

Welcome to PAA, LLC. and thank you for registering with us. PAA, LLC. has been in business for over 50 years catering to the buying and selling needs of dealers like you. Our success is built on your success! Which can be measured in a number of ways. A couple ways being the prices and amounts of vehicles, also the number of buyers and sellers per week.

Every step of the way we want you to feel welcome while being treated properly, professionally, and friendly.

	uction is Dealer Registration. Please	take the time to properly	fill out the attached
Dealer Registration-Application			
We will also need a current cop	y of your:		
Dealers License	Dealer Bond	Company Check	Drivers License
If you have any questions regar	ding this Dealer Registration Package	e please do not hesitate t	to contact us.
Next I'd like to introduce our st	aff.		
John Quinn – 1 yr	Toni Jones − 3 yrs	Becky Campbell	– 37 yrs
Owner	Office Manager	Transportation M	anager
Extension 140	Extension 190	Extension 210	_
john.quinn@plazaaa.com	toni.jones@plazaaa.com	becky.mcginty@	plazaaa.com
Sean McNeal – 2 yrs	Jessi Kelchen -1 yr	JD Dreyer – 1 yr	
General Manager	Inside Sales	Outside Sales	
Extension 140	Extension 180	319-504-5713	
sean.mcneal@plazaaa.com	jessi.kelchen@plazaaa.com	james.dreyer@pl	azaaa.com

Alice Hanson – 8 yrs

Fleet/Lease Manager

Extension 170

Alice.hanson@plazaaa.com

Stephanie Cummings-2 yrs

Title Clerk & Dealer Registration

Extension 120

stephanie.cummings@plazaaa.com

Trisha Waltz - 2 yrs Office Assistant Extension 110

trisha.waltz@plazaaa.com

PAA, LLC. Follows The NAAA Rules and Policies.

I hope this has been helpful in introducing you to PAA, LLC. Our sales are held every Wednesday night at 6:15pm with two Featured Dealer lanes followed by dealer consignment vehicles. Fleet Lease vehicles start at 7:15pm. Monthly market report is updated weekly at www.Plazaaa.com so you can keep up with the latest trends. If you need assistance with transporting your purchases or if you have vehicles to be picked up of sale, please ask Plaza for details. Do you need your car detailed? Plaza offers those services as well as Post Sale Inspections: why not let our qualified people do that for you.

Welcome!

Thank you John Quinn & Sean McNeal



Registration Application

Dealership Information				D	ealership A	uctionACCES	SS ID:	
Trade or DBA Name:								
Legal Name (if different):					Date Busir	ness Started	:	
Federal ID:								
	X-RFC, CA-GS	ST/BIN, Inter	national-Owr	ners Passport)				
RIN (Canadian Province of Ontario only	y):							
Check only one Sole Proprie	torship	G	eneral Part	nership	Li	mited Partne	ership	
Company Type: Business Co	rporation	Li	mited Liabi	lity Company	□υ	nlimited Liab	oility Corpora	ation
Business Types								
Check all that apply	New	Used	Lease	Wholesale	Parts	Salvage	Export	Rental
Automobile								
Motorcycle								
Moped								
RV								
Mobile Home								
Trailer (Pull Behind)								
Motorcycle (Off Road)								
ATV								
Snowmobile								
Heavy Equipment								
Boat								
Personal Watercraft								
Airplane								
Unknown								
Contact Information								
Lot Address:								
City:				Colony (if applic	cable):			
St/Prov (if applicable):		Postal Co	ode:	Co	ountry:			
Mailing Address:								
City:				Colony (if applic	rahle):			
Ct/Droy (if applicable)		Doctal Co			ountry:			
Bus. Phone Number:		- 1 USLAI C	-	Fax Number:	,unu y			
Email Address:			- Bus. 	-				

The undersigned entity and individuals (collectively "you") hereby authorize AutoTec, LLC and those customers that subscribe to AutoTec's AuctionACCESS system (including without limitation Manheim, Inc. and its affiliates, ADESA, Inc. and its affiliates, all other subscribing auctions and Auction Insurance Agency) (collectively, "Customers") to provide, obtain, store, use and share amongst themselves, and externally, as each sees fit, the information contained on this application or on any other forms provided to AutoTec, and any and other information regarding you and/or your dealings with each, including transaction, bank, credit information and consumer reports. You acknowledge that AutoTec provides such information to Customers without verifying the same, on an "as is" basis, expressly disclaiming any express or implied warranties regarding such information. Our Customers are not limited to businesses in the automobile auction or dealer industries, but may also include other businesses providing goods or services to such industries.

