Quantum criticality beyond the Landau-Ginzburg-Wilson paradigm

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Phys. Rev. Lett. **90**, 216403 (2003). Science **303**, 1490 (2004).



Talk online:

Google Sachdev



SDW

$$\langle S_{j} \rangle = N_{I} \cos(\vec{K} \cdot \vec{r}_{j}) + N_{2} \sin(\vec{K} \cdot \vec{r}_{j})$$

Collinear spins:
$$N_1 \times N_2 = 0$$

Non-collinear spins: $N_1 \times N_2 \neq 0$

 $\langle \boldsymbol{S}_{j} \rangle = 0$

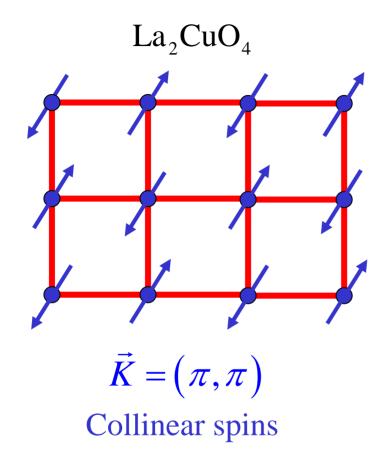
Pressure, carrier concentration,....

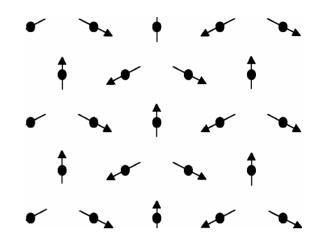
Quantum critical point

States on both sides of critical point could be either (A) Insulators
(B) Metals

(C) Superconductors

SDWs in Mott insulators





$$\vec{K} = (4\pi/3, 4\pi/\sqrt{3})$$

Non-collinear spins

"Disorder" the spins by enhancing quantum fluctuations in a variety of ways.....

Outline

- A. "Dimerized" Mott insulators

 Landau-Ginzburg-Wilson (LGW) theory.
- B. Kondo lattice models "Large" Fermi surfaces and the LGW SDW paramagnon theory.
- C. Fractionalized Fermi liquids

 Spin liquids and Fermi volume changing transitions

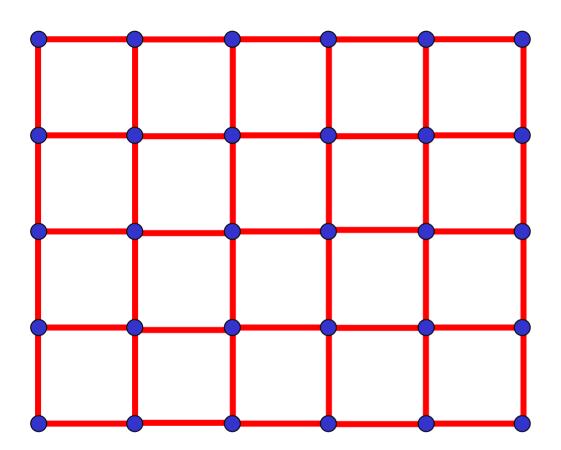
 with a topological order parameter.
- D. Deconfined quantum criticality

 *Berry phases and the transition from SDW to bond order. (Talks by T. Senthil (N20.008) and L. Balents (N20.009))

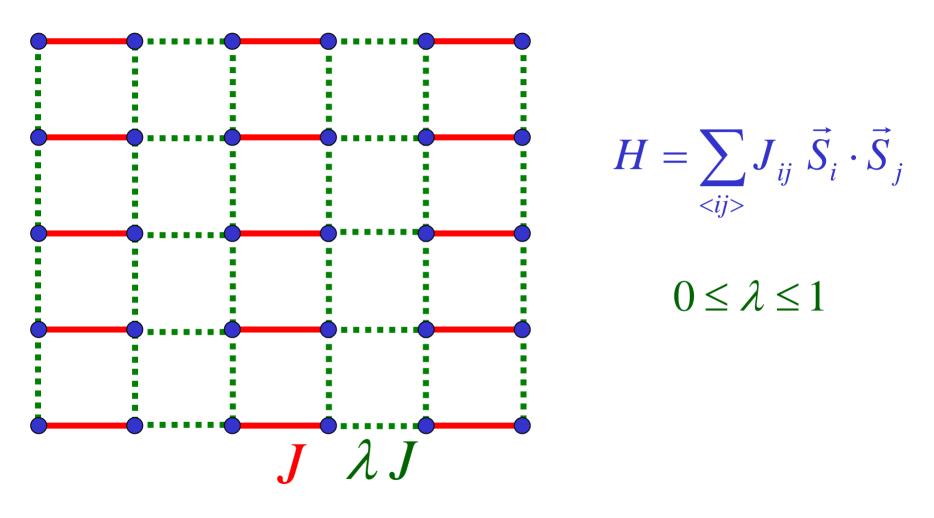
(A) Magnetic quantum phase tranitions in "dimerized" Mott insulators

Landau-Ginzburg-Wilson (LGW) theory:

Second-order phase transitions described by fluctuations of an order parameter associated with a broken symmetry



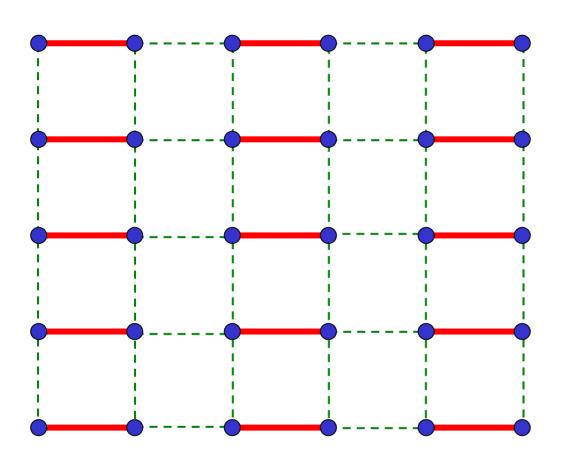
Coupled Dimer Antiferromagnet



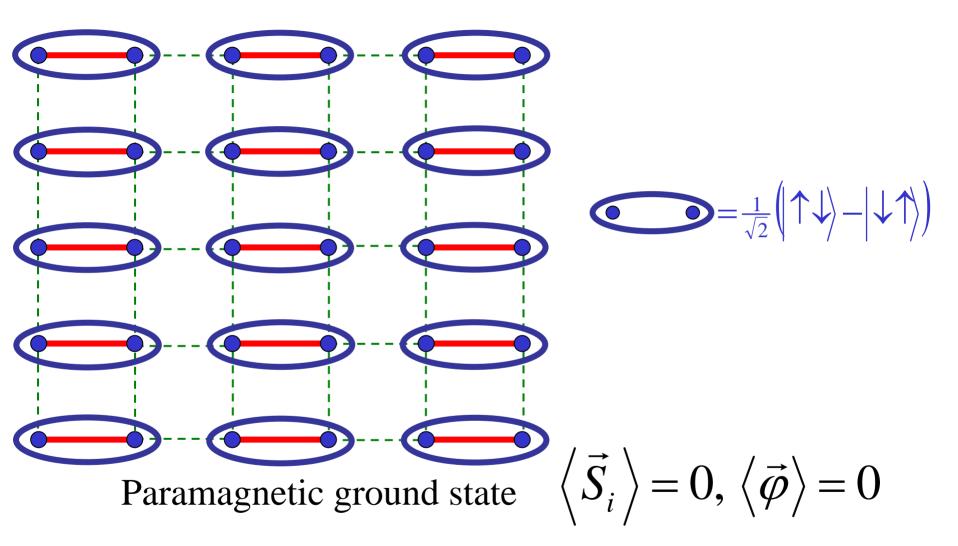
M. P. Gelfand, R. R. P. Singh, and D. A. Huse, *Phys. Rev. B* 40, 10801-10809 (1989).
N. Katoh and M. Imada, *J. Phys. Soc. Jpn.* 63, 4529 (1994).
J. Tworzydlo, O. Y. Osman, C. N. A. van Duin, J. Zaanen, *Phys. Rev. B* 59, 115 (1999).

