

Re-Engineering Treatment One Step At A Time: ASAM Level Of Care Certification

Re-Engineering Treatment One Step at a Time

The ASAM Criteria and Level of Care Certification



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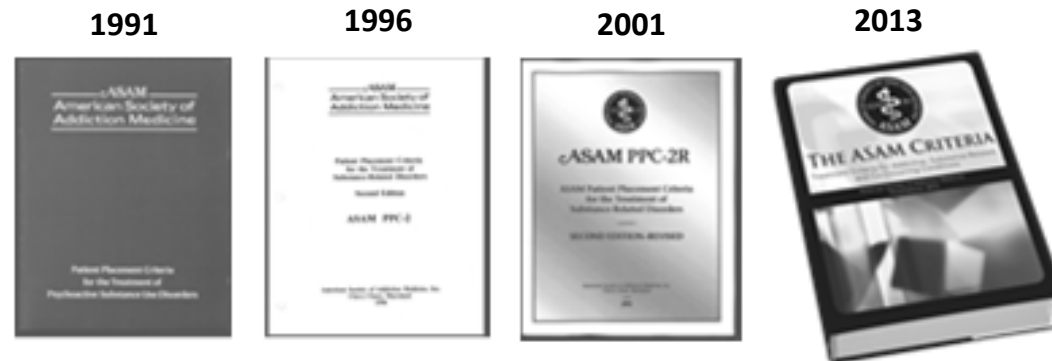


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*Immediate Past President
American Society of Addiction Medicine*



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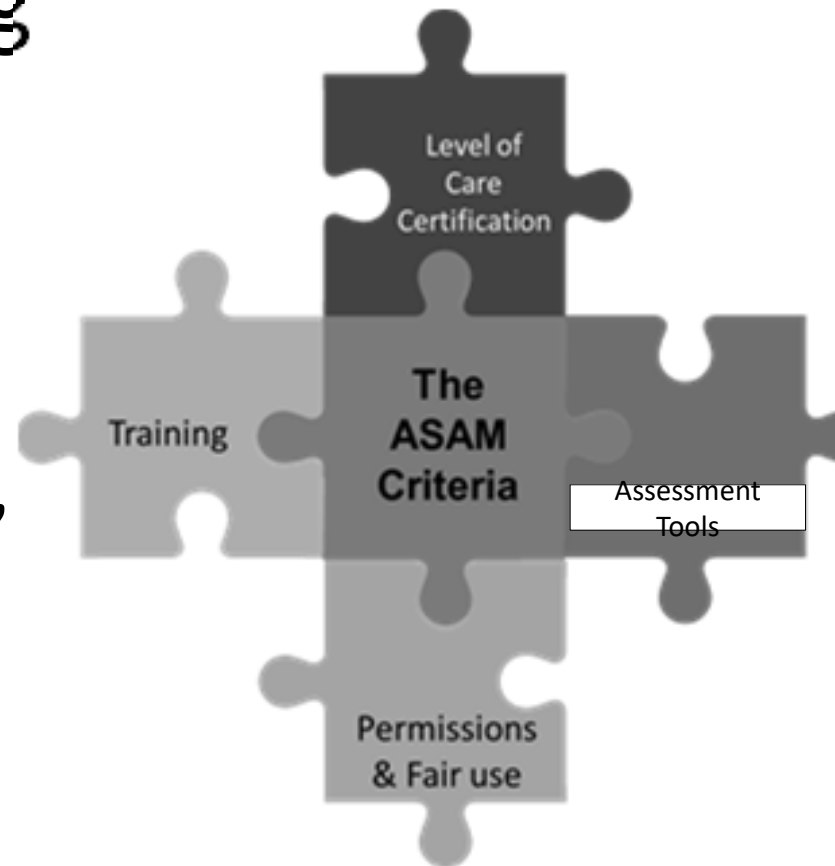
The Evolution of the ASAM Criteria



For the least intensive & restrictive care that meets the patient's multi-dimensional needs and to ensure ongoing care and the optimal treatment outcome.

