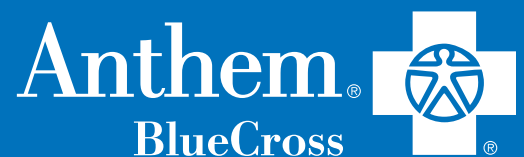




# Provider Manual

Medi-Cal Managed Care  
Major Risk Medical Insurance Program



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**JANUARY 2023**  
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# 1 | INTRODUCTION

## WELCOME

Thank you for being part of the Anthem Blue Cross family of healthcare services.

Anthem Blue Cross has been selected by the California Department of Health Care Services (DHCS) to provide healthcare services for Medi-Cal Managed Care (Medi-Cal) members in the following counties:

- Alameda
- Alpine
- Amador
- Butte
- Calaveras
- Colusa
- Contra Costa
- El Dorado
- Fresno
- Glenn
- Inyo
- Kings
- Madera
- Mariposa
- Mono
- Nevada
- Placer
- Plumas
- Sacramento
- San Benito
- San Francisco
- Santa Clara
- Sierra
- Sutter
- Tehama
- Tulare
- Tuolumne
- Yuba

Anthem Blue Cross partners with L.A. Care Health Plan to provide healthcare services for Medi-Cal members in the following county:

- Los Angeles

Medi-Cal provides healthcare coverage for California's most vulnerable low-income residents who lack health insurance.

Medi-Cal is the second largest source of healthcare coverage in California. Anthem Blue Cross has a long-standing history of providing Medi-Cal services to Californians. In fact, Anthem Blue Cross was one of the first Medi-Cal managed care organizations (MCOs).

## USING THIS MANUAL

This *Provider Manual* is designed for Anthem Blue Cross contracted providers. Our goal is to create a useful reference guide for you and your office staff.

Providers contracted with an independent physician association (IPA) or other provider organization may have separate policies and procedures. Please contact the organization's administrator for details.

We recognize that managing our members' health can be a complex undertaking. It requires familiarity with the rules and requirements of a system that encompasses a wide array of healthcare services and responsibilities.

With that in mind, we have divided this manual into broad sections that reflect your questions, concerns and responsibilities before and after a member of Anthem Blue Cross walks through your doors. This manual is available to you on our website at:

- <https://providers.anthem.com/CA>.

Select any topic in the Table of Contents and you will be automatically redirected to that topic's location within the manual. Select any web address and you will be redirected to that site. Each chapter may also contain cross-links to other chapters, important phone numbers, and our website or outside websites containing additional information.

Throughout this manual, there are instances where information is provided as a sample or example. This information is meant for illustration purposes only and is not intended to be used or relied upon in any

circumstance or instance. If you have any questions about the content of this manual, please contact our Customer Care Center or your provider network representative.

## **DEFINITIONS**

**Provider:** Any individual or entity that is engaged in the delivery of Medi-Cal services, or ordering or referring for those services, and is legally authorized to do so by DHCS.

## **LEGAL AND ADMINISTRATIVE REQUIREMENTS**

### **Websites**

The Anthem Blue Cross website and this manual may contain links and references to internet sites owned and maintained by third parties. Neither Anthem Blue Cross nor its related affiliated companies operate or control in any respect any information, products, or services on third-party sites. Such information, products, services, and related materials are provided as is without warranties of any kind, either expressed or implied, to the fullest extent permitted under applicable laws.

Anthem Blue Cross disclaims all warranties, expressed or implied, including, but not limited to, implied warranties of merchantability and fitness. Anthem Blue Cross does not warrant or make any representations regarding the use or results of the use of third-party materials in terms of correctness, accuracy, timeliness, reliability or otherwise.

The information contained in this manual will be updated regularly and is subject to change. This section provides specific information on the legal obligations of being part of the Anthem Blue Cross network.

This manual provides standards for services to members of the Medi-Cal and MRMIP programs. It does not establish standards for services to any other members of Anthem Blue Cross or its affiliates. If a section of the manual applies only to a specific program, that program will be indicated. If there is no such indication, the information is applicable to all programs.

By accepting this manual, Anthem Blue Cross providers agree to use this manual solely for the purposes of referencing information regarding the provision of medical services to Medi-Cal and MRMIP members who have chosen Anthem Blue Cross as their health plan.

This manual does not obligate providers to provide services to members enrolled in any of these programs unless the provider is under contract with Anthem Blue Cross to provide services in one or more of these programs. Providers are only required to follow the standards in this manual that are applicable to the program in which the member is currently enrolled.

### **Updates and Changes**

The *Provider Manual*, as part of your Provider Agreement and related Addendums, may be updated at any time and is subject to change. In the event of an inconsistency between information contained in the manual and the Agreement between you or your facility and Anthem Blue Cross, the Agreement shall govern.

In the event of a material change to the Provider Manual, we will make all reasonable efforts to notify you in advance of such change through web-posted newsletters, fax communications and other mailings. In such cases, the most recently published information should supersede all previous information and be considered the current directive.

The manual is not intended to be a complete statement of all Anthem Blue Cross policies or procedures. Other policies and procedures not included in this manual may be posted on our website or published in specially targeted communications, including, but not limited to, letters, bulletins, and newsletters.

This manual does not contain legal, tax or medical advice. Please consult with your own advisors for such advice.



## 2 | QUICK REFERENCE

### WEBSITES AND COMMUNITY RESOURCES

A wide array of valuable tools, information and forms are available on our websites below:

- <https://mss.anthem.com/CA>
- <https://providers.anthem.com/CA>

### ONLINE TOOLS FOR PROVIDERS

The **Availity Portal** is a secure website for Anthem Blue Cross eligibility, benefits and claim status inquiry functionality. All participating providers must register for Availity to access these functions and additional value-added features and services.

Here are some of the other features and tools available on the Availity Portal:

- Dispute a Claim
- Submit Medical Attachments
- Remittance Inquiry
- Precertification Look up Tool
- Clear Claims Connection
- Claim Status Listing
- Single or Batch Claim Submission
- Custom Learning Center
- Provider Online Reporting (Eligibility & Roster Reports)
- Patient360

Use of the Availity Portal will minimize time spent on the telephone with Customer Service and allow more time for you to spend with your patients. In fact, it may eliminate 80% of routine inquiries to Anthem Blue Cross.

Availity is available 24 hours a day, 7 days a week, except during scheduled maintenance and national holidays. Availity offers printer-friendly formats on all information screens.

#### Availity

To gain access to Availity:

- Go to [www.availity.com](http://www.availity.com).
  1. Select Register.
  2. Select Get Started.
  3. Complete the online registration form.
- If you have questions about registering for the Availity Portal, contact Availity Client Services at **800-282-4548**.

#### Patient360 on Availity

Patient360 is real-time dashboard that gives you a robust picture of a patient's health and treatment history and will help you facilitate care coordination. You can drill down to specific items in a patient's medical record to retrieve demographic information, care summaries, claims details, authorization details, and more.

With this level of detail at your fingertips, you will be able to:

- Spot utilization patterns.
- Avoid service duplication.
- Identify care gaps and trends.

- Coordinate care more effectively.
- Reduce the number of communications needed between PCPs and case managers.

Patient360 is offered on the Availity Portal. This online application lets you quickly retrieve detailed records about your Anthem Blue Cross patients. Patient360 replaces the Patient Care Summary that was previously accessed through *Eligibility and Benefits* on the Availity Portal. It will also replace *Member Medical History Plus (MMH Plus)*.

You must first be assigned the Patient360 role in the Availity Portal; administrators can make this assignment within the *Clinical Roles* options. Then navigate to Patient360 using one of the methods outlined on the following page.

### Method 1

Select **Patient Registration** from the top menu bar in the Availity Portal.

1. Choose Eligibility and Benefits.
2. Complete the required fields on the Eligibility and Benefits screen.
3. Select the Patient360 link on the member’s benefit screen.
4. Enter the member’s information in the required fields.

### Method 2

Select **Payer Spaces** from the top menu bar in the Availity Portal.

1. Choose the Anthem Blue Cross tile.
2. Select Patient360 located on Applications page.
3. Enter the member’s information in the required fields.

## HEALTH EDUCATION AND CULTURAL AND LINGUISTIC NEEDS

Health education classes and cultural and linguistic requests are available at no charge to Anthem Blue Cross members enrolled in Medi-Cal and are accessible upon self-referral or referral by Anthem Blue Cross network providers.

Providers can refer members to health education classes using the *Referral Form* which can be accessed at the below link under the *Patient Care* drop down:

- <https://providers.anthem.com/california-provider/resources/forms>

Additional information on interpreter services is available on the *Interpreter Services* section of our *Provider Training Academy* at:

- <https://providers.anthem.com/california-provider/resources/provider-training-academy>
- For after-hours telephone interpreter services, members can call the 24/7 NurseLine at **800-224-0336 (TTY 711)**.

## MEDICAL APPOINTMENT STANDARDS

Healthcare providers must make appointments for members from the time of request as follows:

Type of appointment	Timeframe
Emergency examination	Immediate access 24 hours/7 days a week
Urgent (sick) examination	Within 48 hours of request if authorization is not required or within 96 hours of request if authorization is required or as clinically indicated
Routine primary care examination (nonurgent)	Within 10 business days of request
Nonurgent consults/specialty referrals	Within 15 business days of request

Nonurgent care with nonphysician mental health providers (where applicable)	Within 10 business days of request
Nonurgent ancillary	Within 15 business days of request
Initial health assessments for members 21 years of age and over	Within 120 days of enrollment
Preventive care visits	Within 14 days of request
Routine physicals	Within 30 days of request
<b>Initial health assessments (under age 21)</b>	
Children under the age of 18 months	Within 120 days of enrollment or within American Academy of Pediatrics (AAP) guidelines, whichever is less
Children aged 19 months to 20 years of age	Within 120 days of enrollment
<b>Prenatal and postpartum visits</b>	
First prenatal visit	Within 10 days of request
1st and 2nd trimester	Within 7 days of request
3rd trimester	Within 3 days of request
High-risk pregnancy	Within 3 days of identification
Postpartum	Between 7 and 84 days after delivery

## CONTACT INFORMATION

The following resource grid is a consolidation of the most-used phone and fax numbers, websites and addresses found within the manual itself. We've also included other valuable contact information for you and your staff.

### STATE OF CALIFORNIA

Health services programs handled by the state:

State services contacts	Phone/fax numbers	Other contact information
<b>Automated Eligibility Verification System (AEVS)</b>	<b>800-456-2387</b>	
<b>California Children's Services (CCS)</b>	Phone numbers are county-specific. Los Angeles County Phone: <b>800-288-4584</b> Fax: <b>855-481-6821</b>  <a href="https://www.dhcs.ca.gov/services/ccs/Pages/CountyOffices.aspx">https://www.dhcs.ca.gov/services/ccs/Pages/CountyOffices.aspx</a>	Referrals: <a href="http://www.dhcs.ca.gov/services/ccs/Pages/default.aspx">www.dhcs.ca.gov/services/ccs/Pages/default.aspx</a>
<b>Community-Based Adult Services (CBAS)</b>	California Department of Aging: Phone within California: <b>916-419-7500</b> Phone outside of California: <b>800-677-1116</b>	<a href="https://www.aging.ca.gov/Programs_and_Services/Community-Based_Adult_Services">https://www.aging.ca.gov/Programs_and_Services/Community-Based_Adult_Services</a>
<b>Denti-Cal</b>	<b>800-423-0507</b> 8 a.m. - 5 p.m., Monday through Friday	<a href="https://www.dental.dhcs.ca.gov">https://www.dental.dhcs.ca.gov</a>
<b>Department of Health Care Services Medi-Cal Managed Care Ombudsman</b>	<b>800-452-8609</b>	<a href="http://www.dhcs.ca.gov/services/medical/Pages/MMCDOfficeoftheOmbudsman.aspx">www.dhcs.ca.gov/services/medical/Pages/MMCDOfficeoftheOmbudsman.aspx</a>
<b>Department of Health Care Services Office of Family Planning</b>	<b>800-942-1054</b>	<a href="http://www.dhcs.ca.gov/services/ofp/Pages/OfficeofFamilyPlanning.aspx">www.dhcs.ca.gov/services/ofp/Pages/OfficeofFamilyPlanning.aspx</a>
<b>Department of Health Care Services / Medi-Cal Rx (Important Contact Information)</b>	The Medi-Cal Rx Customer Service Center is: <b>800-977-2273</b> available 24 hours a day, 7 days a week, 365 days per year Provider Prior Authorization Fax: <b>800-869-4325</b> Provider Paper Claims Fax: <b>866-391-6726</b> Medi-Cal Rx-specific FWA hotline ( <b>800-375-1251, TTY 711</b> )	<a href="https://medi-calrx.dhcs.ca.gov/home">https://medi-calrx.dhcs.ca.gov/home</a>

State services contacts	Phone/fax numbers	Other contact information
	or email: SIU@magellanhealth.com	
<b>Department of Public Health Childhood Lead Poisoning Prevention Branch</b>	<b>510-620-5600</b>	<a href="https://www.cdph.ca.gov/Programs/CDC/PHP/DEODC/CLPPB/Pages/prov.aspx">https://www.cdph.ca.gov/Programs/CDC/PHP/DEODC/CLPPB/Pages/prov.aspx</a>
<b>Department of Social Services Public Inquiry and Response Unit</b>	<b>800-952-5253</b>	
<b>Department of Managed Health Care</b>	<b>877-525-1295</b>	<a href="http://www.dmhc.ca.gov">www.dmhc.ca.gov</a>
<b>Indian Health Services</b>	<b>916-930-3927</b>	<a href="http://www.ihs.gov/California">www.ihs.gov/California</a>
<b>Medi-Cal Telephone Service Center</b>	<b>800-541-5555</b>	
<b>Medi-Cal Rx contact information</b>	<b>800-977-2273</b>	<a href="https://medi-calrx.dhcs.ca.gov/home">https://medi-calrx.dhcs.ca.gov/home</a>
<b>Medi-Cal Rx Fraud, Waste and Abuse</b>		<p>Allegations must be reported to the Program Integrity/Special Investigations Unit (PI/SIU) within one calendar day. FWA related to Medi-Cal Rx should be reported via the central SIU email address or via the Medi-Cal Rx specific FWA hotline number:</p> <p>Calls to the toll-free Medi-Cal Rx-specific FWA hotline (<b>800-375-1251, TTY 711</b>)</p> <p>Emails sent to Magellan SIU (SIU@magellanhealth.com)</p>
<b>For non-Medi-Cal Rx-specific FWA or alternative methods of reporting</b>	<p>Magellan SIU Pharmacy FWA hotline (<b>800-349-2919</b>)</p> <p>Main Magellan SIU hotline (<b>800-755-0850</b>)</p> <p>Magellan Rx Compliance hotline (<b>800-915-2108</b>)</p>	<p>Forms sent via interoffice mail</p> <p>Fax to PI/SIU <b>888-656-2407</b></p> <p>Emails sent to Magellan Rx Management Compliance Department: MRxCompliance@magellanhealth.com</p> <p>Referrals sent to PI/SIU physical address:</p> <p>PI/SIU, Medi-Cal Rx 11000 White Rock Road Rancho Cordova, CA 95670</p> <p>Referrals sent to central SIU physical address:</p> <p>SIU, Magellan Health, Inc. P.O. Box 1746</p>

State services contacts	Phone/fax numbers	Other contact information
		Maryland Heights, MO 63043

## ANTHEM BLUE CROSS

Contact information related to Anthem Blue Cross Medi-Cal programs:

Contact	Outside Los Angeles County	Inside Los Angeles County	Hours of operation (PT)	Address, email, fax and/or website
<b>24/7 NurseLine</b>	<b>800-224-0336</b> <b>800-368-4424 (TTY 711)</b>		24 hours a day, 7 days a week	Can be used for after-hours member eligibility verification and after-hours requests for interpreter services
<b>Availity</b>	<b>800-282-4548</b>		5 a.m. - 5 p.m., Mon - Fri	<a href="http://www.availity.com">www.availity.com</a> Log in or follow instructions to create an account.
<b>Case Management</b>	<b>888-334-0870</b>		8 a.m. - 5 p.m., Mon - Fri	Fax: <b>866-333-4827</b>
<b>Claims: Follow-Up</b>	Anthem Blue Cross, P.O. Box 60007, Los Angeles, CA 90060-0007			
<b>Claims: Overpayment Recovery</b>	Overpayment Recovery Anthem Blue Cross P. O. Box 92420 Cleveland, OH 44135		Overnight packages: Overpayment Recovery Anthem Blue Cross Lockbox 92420 4100 West 150th St. Cleveland, OH 44135	
<b>Claims: EDI</b>	Availity Client Services at: <b>800-Availity (800-282-4548)</b>		5 a.m. – 5 p.m., Mon-Fri	<a href="https://www.anthem.com/provider/edi/">https://www.anthem.com/provider/edi/</a>
<b>Claims: Paper</b>	Anthem Blue Cross, P.O. Box 60007, Los Angeles, CA 90060-0007			
<b>Community-Based Adult Services (CBAS)</b>	<b>855-871-4899</b>		8 a.m. - 5 p.m., Mon - Fri	Fax: <b>855-336-4042</b> (LA County) Fax: <b>855-336-4041</b> (All other counties)
<b>Community Supports</b>	<b>855-871-4899</b>	8 a.m. - 5 p.m., Mon - Fri	Fax: <b>877-734-1857</b>	Community Supports CalAIM@Anthem.com
<b>Enhanced Care Management</b>	<b>833-884-0385</b>	8 a.m. - 5 p.m., Mon - Fri	Fax: <b>877-734-1854</b>	Enhanced Care Management CalAIM@Anthem.com
<b>Customer Care Center</b>	<b>800-407-4627</b> <b>888-757-6034 (TTY 711)</b>	<b>888-285-7801 (TTY 711)</b>	7 a.m. - 7 p.m., Mon to Fri	For after-hours services, please call 24/7 NurseLine (see below).
<b>Fraud and Abuse: Medi-Cal</b>	<b>800-407-4627</b> or	<b>888-285-7801</b>	7 a.m. - 7 p.m., Mon to Fri	Fax: 866-454-3990

Contact	Outside Los Angeles County	Inside Los Angeles County	Hours of operation (PT)	Address, email, fax and/or website
	<b>888-231-5044</b> (Blue Cross of California Fraud Hotline)			
<b>Fraud and Abuse: MRMIP</b>	<b>877-687-0549</b>	<b>877-687-0549</b>	7 a.m. - 7 p.m., Mon - Fri	
<b>Grievances &amp; Appeals</b>	Fax: <b>866-387-2968</b>	<i>Physician/Provider Grievance Form</i>		Grievance & Appeals Department Anthem Blue Cross P.O. Box 60007 Los Angeles, CA 90060-0007 Fax: 866-387-2968
<b>Health Care Options</b>	<b>800-430-4263</b>		8 a.m. - 5 p.m., Mon - Fri	
<b>Hearing Impaired Services: California Relay Service</b>	<b>711</b> or Voice to TTY, English: <b>800-735-2922</b> Spanish: <b>800-855-3000</b> TTY to voice, English: <b>800-735-2929</b> Spanish: <b>800-855-3000</b>		24 hours a day, 7 days a week	For additional information, visit the <b>California Relay Service</b> webpage at: <a href="https://ddtp.epuc.ca.gov">https://ddtp.epuc.ca.gov</a>
<b>Interpreter Services</b>	Medi-Cal: <b>800-407-4627</b>  MRMIP: <b>877-687-0549</b>  After hours, use 24/7 NurseLine: <b>800-224-0336</b> <b>800-368-4424 (TTY 711)</b>		8 a.m. - 5 p.m., Mon - Fri	Face-to-face interpreters can be requested via email at: <a href="mailto:ssp.interpret@wellpoint.com">ssp.interpret@wellpoint.com</a>
<b>Long-Term Services and Supports</b>	<b>855-871-4899</b>		8 a.m. - 5 p.m., Mon - Fri	Fax: <b>844-285-1167</b> (Northern CA); <b>877-279-2482</b> (Southern CA); <b>866-639-6608</b> (Central CA)
<b>Medi-Cal for Families Information Line</b>	<b>800-880-5305</b>		8 a.m. - 8 p.m., Mon - Fri 8 a.m. - 5 p.m., Sat	
<b>Member Eligibility: Anthem</b>	Interactive Voice Response (IVR) <b>800-407-4627</b>	(IVR) <b>888-285-7801</b>	24 hours a day, 7 days a week	
<b>Member Eligibility: State of California</b>	Automated Eligibility Voice System		24 hours a day, 7 days a week	<a href="https://www.medi-cal.ca.gov/MCWebPub/Login.aspx">https://www.medi-cal.ca.gov/MCWebPub/Login.aspx</a>

Contact	Outside Los Angeles County	Inside Los Angeles County	Hours of operation (PT)	Address, email, fax and/or website
	(AEVS): <b>800-456-2387</b>			
<b>MRMIP</b>	<b>877-687-0549</b> <b>888-757-6034</b> <b>(TTY 711)</b>	<b>877-687-0549</b> <b>888-757-6034</b> <b>(TTY 711)</b>	8:30 a.m. - 5 p.m., Mon - Fri	<a href="http://www.dhcs.ca.gov">www.dhcs.ca.gov</a> For after-hours services, please call 24/7 NurseLine (see below).
<b>Network Relations Department</b>				<a href="https://www.anthem.com/provider/contact-us">https://www.anthem.com/provider/contact-us</a>
<b>Pharmacy Prior Authorization Center</b> As of 1/1/22, pharmacy benefits are now being handled by Medi-Cal Rx	<b>800-977-2273</b> (Medi-Cal Rx Customer Service Call Center)	<b>800-977-2273</b> (Medi-Cal Rx Customer Service Call Center)	7 a.m. - 7 p.m., Mon - Fri	Fax: <b>800-869-4325</b> (Medi-Cal Rx Fax #) or visit <a href="https://medi-calrx.dhcs.ca.gov/home">https://medi-calrx.dhcs.ca.gov/home</a>
<b>Provider Relations (Behavioral Health Providers)</b>	Contracting: BHMedi-CalContracting@anthem.com			<a href="https://www.anthem.com/provider/contact-us">https://www.anthem.com/provider/contact-us</a>
<b>Secure email: eBusiness Help Desk</b>	<b>866-755-2680</b>		5 a.m. - 5 p.m., Mon - Fri	
<b>TTY</b>	<b>711</b>		8:30 a.m. - 7 p.m., Mon - Fri	
<b>Utilization Management: Medi-Cal</b>	<b>888-831-2246</b>		8 a.m. - 5 p.m., Mon - Fri	Fax: <b>800-754-4708</b> Behavioral Health: All Requests for Prior Authorizations should be submitted using the electronic portal via <a href="http://www.Availity.com">www.Availity.com</a>
<b>Utilization Management: MRMIP</b>	<b>888-831-2246</b>		8 a.m. - 5 p.m., Mon - Fri	Fax: <b>800-754-4708</b>
<b>Utilization Management (except LTC and CBAS): Delegated Groups to Perform UM</b>	<b>888-831-2246</b>		8 a.m. - 5 p.m., Mon - Fri	Fax: <b>888-232-0708</b>
<b>Vision Services: Vision Service Plan (VSP)*</b>	<b>800-615-1883</b>		5 a.m. - 8 p.m., Mon - Fri 6 a.m. - 5 p.m., Sat	<a href="http://www.vsp.com">www.vsp.com</a>

## COVERED SERVICES GRID

The following table lists benefits covered by the Medi-Cal Managed Care program. This is **not** an all-inclusive list of benefits. For pharmacy benefit information, please see **Chapter 6**. For questions about services not listed,



please contact the Customer Care Center or Provider Relations for assistance. Services received from an out-of-network provider without an authorization or referral are not covered, except in the case of medical emergencies.

Benefits and services	Covered by Anthem Blue Cross * Prior authorization (PA) is required.		Covered by DHCS Fee-for-Service (FFS) or other state/county agencies
	PA*		
<b>Abortion</b>		Covered	
<b>Acupuncture</b>		Covered	
<b>Allergy testing</b> • <b>Antigen</b>		Covered	
<b>Ambulance services</b> • <b>Air ambulance</b> • <b>Dry runs</b> • <b>Ground ambulance</b> • <b>Nonemergent transport from home to doctor's office, dialysis, or physical therapy</b>	Yes	Covered	
<b>Amniocentesis</b>		Covered	
<b>Anesthetics (administration)</b>		Covered	
<b>Artificial insemination</b>		Not covered	
<b>Audiology services</b>		Outside Los Angeles County: Not covered for members 21 and older except in cases of emergency and where the benefit is required to treat the emergency Inside Los Angeles County: Covered	
<b>Behavioral health</b> • <b>Inpatient behavioral health (covered by FFS Medi-Cal)</b> • <b>Outpatient behavioral health (including alcohol and substance use services, crisis intervention and treatment)</b> • <b>Professional behavioral health services for mild to moderate level of impairment</b> • <b>Professional applied behavioral analysis (ABA) for members under 21 years of age</b>		Professional services covered; may require preauthorization  Note: Marriage and family therapy for relationship problems are not a covered service.	Inpatient and outpatient services administered by the DHCS FFS Program, specifically County Mental Health Departments
<b>Biofeedback</b>		Not covered	

Benefits and services			Covered by DHCS Fee-for-Service (FFS) or other state/county agencies
Covered by Anthem Blue Cross * Prior authorization (PA) is required.			
<b>Blood and blood products</b>		Covered	
<b>Cancer screening (refer to Member Handbook)</b>		Covered	
<b>Cataract spectacles and lenses</b>	Yes	Covered when medically necessary	
<b>CHDP – Well Visit - services</b>		Covered	
<b>Chemical dependency rehabilitation</b>			Administered by the DHCS FFS Program, specifically County Mental Health Departments
<b>Chemotherapy drugs</b>		Covered	If under 21 years of age, services covered by California Children’s Services
<b>Circumcision</b>		Not covered unless medically necessary	
<b>Colostomy supplies</b> <ul style="list-style-type: none"> <li>• Inpatient facility</li> <li>• Outpatient dispensing</li> <li>• In conjunction with home health</li> </ul>		Covered	
<b>Community-based adult services</b>		Covered	
<b>Community Health Worker</b>		Covered	

Benefits and services	Covered by Anthem Blue Cross * Prior authorization (PA) is required.	Covered by DHCS Fee-for-Service (FFS) or other state/county agencies	
<b>Dental services (medical)</b> <ul style="list-style-type: none"> <li>• <b>Accidental injury — inpatient facility or emergency room</b></li> <li>• <b>Professional component (anesthesia)</b></li> </ul>		Not covered for members 21 years and older except in cases of emergency and where the benefit is required to treat the emergency Covered if within six months of injury Covered for dental work given in an in-patient facility or surgical center to members who: <ul style="list-style-type: none"> <li>• Are younger than 7 years of age</li> <li>• Have a developmental (growth) issue and are under 21 years of age</li> <li>• Require benefit due to medical necessity</li> </ul>	
<b>Dental services — preventive and restorative</b>		Not covered	Covered by DHCS dental programs, Denti-Cal or dental managed care (county specific)
<b>Detoxification (acute phase)</b>		Not covered	Administered by the DHCS FFS Program, specifically County Mental Health Departments
<b>Diabetic services</b>		Covered for members 21 years of age and older	If under 21 years of age, services covered by California Children’s Services
<b>Diagnostic X-ray</b> <ul style="list-style-type: none"> <li>• <b>Must use contracted radiology provider</b></li> </ul>		Covered: <ul style="list-style-type: none"> <li>• Prior authorization required for selected CT/MRI/MRA/PET /SPECT</li> </ul>	
<b>Dialysis</b>		Covered for members 21 years of age and older	If under 21 years of age, services covered by California Children’s Services

<b>Benefits and services</b>			<b>Covered by Anthem Blue Cross * Prior authorization (PA) is required.</b>	<b>Covered by DHCS Fee-for-Service (FFS) or other state/county agencies</b>
<b>Directly observed therapy (DOT) for the treatment of tuberculosis</b>		Not covered		Covered by DHCS FFS Program
<b>Durable medical equipment</b>	Yes	Covered except the below: <ul style="list-style-type: none"> <li>• Items used only for comfort or hygiene</li> <li>• Items used only for exercise</li> <li>• Air conditioners, filters or purifiers</li> <li>• Spas, swimming pools</li> </ul>		
<b>Early and Periodic Screening, Diagnostic and Treatment (EPSDT) services</b> <ul style="list-style-type: none"> <li>• Applies to members under 21 years of age</li> </ul>		Preventive care services are covered including: <ul style="list-style-type: none"> <li>• Health screenings</li> <li>• Physical exams</li> <li>• Hearing screenings</li> <li>• Vision screenings</li> <li>• Dental screenings</li> <li>• Vaccines</li> <li>• Health education</li> <li>• Blood tests including lead screenings and lipids testing</li> </ul>		
<b>Emergency room</b> <ul style="list-style-type: none"> <li>• In and outside of California</li> <li>• Outpatient facility services</li> <li>• Professional</li> </ul>		Covered		
<b>End of Life Services</b>		Not Covered		Covered
<b>Endoscopic studies</b>		Covered		
<b>Enhanced Care Management</b>		Covered		
<b>Experimental procedures</b>		Not covered		Not covered

Benefits and services		Covered by Anthem Blue Cross * Prior authorization (PA) is required.	Covered by DHCS Fee-for-Service (FFS) or other state/county agencies
Family planning services and supplies (in or out of network)		Covered: <ul style="list-style-type: none"> <li>• Birth control</li> <li>• Education and counseling</li> <li>• Pregnancy tests</li> <li>• Sexually transmitted disease screening</li> <li>• Sterilization</li> </ul> Not covered: <ul style="list-style-type: none"> <li>• Sterilization reversal</li> <li>• Hysterectomy for sterilization</li> <li>• Fertility treatments</li> </ul>	
Fetal monitoring		Covered	
Genetic testing			Covered; administered by the State Genetic Disease Branch
Health education		Covered	
Hearing aids		Covered	
Hemodialysis chronic renal failure		Covered	
Hepatitis B vaccine/gamma globulin		Covered	
Home health care services	Yes	Covered	
Hospice	Yes	Covered	
Hospital based physicians (in lieu of acute inpatient or SNF)		Covered	
Hospitalization <ul style="list-style-type: none"> <li>• Inpatient services</li> <li>• Outpatient services</li> <li>• Intensive care services</li> </ul>		Covered <ul style="list-style-type: none"> <li>• Private room covered only if medically necessary</li> </ul>	
Immunization administration <ul style="list-style-type: none"> <li>• Pediatric vaccines</li> <li>• Adult vaccines</li> <li>• Obstetrical vaccines</li> </ul>		<b>Pediatric:</b> Covered under Vaccines For Children (VFC) or CHDP under 22 years of age <b>Adult:</b> Covered according to ACIP recommendations <b>Obstetrical:</b> Covered according to ACIP recommendations	Covered: Medi-Cal Rx
Infant apnea monitor (outpatient)	Yes	Covered	
Infertility diagnosis and treatment		Not covered	
Injectable medications (outpatient and self-administered)		Covered: most office injections	Covered by DHCS Medi-Cal Rx

Benefits and services		Covered by Anthem Blue Cross * Prior authorization (PA) is required.	Covered by DHCS Fee-for-Service (FFS) or other state/county agencies
<b>Community Supports</b>	*Yes	Covered: dependent on County *Prior authorization is required for most Community Supports	
<b>Inpatient alcohol and drug abuse</b>			Administered by the DHCS FFS Program, specifically County Mental Health Departments
<b>Interpreter services</b>		Covered	
<b>Lab and pathology services</b>		Covered	
<b>Lithotripsy</b>	Yes	Covered	
<b>Major organ transplants</b>	Covered for members 21 years of age and older	If under 21 years of age, services covered by California Children's Services	Covered for members 21 years of age and older
<b>Mammography</b>		Covered	
<b>Mastectomy</b>		Covered	
<b>Maternity care</b> <ul style="list-style-type: none"> <li>• Pre- and post-natal care</li> <li>• Nurse/midwife services</li> <li>• Childbirth and cesarean section</li> <li>• Newborn exam</li> </ul>		Covered	Alpha fetoprotein (AFP) screening covered by the DHCS FFS Program
<b>Nutritionist/dietician</b>	Yes	Covered	
<b>Obstetrical/gynecological services</b> <ul style="list-style-type: none"> <li>• Inpatient facility fees</li> <li>• Inpatient professional fees</li> <li>• Outpatient professional fee</li> <li>• Professional fee</li> <li>• Obstetrical CPSP services</li> <li>• Vaccines per ACIP recommendations</li> </ul>		Covered	
<b>Office visit supplies including splints, casts, bandages and dressings</b>		Covered	
<b>Ophthalmology services</b>		Covered	
<b>Optometric and optician services</b>		<b>Outside Los Angeles County:</b> Not covered for member 21 and older <b>Inside Los Angeles County:</b> Covered	

Benefits and services	Covered by Anthem Blue Cross * Prior authorization (PA) is required.		Covered by DHCS Fee-for-Service (FFS) or other state/county agencies
<b>Physical, occupational and speech therapy</b> <ul style="list-style-type: none"><li>• Inpatient or SNF</li><li>• Outpatient</li><li>• Professional</li></ul>	Yes (for PT only)	Covered	
<b>Physician office visits</b>		Covered	
<b>Podiatry services</b>		Covered if: <ul style="list-style-type: none"><li>• Provided by a physician</li><li>• Outpatient setting</li><li>• Clinic</li></ul>	
<b>Preadmission testing</b>		Covered	
<b>Prosthetics and orthotics (including artificial limbs and eyes)</b>	Yes	Covered	
<b>Psychology services (psychological testing when clinically indicated to evaluate a mental health condition)</b>	Yes	Covered	
<b>Radiation therapy</b>		Covered	
<b>Radiology services</b> <ul style="list-style-type: none"><li>• Inpatient facility component</li><li>• Outpatient facility component</li><li>• Professional component</li></ul>	Yes (for some OP svcs.)	Covered	
<b>Reconstructive surgery (not cosmetic)</b>	Yes	Covered	
<b>Rehabilitation services</b>	Yes	Covered	
<b>Routine physical examinations</b>		Covered except when required by job, school, camp or sports program	
<b>Skilled nursing facility (SNF)</b> <ul style="list-style-type: none"><li>• Long-term care under LTSS</li></ul>	Yes	Covered	
<b>Specialist consultations</b>		Covered	
<b>TMJ treatment</b>	Yes	Covered	
<b>Transcranial magnetic stimulation (TMS)</b>		Not covered	
<b>Transfusions (blood and blood products)</b>		Covered	
<b>Transgender services</b>		Covered	
<b>Urgent care center</b>		Covered	

Benefits and services	Covered by Anthem Blue Cross * Prior authorization (PA) is required.	Covered by DHCS Fee-for-Service (FFS) or other state/county agencies
<b>Vision care</b> <ul style="list-style-type: none"> <li>• Medically necessary</li> <li>• Frames</li> <li>• Lenses</li> </ul>		Covered
<b>Vision screening (refraction)</b>		Covered
<b>Well Visits – Adults</b> <ul style="list-style-type: none"> <li>• Applies to adult members</li> </ul>		Preventive care services are covered including: <ul style="list-style-type: none"> <li>• Health screenings</li> <li>• Physical exams</li> <li>• Dental screenings</li> <li>• Testing as recommended by the USPSTF</li> <li>• Vaccines per ACIP recommendations</li> <li>• Health education</li> </ul>



The following table lists benefits covered by the **Major Risk Medical Insurance Program**. This is **not** an all-inclusive list of benefits. For pharmacy benefit information, please see **Chapter 6**. For questions about services not listed, please contact the Customer Care Center or Provider Relations for assistance. Services received from an out-of-network provider without an authorization or referral are not covered except in the case of medical emergencies.

<b>Major Risk Medical Insurance Program</b>		
<b>Benefits and services</b>	<b>PA</b>	<b>Coverage</b>
<b>Ambulance</b>		Ground or air ambulance to or from a hospital for medically necessary services
<b>Behavioral health services</b>		Inpatient behavioral health services; limited to 10 days each calendar year
<b>Diagnostic X-ray and lab services</b>		Outpatient diagnostic X-ray and laboratory services
<b>Durable medical equipment and supplies</b>		Must be certified by a physician and required for care of an illness or injury
<b>Emergency healthcare services</b>		Initial treatment of acute illness or accidental injury; includes hospital, professional services and supplies
<b>Home health care</b>	Yes	Home health services through a home health agency or visiting nurse association
<b>Hospital</b>	Yes	Services provided in an Anthem Blue Cross contracted hospital. Benefits are not covered when provided in a non-contracting hospital within California except in a medical emergency.
<b>Hospice</b>	Yes	Hospice care for members not expected to live more than 12 months if the disease or illness follows its natural course
<b>Infusion therapy</b>	Yes	Therapeutic use of drugs or other substances ordered by a physician and administered by a qualified provider
<b>Physical, occupational and speech therapies</b>		Services of physical, occupational and speech therapists as medically appropriate on an outpatient basis
<b>Pharmacy</b>		Maximum 60-day supply for mail order
<b>Physician office visits</b>		Physician services for medical necessity
<b>Pregnancy and maternity care</b>		Inpatient normal delivery and complications of pregnancy Maternity care for a paid surrogate mother who enrolled in the program
<b>Skilled nursing facilities</b>	Yes	Skilled nursing care
<b>Transgender services</b>		Covered
<b>Vision services</b>		Not covered (except for vision tests for children)

### 3 | MEMBER ELIGIBILITY

#### VERIFYING ELIGIBILITY

Anthem Blue Cross providers are required to verify a person’s eligibility and identity before services are rendered at each visit. Providers must ask to see two separate ID cards to verify state Medi-Cal and Anthem Blue Cross eligibility.

Because eligibility can change, **eligibility should be verified at every visit**. Claims submitted for services rendered to a member that is not eligible are **not** reimbursable.

#### BENEFICIARY IDENTIFICATION CARD

The state of California Department of Health Care Services (DHCS) issues the Beneficiary Identification Card (BIC) after approving the person's Medi-Cal eligibility. The BIC is composed of a nine-character Client Identification Number (CIN), a check digit and a four-digit date that matches the date of issue. Effective September 12, 2016, DHCS implemented a new BIC card design. New Medi-Cal ID cards will not be replaced all at once. **Providers should accept both BIC designs.**

##### Old BIC Card



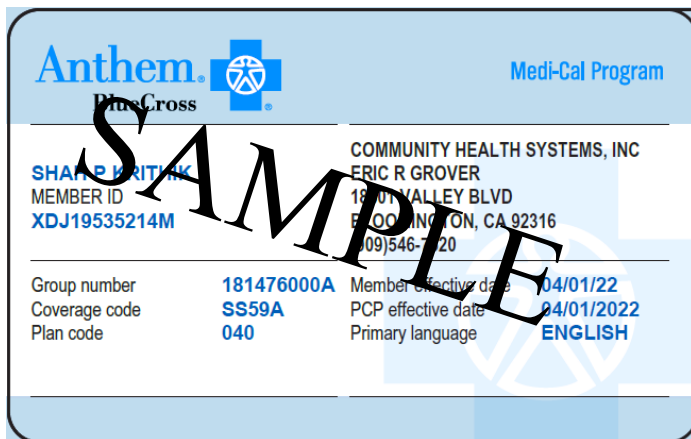
##### New BIC Card



#### ANTHEM BLUE CROSS MEMBER ID CARDS

Anthem Blue Cross provides members an ID card with plan and provider information on the front and back (sample below).

##### Front



##### Back



**Note:** Los Angeles County members will have a slightly different looking card than non-Los Angeles County members.

Anthem Blue Cross electronically updates member eligibility each day following notification from the DHCS.

## MAJOR RISK MEDICAL INSURANCE PROGRAM

There is **no** state of California BIC for the Major Risk Medical Insurance Program (MRMIP) as **MRMIP is not a Medicaid program**. The member will have an Anthem Blue Cross ID card only.

## INDIVIDUAL ELIGIBILITY

To verify managed care Medi-Cal member eligibility, choose one of the following four options:

1. Swipe the BIC in a POS device.
2. Use the Automatic Eligibility Verification System (AEVS) by calling:
  - AEVS: **800-456-2387**
3. Log on to the Medi-Cal website at:
  - <https://www.medi-cal.ca.gov/MCWebPub/Login.aspx>
  - Enter your user ID and password.
  - Select **Submit**, which will take you to the *Real Time Internet Eligibility* page.
  - Enter member information including subscriber ID, birth date, issue date and service date.
4. Log on to the secure Availity website at:
  - [www.availity.com](http://www.availity.com)

From top navigation bar:

- Select Patient Registration
- Select Eligibility and Benefits Inquiry
- Payer: Anthem-CA
- Enter your National Provider Identifier (NPI)
- Complete Patient Information

**Note:** Items with an asterisk (\*) are required.

Required information on Availity includes:

- Member ID and the alpha prefix
- Patient Date of Birth **or** Patient First and Last Name
- Date of Service (defaults to current date)
- Selection of defined HIPAA service types
- An active member will show a term date of 12/31/9999

**Note:** To be HIPAA Version 5010 compliant, providers are no longer able to conduct a name search.

## ELIGIBILITY ROSTERS AND CAPITATION REPORTS

Log in to the Availity website:

- Select **Payer Spaces > Provider Online Reporting** once your Availity Administrator has granted you access to the Provider Online Reporting role. The following reports can be obtained:
  - State Sponsored Eligibility Reports – Professional Medical
  - State Sponsored Capitation Reports – Professional Medical

## ENROLLMENT/DISENROLLMENT

- Medi-Cal Enrollment (Health Care Options): **800-430-4263**  
Hours of operation: Monday to Friday, 7 a.m. - 7 p.m.

- MRMIP Enrollment: **800-289-6574** or insurance agent/broker  
Hours of operation: Monday to Friday, 8:30 a.m. - 5 p.m.

The Medi-Cal managed care enrollment process is managed by Health Care Options (HCO).

Individuals and families whose applications are approved for Medi-Cal receive a pre-enrollment packet that includes a *Medi-Cal Managed Care Enrollment Form* and the plan's provider directory.

Members must return the signed enrollment form within 45 days including selection of a healthcare plan and a primary care provider (PCP). If the member does not choose a healthcare plan within the given time frame, the state assigns the member to a Medi-Cal plan.

To learn more about the enrollment process or to obtain the most current forms and information, visit the URL below:

- <https://www.healthcareoptions.dhcs.ca.gov/enroll>

Additional information is available via the following resources:

- California DHCS Medi-Cal website:
  - [www.medi-cal.ca.gov](http://www.medi-cal.ca.gov)
- Health Care Options:
  - **800-430-4263**

## STATE AGENCY-INITIATED MEMBER DISENROLLMENT

The DHCS informs Anthem Blue Cross of membership changes by sending daily and monthly enrollment reports. These reports contain all active membership data and incremental changes to eligibility records.

Anthem Blue Cross disenrolls members who are not listed on the monthly full replacement file effective as of the designated disenrollment date. Reasons for disenrollment may include:

- Admission to a long-term care or intermediate care facility beyond the month of admission and the following month
- Change in eligibility status
- County or residence changes
- Healthcare plan mergers or reorganizations
- Incarceration
- Loss of benefits
- Permanent change of residence out of service area
- Voluntary disenrollments

## MEMBER-INITIATED PCP CHANGES

Members have the right to change their PCP monthly or more frequently under certain conditions. When beneficiaries enroll in a Medi-Cal managed care program, they can choose a PCP when selecting their managed care plan. If a beneficiary does not select a PCP when selecting a managed care plan, Anthem Blue Cross will select a PCP for the member.

Members are instructed to call the Anthem Blue Cross Customer Care Center below to request an alternate PCP:

- Customer Care Center (outside L.A. County): **800-407-4627**
- Customer Care Center (inside L.A. County): **888-285-7801**
- Hours of operation: Monday to Friday, 7 a.m. - 7 p.m.
- **888-757-6034 (TTY 711)**

Anthem Blue Cross accommodates member requests for PCP changes whenever possible. Our staff will work with the member to make the new PCP selection, focusing on special needs. Our policy is to maintain continued access to care and continuity of care during the transfer process.

When a member calls to request a PCP change:

- The Customer Care Center (CCC) representative checks the availability of the member's choice. If the member can be assigned to the selected PCP, the CCC representative will do so.
- If the PCP is not available, the CCC representative will assist the member in finding an available PCP.
- If the requested PCP is not available and the member indicates there is an established relationship with the PCP, the CCC will contact the PCP to confirm the member has an established relationship and whether the PCP will accept the assignment.
- If the member advises the CCC that he or she is hospitalized, the CCC will advise the member to call us upon discharge so that we can assist them with their PCP change.
- Anthem Blue Cross notifies PCPs of members' transfers to a new PCP through monthly enrollment reports. PCPs can access these reports by going to our secure Availity website at:
  - <https://apps.availity.com/availity/web/public.elegant.login>
- The effective date of a PCP transfer will be the first day of the following month. Anthem Blue Cross may assign a member retroactively on a case-by-case basis.

## MEMBER TRANSFERS TO OTHER PLANS

Members can voluntarily disenroll and choose another healthcare plan at any time, subject to a restricted disenrollment period.

Approved disenrollments become effective no later than the first day of the second month following the month in which the member files the request. Disenrollment may result in any of the following:

- Enrollment with another healthcare plan
- Return to traditional or original fee-for-service Medi-Cal for continuity of care if the member's benefits fall into a voluntary aid code

If a member asks a provider how to disenroll from Anthem Blue Cross, the provider should direct the member to call the Customer Care Center in their area:

- Medi-Cal Health Care Options: **800-430-4263**

The member must complete a *Request for Disenrollment Form* and mail it to:

Health Care Options

P.O. Box 989009

West Sacramento, CA 95798-9850

## MEMBER NONDISCRIMINATION

Anthem Blue Cross does not engage in, aid or perpetuate discrimination against any person by providing significant assistance to any entity or person that discriminates on the basis of race, color or national origin in providing aid, benefits or services to beneficiaries. Anthem Blue Cross does not utilize or administer criteria having the effect of discriminatory practices on the basis of gender or gender identity. Anthem Blue Cross does not select site or facility locations that have the effect of excluding individuals from, denying the benefits of or subjecting them to discrimination on the basis of gender or gender identity. In addition, in compliance with the Age Act, Anthem Blue Cross may not discriminate against any person on the basis of age, or aid or perpetuate age discrimination by providing significant assistance to any agency, organization or person that discriminates on the basis of age. Anthem Blue Cross provides health coverage to our members on a nondiscriminatory basis,

according to state and federal law, regardless of gender, gender identity, race, color, age, religion, national origin, physical or mental disability, or type of illness or condition.

Members who contact us with an allegation of discrimination are informed immediately of their right to file a grievance. This also occurs when an Anthem Blue Cross representative working with a member identifies a potential act of discrimination. The member is advised to submit a verbal or written account of the incident and is assisted in doing so if the member requests assistance. We document, track and trend all alleged acts of discrimination.

Members are also advised to file a civil rights complaint with the U.S. Department of Health and Human Services Office for Civil Rights (OCR) by phone, in writing or electronically:

- Electronically: Through the OCR complaint portal at <https://ocrportal.hhs.gov/ocr/portal/lobby.jsf>
- By mail to: Fill out a complaint form or send a letter to U.S. Department of Health and Human Services, 200 Independence Ave. SW, Room 509F, HHH Building, Washington, DC 20201
- By phone at: **800-368-1019** (TTY **800-537-7697** or **711** to use the California Relay Service if you cannot speak or hear well)

Complaint forms are available at [www.hhs.gov/ocr/office/file/index.html](http://www.hhs.gov/ocr/office/file/index.html).

Anthem Blue Cross provides free tools and services to people with disabilities to communicate effectively with us. Anthem Blue Cross also provides free language services to people whose primary language isn't English (for example, qualified interpreters and information written in other languages). These services can be obtained by calling the customer service number on their member ID card.

If you or your patient believe that Anthem Blue Cross has failed to provide these services, or discriminated in any way on the basis of race, color, national origin, age, disability, gender or gender identity, you can file a grievance with our grievance coordinator via:

- Mail:  
Attn: Grievance Coordinator  
Anthem Blue Cross  
P.O. Box 60007  
Los Angeles, CA 90060-0007
- Medi-Cal Customer Care Center: **800-407-4627** (outside L.A. County)
- Medi-Cal Customer Care Center: **888-285-7801** (inside L.A. County)
- MRMIP Customer Care Center: **877-687-0549**

## **EQUAL PROGRAM ACCESS ON THE BASIS OF GENDER**

Anthem Blue Cross provides individuals with equal access to health programs and activities without discriminating on the basis of gender. Anthem Blue Cross must also treat individuals consistently with their gender identity and is prohibited from discriminating against any individual or entity on the basis of a relationship with, or association with, a member of a protected class (in other words, race, color, national origin, gender, gender identity, age or disability).

Anthem Blue Cross may not deny or limit health services that are ordinarily or exclusively available to individuals of one gender, to a transgender individual based on the fact that a different gender was assigned at birth, or because the gender identity or gender recorded is different from the one in which health services are ordinarily or exclusively available.

## 4 | GENERAL BENEFITS

### BENEFIT PROGRAMS AND POPULATIONS

#### MEDI-CAL MANAGED CARE

Medi-Cal Managed Care (Medi-Cal) is a complex network of public and private healthcare providers who serve California's most vulnerable citizens: low-income California residents who lack health insurance.

As part of the CalAim initiative, the final phase of Mandatory Managed Care Enrollment will become effective January 1, 2023. The Department of Health Care Services (DHCS) will be transitioning Medi-Cal beneficiaries who are currently excluded from or voluntary for managed care plan (MCP) enrollment into a MCP as they will no longer be permitted to remain in the fee-for service delivery system. This will include all full- and partial-benefit dual beneficiaries, including non-dual beneficiaries residing in a long term care (LTC) facility. The goal is to align managed care enrollment practices that currently vary by aid code, population, and geographic location.

Medi-Cal pinpoints 165 categories of eligibility but generally covers the following populations:

- Individuals in special treatment programs (including tuberculosis and dialysis)
- Individuals with refugee status
- Low-income children and their parents
- Low-income pregnant women
- Qualified low-income Medicare recipients
- Seniors and persons with disabilities

Anthem Blue Cross provides Medi-Cal services (Medicaid) for the California Department of Health Care Services and the Department of Public Health in the following counties:

- |                |   |              |                 |
|----------------|---|--------------|-----------------|
| • Alameda      | • El Dorado   | • Madera     | • San Francisco |
| • Alpine       | • Fresno  | • Mariposa   | • Santa Clara   |
| • Amador       | • Glenn   | • Mono       | • Sierra        |
| • Butte        | • Inyo  | • Nevada     | • Sutter        |
| • Calaveras    | • Kings   | • Placer     | • Tehama        |
| • Colusa       | • Los Angeles (in partnership with L.A. Care Health Plan) | • Plumas     | • Tulare        |
| • Contra Costa |   | • Sacramento | • Tuolumne      |
|                |   | • San Benito | • Yuba          |

**A covered services grid can be found in Chapter 2: Quick Reference.** Anthem Blue Cross members enrolled in Medi-Cal Managed Care do not have deductibles or copays.

#### MAJOR RISK MEDICAL INSURANCE PROGRAM (MRMIP)

The Major Risk Medical Insurance Program (MRMIP) was originally designed to help insure those unable to secure private health coverage. The program is defined by the following:

- Designed for those unable to secure private health coverage
- Requires an annual deductible
- Requires copays for covered services

To qualify for MRMIP, applicants must be:

- A California resident.
- Unable to secure adequate coverage.