M. Matsumoto, C. Yasuda, S. Todo, and H. Takayama, Phys. Rev. B 65, 014407 (2002).

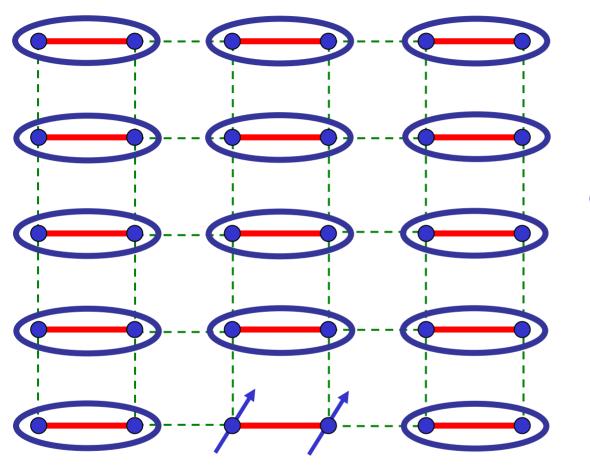
Weakly coupled dimers



Weakly coupled dimers

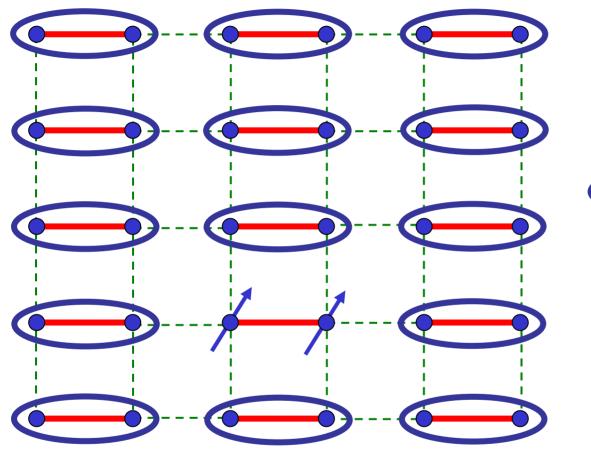


Weakly coupled dimers



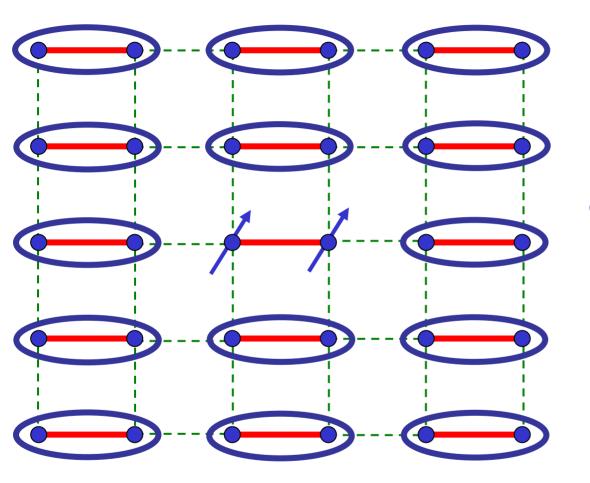
$$= \frac{1}{\sqrt{2}} \left(\uparrow \downarrow \right) - \left| \downarrow \uparrow \right\rangle$$

Weakly coupled dimers



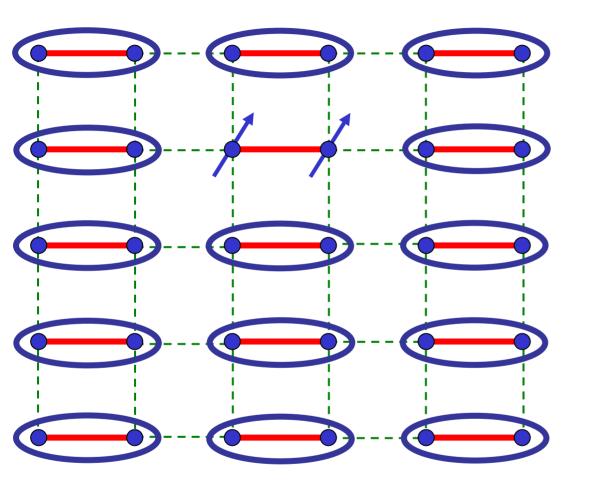
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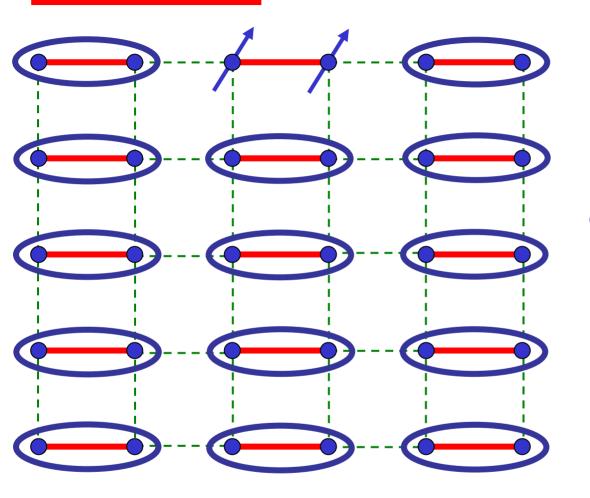
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Weakly coupled dimers



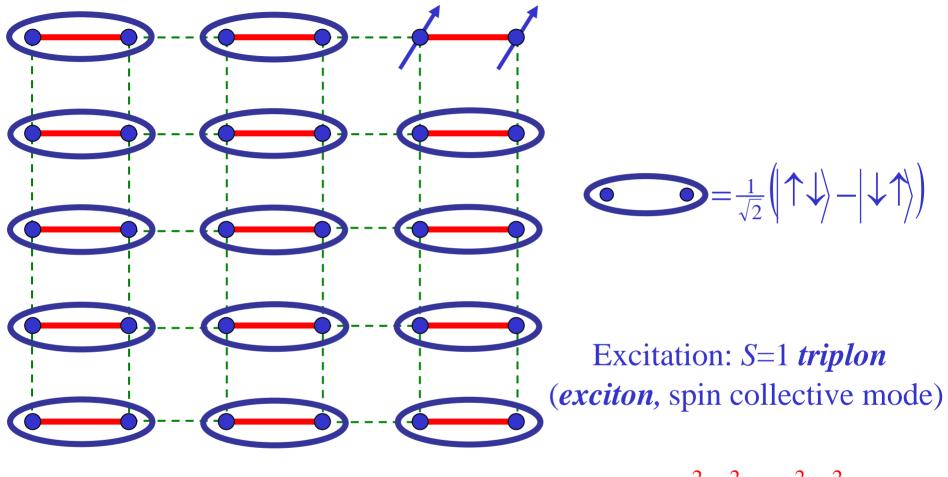
$$= \frac{1}{\sqrt{2}} \left(\uparrow \downarrow \right) - \left| \downarrow \uparrow \right\rangle$$

Weakly coupled dimers



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Weakly coupled dimers

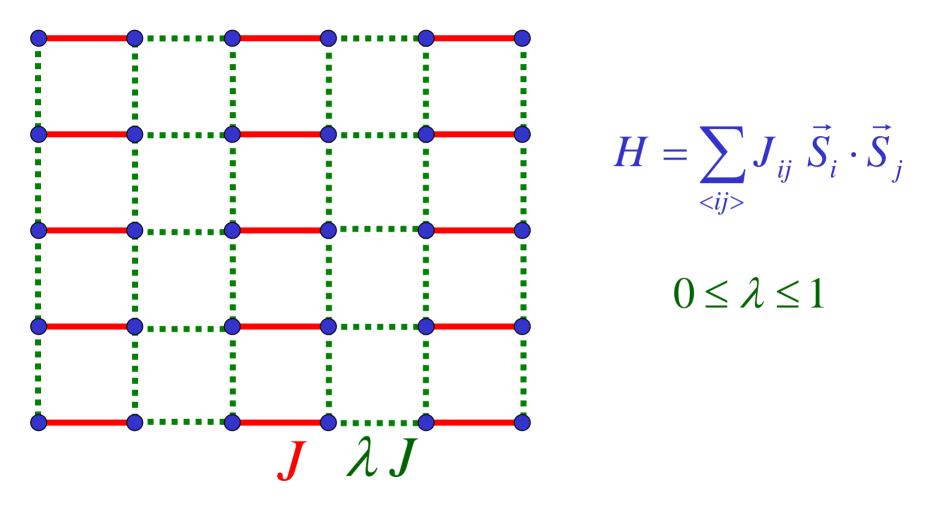


Energy dispersion away from antiferromagnetic wavevector

$$\varepsilon_p = \Delta + \frac{c_x^2 p_x^2 + c_y^2 p_y^2}{2\Delta}$$

$$\Delta \rightarrow \text{spin gap}$$

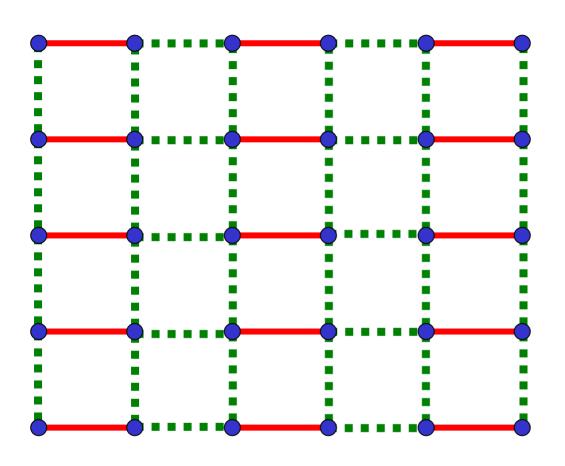
Coupled Dimer Antiferromagnet



M. P. Gelfand, R. R. P. Singh, and D. A. Huse, *Phys. Rev. B* 40, 10801-10809 (1989).
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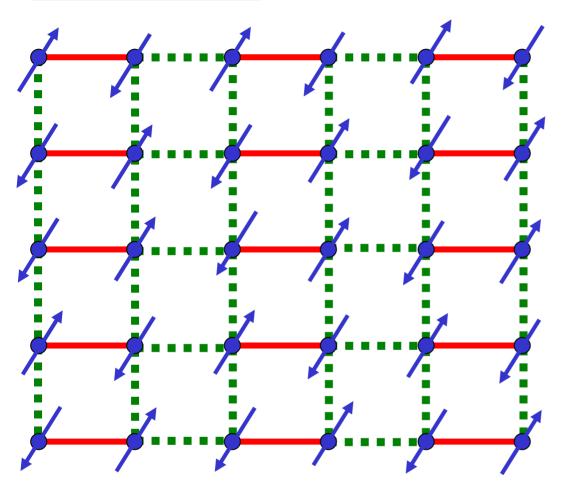
M. Matsumoto, C. Yasuda, S. Todo, and H. Takayama, Phys. Rev. B 65, 014407 (2002).