By signing below, you (1) agree to the terms of this application, any exhibits hereto, and AutoTec's Terms and Conditions as they may be amended from time to time and posted on www.AuctionACCESS.com, (2) warrant that you are solvent, properly registered to do business and licensed as a dealer (if applicable), and that the information provided herein is complete, accurate, and truthful, (3) acknowledge that registration in the AuctionACCESS System is no guarantee of purchasing privileges or credit with any Customer, each of whom retain the discretion to deal with you as they see fit, (4) agree to be bound by the policies and procedures of each Customer at which you register or transact with your AuctionACCESS credentials, (5) agree that AutoTec and each Customer, on their own behalf or on behalf of their respective customers or other third parties may contact you for any commercial or advertising purpose, including pre-recorded messages, using the telephone numbers (whether business, home, or mobile numbers (including texts)), fax numbers, e-mail addresses, and/or other contact information provided on this form, or otherwise used in connection with your business, (6) agree to disclose AuctionACCESS numbers only to subscribing Customers and (7) agree that we may collect, use and share the information you provide in this application and your other personal information as described above and as set out in our Terms and Conditions and Privacy Policy available at www.AuctionACCESS.com.

You agree that these terms and any other commitments or account forms submitted to AutoTec, its affiliates, and any Customers, by you or any authorized Individual, shall have the same force and effect as though personally signed by each of you and may be enforced as originals, even if they were accepted online, by email, via "click-through" agreement, or in other digital, pdf, or facsimile format.

Signature

Legal Name of Entity (Corp/LLC/LLP/ULC	Trade or DBA Name of Entity						
By:	Its:						
Printed Name of Authorized Signer (Owner/Officer/Manager) Signature of Authorized Signer (Owner/Officer/Manager)		Title of Authorized Signer (Owner/Officer/Manager)					
		Date of Signature					
Owners							
Owner Name	Owners AA ID	Owner Name		Owners AA ID			
References							
Auction Name			Phone Number				
Franchise Information							
Franchise Name	Franchise Number	Franchise Name		Franchise Number			
		_					
		_					



Bank Authorization Letter

To Whom It May Concern:

In order to enable the undersigned dealership to participate in AutoTec's AuctionACCESS System and thereby enhance its automotive business and ability to utilize bank accounts in connection with the same, you are hereby authorized to do the following:

Release financial credit information, including account statements and credit reports, to Auto Tec, LLC, its affiliate Auction Insurance Agency, and those customers that subscribe to AutoTec's AuctionACCESS System (including Manheim, Inc. and its affiliates, ADESA, Inc. and its affiliates, and all other subscribing auctions and customers)("Customers").

Include the information contained in the attached Bank Reference letter regarding the undersigned dealership and its business checking and other accounts as requested by the AuctionACCESS Customer, AutoTec, LLC, and/or Auction Insurance Agency.

Share this information with the AuctionACCESS Customers with whom I wish to register.

The information will be used for business purposes in connection with the AuctionACCESS System and/or affiliated programs provided for subscribing Customers. We have agreed that our online or digital signature to this and other forms is binding as if personally signed, Thus, you may rely on any system generated acknowledgment stamp with IP address below.

Dealership Name:	<u> </u>
Dealership Address:	
Your prompt attention in answering the Bank Reference L	etter will be greatly appreciated.
Sincerely,	
By: Printed Name of Authorized Signer (Owner/Office/Manager)	Its: Title of Authorized Signer (Owner/Office/Manager)
Signature of Authorized Signer (Owner/Office/Manager)	Date:
Bank Infor	rmation
Bank Name:	Bank Contact:
Address:	
Phone Number:	Fax Number:
Account Number:	



Personal Guaranty

The undersigned, whether one or more, personally guarantee(s) Dealer's payment and performance of PAA, LLC. Policies, Terms and Conditions and all transactions by Dealers taken pursuant thereto.

The undersigned acknowledge(s) that PAA, LLC. shall have the right to refuse to transact business with Dealer, to modify or release any and all collateral security, to extend or change time of payment and to settle or compromise with Dealer without notice to the undersigned and without discharging or affecting the liability of the undersigned hereunder. This personal guaranty is to be a continuing guaranty and the undersigned hereby waive(s) notice of acceptance of this guaranty and presentment, demand, protest, and any notice of non-payment or dishonor. The undersigned shall be liable as principal debtor(s) and not merely as surety (or sureties), and the bankruptcy or any assignment in favor of creditors of Dealer shall not affect the enforceability of this personal guaranty.