- Ineligible for **both** Part A and Part B of Medicare, unless eligible solely because of end-stage renal disease.
- Ineligible to purchase any health insurance for continuation of benefits under COBRA or CalCOBRA

The following table lists copays, deductibles and maximum benefits provided by the MRMIP.

Copays/limits	Explanation
<b>Calendar year deductible</b>	\$500 annual deductible per member \$500 annual deductible per household
<b>Copayment/Coinsurance</b>	A fixed dollar copayment for certain services and up to 25% of the cost for other services.
<b>Yearly maximum copayment</b>	Member's annual maximum copay when using participating providers: \$2,500 per member \$4,000 per family
<b>Annual benefit maximum</b>	Members must pay for services received after the combined total of all benefits paid under MRMIP reaches \$75,000 in a single calendar year
<b>Lifetime benefit maximum</b>	Members must pay for services received after the combined total of all benefits paid under MRMIP reaches \$750,000 in the member's lifetime

## STATE AND COUNTY-SPONSORED PROGRAMS

To ensure continuity and coordination of care for our members, Anthem Blue Cross enters into agreements with locally-based state and county public health services and programs. Providers are responsible for notifying Utilization Management when a referral is made to any of the agencies or programs listed below.

This notification ensures that case manager nurses and social workers can follow up with members to coordinate care. It also ensures that members receive all necessary services while keeping the provider informed.

- Behavioral Health
- California Children's Services (CCS)
- California Early Start
- Child Health and Disability Prevention Program
- Directly Observed Therapy for Tuberculosis (DOT)
- End of Life Services (Contact the Medi-Cal and Provider Helpline at **800-541-5555**. Outside of CA, call **916-636-1980**)
- Family Planning Services
- HIV Counseling and Testing
- Immunization Services
- Women, Infants and Children (WIC)
- Waiver Programs
- Targeted Case Management
- Mental health
- Alcohol and substance use disorder treatment services
- Sexually transmitted diseases
- Behavioral Health and Substance Abuse

## BEHAVIORAL HEALTH AND SUBSTANCE ABUSE

### OUTPATIENT (MEDI-CAL)

Behavioral healthcare services are covered when ordered by a participating provider for the diagnosis and treatment of a behavioral health condition. The conditions covered include the following:



- Treatment for members who have experienced mild to moderate behavioral, cognitive, or emotional impairment related to a behavioral health diagnosis as identified by DSM-V.

More specifically, Anthem Blue Cross will arrange for members to receive the following Non-Specialty Mental Health Services (NSMHS):

- Mental health evaluation and treatment, including individual, group and family psychotherapy.<sup>1</sup>
- Psychological and neuropsychological testing, when clinically indicated to evaluate a mental health condition.
- Outpatient services for purposes of monitoring drug therapy.
- Psychiatric consultation.
- Outpatient laboratory, drugs, supplies, and supplements.<sup>2</sup>

In addition to the above requirements, Anthem Blue Cross will provide psychotherapy to members under the age of 21 with specified risk factors or with persistent mental health symptoms in the absence of a mental health disorder.

Further, Anthem Blue Cross will cover up to 20 individual and/or group counseling sessions for pregnant and postpartum individuals with specified risk factors for perinatal depression when sessions are delivered during the prenatal period and/or during the 12 months following childbirth.

Anthem Blue Cross covers outpatient laboratory tests, drugs, supplies, and supplements prescribed by mental health providers in their network and PCPs, including physician administered drugs administered by a health care professional in a clinic, physician's office, or outpatient setting through the medical benefit, to assess and treat mental health conditions. Anthem Blue Cross may require that NSMHS for adults are provided through the MCP's provider network, subject to a medical necessity determination. The laboratory testing may include tests to determine a baseline assessment before prescribing psychiatric medications or to monitor side effects from psychiatric medications. Supplies may include laboratory supplies.

Lastly, Anthem Blue Cross covers and reimburses for emergency room professional services as described in Section 53855 of Title 22 of the California Code of Regulations (CCR). This includes all professional physical, mental, and substance use treatment services, including screening examinations necessary to determine the presence or absence of an emergency medical condition and, if an emergency medical condition exists, for all services medically necessary to stabilize the member. Emergency services include facility and professional services and facility charges claimed by emergency departments.

This applies to the following populations:

- Members who are 21 years of age and older with mild to moderate distress, or mild to moderate impairment of mental, emotional, or behavioral functioning resulting from mental health disorders, as defined by the current Diagnostic and Statistical Manual of Mental Disorders;
- Members who are under the age of 21, to the extent they are eligible for services through the Medicaid Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) benefit, regardless of the level of distress or impairment, or the presence of a diagnosis; and

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1 Details regarding NSMHS psychiatric and psychological services, including psychotherapy coverage, Current Procedural Terminology (CPT) codes that are covered, and information regarding eligible provider types can be found in the Medi-Cal Provider Manual, Non-Specialty Mental Health Services: Psychiatric and Psychological Services (<https://files.medi-cal.ca.gov/pubsdoco/publications/masters-mtp/part2/nonspecmental.pdf>).

2 This does not include medications covered under the Medi-Cal Rx Contract Drug List, which can be accessed at: <https://medi-calrx.dhcs.ca.gov/home/cdl>.

- Members of any age with potential mental health disorders not yet diagnosed.

Further, Anthem Blue Cross will cover clinically appropriate NSMHS for the above populations even when:

1. Services are provided prior to determination of a diagnosis, during the assessment period, or prior to a determination of whether NSMHS or Specialty Mental Health Services (SMHS) access criteria are met. Anthem Blue Cross will cover NSMHS delivered by providers during the assessment process, as well not deny or disallow reimbursement if the assessment concludes the member does not meet the criteria for NSMHS or SMHS.
2. Services are not included in an individual treatment plan. Anthem Blue Cross will reimburse NSMHS whether or not they are indicated in the member's treatment plan.
3. The member has a co-occurring mental health condition and SUD. Anthem Blue Cross will cover NSMHS delivered by providers whether or not the member has a co-occurring substance use disorder (SUD). Also, Anthem Blue Cross will not deny or disallow reimbursement for NSMHS for a member who meets NSMHS criteria based on possessing a co-occurring SUD, as long as all other Medi-Cal and service requirements are met. Likewise, SUD services, such as alcohol and drug screening, assessment, brief interventions, referral to treatment and medication assisted treatment (MAT), are covered whether or the member has a co-occurring mental health illness.
4. NSMHS and SMHS services are provided concurrently if those services are coordinated and not duplicated. Anthem Blue Cross will not deny or disallow reimbursement for NSMHS when a member is simultaneously receiving clinically appropriate SMHS, if the services have been coordinated and are not duplicative. Further, the concurrent services, for adults and children under 21 years of age, must be coordinated between Anthem Blue Cross and Mental Health Plans (MHPs) to ensure member choice. This involves coordination between Anthem Blue Cross and MHPs to facilitate care transitions and referrals, ensuring the referral loop is closed, with the members involvement in the decision-making process.

**Note:** The treatment of **severe mental illness (SMI)** or **serious emotional disturbance (SED)** remains the responsibility of the local county mental health plan. Both providers and members should continue to contact their county directly for support with Specialty Mental Health Services (SMHS). SMHS are managed by the Counties and these MHPs are required to provide or arrange for the provision of medically necessary SMHS for members in their counties who meet access criteria for SMHS as described in Behavioral Health Information Notice (BHIN 21-073).

At Anthem Blue Cross, our behavioral healthcare benefit is fully integrated with the rest of our healthcare programs. The provider roles include:

- Ongoing communication and coordination with physical health and other providers
- Encouraging members to consent to the sharing of behavioral health treatment information
- Coordination with treating providers when members are hospitalized
- Ongoing coordination with Anthem Blue Cross Care Management, for any member concurrently enrolled in Anthem Blue Cross Care Management.
- Coordination with the County Mental Health Plan (CMHP) and County Alcohol and other Drug Programs (AOD) when members enter higher levels of care, specifically in need of SMHS.

## **MEMBER RECORDS, ASSESSMENT, AND TREATMENT PLANNING**

### **MEMBER RECORDS**

Member records must meet the standards and contain the elements consistent with the licensure of the provider and are kept confidential as require by state and federal law.

## MENTAL HEALTH ASSESSMENT

At any time, members can choose to seek and obtain a mental health assessment from a licensed mental health provider within Anthem Blue Cross' provider network. Also, Anthem Blue Cross will ensure that a mental health screening of members is conducted by network Primary Care Providers (PCP). If a member's PCP cannot perform the mental health assessment, they must refer the member to the appropriate provider and ensure that the referral to the appropriate delivery system for mental health services, either in Anthem Blue Cross' provider network or the county mental health plan's network, is made in accordance with the No Wrong Door policies set forth in W&I Code section 14184.402(h) and APL 22-005.

Anthem Blue Cross will cover the cost of an initial mental health assessment completed by an out-of-network provider only if there are no in-network providers that can complete the necessary service within the applicable timely and geographical access requirements set forth in APL 19-002 or subsequent guidance.

Members with positive screening results may be further assessed either by the PCP or by referral to a network mental health provider. The member may then be treated by the PCP within the PCP's scope of practice. When the condition is beyond the PCP's scope of practice, the PCP must refer the member to a mental health provider, first attempting to refer within the Anthem Blue Cross network.

## ALCOHOL AND SUBSTANCE USE DISORDER SCREENING, REFERRAL, AND SERVICES

Anthem Blue Cross provides covered SUD services, including alcohol and drug use screening, assessment, brief interventions, and referral to treatment (SABIRT) for members ages 11 and older, including pregnant members, in primary care settings and tobacco, alcohol, and illicit drug screening in accordance with American Academy of Pediatrics Bright Futures for Children recommendations and United States Preventive Services Taskforce grade A and B recommendations for adults as outlined in APL 21-014, Alcohol and Drug Screening, Assessment, Brief Interventions and Referral to Treatment.

Further, Anthem Blue Cross provides or arranges for the provision of:

- Medications for Addiction Treatment (also known as Medication-Assisted Treatment) provided in primary care, inpatient hospital, emergency departments, and other contracted medical settings.
- Emergency services necessary to stabilize the member

## PERSONALIZED SUPPORT AND CARE PLAN

A patient-centered **support and care plan** based on the psychiatric, medical substance use and community functioning assessments found in the initial comprehensive assessment must be completed for any member who receives behavioral health services.

There must be documentation in every case that the member and, as appropriate, his or her family members, caregivers or legal guardian participated in the development and subsequent reviews of the treatment plan.

The support and care plan must be completed within the first 14 days of admission to behavioral health services and updated every 180 days or more frequently as necessary based on the member's progress toward goals or a significant change in psychiatric symptoms, medical condition and/or community functioning.

There must be a signed release of information to provide information to the member's PCP or evidence that the member refused to provide a signature. There must be documentation that referral to appropriate medical or social support professionals have been made.

A provider who discovers a gap in care is responsible to help the member get that gap in care fulfilled, and documentation should reflect the action taken in this regard.

For providers of multiple services, one comprehensive treatment/care/support plan is acceptable as long as at least one goal is written and updated as appropriate for each of the different services that are being provided to the member.

The treatment/support/care plan must contain the following elements:

- Identified problem(s) for which the member is seeking treatment
- Member goals related to each problem(s) identified, written in member-friendly language
- Measurable objectives to address the goals identified
- Target dates for completion of objectives
- Responsible parties for each objective
- Specific measurable action steps to accomplish each objective
- Individualized steps for prevention and/or resolution of crisis, which includes identification of crisis triggers (situations, signs and increased symptoms); active steps or self-help methods to prevent, de-escalate or defuse crisis situations; names and phone numbers of contacts who can assist the member in resolving crisis; and the member's preferred treatment options, to include psychopharmacology, in the event of a mental health crisis
- Actions agreed to be taken when progress toward goals is less than originally planned by the member and provider
- Signatures of the member, as well as family members, caregivers or legal guardian as appropriate

## PSYCHOTROPIC MEDICATIONS

Prescribing providers must inform all members considered for prescription of psychotropic or other medications of the benefits, risks and side effects of the medication, alternate medications, and other forms of non-medication treatment options as consistent with their licensure.

## TIMELINESS OF DECISIONS ON REQUESTS FOR AUTHORIZATION

- Urgent, preservice requests: within 72 hours of request
- Urgent concurrent requests: within 72 hours of request
- Routine, nonurgent requests: 5 business days and up to 14 calendar days
- Retrospective review requests: within 30 days of request

## ACCESS TO CARE STANDARDS

Standards for timely and appropriate access to quality behavioral healthcare are outlined below:

- Emergent: immediately
- Urgent: within 48 hours of referral/request
- Routine outpatient: within 10 days of request
- Outpatient following discharge from an inpatient hospital: within 7 days of discharge

## DEFINITIONS

**Emergent:** Treatment is considered to be an on-demand service and does not require precertification. Members are asked to go directly to emergency rooms for services if they are either unsafe or their conditions are deteriorating.

**Urgent:** A service need is not emergent and can be met by providing an assessment and services within 48 hours of the initial contact. If the member is pregnant and has substance use problems, she is to be placed in the urgent category.

**Routine:** A service need is not urgent and can be met by receiving treatment within 10 calendar days of the assessment without resultant deterioration in the individual's functioning or worsening of his or her condition.

## HOW TO PROVIDE NOTIFICATION OR REQUEST PREAUTHORIZATION

You may request preauthorization for nonroutine outpatient mental health services that require prior authorization via phone by calling:

- **888-831-2246** 24 hours a day, seven days a week, 365 days a year

Please be prepared to provide clinical information in support of the request at the time of the call.

You may request preauthorization via fax, email or the provider portal where available for certain levels of care. Fax forms are located on our website or via email at:

- <https://providers.anthem.com/CA>
- Email: Medi-CalBHUM@wellpoint.com

The fax numbers to use when providing notification or requesting prior authorization for behavioral health services are:

- Outpatient requests: **855-473-7902**
- Inpatient requests: contact the local county department of mental health

**Note:** All requests for precertification for psychological and neuropsychological testing, and requests for precertification for ABA should be submitted via fax to **855-473-7902**. Psychological and neurological testing request forms can also be mailed to:

Behavioral Health Department  
Anthem Blue Cross  
P.O. Box 60007  
Los Angeles, CA 90060-0007

## **BEHAVIORAL HEALTH CLINICAL AUTHORIZATION AND PROTOCOLS**

The Anthem Blue Cross clinical authorization process is designed to be flexible, providing primary responsiveness to our members' needs while simultaneously allowing The Anthem Blue Cross clinical team to gather information for appropriate medical necessity determinations.

Authorization of medically necessary services within the required time frames is the responsibility of The Anthem Blue Cross licensed behavioral health clinicians. Whenever a clinician questions the appropriateness of the requested level of care, the review is referred to an appropriate behavioral healthcare clinician. Our multidisciplinary team of behavioral healthcare clinicians can include:

- Licensed psychologists
- Licensed professional counselors
- Licensed social workers
- Licensed marriage and family therapists
- Registered psychiatric nurses
- Board certified psychiatrist

These professionals conduct reviews of behavioral health and substance abuse services to monitor and evaluate treatment requests and progress. They manage utilization, control behavioral healthcare costs and achieve optimal clinical outcomes through a collaborative approach that considers both utilization review data and nationally recognized clinical practice guidelines to determine the appropriate level of care.

## **MEDICAL NECESSITY FOR NSMHS**

In accordance with W&I Code sections 14059.5 and 14184.402, medical necessity is defined as follows for NSMHS:

for individuals under 21 years of age, a service is “medically necessary” or a “medical necessity” if the service meets the EPSDT standard set forth in Section 1396d(r)(5) of Title 42 of the USC.

for individuals 21 years of age or older, a service is “medically necessary” or a “medical necessity” when it is reasonable and necessary to protect life, to prevent significant illness or significant disability, or to alleviate severe pain.

## **NECESSITY DETERMINATION AND PEER REVIEW**

- When a provider requests initial or continued authorization for a covered service, our Utilization Managers obtain necessary clinical information and review it to determine if the request meets applicable medical necessity criteria.
- If the information submitted does not appear to meet such criteria, the Utilization Manager submits the information for review by the Medical Director or other appropriate practitioner as part of the peer review process.
- The reviewer or the requesting provider may initiate a peer-to-peer conversation to discuss the relevant clinical information with the clinician working with the member.
- If an adverse decision is made by the reviewer without such a peer-to-peer conversation having taken place (as may occur when the provider is unavailable for review), the provider may request such a conversation. In this case, we will make a Medical Director or other appropriate practitioner available to discuss the case with the requesting provider. This conversation may result in the decision being upheld or changed.
- Members, providers, and applicable facilities are notified of any adverse decision within notification time frames that are based on the type of care requested and in conformance with regulatory and accreditation requirements.

## **PROFESSIONAL BILLING REQUIREMENTS**

Providers rendering covered behavioral health services should bill Anthem Blue Cross using behavioral health CPT codes. All claims for covered behavioral health services should be billed to Anthem Blue Cross. For more information about proper professional billing procedures, please refer to the Claims chapter of this manual or call the number below:

- **866-398-1922**

## **NON-MEDICAL NECESSITY ADVERSE DECISIONS (ADMINISTRATIVE ADVERSE DECISION)**

If you received an administrative adverse determination and think that this decision was in error, please see the Grievances and Appeals chapter of this manual for information and instructions on appeals, grievances, and payment disputes.

If you did not receive a precertification for a requested service and think that this decision was in error, please see the Grievances and Appeals chapter of this manual for information and instructions on appeals, grievances, and payment disputes.

## **AVOIDING AN ADVERSE DECISION**

Most administrative adverse decisions result from non-adherence to or a misunderstanding of utilization management policies. Familiarizing yourself and your staff with notification and precertification policies and acting to meet those policies can eliminate the majority of these decisions. Other administrative adverse decisions result from misinformation about the member’s status or benefits.

Adverse decisions of a medical nature are rare. Such adverse decisions usually involve a failure of the clinical information to meet evidenced-based national guidelines. We are committed to working with all providers to ensure that such guidelines are understood and easily identifiable for providers. Peer-to-peer conversations

(between a Medical Director and the provider clinicians) are one way to ensure the completeness and accuracy of the clinical information.

**Medical record reviews** are another way to ensure that clinical information is complete and accurate. Providers who can appropriately respond in a timely fashion to peer-peer and medical record requests are less likely to encounter dissatisfaction with the utilization management process. We are committed to ensuring a process that is quick and easy and will work with participating providers to ensure a mutually satisfying process.

## **BEHAVIORAL HEALTH CLINICAL PRACTICE GUIDELINES**

All providers have access to evidence-based clinical practice guidelines for a variety of behavioral health disorders commonly seen in primary care. These clinical practice guidelines are located online at:

- <https://providers.anthem.com/CA>

## **CARVED-OUT BEHAVIORAL HEALTH SERVICES**

All facility-based behavioral health and substance abuse services are carved out to the local county department of mental health and the county alcohol and other drug programs:

- Inpatient admissions (IP)
- Intensive Outpatient Program (IOP)
- Partial Hospitalization Program (PHP)
- Residential Treatment Center services (RTC)
- Residential treatment services

## **BEHAVIORAL HEALTH SELF-REFERRALS**

Members may self-refer to any behavioral healthcare provider in the Anthem Blue Cross network. If the member is unable or unwilling to access timely services through community providers, call our Customer Care Center for assistance.

## **BEHAVIORAL HEALTH, ALCOHOL, AND OTHER DRUG PROGRAM**

The following state and county behavioral health services for those with severe level of impairment are available upon referral:

- 24-hour treatment services
- Case management
- Comprehensive evaluation and assessment
- Group services
- Medication education and management
- Outpatient substance use disorders services
- Pre-crisis and crisis services
- Services for homeless persons
- Vocational rehabilitation
- Wraparound services
- Voluntary inpatient detox

For more detailed information on these programs, go to the state's **Department of Mental Health** website:

- [www.dhcs.ca.gov/services/Pages/MentalHealthPrograms-Svcs.aspx](http://www.dhcs.ca.gov/services/Pages/MentalHealthPrograms-Svcs.aspx)

## **INPATIENT**

In-patient mental health services are carved out to the local County Mental Health department. Please contact the County Mental Health Department for any questions.

## **MAJOR RISK MEDICAL INSURANCE PROGRAM (MRMIP)**

Services for illnesses that do not meet the criteria for SMI or SED are limited to 15 visits per calendar year. Inpatient mental healthcare services include treatment for SMI, which encompasses, but is not limited to, the following:

- Anorexia nervosa
- Bipolar disorder
- Bulimia nervosa
- Major depressive disorders
- Obsessive compulsive disorder
- Panic disorders
- Pervasive developmental disorder or autism
- Schizophrenia
- Schizoaffective disorder

Inpatient mental healthcare services also include treatment for SED including problems with eating, sleeping, or hurting oneself or others.

**Note:** For the treatment of SMI or SED, there is no limitation on the number of treatment days.

## **STATE AND COUNTY SERVICES AND PROGRAMS**

The following state and county behavioral health services are available upon referral:

- 24-hour treatment services
- Case management
- Comprehensive evaluation and assessment
- Pre-crisis and crisis services
- Group services
- Medication education and management
- Residential services
- Services for homeless persons
- Vocational rehabilitation

For more detailed information on these programs, go to the state's **Department of Mental Health** website:

- [www.dhcs.ca.gov/services/Pages/MentalHealthPrograms-Svcs.aspx](http://www.dhcs.ca.gov/services/Pages/MentalHealthPrograms-Svcs.aspx)

## **MAJOR ORGAN TRANSPLANT**

The following major organ transplants covered by Anthem Blue Cross include but are not limited to:

- Bone marrow
- Heart
- Heart/Lung
- Kidney
- Kidney/Pancreas
- Liver
- Liver/Small bowel
- Lung
- Pancreas
- Small bowel



## **TRANSPLANTS FOR CHILDREN UNDER AGE 21**

State law requires children who need transplants to be referred to the California Children's Services (CCS) program to see if the child is eligible for CCS. If the child is eligible for CCS, CCS will cover the costs for the transplant and related services.

Pediatric organ transplants that qualify as a CCS-eligible condition are required to be performed only in a CCS-approved Special Care Center (SCC). The SCC must meet the following criteria:

- Have both a CCS program approved center for the specific organ and appropriate pediatric subspecialists on the hospital staff;
- Include the participation of the CCS-paneled pediatric subspecialists with the appropriate specialty for the specific organ, for the care of all patients under the age of 18 years; and
- Admit all patients under the age of 14 years to a pediatric unit or floor.

SCCs by center type can be found here: <https://www.dhcs.ca.gov/services/ccs/scc/Pages/SCCType.aspx>

If the child is not eligible for CCS, then Anthem Blue Cross will refer the child to a qualified transplant center for evaluation. If the transplant center confirms the transplant would be needed and safe, Anthem Blue Cross will cover the transplant and all associated medically necessary services. In such cases, Anthem Blue Cross will ensure that the transplant is performed in a Center of Excellence (COE) that has been approved for pediatric major organ transplants.

## **TRANSPLANTS FOR ADULTS AGED 21 AND OLDER**

Members identified as potential candidates for Major Organ Transplant are referred to a DHCS approved Transplant Center for evaluation. If the transplant center confirms a transplant is needed and safe, Anthem Blue Cross will cover the transplant and all associated medically necessary services. Adult transplant programs must meet the criteria listed below:

- Solid Organ transplant programs must meet the Center for Medicare and Medicaid Services (CMS) Conditions of Participation (CoP) for a specific organ type and must maintain an active membership with the Organ Procurement and Transplantation Network (OPTN) administered by the United Network for Organ Sharing (UNOS). A list of current OPTN members can be found here: <https://optn.transplant.hrsa.gov/members/member-directory>
- Bone Marrow transplant programs must have current accreditation by the Foundation for the Accreditation of Cellular Therapy (FACT). A list of current FACT accreditations can be found here: <https://accredited.factwebsite.org>

DHCS requires transplant programs that meet the above criteria to submit an application for consideration to become a Medi-Cal Center of Excellence (COE). If DHCS approves the application, the transplant program is placed on the COE list.

## **OUT-OF-STATE TRANSPLANT PROGRAM CRITERIA**

Anthem Blue Cross may utilize CMS approved out-of-state transplant programs for reasons including, but not limited to:

- The member has been receiving services from the out-of-state transplant program for services related to the condition for which the member requires a major organ transplant;
- The member may receive the major organ transplant surgery sooner at the out-of-state transplant program; or
- The out-of-state transplant program is closer to the member than the COE that is within the Anthem Blue Cross network in California

In cases where Anthem approves the member to receive major organ transplant services at an out-of-state transplant program, Anthem will ensure member choice and that the process of referring and coordinating transplants for members to the out-of-state transplant programs is not more restrictive than referring to a COE. Additionally, Anthem will ensure that out-of-state transplant programs meet the following criteria:

- CMS approval and current OPTN membership for solid organs transplants; or
- FACT accreditation for bone marrow transplants

## SENSITIVE SERVICES

Members do not need prior authorization and may self-refer for the following sensitive services provided by qualified in-network or out of network providers. For more information, see: <http://teenhealthlaw.org/wp-content/uploads/2019/09/CaMCConfMentalHealthChart9-19.pdf>:

- Family planning services including:
  - Contraceptive pills, devices and supplies
  - Diagnosis and treatment of sexually transmitted disease
  - Health education and counseling
  - Laboratory tests
  - Limited history and physical examinations
  - Pregnancy testing and counseling
  - Sterilization
  - Annual examination with a network obstetrician/gynecologist
  - HIV testing and counseling
  - Sexual assault including rape
  - Drug or alcohol abuse for children 12 years of age or older
  - Outpatient mental healthcare for children 12 years of age or older who are mature enough to participate intelligently and when either:
    - There is a danger of serious physical or mental harm to the minor or others
    - The children are the alleged victims of incest or child abuse

## TELEHEALTH

Telehealth is a healthcare delivery method that applies high-speed telecommunications systems, computer technology and specialized medical cameras to examine, diagnose, treat and educate patients at a distance.

For example, through a telehealth encounter, a patient at a telehealth clinic in a rural area may seek medical treatment from a provider or specialist in Los Angeles or San Francisco without incurring the expense of traveling to such distant locations.

The advantages of communicating via telehealth are the following:

- Providers can choose from the Anthem Blue Cross network of specialists, no matter where the member lives.
- The member does not have to wait long periods of time to schedule an appointment with a specialist.
- Providers can electronically send the member's medical data to a specialist for review.
- Specialists can use the computers and other equipment to send a recommendation for care back to the providers and members from a distance.

**Note:** Utilizing telehealth does not require prior authorization.

Telehealth does not include services rendered by audio-only telephone, fax or email communication.

**Telehealth** can connect a provider's office to a **specialty center** in one of the following ways:

- **Live video consult:** The PCP and specialist meet at the same time using encrypted video conferencing equipment.
- **Store and forward:** PCP sends images of the patient's condition and medical history as an encrypted email to the specialist for review.

Telehealth offers multiple benefits to providers and members:

- The member can continue to be cared for by their local provider.
- The member does not need to travel long distances to receive specialist care.
- The PCP receives all records and test results from the encounter.
- The PCP consults with the specialist participating in the telehealth encounter to design any necessary course of treatment.

Telehealth can also be used for nonclinical consults such as community services, continuing medical education and other provider training sessions.

To find out more about telehealth, use the following contact information:

- If you are located in Los Angeles, please call: **866-465-2272**
- If you are located in central California and surrounding rural counties, please call: **877-811-3113**
- If you located in northern California and surrounding rural counties, please call: **888-252-6331**
- For contracting questions, please call Provider Solutions at: **877-496-0045**

## TRANSPORTATION

### NON-EMERGENCY MEDICAL TRANSPORTATION

Anthem Blue Cross (Anthem) contracted with ModivCare Solutions to coordinate transportation for Anthem members enrolled in Medi-Cal Managed Care (Medi-Cal) in the state of California. ModivCare is responsible for:

- Taking reservations from members, facilities and medical groups for non-emergency ground transportation
- Contracting with ground transportation providers
- Providing payment for non-emergency ground transportation claims

Members can call **877-931-4755** to arrange for authorized transportation through ModivCare. Transportation benefits cover non-emergency medically necessary transportation (NEMT) and non-medical transportation (NMT). ModivCare will ensure timely access for all covered trips. Effective 1/1/22, members will also receive medically appropriate NEMT services for all pharmacy prescriptions prescribed by the member's Medi-Cal provider (s) and those authorized under Medi-Cal Rx (per DHCS's Medi-Cal Rx implementation).

A completed Physician Certification Statement form is required for members to access NEMT. Physicians **must** complete the form and return it to ModivCare within 2 business days of receipt.

The form can be found here: *ModivCare PCS Form* - <https://providers.anthem.com/california-provider/resources/forms>

Members can call to arrange for transportation through ModivCare

### EMERGENT TRANSPORTATION — AMBULANCE SERVICES

Ambulance services must come from a licensed ambulance or air ambulance company and be used only for emergencies. Coverage includes:

- Base charge and mileage

- Cardiac defibrillation
- CPR
- EKGs
- IV solutions
- Monitoring
- Oxygen
- Supplies

## 5 | MEMBER SERVICES, EDUCATION, WELLNESS

- Customer Care Center (outside L.A. County): **800-407-4627**  
Hours of operation: Monday to Friday, 7 a.m. - 7 p.m.
- Customer Care Center (inside L.A. County): **888-285-7801**  
Hours of operation: Monday to Friday, 7 a.m. - 7 p.m.
- MRMIP Customer Care Center: **877-687-0549**  
Hours of operation: Monday to Friday, 8:30 a.m. - 5 p.m.

### 24/7 NurseLine

Questions about healthcare prevention and management don't always come up during office hours. 24/7 NurseLine is a 24-hour-a-day, 7-day-a-week phone line staffed by registered nurses.

24/7 NurseLine allows members to closely monitor and manage their own health by giving them the ability to ask questions whenever they come up.

- 24/7 NurseLine: **800-224-0336**
- **TTY: 711**

Members can call 24/7 NurseLine for:

- Self-care information including assistance with symptoms, medications and side effects, and reliable self-care home treatments
- Access to specialized nurses trained to discuss health issues specific to our teenage members
- Information on more than 630 healthcare topics through the 24/7 NurseLine audio tape library
- Assistance in finding an in-network provider

Members can also call our 24/7 NurseLine anytime to speak to a registered nurse. Nurses provide health information and options for any of the following:

- Emergency instructions
- Health concerns
- Local healthcare services
- Medical conditions
- Prescription drugs
- Access to interpreter services

## WELLNESS PROGRAMS

Anthem Blue Cross health services programs are designed to improve our members' overall health and well-being by informing, educating and encouraging self-care in the early detection and treatment of existing conditions and chronic disease.

These targeted programs are designed to supplement providers' treatment plans and include multiple categories such as:

- **Preventive Care Programs** for all members including the Initial Health Assessment, the Staying Healthy Assessment (SHA) Tool and Well Woman programs and vaccines when recommended by the ACIP
- **Wellness Programs** that promote knowledge on self-care for targeted medical conditions and chronic disease
- **Health Education** including the **24/7 NurseLine** for all health-related questions
- **Emergency Room Initiative** that instructs members on the proper use of emergency room services

We introduce new members to these programs through a new member packet, which includes preventive healthcare guidelines and a *Member Services Guide* that includes information on how to access health education services.

After that, we utilize a variety of methods and informal settings to inform our members about available health services including:

- Direct mailings
- Health education classes
- Telephone calls
- Health fairs and community events

## TOBACCO CESSATION PROGRAMS

Anthem Blue Cross supports smoking cessation for members who want to become smoke-free by:

- Assisting members in improving their health status and quality of life by becoming more actively involved in their own care.
- Encouraging members to quit using tobacco.
- Supporting members' tobacco cessation efforts with resources, referral programs and education.

Tobacco cessation/information available to members:

- California Smokers' Helpline offers free telephonic counseling, self-help materials and online help in six languages: California Smokers' Helpline:
  - **800-662-8887 (800-NO-BUTTS)**
  - <https://www.kickitca.org>

**American Lung Association Freedom from Smoking** offers telephonic counseling, in-person group clinics and online resources.

- [www.lung.org/stop-smoking/join-freedom-from-smoking](http://www.lung.org/stop-smoking/join-freedom-from-smoking)

**Note:** Enrollment in tobacco counseling **is not required** in order to obtain tobacco cessation materials.

## PROVIDER ASSESSMENT OF TOBACCO USE

Providers are required to implement the tobacco cessation interventions as outlined in the revised *All Plan Letter 16-014* dated November 30, 2016, from the California Department of Health Care Services.

These interventions include conducting initial and annual assessments of all members of any age who use tobacco products or are exposed to tobacco smoke and document this information in the member's medical record.

Using the Staying Healthy Assessment or other IHEBA at each age-appropriate interval to identify and track smoking status and/or exposure to tobacco smoke.

- Ask tobacco users about their current tobacco use at every visit and document. Prescribe Food and Drug Administration (FDA) approved tobacco cessation medications to non-pregnant adults of any age.

**Note:** Medi-Cal plans shall cover all FDA-approved tobacco cessation medications for adults who use tobacco products. This includes over-the-counter medications with a prescription from the provider.

[https://providers.anthem.com/docs/gpp/california-provider/CA\\_CAID\\_TobaccoUseGuide.pdf](https://providers.anthem.com/docs/gpp/california-provider/CA_CAID_TobaccoUseGuide.pdf)

Medi-Cal covers the following without prior authorization:

- Nicotine patches
- Nicotine gum

- Nicotine lozenges
- Bupropion SR (Zyban) - covered under Medi-Cal Rx without PA
- Nicotine nasal spray Varenicline (Chantix) - covered under Medi-Cal Rx without PA

Medi-Cal covers the following with prior authorization:

- Nicotine inhaler
- Refer tobacco users of any age to available individual, group and telephonic counseling. Anthem Blue Cross members qualify for four counseling sessions of at least ten minutes for at least two separate quit attempts each year without prior authorization.

Providers can:

- Use the 5A's Model or other validated behavior change model when counseling members.
- Refer a member to the no-cost CA Smoker's Helpline at **800-NO-BUTTS** or another any other comparable quit- line.
- Refer to available community programs.
- Ask all pregnant women if they use tobacco or are exposed to tobacco smoke. If they smoke, offer at least one face-to-face counseling session per quit attempt and refer to a tobacco cessation quit line. Counseling services will be covered for 60 days after delivery. Smoking cessation medications are not recommended during pregnancy. Providers shall refer to the tobacco cessation guidelines by the American College of Obstetrics and Gynecology (ACOG).
- Provide education including brief counseling to children and adolescents to prevent initiation of tobacco in school-aged children and adolescents. Providers shall follow the American Academy of Pediatrics guidance on tobacco including the provision of anticipatory guidance.

Anthem Blue Cross will monitor provider performance in implementing these tobacco cessation services through various processes comprising of periodic medical record reviews, , and review of medical or pharmacy claims data.

Providers can read the policy letter by going to the DHCS website at:

[www.dhcs.ca.gov/formsandpubs/Documents/MMCDAPLsandPolicyLetters/APL2016/APL16-014.pdf](http://www.dhcs.ca.gov/formsandpubs/Documents/MMCDAPLsandPolicyLetters/APL2016/APL16-014.pdf)

The Smoking Cessation Leadership Center is a national program that collaborates with health professionals and institutions to increase their competency in helping smokers quit. They provide various types of resources including curriculums, presentations, online training, publications, toolkits and webinars for continuing education.

If you are interested in tobacco cessation updates through the aforementioned outlets, please visit:

<http://smokingcessationleadership.ucsf.edu>

For additional information and provider training resources, visit The Anthem Blue Cross tobacco cessation webpage at:

<http://providers.anthem.com/california-provider/resources/provider-training-academy>

## EMERGENCY ROOM ACTION CAMPAIGN

The Anthem Blue Cross **ER Action Campaign** identifies members who visit the emergency room for non-emergency services that can be better managed at their doctor's office or an urgent care center.

With this campaign, we can help patients know that non-emergency, preventive and follow-up care should always start with their doctor.

The ER Action Campaign teaches members about:

- Seeking care for non-emergency events
- Contacting their doctor first before going to the ER
- Alternatives to ER use
- Importance of follow-up care by their PCPs

Our ER Action Campaign relies on the support of providers like you, who remind patients that their doctor's office and our 24/7 NurseLine should be their first call for non-emergency conditions.

Working together, we can help your patients get appropriate care and avoid the long wait times and high costs often associated with ER visits and encourage a strong relationship with you, their primary doctor.

## **HEALTH EDUCATION NO-COST CLASSES**

Anthem Blue Cross offers health education services and programs to meet the specific health needs of our members, promote healthy lifestyles, and improve the health of those living with chronic diseases. Health education classes take place at hospitals and/or community-based organizations. Classes are available at no charge to the member and are available upon self-referral or referral by Anthem Blue Cross providers.

Classes vary from county to county and include the following topics:

- Asthma management
- Breastfeeding education
- Diabetes management
- Exercise
- Family planning
- HIV/STD control
- Hypertension/heart disease education
- Injury prevention
- Nutrition
- Obesity
- Parenting
- Perinatal education
- Smoking cessation/tobacco prevention
- Substance use

Members receive information about health education classes through enrollment materials, member website, and information made available at their provider's office.

## **HEALTH EDUCATION REFERRAL**

Providers can refer members to health education classes using the *[Health Education & Cultural and Linguistic Referral Form](#)* on our provider website.

The form is located within the health education site at:

- <https://providers.anthem.com/california-provider/resources/forms>

To schedule a health education class, members should call our Customer Care Centers.

If the member receives one-on-one counseling from an Anthem Blue Cross Health Educator, Anthem Blue Cross sends a confirmation letter to the member's PCP with the following information:

- Member's name
- Member's ID number
- Topic discussed



If the provider administers health education to the member, it must be documented in the member's medical record. Documentation must include the following:

- Education topic
- Identification of person providing the education
- Materials distributed to the member
- Notation of any follow-up or recommendations

If a member is referred for one-on-one health education counseling and the Health Educator is unable to reach him/her after multiple attempts, Anthem Blue Cross sends an Unable to Reach letter to the member's PCP. Similarly, if the referred member declines health education counseling, Anthem Blue Cross will send a letter notifying the provider.

## DIABETES PREVENTION PROGRAM

Medi-Cal Managed Care (Medi-Cal) members at risk for type 2 diabetes have access to the Centers for Disease Control and Prevention (CDC) Diabetes Prevention Program (DPP) through a new member benefit. DPP has been proven by the National Institute of Health (NIH) in a randomized controlled trial to greatly reduce the progression of prediabetes to type 2 diabetes. Services are delivered by trained lifestyle coaches and organizations recognized by the CDC at no cost to the member.

The DPP is a year-long program that consists of weekly sessions with a lifestyle coach for the first six months and monthly maintenance sessions for the latter six months. Sessions can be held in a group classroom setting or online. Participants will learn realistic lifestyle changes emphasizing weight loss through exercise, healthy eating and behavior modification.

Member eligibility criteria include:

- At least 18 years of age
- BMI of 25 or greater:
  - If member is of Asian descent, a BMI of 23 or greater is required.
- Blood screening (optional, if available):
  - Hemoglobin A1C: 5.7% to 6.4%
  - Fasting plasma glucose: 100 to 125 mg/dL
  - Oral Glucose Tolerance Test: 140 to 199 mg/dL
- **Exclusions** include no previous diagnosis of end-stage renal disease or type 1 or type 2 diabetes; not pregnant (*previous gestational diabetes is not an exclusion*)

Providers can refer members to the DPP by completing the DPP Provider Referral Form located at:

[https://providers.anthem.com/docs/gpp/california-provider/CA\\_CAID\\_Forms\\_DiabetesPreventionProgramReferral.pdf?v=202010122213](https://providers.anthem.com/docs/gpp/california-provider/CA_CAID_Forms_DiabetesPreventionProgramReferral.pdf?v=202010122213)

Providers can also direct members to take the online risk assessment by visiting

[https://solera4me.com/AnthemBC\\_MediCal](https://solera4me.com/AnthemBC_MediCal) or by calling **833-516-4483** to determine eligibility and enroll in the DPP.

## HEALTH EDUCATION MATERIALS FOR YOUR OFFICE

Health education materials including health topic-specific brochures such as Diabetes, Asthma, Smoking, Pregnancy and Baby's Health, Exercise, and Nutrition can be found on the Anthem Blue Cross provider website at the beginning of this section.

Under *Provider Support*, you will also find links to other valuable resources such as cultural and linguistic tools, perinatal education brochures, and information regarding breastfeeding promotion. All of these resources may be downloaded. You may also request hard copies of these materials by calling the appropriate Customer Care Center at the number(s) listed at the beginning of this chapter.

## CULTURALLY AND LINGUISTICALLY APPROPRIATE SERVICES

Patient panels are increasingly diverse, and needs are becoming more complex. It is important for providers to have the knowledge, resources, and tools to offer culturally competent and linguistically appropriate care. Anthem Blue Cross wants to help, as we all work together to achieve health equity.

The U.S. Department of Health and Human Services (HHS) defines cultural competence as the ability to honor and respect the beliefs, languages, interpersonal styles, and behaviors of individuals and families receiving services, as well as staff members who are providing such services. It is a dynamic, ongoing developmental process requiring long-term commitment. The Agency for Healthcare Research and Quality (AHRQ) Patient Safety Network explains that healthcare is defined through a cultural lens for both patients and providers. A person's cultural affiliations can influence:

- Where and how care is accessed, how symptoms are described,
- Expectations of care and treatment options,
- Adherence to care recommendations.

Providers also bring their own cultural orientations, including the culture of medicine.

Offering culturally and linguistically appropriate care incorporates a variety of skills and knowledge, including, but not limited to, the ability to:

- Recognize the cultural factors (norms, values, communication patterns and world views) that shape personal and professional behavior.
- Develop understanding of others' needs, values and preferred means of having those needs met
- Formulate culturally competent treatment plans.
- Understand how and when to use language support services, including formally trained interpreters and auxiliary aids and services, to support effective communication.
- Avoid use of family members, especially minors, to act as interpreters for limited English proficient patients.
- Understand and adhere to regulations to support the needs of diverse patients, such as the Americans with Disabilities Act (ADA).
- Use culturally appropriate community resources as needed to support patient needs and care.

Anthem Blue Cross ensures providers have access to resources to help support delivery of culturally and linguistically appropriate services, and encourages providers to access and utilize the following resources available at <https://providers.anthem.com/california-provider/resources/provider-training-academy>.

Our **Cultural Diversity and Linguistic Services Toolkit** called *Caring for Diverse Populations* was developed to give you specific tools for breaking through cultural and language barriers in an effort to better communicate with your patients. The toolkit can be downloaded by selecting the link below:

[https://providers.anthem.com/docs/gpp/california-provider/CA\\_CAID\\_CaringforDiversePopulationToolkit.pdf?v=202010062345](https://providers.anthem.com/docs/gpp/california-provider/CA_CAID_CaringforDiversePopulationToolkit.pdf?v=202010062345) This toolkit gives you the information you'll need to continue building trust. It will enhance your ability to communicate with ease, talking to a wide range of people about a variety of culturally sensitive topics. And it offers cultural and linguistic training to your office staff so that all aspects of an office visit can go smoothly.

The toolkit contents are organized into the following sections:

- Improving communications with a diverse patient base
  - Encounter tips for providers and their clinical staff
  - A memory aid to assist with patient interviews
  - Help in identifying literacy problems
- Tools and training for your office in caring for a diverse patient base
  - Interview guide for hiring clinical staff who have an awareness of cultural competency issues
  - Americans with Disabilities Act (ADA) requirements
- Resources to communicate across language barriers
  - Tips for locating and working with interpreters
  - Common signs and common sentences in many languages
  - Language identification flashcards
  - Language skill self-assessment tools
- Resources to increase awareness on how cultural background impacts healthcare delivery
  - Tips for talking with people across cultures about a variety of culturally sensitive topics
  - Information about healthcare beliefs of different cultural backgrounds
- Regulations and standards for cultural and linguistic services
  - Identifies important legislation impacting cultural and linguistic services including a summary of the **Culturally and Linguistically Appropriate Services (CLAS) standards**, which serve as a guide on how to meet these requirements
- Resources for cultural and linguistic services
  - Cultural competency web-based resources

The toolkit contains materials developed by and used with the permission of the Industry Collaboration Effort (ICE) Cultural and Linguistic Workgroup, a volunteer, multidisciplinary team of providers, health plans, associations, state and federal agencies, and accrediting bodies working collaboratively to improve healthcare regulatory compliance through public education.

[www.iceforhealth.org](http://www.iceforhealth.org)

In addition to the caring for diverse populations toolkit, Anthem offers additional resources to support provision of culturally and linguistically appropriate services, including My Diverse Patients and a Cultural Competency Training, which can be accessed at: <https://providers.anthem.com/california-provider/resources/provider-training-academy>.

The My Diverse Patients website offers resources, information, and techniques to help provide the individualized care every patient deserves regardless of their diverse backgrounds. The site also includes learning experiences on topics related to cultural competency and disparities that offer free Continuing Medical Education (CME) credit. Current CME offerings include:

- **Caring for Children with ADHD:** Promotes understanding of and adherence to diagnosis and treatment guidelines; use of AAP's Resource Toolkit for Clinicians; awareness of and strategies for addressing disparities.
- **My Inclusive Practice - Improving Care for LGBTQIA+ Patients:** Helps providers understand the fears and anxieties LGBTQIA+ patients often feel about seeking medical care, learn key health concerns of LGBTQIA+ patients, & develop strategies for providing effective health care to LGBTQIA+ patients.
- **Improving the Patient Experience:** Helps providers identify opportunities and strategies to improve patient experience during a health care encounter.
- **Medication Adherence:** Helps providers identify contributing factors to medication adherence disparities for diverse populations & learn techniques to improve patient-centered communication to support needs of diverse patients.
- **Moving Toward Equity in Asthma Care:** Helps providers understand issues often faced by diverse patients with asthma & develop strategies for communicating to enhance patient understanding.

- **Reducing Health Care Stereotype Threat (HCST):** Helps providers understand HCST and the implications for diverse patients as well as the benefits of reducing HCST to both providers’ patients and practices, and how to do so.
- **Cultural Competency Training (Cultural Competency and Patient Engagement):** A training resource to increase cultural and disability competency to help effectively support the health and health care needs of your diverse patients.

## LANGUAGE CAPABILITY OF PROVIDERS AND OFFICE STAFF

Anthem Blue Cross strives to have a provider network that can meet the linguistic needs of our members. An important component of that is having network providers that are aware of the language capabilities of themselves and their office staff.

Providers must notify members of the availability of interpreter services and strongly discourage the use of friends and family members, especially children, acting as interpreters. Under the Federal guidance, published as section 1557 of the *Affordable Care Act*, providers are required to utilize qualified interpreters while interacting with members with limited English proficiency.

As defined in Section 1557, a “qualified interpreter” for an individual with limited English proficiency means an interpreter who provides interpretation services via a remote interpreting service or an onsite appearance. It is a requirement that a qualified interpreter:

1. Adheres to generally accepted interpreter ethics principles including client confidentiality.
2. Has demonstrated proficiency in speaking and understanding both spoken English and at least one other spoken language.
3. Is able to interpret effectively, accurately, and impartially, both receptively and expressly, to and from such language(s) and English, using any necessary specialized vocabulary, terminology, and phraseology.

Clear site-specific written policies shall be in place that delineate appropriate use of bilingual staff, contract interpreters and translators to ensure quality and effective use of resources.

Multilingual staff should self-assess their non-English language speaking and understanding skills prior to interpreting on the job.

Please be sure to provide annual updates on the language capabilities of your office staff and at least every three years for yourself by downloading the Employee Language Skills Self-Assessment Tool by selecting the link below: [https://providers.anthem.com/docs/gpp/california-provider/CA\\_CAID\\_LanguageSkillsSelfAssessmentTool.pdf?v=202010122213](https://providers.anthem.com/docs/gpp/california-provider/CA_CAID_LanguageSkillsSelfAssessmentTool.pdf?v=202010122213)

This information will be reported in the *Provider Directory* to help members find a provider and/or office staff that speaks their preferred language.

## INTERPRETER SERVICES

Providers must notify members of the availability of interpreter services and strongly discourage the use of friends and family, particularly minors, to act as interpreters. It is important that you or your office staff document the member’s language, any refusal of interpreter services and requests to use a family member or friend as an interpreter in the member’s medical record.

Face-to-face interpreters for members needing language assistance including **American Sign Language** are available **at no cost** to the provider or member by placing a request at least 72 hours in advance. A 24-hour cancelation notice is required.

Over-the-phone interpreters are available 24 hours a day, 7 days a week.

To obtain free interpreting services, please call our Customer Care centers.

For after-hours telephone interpreter services, call the 24/7 NurseLine at **800-224-0336 (TTY 711)** and take the following steps:

1. Give the customer care associate the member's ID number.
2. Explain the need for an interpreter and state the language.
3. Wait on the line while the connection is made.
4. Once connected to the interpreter, the associate or 24/7 NurseLine nurse introduces the Medi-Cal member, explains the reason for the call and begins the dialogue.

*Request/Refusal of Interpreter Services* forms are available in threshold languages on our provider website below:

- <https://providers.anthem.com/california-provider/resources/forms> > Patient Care.

## HEARING LOSS, VISUAL AND/OR SPEECH IMPAIRMENT SERVICES

During business hours, members with hearing loss or speech impairment can call the following numbers:

- Voice to TTY (English): **711** or **800-735-2922**
- Voice to TTY (Spanish): **800-855-3000**
- TTY to Voice (English): **800-735-2929**
- TTY to Voice (Spanish): **800-855-3000**
- After regular business hours, members can call the 24/7 NurseLine TTY number: **800-368-4424**

For additional information, visit the California Relay Service webpage at:

<http://ddtp.cpuc.ca.gov/default1.aspx?id=1482>

Members with visual impairments can request verbal assistance or alternative formats for assistance with printed materials at no cost to the member.

## TRANSLATION OF MATERIALS

Members can request translation of materials into non-English languages and alternative formats at no cost to them by contacting the designated Customer Call Center number in **Chapter 2: Contact Information**.

## CULTURAL AND/OR LINGUISTIC REFERRAL

Providers can make a cultural and /or linguistic referral using the Patient *[Health Education: Cultural and Linguistic Referral Form](#)* on our provider website.

The form is located within the health education site at:

- <https://providers.anthem.com/california-provider/resources/forms> > Patient Care.

## MEMBER RIGHTS AND RESPONSIBILITIES

The members of The Anthem Blue Cross two healthcare programs, Medi-Cal and the Major Risk Medical Insurance Program, should be clearly informed about their rights and responsibilities in order to make the best healthcare decisions. That includes the right to ask questions about the way we conduct business as well as the responsibility to learn about their healthcare plan.

Members have certain rights and responsibilities when receiving their healthcare. They also have a responsibility to take an active role in their care.

As their healthcare partner, we are committed to making sure their rights are respected while we provide their health benefits. This also means giving them access to our network providers and the information they need to make the best decisions for their health and welfare.

The following are our members' rights and responsibilities as stated in each of the member handbooks. They are also posted on our website at:

[https://mss.anthem.com/california-medicaid/caca\\_mc\\_memberhandbook\\_eng.pdf](https://mss.anthem.com/california-medicaid/caca_mc_memberhandbook_eng.pdf)

## **ADVANCE DIRECTIVES**

Anthem Blue Cross recognizes a person's right to dignity and privacy. Our members have the right to execute an **advance directive**, also known as a living will, to identify their wishes concerning healthcare services in the event that they become incapacitated. Providers may be asked to assist members in procuring and completing the necessary forms.