Weakly dimerized square lattice



$$\lambda$$
 close to 1

Weakly dimerized square lattice



Excitations:

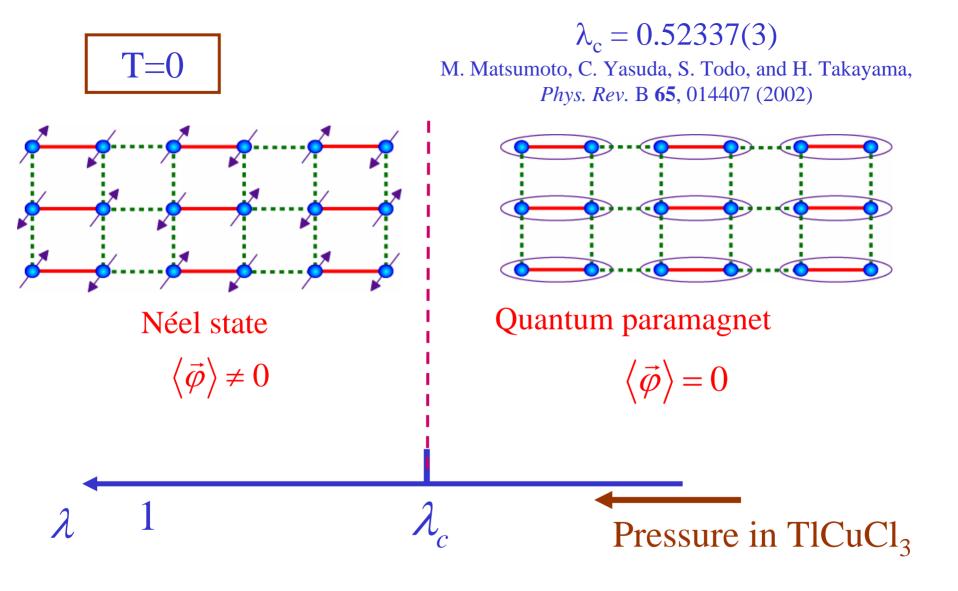
2 spin waves (*magnons*)

$$\varepsilon_p = \sqrt{c_x^2 p_x^2 + c_y^2 p_y^2}$$

Ground state has long-range spin density wave (Néel) order at wavevector $\mathbf{K} = (\pi, \pi)$

$$\langle \vec{\varphi} \rangle \neq 0$$

spin density wave order parameter: $\vec{\varphi} = \eta_i \frac{S_i}{S}$; $\eta_i = \pm 1$ on two sublattices



The method of bond operators (S. Sachdev and R.N. Bhatt, *Phys. Rev.* B **41**, 9323 (1990)) provides a quantitative description of spin excitations in TlCuCl₃ across the quantum phase transition (M. Matsumoto, B. Normand, T.M. Rice, and M. Sigrist, *Phys. Rev. Lett.* **89**, 077203 (2002))

LGW theory for quantum criticality

Landau-Ginzburg-Wilson theory: write down an effective action for the antiferromagnetic order parameter $\vec{\varphi}$ by expanding in powers of $\vec{\varphi}$ and its spatial and temporal derivatives, while preserving all symmetries of the microscopic Hamiltonian

$$S_{\varphi} = \int d^2x d\tau \left[\frac{1}{2} \left(\left(\nabla_x \vec{\varphi} \right)^2 + c^2 \left(\partial_\tau \vec{\varphi} \right)^2 + \left(\lambda_c - \lambda \right) \vec{\varphi}^2 \right) + \frac{u}{4!} \left(\vec{\varphi}^2 \right)^2 \right]$$

For $\lambda < \lambda_c$ oscillations of $\vec{\varphi}$ about $\vec{\varphi} = 0$ lead to the following structure in the dynamic structure factor $S(p, \omega)$

 $S(p,\omega)$ $Z\delta(\omega-\varepsilon(p))$ $\varepsilon(p) = \Delta + \frac{c^2p^2}{2\Delta} ; \Delta = \sqrt{\lambda_c-\lambda}/c$ Triplon pole

Structure holds to all orders in *u*

Three triplon continuum

0

S. Chakravarty, B.I. Halperin, and D.R. Nelson, *Phys. Rev.* B **39**, 2344 (1989)

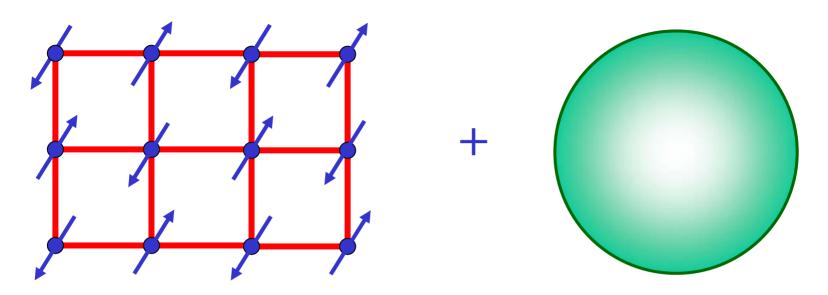
A.V. Chubukov, S. Sachdev, and J.Ye, *Phys. Rev.* B **49**, 11919 (1994)

~34

(B) Kondo lattice models

"Large" Fermi surfaces and the Landau-Ginzburg-Wilson spin-density-wave paramagnon theory

Kondo lattice



Local moments f_{σ}

Conduction electrons c_{σ}

$$\boldsymbol{H}_{K} = \sum_{i < j} t_{ij} \boldsymbol{c}_{i\sigma}^{\dagger} \boldsymbol{c}_{j\sigma} + \boldsymbol{J}_{K} \sum_{i} \boldsymbol{c}_{i\sigma}^{\dagger} \vec{\tau}_{\sigma\sigma'} \boldsymbol{c}_{i\sigma} \cdot \vec{S}_{fi} + \boldsymbol{J} \sum_{\langle ij \rangle} \vec{S}_{fi} \cdot \vec{S}_{fj}$$

At large J_K , magnetic order is destroyed, and we obtain a non-magnetic Fermi liquid (FL) ground state

Luttinger's Fermi volume on a d-dimensional lattice for the FL phase

Let v_0 be the volume of the unit cell of the ground state, n_T be the <u>total</u> number density of electrons per volume v_0 . (need not be an integer)

$$n_T = n_f + n_c = 1 + n_c$$

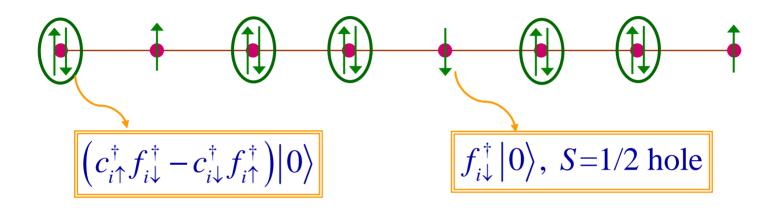
 $2 \times \frac{v_0}{(2\pi)^d}$ (Volume enclosed by Fermi surface)

$$= n_T \pmod{2}$$

A "large" Fermi surface

Argument for the Fermi surface volume of the FL phase

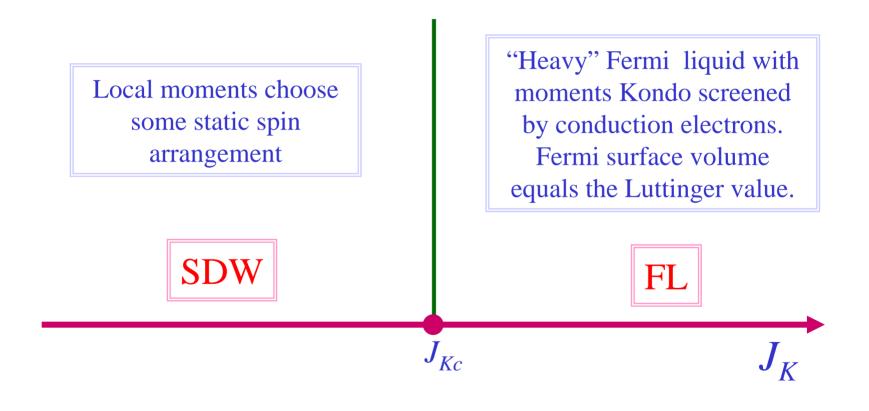
Single ion Kondo effect implies $J_K \to \infty$ at low energies



Fermi liquid of S=1/2 holes with hard-core repulsion

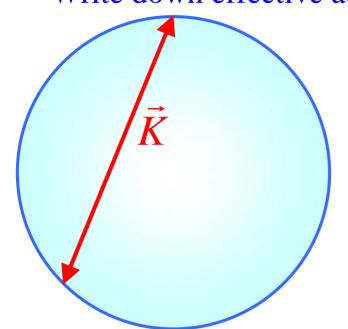
Fermi surface volume = -(density of holes) mod 2
= -(1-
$$n_c$$
) = (1+ n_c) mod 2

