

FERC or State Jurisdiction: FERC

10-1227 SPP Filing of FERC Form 1 and 3-Q

On August 29, 2011, SPP submitted its FERC Form 3-Q, Quarterly Financial Report, for the

second quarter of 2011.

AD10-5 RTO/ISO Performance Metrics

On August 31, 2011, the six ISOs/RTOs submitted the 2011 ISO/RTO Metrics Report, which reflects the content outlined in the October 21, 2010 Commission Staff Report on ISO/RTO Performance Metrics, as applicable and as information is available for each entity. The

ISOs/RTOs combined their data and narratives into one report.

AD10-13 Third-Party Provision of Ancillary Services; Accounting and Financial Reporting for

New Electric Storage Technologies

Numerous entities filed responses to the Notice of Inquiry seeking comment 1) on ways in which we can facilitate the development of robust competitive markets for the provision of ancillary services from all resource types; and 2) issues unique to storage devices in light of

the role they can play in providing multiple services, including ancillary services.

AD11-9 Inquiry into Recent Outages in Texas and the Southwest

On August 16, 2011, FERC and NERC issued a News Release announcing the release of a staff report making recommendations to help prevent a recurrence of rolling blackouts and natural gas curtailments experienced by customers in the Southwest during extreme cold

weather the first week of Febuary 2011.

The Report on Outages and Curtailments During the Southwest Cold Weather Event of February 1-5, 2011 is available at http://www.ferc.gov/legal/staff-reports/08-16-11-report.pdf.

CE10-146.001 Matthew Lind, et al.'s Request for Critical Energy Infrastructure Information - Burns &

McDonnell (Additional Requesters)

On September 12, 2011, Adam Mummert, Electrical Engineer with Burns & McDonnel, submitted a request to add Matthew Lind, Mike Borgstadt, Jessica Lamb, and Mohammad Khan to the intial group CEII request in this docket. FERC issued a Notice of Intent to

Release on the initial request on June 10, 2011.

On September 19, 2011, SPP submitted comments on this request.

CE10-172.001 Chris McKissack's Request for Critical Energy Infrastructure Information - Lincoln

Renewable Energy, LLC (Additional Requesters)

FERC issued a Notice of Intent to Release on August 23, 2011. This determination does not grant access to John Jones and Mike Hammond, as they are no longer employed by Lincoln

Renewable Energy, LLC.

CE11-58 Shawn Sapp's Request for FERC Critical Energy Infrastructure Information - Ventyx, an

ABB Company

FERC issued a Notice of Intent to Release on August 26, 2011.



CE11-59	Gary Moland's Request to FERC for Critical Energy Infrastructure Information - Garrad Hassan America, Inc.
	FERC issued a Notice of Intent to Release on August 23, 2011.
CE11-66	Martin Baker's Request for Critical Energy Infrastructure Information - Washington State University
	FERC issued a Notice of Intent to Release on August 24, 2011.
CE11-111	Richard Gross's Request for Critical Energy Infrastructure Information - Richard C. Gross P.E., Inc.
	FERC issued a Notice of Intent to Release on August 23, 2011.
CE11-114	Jasmine Nguyen, et al.'s Request for Critical Energy Infrastructure Information - Entergy Wholesale Commodities, Fundamentals
	FERC issued a Notice of Intent to Release on August 26, 2011.
CE11-136	Michael Steffes, et al.'s Request for Critical Energy Infrastructure Information - Alliance for Cooperative Energy Services Power Marketing LLC d/b/a ACES Power Marketing, LLC
	FERC issued a Notice of Intent to Release on August 26, 2011.
CE11-137	Rajat K. Deb, et al.'s Request for Critical Energy Infrastructure Information - LCG Consulting
	FERC issued a Notice of Intent to Release on September 1, 2011.
CE11-143	Susan E. Powers' Request for Critical Energy Infrastructure Information - Clarkson University
	FERC issued a Notice of Intent to Release on August 23, 2011.
CE11-160	Anthony Wayne Galli's Request for Critical Energy Infrastructure Information - Clean Line Energy Partners
	FERC issued a letter of release on August 10, 2011.
CE11-177	Edward Metz' Request for Critical Energy Infrastructure Information - PennWell MAPSearch
	FERC issued a Notice of Intent to Release on August 24, 2011.
CE11-178	Dr. Seraphin Chally Abou and Rahul Verma's Request for Critical Energy Infrastructure Information - University of Minnesota Duluth
	FERC issued a Notice of Intent to Release on August 18, 2011.
CE11-180	Vincent Yang's Request for Critical Energy Infrastructure Information - Calpine Corporation
	FERC issued a Notice of Intent to Release on August 23, 2011.