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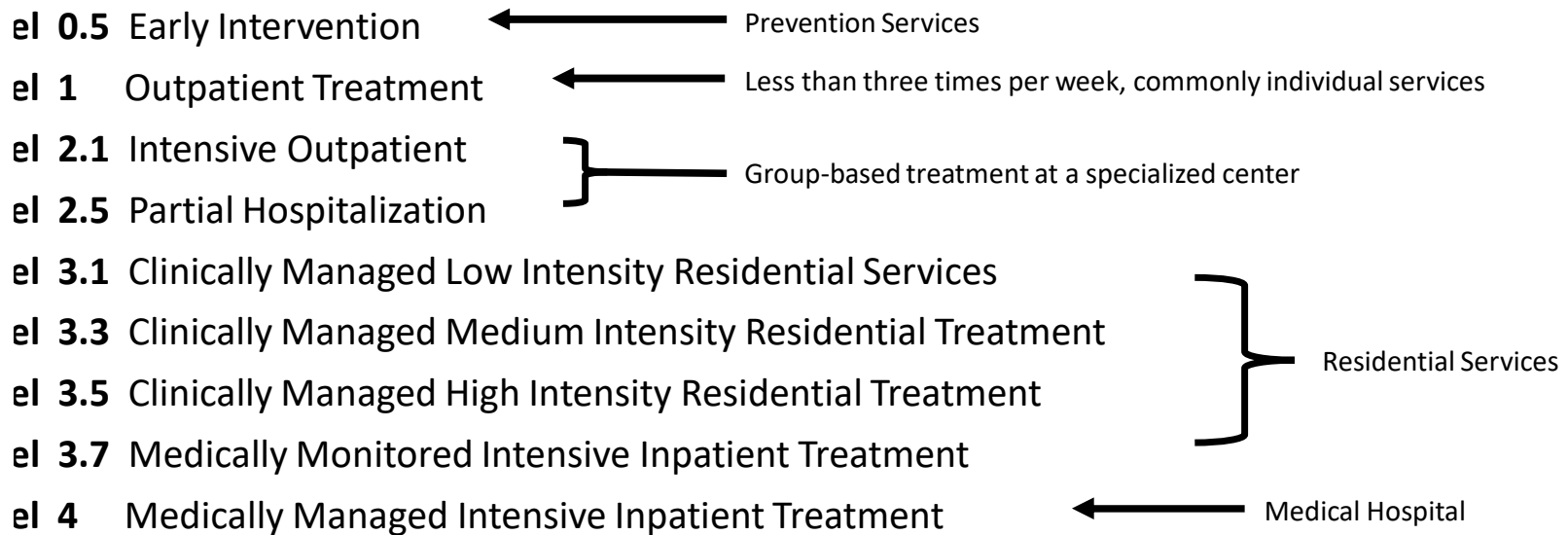
ASAM is Building
a Treatment
System that
ensures
Comprehensive,
Testable and
Verifiable
Addiction Care