This personal guaranty shall bind the respective heirs, executors, administrators, and assigns of the undersigned, and shall insure to the benefit of PAA, LLC. its successors, assigns and subrogees.

Where there is more than one signatory to this personal guaranty, each guaranty shall be jointly and severally liable under this personal guaranty.

this day of, 202	
(Printed Name of First Owner)	(Witness Printed Name)
(Signature of First Owner)	(Witness Signature)
(Printed Name of Second Owner)	(Witness Printed Name)
(Signature of Second Owner)	(Witness Signature)



Signature(s)

The undersigned dealer authorizes PAA, LLC. to obtain credit information from a credit bureau, and any financial institution (bank) or trade creditor as well as any other credit investigation that provide to any other National Auto Auction Association auction requesting information regarding its performance, dealer in good standing information and information regarding the dealers representatives.

Dealer acknowledges receipt of PAA, LLC. Auctions' Policies, Terms and Conditions, which set forth the terms and conditions under which Dealer may conduct business at PAA, LLC. Upon approval of the Dealer Application, Dealer agrees to the Auction Policies, Terms and Conditions, which may change from time to time. Dealer will not be allowed to conduct business with PAA, LLC. unless Dealer has reviewed and agreed to the Policies, Terms and Conditions. Lack of knowledge of Policies, terms and Conditions will not release Dealer of obligations.

Dealer acknowledges that PAA, LLC. shall have the authority to approve or disapprove the Dealer doing business at PAA, LLC.

Dealer gives the express permission to receive fax transmissions to the listed fax number, telephone calls to the listed telephone numbers, emails to the listed email addresses, mailings to the listed address and any other form of communication from PAA, LLC. This permission will remain in effect until canceled in writing.



Type your text

Power of Attorney

("Clie	nt") hereby appoints and constitutes	, PAA, LLC.
("Auction") and its designees, to execute on behalf of clien	nt certificates of title and/or registrat	ion of such
vehicles; odometer disclosure statements, and such other	documents as deemed necessary to	convey to
purchasers at auction good titles to vehicles consigned by	undersigned to Auction to be sold at	auction.
With reference to the odometer disclosure statement, un	less otherwise instructed by client, in	executing the
odometer disclosure statement on behalf of the client, Au	ction and/or its designees are directed	ed to enter the
mileage reading on the dashboard of each vehicle as the t	_	
states otherwise in writing, Client represents that such mi		
clients information on belief, and client will indemnify and	_	
pursuant to this power of attorney, against any and all co		•
reasonable attorney's fees, based upon or resulting from		on any venicle,
unless such inaccuracy is caused by the Auction or its desi	gnees.	ation of such to convey to the at auction. In executing the ted to enter the hicle. Unless it to the best of the gnees auction including
	(Print Name of Dealer)	(Date)
	(Signature of Dealer)	(Date)
State of		
County of		
Subscribed and sworn to before me this day o	f, 20	_
My Commission Expires:		



Request for Taxpayer Identification Number and Certification

► Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the requester. Do not send to the IRS.

	1 Name (as shown on your income to	ax return). Name is re	quired on this line; do i	not leave this line blank.										
	2 Business name/disregarded entity	name, if different from	n above											
n page 3.									4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):					
e.	single-member LLC						Exem	pt payee	code	(if any)_				
충	Limited liability company. Enter	the tax classification	(C=C corporation, S=S	corporation, P=Partner	rship) ▶									
Print or type. Specific Instructions on page	Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.								orting					
cifi	Other (see instructions)	illouid check the appi	Topriate box for the tax	Classification of its own	ei.		(Applie	s to account	s mainta	ined outsid	e the (J.S.)			
) Spe	5 Address (number, street, and apt.	or suite no.) See instri	uctions.		Requeste	r's name								
See S										•				
Ø	6 City, state, and ZIP code													
	7 List account number(s) here (option	nal)												
Pai	t I Taxpayer Identific	ation Number	(TIN)											
	your TIN in the appropriate box. T		` '	given on line 1 to av	oid	Social s	ecurity	number						
	up withholding. For individuals, this				or a				7 [
	ent alien, sole proprietor, or disrega es, it is your employer identification				ot a		-		-					
TIN, la		Triumber (Liiv). If y	ou do not nave a nu	iliber, see riow to ge	n a O	r								
Note:	Note: If the account is in more than one name, see the instructions for line 1. Also see What Name and Employer identification number													
Numb	Number To Give the Requester for guidelines on whose number to enter.					T								
							-							
Par	t II Certification													
Unde	r penalties of perjury, I certify that:													
2. I ar Sei	e number shown on this form is my m not subject to backup withholdir rvice (IRS) that I am subject to bac longer subject to backup withhold	ng because: (a) I an kup withholding as	n exempt from back	up withholding, or (b)) I have no	t been	notified	by the	Inter					
3. I ar	m a U.S. citizen or other U.S. perso	on (defined below);	and											