Advance directive documents should be on hand in the event a member requests this information. Members, over the age of 18 years, will be asked by the provider if they are aware of advance directives or want more information. This information will be documented in the chart.

## **MEDI-CAL**

Our members have the right to:

- Be treated with respect, giving due consideration to the member's right to privacy and the need to maintain confidentiality of the member's medical information.
- Have access to, and where legally appropriate, receive copies of, amend or correct their medical record.
- Be free to exercise these rights without adversely affecting how they are treated by Contractor, providers, or the State.
- Receive information about the health plan, its services, its practitioners and providers and member rights and responsibilities
- Receive written information in alternative formats (including audio CD, large print and braille) at no cost to them upon request and in a timely way that is correct for the format that they asked for.
- Obtain member materials in a language other than English at no cost to them.
- Receive information on available treatment options and alternatives presented in a manner appropriate to the member's condition and ability to understand, regardless of cost or benefit coverage.
- Expect us to keep private their personal health information. This is as long as it follows state and federal laws and our privacy policies.
- Be free of any form of restraint or seclusion used as a means of coercion, discipline, convenience or retaliation.
- Choose their PCP.
- Refuse care or treatment from their PCP or other caregivers.
- Work with their doctors in making choices about their healthcare.
- Do what they think is best for their healthcare without anyone stopping them. They may make health decisions without fear of retaliation from their doctor or health plan.
- Make an advance directive (also known as a living will).
- Get a range of covered services.
- Get family planning services.
- Be treated for STIs.
- Access minor consent services if they are under 18 years of age.
- Obtain emergency care outside of the Anthem Blue Cross network as federal law allows.

- Have access to family planning services, Federally Qualified Health Centers, American Indian Health Programs, sexually transmitted disease services and emergency services outside the contractor's network pursuant to the federal law.
- To receive oral interpretation services for their language at no cost to them.
- Tell us how they would like to change this health plan, including changes to the member's rights and responsibilities.
- To voice grievances or appeals, either verbally or in writing, about the organization or the care received.
- To participate in decision making regarding their own healthcare including the right to refuse treatment. Ask the Department of Social Services for a state fair hearing.
- Ask the Department of Managed Health Care for an independent medical review.
- Choose to leave this health plan.

Members have the responsibility to:

- Give us, their doctors and other healthcare providers the information needed (to the best of their ability) to help them get the best possible care and all other benefits to which they are entitled.
- Understand their health problems as well as they can and work with their doctors or other healthcare providers to make a treatment plan they all agree on.
- Follow the care plan that they have agreed on with their doctor and other healthcare providers.
- Follow their doctor's advice about taking good care of their selves.
- Use the right sources of care.
- Bring their health plan ID card with them when they visit their doctor.
- Treat their doctors and other caregivers with respect.
- Understand their health plan.
- Know and follow the rules of their health plan.
- Know that laws govern their health plan and the types of service they get.
- Know we cannot discriminate against them because of their age, sex, race, national origin, culture, language needs, sexual orientation or health.

## **MAJOR RISK MEDICAL INSURANCE PROGRAM (MRMIP)**

As an Anthem Blue Cross member, members have the right to:

- Be informed of their rights and responsibilities.
- Receive information about Anthem Blue Cross services, doctors and specialists.
- Receive information about all their other healthcare providers.
- Talk honestly with their doctors about all the appropriate treatments for their condition, no matter what the cost or whether their benefits cover them.
- Use interpreters who are not their family members or friends (interpreters will be provided at no charge to them).
- Be treated with respect and with regard for their dignity in all situations.
- Have their privacy protected by Anthem Blue Cross, their doctors and all their other healthcare providers.
- Know that information about them is kept confidential and used only to treat them.
- Be in charge of their healthcare.
- Be actively involved in making decisions about their healthcare.
- Make an advance directive.
- Suggest changes in their health plan.
- Complain about Anthem Blue Cross or the healthcare they receive.
- File a complaint or grievance if their cultural and linguistic needs are not met.
- Appeal a decision from Anthem Blue Cross about the healthcare they receive.
- Make recommendations about our Rights and Responsibilities Policy.

Members have the responsibility to:

- Give Anthem Blue Cross, their doctors and other healthcare providers the information needed to treat them to the best of their ability.
- Understand their condition and help their doctor set treatment goals you both agree on to the best of their ability.
- Follow the plans they have agreed on with their doctors and their other healthcare providers.
- Follow the guidelines for healthy living their doctor and their other healthcare providers suggest.
- Use the emergency room only in cases of emergency or as directed by their provider.

## 6 | PHARMACY

### ELIGIBILITY

As of January 1, 2022, the Medi-Cal pharmacy benefit is covered through fee-for service (Medi-Cal Rx) and managed by Magellan Medicaid Administration, Inc. (Magellan), Prescription drugs without a copay or deductible are a covered Medi-Cal benefit if the following conditions are met:

- The drug is prescribed by an appropriate and licensed clinician.
- The drug is used for the care and treatment of an injury or illness.
- The drug is pre-approved by Magellan when it is not included on the *Preferred Drug List (PDL)*.
- The drug is approved for human use by the Food and Drug Administration (FDA).

### PHARMACY NETWORK

Members must have their prescriptions filled by drugstores within the Medi-Cal Rx pharmacy network.

- To verify pharmacy network participation or Medi-Cal Rx drug coverage, please call: **800-977-2273**, or visit the Medi-Cal Rx Pharmacy Locator online tool at: <https://medi-calrx.dhcs.ca.gov/home>

### PHARMACY MEMBER COST SHARING

Members enrolled in Medi-Cal do not have a copay nor deductible for covered prescription drugs. See applicable sections regarding cost-sharing for the Major Risk Medical Insurance Program (MRMIP) prescription benefit.

### MRMIP PHARMACY BENEFIT

Prescription drugs are a MRMIP covered benefit. Coverage guidelines are as follows:

- \$5 copay for generic drugs; limited to a 30-day supply
- \$5 copay for generic drugs; limited to a 60-day supply through IngenioRx\* Mail Service Pharmacy, the Anthem Blue Cross mail order pharmacy
- \$15 copay for brand name drugs; limited to a 30-day supply
- \$15 copay for brand name drugs; limited to a 60-day supply through IngenioRx Mail Service Pharmacy, the Anthem Blue Cross mail order pharmacy

MRMIP benefits include but are not limited to the following drug categories:

- Contraceptive drugs
- Drugs for smoking cessation
- Formulas and special food products for treatment of phenylketonuria (PKU)
- Glucagon
- Insulin and insulin syringes
- Prescription prenatal vitamins
- Prescription fluoride supplements



## PHARMACY BENEFIT EXCLUSIONS

The following medications are not covered by the pharmacy benefit:

- Non-CMS OBRA rebateable drugs unless indicated by the state
- Medications used for cosmetic reasons (including hair growth)
- Medications used for infertility
- Medications used for weight loss
- Drugs used for erectile dysfunction or sexual enhancement
- Drugs not approved by the FDA
- DESI drugs
- Unit-dose (UD) and repackaged drugs
- Experimental or investigational drugs
- Dietary supplements (except PKU treatments)
- Dietary supplements (except PKU treatments)

## QUANTITY LIMITS

Certain medications are subject to quantity limits. A quantity limit establishes the maximum amount of medication that is covered within a defined period of time.

Generally, the quantity limits are established based upon manufacturer or FDA dosing recommendations. If a member has a medical condition that requires exceeding the limit, a prior authorization request containing documentation of medical need for consideration will be required.

## MAIL ORDER PHARMACY

Review the first page of this chapter for pharmacy mail order information on MRMIP prescription benefits.

## PHARMACY BENEFIT CARVEOUTS

As of January 1, 2022, medications are administered by the state through Magellan and reimbursed by fee-for-service (FFS) Medi-Cal.

As of January 1, 2022, providers will need to follow the state Medi-Cal fee-for-service contract drug list . This list is posted on the Medi-Cal Rx website and can be found here: [www.Medi-CalRx.dhcs.ca.gov](http://www.Medi-CalRx.dhcs.ca.gov).

## OVER-THE-COUNTER DRUG PHARMACY BENEFIT

Medi-Cal follows the fee-for-service over-the-counter (OTC) drug list. The FFS OTC drug list is available online on the California Medi-Cal Pharmacy webpage: [www.Medi-CalRx.dhcs.ca.gov](http://www.Medi-CalRx.dhcs.ca.gov)

## PREFERRED DRUG LIST

As of January 1, 2022, providers will need to follow the state Medi-Cal Fee-For-Service Contract Drug List . This list is posted on the Medi-Cal Rx website and can be found here: [www.Medi-CalRx.dhcs.ca.gov](http://www.Medi-CalRx.dhcs.ca.gov)

## PRIOR AUTHORIZATIONS

The Prior Authorization (PA) program is one of the most widely used, cost-effective methods for managing inappropriate drug use and increasing drug costs.

The PA programs are developed by the Clinical Pharmacy Service team and presented to the Pharmacy and Therapeutics (P&T) Committee for review and approval.

Drugs are selected for PA based on quality of care issues, cost and/or utilization trends. The PA program complies with Section 1927 (d) of the Social Security Act. PAs may be used under the following conditions:

- For prescribing and dispensing medically necessary non-formulary drugs
- To limit drug coverage consistent with the provisions of the Medicaid contract
- To minimize potential drug over-utilization
- To accommodate exceptions to Medicaid drug utilization review standards related to proper maintenance drug therapy
- To ensure appropriate utilization of medical injectable, specialty and oncology products that are typically administered as a component of the medical benefit (office injections only)

Clinical policies and procedures are developed by the Clinical Pharmacy Service team to define applicable criteria to allow coverage for drugs subject to one of the above conditions. These policies and procedures are reviewed and approved by the P&T Committee. Where states have specific requirements, their criteria and management programs are implemented.

The decision to approve or deny the request for PA is made within 24 hours of receipt of all necessary information. If the prescriber has not responded to the Pharmacy department's request to obtain the information needed to make the decision within 72 hours, the decision time frame will have expired, and notice will be provided to the prescriber and member.

If the request is denied, the prescriber and member are notified. In addition, a letter indicating the reason for the denial/noncertification is sent to the member and prescriber within 24 hours of rendering the decision, and the denial/noncertification letter includes the appeals procedure. A copy of the denial/noncertification letter is maintained on file in the Pharmacy department.

All PA requests are processed and recorded using a web-based application maintained by Anthem. This database is used for reporting such requests, approvals and denials/noncertifications for monthly and quarterly reports as well as state required reports.

The Anthem Blue Cross PA process continuously monitors the exception process and trends are reviewed by the P&T Committee. These reviews evaluate the consistency of management and timeliness of review and authorization.

Anthem Blue Cross contracts with the pharmacy benefit manager (PBM) for the processing of PAs using the state's required criteria as well as required turnaround times.

### **PEER-TO-PEER REVIEW**

Providers may request a peer-to-peer conference with a Medical Director to discuss PA decisions by calling Anthem Peer-to-Peer at: **844-410-0746**, option 3. In this case, we will make a Medical Director available to discuss the case with the requesting provider. This conversation may result in the decision being upheld or changed.

### **SELF-INJECTABLE MEDICATIONS**

Anthem Blue Cross has delegation arrangements with certain participating medical groups (PMGs) who agree to provide their assigned members' self-injectable medications. Typically, the medical provider's group has an arrangement with a specialty pharmacy which can supply the patient's medication.

### **PHYSICIAN-ADMINISTERED DRUGS**

Physician-administered drugs are typically billed using HCPCS codes. Coverage policies must be obtained by contacting Anthem Blue Cross Utilization Management.

## OPIATE PRESCRIBING

- Follow Medi-Cal Rx policies.

## SPECIAL FILL PROCEDURES

### PHARMACY EMERGENCY SUPPLIES

- Beginning February 6, 2022, dispensing of a 14-day emergency supply of any medication for which delaying the dispensing would withhold a medically necessary service is permitted electronically and via paper claim without requirement for a PA. Electronically billed emergency drug dispensing claims will be limited to a 14-day supply and a limit of 2 fills in a 30-day period for the same drug and dose, which will allow for beneficiaries to have immediate access to medically necessary drugs in emergency situations. If the situation requires a larger supply to be dispensed, the provider must submit a paper claim for the service. All products are available provided they are benefits of the program, including those that require a PA or Code 1 approval. Any policy reject codes may be overridden for emergency purposes with the exception of any eligibility edits (including those with a SOC) and OHC reject codes. Unbreakable packages such as inhalers, vials, oral contraceptives, etc. will continue to be paid for the full package size even when the days' supply exceeds 14 days. Emergency fills will be subject to audits, and providers are required to retain documentation of the emergency circumstances for audit purposes
- The Pharmacy must call Medi-Cal Rx at **800-977-2273** for a prescription override to submit the 72 hour medication emergency supply for payment

Excluded and carved-out medications/products are not eligible for a 14-day emergency supply.

### LOST OR STOLEN MEDICATIONS

PA may be considered in life-threatening situations and for maintenance medications only when the following conditions are met:

- The member must provide detailed information regarding how the medication was lost or stolen.
- If the medication was stolen, a copy of the official police report will be required.
- Based on clinical judgment of the reviewing clinical pharmacist, contact may be made with the prescriber to confirm his/her knowledge of the situation and the approval for replacement medication.
- Habitual requests for replacement medications will be referred to the health plan Medical Director and/or Medicaid Special Investigations Unit.

## PHARMACY PROGRAMS

### PRESCRIPTION DRUG MONITORING PROGRAM

MediCal Rx does not routinely provide payment for replacement of lost, stolen or otherwise destroyed medications, even if a physician writes a new prescription for the medication. It is the responsibility of the member to replace these medications.

Prescribers and dispensers are encouraged to register for CURES access as soon as possible in observance of mandates established by CA SB809 and SB482.

California Health & Safety Code section 11165.1 (a)(1)(A) states that healthcare practitioners authorized to prescribe, order, administer, furnish, or dispense Schedule II, Schedule III or Schedule IV controlled substances and pharmacists must submit an application for approval to access information online regarding the controlled substance history of a patient.

Providers should review six-month prescription profiles and/or California prescription drug monitoring program (CURES) report with the member, pointing out the importance of appropriate drug use and avoidance of drug interactions. SB482 requires providers to consult CURES in advance of prescribing certain controlled substances.

More information about CURES, including registration information, may be found at:

<http://oag.ca.gov/ures-pdmp>

## **COORDINATION OF BENEFITS**

Medicaid is the payer of last resort. In order to properly adjudicate pharmacy claims, the pharmacy claims system also edits for coordination of benefits (COB) using a COB flag that is sent on the member eligibility record. Following NCPDP standards, the pharmacy enters certain codes indicating payment made by the primary insurer, and Anthem Blue Cross covers the member's remaining out-of-pocket expense. For members with commercial primary coverage, Anthem Blue Cross can cover member prescription deductibles and copays.

- For members with Medicare Part B, Anthem Blue Cross can cover the member's 20% cost share.
- For members with Medicare Part D, Anthem Blue Cross covers select drugs which are covered by Medicaid but not Medicare Part D.

Anthem Blue Cross does not cover Part D deductibles, copays or catastrophic member cost share payments. These resources include but are not limited to: FDA-approved product labeling, peer-reviewed literature, American Hospital Formulary Service

### MANAGED LONG-TERM SERVICES AND SUPPORTS

Managed Long-Term Services and Supports (MLTSS) consists of a variety of state of California programs that provide services to help individuals remain living independently in the community or the most appropriate setting of their choice. MLTSS are provided over an extended period, predominantly in the member's home or community, but also in facility-based settings such as nursing facilities.

MLTSS consists of:

- Long-Term Care (LTC)
- Community-Based Adult Services (CBAS)

Medi-Cal beneficiaries, including dual eligibles, must join a Medi-Cal managed care health plan like Anthem Blue Cross to receive MLTSS benefits.

By providing MLTSS and connecting members to other home- and community-based services (HCBS), Anthem Blue Cross works to ensure that members are getting the right care, in the right place, and at the right time.

IHSS and MSSP are available to members as carved-out benefits.

### CONSUMER DIRECTION

MLTSS are provided under models that promote consumer direction. Members have a voice in how eligible MLTSS services are provided, who provides the services, and what goals they want prioritized within their MLTSS plans of care.

### MLTSS SERVICE COORDINATION

The Anthem Blue Cross MLTSS team works to support member choice and independence by providing access to and coordination of services and supports. This allows members to live with dignity in their community or LTC facility, improving their quality of life.

To ensure members' needs are being met, MLTSS staff work closely with our Case Management and Behavioral Health teams, PCPs, and MLTSS providers to identify and connect with members who could benefit from MLTSS services. This includes:

- Identification of needs through the review of Health Risk Assessments and other member assessments
- Review and processing of referrals from PCP, specialists and MLTSS providers
- Coordination with members, family, providers and case managers as needed to implement a plan of care
- Review of MLTSS provider care plans and coordination with providers on additional support
- Assistance in determining the right combination of MLTSS supports
- Assistance in accessing MLTSS and other home- and community-based services
- Assistance with caregiver issues, community resource referrals, emergency needs, financial assistance, housing arrangements, long-term care planning and nursing home placement discussions
- Assistance with transitions from skilled nursing facilities back to the community

### IN-HOME SUPPORTIVE SERVICES

IHSS allows eligible seniors and persons with disabilities to hire a homecare worker to assist them with their activities of daily living, instrumental activities of daily living and other personal needs so they can remain safely in their homes. Members receiving IHSS self-direct their own care by hiring, managing and, if necessary,

firing their homecare workers. Members can also elect to involve their IHSS homecare workers as members of their care teams.

## **TYPES OF SERVICES PROVIDED BY IHSS HOMECARE WORKERS**

Examples of services that can be provided by IHSS homecare workers include:

- Domestic and related services (in other words, house cleaning/chores, meal preparation and clean-up, laundry, grocery shopping, heavy cleaning)
- Personal care services (in other words, bathing and grooming, dressing, feeding)
- Paramedical services (in other words, administration of medication, puncturing skin, range of motion exercises)
- Other services (in other words, accompaniment to medical appointments, yard hazard abatement, protective supervision)

## **IHSS ELIGIBILITY AND REFERRAL PROCESS**

To be eligible for IHSS, a member must:

- Reside in California, be a U.S. citizen/legal resident and be living in his or her own home
- Be eligible to receive Medi-Cal benefits
- Be 65 years of age or older, legally blind or disabled by Social Security standards
- Submit a healthcare certification form (SOC 873) signed by a licensed healthcare professional indicating that they need assistance to stay living at home

The IHSS program is administered by the county, and county social workers are responsible for assessing, approving and authorizing service hours based on the needs of the member.

The county (or delegated IHSS Public Authority) is also responsible for screening and enrolling IHSS homecare workers, conducting criminal background checks, conducting homecare worker orientations, operating homecare worker registries and retaining enrollment documentation.

Members who may benefit from IHSS or who need assistance navigating the program can contact an Anthem Blue Cross MLTSS Service Coordinator at:

- **855-871-4899**

Members may also self-refer and apply directly with the county by calling the IHSS Application Hotline in their county (<https://www.cdss.ca.gov/inforesources/county-ihss-offices>) at:

- **510-577-1800** (Alameda County)
- **530-694-2235** (Alpine)
- **844-835-3685** (Amador)
- **855-398-8899** (Butte)
- **209-754-6544** (Calaveras)
- **530-458-0280** (Colusa)
- **925-229-8434** (Contra Costa)
- **530-642-4800** (El Dorado)
- **855-832-8082** (Fresno)
- **530-865-6148** (Glenn)
- **760-873-6364** (Inyo)
- **559-852-4467** (Kings)
- **888-944-IHSS** (Los Angeles County)
- **559-662-2600** (Madera)
- **559-662-2600** (Mariposa)

- **760-924-1770** (Mono)
- **888-339-7248** (Nevada)
- **530-889-7115** (Placer)
- **530-283-6350** (Plumas)
- **916-874-9471** (Sacramento)
- **831-636-4190** (San Benito)
- **415-355-6700** (San Francisco)
- **408-792-1600** (Santa Clara County)
- **916-874-9471** (Sierra)
- **530-822-5990** (Sutter)
- **530-528-4115** (Tehama)
- **866-376-7066** (Tulare)
- **209-533-5711** (Tuolumne)
- **530-749-6471** (Yuba)

## **COMMUNITY-BASED ADULT SERVICES**

Community-Based Adult Services (CBAS) is a facility-based outpatient program serving individuals 18 years of age or older who have functional impairment that puts them at risk for institutional care.

Enrolled members attend an Anthem Blue Cross-contracted adult day healthcare center several times a week where they can receive (among other services):

- Skilled nursing
- Social services
- Physical, occupational and speech therapies
- Personal care
- Family/caregiver training and support
- Hot meals and nutritional counseling
- Behavioral health services
- Transportation (to/from the center to residence)

The primary objective of CBAS is to prevent inappropriate institutionalization in long-term care facilities. CBAS stresses partnership with the member, the family and/or caregiver, and the PCP in working toward maintaining personal independence.

Each CBAS center has a multidisciplinary team of health professionals who conduct a comprehensive assessment of potential participants and work with the member to meet his or her specific health and social needs.

## **CBAS ELIGIBILITY AND REFERRAL PROCESS**

CBAS services may be provided to members over 18 years of age who:

- Meet nursing facility A or B requirements
- Have organic/acquired or traumatic brain injury and/or chronic mental health conditions
- Have Alzheimer's disease or other dementia
- Have mild cognitive impairment
- Have a developmental disability

Referrals/requests for CBAS can be made by the member, caregiver, family member, nurse practitioner or PCP. A preauthorization is required for all CBAS services. Referrals should be faxed to Anthem Blue Cross at:

- Los Angeles County: **855-336-4042**

- All other counties: **855-336-4041**

Once the referral is received, the following steps are taken:

1. An Anthem Blue Cross registered nurse will conduct an eligibility assessment of the member and assist in locating a CBAS facility if needed.
2. Using an evaluation tool developed and provided by the state, Anthem Blue Cross will approve or deny the request for services.
3. If approved, the member's selected CBAS center will conduct a needs assessment, develop a plan of care for the member, and determine the level of service that will be provided at the center.

For more information on CBAS, call:

- California Department of Aging: **916-419-7500**

For a complete list of CBAS centers and contact numbers, please go to the California Department of Aging website at:

[https://aging.ca.gov/Providers\\_and\\_Partners/Community-Based\\_Adult\\_Services](https://aging.ca.gov/Providers_and_Partners/Community-Based_Adult_Services)

## **MSSP ELIGIBILITY AND REFERRAL PROCESS**

The MSSP program currently operates under a California 1915c HCBS Waiver and there are a limited number of slots available for members. Eligibility and authorization of services is determined by MSSP providers based on criteria set by the state.

In general, to be eligible for MSSP services a member must:

- Be 65 years of age or older
- Live within an MSSP service area
- Be eligible for Medi-Cal
- Be certified for nursing home placement

An MLTSS care coordinator can assist the member with locating an MSSP provider and navigating the application process. For members who are placed on an MSSP's waiting list, the coordinator will work with the MSSP provider and other HCBS providers to address the member's needs until he or she can be enrolled in the program.

Members who may benefit from MSSP or need assistance navigating the program can contact an Anthem Blue Cross MLTSS Service Coordinator at:

- **855-871-4899**

Members may also self-refer and apply directly with the MSSP providers determined by the members county of residence. Refer to [https://aging.ca.gov/Providers\\_and\\_Partners/Multipurpose\\_Senior\\_Services\\_Program](https://aging.ca.gov/Providers_and_Partners/Multipurpose_Senior_Services_Program).

## **LONG-TERM CARE AND SKILLED NURSING FACILITIES**

Long-Term Care (LTC) is the provision of care in a facility, such as a skilled nursing facility or sub-acute facility for an extended period (in other words, longer than the month of admission plus one month). Long term subacute care outside of Los Angeles and Santa Clara counties is the responsibility of FFS Medi-cal until July 1, 2023.

LTC services are primarily for the purpose of assisting the member with their activities of daily living or in meeting personal rather than medical needs. LTC does not include specific therapy for an illness or injury, is not



skilled care, and does not require the continuing attention or supervision of trained, medical or paramedical personnel.

## **LTC ELIGIBILITY AND REFERRAL PROCESS**

LTC services are available to Medi-Cal recipients who require 24-hour long or short-term care and have a written order from their PCP requesting the services.

Requests for LTC authorizations should be submitted prior to the first day of service and no later than 30 days past the first day of service. General guidelines for obtaining a prior (PA) authorization for LTC services are as follows:

- Requests for authorizations must include a completed LTC authorization request form, a Medication Administration Record (MAR) and the most recent Minimum Data Set (MDS) for the member.
- Requests for authorizations may be made through the Provider portal (preferred) or via fax.
- Facilities who have multiple members needing authorization for LTC services should submit each request separately.

PA requests may be faxed to:

- **877-279-2482** (Southern CA)
- **844-285-1167** (Northern CA)
- **866-639-2281** (Central CA)

An LTSS service coordinator will review the request and determine if the member qualifies for LTC placement following clinical guidelines established by DHCS.

### **LTSS LIAISONS**

Members and providers may request assistance from an LTSS Liaison. Liaisons are trained Anthem associates with who understand the full spectrum of LTSS, home and community-based services, and long-term institutional care, including payment and coverage rules. Liaisons can help facilitate member care transitions and be engaged in the Interdisciplinary Care Team meetings as appropriate. Provider and members may request an LTSS Liaison by contacting Customer Care.

## **LTC CLAIMS AND REIMBURSEMENT**

PAs are required for all LTC services. Providers rendering LTC services should submit claims to Anthem Blue Cross using the appropriate revenue and accommodation codes.

There are several nuances specific to LTC that should be taken into consideration when navigating the LTC billing and payment process. This includes retroactive eligibility, authorizations for LTC absences, member share of cost, and the relationship between LTC and hospice.

### **RETROACTIVE ELIGIBILITY**

Anthem Blue Cross understands the unique requirements of LTC facilities to accept residents as Medi-Cal pending. As soon as the facility receives notice from the state of the Medi-Cal approval, the facility should verify eligibility on the Anthem Blue Cross website and then request an authorization back to the date of eligibility as established by the state. Anthem Blue Cross requests this to be submitted within 30 days of the change in eligibility.

**Note:** It may take the state 24 to 48 hours to transmit an updated eligibility file to Anthem Blue Cross.

## AUTHORIZED LTC ABSENCES

LTC facilities are allowed to request a bed hold for up to seven days when an LTC member leaves a facility and is admitted to an acute care facility or hospital. To ensure accurate payment, the facility must bill hospital leave days consecutively beginning with the date of admission. If a beneficiary goes to a hospital for observation purposes and is not admitted, the LTC facility should bill for this as a normal day of service.

In the event of a nonmedical absence from an LTC facility, providers must obtain an authorization and bill utilizing the appropriate end hold/leave of absence revenue code and accommodation code. A maximum of 18 home-leave days for LTC are allowed per calendar year (certain exceptions may apply).

Providers will not be reimbursed for days a bed is held for a resident beyond the limits set forth above and will not be reimbursed for any absences without preauthorization.

## MEMBER FINANCIAL LIABILITY/SHARE OF COST

For members who have a Medi-Cal share of cost (SOC), the LTC facility is responsible for collecting the SOC amount each month and must represent the liability in **box 39** on each claim submitted. The SOC should be indicated by billing value code 23 with amount collected on the claim. The payment remitted by Anthem Blue Cross will be reduced by the member liability amount.

The following examples are provided to assist LTC facilities with addressing member SOC.

**Example 1:** The member is approved for LTC as of the 1st of the month, remains in the facility for the entire month, and has a \$1,000 Medi-Cal SOC.

- The state issues a notice of action for the month for the amount of \$1,000
- The facility per diem is \$150:  $150 \times 30 = \$4,500$
- The facility collects the \$1,000 patient liability and submits a claim to Anthem Blue Cross representing the collected amount in box 39
- Anthem Blue Cross will make a payment to the facility in the amount of \$3,500

**Example 2:** The member is approved for LTC as of the 15th of the month, remains in the facility through the end of the month, and has a \$1,000 Medi-Cal SOC (of which, \$400 has been met).

- The state issues a notice of action for the month for the amount of \$600 and for the following month forward of \$1,000 per month
- The facility per diem is \$150:  $150 \times 15 = \$2,250$
- The facility collects the \$600 patient liability and submits a claim to Anthem Blue Cross representing the collected amount in box 39
- Anthem Blue Cross will make a payment to the facility in the amount of \$1,650
- The facility will collect \$1,000 from the patient in the following month

**Example 3:** The member is approved for LTC as of the 1st of the month and has a \$1,000 Medi-Cal SOC. However, the member is discharged on the 6th day of the month.

- The state issues notice of action for the month for the amount of \$1000
- The facility per diem is \$150 and the facility collects the \$1000 patient liability on the first of the month
- The member is discharged on day 6:  $6 \times \$150 = \$900$
- The facility refunds \$100 to the member/family or estate and submits a claim to Anthem Blue Cross representing the \$900 collected in box 39
- Anthem Blue Cross will make a payment to the facility in the amount of \$0

## LTC AND HOSPICE

When a member is admitted into an LTC facility and is receiving hospice, the hospice provider is responsible for obtaining an authorization for LTC services and is required to pay the facility for room and board charges in accordance with CMS methodology and at the current applicable Medi-Cal rate. Anthem Blue Cross is responsible for paying the hospice provider for all services rendered but is not responsible for paying the LTC facility directly for these services.

## ENHANCED CARE MANAGEMENT PROGRAM

Enhanced Care Management (ECM) is a statewide benefit established by the Department of Health Care Services (DHCS) to provide a whole-person approach to care that addresses the clinical and non-clinical circumstances of high-need Medi-Cal beneficiaries enrolled in Medi-Cal managed care. Enhanced Care Management is a collaborative and interdisciplinary approach to providing intensive and comprehensive care management services to DHCS defined populations of focus. The benefit builds on the Health Homes Program (HHP) and Whole Person Care (WPC) Pilots that ended on December 31, 2021. Anthem began a phase-in of ECM by County and populations of focus in January 1, 2022 and will complete the phase-in on July 1, 2023, per DHCS guidelines.

The Medi-Cal ECM benefit, designed by the Department of Health Care Services (DHCS) and authorized by the Centers for Medicare and Medicaid Services (CMS), provides seven core services at the point of care:

1. Outreach and engagement
2. Comprehensive assessment and care management plan
3. Enhanced care coordination
4. Health promotion
5. Comprehensive transitional care
6. Member and family supports
7. Coordination of and referral to community and social support services

The overall goal of the ECM benefit is to provide comprehensive care and achieve better health outcomes for the highest need beneficiaries in Medi-Cal.

## ELIGIBILITY

Members may be eligible for one of the seven Populations of Focus, which are as follows:

- Individuals and families (including children) experiencing homelessness: those who have at least one complex physical, behavioral, or developmental health need with inability to successfully self-manage
- Adult high utilizers — adults: Adult high utilizers with five or more preventable emergency room visits, or three or more unplanned hospital and/or short-term skilled nursing facility (NF) stays in a six-month period within the last year
- Adult serious mental illness/substance use disorder: Adults with severe mental illness (SMI) or substance use disorder (SUD) diagnosis and experiencing one complex social factor, and are experiencing one or more of the following:
  - High risk for institutionalization
  - User of crisis services
  - Two or more emergency department visits or inpatient in past 12 months due to SMI/SUD-related hospitalizations, or
  - Pregnancy

- Adults living in the community who are at risk for LTC institutionalization: Adults at risk for long-term care (LTC) institutionalization who, in the absence of services and supports, would otherwise require care for 90 consecutive days or more in an inpatient nursing facility (NF)
- Nursing facility residents transitioning to the community: Adult nursing facility residents who want and, with support, are able to transition to the community
- Individuals transitioning from incarceration: those transitioning in the past 12 months who have a chronic mental illness, chronic disease, SUD, intellectual or developmental disability, traumatic brain injury (TBI), HIV, or pregnancy (Phase-in July 1, 2023)
- Children and youth: High utilizers; complex physical, behavioral, or developmental health needs; serious emotional disturbance; California Children’s Services (CCS), child welfare (including foster care); incarcerated and transitioning (Phase-in July 1, 2023)

Members may be identified as eligible for the ECM benefit using the following methods:

- Proactive identification: Anthem may identify members who meet DHCS's eligibility requirements using claims and other available data.
- ECM Provider Referrals to Anthem of potentially eligible members identified at the point of care.
- Member self-referrals to ECM Provider or directly to Anthem.
- Referrals from other Anthem staff, Providers, or Community Organizations.

## REFERRAL PROCESS

Providers may submit potentially eligible members through the Availity provider portal (preferred). The referral form can also be submitted via secure email to [CalAIM.referrals@anthem.com](mailto:CalAIM.referrals@anthem.com) or faxed to **844-429-9626** if the provider is unable to access through Availity. Non-ECM provider can also submit their referrals via National Call Center.

Anthem may request supporting documentation from referring entities (ECM and non-ECM providers, members, other organizations) to assist in the eligibility determination for members who are identified as potentially eligible for ECM. The referral form for referring entities to complete and submit may be found after selecting **CalAIM** under *Patient Care* at <https://providers.anthem.com/california-provider/patient-care>.

## COMMUNITY SUPPORTS

Community Supports are voluntary, flexible wrap-around services or settings provided by Anthem and integrated into its population health management. The services are provided as a substitute for utilization of other services or settings such as a hospital or skilled nursing facility admission, discharge delays, or emergency department use. Community Supports are integrated with care management for members at medium to high level risk and fill gaps in state plan benefits to address medical or other needs that may arise from social determinants of health.

On January 1, 2022, Anthem launched Medi-Cal Community Supports designed by the Department of Health Care Services (DHCS) and authorized by the Centers for Medicare and Medicaid Services (CMS). Community Supports are available on a phased-in approach and dependent upon County of residence to eligible members. Community Supports are optional for members and include the following distinct services or settings:

1. **Housing Transition Navigation Services:** Assists members with obtaining housing (does not include room and board) based on an individualized housing support plan.
2. **Housing Deposits:** Assists members with identifying, coordinating, securing, or funding one-time services and modifications necessary to enable the member to establish a basic household that does not constitute room and board.
3. **Housing Tenancy and Sustaining Services:** Assists members with the provision of tenancy and sustaining services with the goal of maintaining safe and stable tenancy once housing is secured.
4. **Short-term Post Hospitalization Housing (STPH):** Provides members with housing and ongoing support necessary for recuperation and recovery. STPH is for members who do not have a residence and who have high medical or behavioral health needs with the opportunity to continue their recovery after exiting an inpatient hospital, residential substance use disorder treatment or recovery facility, residential mental health treatment facility, correctional facility, nursing facility, or recuperative care.
5. **Recuperative Care (Medical Respite):** Short-term residential care for members who no longer require hospitalization, but still need time to heal from an injury or illness and would be exacerbated by an unstable living environment. Members will have access to primary care, behavioral health services, case management and supportive social services. Services includes interim housing with bed and meals and ongoing monitoring of the member's health condition.
6. **Respite Services:** Services provided to caregivers of members who require intermittent temporary supervision. Services are provided on a short- term basis because of absence of need for relief of the persons that normally care for and/or supervise the member and are non-medical in nature. This is rest for unpaid caregivers only. Services provided in the home or a facility.
7. **Day Habilitation:** Programs designed for members to assist in acquiring, retaining and improving self-help, socialization, and adaptive skills necessary to reside successfully in the member's natural environment.
8. **Nursing Facility Transition/Diversion to Assisted Living Facilities:** Assists members who live in the community and want to avoid institutionalization by transitioning to an Assisted Living Facility. Service also assists members residing in a Nursing Facility to transition to an Assisted Living Facility. Service includes non-room and board costs.
9. **Community Transitions Services/Nursing Facility Transitions to a Home:** Services to help members transitioning from a Nursing Facility to the community with non-recurring set-up expenses.
10. **Personal Care and Homemaker Services:** Provides members who need assistance with Activities of Daily Living and Instrumental Activities of Daily Living with personal care and homemaker services above and beyond In Home Supportive Services (IHSS) hours, during waiting periods for IHSS, as well as to assist with avoidance of short-term skilled nursing facility stays.
11. **Environmental Accessibility Adaptations (Home Modifications):** Home modifications that are necessary to ensure the health, welfare and safety of the member or enable the member to function with greater independence in their home. Without such adaptations, the member would require institutionalization.
12. **Meals/Medically Tailored Meals:** Meals delivered to the member's home immediately following discharge from a hospital or nursing home or that meet the unique dietary needs of members with chronic illnesses. May also include medically supportive food and nutrition services, such as medically tailored groceries and healthy food vouchers.
13. **Sobering Centers:** An alternative destination for members who are found to be publicly intoxicated due to alcohol or other drugs and would otherwise be transported to the emergency department or jail.
14. **Asthma Remediation:** Physical modifications to the member's home environment necessary to ensure the health, welfare and safety of the member or enable the member to function in their home and without which acute asthma episodes could result in the need for emergency services or hospitalization.

## ELIGIBILITY

Members may be eligible for 1 or more Community Supports based on eligibility and limitations established by DHCS as follows:

1. **Housing Transition Navigation Services:** Members who are homeless, at risk of homelessness or chronically homeless.
2. **Housing Deposits:** Members who are homeless, at risk of homelessness or chronically homeless.
3. **Housing Tenancy and Sustaining Services:** Members who are homeless, at risk of homelessness or chronically homeless
4. **Short-term Post Hospitalization Housing:** Members who are exiting Recuperative Care, inpatient hospital stay, residential substance use disorder treatment or recovery facility, residential mental health treatment facility, correctional facility, or nursing facility and are considered homeless, at risk of homelessness or chronically homeless.
5. **Recuperative Care (Medical Respite):** Members at risk for hospitalization or are post-hospitalizations and who live alone with no formal supports, have housing insecurity or housing that would jeopardize their health and safety.
6. **Respite Services:** For members living in the community and dependent on caregivers for most of their support to assist with Activities of Daily Living. Members without these caregivers would most likely be institutionalized.
7. **Day Habilitation:** Members who are homeless, exited homelessness and entered housing in the past 24 months, at risk of homelessness, or institutionalized whose housing stability could be improved through participation in programs.
8. **Nursing Facility Transition/Diversion to Assisted Living Facilities:** For Nursing Facility diversion, the member must be willing and able to live in an Assisted Living Facility as well as meet the minimum necessary criteria for nursing facility level of care. Nursing Facility transition members must have resided in the facility for at least 60 days and willing and able to live in an Assisted Living Facility.
9. **Community Transitions Services/Nursing Facility Transitions to a Home:** Members must be currently receiving care in a Nursing Facility for at least 60 days and choose to transition back to the community. Members must be able to safely reside in a home with appropriate and cost-effective supports and services.
10. **Personal Care and Homemaker Services:** Members at risk of hospitalization or nursing facility institutionalization, members with functional deficits without adequate support systems or members who are IHSS eligible.
11. **Environmental Accessibility Adaptations (Home Modifications):** Members at risk for institutionalization who have a home that is owned, rented, leased or occupied by the member.
12. **Meals/Medically Tailored Meals:** Members with chronic conditions with extensive care coordination needs or being discharged from the hospital or skilled nursing facility or at a high risk of hospitalization or nursing facility placement.
13. **Sobering Centers:** Members who are intoxicated but conscious, cooperative, able to walk, nonviolent, free from medical distress, and who would otherwise be transported to the emergency department or a jail and are appropriate to divert to a Sobering Center. Members are primarily homeless, have unstable living situations with a safe, supportive environment to become sober
14. **Asthma Remediation:** Members with poorly controlled asthma (emergency room visit or hospitalization, or (2) sick/urgent care visits in the past (12) months, or a score of 19 or lower on the Asthma Control Test. A licensed care provider must provide documentation that the service would likely avoid asthma-related hospitalizations, emergency department visits or other high-cost services.

Members may be identified as potentially eligible for Community Supports using multiple methods:

- ECM Provider referrals to Anthem identified at the point of care
- Referrals from other Anthem staff, Providers or Community Organizations
- Member Self-Referral to ECM Provider or Anthem due to receiving information about Community Supports through member-facing materials
- Community based referrals from social determinants of health community resource links

## REFERRAL PROCESS

Providers may submit potentially eligible members through the Availity Provider Portal (preferred). The referral form can also be submitted via secure email to [CalAIM.Referrals@anthem.com](mailto:CalAIM.Referrals@anthem.com) or faxed to **877-734-1857** if the provider is unable to access through Availity.

Anthem may request supporting documentations from referring entities (ECM Providers, other providers, members or organizations) to assist with the eligibility determination for members who are identified as potentially eligible for Community Supports. The referral form for referring entities to complete and submit can be found here: <https://providers.anthem.com/california-provider/patient-care/calaim> under **Resources**.

## PALLIATIVE CARE PROGRAM

Anthem Palliative Care Program is a patient and family-centered care program that optimizes quality of life for adult members with a terminal illness. Palliative Care includes coordination of services throughout the continuum of illness to address physical, intellectual, emotional, social, and spiritual needs, and to facilitate member autonomy, access to information, and choice. Unlike the hospice benefit, members can receive palliative care services concurrently with curative care.

## TYPES OF SERVICES

Anthem contracts with Palliative Care providers in the community to deliver services, such as:

- Advanced Care Planning
- Care Coordination
- Mental Health and Medical Social Services
- Pain and Symptom Management
- Care Plan Development
- Palliative Care Assessment and Consultation

## PALLIATIVE CARE ELIGIBILITY AND REFERRAL PROCESS

In order to qualify for Anthem adult Palliative Care, members must meet all general eligibility criteria and at least one of the disease-specific criteria listed below.

General eligibility criteria:

- Patient is likely or has started to use the hospital or emergency department to manage their late-stage disease (“unanticipated decompensation”).
- Patient is in the late stage of illness.
- Patient’s death within a year would not be unexpected.
- Patient has received appropriate medical therapy.
- Patient and designated support person agree to attempt in-home, residential-based or outpatient disease management and are willing to participate in advanced care planning.

Disease-specific criteria:

- Congestive heart failure (CHF)
- Chronic obstructive pulmonary disease (COPD)
- Advanced cancer
- Liver disease

Referral Process:

To initiate a referral for Palliative Care, please contact the Palliative Care at [anthempalliativecareprogram@anthem.com](mailto:anthempalliativecareprogram@anthem.com).

Additional information on Palliative Care Services can also be found on the DHCS website at:  
<https://nppes.cms.hhs.gov/>

### Pediatric Palliative Care

Pediatric Palliative Care is a new benefit available to Anthem members as a part of DHCS's Palliative Care Waiver transition, and is specialized care for children with serious, long-term health conditions.

Anthem Blue Cross is contracted with providers in Alameda, San Francisco, Santa Clara, San Benito and Los Angeles counties to provide Home-based services that align with the previous waiver including:

- All adult services (please see listed in Palliative Care Program)
- Specialized services:
  - Expressive therapy (creative art, music, massage, and child life)
  - Family Bereavement counseling for family and other primary caregivers as applicable
  - Respite care
  - 24/7 nursing hotline

Children residing outside of the counties listed above are able to access our standard palliative care network and services.

In order to qualify for Palliative Care, members must have one of the eligible conditions:

- Conditions for which curative treatment is possible, but may fail (e.g., advanced cancer)
- Conditions requiring intensive long-term treatment aimed at maintaining quality of life (e.g., HIV, cystic fibrosis)
- Progressive conditions for which treatment is exclusively palliative after diagnosis (e.g., progressive metabolic disorders)
- Conditions involving severe, non-progressive disability, or causing extreme vulnerability to health complications (e.g., extreme prematurity)

For more information on Pediatric Palliative Care Program, including eligibility criteria, referral processes, and coordinating with providers, please contact Pediatric Palliative Care Program team at:  
[antheppalliativecareprogram@anthem.com](mailto:antheppalliativecareprogram@anthem.com).

Additional information can also be found on the DHCS website at:  
<https://www.dhcs.ca.gov/services/ppc/Pages/default.aspx>

## COMMUNITY HEALTH WORKER

CHW services are preventive health services and considered a Medi-Cal benefit as of 7/1/2022. CHW services delivered by a CHW are to prevent disease, disability and other health conditions or their progression; to prolong life; and to promote physical and mental health. CHWs may include individuals known by a variety of job titles, such as promoters, community health representatives, navigators, and other non-licensed public health workers, including violence prevention professionals.

Supervising CHW Providers employ and oversee the CHW, ensures services are delivered to members and submit claims for services provided by CHWs. The Supervising CHW Provider must be a licensed Provider, a hospital, an outpatient clinic, a local health jurisdiction or a community-based organization.



## REFERRALS AND ELIGIBILITY

No prior authorization required for the first 12 units of service, but a written recommendation that ensures medical eligibility must be submitted to Anthem prior to the submission of any claims.

Written recommendations with medical eligibility can be made by a physician or other licensed practitioner of the healing arts with the scope of their practice by state law. Other licensed providers within their scope of practice include physician assistants, nurse practitioner, clinical nurse specialist, podiatrist, nurse midwives, licensed midwives, registered nurses, public health nurse, psychologists, licensed marriage and family therapists, licensed clinical social workers, licensed professional clinical counselors, dentists, registered dental hygienists, licensed educational psychologists, licensed vocational nurses and pharmacists.

CHW services are considered medically necessary for Members with one or more chronic health conditions (including behavioral health) or exposure to violence and trauma, who are at risk for a chronic health condition or environmental health exposure, who face barriers in meeting their health or health-related social needs, and/or who would benefit from preventive services.

The recommending provider must determine eligibility based on the presence of one or more of the following:

- Diagnosis of one or more chronic health (including behavioral health) conditions, or a suspected mental disorder or substance use disorder that has not yet been diagnosed.
- Presence of medical indicators of rising risk of chronic disease (e.g., elevated blood pressure, elevated blood glucose levels, elevated blood lead levels or childhood lead exposure, etc.) that indicate risk but do not yet warrant diagnosis of a chronic condition.
- Any stressful life event presented via the Adverse Childhood Events screening.
- Presence of known risk factors, including domestic or intimate partner violence, tobacco use, excessive alcohol use, and/or drug misuse.
- Results of a SDOH screening indicating unmet health-related social needs, such as housing or food insecurity.
- One or more visits to a hospital emergency department (ED) within the previous six months.
- One or more hospital inpatient stays, including stays at a psychiatric facility, within the previous six months, or being at risk of institutionalization.
- One or more stays at a detox facility within the previous year.
- Two or more missed medical appointments within the previous six months.
- Member expressed need for support in health system navigation or resource coordination services.
- Need for recommended preventive services, including updated immunizations, annual dental visit, and well childcare visits for children

CHW violence prevention services are available to members who meet any of the following circumstances as determined by a licensed practitioner:

- The Member has been violently injured as a result of community violence.
- The Member is at significant risk of experiencing violent injury as a result of community violence.
- The Member has experienced chronic exposure to community violence. CHW violence prevention services are specific to community violence (e.g., gang violence). CHW services can be provided to Members for interpersonal/domestic violence through the other pathways with training/experience specific to those needs.

For members who need multiple ongoing CHW services or continued CHW services after 12 units of services, a written care plan must be written by one or more licensed providers, which may include the recommending Provider and other licensed Providers affiliated with the CHW Supervising Provider. The care plan may not exceed a period of one year and must include:

- Specify the condition that the service is being ordered for and be relevant to the condition

- Include a list of other health care professionals providing treatment for the condition or barrier
- Contain written objectives that specifically address the recipient’s condition or barrier affecting their health
- List the specific services required for meeting the written objectives
- Include the frequency and duration of CHW services (not to exceed the Provider’s order) to be provided to meet the plan’s objectives

Supervising CHW Providers must submit the written care plan and request for additional units to Anthem for review prior to the initiation of services beyond 12 units.

Referrals may be submitted through the provider website, fax or email utilizing the designated referral form.

## LIVEHEALTH ONLINE (LHO)

LiveHealth Online\* (LHO) is a website and mobile application that gives patients 24/7 access to on-demand video visits (medical). It has an urgent care focus and provides convenient access anytime, anywhere in California (even at home!) via smartphone, tablet, or computer.

LHO connects patients with board-certified physicians supporting physical and behavioral health. Physicians can electronically prescribe to the member’s pharmacy. Note: Only noncontrolled substances can be prescribed. It is available at no cost for Anthem Blue Cross members enrolled in Medi-Cal Managed Care (Medi-Cal) beginning September 1, 2018.

LHO does **not** provide:

- Preventive or ongoing medical care.\*
- Lab orders.
- Access to specialist care at this time.
- Access to translation services other than Spanish (doctor profiles indicate spoken languages).

Members can get 24/7 help by calling: **888-548-3432 | 888-LiveHealth**

For urgent prescription assistance after an online visit, members can call: **888-982-7956**.

## 8 | PROVIDER PROCEDURES AND RESPONSIBILITIES

Our providers must fulfill their roles and responsibilities with the highest integrity. We lean on their extensive healthcare education, experience and dedication to our members.

There are a number of responsibilities applicable to all Anthem Blue Cross providers. Responsibilities include the following:

- After-hours services
- Eligibility verification
- Collaboration
- Confidentiality
- Continuity of care
- Licenses and certifications
- Mandatory reporting of abuse
- Medical records standards and documentation
- Office hours
- Open clinical dialog/affirmative statement
- Oversight of non-physician practitioners

- Prohibited activities
- Provider contract terminations
- Termination of ancillary provider/patient relationship
- Updating provider information
- Fully complying with all terms and conditions of the DHCS contract including ownership and control disclosures, audits and inspections of subcontractors, and monitoring activities related to care coordination, data reporting and other functions

## **PROHIBITED ACTIVITIES**

- Billing eligible members for covered services
- Segregating members in any way from other persons receiving similar services, supplies or equipment
- Discriminating against Anthem Blue Cross members or Medicaid participants

**Note:** Services should always be provided without regard to race, religion, sex, color, national origin, age or physical/behavioral health status.

## **EMERGENCIES**

The answering service or after-hours personnel must ask the member if the call is an **emergency**. In the event of an emergency, the member must be immediately directed to **dial 911** or to proceed directly to the nearest hospital emergency room.

If the PCP's staff or answering service is not immediately available, an answering machine may be used. The answering machine message must instruct members with emergency healthcare needs to dial **911** or go directly to the nearest hospital emergency room. The message must also give members an alternative contact number so they can reach the PCP or on-call provider with medical concerns or questions.

## **NETWORK ON-CALL PROVIDERS**

Anthem Blue Cross prefers that our PCPs use network providers for on-call services. When that is not possible, the PCP must help ensure that the covering on-call physician or other professional provider abides by the terms of our provider contract.

## **COLLABORATION**

Providers share the responsibility of giving respectful care and working collaboratively with Anthem Blue Cross specialists, hospitals, ancillary providers, and members and their families. Providers must permit members to participate actively in decisions regarding medical care including, except as limited by law, their decision to refuse treatment.

## **MANDATORY REPORTING OF CHILD ABUSE, ELDER ABUSE OR DOMESTIC VIOLENCE**

Providers must ensure that office personnel have specific knowledge of local reporting requirements and procedures to make telephone and written reports of known or suspected cases of abuse.