Doniach's T=0 phase diagram for the Kondo lattice



LGW theory for quantum critical point

Write down effective action for SDW order parameter $\vec{\phi}$



 $\vec{\phi}$ fluctuations are damped by mixing with fermionic quasiparticles near the Fermi surface

$$S_{\varphi} = \int \frac{d^{d}q d\omega}{(2\pi)^{d+1}} \left| \vec{\varphi}(q,\omega) \right|^{2} \left(q^{2} + \left| \omega \right| + \left(J_{K} - J_{Kc} \right) \right) + \frac{u}{4} \int d^{d}r d\tau \left(\vec{\varphi}^{2} \right)^{2}$$

Fluctuations of $\vec{\varphi}$ about $\vec{\varphi} = 0 \Rightarrow$ the triplon is now a paramagnon

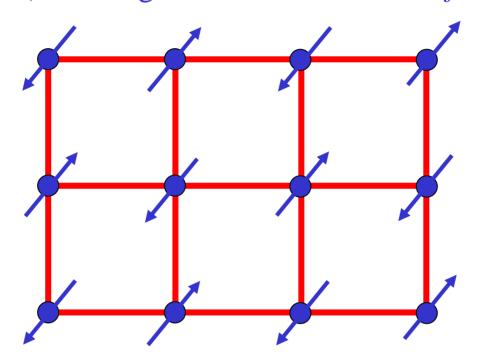
J. Mathon, *Proc. R. Soc. London* A, **306**, 355 (1968); T.V. Ramakrishnan, *Phys. Rev.* B **10**, 4014 (1974); M. T. Beal-Monod and K. Maki, *Phys. Rev. Lett.* **34**, 1461 (1975); J.A. Hertz, *Phys. Rev.* B **14**, 1165 (1976). T. Moriya, *Spin Fluctuations in Itinerant Electron Magnetism*, Springer-Verlag, Berlin (1985);

G. G. Lonzarich and L. Taillefer, J. Phys. C 18, 4339 (1985); A.J. Millis, Phys. Rev. B 48, 7183 (1993).

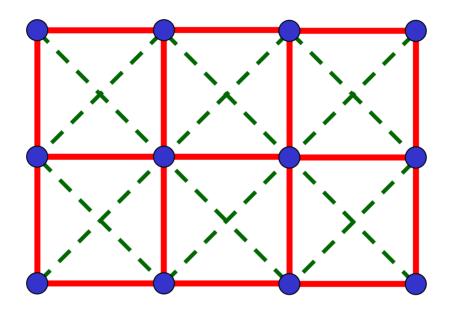
(C) Fractionalized Fermi liquids (FL*)

Spin liquids and Fermi volume changing transitions with a topological order parameter

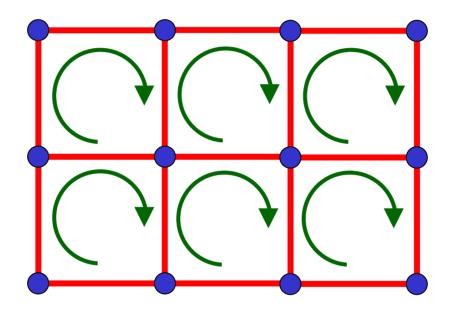
Beyond LGW: quantum phases and phase transitions with emergent gauge excitations and fractionalization



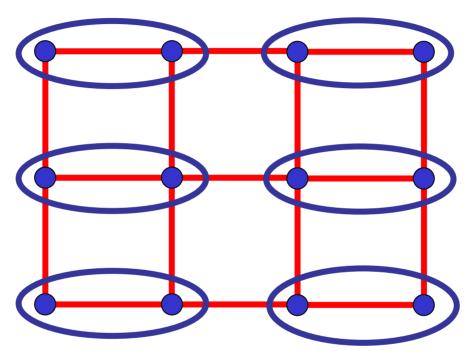
Ground state has Neel order with $\vec{\varphi} \neq 0$



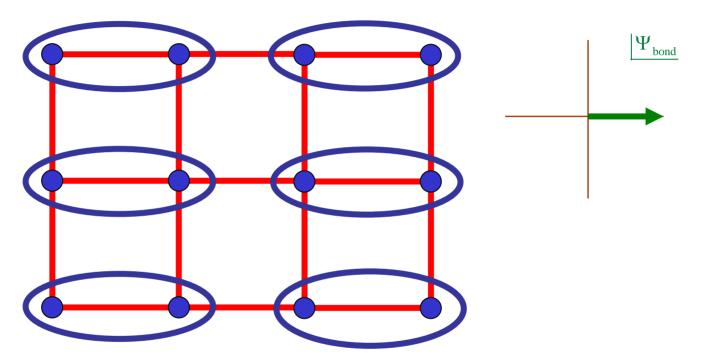
Destroy SDW order by perturbations which preserve full square lattice symmetry *e.g.* second-neighbor or ring exchange.



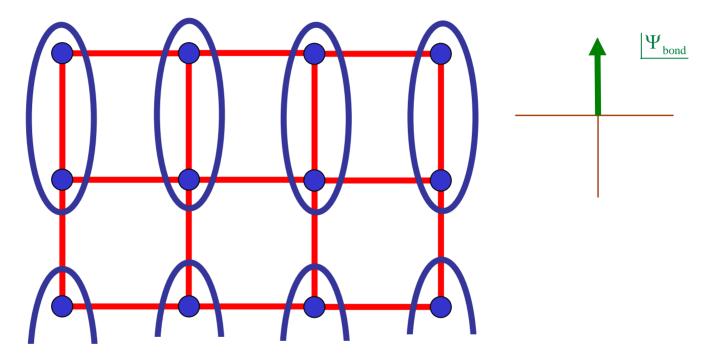
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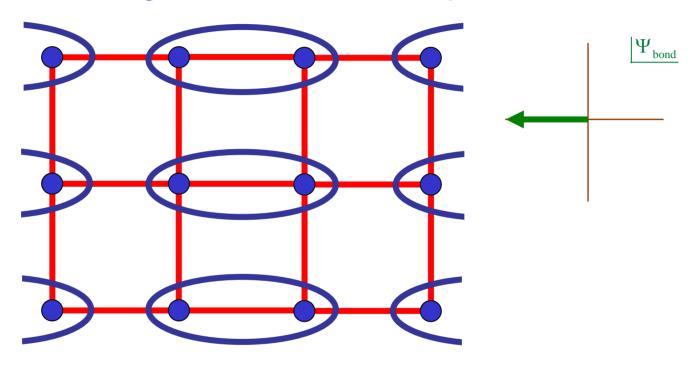
Possible paramagnetic ground state with $\langle \vec{\varphi} \rangle = 0$



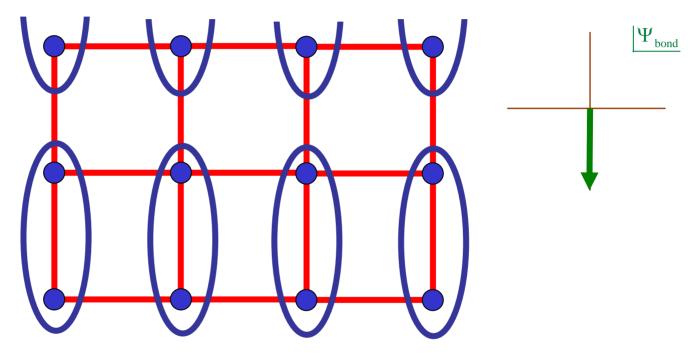
Possible paramagnetic ground state with $\langle \vec{\varphi} \rangle = 0$



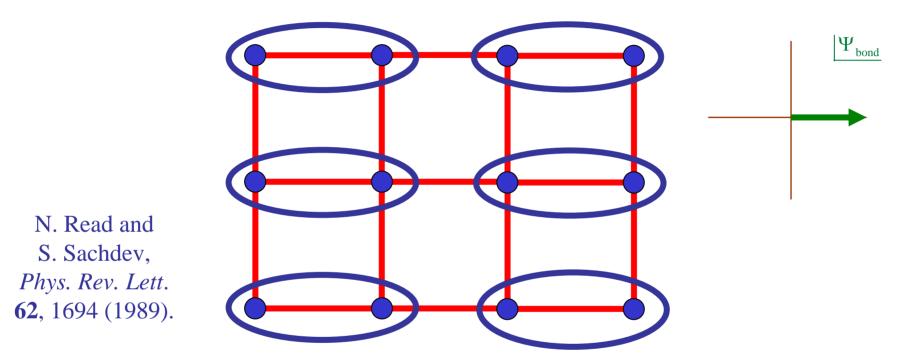
Possible paramagnetic ground state with $\langle \vec{\varphi} \rangle = 0$