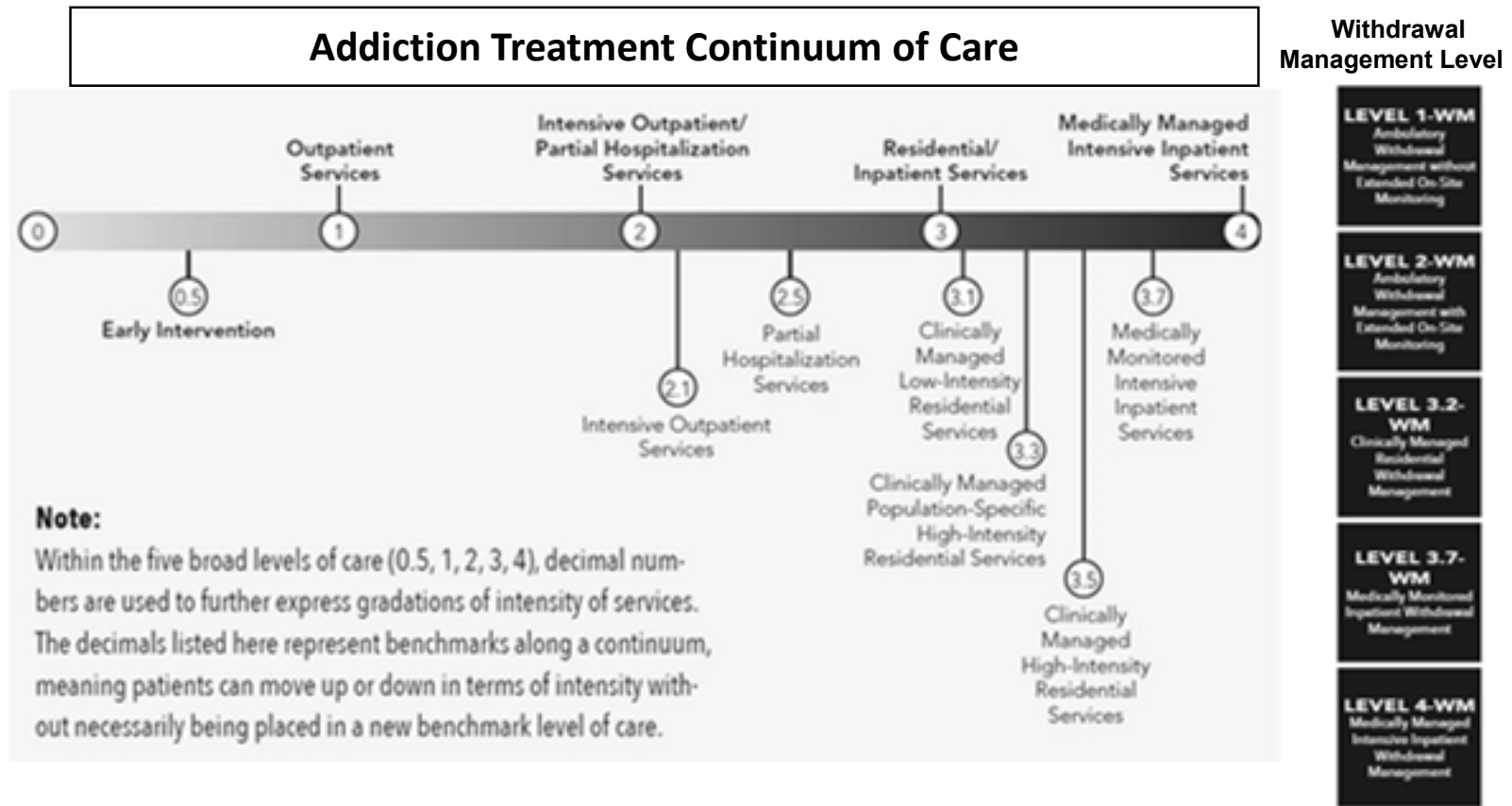
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The ASAM Criteria Treatment Intensity and Typology

Whole numbers demarcate major types of treatment with decimal places defining intensity. The system is designed for future refinement.



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The ASAM Criteria Patient/Client Characteristics

