

Preparatory Course for Chartered Alternative Investment Analyst (CAIA®) Examination



- › Kaplan Financial and Kaplan Schweser – an unbeatable combination in CAIA® training
- › Schweser study materials – including our world renowned SchweserNotes™
- › Choice of study packages to suit your busy lifestyle
- › The complete provider of professional accounting and financial qualifications
- › Voted #1 Private Education Institution for Professional Certification, Short Courses and Workshops for 4 consecutive years*

*JobsCentral Learning Rankings and Survey 2010/11 - 2013/14

ABOUT KAPLAN FINANCIAL

Part of Kaplan Learning Institute, Kaplan Financial is one of Singapore's leading providers of professional accounting and finance programmes, having trained over 30,000 students to date.

With a focus on training excellence, Kaplan Financial has been accorded a number of honors by our partners, demonstrating our commitment to achieve excellent standards of learning facilities and provide outstanding student support. Widely recognized as an institution with dedicated lecturers and a proven track record of exceptional pass rates, Kaplan Financial will equip you with the skills and confidence you need to shine in the challenging accounting and finance industry.

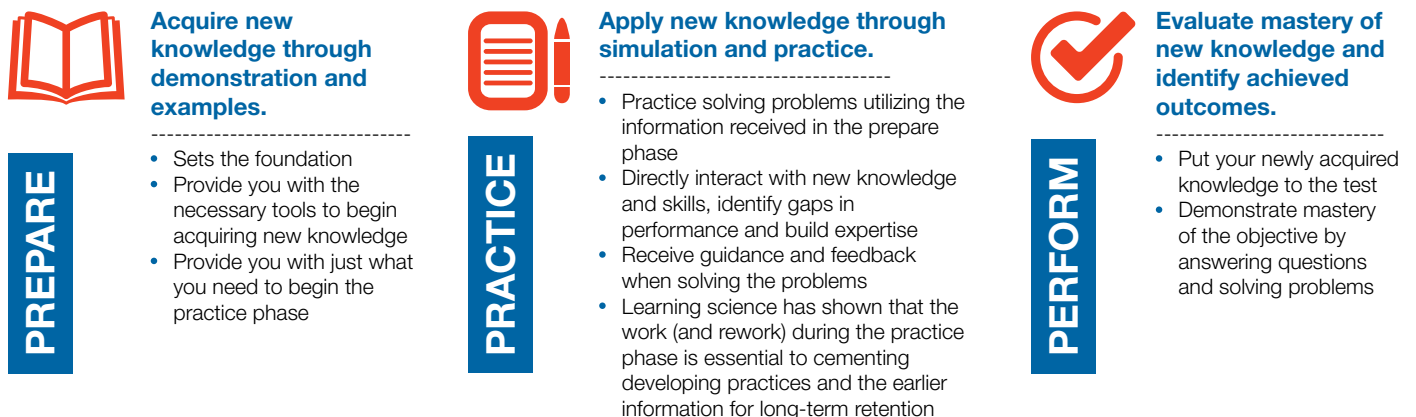
For over 50 years, the partnership between Kaplan Financial and Kaplan Schweser provides an unbeatable learning combination of study materials and tutor-support to over 4,000 individuals, making Kaplan a global leader in Exam Prep for professional financial qualifications. With a choice of flexible study packages on offer to suit individuals' busy lifestyles, the road to becoming a prestigious charterholder is never a mountain too high to scale.

WHY KAPLAN FINANCIAL?



THE KAPLAN WAY

Kaplan is the leading global provider of financial education for hundreds of thousands of students and business professionals around the world. Our comprehensive learning strategy, known as The Kaplan Way, utilizes learning science in the instructional design of our educational tools and courses. The system is the most effective, comprehensive study packages in the industry by employing a three-phase learning strategy:



ABOUT THE CAIA® ASSOCIATION

The CAIA® Association, a non-profit organization founded in 2002, is the world leader and authority in alternative investment education. The CAIA® Association is best known for the CAIA® Charter, an internationally recognized credential granted upon successful completion of a rigorous two-level exam series, combined with relevant work experience. Earning the CAIA® Charter is the gateway to becoming a member of the CAIA® Association, a global network of over 8,000 alternative investing leaders located in 80+ countries, who have demonstrated a deep and thorough understanding of alternative investing. Having grown rapidly, the CAIA® Association now supports vibrant chapters located in financial centers around the world and sponsors more than 120 educational and networking events each year.



