model, bombarding ions have sufficient energy to break crystal bonds, making the film more accessible and the surface more reactive to the active chemical etchants. At the sidewalls, where there is essentially no ion bombardment, the etching process proceeds at the nominal chemical etch rates.

#### SURFACE INHIBITOR MECHANISM:

In some etch chemistries, the surface exposed to the plasma is likely to become coated with a chemisorbed film of etchant radicals and unsaturated species, which polymerize and adhere tenaciously to the material being etched. The resulting polymer coating inhibits the chemical reactions necessary to etch. Ion bombardment can cause the polymers to desorb, exposing horizontal surfaces to the etching gas. Vertical surfaces experience little or no bombardment, therefore etching in the horizontal direction can be completely blocked.



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### **POLYMER FORMATION**

It is known that flourocarbon gases such as CHF3, CF4, C3F8 etc. product unsaturated compounds in the plasma, leading to polymer formation and deposition on the wafer surface and electrodes. Polymer formation and the boundary between polymerization and etching conditions depend upon the fluorine to carbon (F/C) ratio. Addition of oxygen to the plasma chemistry increases F/C ratio and reduces polymer formation. The addition of oxygen, unfortunately also increases the removal rate of photoresists. Energetic ion bombardment will shift the polymerization-etching boundary to lower F/C ratios.

#### PROBLEMS WITH POLYMERS:

Deposits cam form on all surfaces of the chamber, affecting reproducibility of the etch process. Polymers are a source of particulate contamination.

Cleaning of chambers must be performed regularly in order to prevent build up. This represents reduced up-time.

#### ADVANTAGES OF POLYMERS:

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Properly controlled polymer deposition can allow anisotropic etching with otherwise purely chemical isotropic etch chemistries.

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# **BOSCH ICP (PLASMA THERM)**

The Bosch process uses two chemistries, one to generate polymers and the other to etch silicon. The etch machine switches between the two every few seconds to ensure that the sidewalls are covered

with polymer allowing fast, deep trench etching. (the substrate is on a chuck that is cooled by liquid nitrogen.



SURFACE TECHNOLOGY SYSTEMS

5µm spaces
200µm etch depth
40:1 aspect ratio
2µm/min Si etch rate
>75:1 selectivity to photoresist

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### STS ETCH SYSTEM AT RIT



SF6 and C4F8 1 to 10 um/min, Oxide, Nitride or Photoresist masks.

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# STS ETCH SYSTEMAT RIT

13 sec etch in SF6 at 130 sccm plus O2 at 13 sccm7 sec polymer deposition in C4F8 at 80 sccm

600 watts RF power45 mTorr Pressure during etch100 V wafer bias during etch

3 um/min etch rate



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# **ALUMINUM ETCHING**

#### **PRECONDITIONING OF ETCH CHAMBER -**

**BREAKTHROUGH** - This is to remove native aluminum oxide  $(Al_2O_3)$  from the surface of the wafer by reduction in Hydrogen or by Sputtering by bombardment with Argon at high energies or both. Water vapor will scavenge Hydrogen and grow more  $Al_2O_3$  causing non reproducible initiation times.

**ALUMINUM ETCHING** – because AlF3 is not volatile, a Chlorine based etch is needed to etch aluminum.  $BCl_3$ ,  $CCl_4$ ,  $SiCl_4$  and  $Cl_2$  are all either carcinogenic or highly toxic. As a result the pump oils, machine surfaces and any vapors must be treated carefully. AlCl<sub>3</sub> will deposit on chamber walls. AlCl<sub>3</sub> is hygroscopic and absorbs moisture that desorbed once a plasma is created causing  $Al_2O_3$  breakthrough problems.

**ALLOYS** - Aluminum often has a few percent of Silicon or Copper. Silicon is removed by the Chlorine, Copper is not and requires a special process.

**PUMPS** - BCl<sub>3</sub> form nonvolatile residue upon contact with oxygen or water and causes filters and exhaust ducts to clog readily.

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# LAM 4600 ALUMINUM ETCHER

Plasma Chemistry

Cl2 – Reduces Pure Aluminum BCl3 – Etches native Aluminum Oxide -Increases Physical Sputtering N2 – Dilute and Carrier for the chemistry Chloroform – Helps Anisotropy and reduces Photoresist damage



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### LAM4600 ANISOTROPIC ALUMINUM ETCH

Step	1	2	3	4	5
Pressure	100	100	100	100	0
RF Top (W)	0	0	0	0	0
<b>RF Bottom</b>	0	250	125	125	0
Gap (cm)	3	3	3	3	5.3
N2	13	13	20	25	25
BCI	50	50	25	25	0
CI2	10	10	30	23	0
Ar	0	0	0	0	0
CFORM	8	8	8	8	8
Complete	Stabl	Time	Endpoint	Oetch	Time
Time (s)	15	8	180	10%	15

ChannelBDelay130Normalize10 sNorm Val5670Trigger105%Slope+

Fuller, December 2009

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# **RESULTS FROM NEW ALUMINUM PLASMA ETCH**



Photoresist on Metal Two



#### **Photoresist Removed**



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# **COPPER ETCHING**

- 1. Copper does not form any volatile compounds with known plasma etch gases, and therefore cannot be RIE etched.
- 2. Copper can be sputter etched, but this technique has no selectivity.
- 3. Contamination of the fab with copper is a serious concern.