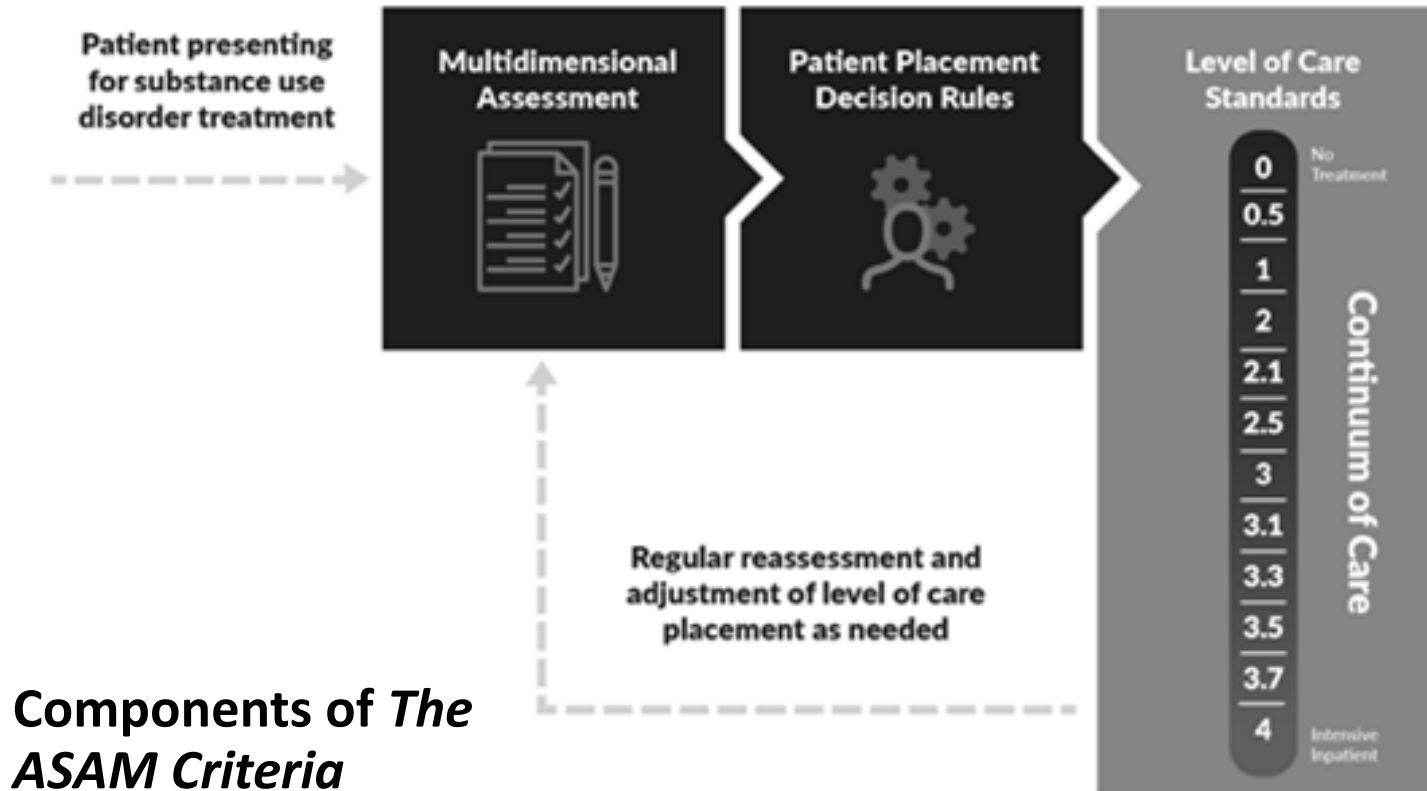
- Dimension 1: Acute Intoxication and/or Withdrawal Potential
- Dimension 2: Biomedical Conditions and Complications
- Dimension 3: Emotional, Behavioral or Cognitive Conditions and Complications
- Dimension 4: Readiness to Change
- Dimension 5: Relapse, Continued Use or Continued Problem Potential
- Dimension 6: Recovery/Living Environment

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Putting the ASAM Criteria Axes Together

		Care Needs at Each Level of Care								
		0.5	1	2.1	2.5	3.1	3.3	3.5	3.7	4
Dimensions of Care	Dimension 1									
	Dimension 2									
	Dimension 3									
	Dimension 4									
	Dimension 5									
	Dimension 6									

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The ASAM Criteria – Education Offerings

- One Day Foundations Course: Live Virtual - www.asam.org/CriteriaCourse
- One Day Foundations: Self-Paced, On-Demand Course - elearning.asam.org/p/OnlineCriteriaCourse
- Two-Day Skill Building Course : Live Virtual
- Utilization Management Course: Self-Paced, On-Demand Course

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ASAM CONTINUUM® THE ASAM CRITERIA DECISION ENGINE

ASAM CONTINUUM = Clinical Decision Support

- System that operationalizes the multidimensional assessment of *The ASAM Criteria* patient placement decision rules
- CONTINUUM was commissioned to operationalize the *Criteria* using a standardized patient assessment system.
- It supports the CMS initiative for programs to adopt nationally accepted standards
- Meets criteria for 1115 waiver



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ASAM Continuum Software Interface

The screenshot displays the ASAM Continuum software interface within a web browser. The browser address bar shows the URL: <https://asam-demo.feisystems.com/Interview/ReviewSection/Edit/16d35da4-5260-4eeb-af58-a5cb00e31618>. The interface features a dark sidebar on the left with the 'Continuum' logo and navigation options: Home, Assessment, and Patient. The main content area shows a patient profile for Alex Smith, created by ykidan@asam.org, with a birth date of 03/01/2016, female gender, Catholic religion, and Caucasian ethnicity. The assessment form includes several questions with dropdown menus and checkboxes. A dropdown menu is open, showing a list of reasons for final disposition, such as 'Not applicable (patient agrees) or No Answer' and 'Clinician disagrees with ASAM Criteria recommendation'. The form also includes a 'Was patient referred to a biomedically enhanced program?' question with 'Yes' and 'No' options. At the bottom, there are 'Prev', 'Save', 'Next', and 'Cancel' buttons.