4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

	acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.					
Sign Here	Signature of U.S. person ►	Date ►				

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to *www.irs.gov/FormW9*.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

• Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

By signing the filled-out form, you:

- 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
 - 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- 4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting*, later, for further information.

Note: If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- · An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States.

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity;
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust; and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

- 1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
 - 2. The treaty article addressing the income.
- 3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
- 4. The type and amount of income that qualifies for the exemption from tax.
- 5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

Backup Withholding

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 24% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

- 1. You do not furnish your TIN to the requester,
- 2. You do not certify your TIN when required (see the instructions for Part II for details),
 - 3. The IRS tells the requester that you furnished an incorrect TIN,
- 4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
- 5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

Also see Special rules for partnerships, earlier.

What is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account; for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

a. **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

Note: ITIN applicant: Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040/1040A/1040EZ you filed with your application.

- b. **Sole proprietor or single-member LLC.** Enter your individual name as shown on your 1040/1040A/1040EZ on line 1. You may enter your business, trade, or "doing business as" (DBA) name on line 2.
- c. Partnership, LLC that is not a single-member LLC, C corporation, or S corporation. Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.
- d. **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on line 2.
- e. **Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulations section 301.7701-2(c)(2)(iii). Enter the owner's name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2, "Business name/disregarded entity name." If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, you may enter it on line 2.

Line 3

Check the appropriate box on line 3 for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3.

IF the entity/person on line 1 is a(n)	THEN check the box for
Corporation	Corporation
Individual Sole proprietorship, or Single-member limited liability company (LLC) owned by an individual and disregarded for U.S. federal tax purposes.	Individual/sole proprietor or single- member LLC
LLC treated as a partnership for U.S. federal tax purposes, LLC that has filed Form 8832 or 2553 to be taxed as a corporation, or LLC that is disregarded as an entity separate from its owner but the owner is another LLC that is not disregarded for U.S. federal tax purposes.	Limited liability company and enter the appropriate tax classification. (P= Partnership; C= C corporation; or S= S corporation)
Partnership	Partnership
Trust/estate	Trust/estate

Line 4, Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space in line 4.

- 1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2-The United States or any of its agencies or instrumentalities
- 3—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5-A corporation
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission
- 8-A real estate investment trust
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940
- 10-A common trust fund operated by a bank under section 584(a)
- 11-A financial institution
- 12-A middleman known in the investment community as a nominee or custodian
- 13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for	THEN the payment is exempt for
Interest and dividend payments	All exempt payees except for 7
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 5 ²
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) written or printed on the line for a FATCA exemption code.

- A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
 - B—The United States or any of its agencies or instrumentalities
- C—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
- D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i)
- E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i)
- F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
 - G-A real estate investment trust
- H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
 - I-A common trust fund as defined in section 584(a)
 - J-A bank as defined in section 581
 - K-A broker
- L—A trust exempt from tax under section 664 or described in section 4947(a)(1)

M—A tax exempt trust under a section 403(b) plan or section 457(g) plan

Note: You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, write NEW at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

Line 6

Enter your city, state, and ZIP code.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note: See *What Name and Number To Give the Requester,* later, for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at www.SSA.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/Businesses and clicking on Employer Identification Number (EIN) under Starting a Business. Go to www.irs.gov/Forms to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to www.irs.gov/OrderForms to place an order and have Form W-7 and/or SS-4 mailed to you within 10 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note: Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