The Damascene process has become an attractive enabling method for patterning copper by CMP.



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### PLASMA STRIPPING

**Goal:** Complete removal of resist without damage **Problems:** Conventional ashing may not work because of: Resist Hardening in High Dose Implants and in Syllation Residues and polymers from plasma processes Metals remain behind from resist **More Aggressive Plasma Processes can Damage Devices** Ion Bombardment in RIE and even in barrel etchers Oxide charging UV and soft x-ray **Solutions:** Enhance plasma density, microwave, magnetic Temperature control in downstream etchers

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#### **PICTURES OF RESIST RESIDUE PROBLEMS**

Pictures on left show resist residue after ashing. Pictures on right show effectiveness of ACT 935 solvent strip process.





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### **PARTICLE GENERATION AND CONTROL**

#### **Sources of Particles**

Polymer deposits on chamber
Gas mixture itself
Substrate, Film type
Long etch duration
Low flow rates
High Pressures

Particle Reduction Techniques
Lower pressure, increased flow
Add noble gases, e.g. Argon
Equipment design, wafers face down

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#### **PATTERN FACTOR DEPENDANT ETCHING**

THE TYPICAL PATTERN HAS:

A range of opening sizes A range of aspect ratios(largest aspect ratios are in the smallest features)

**OBSERVATIONS:** 

Non-uniform etch depth for different opening sizes.

Large overetches required to achieve complete etching of small features.

High selectivity processes are required to prevent etching

into the underlying layer.

These observations are called <u>PATTERN FACTOR DEPENDING</u> <u>ETCHING</u> or <u>"RIE LAG"</u> and are becoming more important as submicron geometries are utilized.

POSSIBLE CAUSES:

Slower transport of reactive species to the bottom and removal of products. Polymerization effects.

Angular distribution of ion flux.

(is believed to be the prime reason for RIE lag).

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# PLASMA ETCH EQUIPMENT

The major types of dry etching equipment are Barrel, Ion Beam Milling, Reactive Ion Beam, Plasma (High Pressure) and Reactive Ion (Low Pressure) reactors.

Barrel - in a Barrel reactor, the wafers do not rest on one of the electrodes. Etching often takes place at high pressure. Energetic particles hit the wafer surface at random angles and etching is isotropic.

Planar Plasma Etchers - wafers on one of the electrodes (usually the grounded electrode)



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# RIT PLASMA ETCH TOOL LAM 490

This system has filters at 520 nm and 470 nm for end point detection. In any case the color of the plasma goes from pink/blue to white/blue once the nitride is removed.

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#### **ALUMINUM ETCH USING LAM4600**









# **DOWNSTREAM ETCHER**

Plasma is formed in a cavity which is separated from the etching chamber.

Wafers are shielded from bombardment. Only radicals reach wafers.

Etching is completely chemical and isotropic

High selectivity achievable; Si:SiO2 = 50:1

Plasma may be generated by RF or by microwave, as in a CHEMICAL DRY ETCHER.



# ADVANCED PLASMA SYSTEMS

Submicron features may require unusually low pressures for acceptable etching. Conventional plasma systems etch very slowly because of the low plasma density. Advanced systems utilize various techniques to increase the plasma density at low pressures.

Techniques to increase plasma density:

Magnetic field to confine electrons Microwave excitation of electrons Downstream system to control ion energy

Examples:

Electron Cyclotron Resonance (ECR) Inductively Coupled Plasma (ICP) Magnetically Enhanced RIE (MERIE) Reactive Ion Beam Etching (RIBE)

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Slower transport of reactive species to the bottom and removal of products. Polymerization effects.

Angular distribution of ion flux. (This latter is believed to be the prime reason Rochester Institute of Technology Microelectronic Engineering

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#### <u> Plasma Etching</u>

# **EMISSION SPECTRUM**

The emission of light occurs when electrons, ions or molecules in a high energy state relax to a lower energy state. In a plasma, gas molecules are broken into fragments and excited to high energy states by the applied radio frequency power. These fragments recombine giving off photons equal in energy to the difference between the excited state and the relaxed state called an emission spectrum. In general plasmas are quite complex and the emission spectrum has many spikes and peaks at different wavelengths. Some of these spikes and peaks change as the chemistry of the plasma changes. For example in etching silicon nitride once the etching is complete the amount of nitrogen in the plasma goes to zero and peaks associated with nitrogen disappear. If the nitride is over oxide than once the nitride is gone the amount of oxygen in the plasma will increase and peaks associated with oxygen will appear. Usually several signals are watched at the same time to determine end point in plasma etching.