All healthcare professionals must immediately report actual or suspected child abuse, elder abuse or domestic violence to the local law enforcement agency by telephone. In addition, providers must submit a follow-up written report to the local law enforcement agency within the time frames as required by law.

## **OPEN CLINICAL DIALOGUE/AFFIRMATIVE STATEMENT**

Nothing within the provider's *Provider Agreement* or this *Provider Manual* should be construed as encouraging providers to restrict medically necessary covered services or limit clinical dialogue between providers and their

patients. Providers can communicate freely with members regarding the treatment options available to them including medication treatment options regardless of benefit coverage limitations.

## **OVERSIGHT OF NONPHYSICIAN PRACTITIONERS**

All providers using nonphysician practitioners must provide supervision and oversight of such nonphysician practitioners consistent with state and federal laws. The supervising physician and the nonphysician practitioner must have written guidelines for adequate supervision, and all supervising providers must follow state licensing and certification requirements.

Nonphysician practitioners include the following categories:

- Advanced registered nurse practitioners
- Physician assistants

These nonphysician practitioners are licensed by the state and working under the supervision of a licensed physician as mandated by state and federal regulations.

Providers must notify Anthem promptly of any changes to the supervising physician.

## **PROVIDER RIGHTS**

Anthem Blue Cross providers acting within the lawful scope of practice shall not be prohibited from advising a member or advocating on behalf of a member for any of the following:

- The member's health status, medical care or treatment options including any alternative treatment that may be self-administered
- Any information the member needs to decide among all relevant treatment options
- The risks, benefits, and consequences of treatment or nontreatment
- The member's right to participate in decisions regarding his or her healthcare including the right to refuse treatment and to express preferences about future treatment decisions
- To receive information on the grievances and appeals and state fair hearing procedures
- To have access to policies and procedures covering authorization of services
- To be notified of any decision to deny a service authorization request or to authorize a service in an amount, duration or scope that is less than requested
- To challenge, on behalf of our members, the denial of coverage or payment for medical assistance
- To be free from discrimination for the participation, reimbursement or indemnification of any provider who is acting within the scope of his/her license or certification under applicable law solely based on that license or certification

Anthem Blue Cross provider selection policies and procedures do not discriminate against particular providers who serve high-risk populations or specialize in conditions that require costly treatment.

**Note:** Anthem Blue Cross members may select any contracted PCP as their primary physician as long as that PCP is taking new patients. We furnish each PCP with a current list of assigned members and from time to time provide medical information about our members' potential healthcare needs. In this way, providers can more effectively provide care and coordinate services.

## **HOSPITAL SCOPE OF RESPONSIBILITIES**

PCPs refer members to contracted hospitals for conditions beyond the PCP's scope of practice that are medically necessary.

Hospital care is limited to Anthem Blue Cross benefits. Hospital professionals diagnose and treat conditions specific to their area of expertise. Hospital responsibilities include the following.

## **SUPPLY MEDICATIONS**

Hospital providers must provide members with an adequate supply of medications upon discharge from the emergency room or an inpatient setting to allow reasonable time for the member to access a pharmacy to have prescriptions filled.

## **NOTIFICATION OF ADMISSION AND SERVICES**

The hospital must notify Anthem Blue Cross or the review organization of an admission or service at the time the member is admitted or service is rendered.

In the event that the emergency room visit results in the member's admission to the hospital, providers must contact Anthem Blue Cross within 24 hours or one business day if the member was admitted on a weekend or holiday.

## **NOTIFICATION OF PRIOR AUTHORIZATION DECISIONS**

If the hospital has not received notice of prior authorization at the time of a scheduled admission or service as required by the Utilization Management guidelines and the Hospital Agreement, the hospital should contact Anthem Blue Cross and request the status of the decision.

Any admission or service that requires prior authorization and has not received the appropriate review may be subject to post-service review denial. Generally, the provider is required to perform all prior authorization functions with Anthem Blue Cross; however, the hospital may also ensure that prior authorization has been granted before services are rendered or risk post-service denial.

## **ANCILLARY SCOPE OF RESPONSIBILITIES**

PCPs and specialists refer members to contracted network ancillary providers for conditions beyond the PCP's or specialist's scope of practice that are medically necessary.

Ancillary professionals diagnose and treat conditions specific to their area of expertise. Ancillary care is limited to Anthem Blue Cross benefits.

We have a wide network of participating healthcare professionals and facilities. All services provided by the healthcare professional and for which the healthcare professional is responsible are listed in the *Ancillary Agreement*.

## **ACCESS TO CARE, APPOINTMENT STANDARDS, AND AFTER-HOURS SERVICES**

Anthem Blue Cross adheres to standards set by the following organizations:

- National Committee for Quality Assurance (NCQA)
- American College of Obstetricians and Gynecologists (ACOG)
- Department of Health Care Services (DHCS)
- California Department of Managed Health Care (DMHC)

These guidelines help ensure that medical appointments, emergency services and continuity of care for new members are provided fairly, reasonably and within specific time frames.

Anthem Blue Cross monitors provider compliance with access to care standards on a regular basis. Failure to comply with proper instructions, standards or survey requests may result in corrective action.

## AMERICANS WITH DISABILITIES ACT REQUIREMENTS

Our policies and procedures are designed to promote compliance with the Americans with Disabilities Act (ADA) of 1990. Providers are required to take reasonable actions to remove an existing barrier and/or to accommodate the needs of members who are qualified individuals with a disability. This action plan includes:

- Street-level access
- An elevator or accessible ramp into facilities
- Access to a lavatory that accommodates a wheelchair
- Access to an examination room that accommodates a wheelchair
- Handicap parking clearly marked, unless there is street side parking

## APPOINTMENT STANDARDS

Healthcare providers must make appointments for members from the time of request as follows:

Emergency examination	Immediate access, 24 hours/7 days a week
Urgent (sick) examination	Within 48 hours of request if authorization is not required or within 96 hours of request if authorization is required, or as clinically indicated
Routine primary care examination (nonurgent)	Within 10 business days of request
Nonurgent consults/specialty referrals	Within 15 business days of request
Nonurgent care with nonphysician mental health providers or substance use disorder (where applicable)	Within 10 business days of request
Nonurgent follow-up care with nonphysician mental health providers or substance use disorder provider (where applicable)	Within 10 business days of request
Non-urgent appointments for ancillary services for the diagnosis or treatment of injury, illness, or other health condition	Within 15 business days of request
Initial health assessments	Within 120 days of enrollment
Preventive care visits	Within 14 days of request
Routine physicals	Within 30 days of request
<b>Behavioral health</b>	
Standards for timely and appropriate access to quality behavioral healthcare	Emergent: immediately Emergent, nonlife-threatening/crisis stabilization: within 24 hours of request Urgent: within 48 hours of referral/request Initial visit for routine care within 10 business days
Outpatient treatment by a behavioral health provider post-inpatient discharge	7 calendar days Routine outpatient: within 10 days of request Outpatient following discharge from an inpatient hospital: within 7 days of discharge
<b>Initial health assessments</b>	
Children under the age of 18 months	Within 120 days of enrollment or within American Academy of Pediatrics (AAP) guidelines, whichever is less
Children aged 19 months and over	Within 120 days of enrollment
<b>Prenatal and post-partum visits</b>	

First prenatal visit	Within 10 days of request
First and second trimester	Within 7 days of request
Third trimester	Within 3 days of request
High-risk pregnancy	Within 3 days of identification
Postpartum	Between 7 and 84 days after delivery

## MISSED APPOINTMENT TRACKING

When members miss appointments, providers must do the following:

- Document the missed appointment in the member's medical record.
- Make at least three attempts to contact the member to determine the reason for the missed appointment.
- Provide a reason in the member's medical record for any delays in performing an examination including any refusals by the member.
- Documentation of the attempts to schedule an **initial health assessment** must be available to Anthem Blue Cross or state reviewers upon request.

## SPECIALISTS

The following guidelines are in place for our specialists:

- For urgent care, the specialist should see the member within 24 hours of receiving the request.
- For routine care, the specialist should see the member within 15 business days of receiving the request.
- A copy of the medical records and/or results of the visit should be sent to PCP office also to allow continuity of care.

In some cases, a member may self-refer to a specialist. These cases include but are not limited to:

- Family planning and evaluation
- Diagnosis, treatment and follow-up of sexually transmitted diseases (STDs)

**Please note:** Specialists are responsible for ensuring that necessary pre-authorizations have been obtained prior to providing services.

For some medical conditions, it makes sense for the specialist to **be** the PCP. Members may request that the specialist be assigned as their PCP if:

- The member has a chronic illness
- The member has a disabling condition
- The member is a child with special healthcare needs

## OFFICE HOURS

To maintain continuity of care, providers are required to be available to provide services for a minimum of 20 hours each week (16 hours in LA County), eight hours of which, a physician shall be on site to provide services. Office hours must be clearly posted, and members must be informed about the provider's availability at each site. There are strict guidelines for providing access to healthcare **24 hours a day, 7 days a week:**

- Providers must be available 24 hours a day by telephone.
- During those times when a provider is not available, an on-call provider must be available to take calls.

## WAIT TIMES

When a provider's office receives a call from an Anthem Blue Cross member during regular business hours for assistance and possible triage, the provider or another healthcare professional must either take the call or call the member back within 30 minutes of the initial call.

## NONDISCRIMINATION STATEMENT

Providers must post a statement in their offices that details hours of operation that do not discriminate against Anthem Blue Cross members. This includes wait times for the following:

- Waiting times for appointments
- Waiting times for care at facilities
- Languages spoken

## AFTER-HOURS SERVICES

It is The Anthem Blue Cross policy and the state of California's requirement that our members have access to quality healthcare services 24 hours a day, 7 days a week. That kind of access means our PCPs must have a system in place to ensure that members can call after hours with medical questions or concerns.

We monitor PCP compliance with after-hours access standards on a regular basis. It is recommended that PCPs advise their answering services to participate in any after-hours monitoring. Failure to comply may result in corrective action.

PCPs must adhere to the following after-hours protocols:

- Forward member calls directly to a physician, registered nurse, or other qualified health professional acting within his or her scope of practice and who is trained to screen or triage an enrollee who may need care
- Ensure triage or screening waiting time does not exceed 30 minutes
- Ask the member if the call is an emergency. In the event of an emergency, they must immediately direct the member to **dial 911** or proceed directly to the nearest hospital emergency room.
- Have the ability to contact a telephone interpreter for members with language barriers.
- Return all calls.

Answering machine / service:

- May be used if staff or an answering service is not immediately available.
- Must instruct members with emergency healthcare needs to **dial 911** or proceed directly to the nearest hospital emergency room.
- Must provide instructions on how to contact the PCP or on-call provider in a nonemergency situation.
- Must inform the members of their routine office hours for members with non-urgent or non-emergent matters.
- Must provide instructions in English, Spanish and any other language appropriate to the PCP's practice.

We offer the following suggested text for answering machines:

"Hello, you have reached [insert physician office name] outside of our normal business hours of [insert office days and hours]. If this is an emergency, hang up and dial 911 or go to the nearest hospital emergency room. If this is not an emergency and you have a medical concern or question, please call [insert contact phone or pager number]. You will receive a return call from the on-call physician within [time frame]. "

**Please note:** Anthem Blue Cross prefers that PCPs use an in-network provider for on-call services. When that is not possible, PCPs must use their best efforts to help ensure that the covering on-call provider abides by the terms of the Anthem Blue Cross provider contract.

## INTERACTIVE VOICE RESPONSE REQUIREMENTS OF PROVIDERS

The following providers are required to have 24-hour service:

- Assisted living facilities/services
- Emergency response systems



- Nursing homes/skilled nursing facilities

Such providers will provide advice and assess care as appropriate for each member's medical condition. Emergent conditions will be referred to the nearest emergency room.

### **NETWORK ADEQUACY STANDARDS**

Below please find the Department of Health Care Services (DHCS) Network Adequacy Standards as outlined in All Plan Letter 21-006:

Network Adequacy Standards					
Provider Type	Timely Access Standard	Time and Distance Standard by County Size			
		Rural	Small	Medium	Dense
Primary Care (Adult and Pediatric)	Within 10 business days to appt. from request	10 miles or 30 minutes from the member's residence			
Specialty Care (Adult and Pediatric)	Within 15 business days to appt. from request	60 miles or 90 minutes from the member's residence	45 miles or 75 minutes from the member's residence	30 miles or 60 minutes from the member's residence	15 miles or 30 minutes from the member's residence
Obstetrics/Gynecology (OB/GYN) Primary Care	Within 10 business days to appt. from request	10 miles or 30 minutes from the member's residence			
Obstetrics/Gynecology (OB/GYN) Specialty Care	Within 15 business days to appt. from request	60 miles or 90 minutes from the member's residence	45 miles or 75 minutes from the member's residence	30 miles or 60 minutes from the member's residence	15 miles or 30 minutes from the member's residence
Hospitals	Not Applicable	15 miles or 30 minutes from the member's residence			
Pharmacy	Dispensing of at least a 72-hour supply of covered outpatient drug in an emergency situation	10 miles or 30 minutes from the member's residence			
Mental Health (non-psychiatry) Outpatient Services (Adult and Pediatric)	Within 10 business days to appt. from request	60 miles or 90 minutes from the member's residence	45 miles or 75 minutes from the member's residence	30 miles or 60 minutes from the member's residence	15 miles or 30 minutes from the member's residence
Ancillary Services	Within 15 business days to appt. from request.	Not Applicable			
Long Term Services and Supports (LTSS)	If applicable	Time and distance standards are not established for Multipurpose Senior Services Program (MSSP), Skilled Nursing Facilities (SNF), or Intermediate Care Facilities (ICF) providers as these providers either travel to the member to provide services or the member resides at the facility for care.			

Table 1: County Size Categories by Population

Size Category	Population Density	# of Counties	Counties
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Rural	≤50 people per square mile	21	Alpine, Calaveras, Colusa, Del Norte, Glenn, Humboldt, Imperial, Inyo, Lassen, Mariposa, Mendocino, Modoc, Mono, Plumas, San Benito, Shasta, Sierra, Siskiyou, Tehama, Tuolumne, Trinity
Small	51 to 200 people per square mile	19	Amador, Butte, El Dorado, Fresno, Kern, Kings, Lake, Madera, Merced, Monterey, Napa, Nevada, San Bernardino, San Luis Obispo, Santa, Barbara, Sutter, Tulare, Yolo, Yuba
Medium	201 to 599 people per square mile	9	Marin, Placer, Riverside, San Joaquin, Santa Cruz, Solano, Sonoma, Stanislaus, Ventura
Dense	≥600 people per square mile	9	Alameda, Contra Costa, Los Angeles, Orange, Sacramento, San Diego, San Francisco, San Mateo, Santa Clara

**Table 2: DHCS Adult and Pediatric Core Specialists**

Cardiology/Interventional Cardiology	Nephrology
Dermatology	Neurology
Endocrinology	Oncology
ENT/Otolaryngology	Ophthalmology
Gastroenterology	Orthopedic Surgery
General Surgery	Physical Medicine and Rehabilitation
Hematology	Psychiatry
HIV/AIDS Specialists/Infectious Diseases	Pulmonology

**Table 3: LTSS Timely Access Network Standards**

Provider Type	Timely Access Standard by County Size			
	Rural	Small	Medium	Dense
SNF	Within 14 calendar days of request	Within 14 calendar days of request	Within 7 business days of request	Within 5 business days of request
Intermediate Care Facility/Developmentally Disabled (ICF-DD)	Within 14 calendar days of request	Within 14 calendar days of request	Within 7 business days of request	Within 5 business days of request
Community Based Adult Services (CBAS)	Capacity cannot decrease in aggregate statewide below April 2012 level			

**Table 4: Call Center Wait Time Standards**

Medi-Cal Managed Care Health Plan (MCP) Call Center	10 minutes from the time the call is placed.
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## REQUIRED ASSESSMENTS

The **initial health assessment (IHA)** is a complete medical history, head-to-toe physical examination and assessment of health behaviors.

The IHA provides you with the opportunity to perform, or refer a member to, many HEDIS-required preventive health care services, such as childhood well visits, immunizations and well-woman care

The IHA should include but is not limited to the following specific screenings:

- A comprehensive past medical and psycho-social history
- Preventive services
- Comprehensive physical exam
- Diagnoses and plan of care
- Individual Health Education Behavioral Assessment (IHEBA) such as the Staying Healthy Assessment (SHA)
- Developmental and behavioral assessment
- Vaccines as recommended by the ACIP
- Documented parental anticipatory guidance and Blood Lead Level testing for children

PCPs are strongly encouraged to review their monthly eligibility list available on the Anthem Blue Cross provider portal and to proactively contact their assigned members to make an appointment for an IHA within the following time frame:

- All new members must have an IHA within 120 days of enrollment.

The PCP's office is responsible for making and documenting all attempts to contact assigned members. Members' medical records must reflect the reason for any delays in performing the IHA including any refusals by the member to have the exam.

An initial health assessment is **not** necessary under the following conditions:

- If the new member is an existing patient of the PCP (but new to us) with an established medical record showing baseline health status. This record must include a documented IHA within the past 12 months prior to the member's enrollment and sufficient information for the PCP to provide treatment.
- If the new member is not an existing patient, transferred medical records can also meet the requirements for an IHA if a completed health history is included.
- If the new member refuses to schedule an IHA. The refusal must be documented in the member's medical record.

## HEALTH INFORMATION FORM/MEMBER EVALUATION TOOL (HIF/MET) AND HEALTH RISK ASSESSMENT (HRA)

We strongly encourage providers assist members in completing the Health Information Form/Member Evaluation Tool (HIF/MET). This 10-minute state-required health screener is included in all new members' welcome packets, and should be returned to Anthem within 90 days of enrollment. This information is private. Information collected in the health screener ensures members get the most out of their health plan.

For Senior and Persons with Disabilities who are identified as high-risk, an additional assessment is completed to identify and support coordination of physical health, mental health, and social determinant of health needs. For example, if member needs assistance with coordinating specialty services, we will help schedule an exam with a specialty provider. Or, if your member has to refill a prescription, we can assist the member with navigating their pharmacy benefits. These are just a few of many services Anthem can assist you with. We help our members stay connected to care and prevent unnecessary emergency room visits or hospitalizations.

## STAYING HEALTHY ASSESSMENT TOOL (MEDI-CAL ONLY)

In addition to the initial health assessment, providers working with Medi-Cal members must also fill out the Staying Healthy Assessment (SHA) Tool and periodically readminister it according to the SHA periodicity chart. Annual reviews of existing SHAs and counseling are required at subsequent periodic exams.

**Note:** This requirement does not apply to Major Risk Medical Insurance Program members.

The California Department of Health Care Services (DHCS) recently updated the SHA and Individual Health Education Behavioral Assessment (IHEBA). It is now available in seven age-specific pediatric questionnaires and two adult questionnaires including one designed for seniors. It was developed to achieve the following:

- Identify and track high-risk behaviors
- Prioritize patient health education needs
- Initiate discussion and counseling on prioritized high-risk behaviors related to lifestyle, behavior, environment, cultural and language

PCP responsibilities for the **Staying Healthy Assessment Program** include:

- Reviewing the completed SHA with the patient.
- Exploring patient responses to verify risk factors and determining the extent to which they might harm the patient's health.
- Based upon a patient's behavioral risks and willingness to make lifestyle changes, PCPs should provide tailored health education counseling, intervention, referral and follow-up.
- The PCP must document, initial and date all health education interventions and referrals using the intervention codes listed on the bottom of each SHA tool.

Newly added PCP responsibilities for the Staying Healthy Assessment Program include:

- Receive training on implementing and administering the new SHA
- Attest to receiving training on the implementation, administration and state regulations regarding the use of the new SHA assessment forms
- Complete the DHCS-approved provider presentation training online at the following link:
- <http://tinyurl.com/StayingHealthy2014>

(If the slideshow does not start when opening the PowerPoint file, select the **Slide Show** tab and select the **From Beginning** icon in the top left-hand corner of your screen to start the narrated training.)

## TO OBTAIN SHA ASSESSMENT FORMS

The SHA assessment forms in all the age categories and most Medi-Cal threshold languages are posted on the DHCS SHA webpage and are available to download at the following link:

- [www.dhcs.ca.gov/formsandpubs/forms/pages/stayinghealthy.aspx](http://www.dhcs.ca.gov/formsandpubs/forms/pages/stayinghealthy.aspx)

To request hard copies of the SHA assessment forms including electronic versions of the assessment forms in Farsi or Khmer, please contact your local regional health plan.

### Electronic SHA Formats

With many offices now using electronic medical records (EMRs), providers have several options for using an electronic format of the SHA.

DHCS requires that providers must complete and submit either the SHA Electronic or Other Format Notification Form or Use of Bright Futures Notification Form at least one month prior to implementation.

Providers are advised to contact a local regional health plan representative to request this form and initiate the process.

## USE OF ALTERNATIVE IHEBA TOOLS

Anthem Blue Cross strongly encourages the use of the SHA. Should you prefer to use and administer an alternative IHEBA, a request and justification to do so must be submitted to Anthem Blue Cross two months in advance of scheduled implementation to receive approval.

For more information about the SHA, please contact your local Provider Relations team at the appropriate phone numbers listed in the **Quick Reference** chapter of this manual.

## CALIFORNIA CHILDREN'S SERVICES

California Children's Services (CCS) is a state program that treats children under 21 years of age with certain health conditions, diseases or chronic health problems and who meet the CCS program rules. If this health plan or your PCP believes a child has a CCS condition, he or she will be referred to the CCS program.

CCS program staff will decide if the child is eligible for CCS services. If the child can get these types of care, CCS providers will treat him or her for the CCS condition. This health plan will continue to cover types of service that do not have to do with the CCS condition such as physicals, vaccines, and well-child checkups.

Anthem Blue Cross does not cover care given by the CCS program. For CCS to cover these problems, CCS must approve the provider, services, and equipment. The state (**not** Anthem Blue Cross) pays for CCS services. CCS does not cover all problems. CCS covers most problems that physically disable or that need to be treated with medicines, surgery, or rehabilitation (rehab).

### CCS covers children with problems such as:

- Cancers
- Tumors
- Hemophilia
- Diabetes
- Liver disease
- Cataracts
- Spina bifida
- AIDS
- Hearing loss
- Congenital heart disease
- Sickle cell anemia
- Thyroid problems
- Intestinal disease
- Cleft lip/palate
- Cerebral palsy
- Muscular dystrophy
- Seizures that are not controlled
- Rheumatoid arthritis
- Severe head, brain or spinal cord injuries
- Severely crooked teeth
- Blood lead level of 20 micrograms per deciliter or above
- Serious chronic kidney problems

Approved CCS providers must submit claims on the appropriate form to the local CCS program according to the terms of their CCS agreement. CCS is the primary payer for CCS-eligible diagnosis; Anthem Blue Cross does not provide authorization for those conditions.

All providers, both in- and out-of-network, are obligated to follow CCS guidelines including the following:

- Refer CCS-eligible or potentially eligible conditions to CCS and Anthem Blue Cross within 24 hours or the next business day.
- Use CCS network physicians and hospitals. Non-CCS-paneled hospitals must contact CCS immediately for authorization of inpatient members who are not stable for transfer to a CCS-paneled hospital.

Anthem Blue Cross will not reimburse claims for CCS-eligible conditions denied by CCS for noncompliance with CCS program requirements. In addition, providers may not seek additional payment or compensation from members for any of the following:

- CCS-covered services
- CCS-denied claims due to failure to submit the application within CCS time frames

- CCS-denied claims due to failure to use CCS network physicians or hospitals

Anthem Blue Cross will reimburse for all healthcare services unrelated to the CCS-covered condition. We do not reimburse for services related to a potentially medically eligible condition or for care that is related to a condition that has been qualified by the local CCS program.

## **PREGNANCY NOTIFICATION**

- <https://providers.anthem.com/CA> > Forms.
- Fax number: **855-410-4451**

The pregnancy notification process identifies Anthem Blue Cross members who are covered by Medi-Cal Managed Care (Medi-Cal) early in their pregnancy. Our goal is to identify women who may need additional health education, transportation assistance, case management (including high-risk obstetrics), care coordination and any other needs related to women's health. The *Pregnancy Notification Form* provides important information to Anthem Blue Cross so that we can ensure pregnant members access prenatal care timely within their first trimester or within 42 days of enrollment as recommended by NCQA.

There is a revised *Pregnancy Notification Form* effective February 1, 2017, that replaces all prior versions. It can be found on the Anthem Blue Cross provider website located in the *Prenatal Toolkit* link at the beginning of this section.

You should also complete the *Maternity Form* accessible via the Availity Portal. Perform an Eligibility and Benefits request on an Anthem member and choose one of the following benefit service types: Maternity, Obstetrical, Gynecological, Obstetrical/Gynecological:

- Before you see the benefit results screen you will be asked if the member is pregnant and given a Yes or No option. If you indicate "Yes" you will be asked what the estimated due date is and can fill that date out if you have an estimate or leave it blank if you do not.
- After you submit your answer, you will be taken to the benefits page like normal. In the background a Maternity form will have been generated for this patient in the Maternity application in the Payer Spaces for the Anthem Blue Cross plan.

## **MEDICAL RECORDS**

Medical records must be maintained in a manner that ensures effective and confidential member care and quality review. At Anthem Blue Cross, we perform medical record reviews upon signing a provider contract and, at minimum, every three years thereafter to ensure providers are in compliance with these standards. Please see the Medical Record Review Process section for more information.

Medical records must be stored and retrieved in a manner that protects patient information according to the Confidentiality of Medical Information Act. This act prohibits a provider of healthcare from disclosing any individually identifiable information regarding a patient's medical history, treatment, or behavioral and physical condition without the patient's or legal representative's consent or specific legal authority.

Records required through a legal instrument may be released without patient or patient representative consent if in compliance with law. Providers must be familiar with the security requirements of the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and be in compliance.

In addition, providers must provide access to medical records for the following:

- Medical record reviews by Anthem Blue Cross or the provider's contracted External Quality Review Organization (EQRO). Providers must have procedures in place to provide timely access to medical records in the providers' absence.

- For public health communicable disease reporting, providers must provide all medical records or information as requested and in the time frame established by state and federal laws.

## PREVENTIVE HEALTHCARE

Current educational materials and health management programs are located on the *Quality Improvement Programs* website below:

<https://providers.anthem.com/california-provider/resources/provider-training-academy>

With respect to the issue of coverage, each member should review his/her Evidence of Coverage for details concerning benefits, procedures and exclusions prior to receiving treatment.

The Evidence of Coverage supersedes the preventive health guideline recommendations.

**Note:** Our recommendation of these guidelines is not an authorization, certification, explanation of benefits or a contract. Actual member benefits and eligibility are determined in accordance with the requirements set forth by the state of California. With respect to the issue of coverage, each member should review his/her Evidence of Coverage for details concerning benefits, procedures, and exclusions prior to receiving treatment. The Evidence of Coverage supersedes the preventive health guideline recommendations.

## PREVENTIVE HEALTHCARE GUIDELINES

The most up-to-date *Preventive Healthcare Guidelines* are located on our website at:

<https://providers.anthem.com/CA>

Anthem Blue Cross considers *Preventive Health Guidelines* to be an important component of healthcare. Anthem Blue Cross develops preventive health guidelines in accordance with recommendations made by nationally recognized organizations and societies such as the American Academy of Family Physicians (AAFP), the American Academy of Pediatrics (AAP), the Advisory Committee on Immunizations Practices (ACIP), the American College of Obstetrics and Gynecology (ACOG) and the United States Preventive Services Task Force (USPSTF).

The above organizations make recommendations based on reasonable medical evidence. We review the guidelines annually for content accuracy, current primary sources, new technological advances and recent medical research and make appropriate changes based on this review of the recommendations and/or preventive health mandates. We encourage physicians to utilize these guidelines to improve the health of our members.

## CLINICAL PRACTICE GUIDELINES

Several national organizations produce guidelines for asthma, diabetes, hypertension and other conditions. The guidelines, which Anthem Blue Cross uses for quality and disease management programs, are based on reasonable medical evidence. We review the guidelines at least every two years or when changes are made to national guidelines for content accuracy, current primary sources, new technological advances and recent medical research.

**Clinical Practice Guidelines can be downloaded at:**

- <https://providers.anthem.com/california-provider/resources/manuals-policies-guidelines>
- You can also call Provider Services at **866-231-0847** to receive a copy.

## COMPREHENSIVE PERINATAL SERVICES PROGRAM

The **Comprehensive Perinatal Services Program** (CPSP) is a Medi-Cal program that provides individualized perinatal services during pregnancy and 60 days following delivery by or under the personal supervision of a physician approved by CPSP. All members must be offered CPSP services.



The program emphasizes nutritional services, psychosocial support, health education and postpartum treatment and intervention.

PCPs caring for pregnant women and obstetrics/gynecology specialists are responsible for assessing member needs and referring all pregnant members to the following:

- Community prenatal services
- Women, Infants and Children Program (WIC)
- Substance abuse programs
- Prenatal education classes

Women should be referred to a CPSP provider by calling the appropriate Customer Care Center at the numbers listed at the beginning of this chapter.

## **IMMUNIZATION PROGRAM**

The **Immunization Program** was designed to increase both childhood immunization rates and the number of members who are fully immunized.

ACIP has recommended immunizations for all children as well as adult members.

All members should be notified by the PCP of the use of the California Immunization Registry (CAIR) to monitor immunizations administered to all members. This program allows members to receive the appropriate immunization based on age at the appropriate timeframe.

Providers should be working with the CAIR program so all immunization information is obtained by CAIR whether by inputting data, upload, or transfer of information.

When immunizations are given, the PCP must also distribute the Vaccine Information Statement (VIS) that educates on the vaccines administered prior to having their vaccines administered.

All pediatric providers must participate in the Vaccines for Children (VFC) program.

## **WELL WOMAN**

The Well Woman Program was developed to remind and encourage women to have regular cervical and breast cancer screenings. The Well Woman Reminder Program sends a screening test reminder mailer to women who are not up-to-date with their recommended cervical and/or breast cancer screenings.

Providers are encouraged to refer members for screenings and/or schedule the exams. PCP responsibilities for the care of female members include:

- Educating members on Preventive Healthcare Guidelines for women
- Referring members for cervical and breast cancer screenings
- Scheduling screening exams for members

## **CHILDHOOD LEAD EXPOSURE TESTING**

At each health assessment from 6 months until 72 months of age, providers must conduct and document in medical records, oral or written anticipatory guidance to the parent(s) or guardian(s) of a child that includes the sources of lead exposure and that children can be harmed by any exposure to lead.

DHCS requires that Providers order or perform, and document in medical records, blood lead level (BLL) screening tests (capillary or venous sample) on all children enrolled in Medi-Cal, regardless of their risk factors:

- At 12 months and at 24 months of age. Whenever the provider is aware the child has not had a BLL test performed up to 72 months of age.
- Whenever an increased risk for lead exposure is identified.
- When requested by the parent or guardian.

PCPs doing Point-of-Care testing must notify the California Department of Public Health (CDPH) of the results of the test. If testing is by a laboratory, they must report 100% of all results.

**Note:** Completion of a lead risk assessment questionnaire does not fulfill this screening requirement; a blood draw is required.

The most up-to-date information on Anthem's BLL Program is located on our website at:  
<https://providers.anthem.com/CA>

## **BREASTFEEDING**

The American Academy of Pediatrics, the American College of Obstetrics and Gynecology, and the American Public Health Association recognize breastfeeding as the preferred method of infant feeding. Providers should encourage breastfeeding for all pregnant women unless it is not medically appropriate.

To support this goal, providers should do the following:

- Refer pregnant women to community resources that support breastfeeding such as La Leche League, WIC and breastfeeding classes.
- Assess all pregnant women for health risks that are contraindications to breastfeeding (for example, AIDS and active tuberculosis).
- Provide breastfeeding counseling and support to postpartum women immediately after delivery.
- Assess postpartum women to determine the need for lactation durable medical equipment (DME) such as breast pumps and breast pump kits.
- Document all referrals and treatments related to breastfeeding in the patient's medical record (pediatricians should document frequency and duration of breastfeeding in baby's medical record).
- Refer members to breastfeeding classes prior to delivery by calling our Customer Care Center.
- Support continued breastfeeding at the postpartum visit.

Lactation management aids are a covered benefit for Medi-Cal members. Members can obtain hand-held breast pumps through a prescription without prior authorization. In addition, the following services are available:

- Electric breast pumps are available for members with medical necessity with a provider referral and prior authorization. Contact Utilization Management for more information.
  - Arrangement for the provision of human milk for newborns must be made if the mother is unable to breastfeed due to medical reasons and/or the infant cannot tolerate or has medical contraindications to the use of any formula including elemental formulas. The **Mother's Milk Bank of Santa Clara Valley Medical Center** is the only human milk bank in the state of California:
    - They can be contacted at: **408-998-4550**

## 9 | ADMINISTRATIVE PROCEDURES

### ENFORCEMENT & ESCALATION FOR NON-COMPLIANCE WITH STANDARDS

Anthem maintains a monitoring and oversight program to ensure compliance with contract and regulatory requirements.

When deficiencies are identified through monitoring activities, Anthem may initiate corrective action to remedy the deficiency.

In general, if a CAP is not resolved within the cure period or within three months of initial notification of deficiency, a CAP would be escalated via recommendation and approval by the appropriate committee for additional contract enforcement. Escalation includes, but is not limited to,

- Outreach to PMG/Provider via meeting
- Formal Notice of Findings
- Corrective Action Plan
- Warning Letter
- Breach Notice
- Recoverable Cap Withhold
- Non-Recoverable Cap Withhold
- Sanction/CAP Deduction (non-recoverable)
- Sending a quality performance notices that requires completion of specific QI interventions
- Membership/Panel Freeze
- De-Delegation
- Termination

### PROVIDER TERMINATION, LOCATION, COVERED SERVICES AND/OR POPULATION SERVED CHANGES

- If a provider who is part of a participating medical group (PMG) and/or an independent practice association (IPA) decides to terminate from the Anthem Blue Cross network, changes location or changes their population served the following guidelines must be followed:
  - The provider should notify all affiliated PMGs/IPAs within a minimum of 120 calendar days to ensure member notifications can be sent timely.
  - The PMGs/IPAs should notify Anthem Blue Cross. The provider's termination and/or changes will become effective no less than 120 calendar days after we receive notification.
  - The provider's decision to terminate from Anthem Blue Cross could impact participation in other Anthem Blue Cross lines of business and may prevent the provider from participating with us in the future.
- If a provider who is part of the Anthem Blue Cross network terminates his/her contract, changes location, and or changes the population they serve, the following guidelines must be followed:
  - The provider should notify Anthem Blue Cross within a minimum of 120 calendar days to ensure timely member notifications can be sent.
  - The provider's termination and/or changes will become effective no less than 120 calendar days after we receive notification.

The provider's decision to terminate from Anthem Blue Cross could impact participation in other Anthem Blue Cross lines of business and may prevent the provider from participating with Anthem Blue Cross in the future.

It is imperative that these minimum timelines be met to ensure members, the California Department of Managed Health Care, the California Department of Health Care Services and the health plan are notified as required, ensuring systems are updated in a timely manner. Future instances of untimely notification will result in issuance of a corrective action plan, including, but not limited to, financial sanctions and/or a breach of contract notice.

## **CONTRACT TERMINATION WITH HEALTH PLAN**

When a participating provider notifies Anthem Blue Cross that the provider is terminating the contract with the network, we notify all members that the provider will no longer be available. A terminating provider who is actively treating members must continue to treat members until the provider's date of termination.

Impacted members are notified about the termination and provided the following information:

- The impending termination date of their provider
- Their right to request continued access to care
- Contact information to request a PCP change
- The DHCS Ombudsman phone number
- Referrals to Utilization Management for continued access to care consideration
- The opportunity to choose a new PCP or be assigned to a new PCP with the option to change if the member does not choose a PCP
- All other notification language required by the H&S Code and the DHCS All Plan Letter, MediCal provider and subcontract suspensions, terminations, and decertifications

Members under the care of specialists can also submit requests for continued access to care including continued care after the transition period by calling the appropriate Medi-Cal Customer Care Center below:

- Outside L.A. County: **800-407-4627** or **888-757-6034 (TTY)**
- Inside L.A. County: **888-285-7801** or **888-757-6034 (TTY)**

## **TERMINATION OR AFFILIATION CHANGE WITH PROVIDER GROUPS**

Anthem Blue Cross PCPs may have multiple provider medical group (PMG) affiliations. To ensure continuity of care, membership will remain with an assigned PCP unless the PCP does not have another Anthem Blue Cross PMG affiliation.

A PCP can change PMG affiliations. Assigned members will transfer to follow the PCP under the new affiliation. The following exceptions to this policy shall occur:

- If the PCP is an employee of the PMG (except in L.A. County), member affiliation will remain with the PMG. Members may elect to change this PMG affiliation to the PCP's new affiliation in order to facilitate continued care under the established PCP.
  - If the PCP in L.A. County has an active PMG affiliation with LA. Care or its Plan Partners outside of Anthem Blue Cross, members will be transitioned to the active health plan.
- If a member is assigned to a safety net clinic, the member's affiliation remains with the clinic should a PCP terminate its affiliation.
- If a provider is a supervising physician, then the provider must update Anthem with the new supervising physician.

## **CONTINUITY OF CARE PROVISION FOR A PMG-EMPLOYED PHYSICIAN**

If the PMG does not have the appropriate PCP specialty to serve members that were assigned to a departing employed physician, Anthem Blue Cross has the right to move the affected member to the PCP's new PMG affiliation or an appropriate PMG.

**For example:** If the only pediatrician affiliated with the PMG terminates his employment or his employment is terminated, Anthem Blue Cross will move members to an alternate PMG affiliation to ensure the affected members have access to appropriate pediatric care. If the PCP changes PMG affiliation and relocates his practice further than 10 miles, Anthem Blue Cross also has the right to select a new PCP for the affected membership.

Network education representatives (NERs) are the primary account managers for all provider services associated with an assigned PMG. The NERs also serve as liaisons between Anthem and the provider network for many providers who exist outside of the PMG including hospital, ancillary and individual providers.

The NER is responsible for coordinating all additions, changes and terminations from the PCP and PMG.

## **PROVIDER TERMINATIONS FROM GROUPS**

When a provider who is part of a PMG and/or an independent practice association (IPA) decides to terminate from the Anthem Blue Cross network, the following guidelines must be followed:

- The provider should notify all affiliated PMGs/IPAs within a minimum of 120 days to ensure member notifications can be sent timely.
- The PMGs/IPAs should notify Anthem Blue Cross. The provider's termination will become effective 120 days after we receive notification.
- Special consideration may be given in situations which render compliance with the 120 day requirement impossible (i.e., death of a provider, natural disaster)
- The provider's decision to terminate from Anthem Blue Cross could impact participation in other Anthem Blue Cross lines of business and may prevent the provider from participating with us in the future.

**Note:** If we determine that the quality of care or services provided by a healthcare professional is not satisfactory as evidenced by member satisfaction surveys, member complaints or grievances, utilization management data, complaints or lawsuits alleging professional negligence, or any other quality of care indicators, Anthem Blue Cross may terminate the Provider Agreement.

## **UPDATING PROVIDER DIRECTORIES**

Anthem providers are required to inform us of any material changes to their practice, either through voluntarily effort or through mandatory response to Anthem Blue Cross provider outreach efforts in compliance with Senate Bill 137 (SB137) including:

- Change in professional business ownership
- Change in business address or the location where services are provided
- Change in federal 9-digit Tax Identification Number (TIN)
- Change in specialty
- The age range of patients serviced by the provider
- Languages spoken by both provider and mid-level staff
- Change in demographic data (for example: phone numbers, fax numbers, email address, handicap or ADA accessibility and office hours)
- Hospital admitting privileges
- Legal or governmental action initiated against a healthcare professional including, but not limited to, an action for professional negligence for violation of the law, or against any license or accreditation which, if successful, would impair the ability of the healthcare professional to carry out the duties and obligations under the Provider Agreement
- Other problems or situations that impair the ability of the healthcare professional to carry out the duties and obligations under the Provider Agreement care review and grievance resolution procedures
- Notification that the provider is accepting new patients

Failure to respond within the designated time frame indicated on the outreach form **will result in suppression from the provider directory.**

Submissions provided voluntarily unrelated to the SB137 outreach do not satisfy this requirement. There must be a response to the SB137 outreach form.

#### QUARTERLY ROSTER VALIDATION

Anthem has established a quarterly roster validation process to further support compliance with SB137. Anthem providers are required to respond within the deadlines provided with accurate and complete updates of provider rosters, using any templates/forms and instructions provided by Anthem.

Failure to comply with any SB137 related outreach, including use of Anthem's templates, could lead to a request for corrective action plan. Repeated noncompliance could lead to contractual action.

#### UPDATING PROVIDER INFORMATION

For voluntary updates separate from the SB137 outreach, use the new online *Provider Maintenance Form (PMF)* to notify Anthem Blue Cross of changes. The form is available in the *Forms* library on the *Provider Resources* page of our website at:

- <https://providers.anthem.com/CA>

For directions on how to access Availity, please see **Chapter 2** of this manual.

### CREDENTIALING SCOPE

Anthem Blue Cross credentials the following licensed/state certified independent healthcare practitioners:

- Medical doctors
- Doctors of osteopathic medicine
- Doctors of podiatry
- Chiropractors
- Optometrists providing health services covered under the health benefits plan
- Doctors of dentistry providing health services covered under the health benefits plan including oral maxillofacial surgeons
- Psychologists who have doctoral or master's level training
- Clinical social workers who have master's level training
- Psychiatric or behavioral health nurse practitioners who have master's level training
- Other behavioral healthcare specialists who provide treatment services under the Health Benefit Plan
- Telemedicine practitioners who provide treatment services under the health benefits plan
- Medical therapists (for example, physical therapists, speech therapists and occupational therapists)
- Genetic counselors
- Audiologists
- Acupuncturists (non-medical doctors or doctors of osteopathic medicine)
- Nurse practitioners
- Certified nurse midwives
- Physician assistants (as required locally)
- Registered Dietitians

Anthem Blue Cross also certifies the following behavioral health practitioners (including verification of licensure by the applicable state licensing board to independently provide behavioral health services):

- Certified behavioral analysts
- Certified addiction counselors
- Substance abuse practitioners

Anthem Blue Cross credentials the following health delivery organizations (HDOs):

- Hospitals
- Home health agencies
- Skilled nursing facilities (Nursing Homes)
- Ambulatory surgical centers
- Birthing centers
- Home infusion therapy agencies
- Behavioral health facilities providing mental health and/or substance abuse treatment in an inpatient, residential or ambulatory setting including:
  - Adult family care/foster care homes
  - Ambulatory detox
  - Community mental health centers (CMHC)
  - Crisis stabilization units
  - Intensive family intervention services
  - Intensive outpatient — mental health and/or substance abuse
  - Methadone maintenance clinics
  - Outpatient mental health clinics
  - Outpatient substance abuse clinics

- Partial hospitalization — mental health and/or substance abuse
- Residential treatment centers (RTC) — psychiatric and/or substance abuse

The following HDOs are not subject to professional conduct and competence review under the Anthem Blue Cross credentialing program but are subject to a certification requirement process:

- Clinical laboratories (a CMS-issued CLIA certificate or CLIA Certificate of Compliance)
- End-stage renal disease (ESRD) service providers (dialysis facilities) (CMS Certification or National Dialysis Accreditation Commission)
- Portable X-ray suppliers (CMS Certification)
- Home Infusion Therapy when associated with another currently credentialed HDO (CMS Certification)
- Hospice (CMS Certification)
- Federally Qualified Health Centers (FQHC) (CMS Certification)
- Rural Health Clinics (CMS Certification)

## INITIAL CREDENTIALING

Each practitioner or HDO must complete a standard application form when applying for initial participation in one or more of The Anthem Blue Cross networks or plan programs. This application may be a state-mandated form or a standard form created by or deemed acceptable by Anthem Blue Cross.

For practitioners, the Council for Affordable Quality Healthcare (CAQH), a universal credentialing data source, is utilized. CAQH built the first national provider credentialing database system which is designed to eliminate the duplicate collection and updating of provider information for health plans, hospitals, and practitioners.

- To learn more about CAQH, visit their website at [www.CAQH.org](http://www.CAQH.org).

Anthem Blue Cross will verify those elements related to an applicants' legal authority to practice, relevant training, experience, and competency from the primary source, where applicable, during the credentialing process.

All verifications must be current and verified within the 180 calendar day period prior to the Credentials Committee (CC) making its credentialing recommendation or as otherwise required by applicable accreditation standards.

During the credentialing process, Anthem Blue Cross will review verification of the credentialing data as described in the following tables unless otherwise required by regulatory or accrediting bodies. These tables represent minimum requirements.



<b>Practitioners</b>
<b>License to practice in the state(s) in which the practitioner will be treating covered individuals</b>
<b>Hospital admitting privileges at a TJC-, NIAHO- or AOA-accredited hospital, or a network hospital previously approved by the committee</b>
<b>DEA/CDS and state controlled substance registrations</b>
<ul style="list-style-type: none"> <li>• <b>The DEA/CDS registration must be valid in the state(s) in which the practitioner will be treating covered individuals. Practitioners who see covered individuals in more than one state must have a DEA/CDS registration for each state.</b></li> </ul>
<b>Malpractice insurance</b>
<b>Malpractice claims history</b>
<b>Board certification or highest level of medical training or education</b>
<b>Work history</b>
<b>State or federal license sanctions or limitations</b>
<b>Medicare, Medicaid or FEHBP sanctions</b>
<b>National Practitioner Data Bank report</b>
<b>State Medicaid Exclusion Listing if applicable</b>

<b>HDOs</b>
<b>Accreditation if applicable</b>
<b>License to practice if applicable</b>
<b>Malpractice insurance</b>
<b>Medicare certification if applicable</b>
<b>Department of Health survey results or recognized accrediting organization certification</b>
<b>License sanctions or limitations if applicable</b>
<b>Medicare, Medicaid or FEHBP sanctions</b>

## **RECREREDENTIALING**

The recredentialing process incorporates re-verification and the identification of changes in the practitioner’s or HDO’s licensure, sanctions, certification, health status and/or performance information (including, but not limited to, malpractice experience, hospital privilege, or other actions) that may reflect on the practitioner’s or HDO’s professional conduct and competence. This information is reviewed in order to assess whether practitioners and HDOs continue to meet Anthem Blue Cross credentialing standards.

During the recredentialing process, Anthem Blue Cross will review verification of the credentialing data as described in the tables under Initial Credentialing unless otherwise required by regulatory or accrediting bodies. These tables represent minimum requirements.

All applicable practitioners and HDOs in the network within the scope of Anthem Blue Cross Credentialing Program are required to be recredentialed every three years unless otherwise required by contract or state regulations.

## **HEALTH DELIVERY ORGANIZATIONS**

New HDO applicants will submit a standardized application to Anthem Blue Cross for review. If the candidate meets Anthem Blue Cross screening criteria, the credentialing process will commence. To assess whether network HDOs, within the scope of the Credentialing Program, meet appropriate standards of professional conduct and competence, they are subject to credentialing and recredentialing programs.

In addition to the licensure and other eligibility criteria for HDOs as described in detail in Anthem Blue Cross Credentialing Program Standards, all network HDOs are required to maintain accreditation by an appropriate, recognized accrediting body or, in the absence of such accreditation, Anthem Blue Cross may evaluate the most recent site survey by Medicare, the appropriate state oversight agency, or a site survey performed by a designated independent external entity within the past 36 months for that HDO.

Recredentialing of HDOs occurs every three years unless otherwise required by regulatory or accrediting bodies. Each HDO applying for continuing participation in networks or plan programs must submit all required supporting documentation.

On request, HDOs will be provided with the status of their credentialing application. Anthem Blue Cross may request and will accept additional information from the HDO to correct incomplete, inaccurate or conflicting credentialing information. The CC will review this information and the rationale behind it as presented by the HDO and determine if a material omission has occurred or if other credentialing criteria are met.

### HDO ELIGIBILITY CRITERIA

All HDOs must be accredited by an appropriate, recognized accrediting body or in the absence of such accreditation, Anthem Blue Cross may evaluate the most recent site survey by Medicare, the appropriate state oversight agency, or site survey performed by a designated independent external entity within the past 36 months. Nonaccredited HDOs are subject to individual review by the CC and will be considered for covered individual access need only when the CC review indicates compliance with Anthem Blue Cross standards and there are no deficiencies noted on the Medicare or state oversight review which would adversely affect quality or care or patient safety. HDOs are recredentialed at least every three years to assess the HDO’s continued compliance with Anthem Blue Cross standards.

#### General Criteria for HDOs:

1. Valid, current, and unrestricted license to operate in the state(s) in which it will provide services to covered individuals. The license must be in good standing with no sanctions.
2. Valid and current Medicare certification.
3. Must not be currently federally sanctioned, debarred or excluded from participation in any of the following programs: Medicare, Medicaid or the FEHBP.  
**Note:** If, once an HDO participates in The Anthem Blue Cross programs or provider network(s), exclusion from Medicare, Medicaid or FEHBP occurs, at the time of identification, the HDO will become immediately ineligible for participation in the applicable government programs or provider network(s) as well as The Anthem Blue Cross other credentialed provider network(s).
4. Liability insurance acceptable to Anthem Blue Cross.
5. If not appropriately accredited, HDO must submit a copy of its CMS, state site or a designated independent external entity survey for review by the CC to determine if The Anthem Blue Cross quality and certification criteria standards have been met.