- 1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.
- 2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.
- **3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.
- **4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).
- 5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
Two or more individuals (joint account) other than an account maintained by an FFI	The actual owner of the account or, if combined funds, the first individual on the account 1
3. Two or more U.S. persons (joint account maintained by an FFI)	Each holder of the account
Custodial account of a minor (Uniform Gift to Minors Act)	The minor ²
5. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee ¹
b. So-called trust account that is not a legal or valid trust under state law	The actual owner ¹
Sole proprietorship or disregarded entity owned by an individual	The owner ³
7. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulations section 1.671-4(b)(2)(i) (A))	The grantor*
For this type of account:	Give name and EIN of:
Disregarded entity not owned by an individual	The owner
9. A valid trust, estate, or pension trust	Legal entity ⁴
10. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
Association, club, religious, charitable, educational, or other tax- exempt organization	The organization
12. Partnership or multi-member LLC	The partnership
13. A broker or registered nominee	The broker or nominee

For this type of account:	Give name and EIN of:
14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
15. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulations section 1.671-4(b)(2)(i)(B))	The trust

- ¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.
- ² Circle the minor's name and furnish the minor's SSN.
- ³ You must show your individual name and you may also enter your business or DBA name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.
- ⁴ List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships*, earlier.

*Note: The grantor also must provide a Form W-9 to trustee of trust.

Note: If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information such as your name, SSN, or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN.
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes. Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to <code>phishing@irs.gov</code>. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at <code>spam@uce.gov</code> or report them at <code>www.ftc.gov/complaint</code>. You can contact the FTC at <code>www.ftc.gov/idtheft</code> or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see <code>www.ldentityTheft.gov</code> and Pub. 5027.

Visit www.irs.gov/IdentityTheft to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.

Page 6



Iowa Sales/Use/Excise Tax Exemption Certificate

https://tax.iowa.gov
This document is to be completed by a purchaser when claiming exemption from sales/use/excise tax.

Certificates are valid for up to three years.					
Purchaser legal name:	Seller legal name:				
Doing business as:	Doing business as:				
Address:	Address:				
City: State: ZIP:					
General nature of business:					
Phone number:					
Purchaser is doing business as: Retailer □ Sales/Use/Excise Tax Permit Number (if	Purchaser is claiming exemption for the following reason: Resale □ Leasing □ Processing □				
required): Retailer car dealer Enter your DOT number:	Qualifying farm machinery/equipment Qualifying farm replacement parts Qualifying manufacturing machinery/equipment Research and development equipment Pollution control equipment				
Governmental agency (including public schools)					
Wholesaler □					
Farmer □					
Lessor □	Recycling equipment Qualifying computer Qualifying replacement parts/supplies (Manufacturing, Research & Development,				
Manufacturer □					
Nonprofit hospital □					
Private nonprofit educational institution □	pollution control, recycling, computer)				
Qualifying residential care facility ☐ Nonprofit museum ☐	Qualifying computer software, specified digital products and digital services Other				
Commercial enterprise □ Other □	Direct Pay □- Permit number required: Permit:				
Description of purchase (Include additional informat	ion if necessary):				
certificate, and, to the best of my knowledge and be Signature of purchaser:					
Title:	Date:				

Seller: Keep this certificate in your files. **Purchaser:** Keep a copy of this certificate for your records.

Do not send to the lowa Department of Revenue.

Iowa Sales/Use/Excise Tax Exemption Certificate, page 2

Instructions

This exemption certificate is to be completed by the purchaser claiming exemption from tax and given to the seller. The seller must retain this certificate as proof that exemption has been properly claimed. The certificate must be complete to be accepted by the seller. The seller can accept an exemption certificate only on property that is qualified (see the exemptions below) or based on the nature of the buyer. If property or services purchased for resale or processing are used or disposed of by the purchaser in a nonexempt manner, the purchaser is then responsible for the tax.

General Information about Exemptions

Resale: Any person in the business of selling who is purchasing items to resell may claim this exemption. The purchaser can be acting as either a retailer or wholesaler and may not be required to have a sales/use/excise tax permit. Retailers with a sales/use/excise tax permit number must enter it in the space provided.

Processing: Exempt purchases for processing include tangible personal property which by means of fabrication, compounding, manufacturing, or germination becomes an integral part of other tangible personal property ultimately sold at retail; chemicals, solvents, sorbents, or reagents used, consumed, dissipated, or depleted in processing personal property intended to be sold ultimately at retail, and chemicals used in the production of free newspapers and shoppers guides. Note: To claim an exemption for fuel used to create heat, power, or steam for processing or for fuel used to generate electric current, use Iowa Sales Tax Exemption Certificate, Energy used in Processing or Agriculture.