Possible paramagnetic ground state with $\langle \vec{\varphi} \rangle = 0$



Possible paramagnetic ground state with $\langle \vec{\varphi} \rangle = 0$

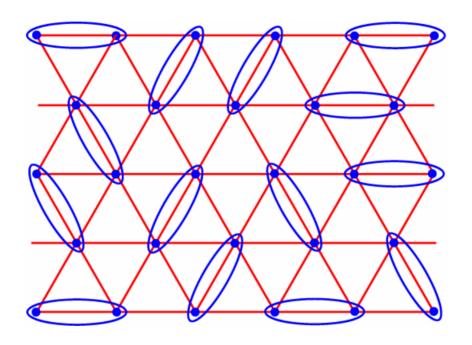


Possible paramagnetic ground state with $\langle \vec{\varphi} \rangle = 0$

Such a state breaks lattice symmetry and has $\langle \Psi_{\text{bond}} \rangle \neq 0$, where Ψ_{bond} is the **bond order parameter**

Bond order (and confinement) appear for collinear spins in d=2

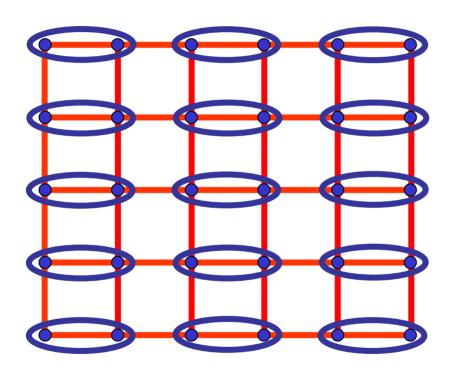
Work in the regime with small J_K , and consider destruction of magnetic order by frustrating (RKKY) exchange interactions between f moments



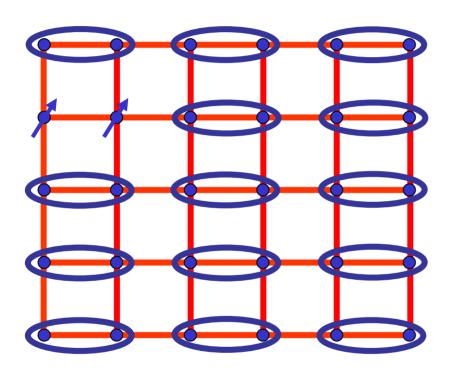
A <u>spin liquid</u> ground state with $\langle \vec{\varphi} \rangle = 0$ and $\langle \Psi_{\text{bond}} \rangle = 0$

P. Fazekas and P.W. Anderson, *Phil Mag* **30**, 23 (1974); P.W. Anderson 1987

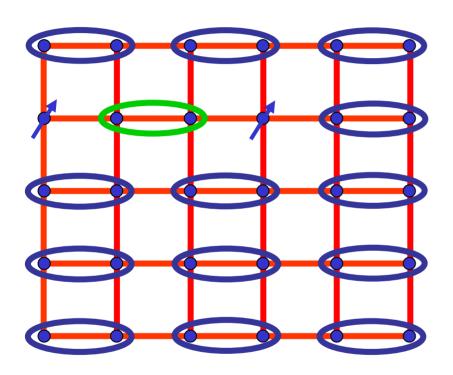
$$\langle \Psi_{\text{bond}} \rangle \neq 0$$



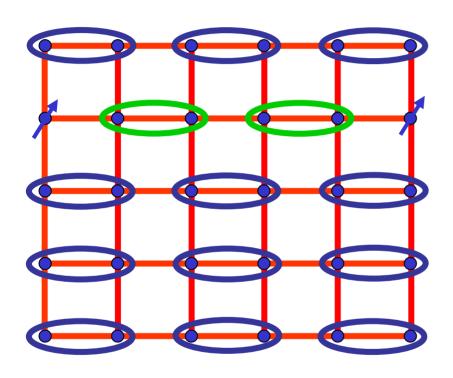
$$\langle \Psi_{\text{bond}} \rangle \neq 0$$



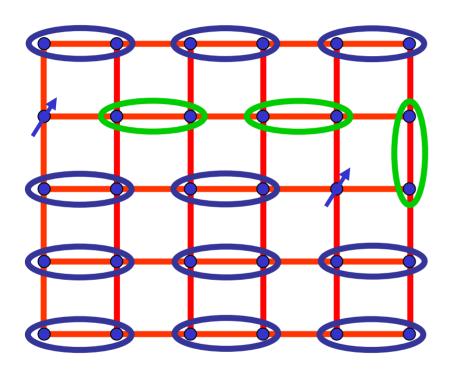
$$\langle \Psi_{\text{bond}} \rangle \neq 0$$



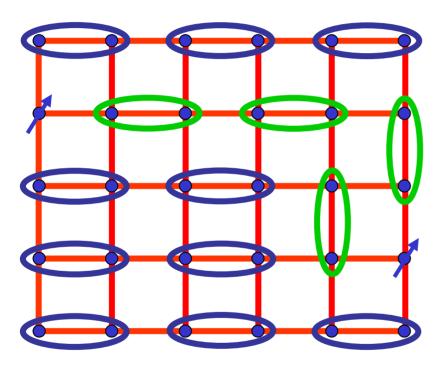
$$\langle \Psi_{\text{bond}} \rangle \neq 0$$



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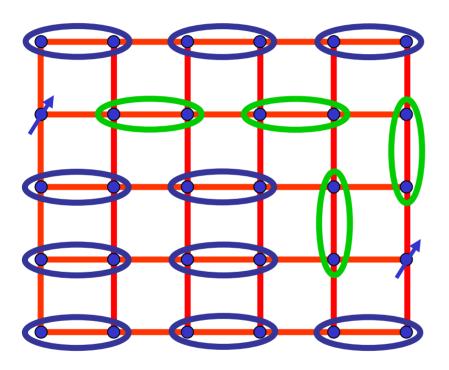
$$\langle \Psi_{\text{bond}} \rangle \neq 0$$



S=1 **spinons** f_{σ} are confined into a S=1 **triplon** $\vec{\varphi}$ by a confining compact U(1) gauge force N. Read and S. Sachdev,

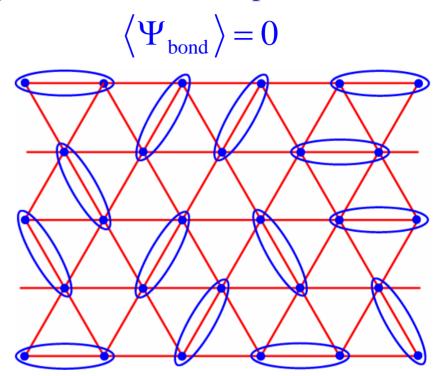
Phys. Rev. Lett. 62, 1694 (1989).



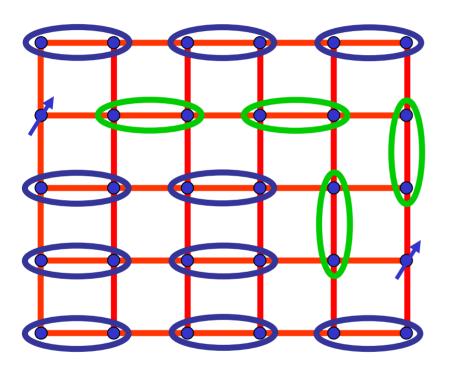


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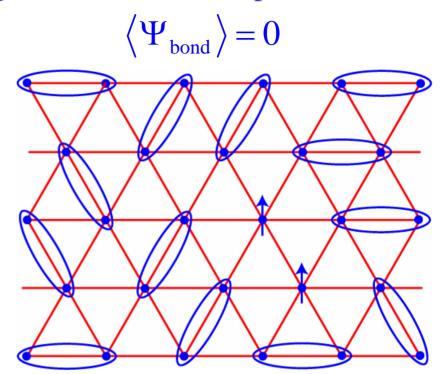




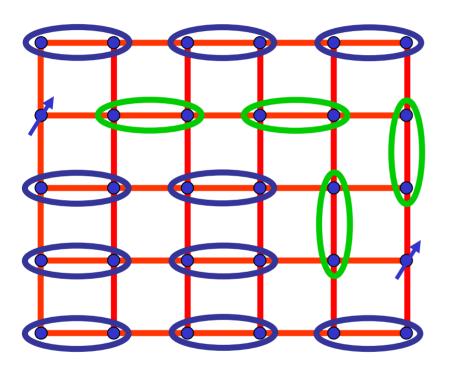


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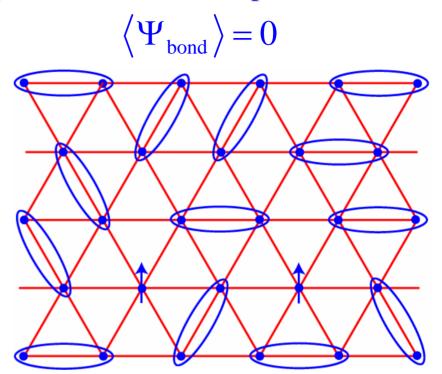




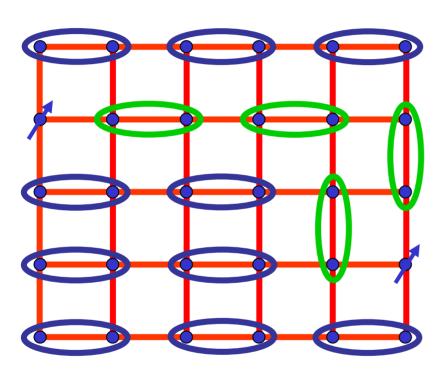


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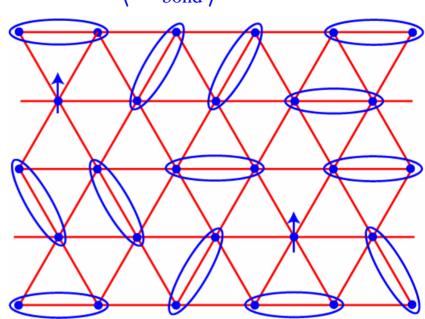