#### ADDITIONAL PARTICIPATION CRITERIA FOR HDO BY PROVIDER TYPE

Facility Type — Medical Care	Acceptable Accrediting Agencies
Acute care hospital	CIQH, CTEAM, HFAP, DNV/NIAHO, TJC
Ambulatory surgical centers	AAAASF, AAAHC, AAPSF, HFAP, IMQ, TJC
Birth center	AAAHC, CABC
Clinical laboratories	COLA, CLIA - <a href="https://www.cms.gov/Regulations-and-Guidance/Legislation/CLIA/Downloads/AOList.pdf">https://www.cms.gov/Regulations-and-Guidance/Legislation/CLIA/Downloads/AOList.pdf</a>

<b>Convenient care centers (CCCs)/retail health clinics (RHC)</b>	DNV/NIAHO, UCAOA
<b>Dialysis center</b>	TJC, CMS
<b>Federally qualified health center (FQHC)</b>	AAAHC
<b>Free-standing surgical centers</b>	AAAASF, AAPSF, HFAP, IMQ, TJC
<b>Home health care agencies (HHA)</b>	ACHC, CHAP, CTEAM, DNV/NIAHO, TJC
<b>Home infusion therapy (HIT) – MRMIP only</b>	ACHC, CHAP, CTEAM, HQAA, TJC
<b>Hospice</b>	ACHC, CHAP, TJC
<b>Intermediate care facilities</b>	CTEAM
<b>Portable X-ray suppliers</b>	FDA certification
<b>Skilled nursing facilities/nursing homes</b>	BOC INT'L, CARF, TJC
<b>Rural health clinic (RHC)</b>	AAAASF, CTEAM, TJC
<b>Urgent care center (UCC)</b>	AAAHC, IMQ, TJC, UCAOA, NUCCA

<b>Facility Type — Behavioral Healthcare</b>	<b>Acceptable Accrediting Agencies</b>
<b>Acute care hospital — psychiatric disorders</b>	CTEAM, DNV/NIAHO, TJC, HFAP
<b>Acute inpatient hospital — chemical dependency/detoxification and rehabilitation</b>	HFAP, NIAHO, TJC
<b>Adult family care homes (AFCH)</b>	ACHC, TJC
<b>Adult foster care</b>	ACHC, TJC
<b>Community mental health centers (CMHC)</b>	AAAHC, TJC
<b>Crisis stabilization unit</b>	TJC
<b>Intensive family intervention services</b>	CARF
<b>Intensive outpatient — mental health and/or substance abuse</b>	ACHC, DNV/NIAHO, TJC, COA, CARF
<b>Outpatient mental health clinic</b>	HFAP, TJC, CARF, COA, CHAP
<b>Partial hospitalization/day treatment — psychiatric disorders and/or substance abuse</b>	CARF, DNV/NIAHO, HFAP, TJC, for programs associated with an acute care facility or residential treatment facilities
<b>Residential treatment centers (RTC) — psychiatric disorders and/or substance abuse</b>	DNV/NIAHO, TJC, HFAP, CARF, COA

<b>Facility Type — Rehabilitation</b>	<b>Acceptable Accrediting Agencies</b>
<b>Acute inpatient hospital — detoxification only facilities</b>	CTEAM, DNV/NIAHO, HFAP, TJC
<b>Behavioral health ambulatory detox</b>	CARF, TJC
<b>Methadone maintenance clinic</b>	CARF, TJC
<b>Outpatient substance abuse clinics</b>	CARF, COA, TJC

## **CREDENTIALING PROGRAM STANDARDS**

### **ELIGIBILITY CRITERIA**

**Initial** applicants must meet the following criteria in order to be considered for participation:

1. Must not be currently federally sanctioned, debarred or excluded from participation in any of the following programs: Medicare, Medicaid or FEHBP
2. Possess a current, valid, unencumbered, unrestricted and nonprobationary license in the state(s) where he/she provides services to covered individuals
3. Possess a current, valid and unrestricted Drug Enforcement Agency (DEA) and/or Controlled Dangerous Substances (CDS) registration for prescribing controlled substances if applicable to his/her specialty in which he/she will treat covered individuals; the DEA/CDS registration must be valid in the state(s) in which the practitioner will be treating covered individuals; practitioners who see covered individuals in more than one state must have a DEA/CDS registration for each state
4. Meet the education, training and certification criteria as required by Anthem.

**Initial** applications should meet the following criteria in order to be considered for participation with exceptions reviewed and approved by the CC:

1. For MDs, DOs, DPMs, and oral and maxillofacial surgeons, the applicant must have current, in force board certification (as defined by the American Board of Medical Specialties [ABMS], American Osteopathic Association [AOA], Royal College of Physicians and Surgeons of Canada [RCPSC], College of Family Physicians of Canada [CFPC], American Board of Podiatric Surgery [ABPS], American Board of Podiatric Medicine [ABPM], or American Board of Oral and Maxillofacial Surgery [ABOMS]) in the clinical discipline for which they are applying.
2. If not certified, MDs and DOs will be granted five years or a period of time consistent with ABMS board eligibility time limits, whatever is greater, after completion of their residency or fellowship training program to meet the board certification requirement.
3. If not certified, DPMs will be granted five years after the completion of their residency to meet this requirement for the American Board of Podiatric Medicine (ABPM). Non-certified DPMs will be granted seven years after completion of their residency to meet this requirement for the American Board of Foot and Ankle Surgery (ABFAS).
4. However, individuals no longer eligible for board certification are not eligible for continued exception to this requirement.

As alternatives, MDs and DOs meeting any one of the following criteria will be viewed as meeting the education, training and certification requirement:

1. Previous board certification (as defined by one of the following: ABMS, AOA, RCPSC, CFPC) in the clinical specialty or subspecialty for which they are applying which has now expired and a minimum of 10 consecutive years of clinical practice
2. Training which met the requirements in place at the time it was completed in a specialty field prior to the availability of board certifications in that clinical specialty or subspecialty
3. Specialized practice expertise as evidenced by publication in nationally accepted peer review literature and/or recognized as a leader in the science of their specialty and a faculty appointment of assistant professor or higher at an academic medical center and teaching facility in The Anthem Blue Cross network and the applicant's professional activities are spent at that institution at least 50% of the time.

Practitioners meeting one of these three above alternative criteria will be viewed as meeting all Anthem Blue Cross education, training and certification criteria and will not be required to undergo additional review or individual presentation to the CC. These alternatives are subject to Anthem Blue Cross review and approval. Reports submitted by delegate to Anthem Blue Cross must contain sufficient documentation to support the above alternatives, as determined by Anthem Blue Cross.

For MDs and DOs, the applicant must have unrestricted hospital privileges at a The Joint Commission (TJC), National Integrated Accreditation for Healthcare Organizations (NIAHO), an AOA accredited hospital, or a network hospital previously approved by the committee. Some clinical disciplines may function exclusively in the outpatient setting, and the CC may at its discretion deem hospital privileges not relevant to these specialties.

Also, the organization of an increasing number of physician practice settings in selected fields is such that individual physicians may practice solely in either an outpatient or an inpatient setting. The CC will evaluate applications from practitioners in such practices without regard to hospital privileges. The expectation of these physicians would be that there is an appropriate referral arrangement with a network practitioner to provide inpatient care.

For Genetic Counselors, the applicant must be licensed by the state to practice independently. If the state where the applicant practices does not license Genetic Counselors, the applicant must be certified by the American Board of Genetic Counseling or the American Board of Genetics and Genomics.

#### **CRITERIA FOR SELECTING PRACTITIONERS)**

1. Submission of a complete application and required attachments that must not contain intentional misrepresentations
2. Application attestation signed date within 180 calendar days of the date of submission to the CC for a vote
3. Primary source verifications within acceptable time frames of the date of submission to the CC for a vote as deemed by appropriate accrediting agencies
4. No evidence of potential material omission(s) on application
5. Current, valid, unrestricted license to practice in each state in which the practitioner would provide care to covered individuals
6. No current license action
7. No history of licensing board action in any state
8. No current federal sanction and no history of federal sanctions (per System for Award Management (SAM), OIG and OPM report nor on NPDB report)

Possess a current, valid and unrestricted DEA/CDS registration for prescribing controlled substances if applicable to his/her specialty in which he/she will treat covered individuals. The DEA/CDS registration must be valid in the state(s) in which the practitioner will be treating covered individuals. Practitioners who treat covered individuals in more than one state must have a valid DEA/CDS registration for each applicable state.

Initial applicants who have no DEA/CDS registration will be viewed as not meeting criteria and the credentialing process will not proceed. However, if the applicant can provide evidence that he/she has applied for a DEA/CDS registration, the credentialing process may proceed if all of the following are met:

1. It can be verified that this application is pending.
2. The applicant has made an arrangement for an alternative practitioner to prescribe controlled substances until the additional DEA/CDS registration is obtained.
3. The applicant agrees to notify Anthem Blue Cross upon receipt of the required DEA/CDS registration.
4. Anthem Blue Cross will verify the appropriate DEA/CDS registration via standard sources.
5. The applicant agrees that failure to provide the appropriate DEA/CDS registration within a 90 calendar daytime frame will result in termination from the network.

Initial applicants who possess a DEA/CDS registration in a state other than the state in which they will be treating covered individuals will be notified of the need to obtain the additional DEA/CDS registration.

If the applicant has applied for additional DEA/CDS registration, the credentialing process may proceed if **all** of the following criteria are met:

1. It can be verified that this application is pending.
2. The applicant has made an arrangement for an alternative practitioner to prescribe controlled substances until the additional DEA/CDS registration is obtained.
3. The applicant agrees to notify Anthem Blue Cross upon receipt of the required DEA/CDS registration.

**Note:** Anthem Blue Cross will verify the appropriate DEA/CDS registration via standard sources; applicant agrees that failure to provide the appropriate DEA/CDS registration within a 90 calendar daytime frame will result in termination from the network.

4. Practitioners who voluntarily choose to not have a DEA/CDS registration if that practitioner certifies the following:
  - a. Controlled substances are not prescribed within his/her scope of practice, or in their professional judgement, the patients receiving their care do not require controlled substances and
  - b. He or she must provide documentation that an arrangement exists for an alternative provider to prescribe controlled substances should it be clinically appropriate. If the alternate is a practice rather than an individual, the file may include the practice name. The Company is not required to arrange an alternative prescriber; and
  - c. DEA/CDS registration is or was not suspended, revoked, surrendered or encumbered for reasons other those aforementioned.
5. Must not be currently federally sanctioned, debarred or excluded from participation in any of the following programs: Medicare, Medicaid or FEHBP.
6. No current hospital membership or privilege restrictions and no history of hospital membership or privilege restrictions.
7. No history of or current use of illegal drugs or history of or current alcoholism.
8. No impairment or other condition which would negatively impact the ability to perform the essential functions in their professional field.
9. No gap in work history greater than six months in the past five years with the exception of those gaps related to parental leave or immigration where 12 month gaps will be acceptable. Other gaps in work history of 6 to 24 months will require additional information and be reviewed by the Chair of the CC and may be presented to the CC if the gap raises concerns of future substandard professional conduct and competence. In the absence of this concern the Chair of the CC may approve work history gaps of up to two years.
10. No convictions, or pleadings of guilty or no contest to or open indictments of, a felony or any offense involving moral turpitude or fraud. In addition, no other criminal or civil litigation history that together with any other relevant facts, raises a reasonable suspicion of future substandard professional conduct and/or competence.
11. A minimum of the past 10 years of malpractice case history is reviewed.
12. Meets Credentialing Standards for education/training for the specialty(ies) in which practitioner wants to be listed in The Anthem Blue Cross network directory as designated on the application. This includes board certification requirements or alternative criteria for MDs and DOs and board certification criteria for DPMs, and oral and maxillofacial surgeons.
13. No involuntary terminations from an HMO or PPO.
14. No "yes" answers to attestation/disclosure questions on the application form with the exception of the following:
  - Investment or business interest in ancillary services, equipment or supplies
  - Voluntary resignation from a hospital or organization related to practice relocation or facility utilization
  - Voluntary surrender of state license related to relocation or nonuse of said license
  - An NPDB report of a malpractice settlement or any report of a malpractice settlement that does not meet the threshold criteria

- Non-renewal of malpractice coverage or change in malpractice carrier related to changes in the carrier's business practices (no longer offering coverage in a state or no longer in business)
- Previous failure of a certification exam by a practitioner who is currently board certified or who remains in the five-year post residency training window
- Actions taken by a hospital against a practitioner's privileges related solely to the failure to complete medical records in a timely fashion
- History of a licensing board, hospital or other professional entity investigation that was closed without any action or sanction

**Note:** The CC will individually review any practitioner that does not meet one or more of the criteria required for initial applicants.

Practitioners who meet all participation criteria for initial or continued participation and whose credentials have been satisfactorily verified by the Credentialing department may be approved by the Chair of the CC after review of the applicable credentialing or recredentialing information. This information may be in summary form and must include, at a minimum, practitioner's name and specialty.

1. Submission of complete recredentialing application and required attachments that must not contain intentional misrepresentations
2. Recredentialing application signed date within 180 calendar days of the date of submission to the CC for a vote
3. Primary source verifications within acceptable time frames of the date of submission to the CC for a vote as deemed by appropriate accrediting agencies
4. No evidence of potential material omission(s) on recredentialing application
5. Currently participating providers must not be currently federally sanctioned, debarred or excluded from participation in any of the following programs, Medicare, Medicaid or FEHBP; if, once a practitioner participates in the Anthem Blue Cross programs or provider network(s), federal sanction, debarment or exclusion from the Medicare, Medicaid or FEHBP programs occurs, at the time of identification, the practitioner will become immediately ineligible for participation in the applicable government programs or provider network(s) as well as The Anthem Blue Cross other credentialed provider network(s); special consideration regarding the practitioner's continued participation in The Anthem Blue Cross other credentialed practitioner network(s) may be requested by the Vice President (VP) responsible for that network(s) if, in the opinion of the requesting VP, the following criteria are met: the federal sanction, debarment or exclusion is not reflective of significant issues of professional conduct and competence, and participation of the practitioner is important for network adequacy; the request with supporting information will be brought to The Anthem Blue Cross geographic Credentials Committee for consideration and final determination, without practitioner appeal rights related to the special consideration, regarding the practitioner's continued participation in The Anthem Blue Cross other credentialed provider network(s), if such participation would be permitted under applicable state regulation, rule or contract requirements
6. Current, valid, unrestricted license to practice in each state in which the practitioner provides care to covered individuals
7. \*No current license probation
8. \*License is unencumbered
9. No new history of licensing board reprimand since prior credentialing review
10. \*No current federal sanction and no new (since prior credentialing review) history of federal sanctions (per SAM, OIG and OPM reports or on NPDB report)
11. Current DEA/CDS registration and/or state controlled substance certification without new (since prior credentialing review) history of or current restrictions
12. No current hospital membership or privilege restrictions and no new (since prior credentialing review) history of hospital membership or privilege restrictions; or for practitioners in a specialty defined as requiring hospital privileges who practice solely in the outpatient setting, there exists a defined referral

relationship with a network practitioner of similar specialty at a network HDO who provides inpatient care to covered individuals needing hospitalization

13. No new (since previous credentialing review) history of or current use of illegal drugs or alcoholism
14. No impairment or other condition which would negatively impact the ability to perform the essential functions in their professional field
15. No new (since previous credentialing review) history of criminal/felony convictions including a plea of no contest
16. Malpractice case history reviewed since the last CC review; if no new cases are identified since last review, malpractice history will be reviewed as meeting criteria; if new malpractice history is present, then a minimum of last five years of malpractice history is evaluated and criteria consistent with initial credentialing is used
17. No new (since previous credentialing review) involuntary terminations from an HMO or PPO
18. No new (since previous credentialing review) "yes" answers on attestation/disclosure questions with exceptions of the following:
  - a. Investment or business interest in ancillary services, equipment or supplies
  - b. Voluntary resignation from a hospital or organization related to practice relocation or facility utilization
  - c. Voluntary surrender of state license related to relocation or nonuse of said license
  - d. An NPDB report of a malpractice settlement or any report of a malpractice settlement that does not meet the threshold criteria
  - e. Nonrenewal of malpractice coverage or change in malpractice carrier related to changes in the carrier's business practices (no longer offering coverage in a state or no longer in business)
  - f. Previous failure of a certification exam by a practitioner who is currently board certified or who remains in the five year post residency training window
  - g. Actions taken by a hospital against a practitioner's privileges related solely to the failure to complete medical records in a timely fashion
  - h. History of a licensing board, hospital or other professional entity investigation that was closed without any action or sanction
19. No QI data or other performance data including complaints above the set threshold
20. Recredentialed at least every three years to assess the practitioner's continued compliance with Anthem Blue Cross standards

\* It is expected that these findings will be discovered for currently credentialed network practitioners and HDOs through ongoing sanction monitoring. Network practitioners and HDOs with such findings will be individually reviewed and considered by the CC at the time the findings are identified.

**Note:** The CC will individually review any credentialed Network practitioners and HDOs that do not meet one or more of the criteria for recredentialing.

## PARTICIPATION CRITERIA FOR BEHAVIORAL HEALTH PRACTITIONERS

### **Licensed clinical social workers LCSW) or other master level social work license type:**

- Master or doctoral degree in social work with emphasis in clinical social work from a program accredited by the Council on Social Work Education (CSWE) or the Canadian Association on Social Work Education (CASWE)
- Program must have been accredited within three years of the time the practitioner graduated
- Full accreditation is required; candidacy programs will not be considered if master's level degree does not meet criteria and practitioner obtained PhD training as a clinical psychologist but is not licensed as such, the practitioner can be reviewed. To meet the criteria, the doctoral program must be accredited by the American Psychological Association (APA) or be regionally accredited by the Council for Higher



Education Accreditation (CHEA). In addition, a doctor of social work from an institution with at least regional accreditation from the CHEA will be viewed as acceptable.

**Licensed professional counselor (LPC) and marriage and family therapist (MFT) or other master level license type:**

- Master's or doctoral degree in counseling, marital and family therapy, psychology, counseling psychology, counseling with an emphasis in marriage, family and child counseling or an allied mental field; master or doctoral degrees in education are acceptable with one of the fields of study above
- Master or doctoral degrees in divinity do not meet criteria as a related field of study
- Graduate school must be accredited by one of the Regional Institutional Accrediting Bodies and may be verified from the Accredited Institutions of Post-Secondary Education, APA, Council for Accreditation of Counseling and Related Educational Programs (CACREP), or Commission on Accreditation for Marriage and Family Therapy Education (COAMFTE) listings; the institution must have been accredited within three years of the time the practitioner graduated
- Practitioners with PhD training as a clinical psychologist can be reviewed; to meet criteria this doctoral program must either be accredited by the APA or be regionally accredited by the CHEA; a practitioner with a doctoral degree in one of the fields of study noted will be viewed as acceptable if the institution granting the degree has regional accreditation from the CHEA

**Clinical nurse specialist/psychiatric and mental health nurse practitioner:**

- Master's degree in nursing with specialization in adult or child/adolescent psychiatric and mental health nursing; graduate school must be accredited from an institution accredited by one of the Regional Institutional Accrediting Bodies within three years of the time of the practitioner's graduation
- Registered nurse license and any additional licensure as an advanced practice nurse/certified nurse specialist/adult psychiatric nursing or other license or certification as dictated by the appropriate state(s) board of registered nursing if applicable
- Certification by the American Nurses Association (ANA) in psychiatric nursing; this may be any of the following types: clinical nurse specialist in child or adult psychiatric nursing, psychiatric and mental health nurse practitioner, or family psychiatric and mental health nurse practitioner
- Valid, current, unrestricted DEA/CDS registration where applicable with appropriate supervision/consultation by a network practitioner as applicable by the state licensing board; for those who possess a DEA registration, the appropriate CDS registration is required; the DEA/CDS registration must be valid in the state(s) in which the practitioner will be treating covered individuals

**Clinical psychologists:**

- Valid state clinical psychologist license
- Doctoral degree in clinical or counseling, psychology or other applicable field of study from an institution accredited by the APA within three years of the time of the practitioner's graduation
- Education/training considered as eligible for an exception is a practitioner whose doctoral degree is not from an APA accredited institution, but who is listed in the National Register of Health Service Providers in Psychology or is a Diplomate of the American Board of Professional Psychology
- Master's level therapists in good standing in the network who upgrade their license to clinical psychologist as a result of further training will be allowed to continue in the network and will not be subject to the above education criteria

**Clinical neuropsychologist:**

- Must meet all the criteria for a clinical psychologist listed above and be board certified by either the American Board of Professional Neuropsychology (ABPN) or American Board of Clinical Neuropsychology (ABCN).

- A practitioner credentialed by the National Register of Health Service Providers in Psychology with an area of expertise in neuropsychology may be considered.
- Clinical neuropsychologists who are not board certified nor listed in the National Register will require CC review. These practitioners must have appropriate training and/or experience in neuropsychology as evidenced by one or more of the following:
  - Transcript of applicable pre-doctoral training
  - Documentation of applicable formal one year post-doctoral training (participation in CEU training alone would not be considered adequate)
  - Letters from supervisors in clinical neuropsychology (including number of hours per week)
  - Minimum of five years' experience practicing neuropsychology at least 10 hours per week

**Licensed psychoanalysts:**

- Applies only to practitioners in states that license psychoanalysts.
- Practitioners will be credentialed as a licensed psychoanalyst if they are not otherwise credentialed as a practitioner type detailed in Credentialing Policy (e.g., psychiatrist, clinical psychologist, licensed clinical social worker).
- Practitioner must possess a valid psychoanalysis state license.
  - Practitioner shall possess a master's or higher degree from a program accredited by one of the Regional Institutional Accrediting Bodies and may be verified from the Accredited Institutions of Post-Secondary Education, APA, CACREP or the COAMFTE listings. The institution must have been accredited within 3 years of the time the practitioner graduates.
  - Completion of a program in psychoanalysis that is registered by the licensing state as licensure qualifying; or accredited by the American Board for Accreditation in Psychoanalysis (ABAP) or another acceptable accrediting agency; or determined by the licensing state to be the substantial equivalent of such a registered or accredited program.

**Note:** A program located outside the United States and its territories may be used to satisfy the psychoanalytic study requirement if the licensing state determines the following: it prepares individuals for the professional practice of psychoanalysis; and is recognized by the appropriate civil authorities of that jurisdiction; and can be appropriately verified; and is determined by the licensing state to be the substantial equivalent of an acceptable registered licensure qualifying or accredited program.

Must meet minimum supervised experience requirement for licensure as a psychoanalyst as determined by the licensing state and examination requirements for licensure as determined by the licensing state.

**ADDITIONAL PARTICIPATION CRITERIA**

**Nurse practitioners:**

- The nurse practitioner applicant will submit the appropriate application and supporting documents as required of any other practitioners with the exception of differing information regarding education/training and board certification.
- The required education/training will be at a minimum the completion of an education program leading to licensure as a registered nurse and subsequent additional education leading to licensure as an NP. Verification of this will occur either via verification of the licensure status from the state licensing agency provided that that agency verifies the education or from the certification board if that board provides documentation that it performs primary verification of the professional education and training. If the licensing agency or certification board does not verify highest level of education, the education will be primary source verified in accordance with policy.
- The license status must be that of NP as verified via primary source from the appropriate state licensing agency. Additionally, this license must be active, unencumbered, unrestricted and not subject to

probation, terms or conditions. Any applicants whose licensure status does not meet these criteria or who have in force adverse actions regarding Medicare or Medicaid will be notified of this and the applicant will be administratively denied.

- If the NP has prescriptive authority which allows the prescription of scheduled drugs, their DEA and/or state certificate of prescriptive authority information will be requested, and primary source verified via normal company procedures. If there are in force adverse actions against the DEA, the applicant will be notified of this and the applicant will be administratively denied.
- All NP applicants will be certified in the area which reflects their scope of practice by any one of the following:
  - Certification program of the American Nurse Credentialing Center ([www.nursecredentialing.org](http://www.nursecredentialing.org)), a subsidiary of the American Nursing Association ([www.nursingcertification.org/exam\\_programs.htm](http://www.nursingcertification.org/exam_programs.htm))
  - American Academy of Nurse Practitioners — Certification Program ([www.aanpcertification.org](http://www.aanpcertification.org))
  - National Certification Corporation ([www.nccwebsite.org](http://www.nccwebsite.org))
  - Pediatric Nurse Certification Board (PNCB) Certified Pediatric Nurse Practitioner (CPN) (Note: CPN is not a nurse practitioner.) ([www.pncb.org/ptistore/control/exams/ac/progs](http://www.pncb.org/ptistore/control/exams/ac/progs))
  - Oncology Nursing Certification Corporation (ONCC) — Advanced Oncology Certified Nurse Practitioner (AOCNP) — only (<http://oncc.org>)

**Note:** This certification must be active and primary source verified. If the state licensing board primary sources verifies this certification as a requirement for licensure, additional verification by the company is not required.

If the applicant is not certified or if his/her certification has expired, the application will be submitted for individual review.

If the NP has hospital privileges, they must have hospital privileges at a CIHQ, TJC, NIAHO or HFAP accredited hospital, or a network hospital previously approved by the committee.

Information regarding history of any actions taken against any hospital privileges held by the NP will be obtained. Any adverse action against any hospital privileges will trigger a level II review.

The NP applicant will undergo the standard credentialing processes outlined in Credentialing Policies #4-17. NPs are subject to all the requirements outlined in these Credentialing Policies including (but not limited to): the requirement for committee review of level II files for failure to meet predetermined criteria, recredentialing every three years, and continuous sanction and performance monitoring upon participation in the network.

Upon completion of the credentialing process, the NP may be listed in the company provider directories. As with all providers, this listing will accurately reflect their specific licensure designation and these providers will be subject to the audit process.

NPs will be clearly identified as such:

1. On the credentialing file
2. At presentation to the Credentialing Committee
3. On notification to network services and to the provider database

#### **CERTIFIED NURSE MIDWIVES:**

- The certified nurse midwife (CNM) applicant will submit the appropriate application and supporting documents as required of any other practitioner with the exception of differing information regarding education, training and board certification.

- The required education/training will be at a minimum that required for licensure as a registered nurse with subsequent additional training for licensure as a certified nurse midwife by the appropriate licensing body. Verification of this education and training will occur either via primary source verification of the license provided that state licensing agency performs verification of the education or from the certification board if that board provides documentation that it performs primary verification of the professional education and training. If the state licensing agency or the certification board does not verify education, the education will be primary source verified in accordance with policy.
- The license status must be that of CNM as verified via primary source from the appropriate state licensing agency. Additionally, this license must be active, unencumbered, unrestricted and not subject to probation, terms or conditions. Any applicant whose licensure status does not meet these criteria or who have in force adverse actions regarding Medicare or Medicaid will be notified of this and the applicant will be administratively denied.
- If the CNM has prescriptive authority which allows the prescription of scheduled drugs, their DEA and/or state certificate of prescriptive authority information will be requested, and primary source verified via normal company procedures. If there are in force adverse actions against the DEA, the applicant will be notified and the applicant will be administratively denied.
- All CNM applicants will be certified by one of the following:
  - The National Certification Corporation for OB/GYN and Neonatal Nursing
  - The American Midwifery Certification Board, previously known as the American College of Nurse Midwives

**Note:** This certification must be active and primary source verified. If the state licensing board primary source verifies one of these certifications as a requirement for licensure, additional verification by the company is not required. If the applicant is not certified or if their certification has expired, the application will be submitted for individual review by the geographic Credentialing Committee.

If the CNM has hospital privileges, they must have unrestricted hospital privileges at a CIHQ, TJC, NIAHO or HFAP accredited hospital, or a network hospital previously approved by the committee or in the absence of such privileges, must not raise a reasonable suspicion of future substandard professional conduct or competence. Information regarding history of any actions taken against any hospital privileges held by the CNM will be obtained. Any history of any adverse action taken by any hospital will trigger a level II review. Should the CNM provide only outpatient care, an acceptable admitting arrangement via the collaborative practice agreement must be in place with a participating OB/GYN.

The CNM applicant will undergo the standard credentialing process outlined in Credentialing Policies #4-16. CNMs are subject to all the requirements of these Credentialing Policies including (but not limited to): the requirement for committee review for level II applicants, recredentialing every three years, and continuous sanction and performance monitoring upon participation in the network.

Upon completion of the credentialing process, the CNM may be listed in the company provider directories. As with all providers, this listing will accurately reflect their specific licensure designation and these providers will be subject to the audit process.

CNMs will be clearly identified as such:

1. On the credentialing file
2. At presentation to the Credentialing Committee
3. On notification to network services and to the provider database

#### **PHYSICIAN ASSISTANTS:**

- The PA applicant will submit the appropriate application and supporting documents as required of any other practitioners with the exception of differing information regarding education/training and board certification.
- The required education/training will be, at a minimum, the completion of an education program leading to licensure as a PA. Verification of this will occur via verification of the licensure status from the state licensing agency provided that that agency verifies the education. If the state licensing agency does not verify education, the education will be primary source verified in accordance with policy.
- The license status must be that of PA as verified via primary source from the appropriate state licensing agency. Additionally, this license must be active, unencumbered, unrestricted and not subject to probation, terms or conditions. Any applicants whose licensure status does not meet these criteria or who have in force adverse actions regarding Medicare or Medicaid will be notified of this and the applicant will be administratively denied.
- If the PA has prescriptive authority which allows the prescription of scheduled drugs, their DEA and/or state certificate of prescriptive authority information will be requested, and primary source verified via normal company procedures. If there are in force adverse actions against the DEA, the applicant will be notified and the applicant will be administratively denied.
- All PA applicants will be certified by the National Commission on Certification of Physician's Assistants. This certification must be active and primary source verified. If the state licensing board primary sources verifies this certification as a requirement for licensure, additional verification by the company is not required. If the applicant is not certified or if their certification has expired, the application will be classified as a level II according to geographic Credentialing Policy #8 and submitted for individual review by the Credentialing Committee.
- If the PA has hospital privileges, they must have hospital privileges at a CIHQ, TJC, NIAHO or HFAP accredited hospital, or a network hospital previously approved by the committee. Information regarding history of any actions taken against any hospital privileges held by the PA will be obtained. Any adverse action against any hospital privileges will trigger a level II review.
- The PA applicant will undergo the standard credentialing process outlined in Credentialing Policies #4-16. PAs are subject to all the requirements described in these Credentialing Policies including (but not limited to): committee review of level II files failing to meet predetermined criteria, recredentialing every three years, and continuous sanction and performance monitoring upon participation in the network.
- Upon completion of the credentialing process, the PA may be listed in the company provider directories. As with all providers, this listing will accurately reflect their specific licensure designation and these providers will be subject to the audit process.
- PA's will be clearly identified as such:
  1. On the credentialing file
  2. At presentation to the Credentialing Committee
  3. On notification to network services and to the provider database

#### **CREDENTIALS COMMITTEE**

The decision to accept, retain, deny or terminate a practitioner's participation in a network or plan program is conducted by a peer review body, known as The Anthem Blue Cross Credentials Committee (CC).

The CC will meet at least once every 45 calendar days. The presence of a majority of voting CC members constitutes a quorum. The chief medical officer, or a designee appointed in consultation with the Vice President

of Medical and Credentialing Policy will designate a Chair of the CC as well as a Vice Chair in states or regions where both commercial and Medicaid contracts exist.

The Chair must be a state or regional lead medical director, or an Anthem Blue Cross medical director designee and the Vice Chair must be a lead medical officer or an Anthem Blue Cross medical director designee for that line of business not represented by the chair. In states or regions where only one line of business is represented, the Chair of the CC will designate a Vice Chair for that line of business also represented by the Chair.

The CC will include at least five but no more than ten external physicians representing multiple medical specialties (in general, the following specialties or practice-types should be represented: pediatrics; obstetrics/gynecology; adult medicine [family medicine or internal medicine]; surgery; behavioral health; with the option of using other specialties when needed as determined by the Chair/Vice Chair).

CC membership may also include one to two other types of credentialed health providers (for example, nurse practitioner, chiropractor, social worker, podiatrist) to meet priorities of the geographic region as per Chair/Vice Chair's discretion. At least two of the physician committee members must be credentialed for each line of business (for example, commercial, Medicare and Medicaid) offered within the geographic purview of the CC. The Chair/Vice Chair will serve as a voting member(s) and provide support to the credentialing/recredentialing process as needed.

The CC will access various specialists for consultation as needed to complete the review of a practitioner's credentials. A committee member will disclose and abstain from voting on a practitioner if the committee member (i) believes there is a conflict of interest such as direct economic competition with the practitioner; or (ii) feels his or her judgment might otherwise be compromised. A committee member will also disclose if he or she has been professionally involved with the practitioner.

Determinations to deny an applicant's participation or terminate a practitioner from participation in one or more networks or plan programs require a majority vote of the voting members of the CC in attendance, the majority of whom are network practitioners.

During the credentialing process, all information that is obtained is highly confidential. All CC meeting minutes and practitioner files are stored in locked cabinets and can only be seen by appropriate credentialing staff, medical directors and CC members. Documents in these files may not be reproduced or distributed except for confidential peer review and credentialing purposes, and peer review protected information will not be shared externally.

Practitioners and HDOs are notified that they have the right to review information submitted to support their credentialing applications. This right includes access to information obtained from any outside sources with the exception of references, recommendations or other peer review protected information.

Providers are given written notification of these rights in communications from Anthem Blue Cross which initiates the credentialing process. In the event that credentialing information cannot be verified, or if there is a discrepancy in the credentialing information obtained, the Credentialing staff will contact the practitioner or HDO within 30 calendar days of the identification of the issue. This communication will specifically notify the practitioner or HDO of the right to correct erroneous information or provide additional details regarding the issue in question. This notification will also include the specific process for submission of this additional information including where it should be sent.

Depending on the nature of the issue in question, this communication may occur verbally or in writing. If the communication is verbal, written confirmation will be sent at a later date. All communication on the issue(s) in question including copies of the correspondence or a detailed record of phone calls will be clearly documented

in the practitioner's credentials file. The practitioner or HDO will be given no less than 14 calendar days in which to provide additional information. Upon request, applicant will be provided with the status of his or her credentialing application. Written notification of this right may be included in a variety of communications from Anthem Blue Cross which includes the letter which initiates the credentialing process, the provider website or Provider Manual. When such requests are received, providers will be notified whether the credentialing application has been received, how far in the process it has progressed and a reasonable date for completion and notification. All such requests will be responded to verbally unless the provider requests a written response. Anthem Blue Cross may request and will accept additional information from the applicant to correct or explain incomplete, inaccurate or conflicting credentialing information. The CC will review the information and rationale presented by the applicant to determine if a material omission has occurred or if other credentialing criteria are met.

## **NONDISCRIMINATION POLICY**

Anthem Blue Cross will not discriminate against any applicant for participation in its networks or plan programs on the basis of race, gender, color, creed, religion, national origin, ancestry, sexual orientation, age, veteran, or marital status or any unlawful basis not specifically mentioned herein. Additionally, Anthem Blue Cross will not discriminate against any applicant on the basis of the risk of population they serve or against those who specialize in the treatment of costly conditions. Other than gender and language capabilities that are provided to the covered individuals to meet their needs and preferences, this information is not required in the credentialing and recredentialing process. Determinations as to which practitioners/HDOs require additional individual review by the CC are made according to predetermined criteria related to professional conduct and competence as outlined in Anthem Blue Cross Credentialing Program Standards. CC decisions are based on issues of professional conduct and competence as reported and verified through the credentialing process.

## **SANCTION MONITORING**

To support certain credentialing standards between the recredentialing cycles, Anthem Blue Cross has established an ongoing monitoring program. Credentialing performs ongoing monitoring to help ensure continued compliance with credentialing standards and to assess for occurrences that may reflect issues of substandard professional conduct and competence. To achieve this, the credentialing department will review periodic listings/reports within 30 calendar days of the time they are made available from the various sources including, but not limited to, the following:

1. Office of the Inspector General (OIG)
2. Federal Medicare/Medicaid reports
3. Office of Personnel Management (OPM)
4. State licensing boards/agencies
5. Covered Individual/Customer Services Departments
6. Clinical Quality Management Department (including data regarding complaints of both a clinical and nonclinical nature, reports of adverse clinical events and outcomes, and satisfaction data, as available)
7. Other internal Anthem Blue Cross departments
8. Any other verified information received from appropriate sources

When a practitioner or HDO within the scope of credentialing has been identified by these sources, criteria will be used to assess the appropriate response including, but not limited to, review by the Chair of Anthem Blue Cross CC, review by the Anthem Blue Cross Medical Director, referral to the CC, or termination. Anthem Blue Cross credentialing departments will report practitioners or HDOs to the appropriate authorities as required by law.

## **APPEALS PROCESS**

Anthem Blue Cross has established policies for monitoring and recredentialing practitioners and HDOs who seek continued participation in one or more of The Anthem Blue Cross networks or plan programs. Information

reviewed during this activity may indicate that the professional conduct and competence standards are no longer being met, and Anthem Blue Cross may wish to terminate practitioners or HDOs. Anthem Blue Cross also seeks to treat network practitioners and HDOs as well as those applying for participation fairly and thus provides practitioners and HDOs with a process to appeal determinations terminating participation in The Anthem Blue Cross networks for professional competence and conduct reasons, or which would otherwise result in a report to the National Practitioner Data Bank (NPDB). Additionally, Anthem Blue Cross will permit practitioners and HDOs who have been refused initial participation the opportunity to correct any errors or omissions which may have led to such denial (informal/reconsideration only). It is the intent of Anthem Blue Cross to give practitioners and HDOs the opportunity to contest a termination of the practitioner's or HDO's participation in one or more of The Anthem Blue Cross networks or plan programs and those denials of request for initial participation which are reported to the NPDB that were based on professional competence and conduct considerations. Immediate terminations may be imposed due to the practitioner's or HDO's suspension or loss of licensure, criminal conviction, or The Anthem Blue Cross determination that the practitioner's or HDO's continued participation poses an imminent risk of harm to covered individuals. A practitioner/HDO whose license has been suspended or revoked has no right to informal review/reconsideration or formal appeal.

## **REPORTING REQUIREMENTS**

When Anthem Blue Cross takes a professional review action with respect to a practitioner's or HDO's participation in one or more of its networks or plan programs, Anthem Blue Cross may have an obligation to report such to the NPDB. Once Anthem Blue Cross receives a verification of the NPDB report, the verification report will be sent to the state licensing board. The credentialing staff will comply with all state and federal regulations in regard to the reporting of adverse determinations relating to professional conduct and competence. These reports will be made to the appropriate legally designated agencies. In the event that the procedures set forth for reporting reportable adverse actions conflict with the process set forth in the current NPDB Guidebook, the process set forth in the NPDB Guidebook will govern.



# 11 | UTILIZATION MANAGEMENT AND PRIOR AUTHORIZATION

## AUTHORIZATION REQUESTS AND TIME FRAMES

- Medi-Cal Utilization Management: **888-831-2246**
- MRMIP Utilization Management: **888-831-2246**
- Hours of operation: Monday to Friday, 8 a.m. - 5 p.m.

## ONLINE SUBMISSION

The Anthem Blue Cross Interactive Care Reviewer (ICR) is the preferred method for the submission of preauthorization requests. Providers can use the ICR to request inpatient and outpatient medical or behavioral health services for Anthem Blue Cross members. Providers can also use the ICR for inquiries on previously submitted requests regardless of how they were sent (phone, fax, ICR or other online tools).

The ICR features allow the provider to:

- Initiate preauthorization requests online, eliminating the need to fax. ICR allows detailed text, photo images and attachments to be submitted along with your request.
- Submit a notification of admission and request for continued stay review. ICR allows submission of justification and attachments along with your request.
- Make inquiries on previously submitted requests via phone, fax, ICR or other online tool.
- Have instant accessibility from anywhere including after business hours.
- Utilize the dashboard to provide a complete view of all UM requests with real-time status updates including email notifications if requested using a valid email address.
- Access real-time results for some common procedures with immediate decisions.

**Note:** For an optimal experience with the ICR, use a browser that supports 128-bit encryption. This includes Internet Explorer, Chrome, Firefox or Safari.

- To access ICR via the Availity Portal, visit: [www.availity.com](http://www.availity.com).
- Access ICR under Authorizations and Referrals.
- To register for an ICR training webinar, select this link and register: [ICR Webinar](#).

## PAPER FORMS

Providers can also request prior authorization by completing, printing and faxing the appropriate *Request for Preservice Review* forms found under the *Prior Authorization and Preservice Review* heading on the *Provider Resources* page of our website below:

- <https://providers.anthem.com/CA/Pages/request-prior-authorization.aspx>

Tips for filling out the forms and getting the fastest response to your authorization request:

- Fill out the form completely; unanswered questions typically result in delays.
- Print and fax the form to the numbers above.
- Do not store the form offline; access it online only. Anthem Blue Cross revises forms periodically, and outdated forms can delay your request.

**Note:** We do not reward practitioners and other individuals conducting utilization reviews for issuing denials of coverage or care. There are **no financial incentives** for UM decision-makers that encourage decisions resulting in underutilization. UM decision making is based only on appropriateness of care and service and existence of coverage.

## SERVICES THAT DO NOT REQUIRE PRIOR AUTHORIZATION

The following services **do not** require prior authorization (PA) for in-network providers:

- Emergency services
- Post-stabilization services (if medically necessary)
- Nebulizers
- Family planning/well woman checkup — members may self-refer to any Medicaid provider for the following services:
  - Pelvic and breast examinations
  - Lab work
  - Birth control
  - Genetic counseling
  - FDA-approved devices and supplies related to family planning (such as IUD)
  - HIV/STD screening
- Obstetrical care — no authorization required for in-network physician visits and routine testing
- Members not affiliated with an IPA or medical group do not require PA from Anthem Blue Cross for physician referrals to an in-network specialist for consultation or a nonsurgical course of treatment
- Standard X-rays and ultrasounds
- In-network speech therapy and occupational therapy

## SERVICES THAT REQUIRE PRIOR AUTHORIZATION

Prior authorization ensures that services are based on medical necessity, are a covered benefit, and are provided by the appropriate providers.

Providers are responsible for verifying eligibility and ensuring that our Utilization Management (UM) department has conducted preservice reviews for elective nonemergency and scheduled services before rendering those services.

Prior authorization must be obtained for all out-of-network services or services rendered outside of an emergency room or urgent care setting.

Some Anthem Blue Cross members are assigned to delegated medical groups or IPAs. Providers should contact the member's assigned medical group to confirm the need for authorization before elective services.

Services requiring prior authorization include but are not limited to:

- Air ambulance (nonemergent)
- Behavioral health services (except psychiatric assessments and mental health assessments by non-physician; for more information, see **Chapter 5: Behavioral Health Services**)
- Cardiac and pulmonary rehabilitation
- Cosmetic procedures
- Dental (medically necessary facility and anesthesia services)
- Dialysis services
- Durable medical equipment and disposable supplies
- Experimental and investigational services
- Genetic testing
- Home health care services
- Hospice
- Chemotherapy
- Inpatient hospital services
  - Nonurgent inpatient admissions
  - Long-term acute care facility (LTAC)

- Inpatient skilled nursing facility (SNF)
- Rehabilitation facility admissions
- Newborn stay beyond mother
- Laboratory tests (specific)
- Out-of-network referrals to specialists
- Outpatient surgical services (delivered in an ambulatory surgical center or outpatient hospital)
  - Certain preferred medications and all nonpreferred medications may require PA; please call 800 977 2273 Magellan 844-410-0746 or fax CA DHC standard PA form to 844-474-3345
  - Specialty injectable medications such as Synagis and Botox require PA through Anthem Blue Cross. Contact the UM department at 888-831-2246 for more information.
- Radiology services including MRA, MRI, PET and CT scans
- Spinal surgeries
  - Artificial disc placement
  - Artificial disc removal
  - Artificial disc replacement
  - Decompress spinal cord
  - Low back disc surgery
  - Lumbar spine fusion
  - Remove spinal lamina
  - Vertebral corpectomy
  - Major organ transplants (for members 21 years of age and older)
  - A more comprehensive list of services requiring prior authorization can be found under *Prior Authorization and Preservice Review* on the *Provider Resources* page of our website at <https://providers.anthem.com/CA>.

## AUTHORIZATION CRITERIA

Authorizations are based on the following:

- Benefit coverage
- Established criteria
- Community standards of care

The decision-making criteria used by the UM team is evidence-based and consensus-driven. We periodically review criteria and update when standards of practice or technology change. We involve practicing physicians in these updates and notify providers of changes through our provider bulletins.

These criteria are available to members, physicians and other healthcare providers upon request by contacting the appropriate UM department using the contact numbers at the beginning of this chapter.

Based on sound clinical evidence, the UM team provides the following service reviews:

- Prior authorizations
- Continued stay reviews
- Post-service clinical claims reviews

Decisions affecting the coverage or payment for services are made in a fair, impartial, consistent and timely manner. The decision-making process incorporates nationally recognized standards of care and practice from sources including:

- America Academy of Orthopedic Surgeons
- American Academy of Pediatrics
- American College of Cardiology
- American College of Obstetricians and Gynecologists

- Cumulative professional expertise and experience

Once a case is reviewed, decisions and notification time frames will be given for these services:

- Approval, modification, denial

If you disagree with a UM decision and want to discuss the decision with the physician reviewer, please call:

- Peer-to-peer: **877-496-0071**

## REQUESTING AUTHORIZATION

When authorization of a healthcare service is required, contact Anthem Blue Cross for questions or requests including:

- Routine, nonurgent care reviews
- Urgent or expedited preservice reviews
- Urgent continued stay reviews

Providers can also fax the UM team and include requests for:

- Preservice reviews: **800-754-4708**
- Nonurgent continued stay reviews: **866-333-4826**

**Note:** Faxes are accepted during and after normal business hours. Faxes received after business hours will be processed the next business day.

All providers including physicians, hospitals and ancillary providers are required to provide information to support their request to the UM department. Physicians are also encouraged to review their utilization and referral patterns.

When contacting the Utilization Management department to request a preservice review or report a medical admission, please provide the following information:

- Member name and identification (ID) number
- Diagnosis with the ICD-10 code
- Procedure with the CPT code
- Date of injury or hospital admission and third party liability information (if applicable)
- Facility name (if applicable)
- Primary care provider (PCP) name
- Specialist or attending physician name
- Clinical justification for the request
- Level of care
- Lab tests, radiology and pathology results
- Medications
- Treatment plan including time frames
- Prognosis
- Psychosocial status
- Exceptional or special needs issues
- Ability to perform activities of daily living
- Discharge plans

Additional information to have ready for the clinical reviewer includes but is not limited to:

- Office and hospital records
- History of the presenting problem

- Clinical exam
- Treatment plans and progress notes
- Information on consultations with the treating practitioner
- Evaluations from other healthcare practitioners and providers
- Photographs
- Operative and pathological reports
- Rehabilitative evaluations
- Printed copy of criteria related to the request
- Information regarding benefits for services or procedures
- Information regarding the local delivery system
- Patient characteristics and information
- Information from responsible family members

## **REQUESTS WITH INSUFFICIENT CLINICAL INFORMATION**

When the UM team receives requests with insufficient clinical information, we will contact the provider with a request for the information reasonably needed to determine medical necessity.

We will make at least one attempt to contact the requesting provider to obtain additional information. If no response is received within the specified time frame of receipt of the request, we will send a *Notice of Action: Denial — Requested Information Not Received* letter to the member and provider.

## **PRESERVICE REVIEW TIME FRAME**

For routine, nonurgent requests, the UM team will complete preservice reviews within five business days from receipt of information reasonably necessary to make a decision, not to exceed 14 calendar days from the date of request.

Requests that do not meet medical policy criteria are sent to the physician advisor or medical director for further review.

Providers will be notified of denials or deferrals by phone or fax within one business day from the date of the decision.

Providers and members will be sent a written notification of denials or deferrals within two business days from the date of the decision.

## **URGENT PRESERVICE REQUESTS**

For urgent preservice requests, the UM team will complete the preservice review within 72 hours from the receipt of the information reasonably necessary to make a decision, not to exceed 14 calendar days from receipt of the request.

Providers are responsible for contacting Anthem Blue Cross to request preservice reviews for both professional and institutional services. However, a hospital or ancillary provider should always contact Anthem Blue Cross to verify preservice review status for all nonurgent care before rendering services.

An urgent request is any request for medical care or treatment that cannot be delayed because delay would result in one of the following:

- Could seriously jeopardize the life or health of the member or the member's ability to regain maximum function based on a prudent layperson's judgment.

- In the opinion of a practitioner with knowledge of the member's medical condition, would subject the member to severe pain that cannot be adequately managed without the care or treatment that is the subject of the request.

## **EMERGENCY MEDICAL CONDITIONS AND SERVICES**

Anthem Blue Cross does **not** require a prior authorization (PA) for treatment of emergency medical conditions. In the event of an emergency, members can access emergency services 24 hours a day, 7 days a week.

In the event that the emergency room visit results in the member's admission to the hospital, providers must contact Anthem Blue Cross within 24 hours or one business day if the member was admitted on a weekend or holiday.

Members who call their PCP's office reporting a medical emergency (whether during or after office hours) are directed to dial 911 or go directly to the nearest hospital emergency department. All nonemergent conditions should be triaged by the PCP or treating physician with appropriate care instructions given to the member.

## **EMERGENCY STABILIZATION AND POST-STABILIZATION**

The emergency department's treating physician determines the services needed to stabilize the member's emergency medical condition. After the member is stabilized, the emergency department's physician must contact the member's PCP or Anthem Blue Cross for authorization of further services.

The member's PCP and the phone number to report inpatient admissions is noted on the back of the ID card. If the authorizing entity you contacted does not respond within 30 minutes, the needed services will be authorized. The attempt must be documented in the member's medical records and provided to Anthem Blue Cross UM in order to be considered authorized.

All continued inpatient stays are reviewed to determine whether the stay is medically necessary. The transfer process for out-of-network admissions requiring transfer to an Anthem Blue Cross-contracted facility or to a higher level of care includes the following:

- The attending physician determines whether the member is stable for transfer
- The attending physician discusses the potential transfer with the PCP
- To facilitate the transfer, the PCP is required to contact the treating physician within 30 minutes of the call
- The attending physician must document and sign orders stating that the member is stable for transfer
- Transfers of children require the signed permission of the parents except in cases of transfer to a higher level of care

The emergency department should send a copy of the emergency room record to the PCP's office within 24 hours. The PCP should:

- Review the chart and file it in the member's permanent medical record
- Contact the member
- Schedule a follow-up office visit or a specialist referral if appropriate

However, as with all nonelective admissions, notification must be made within 24 hours or one business day if the member was admitted on a weekend or holiday. The medical necessity of that admission will be reviewed upon receipt of notification, and a determination of the medical necessity will be rendered within 72 hours of that notification.

All providers who are involved in the treatment of a member share responsibility in communicating clinical findings, treatment plans, prognosis and the psychosocial condition of such member with the member's PCP to ensure effective coordination of care.

## **CONTINUED STAY REVIEW**

### **HOSPITAL INPATIENT ADMISSIONS**

Hospitals must notify the UM department of inpatient medical admissions within 24 hours of admission or by the next business day. Behavioral health admissions are the responsibility of the member's county.

When a member's hospital stay is expected to exceed the number of days authorized during preservice review or when the inpatient stay did not have preservice review, the hospital must contact Anthem Blue Cross for continued stay review.

Anthem Blue Cross requires clinical reviews on all members admitted as inpatients to:

- Acute care hospitals
- Intermediate care facilities
- Skilled nursing facilities

We perform reviews to assess medical necessity and determine whether the facility and level of care are appropriate. Anthem Blue Cross identifies members admitted as inpatient by:

- Facilities reporting admissions
- Providers reporting admissions
- Members or their representatives reporting admissions
- Claims submitted for services rendered without authorization
- Preservice authorization requests for inpatient care

The Anthem UM team will complete continued-stay inpatient reviews within 72 hours of the receipt of necessary clinical information to make a determination consistent with the member's medical condition. Anthem Blue Cross UM staff will request clinical information from the hospital on the same day Anthem Blue Cross is notified of the member's admission and/or continued stay.

### **CLINICAL INFORMATION FOR CONTINUED-STAY REVIEW**

If after notification of an inpatient admission, there is insufficient clinical information to determine medical necessity, the provider is contacted with a request for the clinical information reasonably necessary to determine medical necessity. Evidence-based criteria are used to determine medical necessity and the appropriate level of care.

If the information meets medical necessity review criteria, we will approve the request within 72 hours from the time the information is received. Requests that appear to not meet medical policy guidelines will be sent to the physician adviser or medical director for further review.

Anthem Blue Cross will notify providers within 24 hours of the decision and send written notification of any denial or modification of the request to the member and requesting provider.

### **DENIAL OF SERVICE**

Only a medical or behavioral health provider who possesses an active professional license or certification can deny services for lack of medical necessity including the denial of:

- Procedures

- Hospitalization
- Equipment

When a request is determined to be not medically necessary, the requesting provider will be notified of the following:

- The decision
- The process for appeal
- How to reach the reviewing physician for peer-to-peer discussion of the case

Providers can contact the physician clinical reviewers to discuss any UM decision by calling the UM department.

## POST-SERVICE CLINICAL CLAIMS REVIEW

Post-service clinical claims review determines the medical necessity and/or level of care for services that were provided without getting required preservice or continued stay authorization. For inpatient admissions where no notification was received and no patient days were authorized, facilities are required to submit a copy of the medical record with the claim.