Qualifying farm machinery/equipment: The item must be one of the following:

- 1. A self-propelled implement directly and primarily used in agricultural production, such as a tractor.
- 2. An implement directly and primarily used in agricultural production that is customarily drawn or attached to a self-propelled implement in the performance of its function, such as a plow.
- 3. A grain dryer (heater and blower only) directly and primarily used in agricultural production.
- 4. A snow blower, rear-mounted or front-mounted blade, or rotary cutter used in agricultural production, if attached to or towed by a self-propelled implement.
- 5. A diesel fuel trailer, seed tender, all-terrain vehicle, or off-road utility vehicle primarily used in agricultural production.
- 6. Tangible personal property that does not become a part of real property and is directly and primarily used in livestock or dairy production, aquaculture production, or the production of flowering, ornamental, or vegetable plants.
- 7. Auger systems, curtains, curtain systems, drip systems, fans, and fan systems, shutters, inlets, shutter or inlet systems, and refrigerators used in livestock or dairy production, aquaculture production, or the production of flowering, ornamental, or vegetable plants.
- 8. An auxiliary attachment improving safety, performance, operation, or efficiency for items 1 through 7.
- 9. A replacement part for items 1 through 8.
- 10. A container, label, carton, pallet, packing case, wrapping, baling wire, twine, bag, bottle, shipping case, or other similar item used in agricultural, livestock, or dairy production.

Qualifying manufacturing machinery/equipment: The item must be computers, machinery, equipment, replacement parts, supplies, or material used to construct or self-construct computers, machinery, equipment, replacement parts, or supplies used for one of the following purposes:

- 1. Directly and primarily used in processing by a manufacturer.
- 2. Directly and primarily used to maintain integrity or unique environmental conditions for the product.
- 3. Directly and primarily used in research and development of new products or processes.
- 4. Directly and primarily used in recycling or reprocessing of waste products.

Pollution control equipment: The equipment must be used by a manufacturer primarily to reduce, control, or eliminate air or water pollution.

Iowa Sales/Use/Excise Tax Exemption Certificate, page 3

Qualifying computer software, specified digital products, and digital services: The product purchased may only be those listed in Iowa Code section 423.3(104), must be purchased by a commercial enterprise as defined in Iowa Code section 423.3(104), and must be used exclusively by the commercial enterprise.

Qualifying computers: The computers must be used in processing or storage of data or information by an insurance company, financial institution, or commercial enterprise.

Direct pay: Businesses and individuals who pay their taxes directly to the Department rather than to the seller **must** enter their Direct Pay permit number in the space provided.

Private nonprofit educational institutions: Purchases made by Iowa private nonprofit educational institutions used for educational purposes are exempt.

Not exempt from sales/use/excise tax are purchases by most other private nonprofit organizations such as churches, fraternal organizations, clubs, etc., for use by those organizations. For more information about sales/use/excise tax exemptions, see the Department's website (https://tax.iowa.gov).



Know the Rules and Policies:

PAA, LLC. follows the National Auto Auction Association In-Lane and On-Line Arbitration Policy except where noted below.

- Arbitration Threshold \$500.00
- Frame Damage, Rusted Frame, Salvage Title, Previous Salvage must be announced regardless of light
- Vehicles sold for \$2000 and less are AS/IS; NO EXCEPTIONS
- Vehicle Arbitration must be presented within one Hour of Purchase (the time they go across the block), "IF" Sales included

Ride & Drive/Green Light Policy – Vehicle guaranteed to have no major defect over \$500.00 as repaired by the Auction <u>excluding</u> normal wear items like; air ride suspension, brakes, battery, hoses/belts, timing belts/chains, wheel bearings, tires, shocks/struts, etc. Vehicles with clutches must be drivable by auction standards.

Auction Guarantee/Yellow Light – Engine/Drive Train Policy - All vehicles sold under Auction Guarantee must operate well enough to be test-driven safely. Normal Engine/Transmission wear items like described in Ride & Drive are not covered under Auction Guarantee. Any Engine/Drive item(s) costing less than \$500.00 to repair by the Auction is not covered by Auction Guarantee. Auction Guarantee is an ENGINE/DRIVE TRAIN policy that covers:

- 1. Upper & Lower Internal Parts of Motor meaning: Crankshaft, Bearings, Pistons, Rods, Rings/Pins, Lifters, Valves, Cams, Cam Phasers and Head Gaskets.
- 2. Drive Train meaning: Transmission, Transfer Case, Front/Back Differentials, Rear End, and Driveshaft(s). Not covered: CV Joints, axle bearings, brakes.
- 3. Check Engine Lights are not arbitratable unless the defect/repair is in excess of \$500.00 to repair.