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# **EXAMPLES OF EMISSION SPECTRA MEASURED AT RIT**

Compare the emission spectra with no wafer to the spectra with a film being etched. Find a peak that represents a byproduct of the etch. Set the spectrometer on one or more of these characteristic peaks and monitor etch completion as these peaks change. For example in O2 plasma etch of photoresist there is a peak at 483.5 nm associated with CO which disappears at the end of the etch.



**O2, 30 sccm, 50 watts, 300 mTorr** 

### **O2 PLASMA STRIP END POINT DETECTION**

Monitor the CO peak at 483.5 nm. During photoresist stripping there are large numbers of CO molecules. At end of Photoresist stripping the number of CO molecules is reduced.



#### POLY ETCH END POINT EXAMPLE

**Emission Spectra End Point** in SF6 + O2 Plasma SF6 + O2No Silicon Wafer 704nm Line in System start end **Emission Spectra** 60 sec 0.0 **During Etching** of Poly in SF6 + O2 Plasma Rochester Institute of Technology Microelectronic Engineering © April 17, 2013 Dr. Lynn Fuller

704nm



#### **ENDPOINT DETECTION IN DRYTEK QUAD**



#### FACCUT RECIPE

CF4

CHF3

02

AR

#### **FACCUT**

**Factory contact cut Etch TEOS SUB-CMOS 150 Factory process** 

FACCCUT				
Gas Sta	abilization Time	30	sec	
Scan D	elay/Auto Zero	00:00	(MM:SS)	

10

50

0

100

sccm

sccm

sccm

sccm

20	%
20	%
20	%
20	%
	20 20 20 20

STEP: 1 GAS STABILIZATION T	PROCES	S: FACO	CUT
SCAN DELAY/AUTO ZER	) (MM:SS)	00:00	
CF4 FLOW (CC) CHF3 FLOW (CC) 02 FLOW (CC) AR FLOW (CC)	10 ERROR 50 ERROR 0 ERROR 100 ERROR	RANGE % RANGE % RANGE % RANGE %	20 20 20 20
PRESSURE (mT) TURBO PUMP (Y/N)	100 ERROR	RANGE %	40
RF POWER (W) RF REFL. ERROR BIAS LOW ERROR	200 ERROR 100 BIAS H	RANGE % +I ERROR	70 1000
NODE TIME PARAMETER Ø DELTA Ø % OVERETCH Ø	AUX. SIGNAL MAX TIME START DELAY ABORT TIME	(Y/N) (MM:SS) (MM:SS) (MM:SS)	N 10:00 00:00 00:00
GAS EVAC. TIME (SECS	3) 30		
THIS STEP IS ALLOWED	IN CHAMBER :		
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Pressure	100	mT
Turbo Pump	N	(Y/N)

RF Power	200	W
RF Refl. Error	100	
Bias Low error	0	

Mode	Time	
Parameter	0	
Delta	0	
% Overetch	0	

30 Gas Evac. Time sec This Step is allowed in Chamber 3.

error range 70 %

40

error range

%

Aux. Signal	Ν	(Y/N)
Max. Time	10:00	(MM:SS)
Start Delay	00:00	(MM:SS)
Abort Time	00:00	(MM:SS)

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![](_page_61_Figure_0.jpeg)

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![](_page_62_Picture_7.jpeg)

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### HOMEWORK – PLASMA PROCESSES

- 1. Draw lines to connect the processes below with the most appropriate description of the plasma mechanism:
  - Sputtering Combination of Physical and Chemical Effects RIE Purely Chemical Process
  - Photoresist Stripping in a Barrel Reactor Purely Physical Process
- 2. Plasma etching has become very important to integrated circuit manufacture because: (circle all correct answers)
  - (a) it has a high selectivity (b) it can etch anisotropically (c) disposal of waste products is easier and less costly than wet etching (d) sub micron features can be etched
- 3. Ion bombardment energy in an RF plasma etcher may be increased by: (circle all correct answers) (a) decreasing pressure (b) increasing gas flow rates (c) placing the wafer to be etched on the large electrode (d) increasing the power
- 4. At a pressure of 0.25 torr, the molecular density of a plasma is about 1E16 molecules per cubic centimeter. What is the typical density of the following species in the plasma? Electrons, Radicals, Ions, Original gas molecules
- 5. Anisotropy in plasma etching is achieved by: (choose all correct answers)
   (a) Increasing ion energy
   (b) Causing a controlled amount of polymer
  - deposition to prevent sidewall etching (c) Introducing a highly reactive gas <u>Rochester Institute of Technology</u> into the etching chamber.

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