Continuum
THE ASAM CRITERIA DECISION ENGINE

Question and Answer Knowledgebase
David Gastfriend
Chasen Passmore | Loc, Del
ASAM David

Home Assessment Patient

General Information
Medical History
Employment and Support History
Drug and Alcohol
Legal Information
Family and Social History
Psychological
Interview Completion
Review

Section % Complete
Review 0%

Terms and Conditions

Alex Smith
Birth Date: 03/01/2016 Gender: Female Religion: Catholic Ethnicity: Caucasian
Edit Print

Created by ykidan@asam.org
through with referral in 30 days?

Following this patient interview, what is the motivation for recovery at this time?

For this patient, what is the likelihood of maintaining total abstinence in 90 days?

For this patient, what is the likelihood of involvement in treatment in 90 days?

Category of final disposition (i.e., where the patient is actually being sent to treatment)

Sub-category of final disposition (i.e., where the patient is actually being sent to treatment)

Reason for final disposition (i.e., where the patient is actually being sent to treatment), if different from recommended

Was patient referred to a biomedically enhanced program? Yes No

Not applicable (patient agrees) or No Answer
Final disposition is, or is expected to be, same as recommended by ASAM Criteria
Different treatment selected due to patient choice
Recommended program is unavailable in geographic region
Lack of physical access (e.g. transportation, mobility)
Conflict with job/family responsibilities
Patient lacks insurance
Patient has insurance but insurance will not approve recommended treatment
Program available but lacks opening or wait list too long
Program available but rejects patient due to patient characteristic(s), e.g. attitude, behavior, clinical status
Court or other mandated treatment is different or blocks ASAM Criteria recommendation
Patient rejects any treatment at this time
Patient ekoped
Clinician disagrees with ASAM Criteria recommendation
Not known
Clinician disagrees with ASAM Criteria recommendation

Prev Save Next Cancel

8:41 PM 06/26/2016

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CONTINUUM Summary Report

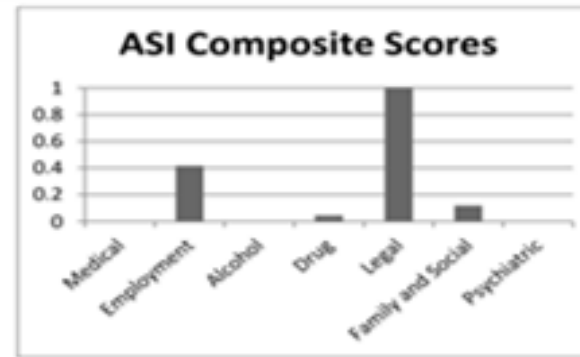
ASAM CONTINUUM™
THE ASAM CRITERIA DECISION ENGINE

Samantha Smith
Provider Patient ID:

Interviewer: continuumdemo1@asam.org
Assessment Start: 1/29/2021 10:09 AM CST
Assessment End: 1/29/2021 10:11 AM CST

ASI Composite Scores

Category	Value
Medical	0.000
Employment	0.417
Alcohol	0.000
Drug	0.046
Legal	1.507
Family and Social	0.120
Psychiatric	0.000



CRITICAL ITEMS

The following critical medical/addiction item(s) were noted while completing this assessment:

1. The patient has had a loss of consciousness in the past 24 hours. Suspected loss of consciousness requires rapid evaluation by physician or emergency room.

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CONTINUUM Narrative Psychosocial Report

ASAM CONTINUUM™
NARRATIVE REPORT

Samantha Smith

Provider Patient ID:

Interviewer: continuumdemo1@asam.org

Assessment Start: 1/26/2021 10:09 AM CST

Assessment End: 1/26/2021 10:11 AM CST

FAMILY/SOCIAL SECTION

Marital and Living Situation for Majority of the Past Three Years

Samantha appears to feel fairly indifferent towards her marital situation.