CE11-180.001 Wiktor Charytoniuk's Request for Critical Energy Infrastructure Information - Calpine

Corporation (Additional Requester)

FERC issued a Notice of Intent to Release on August 23, 2011.

CE11-180.002 Edward Wen and Hannah Wang's Request for Critical Energy Infrastructure Information

- Calpine Corporation (Additional Requesters)

FERC issued a Notice of Intent to Release on August 23, 2011.

CE11-188 Joel Eggemeyer and George Schwager's Request for Critical Energy Infrastructure

Information - Ameren Energy Marketing

On August 17, 2011, SPP submitted comments on this request.

CE11-190 Kevin Thundiyil and Christopher Nekolny's Request for Critical Energy Infrastructure

Information - Exelon Corporation

On August 1, 2011, Kevin Thundiyil, a General Engineer with Exelon Corporation, filed a FERC CEII request on behalf of himself and Christopher Nekolny, Transmission Analytics Manager, to obtain a copy of all FERC Form No. 714/715 data, as noticed September 2, 2011.

On September 12, 2011, SPP submitted comments on this request.

CE11-194 Aleksandr Rudkevich and Pablo Ruiz' Request for Critical Energy Infrastructure

Information - CRA International, Inc.

On August 10, 2011, Aleksandr Rudkevich and Pablo Ruiz of CRA International, Inc., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed August 17,

2011.

On August 23, 2011, SPP submitted comments on this request.

CE11-194.001 Aleksandr Rudkevich, et al.'s Request for Critical Energy Infrastructure Information -

CRA International, Inc. (Additional Requesters)

On August 19, 2011, Aleksandr Rudkevich, Vice President of CRA International, Inc., requested that nine additional employees be considered and included with his initial request in

this docket for all FERC Form No. 715 data, as noticed September 2, 2011.

On September 8, 2011, SPP submitted comments on this request.

CE11-195 Sunil Nair's Request for Critical Energy Infrastructure Information - SAIC Energy

On June 29, 2011, Sunil Nair, Senior Energy Consultant for SAIC Energy, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed August 17, 2011.

On August 23, 2011, SPP submitted comments on this request.

CE11-198 Assef Zobian's Request for Critical Energy Infrastructure Information - Cambridge

Energy Solutions

On August 22, 2011, Assef Zobian, President, Cambridge Energy Solutions, filed a FERC CEII request to obtain a copy of the FERC Form No. 715 filed by SPP in 2011, as noticed August

30, 2011.



CE11-199

Paul Hibbard, et al.'s Request for Critical Energy Infrastructure Information - Analysis Group, Inc.

On August 22, 2011, Paul Hibbard, Vice President of the Analysis Group, Inc., filed a FERC CEII request to obtain a copy of "CEII (mostly transmission system) information as included in database for GE MAPS software, specific to the Western Interconnection", as noticed September 2, 2011. This request is not applicable to SPP.

On September 12, 2011, SPP submitted comments on this request.

EL11-34

Midwest Independent Transmission Operator, Inc. ("MISO") Petition for Declaratory Order Seeking Commission Confirmation Regarding Section 5.2 of the Joint Operating Agreement ("JOA") between MISO and SPP

On August 16, 2011, Entergy Services, Inc. filed an Answer to the Requests for Clarification of the July 1, 2011 Order.

Entergy stated the requests for clarification or mandated renegotiation of the JOA should be rejected for the same reason they were rejected in the July 1 Order.

On August 16, 2011, MISO filed a Limited Answer to the Requests for Clarification of the July 1, 2011 Order.

MISO discussed:

- 1) the proposed clarifications are beyond the scope of this proceeding and represent a collateral attach on the FERC-approved congestion management process;
- 2) there is no basis for a wholesale renegotiation of the JOA;
- 3) contrary to SPP's proposed clarification, JOA Section 5.2 is not limited to non-firm service;
- 4) there is no specific path megawatt limitation in Section 5.2; and
- 5) removal of MISO transactions from the SPP system during congestion and return to historical firm flow.