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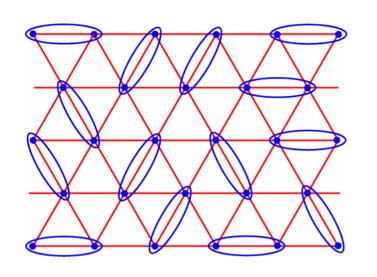


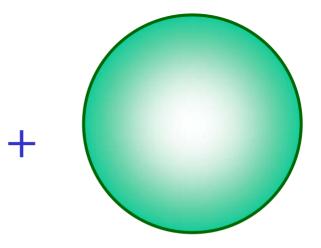
S = 1/2 **spinons** f_{σ} are deconfined and interact with

 Z_2 (non-collinear spins, d = 2,3) or U(1) (collinear spins, d = 3) gauge forces

N. Read and S. Sachdev, *Phys. Rev. Lett.* **66**, 1773 (1991); X. G. Wen, *Phys. Rev.* B **44**, 2664 (1991).

Influence of conduction electrons





Conduction electrons c_{σ}

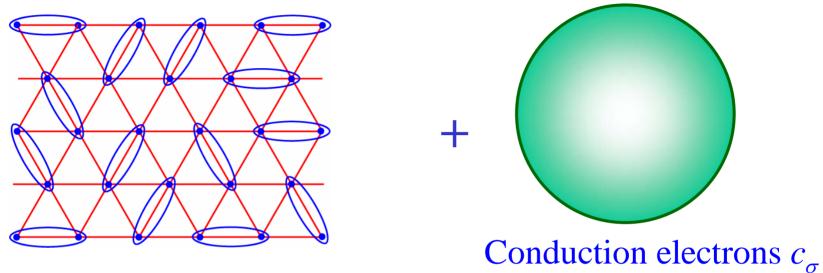
Local moments f_{σ}

$$H = \sum_{i < j} t_{ij} c_{i\sigma}^{\dagger} c_{j\sigma}^{} + \sum_{i} \left(J_{K} c_{i\sigma}^{\dagger} \vec{\tau}_{\sigma\sigma^{\dagger}} c_{i\sigma}^{} \cdot \vec{S}_{fi}^{} \right) + \sum_{i < j} J_{H}^{} \left(i, j \right) \vec{S}_{fi}^{} \cdot \vec{S}_{fj}^{}$$

Determine the ground state of the quantum antiferromagnet defined by J_H , and then couple to conduction electrons by J_K

Choose J_H so that ground state of antiferromagnet is a Z_2 or U(1) spin liquid

Influence of conduction electrons



Local moments f_{σ}

At J_K = 0 the conduction electrons form a Fermi surface on their own with volume determined by n_c .

Perturbation theory in J_K is regular, and so this state will be stable for finite J_K .

So volume of Fermi surface is determined by $(n_T - 1) = n_c \pmod{2}$, and does not equal the Luttinger value.

The (U(1) or Z_2) FL* state

A new phase: FL*

This phase preserves spin rotation invariance, and has a Fermi surface of *sharp* electron-like quasiparticles.

The state has "topological order" and associated neutral excitations. The topological order can be detected by the violation of Luttinger's Fermi surface volume. It can only appear in dimensions d > 1

$$2 \times \frac{v_0}{(2\pi)^d}$$
 (Volume enclosed by Fermi surface)

$$= (n_T - 1) \pmod{2}$$

Precursors: N. Andrei and P. Coleman, Phys. Rev. Lett. 62, 595 (1989).

Yu. Kagan, K. A. Kikoin, and N. V. Prokof'ev, *Physica B* **182**, 201 (1992).

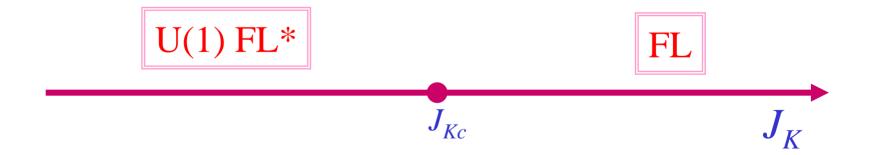
Q. Si, S. Rabello, K. Ingersent, and L. Smith, *Nature* **413**, 804 (2001).

S. Burdin, D. R. Grempel, and A. Georges, *Phys. Rev.* B 66, 045111 (2002).

L. Balents and M. P. A. Fisher and C. Nayak, *Phys. Rev.* B **60**, 1654, (1999);

T. Senthil and M.P.A. Fisher, *Phys. Rev.* B **62**, 7850 (2000).

F. H. L. Essler and A. M. Tsvelik, *Phys. Rev.* B **65**, 115117 (2002).



Fractionalized Fermi liquid with moments paired in a spin liquid. Fermi surface volume does not include moments and is unequal to the Luttinger value.