## REFERRALS AND SECOND OPINIONS

### REFERRALS TO SPECIALISTS

The UM team is available to assist providers in accessing a network specialist. Review the following when referring members:

- PA is **not** required if referring a member not affiliated with an IPA or medical group to an in-network specialist for consultation or a nonsurgical course of treatment.
- PA **is** required when referring to an out-of-network specialist.
- Authorization from UM is **not** required for Medi-Cal members who self-refer for sensitive services (see **Chapter 4: General Benefits**), even if services are rendered out-of-network.
- Members with MRMIP may self-refer to in-network specialists.

Provider responsibilities include documenting referrals in the member's chart and requesting that the specialist provide updates as to diagnosis and treatment.

**Note:** Obtain a PA approval before referring members to an out-of-network provider. For out-of-network providers, Anthem Blue Cross requires PA for the initial consultation and each subsequent service provided.

### PCP REFERRALS

PCPs coordinate and make referrals to specialists, ancillary providers and community services. Providers should refer members to network facilities and providers. When this is not possible, providers should follow the appropriate process for requesting out-of-network referrals.

**Note:** Specialty referrals to in-network providers do not require PA from Anthem Blue Cross; however, please check with the member's medical group to confirm.

All PCPs are expected or responsible to:

- Help members schedule appointments with other providers and health education programs.
- Track and document appointments, clinical findings, treatment plans and care received by members referred to specialists or other healthcare providers to ensure continuity of care.
- Screen and evaluate procedures for detection and treatment of or referral for any known or suspected behavioral health problems and disorders.



- Refer members to specialists or specialty care, behavioral health services, health education classes and community resource agencies, when appropriate.
- Coordinate with the Woman, Infants and Children (WIC) program to provide medical information necessary for WIC eligibility determinations such as height, weight, hematocrit or hemoglobin.
- Coordinate with the local tuberculosis (TB) control program to ensure that all members with confirmed or suspected TB have a contact investigation and receive Directly Observed Therapy (DOT).
- Refer members to specialists or specialty care, behavioral health services, health education classes and community resource agencies including the California Department of Developmental Services regional centers, which are responsible for the Early Start Program (ESP) for children up to 3 years of age with developmental disabilities. Community resources also include the Child Health and Disability Prevention Program (CHDP), and California Children's Services (CCS).

## **OUT-OF-NETWORK REFERRALS**

Anthem Blue Cross recognizes that there may be instances when an out-of-network referral is justified. Medi-Cal's Utilization Management team will work with the PCP to determine medical necessity; after that, out-of-network referrals will be authorized on a limited basis. The UM department may be contacted at:

- Medi-Cal Utilization Management: **888-831-2246**
- Medi-Cal Utilization Management fax: **866-333-4827**
- Hours of operation: Monday to Friday, 8 a.m. - 5 p.m.

## **SELF-REFERABLE SERVICES**

Members may self-refer to any of the following services without PA if their benefits allow.

- Emergency services
- Abortion services (in-network only)
- Annual well-woman exam (in-network only)
- Diagnosis and treatment of sexually transmitted diseases (STD)
- Family planning services (services to prevent or delay pregnancy)
- Basic prenatal services (in-network only)
- Testing and counseling for Human Immunodeficiency Virus (HIV)

Members associated with capitated medical groups must self-refer to services within the group.

**Note:** Self-referable services may be rendered by a willing provider, even a provider without a contract, unless limited by state or federal regulation. We reimburse contracted providers according to the provider's contract; noncontracted providers are reimbursed at reasonable and customary rates.

## **SECOND OPINIONS**

Second opinions are covered services and offered at no cost to Anthem Blue Cross members. The following are important guidelines regarding second opinions:

- The second opinion must be given by an appropriately qualified healthcare professional.
- The second opinion must come from a provider of the same specialty.
- The secondary specialist must be within the Anthem Blue Cross network and may be selected by the member. When there is no network provider who meets the specified qualifications, Anthem Blue Cross may authorize a second opinion by a qualified provider outside of the network upon request by the member or provider.

## TRANSITION AND DISCHARGE PLANNING

Anthem Blue Cross assists with discharge planning as requested by the facility. For assistance with discharge planning, please call **805-713-0845**.

### DELEGATED UTILIZATION MANAGEMENT

The Utilization Management (UM) process for Medi-Cal Members is primarily delegated to the PMG/IPA. UM that is not delegated to the PMG/IPA is retained by Anthem or an Anthem affiliate.

Most aspects of in-area healthcare for Anthem Medi-Cal Members are reviewed and provided by Medi-Cal PMGs/IPAs. This includes in-area emergency care, as well as care that must be provided by non-network Providers due to the group's inability to provide care in-network.

The following care is not delegated to PMG/IPA (see the appropriate referenced sections) for UM:

- Behavioral Health
- Experimental/ Investigational Procedures
- Clinical Trials
- Out-of-Area/Out-of-State Emergency Inpatient Admissions
- Second Opinions
- Transgender Services

Anthem delegates UM to the PMGs/IPAs that demonstrate compliance with Anthem established standards for the UM function. Care Providers associated with these delegated PMGs/IPAs may use the PMG/IPA's medical management office and protocols for all authorizations for which the PMG/IPA is delegated. The PMG/IPA's UM protocols must be in alignment with those of Anthem's Medical Policy and Clinical Guidelines. The delegated PMG/IPA's UM protocols and procedures must comply with all applicable accreditation, State, and Federal regulatory requirements. Policies must include established UM denial system controls policies and processes to protect and monitor data from unauthorized modification.

## 12 | CARE MANAGEMENT AND HEALTH PROGRAMS

- Care Management department: **888-334-0870**
- Care Management fax: **866-333-4827**
- Hours of operation: Monday to Friday, 8 a.m. - 5 p.m.

Anthem Blue Cross care management is a process that emphasizes teamwork to assess, develop, implement, and coordinate treatment plans to optimize our members' healthcare benefits and promote quality outcomes.

Members referred to the Care Management team may be identified by disease, condition, or high utilization of services.

### REFERRAL PROCESS

Anyone may refer members to Care Management by phone, faxing, or emailing a *Care Management Referral Form* to the Care Management team.

The Medi-Cal *Case Management Referral Form* is located in the General Forms Library on the *Provider Resources* page of our website at:

- <https://providers.anthem.com/CA/pages/forms.aspx>

A care manager will respond to a referral request within three business days.

### ROLE OF THE CARE MANAGER

The care manager, through discussions with the member, the member's representative and/or providers, collects data and analyzes information about actual and potential healthcare needs for the purpose of developing a treatment plan. The care manager's role also includes the responsibility to:

- Facilitate communication and coordination within the healthcare team, member or member representative.
- Educate the member and providers, on the healthcare team, about care management programs, community resources, benefits, and all related topics so that informed decisions can be made.
- Encourage appropriate use of medical facilities and services with the goal of improving quality of care.

The Care Management team includes experienced and credentialed registered nurses and Social Workers, some of whom are **certified case managers (CCMs)**. The team also uses Community Health Workers to reach members in the community or in their home. The Care Management multidisciplinary team allows us to address not only our members' medical needs, but also their psychological, and social determinants of health.

To support our diverse membership, the Care Management team is able to provide culturally and linguistically appropriate community-based referrals as needed.

Interpreter services are also available to support the care management process at no cost to the member.

### PROVIDER RESPONSIBILITY

Providers have the responsibility of participating in care management, sharing information and facilitating the process by:

- Referring members who could benefit from care management.
- Sharing information as soon as possible including any complex healthcare needs identified during the Initial Health Assessment (IHA).
- Collaborating with Care Management staff on an ongoing basis.

- Recommending referrals to specialists as required.
- Monitoring and updating the care plan to promote healthcare goals.
- Notifying Care Management if members are referred to services provided by the state or some other institution not covered by the Anthem Blue Cross agreement.
- Coordinating county or state-linked services such as public health, behavioral health, schools and waiver programs. The provider may call Care Management for additional assistance.

## **PROCEDURES**

When a member has been identified as having a condition that may require care management, the care manager contacts the referring provider and member for an initial assessment.

With the involvement of the member, the member’s representative and the provider, the care manager develops an individualized care plan. That plan may involve coordinating services with public and behavioral health departments, schools and other community health resources.

The care manager periodically reassesses the care plan to monitor the following:

- Progress toward goals
- Necessary revisions
- New issues that need to be addressed to help ensure that the member receives the support needed to achieve care plan goals

Once goals are met or Care Management can no longer impact the case, the care manager closes the member’s case.

## **MEMBERS ELIGIBLE FOR SPECIALIZED SERVICES**

The Care Management team works closely with providers to ensure continuity and coordination of care for our members who are eligible for linked and state-administered services. These services may come from the following:

- California Children's Services (CCS)
- County Mental Health care
- Early Start/Early Intervention
- Regional Centers

Although these agencies provide specialized services for our members, PCPs remain responsible for providing or arranging for the provisions of all necessary and preventive medical services.

## **POTENTIAL REFERRALS**

Providers, nurses, social workers, and members or their representatives may request care management services. Examples of appropriate referrals include:

- Children or adults with special healthcare needs requiring coordination of care and carved out services such as certain mental health services
- HIV/AIDS
- Chronic illnesses such as asthma, diabetes, heart failure or end-stage renal disease
- Complex or multiple care needs such as multiple trauma or cancer
- Frequent hospitalizations or emergency room utilization
- Hemophilia, sickle cell anemia, cystic fibrosis, cerebral palsy
- High-risk pregnancies (in other words, teen pregnancies, history of pre-term birth, etc.)
- Potential transplants
- Seniors and persons with disabilities (SPD)

- Individuals who may need or are receiving services from out-of-network providers or programs

## TRANSITIONING DISENROLLEES

The care manager is available to assist a member that requests help to transition to another health plan. Providers may contact Care Management if assistance is needed.

## PROVIDER ASSESSMENT OF PREGNANCY RISK

The PCP or prenatal care physician should assess all pregnant members for high-risk indicators during the initial prenatal care visit. For all pregnant members, the provider needs to:

- Complete a Pregnancy Notification Report and submit it to **855-410-4451**
- Refer members to prenatal education, childbirth education and breastfeeding classes; members can register by calling our Customer Care Centers.
- Document all referrals in the member's medical record.
- Schedule the member for a postpartum visit.

For additional information, visit the *Prenatal Resources* page of our website at:

- <https://providers.anthem.com/california-provider/patient-care/pregnancy-and-maternal-child-services>

## CONTINUITY OF CARE

Anthem Blue Cross provides continuity of care for members with qualifying conditions when healthcare services are not available within the network or when the member or provider is in a state of transition.

All new enrollees receive a Member Services Guide/Evidence of Coverage (**EOC**) and membership information in their enrollment packets. This provides information regarding members' rights to request continuity of care if the member transitions to another health plan.

**Qualifying condition:** A medical condition that may qualify a member for continued access to care and continuity of care. These conditions include but are not limited to:

- Acute conditions (in other words, cancer)
- Degenerative and disabling conditions, which includes conditions or diseases caused by a congenital or acquired injury or illness that require a specialized rehabilitation program or a high level of service, resources or coordination of care in the community
- Newborns who are covered between the ages of birth and 36 months
- Pregnancy, regardless of trimester, through immediate postpartum care
- Surgery that has been previously approved and scheduled to occur within 180 days of the contract's termination or within 180 days of the effective date of coverage for a newly covered enrollee
- Serious chronic conditions (in other words, hemophilia)
- Terminal illness

States of transition may be any of the following:

- The member is newly enrolled.
- The member is disenrolling to another health plan.
- The provider's contract terminates.

A terminated provider or provider group who actively treats members must continue to provide and be compensated under the terms of the *Agreement for Medical Services* provided to Members who are under the care of provider or provider group at the time of that termination, until the services being rendered to that

Member are completed or reasonable and medically appropriate provision is made for the assumption of such services by another Participating MCMCP Provider.

Providers help ensure continuity and coordination of care through collaboration. This includes the confidential exchange of information between PCPs and specialists as well as behavioral health providers. In addition, Anthem Blue Cross helps coordinate care when a provider's contract has been discontinued to ensure a smooth transition to a new provider.

Providers must maintain accurate and timely documentation in the member's medical record including, but not limited to:

- Consultations
- Prior authorizations
- Referrals to specialists
- Treatment plans

All providers share responsibility in communicating clinical findings, treatment plans, prognosis and the member's psychosocial condition as part of the coordination process. Utilization management nurses review member and provider requests for continuity of care. These nurses facilitate continuation with the current provider until a short-term regimen of care is completed or the member transitions to a new practitioner.

**Note:** Only Anthem Blue Cross can make adverse determination decisions regarding continuity of care.

Adverse determination decisions are sent in writing to the member and provider within two business days of the decision. Members and providers can appeal the decision by following the procedures in the **Grievances and Appeals** chapter of this manual. Reasons for continuity of care denials include but are not limited to the following:

- Continuity of care is not available with the terminating provider.
- Course of treatment is complete.
- Member is ineligible for coverage.
- Condition is not a qualifying condition.
- Request is for change of PCP only and not for continued access to care.
- Requested services are not a covered benefit.
- Services rendered are covered under a global fee.
- Treating provider is currently contracted with our network.

## CONDITION CARE PROGRAMS

- Providers can refer a member to the program by calling CNDC at **888-830-4300**.
- Hours of operation are 8:30 a.m. - 5:30 p.m. local time. Confidential voicemail is available 24 hours a day.
- CNDC program content is located at: <https://providers.anthem.com/california-provider/patient-care/disease-management>
- *Referral Form:* [https://providers.anthem.com/docs/gpp/california-provider/CA\\_CAID\\_Forms\\_DiseaseManagementReferralForm.pdf?v=202101090057](https://providers.anthem.com/docs/gpp/california-provider/CA_CAID_Forms_DiseaseManagementReferralForm.pdf?v=202101090057)

## CONDITION CARE

Condition Care (CNDC) services are based on a system of coordinated care management interventions and communications designed to help physicians and other healthcare professionals manage members with chronic conditions.

Our services include a holistic, focusing on the needs of the member through telephonic and community-based resources.

Motivational interviewing techniques used in conjunction with member self-empowerment.

The ability to manage more than one disease to meet the changing health care needs of our member population. Our condition care programs include:

- Asthma
- Bipolar disorder
- Chronic obstructive pulmonary disorder (COPD)
- Congestive heart failure (CHF)
- Coronary artery disease (CAD)
- Diabetes
- HIV/AIDS
- Hypertension
- Major depressive disorder – adult and child/adolescent
- Schizophrenia
- Substance abuse disorder

In addition to our condition-specific condition care programs, our member-centric, holistic approach also allows us to assist members with managing their weight and/or smoking cessation education.

Program features:

- Proactive population identification process
- Program content is based on evidence-based *Clinical Practice Guidelines*
- Collaborative practice models that include the physician and support providers in treatment planning
- Continuous self-management education
- Ongoing communication with primary and ancillary providers regarding patient status
- Nine of our Condition Care programs are NCQA-accredited and incorporate outreach, education, care coordination and follow-up to improve treatment self-care.

## **WHO IS ELIGIBLE?**

Members diagnosed with one or more of the listed conditions are eligible for Condition Care services.

As a valued provider, we welcome your referrals of patients who can benefit from additional education and condition care support. Our case managers will work collaboratively with you to obtain your input in the development of care plans. Members identified for participation in any of the programs are assessed and risk stratified based on the number of gaps in care/needs.

Members enrolled in Condition Care programs receive education on self-management concepts, which include primary prevention, coaching related by healthy behaviors and compliance/monitoring as well as case/care management for high-risk members. Providers are given telephonic and/or written updates regarding patient status and progress.

## **CNDC Provider Rights and Responsibilities**

You have the right to:

- Obtain information about our organization's services, staff qualifications and any contractual relations.
- Decline to participate in or work with the organization's programs and services on behalf of their patients
- Be informed of how the organization coordinates interventions with care plans for individual members
- Know how to contact the case manager responsible for managing and communicating with their patients
- Be supported by our organization when interacting with members to make decisions about their healthcare
- Receive courteous and respectful treatment from our organization's staff

- Communicate complaints to the organization.

## HOURS OF OPERATION

Our CNDC case managers are registered nurses. They are available: 8:30 a.m. to 5:30 p.m. local time. Confidential voicemail is available 24 hours a day. The NurseLine is available for our members 24 hours a day, 7 days a week.

## CONTACT INFORMATION

You can call a CNDC team member at **888-830-4300**.

- CNDC program content is located at <https://providers.anthem.com/CA>.

Printed copies are available upon request.

- Members can obtain information about CNDC program by visiting: <https://mss.anthem.com/CA>
- or calling **888-8630-4300**.

## HEALTH SERVICE PROGRAMS

### MATERNAL CHILD SERVICES: NEW BABY, NEW LIFE

- If you have an Anthem Blue Cross member in your care that would benefit from the New Baby, New Life program, please call us at **888-334-0870**.

**New Baby, New Life<sup>SM</sup>** is a proactive care management program for all expectant mothers and their newborns. It identifies pregnant women as early in their pregnancies as possible through review of state enrollment files, claims data, hospital census reports, and provider notification of pregnancy and delivery notification forms and self-referrals.

Once pregnant members are identified, we act quickly to assess obstetrical risk and ensure appropriate levels of care and case management services to mitigate risk. Experienced case managers work with members and providers to establish a care plan for our highest risk pregnant members. Case managers collaborate with community agencies to ensure mothers have access to necessary services including transportation, WIC, home-visitor programs, breastfeeding support and counseling.

When it comes to our pregnant members, we are committed to keeping both mom and baby healthy. That's why we encourage all of our moms-to-be to take part in our New Baby, New Life program — a comprehensive case management and care coordination program offering:

- Individualized, one-on-one case management support for women at the highest risk.
- Care coordination for moms who may need a little extra support.
- Educational materials and information on community resources.
- Incentives to keep up with prenatal and postpartum checkups and well-child visits after the baby is born.
- For parents with infants admitted to the neonatal intensive care unit (NICU), we offer the *You and Your Baby in the NICU* program. Parents receive education and support to be involved in the care of their babies, visit the NICU, interact with hospital care providers and prepare for discharge. Parents are provided with an educational resource outlining successful strategies they may deploy to collaborate with the care team.

As of July 1, 2019, obstetric providers are required to screen or offer to screen women for perinatal mood disorders.

The American College of Obstetricians and Gynecologists has outlined depression screening instruments to be used during the pregnancy and postpartum periods including:



- The Edinburgh Postnatal Depression Scale (EPDS).
- Patient Health Questionnaire 9.
- Providers are asked to document screening in the medical record.
- For referrals to care coordination for behavioral health, please call **855-473-7902** and select **1** then option **2** to request care coordination.

## MATERNAL OUTREACH PROGRAM

The Maternal Outreach Program is designed to identify mothers with prenatal and postpartum support needs. Anthem Blue Cross will contact pregnant women and new mothers by telephone to identify any prenatal or postpartum needs, answer questions and share information about member resources. Anthem Blue Cross will also educate new mothers about well-child visits and immunizations.

We will also assist with appointment scheduling to encourage women to get their check-ups.

The program will allow Anthem Blue Cross and its providers to:

- Establish eligibility for care management programs.
- Ensure mothers and babies receive appropriate medical care.
- Increase prenatal, postpartum and well-child follow-up visits.
- Enhance member engagement.
- Increase quality healthcare outcomes for mothers and their babies.
- Raise HEDIS® scores.

## CLINICAL PRACTICE GUIDELINES

Several national organizations produce guidelines for asthma, diabetes, hypertension and other conditions. The guidelines, which Anthem Blue Cross uses for quality and disease management programs, are based on reasonable medical evidence. We review the guidelines at least every two years or when changes are made to national guidelines for content accuracy, current primary sources, new technological advances and recent medical research.

**Clinical Practice Guidelines can be downloaded at:**

- <https://providers.anthem.com/california-provider/resources>
- Behavioral health: <https://providers.anthem.com/california-provider/patient-care/behavioral-health>
- Matrix: [https://providers.anthem.com/docs/gpp/california-provider/CA\\_CAID\\_MMP\\_ClinicalPracticeGuidelinesMatrix.pdf?v=202009291618](https://providers.anthem.com/docs/gpp/california-provider/CA_CAID_MMP_ClinicalPracticeGuidelinesMatrix.pdf?v=202009291618)
- You can also call Provider Services at **866-231-0847** to receive a copy.

## 13 | QUALITY MANAGEMENT

The Anthem Blue Cross longstanding goal has been continuous, measurable improvement in our delivery of quality healthcare. Following federal and state guidelines, we have a Quality Management (QM) program to:

- Objectively and systematically monitor and evaluate the quality, safety and appropriateness of medical care and service offered by the health network.
- Identify and act upon opportunities for improvement.

The QM program includes focused studies and reviews that measure quality of care in specific clinical and service areas. All providers are expected to participate in these studies as part of our mutual goal of providing responsive and cost-effective healthcare that improves our member's lives.

### QUALITY PERFORMANCE REQUIREMENTS

Anthem Blue Cross participates in national and state evaluations that measure the quality performance of our plan and providers. The **National Committee for Quality Assurance (NCQA)** provides a national annual report of their **Healthcare Effectiveness Data and Information Set (HEDIS)** rankings across health plans.

This report is a tool used by more than 90% of America's health plans to rate performance across a wide spectrum of care and service areas including clinical performance and member satisfaction. The HEDIS results can also be used by anyone to make comparisons before choosing a health plan. Anthem Blue Cross uses the HEDIS data to identify areas for improvement and shares the results with providers.

The **California Department of Health Care Services (DHCS)** has selected a set of quality measures that apply to all Medi-Cal Managed Care Plans (MCPs). These quality measures are known as the **Managed Care Accountability Set (MCAS)**, formerly known as the External Accountability Set (EAS). MCPs are **required** to perform at the least as well as 50% of all Medicaid plans in the United States (50<sup>th</sup> percentile).

This requirement is known as the **Minimum Performance Level (MPL)**, and it applies to all measures that are a part of the MCAS. When the MPL is not met for any measure within the MCAS, DHCS may impose the following actions on the MCP:

- Corrective Action Plans
- Sanctions
- PDSA Participation

All applicable Anthem Blue Cross network providers, including safety net clinics, independent practitioners, Physician Medical Groups (PMGs), Independent Physician Associations (IPAs), Public Hospitals and other health systems are **required** to meet the MPL for **all** measures within the DHCS selected MCAS.

Anthem Blue Cross reserves the right to and may impose sanctions on any provider that does not meet the MPL [see enforcement section]:

- Suspending auto-assignment of members that didn't choose a provider
- Freezing panels for assignment of any new members
- Withholding 5-15% of capitation payments at Anthem discretion
- Terminating contracts for material breach or according to other termination provisions

For more information about the most updated Managed Care Accountability Set (MCAS) measures please reference the link to the Department of Health Care Services (DHCS) Medi-Cal MCAS site:

- <https://www.dhcs.ca.gov/dataandstats/reports/Pages/MgdCareQualPerfEAS.aspx>

## **QUALITY MANAGEMENT PROGRAM DOCUMENTATION**

The QM program focuses on developing and implementing standards for clinical care and service, measuring conformity to those standards, and taking action to improve performance. The scope of the QM program includes but is not limited to the monitoring and evaluation of:

- Care and service provided in all health delivery settings
- Chronic disease management and prevention programs
- Child/Adolescent Care
- Blood Lead Screening Program
- Initial Health Assessments
- Maternity management programs
- Coordination of medical care
- Community health
- Service quality
- Case management of members with complex health conditions
- Facility site review
- Medical record review
- HEDIS medical record review
- Provider/member satisfaction
- Member/patient safety
- Utilization management
- Behavioral health programs
- Pharmacy and therapeutics
- Clinical practice guidelines
- Over and under utilization

Anthem Blue Cross develops an annual work plan of quality improvement activities based on the results of the previous year's QM program evaluation. QM program revisions are made based on outcomes, trends, accreditation, contractual and regulatory standards and requirements, and overall satisfaction with the effectiveness of the program.

The QM program evaluation is the reporting method used to evaluate the progress of each provider's quality performance and results of planned activities toward established goals. Providers support the activities of the QM program by:

- Participating in the facility and medical record audit process and completing corrective action plans (CAPs) when applicable.
- Providing access to medical records for quality improvement projects and studies and HEDIS review
- Responding in a timely manner to requests for written information and documentation if a quality of care or grievance issue has been filed
- Using Preventive Health and Clinical Practice Guidelines in member care
- Sharing imperative data files, such as EMR and lab files
- Using the immunization registry
- Participating in performance improvement activities

Information from these studies is actively shared with providers and we encourage constructive feedback.

## **COMMUNITY ADVISORY COMMITTEE**

Community Advisory Committees (CAC) provide input and recommendations to the Board of Directors, Medical Advisory Committee and Quality department on programs and issues. These advisory functions include

providing input on topics such as priorities for needs assessment, program development and provider network development.

The CAC meets periodically in our California counties with representatives from Quality Management, Provider Relations, Community-Based Organizations and Anthem Blue Cross members enrolled in Medi-Cal in attendance.

The responsibilities of the CAC are to:

- Give input to Anthem Blue Cross on the needs of the community.
- Provide suggestions on possible approaches and strategies to address issues raised by our members.
- Review and comment on group needs assessment results.
- Identify community resources to enhance the services offered to Anthem Blue Cross members.
- Be included and involved in policy decisions related to quality improvement, educational, operational and cultural competency issues affecting groups who speak a primary language other than English.

## PROVIDER PERFORMANCE DATA

Practitioners and providers must allow Medi-Cal and MRMIP to use performance data in cooperation with our quality improvement program and activities.

**Provider performance data** refers to compliance rates, reports and other information related to the appropriateness, cost, efficiency and/or quality of care delivered by an individual healthcare practitioner such as a physician or a healthcare organization such as a hospital. Common examples of performance data would include the HEDIS quality of care measures maintained by the NCQA, and the comprehensive set of measures maintained by the National Quality Forum (NQF).

Practitioner/provider performance data may be used for multiple plan programs and initiatives including, but not limited to:

- **Reward programs:** Pay for performance (P4P), pay for value (PFV) and other results-based reimbursement programs that tie provider or facility reimbursement to performance against a defined set of compliance metrics. Reimbursement models include but are not limited to shared savings programs, enhanced fee schedules and bundled payment arrangements.
- **Recognition programs:** Programs designed to transparently identify high-value providers and facilities and make that information available to consumers, employers, peer practitioners and other healthcare stakeholders.

## HEALTHCARE EFFECTIVENESS DATA AND INFORMATION SET (HEDIS)

HEDIS is a national evaluation and core set of performance measurements that gauge the effectiveness of Anthem Blue Cross and its providers in providing quality care. Anthem Blue Cross will provide the necessary education and training you and your office staff need to participate in required HEDIS evaluations. Providers can request consultations and training in the following areas:

- Information about the year's selected HEDIS studies
- How data for those measures will be collected
- Codes associated with each measure
- Tips for smooth coordination of medical record data collection

Our QM staff will contact your office when we need to review or copy any medical records required for HEDIS or QM studies. Requests to provider offices begin in early February. Anthem Blue Cross requests the records be returned within five business days to allow time to abstract the records and request additional information from other providers if needed. Office staff must provide access to medical records for review and copying free of charge.

## OVER/UNDER UTILIZATION

In accordance with NCQA standards, Anthem Blue Cross analyzes relevant utilization data against established thresholds for each health plan to detect potential over or under utilization.

If our findings fall outside specified target ranges and indicate potential underutilization or overutilization that may adversely affect our members, further analyses will occur based upon the recommendation of The Anthem Blue Cross Clinical Solutions Committee (CSC).

The follow-up analyses may include gathering the following data from specific provider and practice sites:

- Care management services needed by members
- Claims payments for covered services
- Coordination with other providers and agencies
- Focus studies
- Investigation and resolution of member and provider grievances and appeals within established time frames
- Retrospective reviews of services provided without authorization

## GAPS IN CARE

The QM Department regularly tracks member utilization for nationally recognized standards of care. When members are due for care or gaps in care are identified, outreach staff engages with members directly and conducts outreach calls to the members, during which staff:

- Educate members on the importance of receiving their care.
- Identify barriers to care (for example, transportation) and work with members to overcome those barriers.
- Assist members with the scheduling of appointments.

The main healthcare service delivery areas targeted during member outreach include:

- Prenatal and postpartum care
- Chronic disease management (for example, diabetes, asthma, hypertension)
- Childhood immunizations and well-child visits
- Routine preventive screenings (for example, breast cancer screening and pap smears)

Health topics may be targeted if significant gaps in care are identified by the QM team. The Care Delivery Team is available to support the network in closing gaps in care.

## MEMBER SATISFACTION SURVEYS

Member satisfaction with our healthcare services is measured every year by the **Consumer Assessment of Healthcare Providers and Systems (CAHPS®)** survey. The survey is an NCQA requirement and is designed to measure member satisfaction with services provided by Anthem Blue Cross and our network providers including:

- Access to care
- Physician care and communication with patients
- Anthem Blue Cross Customer Service

Anthem Blue Cross shares results of the CAHPS survey with our network providers upon request. Providers can review the results, share them with office staff, and incorporate appropriate changes to their offices in an effort to improve scores.

CAHPS is a registered trademark of the Agency for Healthcare Research and Quality (AHRQ).

## PROVIDER SATISFACTION SURVEYS

Anthem Blue Cross conducts provider surveys to monitor and measure provider satisfaction with our services and identify areas for improvement. Provider participation in these surveys is highly encouraged, and your feedback is very important. We inform providers of the results and plans for improvement through provider bulletins, newsletters, meetings or training sessions.

## FACILITY SITE AND MEDICAL RECORDS REVIEWS

As required by California statute, all PCP sites participating in the Medi-Cal program must undergo an initial and subsequent site review regardless of the status of other accreditation or certification. The review consists of a facility site review and medical record review (FSR/MRR).

Anthem Blue Cross conducts subsequent period reviews at least every three years in order to determine:

- Provider compliance with standards for providing and documenting healthcare.
- Provider compliance with standards for documenting and storing medical records.
- Provider compliance with access standards and processes that maintain safety standards and practices.

Provider involvement in the continuity and coordination of member care.

**Note:** DHCS, CMS, Department of Health and Human Services (DHHS) Inspector General, the Comptroller General, Department of Justice (DOJ), Department of Managed Health Care (DMHC), or their designees and Anthem Blue Cross have the right to enter into the premises of providers to inspect, monitor, audit or otherwise evaluate the work performed. We perform all inspections and evaluations in such a manner as not to unduly delay work in accordance with the provider contract.

FSR and MRR tools and other resources are available under the Facility Site Review heading on the Provider Resources Overview page of our website at:

- <https://providers.anthem.com/california-provider/resources/fsr>

## FACILITY SITE REVIEW PROCESS

An FSR inspection is broken down into the following six categories:

- Access/safety
- Personnel
- Office management
- Clinical services
- Preventive services
- Infection control

Critical elements include:

- Exit doors and aisles are unobstructed and escape accessible
- Airway management equipment is available
- Emergency medicine and supplies are available
- Only qualified/trained personnel retrieve, prepare or administer medications.
- Physicians review and follow-up referral/consultation reports and diagnostic test results
- Only lawfully authorized persons dispense drugs to patients
- Drugs and vaccines are prepared and drawn only prior to administration.
- Personal protective equipment for standard precautions is readily available for staff use.
- Needles stick safety precautions are practiced on site
- Blood, other potentially infectious materials and regulated wastes are cared for and disposed of appropriately

- Spore testing of autoclaves completed at least monthly.
- Cold chemical sterilization/high level disinfection: Staff demonstrate/verbalize necessary steps/process to ensure sterility and/or high level disinfection of reusable instruments
- Cold chemical sterilization/high level disinfection: PPE, exposure control plan, MSDS and clean up instructions are available in the event of a cold chemical sterilant spill

Facilities must demonstrate 100% percent compliance with these elements.

The initial and subsequent reviews also consist of a Physical Accessibility Survey (PARS) to assess the physical adequacy of and access to provider sites that provide services to seniors and persons with disabilities.

The Anthem Blue Cross Quality Management team will call the provider's office to schedule an appointment date and time before the FSR due date. The team will fax, email or mail a confirmation letter with an explanation of the audit process and required documentation.

**During** the FSR, our auditor will:

- Conduct a review of the facility and medical records
- Develop a Corrective Action Plan (CAP) if applicable.

**After** the FSR is completed, our auditor will meet with the provider or office manager to:

- Review and discuss the results of the FSR and MRR and explain any required corrective actions.
- Provide a copy of the review results and the required CAP, if any to the office manager or provider.
- Educate the provider and office staff about our standards and policies.
- Schedule a follow-up review for any corrective actions identified.

Providers must obtain a score of 80% or greater in both FSR and MRR in order to pass.

Provider sites that score below 80% in the facility site review for two consecutive reviews must score a minimum of 80% in the next review. Sites that do not score a minimum of 80% on their third consecutive attempt will be removed from the network, and the provider's members will be appropriately reassigned to other participating providers. This includes reviews conducted by other Managed Care Plans.

New provider sites requesting to join the network or established primary care providers relocating to or acquiring a new suite/address must achieve a passing score of 80% in their initial FSR and complete and close their CAP, if any, in order to be added to the network and have members assigned at the new location.

## **FACILITY SITE REVIEW: CORRECTIVE ACTIONS**

If the FSR and/or MRR results in a nonpassing or conditional score, Anthem Blue Cross will immediately notify providers of the results as well as all cited deficiencies and corrective action requirements. The provider office will develop and submit a CAP as follows:

- Develop and submit a CAP and evidence of compliance/corrections for critical element (CE) deficiencies within 10 business days following the FSR
- Develop and submit a CAP and evidence of compliance/corrections for all other deficiencies within 30 calendar days from the date of written CAP request
- Sign an attestation when corrective actions are complete

If the CAP and evidence of compliance/corrections are not submitted by the above time frames, providers are otherwise uncooperative with resolving outstanding issues with the FSR/MRR, are considered noncompliant and will be subject to administrative actions which may include a temporary hold on new membership

assignment or providers' removal from Anthem's primary care provider network. This includes reviews conducted by other Managed Care Plans.

## **MEDICAL RECORDS REVIEW PROCESS**

The Anthem Blue Cross QM team will call the provider's office to schedule a medical records review on a mutually agreed date and time. On the day of the review, the QM staff will:

- Request and review the number and type of medical records required per provider.
- Complete the medical record review.
- Meet with the provider or office manager to review and discuss the results of the medical record review
- Schedule follow-up reviews for any corrective actions identified.

Providers must attain an overall score of 80% or greater in order to pass the medical record review. A CAP will be required if the overall score is under 90%, or any subsection score is under 80% to improve future documentation.

Provider sites that score below 80% in the medical record review for two consecutive reviews must score a minimum of 80% in the next review. Sites that do not score a minimum of 80% on their third consecutive review will be removed from the network, and the provider's members will be appropriately reassigned to other participating providers. This includes reviews conducted by other Managed Care Plans.

Anthem Blue Cross requires providers to maintain medical records in a manner that is current, organized and permits effective and confidential member care and quality review. Anthem Blue Cross performs medical record reviews of all PCPs and OB/GYNs (acting as PCPs) upon signing of a contract and, at a minimum, every three years thereafter to ensure that network providers are in compliance with these standards.

## **CONFIDENTIALITY**

Network providers shall agree to maintain the confidentiality of member information and information contained in a member's medical records according to the **Health Information Privacy and Accountability Act** (HIPAA) standards. The Act prohibits a provider of healthcare from disclosing any individually identifiable information regarding a patient's medical history, mental and physical condition, or treatment without the patient's or legal representative's consent or specific legal authority and will only release such information as permitted by applicable federal, state and local laws and that is:

- Necessary to other providers and the health plan related to treatment, payment or healthcare operations
- Upon the member's signed and written consent

## **SECURITY**

The medical record must be secure and inaccessible to unauthorized access in order to prevent loss, tampering, disclosure of information, alteration or destruction of the record. Information must be accessible only to authorized personnel within the provider's office, Anthem Blue Cross, DHCS or to persons authorized through a legal instrument.

Office personnel will ensure that individual patient conditions or information is not discussed in front of other patients or visitors, displayed, or left unattended in reception and/or patient flow areas.

## **STORAGE AND MAINTENANCE**

Active medical records shall be secured and must be inaccessible to unauthorized persons. Medical records are to be maintained in a manner that is current, detailed and organized, and that permits effective patient care and quality review while maintaining confidentiality. Inactive records are to remain accessible for a period of time that meets state and federal guidelines (at least 10 years for pediatric and adult members).



Electronic recordkeeping system procedures shall be in place to ensure patient confidentiality, prevent unauthorized access, authenticate electronic signatures and maintain upkeep of computer systems. Security systems shall be in place to provide back-up storage and file recovery, to provide a mechanism to copy documents, and to ensure that recorded input is unalterable.

## **AVAILABILITY OF MEDICAL RECORDS**

The medical records system must allow for prompt retrieval of each record when the member comes in for a visit. Providers must maintain members' medical records in a detailed and comprehensive manner that accomplishes the following:

- Conforms to good professional medical practice
- Facilitates an accurate system for follow-up treatment
- Permits effective professional medical review and medical audit processes

Medical records must be legible, signed and dated.

Providers must offer a copy of a member's medical record upon reasonable request by the member at no charge, and the provider must facilitate the transfer of the member's medical record to another provider at the member's request.

Confidentiality of and access to medical records must be provided in accordance with the standards mandated in HIPAA and all other state and federal requirements.

Providers must permit Anthem Blue Cross and representatives of DHCS to review members' medical records for the purposes of:

- Monitoring the provider's compliance with medical record standards
- Capturing information for clinical studies or HEDIS
- Monitoring quality
- Any other reason

## **MISROUTED PROTECTED HEALTH INFORMATION**

Providers and facilities are required to review all member information received from Anthem Blue Cross to ensure no misrouted protected health information (PHI) is included. Misrouted PHI includes information about members that a provider or facility is not treating. PHI can be misrouted to providers and facilities by mail, fax, email or electronic remittance advice. Providers and facilities are required to destroy immediately any misrouted PHI or safeguard the PHI for as long as it is retained. In no event are providers or facilities permitted to misuse or re-disclose misrouted PHI.

If providers or facilities cannot destroy or safeguard misrouted PHI, please contact the appropriate Customer Care Center in **Chapter 2: Quick Reference**.

## **PREVENTABLE ADVERSE EVENTS**

The breadth and complexity of today's healthcare system means there are inherent risks, many of which can be neither predicted nor prevented. However, when there are preventable adverse events, they should be tracked and reduced with the ultimate goal of eliminating them.

Providers and healthcare systems, as advocates for our members, are responsible for the continuous monitoring, implementation and enforcement of applicable healthcare standards. Focusing on patient safety, we work collaboratively with network providers and hospitals to identify preventable adverse events and implement appropriate strategies and technologies to avoid them. Our goal is to enhance the quality of care received not only by our members but all patients receiving care in these facilities.

Prevention of adverse events may require the disclosure of PHI. HIPAA specifies that PHI **can** be disclosed for the purpose of healthcare operations in relation to quality assessment and improvement activities. Moreover, the information you share with us is legally protected through the peer-review process. As such, it will be maintained in a strictly confidential manner. If you receive a request for medical records, please provide them within 10 days from the date of request.

We will continue to monitor activities related to the list of adverse events from federal, state and private payers including **never events**.

Preventable adverse events should not occur. When they do, we firmly support the concept that a health plan and its members should not pay for resultant services.

In the event that Anthem Blue Cross determines that the quality of care or services provided by a healthcare professional is not satisfactory, as may be evidenced by member satisfaction surveys, member complaints or grievances, medical management data, complaints or lawsuits alleging professional negligence, or any other quality of care indicator, Anthem Blue Cross may exercise any appropriate rights to terminate the Provider Agreement.

**Note:** Medicaid is prohibited from paying for certain **Healthcare Acquired Conditions (HCAC)**. This applies to all hospitals.

**Never events:** As defined by the National Quality Forum (NQF), never events are adverse events that are serious but largely preventable and of concern to both the public and healthcare providers.

## 14 | CLAIMS AND ENCOUNTERS

### REIMBURSEMENT POLICIES

Reimbursement policies serve as a guide to assist you in accurate claim submissions and to outline the basis for reimbursement if the service is covered by a member's Anthem Blue Cross benefit plan. These policies can be accessed on our website at:

- <https://providers.anthem.com/california-provider/claims/reimbursement-policies/medicaid-mmp>.

The determination that a service, procedure, item, etc. is covered under a member's benefit plan is not a determination that you will be reimbursed. Services must meet authorization and medical necessity guidelines appropriate to the procedure and diagnosis as well as to the member's state of residence.

You must follow proper billing and submission guidelines. You are required to use industry standard, compliant codes on all claim submissions. Services should be billed with Current Procedure Terminology (CPT) codes, Healthcare Common Procedure Coding System (HCPCS) codes and/or revenue codes. The codes denote the services and/or procedures performed. The billed code(s) are required to be fully supported in the medical record and/or office notes.

If appropriate coding/billing guidelines or current reimbursement policies are not followed, Anthem Blue Cross may:

- Reject or deny the claim.
- Recover and/or recoup claim payment.

Anthem Blue Cross reimbursement policies are developed based on nationally accepted industry standards and coding principles. These policies may be superseded by provider or State contract language, or State, Federal requirements or mandates. System logic or setup may prevent the loading of policies into the claims platforms in the same manner as described; however, Anthem Blue Cross strives to minimize these variations.

### REIMBURSEMENT HIERARCHY

Claims submitted for payments must meet all aspects of criteria for reimbursements. The reimbursement hierarchy is the order of payment conditions that must be met for a claim to be reimbursed. Conditions of payment could include benefits coverage, medical necessity, authorization requirements or stipulations within a reimbursement policy. Neither payment rates nor methodology are considered to be conditions of payments.

### REVIEW SCHEDULES, POLICIES AND UPDATES

Anthem Blue Cross reserves the right to review and revise its policies periodically when necessary. Reimbursement policies undergo reviews for updates to state, federal or CMS contracts and/or requirements. Additionally, updates may be made at any time if we are notified of a mandate change or due to an Anthem Blue Cross business decision. When there is an update, the most current policy will be published on the website provided at the beginning of this section.

Provider bulletins contain important information including, but not limited to, Fee Schedule update notices and can be accessed on our website at: <https://providers.anthem.com/california-provider/communications/news-and-announcements>

### REIMBURSEMENT BY CODE DEFINITION

Anthem Blue Cross allows reimbursement for covered services based on their procedure code definition, or descriptor, as opposed to their appearance under particular CPT categories or sections unless otherwise noted by state, federal or CMS contracts and/or requirements. There are seven CPT sections:

1. Evaluation and management
2. Anesthesia
3. Surgery
4. Radiology (nuclear medicine and diagnostic imaging)
5. Pathology and laboratory
6. Medicine
7. Category II codes: supplemental tracking codes that can be used for performance measurement
8. Category III codes: temporary codes for emerging technology, services or procedure

## **OUTLIER REIMBURSEMENT AUDIT AND REVIEW PROCESS**

### **REQUIREMENTS AND POLICIES**

This section includes guidelines on reimbursement to Providers and Facilities for services on claims paid by DRG with an outlier paid at percent of billed charge or where the entire claim is paid at percent of billed charge.

In addition to any header in this section, please refer to all other service specific sections which may have more stringent guidelines. There may be multiple sections that apply to any given reimbursable service.

### **AUDITS/RECORDS REQUESTS**

At any time, a request may be made for on-site, electronic or hard copy medical records, utilization review documentation and/or itemized bills related to Claims for the purposes of conducting audit or reviews.

### **BLOOD AND BLOOD PRODUCTS**

Administration of Blood or Blood Products are not separately reimbursable on inpatient claims. Administration charges on outpatient claims are separately reimbursable when submitted without observation/treatment room charges.

Charges for blood storage and processing, thawing fees charges, irradiation, and other processing charges, are also not separately reimbursable.

### **EMERGENCY ROOM SUPPLIES AND SERVICES CHARGES**

The Emergency Room level reimbursement includes all monitoring, equipment, supplies, and, time and staff charges. Reimbursement for the use of the Emergency Room includes the use of the room and personnel employed for the examination and treatment of patients. This reimbursement does not typically include the cost of physician services.

### **FACILITY PERSONNEL CHARGES**

Charges for Inpatient Services for Facility personnel are not separately reimbursable and the reimbursement for such is included in the room and board rate. Examples include, but are not limited to, lactation consultants, dietary consultants, overtime charges, transport fees, nursing functions (including IV or PICC line insertion at bedside), professional therapy functions, including Physical, Occupational, and Speech call back charges, nursing increments, therapy increments, and bedside respiratory and pulmonary function services. Charges for Outpatient Services for facility personnel are also not separately reimbursable. The reimbursement is included in the payment for the procedure or Observation charge.

### **IMPLANTS**

Implants are objects or materials which are implanted such as a piece of tissue, a tooth, a pellet of medicine, a medical device, a tube, a graft, or an insert placed into a surgically or naturally formed cavity of the human body to continuously assist, restore or replace the function of an organ system or structure of the human body throughout its useful life. Implants include, but are not limited to: stents, artificial joints, shunts, pins, plates,

screws, anchors and radioactive seeds, in addition to non-soluble, or solid plastic materials used to augment tissues or to fill in areas traumatically or surgically removed. Instruments that are designed to be removed or discarded during the same operative session during which they are placed in the body are not implants. In addition to meeting the above criteria, implants must also remain in the Member's body upon discharge from the inpatient stay or outpatient procedure.

Staples, sutures, clips, as well as temporary drains, tubes, similar temporary medical devices and supplies shall not be considered implants. Implants that are deemed contaminated and/or considered waste and/or were not implanted in the Member will not be reimbursed.

#### **IV SEDATION AND LOCAL ANESTHESIA**

Charges for IV sedation and local anesthesia administered by the provider performing the procedure, and/or nursing personnel, is not separately reimbursable and is included as part of the Operating Room ("OR") time/procedure reimbursement. Medications used for IV sedation and local anesthesia are separately reimbursable.

#### **LAB CHARGES**

The reimbursement of charges for specimen are considered facility personnel charges and the reimbursement is included in the room and board or procedure/Observation charges. Examples include venipuncture, urine/sputum specimen collection, draw fees, phlebotomy, heel sticks, and central line draws.

Processing fees, handling fees, and referral fees are considered included in the procedure/lab test performed and not separately reimbursable.

#### **LABOR CARE CHARGES**

Reimbursement will be made for appropriately billed room and board or labor charges. Payment will not be made on both charges when billed concurrently.

#### **NURSING PROCEDURES**

Fees associated with nursing procedures or services provided by Facility nursing staff or unlicensed Facility personnel (technicians) performed during an inpatient ("IP") admission or outpatient ("OP") visit will not be reimbursed separately. Examples include, but are not limited, to intravenous ("IV") injections or IV fluid administration/monitoring, intramuscular ("IM") injections, subcutaneous ("SQ") injections, IV or PICC line insertion at bedside, nasogastric tube ("NGT") insertion, urinary catheter insertion, point of care/bedside testing (such as glucose, blood count, arterial blood gas, clotting time, etc.) and inpatient blood transfusion administration/monitoring (with the exception of OP blood administration or OP chemotherapy administration which are submitted without observation/treatment room charges.)

#### **OPERATING ROOM TIME AND PROCEDURE CHARGES**

The operating room ("OR") charge will be based on a time or procedural basis. When time is the basis for the charge, it should be calculated from the time the patient enters the room until the patient leaves the room, as documented on the OR nurse's notes. The operating room charge will reflect the cost of:

- The use of the operating room
- The services of qualified professional and technical personnel

#### **PERSONAL CARE ITEMS AND SERVICES**

Personal care items used for patient convenience are not separately reimbursable. Examples include but are not limited to: breast pumps, deodorant, dry bath, dry shampoo, lotion, non-medical personnel, mouthwash, powder, soap, telephone calls, television, tissues, toothbrush and toothpaste, bedpans, chux, hot water bottles, icepacks, pillows, sitz baths, and urinals.

## **PHARMACY CHARGES**

Reimbursement will be made for the cost of drugs prescribed by the attending physician. Additional separate charges for the administration of drugs, the cost of materials necessary for the preparation and administration of drugs, and the services rendered by registered pharmacists and other pharmacy personnel will not be reimbursed separately. All other services are included in the drug reimbursement rate. Example of pharmacy charges which are not separately reimbursable include, but are not limited to: IV mixture fees, IV diluents such as saline and sterile water, IV Piggyback (IVPB), Heparin and saline flushes to administer IV drugs, and facility staff checking the pharmacy ("Rx") cart.

## **PORTABLE CHARGES**

Portable Charges are included in the reimbursement for the procedure, test, or x-ray, and are not separately reimbursable.

## **PRE-OPERATIVE CARE OR HOLDING ROOM CHARGES**

Charges for a pre-operative care or a holding room used prior to a procedure are included in the reimbursement for the procedure and are not separately reimbursed. In addition, nursing care provided in the pre-operative care areas will not be reimbursed separately.

## **PREPARATION (SET-UP) CHARGES**

Charges for set-up, equipment, or materials in preparation for procedures or tests are included in the reimbursement for that procedure or test.

## **RECOVERY ROOM CHARGES**

Reimbursement for recovery room services (time or flat fee) includes the use of all and/or available services, equipment, monitoring, and nursing care that is necessary for the patient's welfare and safety during his/her confinement. This will include but is not limited to cardiac/vital signs monitoring, pulse oximeter, medication administration fees, nursing services, equipment, supplies, (whether disposable or reusable), defibrillator, and oxygen. Separate reimbursement for these services will not be made.

## **RECOVERY ROOM SERVICES RELATED TO IV SEDATION AND/OR LOCAL ANESTHESIA**

Separate reimbursement will not be made for a phase I or primary recovery room charged in connection with IV sedation or local anesthesia. Charges will be paid only if billed as a post procedure room or a phase II recovery (step-down) Examples of procedures include arteriograms and cardiac catheterization.

## **SUPPLIES AND SERVICES**

Items used for the patient which are needed as a direct result of a procedure or test are considered part of the room and board or procedure charges and are not separately reimbursable.

Any supplies, items, and services that are necessary or otherwise integral to the provision of a specific service and/or the delivery of services in a specific location are considered routine services and not separately reimbursable in the inpatient and outpatient environments.

## **SPECIAL PROCEDURE ROOM CHARGE**

Special procedure room charges are included in the reimbursement for the procedure. If the procedure takes place outside of the OR suite, then OR time will not be reimbursed to cover OR personnel/staff being present in the room. Example: ICU, GI lab, etc.

## **STAND-BY CHARGES**

Standby equipment and consumable items which are on standby, are not reimbursable. Standby charges for facility personnel are included in the reimbursement for the procedure and not separately reimbursable.

## STAT CHARGES

Stat charges are included in the reimbursement for the procedure, test, and/or X-ray. These charges are not separately reimbursable.

## SUPPLIES AND EQUIPMENT

Charges for medical equipment, including but not limited to, IV pumps, PCA Pumps, Oxygen, and isolation carts and supplies are not separately reimbursable.

## TELEMETRY

Telemetry charges in ER/ICU/CCU/NICU or telemetry unit (step-down units) are included in the reimbursement for the place of service. Additional monitoring charges are not reimbursable.