On August 26, 2011, FERC issued an Order Granting Rehearing for Further Consideration of the July 1, 2011 Order.

ER05-652

SPP Filing of Transmission Cost Allocation Tariff Revisions

On September 13, 2011, SPP filed an informational report. The report discusses: (a) SPP's assessment of the average costs of all new network upgrades identified through SPP's regional transmission cost allocation plan ("Cost Allocation Plan") and SPP's efforts to work with its stakeholders and the Regional State Committee ("RSC") to evaluate the effectiveness and accuracy of the \$180,000/MW Safe Harbor threshold for Base Plan Upgrades; (b) information concerning the recent changes to the process regarding the unintended consequence reports required by the April 22, 2005 Order; and (c) information concerning SPP's disposition of all requests for waiver of the Base Plan criteria.

ER06-451

SPP Real-Time Energy Imbalance Service ("EIS") Market

On September 2, 2011, SPP filed an informational status report concerning its incorporation of demand-side resources into its EIS Market.

ER09-548

ITC Great Plains, LLC's Application for Acceptance of a Formula Rate to Establish a Revenue Requirement for Transmission Service Over Facilities that ITC Will Own in the SPP Region



On August 30, 2011, ITC Great Plains, LLC submitted an amended informational filing containing its 2009 True-Up Adjustment.

ER09-555

Entergy Services, Inc. Filing of Proposed Revisions to Attachment V of Entergy's Open Access Transmission Tariff ("OATT")

On September 15, 2011, SPP submitted the ICT's WPP Quarterly Report for June 2011 through August 2011.

ER10-3357

Entergy Services, Inc. Order 729 and 676-E Compliance Filing

On August 17, 2011, Entergy Services, Inc. filed an Answer in response to the August 2, 2011 Protest filed by Union Power Partners, L.P. and Lafayette Utilities System.

Entergy stated its January 3, 2011 Compliance Filing:

- 1) furthers the goals of Order No. 890;
- 2) is a permissible Compliance Filing; and
- 3) does not prevent Commission or stakeholder review.

Entergy also stated:

- 1) it is not required to file its Available Transfer Capability Implementation Document; and
- 2) it agrees with the request for simultaneous action on the outstanding revisions to the Entergy Operating Companies' Attachment C.

ER11-3494

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC") and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On August 26, 2011, FERC issued an Order Granting Rehearing for Further Consideration of the July 1, 2011 Order.

ER11-3627

SPP Submission of Tariff Revisions to Implement Make-Whole Process for Out of Merit Energy Dispatch

On August 24, 2011, SPP submitted its response to the Deficiency Letter issued on July 22, 2011.

ER11-3650

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners

On August 16, 2011, SPP amended its May 26, 2011 filing by submitting an amended executed NITSA between SPP as Transmission Provider, Westar Energy, Inc. as Network Customer, a NOA with Westar as Host Transmission Owner, and a NOA with Oklahoma Gas and Electric Company and Westar as Host Transmission Owners. SPP Service Agreement No. Thirteenth Revised 607.

An effective date of May 1, 2011 was requested.

On September 12, 2011, SPP amended its May 26, 2011 and August 16, 2011 filings by submitting an amended executed NITSA between SPP as Transmission Provider, Westar Energy, Inc. as Network Customer, a NOA with Westar as Host Transmission Owner, and a



NOA with Oklahoma Gas and Electric Company and Westar as Host Transmission Owners. SPP Service Agreement No. Thirteenth Revised 607.

An effective date of May 1, 2011 was requested.

ER11-3877

SPP Submission of Tariff Revisions to Update the Annual Transmission Revenue Requirement ("ATRR") for Oklahoma Gas & Electric Company ("OG&E")

On August 18, 2011, FERC issued an order accepting the updates to the Annual Transmission Revenue Requirement for Oklahoma Gas & Electric Company.

An effective date of March 1, 2011 was granted.

ER11-3948

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Midwest Energy, Inc. ("Midwest Energy") as Network Customer, and Midwest Energy and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners

On August 18, 2011, FERC issued a letter order accepting the agreement, effective June 1, 2011 as requested.

This order constitutes final agency action.