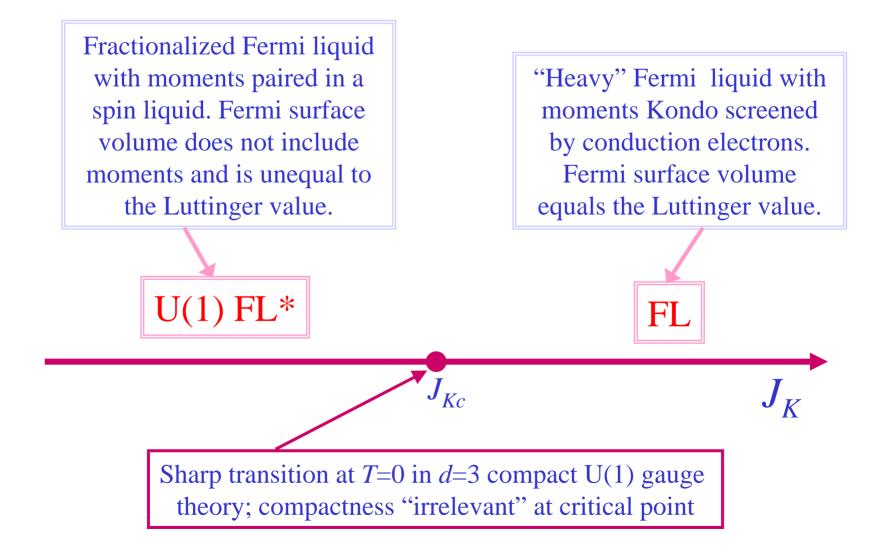
U(1) FL*

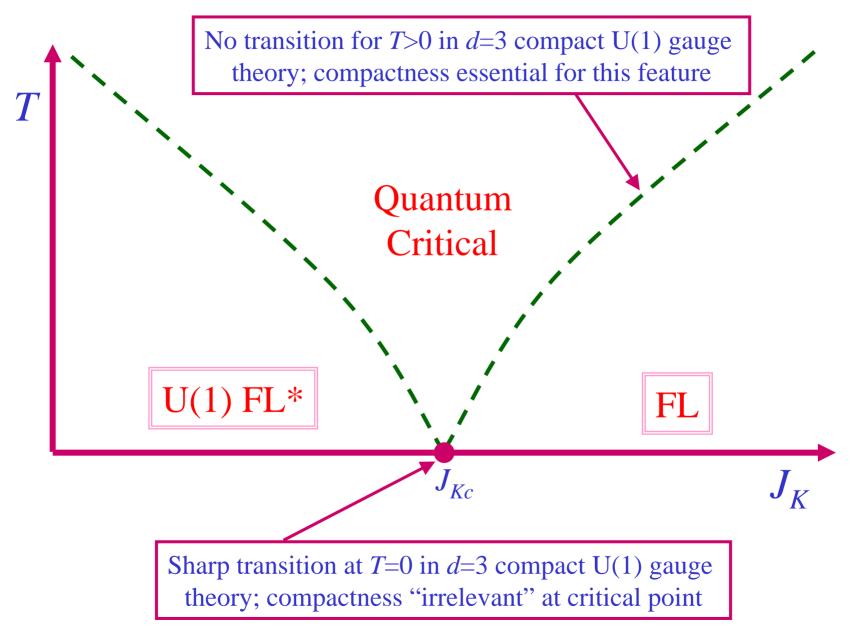
FL

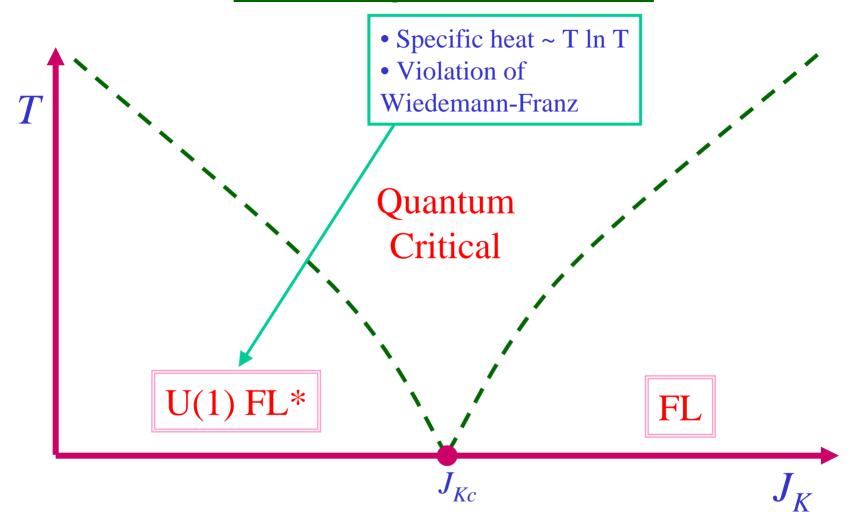
Kc

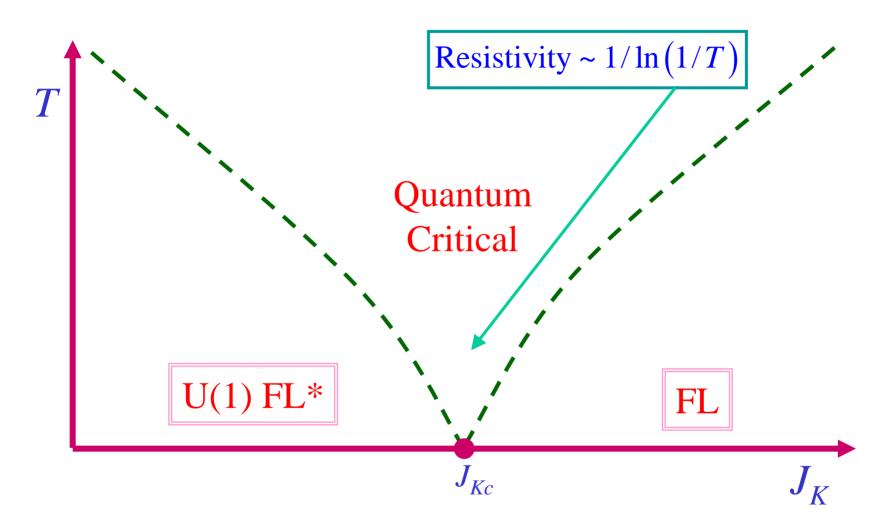
 J_K

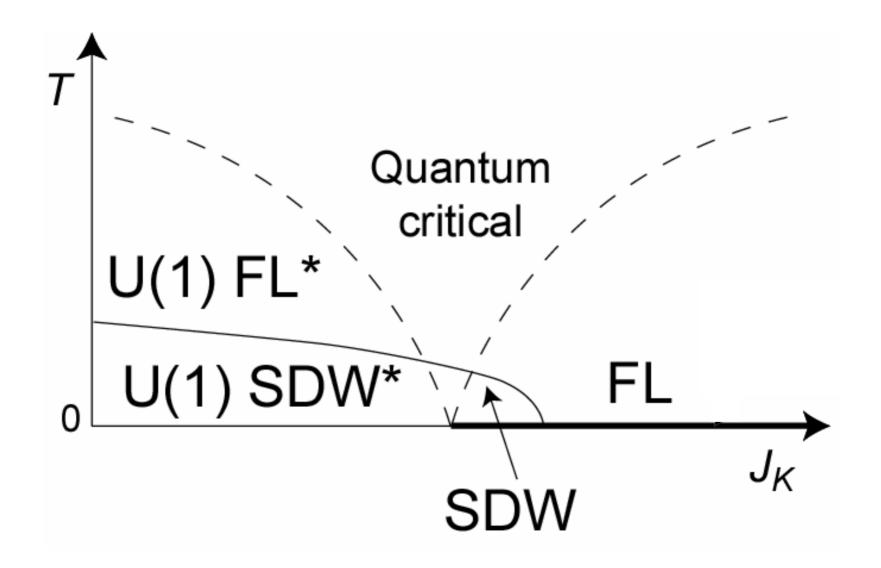
Fractionalized Fermi liquid with moments paired in a "Heavy" Fermi liquid with spin liquid. Fermi surface moments Kondo screened volume does not include by conduction electrons. Fermi surface volume moments and is unequal to the Luttinger value. equals the Luttinger value. FL











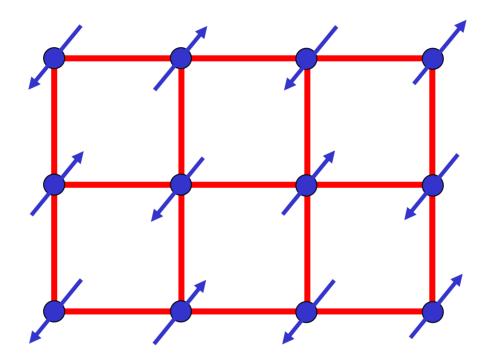
(D) Deconfined quantum criticality

Berry phases, bond order, and the breakdown of the LGW paradigm

All phases have conventional order, but gauge excitations and fractionalizion emerge at the quantum critical point.

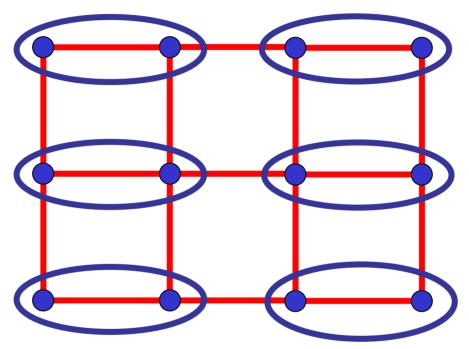
Talks by T. Senthil (N20.008) and L. Balents (N20.009)

Mott insulator with one S=1/2 spin per unit cell



Ground state has Neel order with $\langle \vec{\varphi} \rangle \neq 0$

Mott insulator with one S=1/2 spin per unit cell

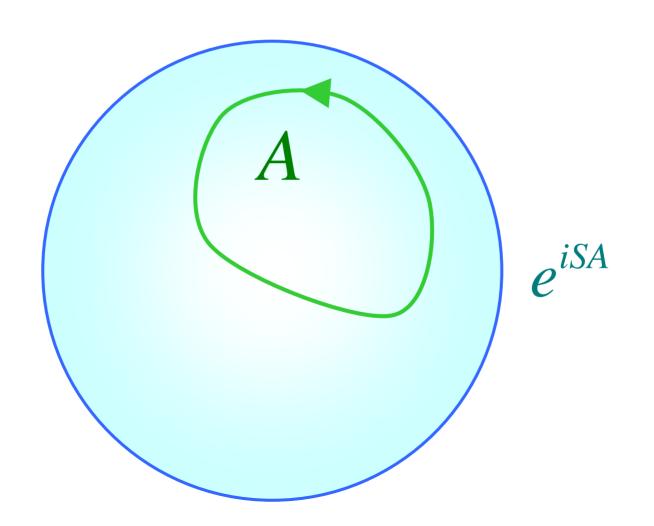


Destroy Neel order by perturbations which preserve full square lattice symmetry e.g. second-neighbor or ring exchange. The strength of this perturbation is measured by a coupling g.

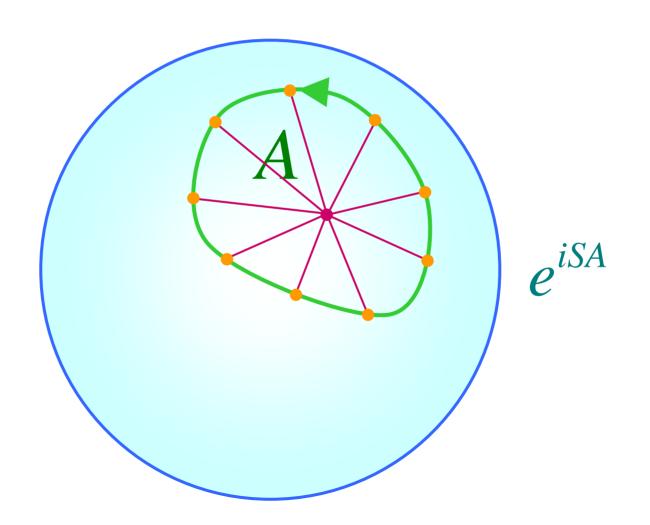
Small $g \Rightarrow$ ground state has Neel order with $\langle \vec{\varphi} \rangle \neq 0$

Large $g \Rightarrow$ paramagnetic ground state with $\langle \vec{\varphi} \rangle = 0$, $\langle \Psi_{\text{bond}} \rangle \neq 0$

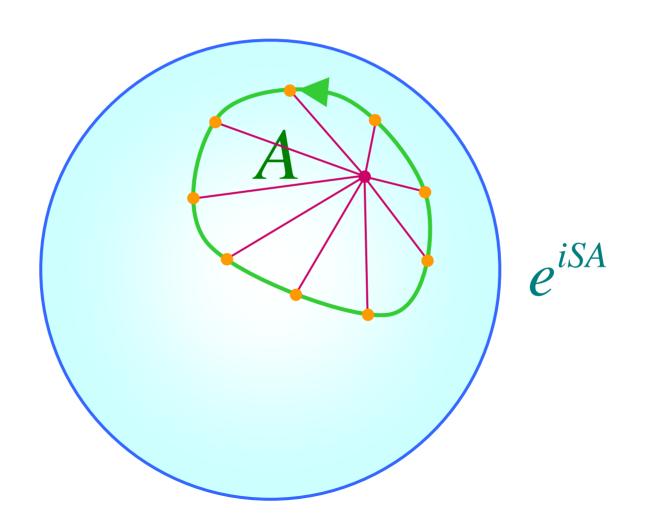
Ingredient missing from LGW theory: Spin Berry Phases