ITEMS NOT COVERED ON VEHICLES SOLD AUCTION GUARANTEE

- 1. Fuel Injectors, Water Pumps, Valve Cover Gaskets, Timing Belts, External Parts.
- 2. Fluid Leakage: Leaks and "Seepage".
- 3. Normal wear items like air ride suspension, brakes, wheel bearings, battery, hoses, belts, timing belts/chains, wheel bearings, tires, shocks/struts.
- 4. Defects or problems that require less than \$500.00 to repair.

If you have a question please ask. The National Auto Auction Association has a board of auction owners that has solidified the Auction Rules except for a few exceptions and State and Federal laws. The Board Director is Matt Arias. When I've got a question I contact Matt. As an example; "Timing belts and Timing Chains" are the same thing under the NAAA rules and are considered normal "Wear and Tear" items. "Air Ride" suspensions are considered normal "Wear and Tear" items.



Dealership Authorization of an Individual

1							
Dealership Name:		Dealership AuctionACCESS	ID:				
Printed Legal Name of Individual	Individual's	s AuctionACCESS ID	Date of Birth				
Add or Change Roles							
By signing or clicking approval below, the dealership referenced above ("you", "your", or "yours") authorizes AutoTec, LLC ("we", "us", or "our") to register the individual listed above ("Individual") to serve as your representative and agent in the following capacity:							
The role designated below will be the only role in effect for this Individual for this Dealership, replacing any previous role authorization (check one).							
Representative	Owner	Officer/Manager	Driver				
Subject to our acceptance of this authorization, you acknowledge the following: (i) the capacities in which the Individual is authorized as your agent apply at and with us and our subscribing AuctionACCESS customers ("Customers"), including affiliates; (ii) the authorization will be valid until one business day after we receive and process your written notice of termination in the form of a Dealer Removal Letter; and (iii) once processed, the most recent authorization received and accepted by us will replace any prior authorization(s) for the Individual for transactions thereafter.							
If you designate the Individual in the capacity of "Representative," "Owner," or "Officer/Manager" in this form, the Individual may buy and sell automobiles for you and execute company checks, drafts, certificates of title, and/or any other instruments or documents on your behalf at or with any Customer. You are responsible for any use of such Individual's AuctionACCESS ID on your account. All categories, including "Drivers," may pick up, receive, and/or deliver cars or other property on or for your account.							
You guarantee performance of all obligations and payment of all debts incurred or authorized by this Individual on your account with us or any Customer. This guaranty includes but is not limited to payment of losses from dishonored checks or drafts, defective titles, or false or inaccurate Odometer Mileage Statements. You also guarantee that the Individual will honor the AutoTec Terms and Conditions (as they may be amended from time to time and posted on www.AuctionACCESS.com), conduct business with us and our Customers in a fair and ethical manner, honoring all policies and procedures of each and respecting decisions of ours or our Customers with respect to same. You will indemnify and hold harmless Customers, AutoTec, LLC, each's affiliates, and the officers, directors, employees, and agents of each of the foregoing, against any liability, loss, damages (including punitive damages), claim, settlement payment, cost, expense, award, judgment, fee, or other charge, including reasonable attorneys' fees, arising out of or relating to the authorized Individual's actions, as well as any expense incurred in attempting to collect such losses, including attorney fees.							
Our Customers are third-party beneficiaries of this authorization agreement. At their election, and in their sole discretion, they may avail themselves of any provision of this agreement. However, this document is a supplement to, and not a replacement or modification of, any agreement you have with those Customers, or any policies and procedures of such Customer. AuctionACCESS and Customers each retains the right to stop doing business with this Individual as it sees fit.							
Use of the AuctionACCESS System is open only to licensed dealers and their business associates and is a privilege, not a right. You may authorize and register only those individuals who are bona fide employees or independent contractors for your dealership. Retail buyers or others not affiliated with your business are not eligible to use the System. You agree that, upon request, you will provide IRS forms W—2 or 1099 to substantiate that any authorized individual are indeed bona fide employees or contractors. By signing or clicking approval below, you also certify that the Individual is affiliated with your business and is eligible to use the System. We reserve the right to immediately terminate any authorization obtained for ineligible persons and the right to terminate your AuctionACCESS membership if you authorize a person who is not eligible to use the System, as well as the right to terminate either or both without cause in our sole discretion.							
You agree that these terms and any other commitments or account forms submitted to AutoTec, its affiliates, and any other Customers, by you or any authorized Individual, shall have the same force and effect as though personally signed by each of you and may be enforced as originals, even if they were accepted online, by email, via "click-through" agreement, or in other digital, pdf, or facsimile format.							
Signature							
Ву:		Its:					
Printed Name of Authorized Signer (Owner/O	Officer/Manager)	Title of	Authorized Signer (Owner/Officer/Manager)				