ER11-3952

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower"), and Mid-Kansas Electric Company, LLC ("MKEC"), ITC Great Plains, LLC ("ITC"), and Midwest Energy, Inc. ("Midwest Energy") and Sunflower as Host Transmission Owners

On August 26, 2011, FERC issued a letter order accepting the agreement, effective June 1, 2011 as requested.

This order constitutes final agency action.

ER11-3958

SPP Submission of Tariff Revisions to Enhance Billing and Credit Policies to Protect SPP and Market Participants from Potential Harm from a Market Participant's Default

On September 19, 2011, FERC issued an order accepting the tariff revisions, effective October 1, 2011 as requested.

This order constitutes final agency action.

ER11-3967

SPP Order No. 741 Compliance Filing Pursuant to the Final Rule Issued Concerning Credit Reforms in Organized Wholesale Electric Markets in Docket No. RM10-13

On August 22, 2011, Western Farmers Electric Cooperative filed an Answer in response to SPP's August 5, 2011 Answer.

WFEC stated:

- 1) SPP continues to offer insufficient guidance on minimum market participation criteria;
- 2) the Commission should require that SPP adopt a standardized risk management attestation form:
- 3) the Commission should require that SPP adopt a two-day cure period to fix deficiencies in a market participant's risk management attestation; and



4) SPP mis-characterizes WFEC's position on collateral call extensions for not-for-profit market participants.

On September 15, 2011, FERC issued an order conditionally accepting SPP's Order No. 741 Compliance Filing, effective October 1, 2011.

SPP was directed to:

- 1) Adopt a standard risk management attestation for SPP market participants;
- Allow a two-day cure period for risk management attestations that SPP deems insufficient;
- 3) Establish the requirement of a periodic verification that risk management policies and procedures are actually being implemented as part of SPP's minimum participation criteria.

SPP's compliance filing is due December 14, 2011.

ER11-3986

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On August 18, 2011, SPP amended its July 1, 2011 Filing by submitting an amended executed NITSA and NOA between SPP as Transmission Provider, KCP&L Greater Missouri Operations Company as Network Customer and Host Transmission Owner, and Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Fourth Revised 1765.

An effective date of June 1, 2011 was requested.

ER11-4053

SPP Submission of Revisions to OATT to Implement Rate Changes for Southwestern Public Service Company ("SPS")

On September 8, 2011, FERC issued an order accepting the rate changes, effective May 15, 2011 and July 5, 2011 as requested.

This order constitutes final agency action.

ER11-4071

Generator Interconnection Agreement ("GIA") between TPW Petersburg, LLC ("TPW") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On September 8, 2011, FERC issued a letter order accepting the agreement, effective June 16, 2011 as requested.

This order constitutes final agency action.

ER11-4079

SPP Submission of Baseline Electronic Tariff Filing of the Executed Joint Operating Agreement ("JOA") Between SPP and Associated Electric Cooperative, Inc. ("AECI") (FERC Rate Schedule No. 10)

On September 14, 2011, FERC issued an order accepting the baseline electronic tariff filing of the SPP-AECI JOA, effective July 21, 2011 as requested.

This order constitutes final agency action.



ER11-4105

SPP Order No. 745 Compliance Filing

On September 6, 2011, SPP filed an answer in response to the Protest filed by EnerNOC, Inc. on August 12, 2011.

SPP stated that EnerNOC's concerns are addressed through LIP pricing, under which all market participants that benefit from the price reducing effect of demand response contribute to a portion of the costs of compensating the demand response resource. Because the load is grossed-up at the settlement location, there also is no billing unit effect, and the primary beneficiary of the load response pays the greatest portion of the costs associated with demand response.

On September 7, 2011, Wal-Mart Stores, Inc. filed a Motion to Intervene.

ER11-4136

Large Generator Interconnection Agreement ("LGIA") between Kansas City Power & Light Company ("KCPL") as Interconnection Customer and Mid-Kansas Electric Power Company, LLC ("MKEC") as Transmission Owner

On September 14, 2011, FERC issued a letter order accepting the agreement, effective June 27, 2011 as requested.

This order constitutes final agency action.

ER11-4148

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On September 8, 2011, SPP amended its July 29, 2011 filing by submitting an amended executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Third Revised 1636.

An effective date of June 1, 2011 was requested.

ER11-4172

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On September 8, 2011, SPP amended its August 1, 2011 filing by submitting an amended executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Fourth Revised 1636.

An effective date of July 1, 2011 was requested.