Ingredient missing from LGW theory: Spin Berry Phases

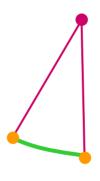


Ingredient missing from LGW theory: Spin Berry Phases



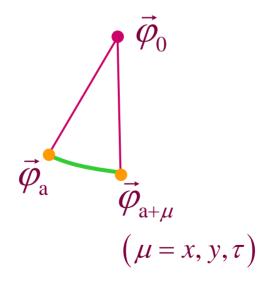


Discretize imaginary time: path integral is over fields on the sites of a cubic lattice of points *a*



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Recall
$$\vec{\varphi}_a = 2\eta_a \vec{S}_a \rightarrow \vec{\varphi}_a = (0,0,1)$$
 in classical Neel state; $\eta_a \rightarrow \pm 1$ on two square sublattices;



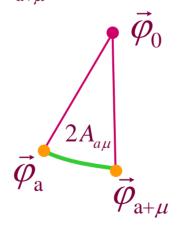
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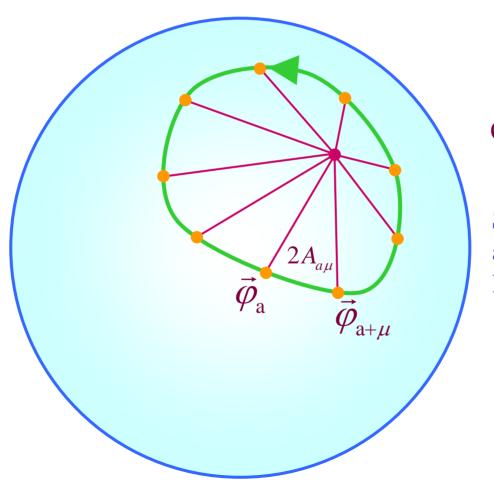
 $A_{au} \rightarrow half$ oriented area of spherical triangle

formed by $\vec{\varphi}_{a}$, $\vec{\varphi}_{a+\mu}$, and an arbitrary reference point $\vec{\varphi}_{0}$



 $A_{a\mu}$ transforms like a compact U(1) gauge field

Ingredient missing from LGW theory: Spin Berry Phases



$$\exp\left(i\sum_{a}\eta_{a}A_{a\tau}\right)$$

Sum of Berry phases of all spins on the square lattice.

Partition function on cubic lattice

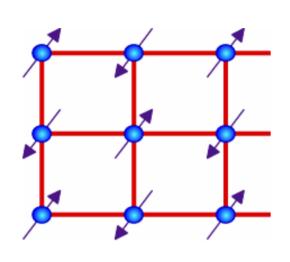
$$Z = \prod_{a} \int d\vec{\varphi}_{a} \delta(\vec{\varphi}_{a}^{2} - 1) \exp\left(\frac{1}{g} \sum_{a,\mu} \vec{\varphi}_{a} \cdot \vec{\varphi}_{a+\mu} + i \sum_{a} \eta_{a} A_{a\tau}\right)$$

Modulus of weights in partition function: those of a classical ferromagnet at a "temperature" *g*

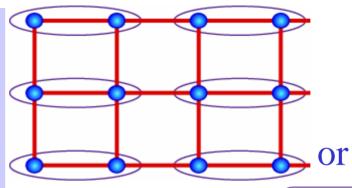
Small $g \Rightarrow$ ground state has Neel order with $\langle \vec{\varphi} \rangle \neq 0$

Large $g \Rightarrow$ paramagnetic ground state with $\langle \vec{\varphi} \rangle = 0$ Berry phases lead to large cancellations between different time histories

$$Z = \prod_{a} \int d\vec{\varphi}_{a} \delta(\vec{\varphi}_{a}^{2} - 1) \exp\left(\frac{1}{g} \sum_{a,\mu} \vec{\varphi}_{a} \cdot \vec{\varphi}_{a+\mu} + i \sum_{a} \eta_{a} A_{a\tau}\right)$$



Neel order $\langle \vec{\varphi} \rangle \neq 0$



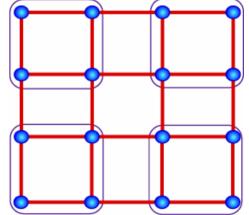
Bond order

$$\langle \Psi_{\text{bond}} \rangle \neq 0$$

Not present in

LGW theory

of $\vec{\varphi}$ order



Alternative formulation to describe transition:

Express theory in terms of a complex spinor $z_{a\alpha}$, $\alpha = \uparrow, \downarrow$, with

$$\vec{\varphi}_{a} = z_{a\alpha}^{*} \vec{\sigma}_{\alpha\beta} z_{a\beta}$$

$$Z = \prod_{a} \int dz_{a\alpha} dA_{a\mu} \delta \left(|z_{a\alpha}|^{2} - 1 \right)$$

$$\exp \left(\frac{1}{g} \sum_{a,\mu} z_{a\alpha}^{*} e^{iA_{a\mu}} z_{a+\mu,\alpha} + \text{c.c.} + i \sum_{a} \eta_{a} A_{a\tau} \right)$$
or

0

- S. Sachdev and R. Jalabert, *Mod. Phys. Lett.* B **4**, 1043 (1990).
- S. Sachdev and K. Park, Annals of Physics 298, 58 (2002).

Theory of a second-order quantum phase transition between Neel and bond-ordered phases

At the quantum critical point:

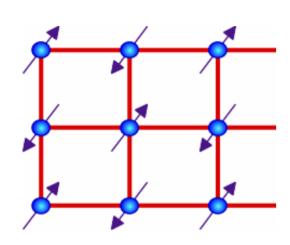
- $A_{\mu} \rightarrow A_{\mu} + 2\pi$ periodicity can be ignored (Monopoles interfere destructively and are dangerously irrelevant).
- S=1/2 spinons z_{α} , with $\vec{\varphi} \sim z_{\alpha}^* \vec{\sigma}_{\alpha\beta} z_{\beta}$, are globally propagating degrees of freedom.

Second-order critical point described by emergent fractionalized degrees of freedom $(A_{\mu} \text{ and } z_{\alpha});$ Order parameters $(\vec{\varphi} \text{ and } \Psi_{bond})$ are "composites" and of secondary importance

S. Sachdev and R. Jalabert, *Mod. Phys. Lett.* B **4**, 1043 (1990); G. Murthy and S. Sachdev, *Nuclear Physics* B **344**, 557 (1990); C. Lannert, M.P.A. Fisher, and T. Senthil, *Phys. Rev.* B **63**, 134510 (2001); S. Sachdev and K. Park, *Annals of Physics*, **298**, 58 (2002); O. Motrunich and A. Vishwanath, cond-mat/0311222.

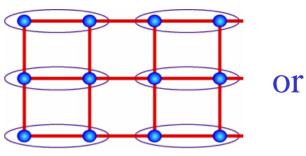
T. Senthil, A. Vishwanath, L. Balents, S. Sachdev and M.P.A. Fisher, *Science* **303**, 1490 (2004).

Phase diagram of S=1/2 square lattice antiferromagnet



Neel order

$$\langle \vec{\varphi} \rangle \sim \langle z_{\alpha}^* \vec{\sigma}_{\alpha\beta} z_{\beta} \rangle \neq 0$$



or

Bond order $\langle \Psi_{\text{bond}} \rangle \neq 0$

(associated with condensation of monopoles in A_{μ}),

$$S = 1/2$$
 spinons z_{α} confined,

$$S = 1$$
 triplon excitations

Second-order critical point described by

$$\mathcal{S}_{\text{critical}} = \int d^2x d\tau \left[\left| (\partial_{\mu} - iA_{\mu})z_{\alpha} \right|^2 + r \left| z_{\alpha} \right|^2 + \frac{u}{2} \left(\left| z_{\alpha} \right|^2 \right)^2 + \frac{1}{4e^2} \left(\partial_{\mu} A_{\nu} - \partial_{\nu} A_{\mu} \right)^2 \right]$$

at its critical point $r = r_c$, where A_{μ} is non-compact

T. Senthil, A. Vishwanath, L. Balents, S. Sachdev and M.P.A. Fisher, *Science* 303, 1490 (2004).

Conclusions

I. New FL* phase with a Fermi surface of electron-like quasiparticles (whose volume violates the Luttinger theorem), topological order, emergent gauge excitations, and neutral fractionalized quasiparticles.

Novel quantum criticality in the transition between the FL and FL* phases (and associated SDW and SDW* phases)

Conclusions

- II. Theory of quantum phase transitions between magnetically ordered and paramagnetic states of Mott insulators:
 - A. *Dimerized Mott insulators*: Landau-Ginzburg-Wilson theory of fluctuating magnetic order parameter.
 - B. S=1/2 square lattice: Berry phases induce bond order, and LGW theory breaks down. Critical theory is expressed in terms of emergent fractionalized modes, and the order parameters are secondary.

Conclusions

III. Deconfined quantum criticality in conducting systems?

Theory for FL-FL* transition could also apply to the FL-SDW transition between *conventional* phases.