PROGRAM OVERVIEW

The CAIA® Charter is the globally recognized credential for professionals managing, analyzing, distributing, or regulating alternative investments. The CAIA® Charter designation is the highest standard of achievement in alternative investment education and provides deep knowledge, demonstrated expertise, and global credibility in alternatives.

The CAIA® Charter is a comprehensive program comprised of a two-tier exam process through which you may become a CAIA® Charter Holder. The Level 1 exam assesses your understanding of various alternative asset classes and your knowledge of the tools and techniques used to evaluate the risk-return attributes of each one. The Level 2 exam assesses how you would apply the knowledge and analytics learned in Level I within a portfolio management context. Both levels include segments on ethics and professional conduct.

The table shows the topics cover for the CAIA® Level 1 and 2 respectively.

Level 1	Level 2
<ul style="list-style-type: none"> Professional Standards and Ethics Introduction to Alternative Investments Real Assets Hedge Funds Private Equity Structured Products Risk Management and Portfolio Management 	<ul style="list-style-type: none"> Professional Standards and Ethics Private Equity Commodities Real Assets Hedge Funds and Managed Futures Structured Products and Liquid Alternatives Asset Allocation and Portfolio Management Risk and Risk Management Manager Selection, Due Diligence, and Regulation

EXAM DATES

Exam Cycle	Registration Opens	Early Registration Deadline	Registration Closes	Level 1 Exam Window	Level 2 Exam Window
September 2016	1 April 2016	12 May 2016	5 August 2016	12 September - 23 September 2016	5 September - 16 September 2016

EXAM FEES

All fees are in U.S. dollars. For details on fee policies, see the FAQ (<https://www.caia.org/faq/fee>)

CAIA® Exam Fees are as follows (Standard):

	First-Time Level 1		First-Time Level 2		Both Levels
	Early*	Standard	Early*	Standard	Retake
Program Enrollment	\$400	\$400	\$0	\$0	\$0
Exam Registration	\$1,150	\$1,250	\$1,150	\$1,250	\$450
Total	\$1,550	\$1,650	\$1,150	\$1,250	\$450

* The CAIA® Association offers a US\$100 discount on first-time exam registration fees for candidates who register before the early registration deadline.

**Employees of AIMA and CISDM member companies receive a discount on first-time exam registration fees. Please confirm membership with AIMA and CISDM, both co-founders of the CAIA® Association, to obtain the discount.

The CAIA® Association offers a 10% discount to members of partnering trade associations. Please see the CAIA® Association Partners page for a complete list of applicable associations.

The exam registration fee covers a single level exam (I or II) during a single exam window (March or September). Please note that by registering for a single exam, you are committing yourself to a date- and time-specific exam, and you may not postpone from one testing window to another (e.g., you cannot change your September exam registration to March). Exam registration fees do not include the cost of textbooks or expenses associated with the purchase of other curriculum materials.

For more information on the CAIA® Program and gaining the CAIA® Charter designation, visit www.caia.org. CAIA®, CAIA® Association and Chartered Alternative Investment Analyst Association (CAIAA) are the exclusive service marks of the CAIA® Association.

PREPARATORY COURSE FOR CHARTERED ALTERNATIVE INVESTMENT ANALYST (CAIA®) EXAMINATION

Revision Course Overview

Kaplan Financial's revision course focuses on questions practice and the review of learning objectives of the CAIA® Program. We provide revision class for the following levels:

- CAIA® Level 1
- CAIA® Level 2

LECTURER PROFILE



Ramesh Shahdadpuri

CAIA®, FRM®, BSc Economics (Honours)

- Chartered Alternative Investment Analyst (CAIA)®
- Financial Risk Manager (FRM)®
- Advanced Certificate in Teaching & Assessment (ACTA)
- Bachelor of Science in Economics (Magna Cum Laude) – Finance & Decision Science, University of Pennsylvania, Wharton School of Business, USA
- Over 10 years of teaching experience for academic and executive education programmes in Singapore, including Kaplan Professional finance and accounting programmes
- Previously held senior financial positions at Mercantile Group, Goldman Sachs and Stern Stewart
- Developed the courseware and assessment for Capital Markets & Financial Advisory Services (CMFAS) – Module 6A for Institute of Banking & Finance
- Developed and delivered Specialist Diploma programmes in Investment Management and Fund Operations for Nanyang Polytechnic under Financial Industry Competency Standards (FICS) and content support from Chartered Institute of Securities & Investment (CISI), UK
- Coaches the candidates during the preparatory course for the Chartered Alternative Investment Analyst (CAIA)® program