Recovery Environment and Social Contacts

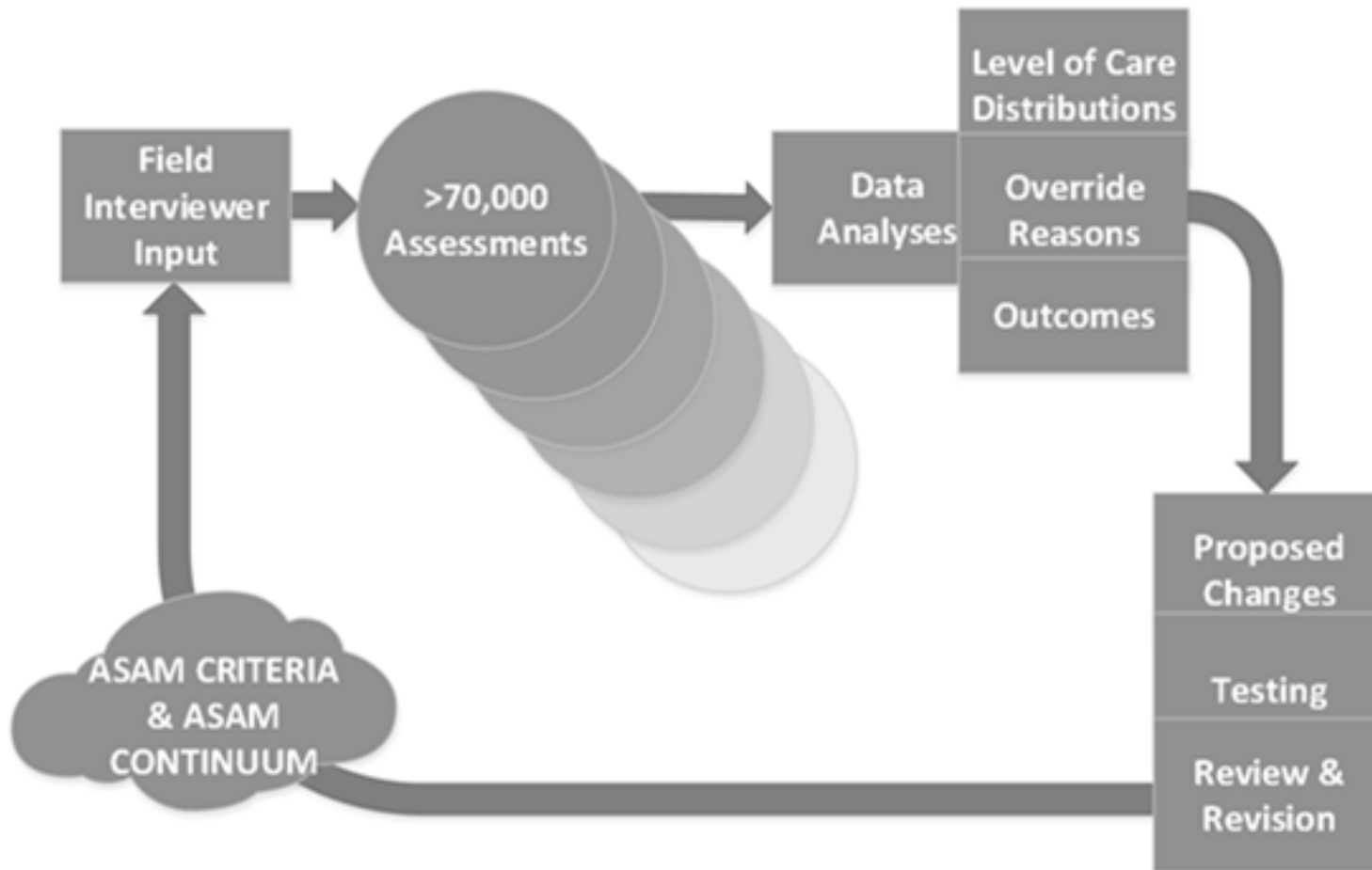
Samantha reports that in the past 4 months she has had close relationships with her father. When she re-enters the community, Samantha states that her living arrangements will promote treatment and recovery. After re-entering the community, Samantha plans to spend most of her free time with friends. After re-entering the community, Samantha thinks she will have 3 close friends on whom she can rely.

Relationship Problems Past Thirty Days

She has not had any significant problems with family members or friends in the past 30 days. In the past 30 days, she has not had or reported significant problems getting along with either her partner or children (or this relationship is not applicable). In the past 30 days, she has not had or reported any serious problems with either her mother or father (or this relationship is not applicable). She has not had or reported significant problems getting along with either siblings or close friends (or this relationship is not applicable). She has not had or reported serious problems getting along with either neighbors or co-workers recently (or this relationship is not applicable). Samantha has not experienced any recent emotional, physical, or sexual abuse.