Signature of Authorized Signer (Owner/Officer/Manager)



Individual Authorization Letter

Agreement Individual's AuctionACCESS ID: Date: You, the individual referenced below ("Individual" or "you"), wish to register, as of the date listed above, with AutoTec, LLC's AuctionACCESS System. You hereby authorize AutoTec, LLC and those customers that subscribe to AutoTec's AuctionACCESS system (including without limitation Manheim, Inc. and its affiliates, ADESA, Inc. and its affiliates, all other subscribing auctions and Auction Insurance Agency) (collectively "Customers") to provide, obtain, store, use, and share amongst themselves, and externally, as each sees fit, the information contained on this application, on any other forms provided to AutoTec, and other information regarding you and/or your dealings with each, including transaction, bank, credit information and consumer reports. You acknowledge that AutoTec provides this information to said Customers without verifying the same, on an "as is" basis, expressly disclaiming any express or implied warranties regarding such information. Customers are not limited to businesses engaged in the automobile dealer or auction industries, but may also include other businesses providing goods or services to such industries. By signing below, you (1) agree to the terms of this registration and AutoTec's Terms and Conditions (as they may be amended from time to time) posted on www.AuctionACCESS.com, (2) warrant that you are solvent and the information provided herein is complete, accurate, and truthful, (3) acknowledge that registration in the AuctionACCESS System is no guarantee of purchasing privileges or credit with any Customer, (4) agree to be bound by the policies and procedures of each Customer with whom you deal or attend, (5) agree that AutoTec, LLC and Customers may contact you, on their own behalf or on behalf of their respective sellers, consignors, or other third parties for any commercial or advertising purpose, including pre-recorded messages, using the telephone numbers (whether business, home, or mobile (including texts) numbers), fax numbers, e-mail addresses, and other contact information provided on this form, or at any other address, telephone number, fax number, or e-mail address used in connection with your business, (6) agree to disclose AuctionACCESS numbers only to subscribing Customers, (7) agree to pay the current yearly fee upon registration and at each annual renewal of your registration, and (8) agree that we may otherwise collect, use and share the information you provide below and your other personal information as described in our Terms and Conditions and Privacy Policy available at www.AuctionACCESS.com. You agree that these terms and any other commitments or account forms submitted by you to AutoTec, its affiliates, and any Customers shall have the same force and effect as though personally signed by you and may be enforced as originals, even if they were accepted online, by email, via "click-through" agreement, or in other digital, pdf, or facsimile format. Other: Mexico: Please select Taxpaver of Birth: ID Number: ID type SSN | ITIN | CURP | N/A | Printed Legal Name of Individual Individual Signature **Photo Identification** Voter Registration Card (Mexico Only) Driver's License State/Province Issued ID Passport Photo ID Number: State/Province or Country: Issue Date: Expiration Date: **Contact Information** Home Address: City: Colony (if applicable): State/Province (if applicable): Postal Code: Email: Country: Mobile Telephone:

Home Telephone:



Dealership Removal of an Individual

Dealersh	nip Name:	Dealership /	AuctionACCESS ID):
To Who	m It May Concern:			
Printed	Legal Name of Individual	Individual's AuctionACCES	S ID	Date of Birth
subscrib	ng or clicking approval below, you withdraw a ing AuctionACCESS Customers ("Customers" ng of it, but you should continue to monitor y). This removal will be effec	tive within one bu	
or our (the Ind	ng termination, the Individual will no lo Customers, but you will remain responsil ividual prior to removal, per the terms o y may be amended from time to time and	ble for any transactions of fyour prior authorization	onducted under letter and the A	your AuctionACCESS number by
Sig	nature			
By:				
	Printed Name of Authorized Signer (Own	er/Officer/Manager)	•	
Its:				
	Title of Authorized Signer (Owner/Officer/N	lanager)	-	
			Date:	
	Signature of Authorized Signer (Owner/Of	ficer/Manager)	-	