ER11-4177

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners

On September 14, 2011, SPP amended its August 1, 2011 filing by submitting an amended



executed NITSA between SPP as Transmission Provider, Westar Energy, Inc. as Network Customer, as well as a NOA with Oklahoma Gas and Electric Company and Westar as Host Transmission Owners. SPP Service Agreement No. Fourteenth Revised 607.

An effective date of July 1, 2011 was requested.

ER11-4180

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On September 8, 2011, SPP amended its August 1, 2011 filing by submitting an amended executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. 2166.

An effective date of July 1, 2011 was requested.

ER11-4327

Entergy Services, Inc. ("ESI") Submission of Tariff Revisions to Attachment T in Order to Expand the Time-Horizon the Independent Coordinator of Transmission ("ICT") Uses to Identify Base Plan Upgrades from Three to Five Years

On August 17, 2011, Entergy Services, Inc. submitted revisions to Attachment T of its OATT in order to expand the time-horizon the ICT uses to identify Base Plan Upgrades from three years to five years.

An effective date of October 17, 2011 was requested.

On September 7, 2011, Union Power Partners, L.P. filed a Motion to Intervene and Comments.

Union Power stated:

- 1) the Commission must require Entergy to use the same, ten-year planning horizon it uses in evaluating TSRs to identify base plan upgrades for cost allocation purposes;
- 2) the use of a ten-year planning horizon is necessary for compliance with the Commission's policy on rollover rights;
- 3) the use of a ten-year planning horizon for evaluating TSRs and cost allocation is standard practice in the electric utility industry, and Entergy will be required to adopt this practice when it joins a Regional Transmission Organization;
- 4) Entergy's proposal would permit it to continue to assign to generators the cost of mitigating pre-existing base case overloads;
- 5) the use of a ten-year planning horizon is necessary to prevent Entergy's manipulation of upgrade planning with redispatch; and
- 6) the Commission should require Entergy to limit or eliminate its use of participant funding.

ER11-4349

Affected Systems' Facilities Construction Agreement ("FCA") Between SPP as Transmission Provider, Osage Wind, LLC ("Osage Wind") as Interconnection Customer, and Grand River Dam Authority ("GRDA") as Transmission Owner

On August 22, 2011, SPP submitted an executed Affected Systems' Facilities Construction Agreement between SPP as Transmission Provider, Osage Wind, LLC as Interconnection Customer and Grand River Dam Authority as Transmission Owner. SPP Service Agreement No. 2233.

An effective date of July 21, 2011 was requested.



On September 12, 2011, Osage Wind, LLC filed a Motion to Intervene.

ER11-4378 Transmission Interconnection Agreement Between City of Larned, Kansas ("Larned")

and Midwest Energy, Inc. ("Midwest", with SPP as a Signatory

On August 26, 2011, SPP submitted an executed Transmission Interconnection Agreement between City of Larned, Kansas and Midwest Energy, Inc. SPP Service Agreement No. 2205.

An effective date of July 28, 2011 was requested.

Affected Systems' Facilities Construction Agreement ("FCA") Between SPP as Transmission Provider, Osage Wind, LLC ("Osage Wind") as Interconnection Customer,

and Public Service Company of Oklahoma ("PSO") as Transmission Owner

On August 29, 2011, SPP submitted an executed Affected Systems' Facilities Construction Agreement between SPP as Transmission Provider, Osage Wind, LLC as Interconnection Customer and Public Service Company of Oklahoma as Transmission Owner. SPP Service Agreement No. 2234.

An effective date of July 28, 2011 was requested.

On September 8, 2011, American Electric Power Service Corporation filed a Motion to Intervene.

On September 15, 2011, Osage Wind, LLC filed a Motion to Intervene.

Louisville Gas and Electric Company ("LG&E") and Kentucky Utilities Company ("KU") Application Seeking Commission Approval to Appoint TranServ International, Inc. ("TranServ") as the New Independent Transmission Organization ("ITO")

On August 30, 2011, Louisville Gas and Electric Company and Kentucky Utilities Company submitted a filing seeking Commission approval to appoint TranServ International, Inc. as the new Independent Transmission Organization for LG&E's and KU's transmission system.

An effective date of September 1, 2012 was requested. Applicants requested that Commission act no later than January 1, 2012 to allow adequate transition time.

On September 14, 2011, SPP filed a Motion to Intervene.