## TIME CALCULATION

- **Operating Room ("OR"):** Time should be calculated on the time the patient enters the room until the patient leaves the room, as documented on the OR nurse's notes.
- **Hospital/ Technical Anesthesia:** Reimbursement of technical anesthesia time will be based on the time the patient enters the operating room (OR) until the patient leaves the room, as documented on the OR nurse's notes. The time the anesthesiologist spends with the patient in pre-op and the recovery room will not be reimbursed as part of the hospital anesthesia time.
- **Recovery Room:** The reimbursement of Recovery Room charges will be based on the time the patient enters the recovery room until the patient leaves the recovery room as documented on the post anesthesia care unit ("PACU") record.
- **Post Recovery Room:** Reimbursement will be based on the time the patient leaves the Recovery Room until discharge.

## VIDEO OR DIGITAL EQUIPMENT USED IN OPERATING ROOM

Charges for video or digital equipment used in a surgery are included in the reimbursement for the procedure and are not separately reimbursable. Charges for batteries, covers, film, anti-fogger solution, tapes etc., are not separately reimbursable.

## ADDITIONAL REIMBURSEMENT GUIDELINES FOR DISALLOWED CHARGES

The disallowed charges (charges not eligible for reimbursement) include, but are not limited to, the following, whether billed under the specified Revenue Code or any other Revenue Code. These Guidelines may be superseded by your specific agreement. Please refer to your contractual fee schedule for payment determination.

The tables below illustrate examples of non-reimbursable items/services codes.

Examples of non-reimbursable items/services codes	
Typically Billed Under This/These Revenue Codes but not Limited to the Revenue Codes Listed Below	Description of Excluded Items
0990 – 0999	Personal Care Items Courtesy/Hospitality Room

<b>Examples of non-reimbursable items/services codes</b>	
<b>Typically Billed Under This/These Revenue Codes but not Limited to the Revenue Codes Listed Below</b>	<b>Description of Excluded Items</b>
	Patient Convenience Items (0990) Cafeteria, Guest Tray (0991) Private Linen Service (0992) Telephone, Telegraph (0993) TV, Radio (0994) Non-patient Room Rentals (0995) Beauty Shop, Barber (0998) Other Patient Convenience Items (0999)
0220	Special Charges
0369	Preoperative Care or Holding Room Charges
0760 – 0769	Special Procedure Room Charge
0111 – 0119	Private Room* (subject to Member's Benefit)
0221	Admission Charge
0480 – 0489	Percutaneous Transluminal Coronary Angioplasty (PTCA) Stand-by Charges
0220, 0949	Stat Charges
0270 – 0279, 0360	Video Equipment Used in Operating Room
0270, 0271, 0272	Supplies and Equipment



<b>Examples of non-reimbursable items/services codes</b>	
<b>Typically Billed Under This/These Revenue Codes but not Limited to the Revenue Codes Listed Below</b>	<b>Description of Excluded Items</b>
	<p>Blood Pressure cuffs/Stethoscopes</p> <p>Thermometers, Temperature Probes, etc.</p> <p>Pacing Cables/Wires/Probes</p> <p>Pressure/Pump Transducers</p> <p>Transducer Kits/Packs</p> <p>SCD Sleeves/Compression Sleeves/Ted Hose</p> <p>Oximeter Sensors/Probes/Covers</p> <p>Electrodes, Electrode Cables/Wires</p> <p>Oral swabs/toothettes;</p> <p>Wipes (baby, cleansing, etc.)</p> <p>Bedpans/Urinals</p> <p>Bed Scales/Alarms</p> <p>Specialty Beds</p> <p>Foley/Straight Catheters, Urometers/Leg Bags/Tubing</p> <p>Specimen traps/containers/kits</p> <p>Tourniquets</p> <p>Syringes/Needles/Lancets/Butterflies</p> <p>Isolation carts/supplies</p> <p>Dressing Change Trays/Packs/Kits</p> <p>Dressings/Gauze/Sponges</p> <p>Kerlix/Tegaderm/OpSite/Telfa</p> <p>Skin cleansers/preps</p>

**Examples of non-reimbursable items/services codes**

<p><b>Typically Billed Under This/These Revenue Codes but not Limited to the Revenue Codes Listed Below</b></p>	<p><b>Description of Excluded Items</b></p>
	<p>Cotton Balls; Band-Aids, Tape, Q-Tips</p> <p>Diapers/Chucks/Pads/Briefs</p> <p>Irrigation Solutions</p> <p>ID/Allergy bracelets</p> <p>Foley stat lock</p> <p>Gloves/Gowns/Drapes/Covers/Blankets</p> <p>Ice Packs/Heating Pads/Water Bottles</p> <p>Kits/Packs (Gowns, Towels and Drapes)</p> <p>Basins/basin sets</p> <p>Positioning Aides/Wedges/Pillows</p> <p>Suction Canisters/Tubing/Tips/Catheters/Liners</p> <p>Enteral/Parenteral Feeding Supplies (tubing/bags/sets, etc.)</p> <p>Preps/prep trays</p> <p>Masks (including CPAP and Nasal Cannulas/Prongs)</p> <p>Bonnets/Hats/Hoods</p> <p>Smoke Evacuator Tubing</p> <p>Restraints/Posey Belts</p> <p>OR Equipment (saws, skin staplers, staples &amp; staple removers, sutures, scalpels, blades etc.)</p> <p>IV supplies (tubing, extensions, angio-caths, stat-locks, blood tubing, start kits, pressure bags, adapters, caps, plugs, fluid warmers, sets,</p>

<b>Examples of non-reimbursable items/services codes</b>	
<b>Typically Billed Under This/These Revenue Codes but not Limited to the Revenue Codes Listed Below</b>	<b>Description of Excluded Items</b>
	transducers, fluid warmers, heparin and saline flushes, etc.)
0220 – 0222, 0229, 0250	Pharmacy Administrative Fee (including mixing meds) Portable Fee (cannot charge portable fee unless equipment is brought in from another Facility) Patient transport fees
0223	Utilization Review Service Charges
263	IV Infusion for therapy, prophylaxis (96365, 96366) IV Infusion additional for therapy IV Infusion concurrent for therapy (96368) IV Injection (96374, 96379)
0230, 0270 – 0272, 0300 – 0307, 0309, 0390-0392, 0310	Nursing Procedures
0230	Incremental Nursing – General
0231	Nursing Charge – Nursery
0232	Nursing Charge – Obstetrics (OB)
0233	Nursing Charge – Intensive Care Unit (ICU)

<b>Examples of non-reimbursable items/services codes</b>	
<b>Typically Billed Under This/These Revenue Codes but not Limited to the Revenue Codes Listed Below</b>	<b>Description of Excluded Items</b>
0234	Nursing Charge – Cardiac Care Unit (CCU)
0235	Nursing Charge – Hospice
0239	Nursing Charge – Emergency Room (ER) or Post Anesthesia Care Unit (PACU) or Operating Room (OR)
0250 – 0259, 0636	<p>Pharmacy (non-formulary drugs, compounding fees, nonspecific descriptions)</p> <p>Medication prep</p> <p>Nonspecific descriptions</p> <p>Anesthesia Gases – Billed in conjunction with Anesthesia Time Charges</p> <p>IV Solutions 250 cc or less, except for pediatric claims</p> <p>Miscellaneous Descriptions</p> <p>Non-FDA Approved Medications</p>
0270, 0300 – 0307, 0309, 0380 – 0387, 0390 – 0392	<p>Specimen collection</p> <p>Draw fees</p> <p>Venipuncture</p> <p>Phlebotomy</p> <p>Heel stick</p> <p>Blood storage and processing blood administration (Rev codes 0380, 0390 – 0392; 0399)</p>

<b>Examples of non-reimbursable items/services codes</b>	
<b>Typically Billed Under This/These Revenue Codes but not Limited to the Revenue Codes Listed Below</b>	<b>Description of Excluded Items</b>
	Thawing/Pooling Fees
0270, 0272, 0300 – 0309	Bedside/Point of Care/Near Patient Testing (such as glucose, blood count, arterial blood gas, clotting time, glucose, etc.)
0222, 0270, 0272, 0410, 0460	Portable Charges
0270 – 0279, 0290, 0320, 0410, 0460	<p>Supplies and Equipment</p> <p>Oxygen</p> <p>Instrument Trays and/or Surgical Packs</p> <p>Drills/Saws (All power equipment used in O.R.)</p> <p>Drill Bits</p> <p>Blades</p> <p>IV pumps and PCA (Patient Controlled Analgesia) pumps</p> <p>Isolation supplies</p> <p>Daily Floor Supply Charges</p> <p>X-ray Aprons/Shields</p> <p>Blood Pressure Monitor</p> <p>Beds/Mattress</p> <p>Patient Lifts/Slings</p> <p>Restraints</p> <p>Transfer Belt</p> <p>Bair Hugger Machine/Blankets</p>

<b>Examples of non-reimbursable items/services codes</b>	
<b>Typically Billed Under This/These Revenue Codes but not Limited to the Revenue Codes Listed Below</b>	<b>Description of Excluded Items</b>
	SCD Pumps Heal/Elbow Protector Burrs Cardiac Monitor EKG Electrodes Vent Circuit Suction Supplies for Vent Patient Electrocautery Grounding Pad Bovie Tips/Electrodes Anesthesia Supplies Case Carts C-Arm/Fluoroscopic Charge Wound Vacuum Pump Bovie/Electro Cautery Unit Wall Suction Retractors Single Instruments Oximeter Monitor CPM Machines Lasers Da Vinci Machine/Robot
0370 – 0379, 0410, 0460, 0480 – 0489	Anesthesia

<b>Examples of non-reimbursable items/services codes</b>	
<b>Typically Billed Under This/These Revenue Codes but not Limited to the Revenue Codes Listed Below</b>	<b>Description of Excluded Items</b>
	<p>Nursing care</p> <p>Monitoring</p> <p>Intervention</p> <p>Pre- or Post-evaluation and education</p> <p>IV sedation and local anesthesia if provided by RN</p> <p>Intubation/Extubation</p> <p>CPR</p>
410	<p>Respiratory Functions:</p> <p>Oximetry reading by nurse or respiratory</p> <p>Respiratory assessment/vent management</p> <p>Medication Administration via Nebs, Metered dose (MDI), etc.</p> <p>Charges Postural Drainage</p> <p>Suctioning Procedure</p> <p>Respiratory care performed by RN</p>
0940 – 0945	Education/Training

## CLAIM SUBMISSIONS

### FILING LIMITS

Claims must be submitted within the contracted filing limit to be considered for payment. Claims submitted after that time period will be denied for timely filing. The provider or hospital shall bill Anthem Blue Cross within 180 days from the date of discharge for inpatient claims and 180 days from the date of service for outpatient and professional claims or Anthem Blue Cross may refuse payment.

Filing limits should be determined as follows:

- If Anthem Blue Cross is the primary payer, use the length of time between the last date of service on the claim and the Anthem Blue Cross receipt date.
- If Anthem Blue Cross is the secondary payer, use the length of time between the other payer's notice and **remittance advice** date and the Anthem Blue Cross receipt date.
- **Note:** Anthem Blue Cross is not responsible for a claim never received. If a claim is submitted inaccurately, prolonged periods before resubmission may cause you to miss the filing deadline. To avoid missing deadlines, submit clean claims as soon as possible after delivery of service. In the event of an inconsistency between information contained in this *Provider Manual* and the *Agreement* between you and Anthem Blue Cross, the *Agreement* shall govern.
- Pursuant to the **California Welfare and Institutions Code (W&I) Section 14115**, DHCS allows for the following four exceptions to the 180-day filing limit:
  - If the patient has failed to identify himself or herself as a Medi-Cal beneficiary within four months after the month of service.
  - If a provider has submitted a bill to a liable third party, the provider has one year after the month of service to submit the bill for payment.
  - If a legal proceeding has commenced in which the provider is attempting to obtain payment from a third party, the provider has one year to submit the bill after the month in which the services have been rendered.
  - If Anthem Blue Cross finds that the delay in submission of the bill was caused by circumstances beyond the control of the provider.

### CLEAN CLAIMS

Please use the following guidelines when submitting a claim.

- Submit **clean** claims, making sure that the correct and complete information is submitted on the correct form. A clean claim is a request for payment for a service rendered by a provider that:
  - Is submitted timely.
  - Is accurate.
  - Is submitted in a HIPAA-compliant format or using the standard claim form including a *UB-04*, *CMS-1450* or *CMS-1500 (02-12)*, or successor forms thereto, or the electronic equivalent of such claim form.
  - Requires no further information, adjustment or alteration by the provider or by a third party in order to be processed and paid by us.
- Submit claims as soon as possible after providing service.
- Submit claims within the contract filing time limit.



If we do not adjudicate the clean claim within 30 business days, we will pay all applicable interest as required by law. In the event that Anthem Blue Cross does not finalize a clean claim within 30 business days of receipt, interest will be due to the provider if the claim is payable. Providers are notified of the disposition of a claim with either a *Remittance Advice (RA)* or a *Claims Disposition Notice (CDN)* when the claim is finalized.

Paper claims that are determined to be unclean will be returned to the billing provider along with a letter stating the reason for the rejection. Electronic claims that are determined to be unclean will be rejected to the clearinghouse that submitted the claim. In the event you are a direct electronic submitter to Anthem Blue Cross, the claim will be returned to you directly.

## METHODS FOR SUBMISSION

There are two methods for submitting a claim:

- Electronically through Electronic Data Interchange (preferred)
- Paper or hard copy
  - *CMS-1500* for Professional Services
  - *UB-04 (CMS-1450)* for Facility and/or Outpatient Ancillary Services

## ELECTRONIC CLAIMS

If the service is the responsibility of Anthem Blue Cross, electronic filing methods are preferred for accuracy, convenience and speed. Electronic submitters will receive electronic acknowledgement of the claim that has been submitted within 24 hours of receipt at Anthem Blue Cross.

### ELECTRONIC DATA INTERCHANGE

Anthem has a strategic relationship with Availity to serve as our Electronic Data Interchange (EDI) partner for all electronic data and transactions. You can use your existing Clearinghouse or choose to send direct submissions to Availity. The website for more detail or to register is [www.availity.com](http://www.availity.com).

(EDI) allows providers and facilities to submit and receive electronic transactions. EDI is available for most common healthcare business transactions. Please work with your software vendor, management service organization or your clearinghouse to enable electronic transactions.

For EDI claims submissions that require attachments, contact Availity or your clearinghouse for guidelines. For more information on EDI, providers and vendors may call Availity Client Services at 800-282-4548. You can also contact this number if you are interested in becoming a direct submitter. You can also email us at the following email address.

**EDI Solutions email:** [e-Solutions.Support@anthem.com](mailto:e-Solutions.Support@anthem.com)

If you have any questions, please contact Availity Client Services at **800-Availity (800-282-4548)** Monday through Friday 8:00 a.m. to 7:30 p.m. Eastern Time

For additional information related to electronic transactions, we have a website dedicated to sharing billing information with providers and EDI vendors including electronic clearinghouses. This information includes details on how to submit, receive and troubleshoot electronic transactions.

To access all EDI manuals, forms and communications, go to:

- <http://www.anthem.com/edi>

The following are available online:

- Availity\* Quick Start Guide for EDI Submissions
- EDI contacts and support information

- EDI communications and electronic submission tips
- Information on electronic filing benefits and cost savings
- Filing instructions for EDI submission of eligibility, benefit and claim status inquiries
- Anthem Blue Cross *HIPAA Companion Guide* and *EDI User Guide* with complete information on submitting and receiving electronic transactions
- Anthem Blue Cross report descriptions
- FAQ about electronic transactions
- Information and links to the HIPAA website

## PAPER CLAIMS

If the service is the responsibility of Anthem Blue Cross and you are unable to submit the claim electronically, please mail paper claims to:

Claims and Billing  
 Anthem Blue Cross  
 P.O. Box 60007  
 Los Angeles, CA 90060-0007

If the service is the responsibility of one of our delegated entities, please send the claim to the responsible entity.

Paper claims must be legible and submitted in the proper format. Follow the guidelines below.

- Use the correct form and be sure the form meets CMA standards.
- Use black or blue ink (do not use red ink as the scanner may not be able to read it).
- Use the **Remarks** field for messages.
- Do not stamp or write over boxes on the claim form.
- Send the original claim form to Anthem Blue Cross and retain a copy for your records.
- Separate each individual claim form. **Do not** staple original claims together; Anthem Blue Cross will consider the second claim as an attachment and not an original claim to be processed separately.
- Remove all perforated sides from the form; leave a ¼-inch border on the left and right side of the form after removing perforated sides. This helps our scanning equipment scan accurately.
- Type information within the designated field. Be sure the type falls completely within the text space and is properly aligned.
- Handwritten claims need to use all capital letters and do not go outside of boxes into red areas. Use black ink and not markers.
- Do not highlight any fields on the claim forms or attachments; doing so makes it more difficult to create a clear electronic copy when scanned.
- If using a dot matrix printer, do not use draft mode since the characters generally do not have enough distinction and clarity for the optical scanner to read accurately.

If you submit paper claims, you must include the following provider information:

- Provider name
- Rendering provider group or billing provider
- Federal provider tax identification number (TIN)
- National provider identifier (NPI)
- License number (if applicable)
- Medicare number (if applicable)

**Note:** Some claims may require additional attachments. Be sure to include all supporting documentation when submitting your claim.

A claim may be rejected or denied if it is submitted with incomplete or invalid information. It is the responsibility of the provider to submit accurate and timely information.

## CMS-1500 CLAIM FORM FIELDS

We encourage all providers to submit their professional claims to Anthem in an EDI format. In the event you need to submit a paper claim, please submit the most current version of the *CMS-1500* claim form for professional services and *UB-04 (CMS-1450)* for facility and/or some ancillary charges. Before submitting the claim to Anthem Blue Cross, please verify if Anthem Blue Cross is responsible for payment of the service. The service may be delegated to a provider partner of Anthem Blue Cross.

#	Title	Explanation
1	Medicare, Medicaid, TRICARE, CHAMPVA, Group Health Plan, FECA, Black Lung, Other	Indicate the type of health insurance coverage applicable to this claim by placing an X in the appropriate box. Only one box can be marked.
1a	Insured's ID Number	Enter the insured's ID number as shown on insured's ID card for the payer to which the claim is being submitted. If the patient has a unique member identification number assigned by the payer, enter that number in this field.
2	Patient's Name	Enter last name first, then first name and middle initial (if known). Do not use nicknames or full middle names. The ID card and the patient's name must be identical.
3	Patient's Birth Date	Enter the patient's 8-digit date of birth as MM/DD/CCYY.
4	Insured's Name	"Same" is acceptable if the insured is the patient. If the insurance is through a spouse or a parent, enter the insured's name.
5	Patient's Address/Telephone Number	Enter complete address. Include any unit or apartment number. Include abbreviations for road, street, avenue, boulevard, place, etc. The NUCC recommends that the phone number not be reported. Phone extensions are not supported. Do not use punctuation in the address. Temporary addresses are not reported.
6	Patient Relationship to Insured	The relationship to the member such as self, spouse, children or other.
7	Insured's Address/Phone Number	"Same" is acceptable if the insured is the patient. It is not recommended to add the phone number as it is not transmitted over on the 837 file.
8	Reserved for NUCC Use	Leave blank for NUCC use.
9	Other Insured's Name	If there is other insurance coverage in addition to the member's coverage, enter the name of the insured. If the member has a Medigap policy different than that shown in item 2.
9a	Other Insured's Policy or Group Number	Enter the policy and/or group number of the secondary insurance (for example, Medigap insured preceded by MEDIGAP, MG or MGAP).
9b	Other Insured's Date of Birth	Enter date of birth in the MM/DD/YY format. If 9d is completed, leave blank.

#	Title	Explanation
9c	Employer's Name or School Name	Enter the claims processing address of the Medigap insurer If 9d is completed, leave blank.
9d	Insurance Plan Name or Program Name	Name of plan carrier.
10	Patient's Condition Related To	Include any description of injury or accident including whether it occurred at work.
10a	Related to Employment?	Y or N. If insurance is related to workers compensation, enter Y.
10b	Related to Auto Accident/Place?	Y or N. Enter the state where the accident occurred.
10c	Related to Other Accident?	Y or N.
10d	Reserved for Local Use	Condition codes: Approved for use in this item include codes for abortions, sterilization and codes for workman's compensation claims. When required by payers to provide the subset of condition codes approved by the NUCC, enter the condition code in this field. The condition codes approved for use on the 1500 claim form are available at <a href="http://www.nucc.org">www.nucc.org</a> under <i>Code Sets</i> .
11	Insured's Policy Group of FECA Number, Date of Birth, Sex, Employer or School Name	Complete information about insured, even if same as patient. Medicare requires completion of these fields.
11a	Insured's Date of Birth	Enter the insured's 8-digit birth date (MM/DD/CCYY) and sex if different from item 3. If gender is unknown, leave blank.
11b	Other Claim ID designated by NUCC	When submitting to property and casualty payers (e.g., automobile, homeowner's, or workers' compensation insurers and related entities), the following qualifier and accompanying identifier has been designated for use: Y4 Agency Claim Number (Property Casualty Claim Number). Enter the qualifier to the left of the vertical, dotted line. Enter the identifier number to the right of the vertical, dotted line.
11c	Insurance Plan Name or Program Name	Enter the name of the insurance plan or program of the insured.
11d	Is There Another Health Benefit Plan?	This is marked to indicate if the patient has secondary insurance. If item is marked "YES," items 9, 9a and 9d must also be completed.
12	Patient or Authorized Person's Signature	The patient's signature is required to authorize release of medical information to process the claim. Enter "Signature on File," "SOF," or legal signature. When legal signature, enter date signed in 6-digit (MM DD YY) or 8-digit (MM DD YYYY) format. If there is no signature on file, leave blank or enter "No Signature on File." Use the space available to enter signature/information and date.
13	Insured or Authorized Person's Signature	The patient's signature authorizes payment of medical benefits to the physician or supplier.

#	Title	Explanation
14	Date of Current Injury, Illness or Pregnancy	Enter an 8-digit (MM/DD/CCYY) or 6-digit (MM/DD/YY) date of current illness, injury or pregnancy. For pregnancy, use the date of the last menstrual period (LMP) as the first date. Enter the applicable qualifier to identify which date is being reported. 431 Onset of Current Symptoms or Illness-484 Last Menstrual Period.
15	Other Date	Enter another date related to the patient's condition of treatment in either an 8-digit (MM/DD/CCYY) or 6-digit (MM/DD/YY) format. Enter the applicable qualifier to identify which date is being reported. Enter the applicable qualifier to identify which date is being reported.
16	Dates Patient Unable to Work in Current Occupation	If the patient is employed and unable to work in his/her current occupation, enter an 8-digit (MM/DD/CCYY) or 6-digit (MM/DD/YY) date when patient is unable to work. If the patient is treated for a work-related injury, the claim is submitted to worker's compensation and not the patient's medical insurance.
17	Name of Referring Provider or Other Source	Enter the other ID number of the referring, ordering or supervising provider.
17a	Other ID#	The other ID number of the referring, ordering or supervising provider is reported in 17a in the shaded area. The qualifier indicating what the number represents is reported in the qualifier field to the immediate right of 17a. The NUCC defines the following qualifiers used in 5010A1: 0B State License Number 1G Provider UPIN Number G2 Provider Commercial Number LU Location Number (This qualifier is used for supervising provider only.)
17b	National Provider Number	Enter the NPI of the referring/ordering/supervising physician or nonphysician practitioner listed in item 17b. NPIs are required.
18	Hospitalization Dates Related to Current Services	Enter the inpatient 6-digit (MM   DD   YY) or 8-digit (MM   DD   YYYY) hospital admission date followed by the discharge date (if discharge has occurred). If not discharged, leave discharge date blank. This date is when a medical service is furnished as a result of, or subsequent to, a related hospitalization.
19	Additional Claim Information designated by NUCC	Payers have different uses for this field. "Additional Claim Information" identifies additional information about the patient's condition or the claim.
20	Outside Lab? \$Charges	Complete this item when billing for purchased services by entering an "X" in "YES" (for example, diagnostic tests subject to the antimarkup payment limitation). This is not used in an ASC. When "YES" is marked, charges are entered to the left of the vertical line. Enter number right justified to the left of the vertical line. Enter "00" for cents if the amount is a whole number. Do not use dollar signs, commas or a decimal point when reporting amounts. Negative dollar amounts are not allowed. Leave the right-hand field blank.

#	Title	Explanation
21	Diagnosis or Nature of Illness or Injury	The “ICD Indicator” identifies the version of the ICD code set being reported. The “Diagnosis or Nature of Illness or Injury” is the sign, symptom, complaint or condition of the patient relating to the service(s) on the claim. Enter the applicable ICD indicator to identify which version of ICD codes is being reported. 9 ICD-9-CM 0 ICD-10-CM
22	Resubmission and/or Original Reference Number	Enter the original reference number for resubmitted claims. When resubmitting a claim, enter the appropriate bill frequency. 7 = replacement of prior claim; 8 = void/cancel of prior claim.
23	Prior Authorization Number	The “Prior Authorization Number” is the payer assigned number authorizing the service(s). Enter any of the following: prior authorization number, referral number, mammography precertification number, or Clinical Laboratory Improvement Amendments (CLIA) number, as assigned by the payer for the current service.
24	Supplemental Information	Supplemental information can only be entered with a corresponding, completed service line. The six service lines in section 24 have been divided horizontally to accommodate submission of both the NPI and another/proprietary identifier and to accommodate the submission of supplemental information to support the billed service. The top area of the six service lines is shaded and is the location for reporting supplemental information. It is not intended to allow the billing of 12 lines of service. The supplemental information is to be placed in the shaded section of 24a through 24g as defined in each item number. Providers must verify requirements for this supplemental information with the payer.
24a	Date(s) of Service	“Date(s) of Service” indicates the actual month, day and year the service(s) was provided. Grouping services refers to a charge for a series of identical services without listing each date of service.
24b	Place of Service	The “Place of Service” code identifies the location where the service was rendered. In 24b, enter the appropriate two-digit code from the Place of Service Code list for each item used or service performed. The Place of Service Codes are available at: <a href="https://www.cms.gov/Medicare/Coding/place-of-service-codes/Place_of_Service_Code_Set.html">https://www.cms.gov/Medicare/Coding/place-of-service-codes/Place_of_Service_Code_Set.html</a> .
24c	EMG	“EMG” identifies if the service was an emergency. Check with payer to determine if this information (emergency indicator) is necessary. If required, enter Y for “YES” or leave blank if “NO” in the bottom, unshaded area of the field. The definition of emergency would be either defined by federal or state regulations or programs, payer contracts or as defined in 5010A1.
24d	Procedure, Services or Supplies	“Procedures, Services or Supplies” identify the medical services and procedures provided to the patient. Enter the CPT or HCPCS code(s) and modifier(s) (if applicable) from the appropriate code set in effect on the date of service. This field accommodates the entry of up to four two-digit modifiers. The specific procedure code(s) must be shown without a narrative description.

#	Title	Explanation
24e	Diagnosis Pointer	The “Diagnosis Pointer” is the line letter from item number 21 that relates to the reason the service(s) was performed. In 24e, enter the diagnosis code reference letter (pointer) as shown in item number 21 to relate the date of service and the procedures performed to the primary diagnosis. When multiple services are performed, the primary reference letter for each service should be listed first; other applicable services should follow. The reference letter(s) should be A-L or multiple letters as applicable. ICD-10-CM diagnosis codes must be entered in item number 21 only. Do not enter them in 24e.
24f	\$Charges	“\$Charges” is the total billed amount for each service line. Enter the charge for each listed service.
24g	Days or Units	“Days or Units” is the number of days corresponding to the dates entered in 24a or units as defined in CPT or HCPCS coding manual(s). Enter the number of days or units. This field is most commonly used for multiple visits, units of supplies, anesthesia units or minutes, or oxygen volume. If only one service is performed, the numeral 1 must be entered. For anesthesia services based on time, the number of minutes must be reported as t units.
24h	EPSDT Family Plan	For Early and Periodic Screening, Diagnosis and Treatment-related services, enter the response in the shaded portion of the field as follows: Enter “Y” for EPSDT or “N” for non-EPSDT. The following codes for EPSDT are used in 5010A1: AV Available – Not Used (Patient refused referral.) S2 Under Treatment (Patient is currently under treatment for referred diagnostic or corrective health problem.) ST New Service Requested (Referral to another provider for diagnostic or corrective treatment/scheduled for another appointment with screening provider for diagnostic or corrective treatment for at least one health problem identified during an initial or periodic screening service, not including dental referrals.) NU Not Used (Used when no EPSDT patient referral was given.)
24i	ID Qualifier	Enter in the shaded area of 24i the qualifier identifying if the number is a non-NPI. The other ID # of the rendering provider should be reported in 24j in the shaded area. If the provider does not have an NPI number, enter the appropriate qualifier and identifying number in the shaded area. There will always be providers who do not have an NPI and will need to report non-NPI identifiers on their claim forms. The qualifiers will indicate the non-NPI number being reported. The NUCC defines the following qualifiers used in 5010A1: 0B State License Number 1G Provider UPIN Number G2 Provider Commercial Number LU Location Number ZZ Provider Taxonomy (The qualifier in the 5010A1 for Provider Taxonomy is PXC, but ZZ will remain the qualifier for the 1500 claim form.)
24j	Rendering Provider ID#	The individual rendering the service should be reported in 24j. Enter the non-NPI ID number in the shaded area of the field. Enter the NPI number in the unshaded area of the field. The individual performing/rendering the service should be reported in 24j and the qualifier indicating if the number is a non-NPI is reported in 24i. The non-NPI ID number of the rendering provider refers to the payer assigned unique identifier of the professional.
25	Federal Tax ID Number	Enter the “Federal Tax ID Number” (employer ID number or SSN) of the billing provider identified in item number 33. This is the tax ID number intended to be used for 1099 reporting purposes. Enter an “X” in the appropriate box to indicate which number is being reported. Only one box can be marked.



#	Title	Explanation
26	Patient's Account No	Enter the patient's account number assigned by the provider, service, or supplier's accounting system. This item is optional to assist the provider in patient identification.
27	Accept Assignment?	Check the appropriate block to indicate whether the provider of service or supplier accepts assignment. Accepting assignment means the provider agrees to the allowed amount (negotiated rate) for the charge.
28	Total Charge	Enter total charges for the services (for example, total of all charges in 24f). Enter the number right justified in the dollar area of the field. Do not use commas when reporting dollar amounts. Negative dollar amounts are not allowed. Dollar signs should not be entered. Enter "00" in the cents area if the amount is a whole number.
29	Amount Paid	The "Amount Paid" is the payment received from the patient or other payers. Enter total amount the patient and/or other payers paid on the covered services only.
30	Reserved for NUCC Use	This field is reserved for NUCC use. The NUCC will provide instructions for any use of this field. Leave blank.
31	Signature of Physician or Supplier Including Degrees or Credentials	The "Signature of the Physician or Supplier Including Degrees or Credentials" refers to the authorized or accountable person and the degree, credentials, or title. Enter the signature if provider of service or supplier, or his/her representative, and either an 8-digit (MM/DD/CCYY) or 6-digit (MM/DD/YY) date, or alpha-numeric date the form was signed. This can be completed as "Signature on File" or "SOF" or a computer generated signature.
32	Service Facility Location Information	Enter the name, address, city, state and ZIP code of the location where the services were rendered. Providers of service (namely physicians) must identify the supplier's name, address, ZIP code and NPI number when billing for purchased diagnostic tests. When more than one supplier is used, a separate 1500 claim form should be used to bill for each supplier.
32a	NPI#	Enter the NPI number of the service facility location in 32a. Only report a service facility location NPI when the NPI is different from the billing provider NPI.
32b	Other ID#	The non-NPI ID number of the service facility is the payer assigned unique identifier of the facility. Enter the 2-digit qualifier identifying the non-NPI number followed by the ID number. Do not enter a space, hyphen or other separator between the qualifier and number. The NUCC defines the following qualifiers used in 5010A1: 0B State License Number G2 Provider Commercial Number LU Location Number
33	Billing Provider Info & Ph #	Enter the provider's or supplier's billing name, address, ZIP code and phone number. The phone number is to be entered in the area to the right of the field title. Enter the name and address information in the following format: 1st Line – Name 2nd Line – Address 3rd Line – City, State and ZIP Code Item 33 identifies the provider that is requesting to be paid for the services rendered and should always be completed.
33a	NPI of Billing Provider	The NPI number refers to the HIPAA national provider identifier number. Enter the NPI number of the billing provider in 33a.

#	Title	Explanation
33b	Other ID#	<p>The non-NPI ID number of the billing provider refers to the payer assigned unique identifier of the professional. Enter the 2-digit qualifier identifying the non-NPI number followed by the ID number. Do not enter a space, hyphen or other separator between the qualifier and number. The NUCC defines the following qualifiers used in 5010A1:</p> <ul style="list-style-type: none"> <li>0B State License Number</li> <li>G2 Provider Commercial Number</li> <li>ZZ Provider Taxonomy (The qualifier in the 5010A1 for provider taxonomy is PXC, but ZZ will remain the qualifier for the 1500 claim form.)</li> </ul>

## UB-04/CMS-1450 CLAIM FORM FIELDS

We encourage all providers to submit their professional claims to Anthem Blue Cross in an EDI format. In the event you need to submit a paper claim, please submit the most current version of the *CMS-1500* claim form for professional services and *UB-04 (CMS-1450)* for facility and/or ancillary charges. Before submitting the claim to Anthem Blue Cross, please verify if Anthem Blue Cross is responsible for payment of the service. The service may be delegated to a provider partner of Anthem Blue Cross.

#	Title	Explanation
1	Billing Provider Name, Address and Telephone Number	The name and service location provider submitting the bill. The billing provider address must be a street address. Use full nine-digit ZIP code XXXXX-XXXX.
2	Billing Provider's Designated Pay-to Address	The address that the provider submitting the bill intends payment to be sent if different than field 1. Address may include P.O. Box or street name and number, city, state and ZIP. Use 5-digit ZIP code XXXXX.
3a	Patient Control Number	Patient's unique number assigned by the provider to facilitate retrieval of the individual's account of services containing the financial billing records and nay postings of payments.
3b	Medical/Health Record Number	The number assigned to the patient's medical/health record by the provider.
4	Type of Bill	A code indicating the specific type of bill (TOB) (for example, hospital inpatient, outpatient, replacements, voids, etc.). This is a four-digit code. First digit: leading zero/second digit: type of facility/third digit: bill classification/fourth digit: frequency of the bill
5	Federal Tax Number	The number assigned to the provider by the federal government for tax reporting purpose, tax Identification number (TIN) or employer identification number (EIN).
6	Statement Covers Period (From-Through)	The beginning and ending service dates of the period included on this bill. Format: MMDDYY.
7	Reserved for Assignment	Not used
8	Patient Name/Identifier	Last name, first name and middle initial of the patient and the patient identifier as assigned by the payer.
9	Patient Address	The mailing address of the patient. Enter the complete mailing address including street number and name or post office box number or RFD; city name; state; ZIP code.
10	Patient Birth Date	The date of birth of the patient. Format: MMDDYYYY
11	Patient Sex	The sex of the patient as recorded at admission, outpatient service or start of care. Format: M = male; F = female; U = unknown
12	Admission/Start of Care Date	The start date for this episode of care. For inpatient services, this is the date of admission. For other (home health) services, it is the date the episode of care began. Format: MMDDYYYY
13	Admission Hour	The code referring to the hour during which the patient was admitted for inpatient care. Enter the hour of admission to the 24-hour (00-23) format. Do not include the minutes.

#	Title	Explanation																
14	Priority (Type) of Admission or Visit	A code indicating the priority of this admission/visit.																
		<table border="1"> <thead> <tr> <th>Code</th> <th>Type</th> <th>Code</th> <th>Type</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Emergency</td> <td>4</td> <td>Newborn-born outside hospital</td> </tr> <tr> <td>2</td> <td>Urgent</td> <td>5</td> <td>Trauma</td> </tr> <tr> <td>3</td> <td>Elective</td> <td></td> <td></td> </tr> </tbody> </table>	Code	Type	Code	Type	1	Emergency	4	Newborn-born outside hospital	2	Urgent	5	Trauma	3	Elective		
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		1	Emergency	4	Newborn-born outside hospital													
2	Urgent	5	Trauma															
3	Elective																	
15	Point of Origin for Admission or Visit	A code indicating the point of patient origin for this admission or visit. UB-04: Required on all bill types except 014x.																
		<table border="1"> <thead> <tr> <th>Code</th> <th>Type</th> <th>Code</th> <th>Type</th> </tr> </thead> <tbody> <tr> <td>4</td> <td>Transfer from a Hospital (Different Facility)</td> <td>E</td> <td>Transfer from Ambulatory Surgery Center (ASC)</td> </tr> <tr> <td>5</td> <td>Transfer from a Skilled Nursing Facility (SNF)</td> <td>F</td> <td>Transfer from a Hospice Facility</td> </tr> <tr> <td>6</td> <td>Transfer from another Healthcare Facility</td> <td></td> <td></td> </tr> </tbody> </table>	Code	Type	Code	Type	4	Transfer from a Hospital (Different Facility)	E	Transfer from Ambulatory Surgery Center (ASC)	5	Transfer from a Skilled Nursing Facility (SNF)	F	Transfer from a Hospice Facility	6	Transfer from another Healthcare Facility		
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16	Discharge Hour	The code referring to the hour during which the patient was admitted for inpatient care. Enter the hour of admission to the 24-hour (00-23) format. Do not include the minutes.																
17	Patient Discharge Status	A code indicating the disposition or discharge status of the patient at the end of service for the period covered on this bill, as reported in FL6, Statement Covers Period.																
18-28	Condition Codes	Condition codes are used to identify conditions related to the patient's bill that may affect payer processing. These codes should be entered from left to right in numeric-alpha sequence starting with the lowest value.																
29	Accident State	The accident state field contains the two-digit state abbreviation where the accident occurred.																
30	Reserved for Assignment by the NUBC	Not used.																
31-34	Occurrence Codes and Dates	The code and associated date defining a significant event relating to this bill that may affect payer processing. Occurrence codes and dates should be entered from left to right, top to bottom in numeric-alpha order starting with the lowest value.																
35-36	Occurrence Span Codes and Dates	A code and the related dates that identify an event that relates to the payment of the claim. These codes identify occurrences that happened over a span of time. Enter all dates as month, day and year (MMDDYY).																
37	Reserved for Assignment by the NUBC	Not used.																
38	Responsible Party Name and Address (Claim Addressee)	The name and address of the party to whom the bill is being submitted. Address may include post office box or street name and number, city, state and ZIP code. Hospitals should abbreviate state in the address according to the post office standard abbreviations appearing in the instructions for Form Locator 01. The 9-digit ZIP code is used; it should be entered XXXXX-XXXX.																

#	Title	Explanation
39-41	Value Codes and Amounts	A code structure to relate amounts or values to identify data elements necessary to process this claim as qualified by the payer organization. Enter value codes and amounts from left to right, top to bottom in numeric-alpha sequence starting with the lowest value. Do not enter a decimal point (.), dollar sign (\$), positive (+) or negative (-) sign. Enter full dollar amount and cents, even if the amount is even. Value codes and amounts are used to relate amounts to data elements necessary to process the claim. Value code information is required for Medicare/Medi-Cal crossover claims.
42	Revenue Codes (REV)	Codes that identify specific accommodation, ancillary service or unique billing calculations or arrangements.
43	Revenue Description/IDE Number/Medicaid Drug Rebate	The facility can use this form locator to enter a narrative description or standard abbreviation for each revenue code shown in FL 42 on the adjacent line in FL 43.
44	HCPCS/Accommodation Rates/HIPPS Rate Codes	<ol style="list-style-type: none"> <li>1. The Healthcare Common Procedure Coding System (HCPCS) applicable to ancillary service and outpatient bills.</li> <li>2. The accommodation rate for inpatient bills.</li> <li>3. Health Insurance Prospective Payment System (HIPPS) rate codes represent specific sets of patient characteristics (or case-mix groups) on which payment determinations are made under several prospective payment systems.</li> </ol>
45	Service Date	Enter the date the service was rendered in six-digit format MMDDYY.
46	Service Units	Enter the actual number of times a single procedure or item was provided for the date of service. If billing for more than 99, divide the units on two or more lines. Inpatient Claims: Enter the number of days of care by revenue code.
47	Total Charges	In full dollar amount, enter the usual and customary fee for the service billed. Do not enter a decimal point (.) or dollar sign (\$). Enter full dollar amount and cents, even if the amount is even (for example, if billing for \$100, enter "10000"not "100").
48	Non-Covered Charges	The total noncovered charges pertaining to the related revenue code in FL 42 are entered here.
49		Not used.
50	Payer Name	Name of health plan that the provider might expect some payment for the bill.
51	Health Plan ID	The number used to identify the payer or health plan.
52	Release of Information Certification Indicator	Code indicates whether the provider has on file a signed statement (from the patient or the patient's legal representative) permitting the provider to release data to another organization.
53	Assignment of Benefits Certification Indicator	Code indicates provider has a signed form authorizing the third-party payer to remit payment directly to the provider.
54	Prior Payments - Payer	The amount the provider has received (to date) by the health plan toward payment of this bill.

#	Title	Explanation
55	Estimated Amount Due-Payer	The amount estimated by the provider to be due from the indicated payer (estimated responsibility less prior payments).
56	National Provider Identifier - Billing Provider	The unique identification number assigned to the provider submitting the bill; NPI is the national provider identifier.
57	Other (Billing) Provider Identifier	A unique identification number assigned to the provider submitting the bill by the health plan.
58	Insured's Name	The name of the individual under whose name the insurance benefit is carried.
59	Patient's Relationship to Insured	Code indicating the relationship of the patient to the identified insured.
60	Insured's Unique Identifier	The unique number assigned by the health plan to the insured.
61	Insured's Group Name	The group or plan name through which the insurance is provided to the insured.
62	Insured's Group Number	The identification number, control number, or code assigned by the carrier or administrator to identify the group under which the individual is covered.
63	Authorization Code/Referral Number	An identifier that designates services on this bill have been authorized by the payer or indicates that a referral is involved.
64	Document Control Number (DCN)	The control number assigned to the original bill by the health plan or the health plan's fiscal agent as part of their internal control.
65	Employer Name (of the Insured)	The name of the employer that provides healthcare coverage for the insured individual identified in FL 58.
66	Diagnosis and Procedure Code Qualifier (ICD Version Indicator)	The qualifier that denotes the revision of International Classification of Diseases (ICD) reported.
67	Principal Diagnosis Code and Present on Admission Indicator	The ICD diagnosis code, appropriate to the ICD revision indicated in FL 66 describing the principal diagnosis (in other words, the condition established after study to be chiefly responsible for occasioning the admission of the patient for care).
68		Not used.
69	Admitting Diagnosis Code	The ICD diagnosis code appropriate to the ICD revision indicated in field 66 describing the patient's diagnosis at the time of admission. The reporting of the decimal between the third and fourth character is unnecessary because it is implied. ICD-10 is effective 10/1/2015.
70a-c	Patient's Reason for Visit	The ICD diagnosis codes appropriate to the ICD revision indicated in field 66 describing the patient's stated reason for visit at the time of outpatient registration. The reporting of the decimal between the third and fourth character is unnecessary because it is implied. ICD-10 effective 10/1/2015.
71	Prospective Payment System (PPS) Code	The PPS code assigned to the claim to identify the DRG based on the grouper software called for under contract with the primary payer.

#	Title	Explanation
72a-c	External Cause of Injury (ECI) Code and Present on Admission Indicator Element	The ICD diagnosis codes appropriate to the ICD revision indicated in field 66 pertaining to the environmental events, circumstances and conditions as the cause of injury, poisoning and other adverse effects. The reporting of the decimal between the third and fourth character is unnecessary because it is implied. ICD-10 effective 10/1/2015.
73		Not used.
74	Principal Procedure Code and Date	Enter the appropriate ICD-10-PCS code identifying the primary medical or surgical procedure. Enter the ICD-10-PCS code without periods or spaces between the numbers. In 6-digit format, enter the date the surgery or delivery was performed.
74a-e	Other Procedure Codes and Dates	Enter the appropriate ICD-10-PCS code identifying the secondary medical or surgical procedure without period or spaces between the numbers.
75		Not used.
76	Attending Provider Name and Identifiers	Inpatient claim: The attending provider is the individual who has overall responsibility for the patient's medical care and treatment reported in this claim. Outpatient claim: Enter the referring or prescribing physician's NPI in the first box.
77	Operating Physician Name and Identifiers	Inpatient claim: The name and identification number (NPI) of the individual with the primary responsibility for performing the surgical procedure(s). Do not enter a group provider number. Outpatient claim: Enter the rendering physicians name and identification number (NPI) in the first box.
78-79	Other Provider (Individual) Names and Identifiers	The name and ID number of the individual corresponding to the Provider Type category indicated in this section of the claim. Inpatient claim: Enter the admitting physician's name and individual identification number (NPI).
80	Remarks Field	If additional information cannot be completely entered in this field, attach the additional information to the claim on single-sided 8½ by 11-inch white paper.
81	Code-Code Field	To report additional codes related to a field (overflow) or to report externally maintained codes approved by the NUBC for inclusion in the institutional data set.

## CLAIMS PROCESSING: DOCUMENT CONTROL NUMBER

All claims accepted by Anthem Blue Cross are assigned a unique **document control number** (DCN). The DCN identifies and tracks claims that are accepted by Anthem Blue Cross. This number contains the Julian date, which indicates the date the claim was received.

Document control numbers are composed of 11 digits:

- 2-digit plan year
- 3-digit Julian date
- 2-digit Anthem Blue Cross reel identification
- 4-digit sequential number

## CLAIMS PROCESSING: MCKESSON CLAIMSXTEN

For claims processing, Anthem Blue Cross uses claims editing software from McKesson called ClaimsXten. ClaimsXten incorporates McKesson editing rules that apply plan payment policies.

The rules determine whether a claim should be paid, rejected or require manual processing.

The editing rules evaluate **Current Procedural Terminology** (CPT) and **Healthcare Common Procedure Coding System** (HCPCS) codes on the *CMS-1500* form. A claim auditing action then determines how the procedure codes and code combinations will be adjudicated. The auditing action recognizes historical claims related to current submissions and may result in adjustments to previously processed claims. Providers can refer to **McKesson ClaimsXten™** rules by logging onto **Availity**, our secure provider web portal at:

- <https://www.availity.com>

ClaimsXten may be updated periodically. Anthem Blue Cross will notify providers with advance notice as per the *Provider Agreement*.

## NEWBORNS

Newborns of Medi-Cal members are covered under the mother using the mother's **Client Index Number** (CIN) for the month of birth and the following month or until such time as the California Department of Health Care Services issues a dedicated CIN for the newborn.

## CLAIMS CODING AND DOCUMENTATION

Claims submitted with incomplete or invalid information will be rejected or denied and will need to be resubmitted when applicable. Whether you submit a claim electronically or on paper, the claim may be rejected/returned back to the submitter if it contains incomplete or invalid information and or is not deemed a clean claim.

## NATIONAL DRUG CODE CODING

Medi-Cal billings for pharmaceuticals dispensed in both professional and institutional settings should include the following information:

- National Drug Codes (NDCs)
- Healthcare Common Procedure Coding System (HCPCS) code
- Unit of measurement
- Unit quantity



When billing for members enrolled in **Medi-Cal**, providers are required to include a **Universal Product Number (UPN)**, invoice submissions or for Enteral Medical Billing Number (MBN) for claims involving medical supplies.

## **INTERNATIONAL CLASSIFICATION OF DISEASES, 10TH REVISION DESCRIPTION**

As of October 1, 2015, ICD-10 became the code set for medical diagnoses and inpatient hospital procedures in compliance with HIPAA requirements and in accordance with the rule issued by the U.S. Department of Health and Human Services (HHS).

ICD-10 is a diagnostic and procedure coding system endorsed by the World Health Organization (WHO) in 1990. It replaces the International Classification of Diseases, 9th Revision (ICD-9), which was developed in the 1970s. Internationally, the codes are used to study health conditions and assess health management and clinical processes. In the United States, the codes are the foundation for documenting the diagnosis and associated services provided across healthcare settings.

Although we often use the term ICD-10 alone, there are actually two parts to ICD-10:

- Clinical modification (CM): ICD-10-CM is used for diagnosis coding
- Procedure coding system (PCS): ICD-10-PCS is used for inpatient hospital procedure coding; this is a variation from the WHO baseline and unique to the United States.

ICD-10-CM replaces the code sets ICD-9-CM, volumes one and two for diagnosis coding, and ICD-10-PCS replaces ICD-9-CM, volume three for inpatient hospital procedure coding.

## **NATIONAL PROVIDER IDENTIFIER**

The **National Provider Identifier (NPI)** is a 10-digit, all numeric identifiers. NPIs are only issued to providers of health services and supplies. As a provision of the **Health Insurance Portability and Accountability Act of 1996 (HIPAA)**, the NPI is intended to improve efficiency and reduce fraud and abuse.

NPIs are divided into two types:

- **Type 1:** individual providers, which includes but is not limited to physicians, dentists and chiropractors
- **Type 2:** hospitals and medical groups, which includes but is not limited to hospitals, residential treatment centers, laboratories and group practices

For billing purposes, claims must be filed with the appropriate NPI for billing, rendering and referring providers. Providers may apply for an NPI online at the link below.

National Plan and Provider Enumeration System (NPPES) website: <https://nppes.cms.hhs.gov/>

Or you can get a paper application by calling NPPES at: **800-465-3203**

## **CLINICAL SUBMISSION CATEGORIES**

The following is a list of claims categories for which we may routinely require submission of clinical information before or after payment of a claim:

- Claims involving precertification/prior authorization/pre-determination (or some other form of utilization review) including, but not limited to:
  - Claims pending for lack of precertification or prior authorization
  - Claims involving medical necessity or experimental/investigative determinations
  - Claims for Injectables requiring prior authorization
- Claims requiring certain modifiers including local code (HCPCS Level III Interim codes if required)
- Claims involving unlisted codes

- Claims for which we cannot determine from the face of the claim whether it involves a covered service; thus, benefit determination cannot be made without reviewing medical records including, but not limited to, pre-existing condition issues, emergency service-prudent layperson reviews and specific benefit exclusions
- Claims that we have reason to believe involve inappropriate (including fraudulent) billing
- Claims that are the subject of an audit (internal or external) including high-dollar claims
- Claims for individuals involved in case management or disease management
- Claims that have been appealed (or that are otherwise the subject of a dispute including claims being mediated, arbitrated or litigated)

Other situations in which clinical information might routinely be requested:

- Accreditation activities
- Coordination of benefits
- Credentialing
- Quality improvement/assurance efforts
- Recovery/subrogation

Examples provided in each category are for illustrative purposes only and are not meant to represent an exhaustive list within the category.

## **BILLING REQUIREMENTS FOR PROFESSIONAL, INSTITUTIONAL AND ANCILLARY CLAIMS**

HIPAA compliant code sets must be used.\*

HCPCS is an acronym for Healthcare Common Procedure Coding System. Standardized code sets are necessary for Medicare and other health insurance providers to provide healthcare claims that are managed consistently and in an orderly manner. HCPCS Level II coding system is one of several code sets used by healthcare professionals including medical coders and billers. The Level I HCPCS code set includes CPT codes. CPT is developed and owned by the American Medical Association (AMA).

CPT codes are the United States' standard for how medical professionals document and report medical, surgical, radiology, laboratory, anesthesiology, and evaluation and management (E/M) services. All healthcare providers, payers and facilities use CPT codes.