Client Perception of Severity of Family and Social Problems and Desire for Treatment

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ASAM Level of Care Certification

ASAM offers:

- **Training:** 7-hours of recorded, on-demand content
- **Certification Standards Manual** to explain standards and rating elements
- **Certification Preparation Workbook** to help programs assess their readiness for surveys
- **Ask an Expert:** Q&A Webinars



<https://www.asam.org/Quality-Science/level-of-care-certification>

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Level of Care Certification

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Level of Care Certification Program

- Independently assess and verify treatment programs' **capacity** to deliver services consistent with the Level of Care standards described in The ASAM Criteria
- Will initially cover **adult** residential programs at Levels 3.1, 3.5, and 3.7 of The ASAM Criteria.



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ACCREDITATION

Accreditation is a comprehensive quality review of a program and its service delivery and related business practices.

VS

CERTIFICATION

Certification demonstrates a program's capacity to deliver a specific level of care, thereby differentiating between the many levels of care

Re-Engineering Treatment One Step At A Time: ASAM Level Of Care Certification

ASAM and CARF Partnership

Collaboration to develop the rating elements for certification.

ASAM and CARF roles:

- ASAM offers training and publications to help programs prepare for certification
- CARF accepts applications for certification, conducts program surveys, and issues independent certification decisions

Re-Engineering Treatment One Step At A Time: ASAM Level Of Care Certification

WHY CERTIFICATION?

- Verify implementation of *The ASAM Criteria*
- Support adoption of standards of care to improve the quality of addiction treatment
- Accurately differentiate between levels of care
- Highlight treatment programs that have evidence-based policies and procedures

Re-Engineering Treatment One Step At A Time: ASAM Level Of Care Certification

What Does Certification Address?

Ability to provide care as outlined in *The ASAM Criteria* service characteristic categories:

Setting

Staff

Support Systems

Assessment/Treatment Planning

Therapies

Documentation

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Service Characteristic: Setting Examples

Setting standards address the general environment in which treatment is delivered.

- How are safety and security of the patient and facility ensured?
- What is the proximity between the treatment and residential spaces?
- Is the environment conducive to successful treatment and recovery?



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Service Characteristic: Staff Examples

Who, what, when, where, how?

- Who are the members of the treatment staff?
- What are the responsibilities of each team member?
- When are they available, on-call, consulted, etc.?
- Where are they available (on-site or on-call remotely)?
- How are their competencies and training assured?



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Service Characteristic: Support System Examples

- How are referrals to outside treatment or recovery support services handled?
- What procedures are in place for handling urgent medical and psychiatric situations?
- What are the procedures for transfer and follow-up?



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Service Characteristic:

Assessment and Treatment Planning Examples

- Entry/admission criteria
- Review of admissions decisions
- Admission process (physical examination and biopsychosocial assessment)
- Treatment planning and review processes



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Service Characteristic: Therapies Examples

Availability and delivery of:

- Medications (including for opioid use disorder)
- Clinical services
- Individual and group counseling
- Health education
- Skill building
- Activities to develop and apply recovery skills



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Service Characteristic: Documentation Examples

Where and how items related to patient care are documented:

- Progress notes
- Treatment plan
- Communication among treatment team
- Coordination with external providers



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The Certification Process

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The Path to Certification



Decide
to seek
certification

Conduct
assessment
of policies
&
procedures

Update
policies &
procedures,
prepare for
survey

Apply for
certification

Undergo
survey

Receive
certification
decision

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Survey Process

Involves review of:

- Policies and procedures
- Physical facilities
- Staff position descriptions and schedules
- Sample of patient records



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Two categories of
rating elements

Evaluation Method

Defining Elements All must be met at the time of the certification survey.

Non-defining Elements Programs must satisfy the proprietary scoring methodology.

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After Achieving Certification

Certified programs will receive a seal and guide to promoting their certification

To maintain certification programs must:

- Address any deficiencies in a plan of action submitted to CARF
- Annually attest to continued satisfaction of rating elements

After three years, complete a recertification survey to maintain certification

Re-Engineering Treatment One Step At A Time: ASAM Level Of Care Certification

Resources Support for Certification



- *The ASAM Criteria* Textbook
- The ASAM Level of Care Certification Manual
- The ASAM Level of Care Certification Preparation Workbook
- ASAM Level of Care Certification Trainings and Webinars

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Questions for ASAM

Standards and Rating Elements
ASAM Criteria
Assistive Books, Trainings,
Consulting

certification@asam.org
www.ASAMcertification.org

VS

Questions for CARF

Applications
Site Surveys
Certification Decisions

LOCcertification@carf.org
www.carf.org/LOCcertification