On September 20, 2011, American Municipal Power, Inc. filed a Motion to Intervene.

On September 20, 2011, the Kentucky Municipals filed a Motion to Intervene and Comments. The Kentucky Municipals requested that the Commission either accept Applicants' filing conditioned upon a requirement that Applicants abide by all statements in their August 30,

2011 transmittal letter or otherwise effectuate such an obligation.

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") as

Host Transmission Owner

On August 31, 2011, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA

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with Mid-Kansas Electric Cooperative, Inc. as Host Transmission Owner. SPP Service Agreement No. First Revised 1876.

An effective date of August 1, 2011 was requested.

ER11-4407

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On August 31, 2011, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Fifth Revised 1636.

An effective date of August 1, 2011 was requested.

ER11-4408

SPP Submission of Tariff Revisions to Update Addendum 1 to Schedule 11

On August 31, 2011, SPP submitted revisions to its OATT to correct an inadvertent error made in the filing to update Oklahoma Gas and Electric Company's Annual Transmission Revenue Requirement submitted in Docket No. ER11-3877. In the previous filing, SPP uploaded the amendments to Attachment H twice and inadvertently failed to upload the revisions to Addendum 1 of Schedule 11.

An effective date of April 1, 2011 was requested.

RM06-16

Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006

On August 31, 2011, NERC submitted its second quarter 2011 compliance filing in response to Paragraph 629 of Order No. 693.

RM08-13

Transmission Relay Loadability Reliability Standard

On September 15, 2011, FERC issued Order No. 733-B, an Order Denying Reconsideration and Granting Clarification in Part and Denying Clarification in Part.

RM10-6

Interpretation of Transmission Planning Reliability Standard

On September 15, 2011, FERC issued Order No. 754, Final Rule approving NERC's proposed interpretation of Requirement R1.3.10 of Reliability Standard TPL-002-0 (System Performance Following Loss of a Single Bulk Electric System Element), and declining to adopt the NOPR proposal which contained an alternative interpretation.

This rule becomes effective October 24, 2011.

RM10-13

Notice of Proposed Rulemaking: Credit Reforms in Organized Wholesale Electric Markets

On August 30, 2011, the Midwest Independent Transmission System Operator, Inc. filed a Motion for Extension of Time to Submit Compliance Filing in order to enhance ability to offset market obligations in bankruptcy, pursuant to Order Nos. 741 and 741-A.



MISO requested that the due date be extended until January 31, 2012.

On September 13, 2011, FERC issued a Notice of Extension of Time until January 31, 2012 for all RTOs and ISOs to submit the compliance filings to comply with the requirement that RTOs and ISOs enhance their ability to offset market obligations in bankruptcy, pursuant to the Final Rule, with the relevant tariff revisions to take effect on May 1, 2012.

RM10-23

Transmission Planning and Cost Allocation by Transmission Owning and Operating Public Utilities

On August 18, 2011, FERC issued a Notice of Staff Informational Conferences to assist public utility transmission providers in their efforts to comply with Order No. 1000.

The first informational conference will be held from 1 pm to 4 pm (EDT) on September 12, 2011, and will focus on compliance issues related to Regional Transmission Organizations and Independent System Operators.

The second informational conference will be held from 9 am to 12 pm (EDT) on September 13, 2011, and will focus on compliance issues related to non-RTO/ISO regions in the Eastern Interconnection.

The third informational conference will be held from 1 pm to 4 pm (EDT) on September 13, 2011, and will focus on compliance issues related to non-RTO/ISO regions in the Western Interconnection.

Numerous entities filed Requests for Rehearing and/or Requests for Clarification of Order No. 1000.

On September 12-13, 2011, FERC held informational conferences to assist public utility transmission providers in their efforts to comply with Order No. 1000.

On September 19, 2011, FERC issued an Order Granting Rehearing for Further Consideration.

Electric Reliability Organization Interpretation of Transmission Operations Reliability Standard (TOP-001-1, Requirement R8)

On September 15, 2011, FERC issued Order No. 753, Final Rule approving NERC's proposed interpretation of Reliability Standard, TOP-001-1, Requirement R8, which pertains to the restoration of real and reactive power during a system emergency.

This rule becomes effective November 21, 2011.