HIPAA compliant codes fall into three categories:

- Category I (CPT codes): These five-digit codes have descriptors which correspond to a procedure or service. Codes range from 00100-99499.
  - **Modifier and/or revenue codes:** Use modifier and revenue codes when appropriate with the corresponding HCPCS or CPT codes.
- Category II (HCPCS): These alphanumeric tracking codes are used for execution measurement.
- Category III (interim/temporary codes): These are provisional codes for new and developing technology, procedures, and services. The codes were created for data collection and assessment of new services and procedures.\*

\* In addition to the HIPAA-compliant codes, the California Department of Health Care Services (DHCS) created a separate set of codes and modifiers for its Medi-Cal program, sometimes called Category III — Interim (**local codes**). These codes and modifiers identify services and products specific to **Medi-Cal**.

**Mid-level practitioners:** Indicate the name and license number in Box 19 of the *CMS-1500* form; the supervising physician's license number should be entered in Box 24j. The following are defined as mid-level:

- Physician assistants

- Nurse practitioners
- Certified nurse midwives
- Licensed midwives

**Prior Authorization Number:** Indicate the prior authorization number in Box 23 of the *CMS-1500* form. There are certain exceptions to the prior authorization requirement. Professional and facility claims for emergency services are not denied due to lack of prior authorization. Emergency services are determined by diagnosis codes and/or services billed.

**Member ID Number:** Use the member's **Client Index Number** (CIN) when billing, whether submitting electronically or on paper. It is important to use the member's plan ID card number, **not** the number on the identification card issued by the state.

**On-Call Services:** Insert **On-Call** for PCP in Box 23 of the *CMS-1500* form when the rendering physician is not the PCP but is covering for or has received permission from the PCP to provide services that day.

**Note:** When a provider/facility's reimbursement is affected by a contract change during a course of treatment, the provider/facility is required to split the dates of service if you are a per-diem contracted provider/facility. This will allow your claim to be reimbursed at the appropriate rate.

## **REPORTING PROVIDER PREVENTABLE CONDITIONS ON PRESENT ON ADMISSION CLAIMS**

Medi-Cal providers are required to report provider-preventable conditions (PPCs) with POA claims. This reporting is required for claims for Medi-Cal payment or when treatment is given to a Medi-Cal member for which payment would be available.

Providers do not need to report PPCs that existed before the provider initiated treatment for the Medi-Cal member. The new federal regulations prevent Anthem Blue Cross from paying providers for the treatment of PPCs. To ensure compliance, DHCS will investigate all reports of PPCs to determine if payment adjustment is necessary.

**Please note:** Reporting PPCs for a Medi-Cal member does not prevent or exclude the reporting of adverse events to the California Department of Public Health pursuant to Health and Safety Code Section 1279.1.

### **Scope of POA and PPC Claims**

The following is a list of preventable conditions where payment is prohibited:

- Foreign object retained after surgery
- Air embolism
- Blood incompatibility
- Stage III and IV pressure ulcers
- Falls and trauma
  - Fractures
  - Dislocations
  - Intracranial injuries
  - Crushing injuries
  - Burns
  - Electric shock
- Manifestations of poor glycemic control
  - Diabetic ketoacidosis
  - Nonketotic hyperosmolar coma

- Hypoglycemic coma
- Secondary diabetes with ketoacidosis
- Secondary diabetes with hyperosmolarity
- Catheter-associated urinary tract infection (UTI)
- Vascular catheter-associated infection
- Surgical site infection following:
  - Coronary artery bypass graft (CABG) — mediastinitis
  - Bariatric surgery
- Laparoscopic gastric bypass
- Gastroenterostomy
- Laparoscopic gastric restrictive surgery
- Orthopedic procedures
  - Spine
  - Neck
  - Shoulder
  - Elbow
- Deep vein thrombosis (DVT)/pulmonary embolism (PE) (not included for Medicaid for pediatric and obstetric populations)
- Total knee replacement
- Hip replacement

Additionally, Anthem Blue Cross may not pay for the following events:

- Surgery on the wrong patient
- Wrong surgery on a patient
- Wrong site surgery

The below Table of Indicator Codes for PPC forms includes the codes to be used on the PPC form. Using the codes correctly ensures you are reimbursed as appropriate.

Indicator	Description	Reimbursable
<b>Y</b>	The condition was present on admission.	Yes
<b>N</b>	The condition was not present on admission.	No
<b>W</b>	The provider determined that it was not possible to document if the condition was present on admission.	Yes
<b>U</b>	The documentation was insufficient to determine if the condition was present on admission.	No

## CLAIM PROCESSING

### CLAIMS RETURNED FOR ADDITIONAL INFORMATION

Anthem Blue Cross may send you a request for additional or corrected information when the claim cannot be processed due to incomplete, missing or incorrect information. The request will indicate how long you have to return the information to Anthem Blue Cross.

### CAPITATED GROUP CLAIMS PROCESSING

When claims processing is a delegated activity, Anthem Blue Cross oversees the processing and dispute resolution to ensure that both are conducted in a timely manner and in accordance with state/federal regulations and contractual agreements.

Groups must have written procedures for claims processing available for review.

These procedures are outlined in your *Anthem Blue Cross State Sponsored Business Group Agreement*. These procedures and disclosures must comply with state/federal laws and regulations and our contractual standards and requirements. They must also be made available upon request by Anthem Blue Cross or a regulatory agency.

**Group claims processing systems** must identify and track all claims activities including claims disputes and resolutions, and be able to deliver monthly reports. Groups must be able to identify and acknowledge the receipt of each claim, whether or not complete, and disclose the recorded date of receipt in the same manner as the claim was submitted.

- If the claim was received electronically, the group must provide acknowledgement within two business days of receipt of the claim.
- If the claim was a paper claim, the group must provide acknowledgement within 15 business days of receipt of the claim.

Groups must pay a clean claim (or a portion thereof) or contest or deny a claim (or a portion thereof) within 45 business days of receipt of the claim (or within contractual time frames, which comply with the time frames set forth in this section). The group's request for additional information must be sent to the provider of service with a due date for the requested information.

- Payment of a clean claim or notification of a denial must be sent, accompanied by a remittance advice (RA), to the provider of service within 45 business days of the date a claim is received.
- The date of payment or notification of denial is the postmarked date of the payment.
- The provider and member must be notified if a claim is denied, adjusted or contested. The notification must include an understandable written explanation of the reasons for the denial, adjustment or contested elements.

Groups must have a dispute resolution mechanism in place that allows providers to file a dispute within 365 days of receipt of an RA. All disputes must be resolved within 45 business days of the group's receipt of the dispute or as required by applicable state/federal law. Check out IPA contract language.

If a group determines that a claim was overpaid, the group must notify the provider in writing of the overpayment.

- The written notice must identify the claim, the name of the member, the date of service and a clear explanation of the basis upon which the group believes the amount paid was in excess of the amount due including interest and penalties.
- Providers have 30 days from the receipt of the notice of the overpayment to contest or reimburse the overpayment.

The responsibility for claims payment as outlined above continues until all claims have been paid or denied for services rendered pursuant to your *Anthem Blue Cross State Sponsored Business Group Agreement*.

For questions related to delegation of claims processing activities, contact your group administrator.

## **ELECTRONIC REMITTANCE ADVICE**

Anthem Blue Cross offers secure electronic delivery of remittance advices, which explain claims in their final status. This service is offered through **Electronic Data Interchange (EDI)**. For more information, providers and vendors may call the EDI Solutions Helpdesk.

- Availability Client Services :**800-282-4548**

## ELECTRONIC FUNDS TRANSFER

### Electronic Funds Transfer (EFT)

Electronic claims payment through electronic funds transfer (EFT) is a secure and fastest way to receive payment reducing administrative processes. EFT deposit is assigned a trace number that is matched to the 835 Electronic Remittance Advice (ERA) for simple payment reconciliation.

Visit <https://www.anthem.com/ca/provider/edi> for EFT registration instructions.

## COORDINATION OF BENEFITS/THIRD-PARTY LIABILITY

Anthem Blue Cross may coordinate benefits with any other healthcare program that covers our members including Medicare. Indicate other coverage information on the appropriate claim form. If there is a need to coordinate benefits, include at least one of the following items from the other healthcare program when submitting a **Coordination of Benefits (COB)** claim:

- Third-party Remittance Advice (RA)
- Third-party provider Explanation of Benefits (EOB)
- Notice from third party explaining the denial of coverage or reimbursement

COB claims received without at least one of these items will be mailed back to you with a request to submit to the other healthcare program first. Please make sure that the information you submit **explains all coding** listed on the other carrier's RA or letter. We cannot process the claim without this specific information.

The filing limits for COB claims are as follows:

- 180 days: for hospitals, institutions and professional services providers
- 365 days: for ancillary service providers
- Claims follow-up resubmissions are subject to the 90-day resubmission filing limit

## CLAIMS STATUS

Claims status can be monitored by doing the following:

- Monitor claim status online via **Availity** at [www.availity.com](http://www.availity.com):
  - See **Chapter 2** for login instructions
- Monitor claim status through the **Customer Care Center's Interactive Voice Response (IVR)** at the contact numbers listed at the beginning of this chapter.

**Note:** The Interactive Voice Response (IVR) accepts either your National Provider Identifier (NPI) or your Federal Tax Identification Number (TIN) for provider ID. Should the system not accept those numbers, it will redirect your call to the Customer Care Center. For purposes of assisting you, we may ask again for your TIN.

## CLAIMS FOLLOW-UPS/RESUBMISSIONS

Providers can initiate a follow-up to determine claim status by going to the Availity Provider Portal From the **Claims and Payments** menu there are options to view the status of the claim and submit a dispute, view the status of submitted disputes, submit a corrected claim electronically or submit a medical record in support of a pending or denied claim.

When resubmitting a claim by paper, take the following steps:

1. Complete all required fields as originally submitted and mark the change(s) clearly.
2. Write or stamp "Corrected Claim" across the top of the form.
3. Attach a copy of the RA/EOB and state the reason for resubmission.
4. Attach all supporting documentation.
5. Send to:

Anthem Blue Cross  
P.O. Box 60007  
Los Angeles, CA 90060-0007

## **OVERPAYMENT AND RECOVERY**

Anthem Blue Cross seeks recovery of all excess claims payments from the person or entity to whom the benefit check is made payable. When an overpayment is discovered, Anthem Blue Cross initiates the overpayment recovery process by sending written notification.

If you are notified by Anthem Blue Cross of an overpayment or discover that you have been overpaid, mail the check along with a copy of the notification or other supporting documentation within 30 days to the following address:

Anthem Blue Cross  
Overpayment Recovery  
P.O. Box 73651  
Cleveland, OH 44193-1177

If Anthem Blue Cross does not hear from you or receive payment within 30 days, the overpayment amount is deducted from future claims payments. In cases where Anthem Blue Cross determines that recovery is not feasible, the overpayment is referred to a collection service.

## **ENCOUNTER DATA**

### **QUALITY MEASURES FOR ENCOUNTER DATA**

On January 1, 2015, the California Department of Health Care Services (DHCS) implemented a Quality Measures for Encounter Data (QMED) program for managed care organizations in the state. As a result, Anthem Blue Cross implemented new quality standards for encounter submissions and medical records. These standards relate to:

- Data completeness
- Data accuracy
- Data reasonability
- Data timeliness

**Note:** These new standards do not supersede the current claims timely filing requirements for fee-for-service claim submissions.

### **DATA COMPLETENESS AND DATA ACCURACY**

Under the QMED program, the DHCS Audits and Investigations Division (A&I) will draw a random sample from the encounters submitted and request the corresponding medical records from the provider to check for data completeness. In addition, DHCS will randomly select a second medical record for the same beneficiary (if applicable) to verify if a corresponding encounter is in the DHCS data warehouse. This subsequent medical record will also be audited for data completeness.

In an encounter data validation study commissioned by DHCS, key data elements were evaluated for data completeness. The study found a lack of correlation between the medical records and corresponding encounter records. Providers are required to submit complete and accurate data elements, and Anthem Blue Cross will begin auditing medical records to assess data completeness and accuracy.

## **DATA COMPLETENESS**

Encounter data is complete when it includes the following:

- Correct billing provider name
- Correct date of service
- Complete beneficiary information

## **DATA ACCURACY**

Accurate encounter data means:

- Correct rendering provider data
- Correct diagnosis codes(s)
- Correct procedure code(s)
- Correct procedure code modifier(s)
- Correct NDC match for J3490/J3590

## **DATA REASONABILITY**

Encounter data is reasonable when both the individual data and the data as a whole include valid dates and accurate information. To ensure the accuracy and acceptability of encounter data, providers must use valid national standard codes for procedure codes, revenue codes and diagnosis codes. Providers must ensure a valid National Provider Identifier (NPI) is used for billing provider, rendering provider, referring provider and prescribing provider.

## **DATA TIMELINESS**

The QMED program will also measure data timeliness, or the lag-time, in days, between the date of service (DOS) and the claims submission date. The DOS refers to the last date of service at the claim level or the first date of service if the last date of service is null. Providers are expected to submit encounter data no less than weekly to Anthem Blue Cross, whether a provider utilizes a clearinghouse or submits encounter data directly to Anthem Blue Cross. Do not delay, submit your encounter immediately following the service to ensure timely handling of the data.

Contracted providers are required to submit encounter data to Anthem Blue Cross according to the following guidelines:

- Group - Professional/Outpatient
- Encounters within 60 days – 65%
- Encounters within 90 days – 70%
- Facility – Inpatient/Outpatient
- Encounters within 60 days – 60%
- Encounters within 90 days – 65%

## **COMPLETENESS THRESHOLD**

The QMED program includes an Encounter Completeness threshold by county for each aid code (ACA optional expansion, Adult, Child, and SPD) as well as category of service (combined outpatient and ER, inpatient, pharmacy, and professional) for encounter submissions. These DHCS-required thresholds are based on data from annualized encounters per thousand members and are subject to change when DHCS revises each



respective threshold. Providers are required to submit encounters in accordance with the thresholds. Anthem will notify providers as the thresholds are updated by DHCS.

If you have any questions, please contact your regional health plan at:

- Fresno/Madera: **559-353-3500**
- Los Angeles: **866-465-2272**
- Sacramento/Bay Area: **916-589-3030**
- Tulare/Kings: **559-623-0480**

## 15 | GRIEVANCES, APPEALS, DISPUTES

Providers have the right to file a dispute with Anthem Blue Cross for denial, deferral or modification of a claim disposition or post-service request.

Providers also have the right to appeal on behalf of a member for denial, deferral or modification of a prior authorization or request for concurrent review. These appeals are treated as member appeals and follow the member appeals process.

**Note:** Anthem Blue Cross does not discriminate against providers or members for filing a grievance or an appeal. Providers are prohibited from penalizing a member in any way for filing a grievance.

**Provider grievances and appeals** are classified into the following two categories:

1. Grievances relating to the operation of the plan including benefit interpretation, claim processing and reimbursement
2. Provider appeals of claim determinations including medical reviews related to adverse benefit determinations

**Member grievances and appeals** can include but are not limited to the following:

- Access to healthcare services
- Care and treatment by a provider
- Issues having to do with how we conduct business

### CLAIM PAYMENT DISPUTE

If you are not satisfied with the outcome of a claim payment decision, you may begin the claim payment dispute process.

The claim payment dispute process consists of the following **linear** steps:

1. Reconsideration
2. Claim payment appeal

Please be aware there are three common, claim-related issues that are not considered claim payment disputes. To avoid confusion with claim payment disputes, we have defined them briefly here:

### CLAIM INQUIRIES

**Claim Inquiry:** A question about a claim or claim payment is called an inquiry. Inquiries do not result in changes to claim payments, but the outcome of the claim inquiry may result in the initiation of the claim payment dispute. In other words, once you get the answer to your claim inquiry, you may opt to begin the claim payment dispute process.

Our Provider Experience program helps you with claim inquiries. Just call:

- Medi-Cal Customer Care Center: **800-407-4627** (outside L.A. County)
- Medi-Cal Customer Care Center: **888-285-7801** (inside L.A. County)
- MRMIP Customer Care Center: **877-687-0549**

and select the *Claims* prompt within our voice portal. We connect you with a dedicated resource team, called the Customer Care Center, to ensure:

- Availability of helpful, knowledgeable representatives to assist you.
- Increased first-contact, issue resolution rates.
- Significantly improved turnaround time of inquiry resolution.
- Increased outreach communication to keep you informed of your inquiry status.

The CCC is available to assist you in determining the appropriate process to follow for resolving your claim issue.

**Claim Correspondence:** Different from a payment dispute. Correspondence is when Anthem Blue Cross requires more information to finalize a claim. Typically, Anthem makes the request for this information through the *EOP*. The claim or part of the claim may, in fact, be denied, but it is only because more information is required to process the claim. Once the information is received, Anthem will use it to finalize the claim.

**Medical Necessity Appeals:** Medical necessity appeals refer to a situation in which an authorization for a service was denied prior to the service. Medical necessity appeals/prior authorization appeals are different than claim payment disputes and should be submitted in accordance with the medical necessity appeal process.

A claim payment dispute may be submitted for multiple reason(s), including:

- Contractual payment issues.
- Disagreements over reduced or zero-paid claims.
- Post-service authorization issues.
- Other health insurance denial issues.
- Claim code editing issues.
- Duplicate claim issues.
- Retro-eligibility issues.
- Experimental/investigational procedure issues.
- Claim data issues.
- Timely filing issues.\*

\* We will consider reimbursement of a claim that has been denied due to failure to meet timely filing if you can: 1) provide documentation the claim was submitted within the timely filing requirements or 2) demonstrate good cause exists.

## **CLAIM PAYMENT RECONSIDERATIONS**

Anthem Blue Cross encourages you to submit a claim reconsideration if you believe a claim was not processed correctly. Please submit your request for claim reconsideration in writing and include all pertinent information that will help us understand the issue. **We must receive your request for reconsideration within 12 months of the last action on a claim.**

Upon receipt of your reconsideration request, an acknowledgement letter will be sent to you within 15 business days of our receipt. We will conduct an internal review that includes a thorough investigation of the claim payment by a trained analyst utilizing all applicable statutory, regulatory, contractual and provider subcontract provisions, Anthem Blue Cross policies and procedures, and all pertinent facts submitted from all parties.

The results will then be communicated to you in a determination letter within 45 business days of the receipt of the reconsideration. If the outcome of the reconsideration requires an adjustment to a claim payment, the adjustment will take place within 15 business days of the reconsideration decision.

## **CLAIM PAYMENT APPEAL**

If you are unsatisfied with the outcome of the reconsideration, you may submit a claim payment appeal within **60 calendar days** of the reconsideration outcome. Please submit your claim payment appeal in writing and please include as much information as is pertinent to help us better understand why you are appealing the decision.

A provider has **30 calendar days** to resubmit the appeal when missing information is requested.

**Note:** Some providers may have additional time to submit an appeal based upon their contract with Anthem Blue Cross.

Upon receipt of your claim payment appeal, an acknowledgement letter will be sent to you within 15 business days of our receipt. We will conduct an internal review that includes a thorough investigation of the appeal by a trained claims appeal analyst utilizing all applicable statutory, regulatory, contractual and provider subcontract provisions, Anthem Blue Cross policies and procedures, and all pertinent facts submitted from all parties.

The results will then be communicated to you in a determination letter within 45 business days of the receipt of the claim payment appeal. If the outcome of the claim payment appeal requires an adjustment to a claim payment, the adjustment will take place within 15 business days of the reconsideration decision.

## **SUBMISSION OF DISPUTES**

You may submit Reconsiderations and Claim Payment Appeals electronically through the Availity Payment Appeal Tool at <https://www.availity.com>.

Through Availity, you can upload supporting documentation and will receive immediate acknowledgement of your submission.

You may use the *Provider Resolution Request Form* on our website at <https://providers.anthem.com/CA> to submit a reconsideration or claim payment appeal.

Please submit requests for reconsideration or claim payment appeals to:

Claims Payment Reconsideration Department  
Anthem Blue Cross  
P.O. Box 60007  
Los Angeles, CA 90060-0007

## **REQUIRED DOCUMENTATION FOR CLAIMS PAYMENT DISPUTES**

Anthem Blue Cross requires the following information when submitting a claim payment dispute (reconsideration or claim payment appeal):

- Your name, address, phone number, email, and either your NPI or TIN
- The member's name and his or her Anthem or Medicaid ID number
- A listing of disputed claims, which should include the Anthem claim number and the date(s) of service(s)
- All supporting statements and documentation

## **PROVIDER GRIEVANCES**

A provider may be dissatisfied or concerned about another provider, a member or an operational issue including claims processing and reimbursement. Provider grievances may be submitted orally or in writing and include the following:

- Provider's name
- Date of the incident
- Description of the incident

Provider grievances may be filed up to 180 calendar days from the date the provider became aware of the issue.

If a provider or member has a grievance, Anthem Blue Cross would like to hear from them either by phone or in writing. Grievances may be filed by calling the Customer Care Center or in writing and submitted to the

Grievance and Appeal department. Providers may file a written grievance by using the *Physician/Provider Grievance Form* located on our website at <https://providers.anthem.com/CA>

To mail the form, use the following address:

Grievance and Appeal Department  
Anthem Blue Cross  
P.O. Box 60007  
Los Angeles, CA 90060-0007

Providers can also fax the form to **866-387-2968**

Anthem Blue Cross will send a written acknowledgement to the provider within **five calendar days** of receiving a grievance. We may request medical records or an explanation of the issues raised in the grievance in the following ways:

- By telephone
- By fax with a signed and dated letter
- By mail with a signed and dated letter

The timeline for responding to the request for more information is as follows:

- **Standard grievances:** Providers must comply with the request for additional information within **10 calendar days** of the date that appears on the request.
- Providers are notified in writing of the resolution including their right of appeal if any. According to state law, we may not be able to disclose the final disposition of certain grievances due to peer review confidentiality laws.
- Anthem Blue Cross sends a written resolution letter to the provider within **30 calendar days** of the receipt of the grievance.
- Grievances are tracked and trended, resolved within established time frames, and referred to peer review when necessary. The Anthem Blue Cross grievance and appeal process meets all requirements of state law and accreditation agencies.

**Note:** Anthem Blue Cross offers an expedited grievance and appeal process to members for decisions involving urgently needed care. Whether standard or expedited, grievances and appeals are reviewed by a person who is not subordinate to the initial decision-maker.

## ARBITRATION

If the provider is not satisfied with the outcome of a review conducted through the provider appeal process, there are additional steps that can be taken through arbitration in accordance with the *Anthem Blue Cross State Sponsored Business Provider Agreement*.

For more information, please call the appropriate Customer Care Center at the contact numbers listed in **Chapter 2** of this manual.

## MEMBER APPEALS AND GRIEVANCES

We encourage Anthem Blue Cross members to seek resolution of issues through our grievance and appeal process. The issues may involve dissatisfaction or concern about a contracted provider or the plan.

**Note:** Anthem Blue Cross does not discriminate against members for filing a grievance or an appeal. Providers are prohibited from penalizing a member in any way for filing a grievance.

To help ensure that our members' rights are protected, all Anthem Blue Cross members are entitled to a grievance and appeal process.

Members may request a grievance or an adverse benefit determination appeal by calling our Customer Care Center at:

- Medi-Cal Customer Care Center: **800-407-4627** (outside L.A. County)
- Medi-Cal Customer Care Center: **888-285-7801** (inside L.A. County)
- MRMIP Customer Care Center: **877-687-0549**

If a member wants to file a grievance, the process is to call the Customer Care Center, write a letter to the Grievance and Appeal department, or fill out a *Member Grievance Form* and mail it to us, telling us about the problem.

Grievance forms are available at the places where members receive their healthcare, such as their PCP's office, as well as on our website at <https://mss.anthem.com/california-medicaid/benefits/member-materials.html>:

1. Select Other Resources (drop-down menu in the bottom right of the page).
2. Select the language of the Member Grievances Form.

The grievance form should be mailed to:

Grievance and Appeal Department  
Anthem Blue Cross  
P.O. Box 60007  
Los Angeles, CA 90060-0007

A person does not need to be a member to file a grievance or appeal. Other representatives may include the following:

- Relative
- Guardian
- Conservator
- Attorney
- Member's provider

The grievance submission must include the following information:

- Who is part of the grievance
- What happened
- When it happened
- Where it happened
- Why the member was not happy with the healthcare services
- Attach documents that will help us investigate the problem

If the member cannot mail the form or letter, we will assist the member by documenting a verbal request.

**Note:** If the member's grievance is related to an **adverse benefit determination** already taken, it is considered an **appeal**.

Adverse benefit determinations may include the following:

- Denial or limited authorization of a requested service including the type or level of service
- The reduction, suspension, or termination of a previously authorized service
- The denial, in whole or in part, of payment for service
- Failure to provide services in a timely manner as defined by the state
- Failure of Anthem Blue Cross to act within required time frames

## Filing Timelines for the Member Grievance and Appeal Process:

<b>Member grievance</b>	Anytime
<b>Member appeal: Medi-Cal</b>	60 calendar days after the date of the letter notifying the member of a denial, deferral or modification of a request for services
<b>Member appeal: MRMIP</b>	180 calendar days after the date on the letter notifying the member of a denial, deferral, or modification of a request for services

**Note:** Anthem Blue Cross will resolve any grievance or appeal, internal or external, at no cost to the member. Interpreter services and translation of materials into non-English languages and alternative formats are available to support members with the grievance and appeal process at no cost to the member.

### MEMBER GRIEVANCES AND APPEALS: ACKNOWLEDGEMENT

After we receive a member's request, we will send an acknowledgment letter within **five calendar days** from the date we receive it.

If we receive a request for an **expedited** grievance or appeal, the Medical Director will review the request to determine if the request involves an imminent and/or serious threat to the health of the member including, but not limited to, severe pain and potential loss of life, limb, or major bodily function. This determination is made within **one working day** of the receipt of the expedited request.

When the Medical Director determines that a case meets the criteria to be handled as an expedited or standard request, attempts to notify the member of the decision are made by telephone. In addition, an acknowledgment letter is sent to the member indicating the decision to handle as expedited or standard.

If a request is determined to be appropriate for expedited handling, the acknowledgment letter includes the member's right to immediately notify the **Department of Managed Health Care (DMHC)** of the expedited appeal and informs the member of the time available for providing information and that limited time is available for expedited appeals.

### MEMBER GRIEVANCES AND APPEALS: RESOLUTION

Anthem Blue Cross may request additional information from the involved providers by phone, mail, or fax. The requests may include a request for additional medical records or an explanation from the provider(s) involved in the case. Providers are expected to comply with requests for additional information within 10 calendar days for standard grievances and appeals and within 24 hours for an expedited grievance or appeal.

The member will receive a *Grievance Resolution Letter* within 30 calendar days of the date we received the grievance.

Standard appeals are resolved within **30 calendar days** from the date of receipt of the request. Members are notified in writing of the appeal resolution including their right to further appeal if any. The request for an appeal may be done orally but must be followed up with a written request.

Anthem Blue Cross resolves expedited appeals as quickly as possible and within **72 hours**. The member is notified by telephone of the resolution, if possible, and is also sent a written resolution letter within **72 hours** from receipt of the appeal request.

### OTHER OPTIONS FOR FILING GRIEVANCES

Members may submit a request to the following entities:

- Los Angeles County members only: L.A. Care Health Plan
- Medi-Cal Managed Care Office of the Ombudsman at the California Department of Health Care Services

## INDEPENDENT MEDICAL REVIEW

After exhausting The Anthem Blue Cross grievance and appeal process, if a member is still dissatisfied with a decision, the member has the right to request an **independent medical review (IMR)** from the following entities:

- **California Department of Managed Health Care:** Members may request an IMR if eligible for an expedited review or an urgent grievance or appeal.

**Note:** If the member has requested a state fair hearing, he or she cannot also request an IMR.

## MEDI-CAL MEMBER APPEALS: STATE FAIR HEARING

The state fair hearing process is applicable to Medi-Cal enrollees only. Anthem Blue Cross members enrolled MRMIP **may not** request a state fair hearing. However, they **may** request an IMR.

Medi-Cal members may request a state fair hearing with the California Department of Social Services (CDSS) after exhausting The Anthem Blue Cross appeal processes, or if Anthem Blue Cross fails to resolve an appeal request within the required time frames.

The state fair hearing must be filed within 120 days from the date of the Notice of Appeal Resolution. The request may be submitted by writing to the state of California at:

Department of Social Services  
State Hearing Division  
P.O. Box 944243, MS19-37  
Sacramento, CA 94244-2430

By calling the department toll free at **800-952-5253**.

Or submitting an online request

Request a Hearing Online

**Note:** An IMR with the Department of Managed Health Care (DMHC) may not be requested if a state fair hearing has already been requested for a Notice of Adverse Benefit Determination.

Once the state receives the member's request, the process is as follows:

- The state sends a notice of the hearing request to Anthem Blue Cross.
- Upon receipt of the request, all documents related to the request and are forwarded to the state.
- The state notifies all parties of the date, time, and place of the hearing. Representatives from our administrative, medical, and legal departments may attend the hearing to present testimony and arguments. Our representatives may cross-examine the witnesses and offer rebutting evidence.
- An **administrative law judge** renders a decision in the hearing within 90 business days of the date the hearing request was made.
- If the judge overturns The Anthem Blue Cross position, we must adhere to the judge's decision and ensure that it is carried out.

## MEMBER GRIEVANCES AND APPEALS: DISCRIMINATION

Members who contact us with an allegation of discrimination are immediately informed of the right to file a grievance. This also occurs when one of our representatives working with a member identifies a potential act of discrimination. The member is advised to submit an oral or written account of the incident and is assisted in doing so if he or she requests assistance.



We document, track, and trend all alleged acts of discrimination. A Grievance and Appeal associate will review and trend cultural and linguistic grievances in collaboration with a cultural and linguistic specialist.

### **MEDI-CAL MEMBER APPEALS: CONTINUATION OF BENEFITS FOR ANTHEM BLUE CROSS MEMBERS DURING AN APPEAL**

Medi-Cal members may continue benefits while their appeal or state fair hearing is pending in accordance with federal regulations when all the following criteria are met:

- Member or his provider on the member's behalf must request the **appeal** within **10 days** of our mail date of the adverse action notification or prior to the effective date on the written notice of the adverse action.
- The **appeal** involves the termination, suspension, or reduction of a previously authorized course of treatment.
- Services were ordered by an authorized provider.
- The original period covered by the initial authorization has not expired, and member requests extension of benefits.

### PROVIDER'S ROLE IN COMPLIANCE, ETHICS, PRIVACY, AND HOTLINE REPORTING

#### STANDARDS OF ETHICAL BUSINESS CONDUCT

The Anthem Blue Cross values drive our ethics program, and we expect our providers to embody these same values as you interact with our members.

The Anthem Blue Cross core values are:

- Leadership – Redefine what's possible.
- Community – Committed, connected, invested.
- Integrity – Do the right thing, with a spirit of excellence.
- Agility – Deliver today – transform tomorrow.
- Diversity – Open your hearts and minds.
  - You may call the Anthem Blue Cross Ethics and Compliance Help Line to report potential misconduct at **877-725-2702**
  - Anthem code of conduct is located at:  
[https://s201.q4cdn.com/332696633/files/doc\\_governance/Elevance-Health-July-2022-Code-of-Conduct-final.pdf](https://s201.q4cdn.com/332696633/files/doc_governance/Elevance-Health-July-2022-Code-of-Conduct-final.pdf)

#### ANTHEM BLUE CROSS COMPLIANCE PROGRAM

Compliant operations help our members receive the care they need. We have robust processes and oversight of our operations, and we expect the same from our providers.

Anthem Blue Cross follows the 7 Elements of an effective compliance program. One of those elements is auditing and monitoring. We routinely monitor a variety of processes including grievances and appeals to understand providers' performance.

We also use a risk assessment approach to determine the actions of our compliance associates. If our risk assessment or monitoring indicates potential noncompliance, we will conduct an investigation.

When there is a compliance violation, we require a corrective action plan with management actions that mitigate risks and prevent further occurrence. As part of any investigation, we require that providers cooperate with the investigation and provide access to pertinent member records.

The Department of Health and Human Services, Office of Inspector General (OIG) has published a notice to assist physician practices in developing a voluntary compliance program:

- OIG Compliance Program for Individual and Small Group Physician Practices:  
<https://oig.hhs.gov/authorities/docs/physician.pdf>

#### SCREENING AND MONITORING EXCLUDED PARTIES

In our role as a government healthcare program contractor, Anthem Blue Cross may not employ or contract with individuals or companies that are barred from taking part in such programs or receiving funds from such programs.

To meet this obligation, Anthem Blue Cross screens our providers against exclusion lists kept by the OIG, General Services Administration (GSA) and the Department of Health Care Services (DHCS) Suspended and Ineligible Provider List. We expect our providers to also screen and monitor their staff on a regular, periodic basis. Providers should notify Anthem within 10 working days of removing a suspended, excluded, or terminated provider from its Provider Network.

## MARKETING RULES

The delivery of quality healthcare poses numerous challenges, not least of which is the commitment shared by Anthem Blue Cross and its providers to protect our members.

Anthem Blue Cross wants its members to make the best healthcare decisions possible for themselves and their families. And when they ask for our assistance, we want to help them make those decisions without undue influence.

Anthem Blue Cross follows strict enrollment and marketing guidelines created by the California DHCS.

## ENROLLMENT POLICIES

Anthem Blue Cross providers may not market directly to individuals or families.

An example of direct marketing that is not allowed is mailing to individual patients any Anthem Blue Cross or other health plan material in which they are told to join Anthem Blue Cross or another plan.

All information that prospective members receive about our healthcare plan comes from the state or from marketing activities approved by the California DHCS. The state must approve any marketing materials we create.

Providers may distribute information about our healthcare plan after receiving a specific member request for more information on our benefits and services.

**Note:** As a network provider, you may not provide prospective members with an *Enrollment Form*; you may only assist Anthem Blue Cross members (who are patients) in completing the *Enrollment Form*.

## MARKETING POLICIES

Anthem Blue Cross providers are prohibited from making marketing presentations and advising or recommending to an eligible individual that he or she select membership in a particular plan.

DHCS marketing practice policies prohibit network providers from making the following false or misleading claims:

- That the PCP's office staff are employees or representatives of the state, county, or federal government
- That Anthem Blue Cross is recommended or endorsed by any state or county agency or any other organization
- That the state or county recommends that a prospective member enroll with a specific healthcare plan
- That a prospective member or medical recipient will lose benefits under the Medi-Cal program or other welfare benefits if the prospective member does not enroll with a specific healthcare plan

These policies also prohibit network providers from taking the following actions:

- Making marketing presentations or allowing Anthem Blue Cross representatives to make marketing presentations to prospective members.
- Offering or giving away any form of compensation, reward, or loan to a prospective member to induce or procure member enrollment in a specific healthcare plan.
- Engaging in direct marketing to members that is designed to increase enrollment in a particular healthcare plan. The prohibition should not constrain providers from engaging in permissible marketing activities consistent with broad outreach objectives and application assistance.
- Using any list of members obtained originally for enrollment purposes from confidential state or county data sources or from the data sources of other contractors.

- Employing marketing practices that discriminate against potential members based on marital status, age, religion, sex, gender identity, national origin, language, sexual orientation, ancestry, pre-existing psychiatric or medical conditions (such as pregnancy, disability or acquired immune deficiency syndrome), other than those specifically excluded from coverage under our contract.
- Reproducing or signing an enrollment application for the member.
- Displaying materials only from the provider's contracted managed healthcare organizations and excluding others.
- Engaging in any marketing activity on behalf of Anthem Blue Cross on state or county premises or at event locations such as health fairs and festivals, athletic events, recreational activities, and plan-sponsored events.

Providers are permitted to:

- Distribute copies of applications to potential members.
- Assist members in finding out what programs they qualify for and then direct them to call appropriate resources for more information.
- File a complaint with Anthem Blue Cross if a provider or member objects to any form of marketing, either by other providers or by Anthem Blue Cross representatives. (Please refer to the **Grievances and Appeals** chapter of this manual for more information)

**Note:** Providers are required to obtain approvals prior to using patient-focused and Anthem Blue Cross-branded marketing materials created by your office. Before distributing materials to your Medi-Cal patients, submit your materials to Anthem Blue Cross through your local Community Relations Representative. We will review and seek approval from the following agencies as appropriate:

- L.A. Care Health Plan (L.A. County)
- Department of Health Care Services (DHCS)
- Department of Managed Health Care (DMHC)
- Managed Risk Medical Insurance Board (MRMIB)
- Other stakeholders as required

## HIPAA PRIVACY, PHI, SECURITY

The Anthem Health Insurance Portability and Accountability Act of 1996 (HIPAA) **Web Privacy Statement** and additional information about privacy and security policies and procedures can be found on the *Provider Resources* page of our website at:

- [https://www.anthem.com/wps/portal/abc/ath/bnfooter?content\\_path=shared/noapplication/f0/s0/t0/pw\\_a103877.htm&label=Privacy%20Statement](https://www.anthem.com/wps/portal/abc/ath/bnfooter?content_path=shared/noapplication/f0/s0/t0/pw_a103877.htm&label=Privacy%20Statement)

Anthem Blue Cross uses a **secure email** encryption tool to ensure that member's **protected health information** (PHI) is kept private and secure and to help prevent identity theft. Secure email encrypts emails and attachments that it identifies as potentially having PHI. Providers can also use secure email to send encrypted email to Anthem Blue Cross when they respond to an Anthem Blue Cross-encrypted email.

Anthem Blue Cross expects that member PHI and PII is assiduously guarded and under strict security in your offices. Security for hard copy records and files must adhere to stringent confidentiality standards that meet or exceed the HIPAA regulations and California statutes related to information security. As well, unauthorized parties must not be allowed to view Anthem members' PHI or PII.

When you travel from the Anthem Blue Cross website to another website, whether through links provided by Anthem Blue Cross or otherwise, you will be subject to the privacy policies (or lack thereof) of the other sites. We caution you to determine the privacy policy of such sites before providing any personal information.

## MISROUTED PROTECTED HEALTH INFORMATION

Providers and facilities are required to review all member information received from Anthem Blue Cross to ensure no misrouted PHI is included. Misrouted PHI includes information about members that a provider or facility is not treating. PHI can be misrouted to providers and facilities by mail, fax, email, or electronic remittance advice.

Providers and facilities are required to destroy immediately any misrouted PHI or safeguard the PHI for as long as it is retained. In no event are providers or facilities permitted to misuse or redisclose misrouted PHI.

If providers or facilities cannot destroy or safeguard misrouted PHI, please contact the appropriate Customer Care Center located in **Chapter 2: Quick Reference**.

## FRAUD, WASTE, AND ABUSE

- Anthem Blue Cross Fraud, Waste, and Abuse Hotline: **888-231-5044**

## UNDERSTANDING FRAUD, WASTE, AND ABUSE

We are committed to protecting the integrity of our healthcare program and the efficiency of our operations by preventing, detecting, and investigating fraud, waste, and abuse.

Combating fraud, waste, and abuse begins with knowledge and awareness.

- **Fraud:** Any type of intentional deception or misrepresentation made with the knowledge that the deception could result in some unauthorized benefit to the person committing it or any other person. The attempt itself is fraud regardless of whether or not it is successful.
- **Waste:** Generally defined as activities involving careless, poor, or inefficient billing, or treatment methods causing unnecessary expenses and/or mismanagement of resources.
- **Abuse:** Any practice inconsistent with sound fiscal, business, or medical practices that results in an unnecessary cost to the Medicaid program including administrative costs from acts that adversely affect providers or members.

It should be noted that under federal law (in other words, the False Claims Act (FCA), Title 31 U.S.C. 3729 et seq.), anyone who knowingly submits or causes another person or entity to submit false claims for payment of government funds is liable for up to three times the damages or loss to the government plus civil penalties of \$5,500 to \$11,000 per false claim.

## EXAMPLES OF PROVIDER FRAUD, WASTE, AND ABUSE

- Altering medical records to misrepresent actual services provided
- Billing for services not provided
- Billing for medically unnecessary tests or procedures
- Billing professional services performed by untrained or unqualified personnel
- Misrepresentation of diagnosis or services
- Soliciting, offering, or receiving kickbacks or bribes
- Unbundling: when multiple procedure codes are billed individually for a group of procedures which should be covered by a single comprehensive procedure code
- Upcoding: when a provider bills a health insurance payer using a procedure code for a more expensive service than was actually performed

## EXAMPLES OF MEMBER FRAUD, WASTE, AND ABUSE

The following are examples of member fraud, waste, and abuse:

- Forging, altering, or selling prescriptions

- Letting someone else use the member's Medi-Cal identification card
- Obtaining controlled substances from multiple providers
- Relocating to out-of-service plan area
- Using someone else's Medi-Cal identification card
- Violating the pain management contract

**Pain management contract:** A written agreement between a provider and member that the member will not misrepresent his or her need for medication. If the contract is violated, the provider has the right to drop the member from his or her practice.

## **REPORTING PROVIDER OR RECIPIENT FRAUD, WASTE, AND ABUSE**

If you suspect a provider (for example, provider group, hospital, doctor, dentist, counselor, medical supply company, etc.) or an Anthem Blue Cross member has committed fraud, waste, or abuse, **you have the right to report it.**

The name of the person reporting the incident and his or her callback number will be kept in confidence by investigators to the extent possible by law.

When reporting possible fraud, waste, or abuse involving a **provider** (a doctor, dentist, counselor, medical supply company, etc.), include:

- Name, address, and phone number of provider
- Name and address of the facility (hospital, nursing home, home health agency, etc.)
- Medicaid number of the provider and facility if you have it
- Type of provider (doctor, dentist, therapist, pharmacist, etc.)
- Names and phone numbers of other witnesses who can help in the investigation
- Dates of events
- Summary of what happened

When reporting possible fraud, waste or abuse involving a **member** include:

- The member's name
- The member's date of birth, Social Security number or case number if you have it
- The city where the member resides
- Specific details describing the fraud, waste, or abuse

## **ANONYMOUS REPORTING OF SUSPECTED FRAUD, WASTE, AND ABUSE**

Any incident of fraud, waste or abuse may be reported to us anonymously; however, our ability to fully investigate an anonymously reported matter may be handicapped. As a result, we encourage you to provide as much detailed information as possible.

## **INVESTIGATION PROCESS**

We investigate all reports of fraud, waste, and abuse. Allegations and the investigative findings are reported to the California Department of Health Care Services (DHCS), regulatory and law enforcement agencies. In addition to reporting, we take corrective action such as:

- **Written warning and/or education:** We send certified letters to the provider or member that document the issues and the need for improvement or changes in billing activities or services rendered. Letters may include information of an educational nature, requests for recoveries or advisories denoting further action.
- **Medical record review:** We may review medical records to substantiate or refute allegations or validate claims submissions.

- **Special claims review:** A special claims review process places payment or electronic system edits on file to prevent automatic claim payment; this requires a certified medical reviewer evaluation.
- **Prepayment review:** Through a variety of means, certain providers or certain claims submitted by providers may come to The Anthem Blue Cross attention for behavior that might be identified as unusual or for coding or billing or claims activity which indicates the provider is an outlier with respect to his/her/its peers. For example, Anthem Blue Cross uses computer software tools designed to identify providers whose billing practices indicate conduct that is unusual or outside the norm of the provider's peers. Once a claim or a provider is identified as an outlier, further investigation is conducted by the SIU to determine the reason(s) for the outlier status or any appropriate explanation for an unusual claim, coding, or billing practice. If the investigation results in a determination that the provider's actions may involve fraud, waste or abuse, the provider is notified and given an opportunity to respond.
- **Recoveries:** We recover overpayments directly from the provider. Failure of the provider to return the supported overpayment may be reflected in reduced payment of future claims, or other administrative steps, to include possible termination from our network for participating providers, or further legal action.

## FALSE CLAIMS ACT, FALSE STATEMENTS ACT, STARK LAW

We are committed to complying with all applicable federal and state laws including the federal FCA.

The FCA is a federal law that allows the government to recover money stolen through fraud by government contractors. Under the FCA, anyone who knowingly submits or causes another person or entity to submit false claims for payment of government funds is liable for three times the damages or loss to the government plus civil penalties of \$5,500 to \$11,000 per false claim.

The FCA also contains **qui tam** or whistleblower provisions. A whistleblower is an individual who reports in good faith an act of fraud or waste to the government or files a lawsuit on behalf of the government. Whistleblowers are protected from retaliation from their employer under qui tam provisions in the FCA and may be entitled to a percentage of the funds recovered by the government.

The False Statements Accountability Act prohibits anyone from making false statements or representations (written or oral) or withholding material information relating to a government contract and other matters under the jurisdiction of the federal government.

The Stark Law is an anti-referral statute that is directed specifically at physicians and prohibits them from making referrals for designated health services to an entity with which they or an immediate family member have a financial relationship. The definitions of *referral*, *designated health service*, *entity* and *financial relationship* are all quite broad.

All providers are advised to seek their own counsel to ensure no referral is made in violation of the Stark Law.

## DELEGATION OVERSIGHT

The Anthem Blue Cross Delegation program's intent is to assure quality of care and service from contracted entities with delegated functions prior to delegation of any function and to assure compliance with all the federal, state, accreditation, and organizational requirements (CMS, DMHC, NCQA, related to the delegated function).

The Delegation Program describes the plan's process for performing an objective and systematic review of the delegated functions in a consistent manner for all contracted networks or entities with a delegated function(s). Anthem Blue Cross may delegate to a qualified provider group/entity the authority to perform selected medical management and administrative functions on its behalf. The qualified contracted provider group/entity is

expected to perform such functions in a manner that is consistent with all Anthem Blue Cross standards, state and federal laws, rules, regulations, and accreditation organization standards. Policies must include established UM/CR denial system controls policies and processes to protect and monitor data from unauthorized modification.

The qualified contracted provider group/entity is expected to comply with all requirements of network adequacy standards established in DHCS' All Plan Letter for Network Certification Requirements (APL 21-006, including Attachment A: Network Adequacy Standards) & APL 21-003 Provider Terminations. DHCS established requirements to evaluate the ability to provide medically necessary services needed for anticipated membership and utilization. The geographic requirements are provided for distance and time from a member's residence to a contracted provider for primary care, specialty care, obstetrics/gynecology primary care, obstetrics/gynecology specialty care, hospitals, pharmacy, and mental health (non-psychiatry) outpatient services.

Additionally, the qualified contracted provider group/entity is expected to meet or exceed the required full time equivalent (FTE) provider-to-member ratio for PCPs of one primary care provider to every 2,000 members and a total network physician ratio of one FTE physician to every 1,200 members.

Anthem Blue Cross shall be responsible and liable for all administrative and operational functions of the plan described in The Anthem Blue Cross contract with the DHCS. The delegated group/entity is additionally expected and required to comply with all the requirements of the plan's DHCS contract.

At all times, the plan retains the accountability and overall responsibility as well as the right to monitor and rescind the delegation function.

Compliance & Oversight Anthem will perform or require the following as part of delegated medical group oversight:

- Pre-contractual audit before delegating medical management and or credentialing functions, including providing a description of their UM/CR systems controls to protect and monitor data from unauthorized modifications.
- An Initial audit (Post-Go-Live audit) within 90 - 120 calendar days after the contract effective date to measure compliance with Anthem's standards.
- An annual audit of the delegated medical group to help ensure continued compliance with accreditation, state and federal regulations.
- A focused or off-cycle audit based on specific activity
- Sanctions or revocation of delegated UM duties for continued non-compliance with Anthem standards.
- Delegate development and implementation of corrective action plan when the medical group is non-compliant with Anthem standards.
- Generate required reports as outlined in the delegation agreement that meet applicable regulatory requirements and accreditation standards.

## **PROVIDER GROUP FINANCIAL OVERSIGHT**

In accordance with The Anthem Blue Cross HMO Finance Policies and Procedures, the Medical Services Agreement and the California Solvency Regulations, capitated provider organizations are required to submit to Anthem Blue Cross their quarterly and annual audited financial statements pursuant to GAAP within the same time frame as mandated under Sec 1300.75.4 of Title 28 of the California Code of Regulations.

Anthem Blue Cross reviews financial data trends using The Anthem Blue Cross financial viability standards noting any particular material changes in financial condition and unusual balances. Also, Anthem Blue Cross



requires that soft copies of the provider organization's DMHC formatted quarterly, and annual financial survey reports must also be provided to Anthem Blue Cross.

The depth of the analysis is based on the level of financial risk of the provider organization as determined pursuant to The Anthem Blue Cross Financial Oversight Policies and Procedures submitted and acknowledged by DMHC. In the event the provider organization does not meet any of the solvency regulations and The Anthem Blue Cross financial viability standards, the provider organization shall within 30 days upon request by Anthem Blue Cross provide a Standby Letter of Credit (SL/C) as a security reserve in an amount acceptable to Anthem Blue Cross to mitigate risk.

The Anthem Blue Cross minimum financial viability standards include the following:

- Cash ratio of at least 90% (cash and/or equivalents plus marketable securities divided by total current liabilities)
- Total stockholders' tangible net equity must be equal to or greater than 2% of Provider Group's annualized revenues or 8% of annualized non-capitated medical expenditures, whichever is greater
- DMHC requires tangible net equity be 1% of annualized revenues, or 4% of annualized non-capitated medical expenditures, whichever is greater.
- Maintain a working capital ratio of at least 1.5:1
- Maintain a debt-to-equity ratio of not more than 200%
- Provision for incurred but not reported (IBNR) claims liability of at least two months of average annual claims expenses or based on the actuarial estimate approved per California regulation
- Days cash on hand (DCOH) must at least be 60 days

Anthem Blue Cross reserves the right to amend the financial viability standards as indicated above. Hence, upon its discretion Anthem Blue Cross shall add to, delete from, and otherwise modify any part of the P&P at any time.

## **HOSPITAL FINANCIAL REVIEW**

Concurrent with The Anthem Blue Cross policy to mitigate the risk with capitated provider organizations, a set of hospital financial viability standards are similarly used as analytical guideposts in the evaluation of the capitated hospital's financial capacity as follows:

1. Minimum working capital ratio of 1.10:1
2. Minimum tangible net equity of \$5 million (total assets less total liabilities less intangibles)
3. Hospital cash ratio of at least 0.9 (cash and equivalents plus marketable investments, net patient receivables and board designated funds divided by total current liabilities)
4. Days receivable equivalent to 70 days or less
5. Days cash on hand (DCOH) of at least 50 days
6. Positive operating margin

Capitated hospitals are required to provide Anthem Blue Cross with quarterly and annual financial statements based on the same time frames applicable to capitated provider organizations. The timely review of hospital financials would alert Anthem to those experiencing financial difficulties or have emerging financial issues that could adversely impact their financial capacity to fulfill their contractual responsibilities.

Please note that at the front-end or pre-contract stage, Anthem Blue Cross may require the applicant hospital to submit a Standby Letter of Credit (SL/C) amounting to a minimum of \$300,000 or as may be determined by Anthem Blue Cross Finance in order to mitigate the inherent financial risk. Unlike the capitated provider organization, hospitals are not subject to California solvency regulations.

\* Availity, LLC is an independent company providing administrative support services on behalf of Anthem Blue Cross. IngenioRx, Inc. is an independent company providing pharmacy benefit management services on behalf of Anthem Blue Cross. LiveHealth Online is the trade name of Health Management Corporation, an independent company, providing telehealth services on behalf of Anthem Blue Cross. ModivCare is an independent company providing transportation services on behalf of Anthem Blue Cross. VSP is an independent company providing vision services on behalf of Anthem Blue Cross.



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