PREVIEW SESSIONS

Join us at our upcoming Preview Sessions to find out more

Venue: Kaplan City Campus @ PoMo

Time: 7.00pm

Date

22 June 2016, Wednesday

21 July 2016, Thursday

Visit our website Kaplan.com.sg/kli to register
for our preview sessions

TERMS & CONDITIONS

- **General:**

- Procedures on course withdrawal, transfer and refund policy can be found in Kaplan Learning Institute Student Handbook and website.
- Registration with CAIA® Association is the responsibility of the individual student.
- Enrollment and purchases will only be recognised upon receipt of payment.
- All course fees quoted are inclusive of GST unless otherwise stated and must be paid in full.
- Kaplan Learning Institute reserves the right to vary, amend or discontinue any or all of the discounts as it deems appropriate.
- Kaplan Learning Institute reserves the right to vary or cancel any of the courses described or alter the composition of the relevant lecturing team, as well as the venue for lectures should the circumstances so require.

- **Transfer Policy:**

- **Transfer of Courses and Intakes:** Student who wish to transfer the course of study to a new intake must withdraw from the current course and the refund policy applies.

- **Refund Policy:**

- There is strictly no refund allowed after course commencement date.
- There is no exchange of materials purchased with courses after course commencement date.

- **Refunds for Withdrawal Without Cause:** Where students withdraw from the Course, KLI shall review after receiving the student's written notice of withdrawal and refund to the Student within 7 working days, the following sums:

% of (the amount of fees paid under Schedules B and C). Please refer to Student Contract	If Student's written notice of withdrawal is received
80%	More than [60] days before the course commencement date
60%	Before, but not more than [60] days before the course commencement date
50%	Before, but not more than [29] days before the course commencement date
0%	On or after the course commencement date

- **Refund During Cooling-Off Period:** KLI will provide the Student with a cooling-off period of seven (7) working days after the date that the Contract has been signed by both parties. The Student will be refunded the highest percentage of the fees already paid if the Student submits a written notice of withdrawal to the KLI within the cooling-off period, regardless of whether the Student has started the course or not. In the event that a student wishes

to withdraw from the programme, the application fee and the administrative fee are not refundable. Students are liable to pay (where applicable) fees that are imposed by the government authorities or other external partners. For more information on Fee Protection Scheme and refund policy, please refer to www.cpe.gov.sg.

- **Withdrawal Without Cause:** KLI will review all written notice of withdrawal supported by relevant documents on a case-by-case basis within 4 weeks. KLI will consider the following as grounds for request to withdraw:

- Overseas assignments of more than 1 month with official letter from company
- Medical reasons certified by a Singapore registered doctor eg. hospitalisation
- Pregnancy certified by a Singapore registered doctor
- Reservist service of more than 1 month with official document
- Exemption granted by relevant institution

- **Non-Tuition Fees:** The following fees are non-refundable under any circumstances:

- Student membership fees payable to CAIA® Association (CAIAA)

- **Withdrawal/Refund Procedure:**

- Student must inform Program Management in writing and complete a Course Withdrawal Form with reasons for withdrawal/refund accompanied with relevant supporting documents.
- All requests for withdrawal/refund are subject to KLI's approval.
- The student concern will be informed of the final decision in writing within 4 weeks.
- Upon approval, student will be withdrawn from their course.
- Refund where applicable will be available within 7 working days from date of approval.
- Student will be required to collect the cheque personally from our Reception Counter. They will need to provide a letter of authorization if they wish to authorize a third party to collect on their behalf.
- Where students have withdrawn/transferred from the course, material fees of \$50 per course will be chargeable if student has collected the materials.

- **Confidentiality Clause:** Kaplan Learning Institute shall treat all students' particulars with the strictest confidence for legitimate purpose and will not disclose to external parties.

- CAIAA does not endorse, promote, review, or warrant the accuracy of the products or services offered by Kaplan Learning Institute, nor does it endorse any pass rates claimed by the provider. CAIAA is not responsible for any fees or costs paid by the user to Kaplan Learning Institute nor is CAIAA responsible for any fees or costs of any person or entity providing any services to Kaplan Learning Institute.

KAPLAN FINANCIAL

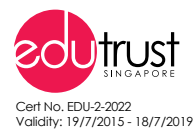
Part of Kaplan Learning Institute

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