Version 4 Critical Infrastructure Protection Reliability Standards (CIP-002-4 through CIP-009-4)

On September 15, 2011, FERC issued a Notice of Proposed Rulemaking proposing to approve eight modified Critical Infrastructure Protection Reliability Standards, CIP-002-4 through CIP-009-4. The standards provided a cybersecurity framework for identification and protection of "Critical Cyber Assets" to support the reliable operation of the Bulk-Power System.

Comments are due on November 21, 2011.

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RM11-16

Transmission Relay Loadability Reliability Standard (PRC-023-2)

On September 15, 2011, FERC issued a Notice of Proposed Rulemaking proposing to approve Reliability Standard PRC-023-2 (Transmission Relay Loadability) submitted to the Commission for approval by NERC. The proposed Reliability Standard requires transmission owners, generator owners, and distribution providers to set relays according to specific criteria in order to ensure that the relays reliably detect and protect the electric network from fault conditions, but do not limit transmission loadability or interfere with system operators' ability to protect system reliability.

Comments are due on November 21, 2011.

RM11-24

Third-Party Provision of Ancillary Services; Accounting and Financial Reporting for New Electric Storage Technologies

Numerous entities filed responses to the Notice of Inquiry seeking comment 1) on ways in which we can facilitate the development of robust competitive markets for the provision of ancillary services from all resource types; and 2) issues unique to storage devices in light of the role they can play in providing multiple services, including ancillary services.

RM11-26

Promoting Transmission Investment Through Pricing Reform

On September 1, 2011, the SPP Regional State Committee submitted comments in response to the Notice of Inquiry issued on May 19, 2011.

Numerous entities submitted comments in response to the Notice of Inquiry issued on May 19, 2011.

RR11-1

Petition of the Nebraska Public Power District ("NPPD") for Review of NERC's Board of Trustees Denial to Transfer NERC Compliance Registrations from Midwest Reliability Organization ("MRO") to the SPP Regional Entity ("SPP RE")

On August 22, 2011, the SPP Regional Entity submitted a Request for Rehearing of the July 21, 2011 Order.

The SPP RE stated that the Order's reliance on the number of interconnections between the entities in Nebraska requesting a transfer of compliance registrations and entities in the MRO is an incorrect justification for the Order's holding and demonstrates that the Commission's holding is not the product of reasoned-decision making.

On August 22, 2011, Nebraska Public Power District filed a Request for Rehearing of the July 21, 2011 Order.

NPPD stated the Commission erred by:

- 1) concluding that the record in this case does not support a finding that the transfer of the Nebraska entities to the SPP would promote effectiveness or efficiency of the administration of the Bulk Power System reliability;
- 2) relying on evidence not in the record on which NERC's Board based its decision;
- 3) concluding that the number of interties between NPPD and the entities registered with the MRO supports a finding that the transfer would result in compliance audit inefficiencies and increased coordination between the Regional Entities;
- 4) finding that jointly owned facilities would create auditing inefficiencies and increased administrative burdens on entities registered with the MRO;



- 5) concluding that increased efficiencies related to individual Registered Entities seeking a transfer should not be a factor in considering the merits of a proposed transfer; and
- 6) concluding that NPPD's RTO membership did not support the requested transfer.

On September 21, 2011, FERC issued an Order Granting Rehearing for Further Consideration.



FERC or State Jurisdiction: State of Arkansas

08-136-U

In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas

On August 16, 2011, SPP filed the July 2011 State of the Market Report pursuant to Order No. 2.

On September 16, 2011, SPP filed the WPP Quarterly Report for June 2011 through August 2011.

On September 16, 2011, SPP filed the August 2011 State of the Market Report pursuant to Order No. 2.

08-137-U

In the Matter of the Consideration of Innovative Approaches to Ratebase, Rate of Return Ratemaking Including, but not limited to, Annual Earnings Reviews, Formula Rates, and Incentive Rates for Jurisdictional Electric and Natural Gas Public Utilities

On August 24, 2011, Appellee Arkansas Public Service Commission submitted a Motion for Extension of Time to File Brief and Memorandum of Authorities in Court of Appeals Case No. CA 11-496.

On August 29, 2011, the Court of Appeals granted an extension to October 3, 2011 for filing of the Appellee's brief in Court of Appeals Case No. CA 11-496.

10-010-U

In the Matter of a Notice of Inquiry into Energy Efficiency ("EE NOI Docket")

On September 2, 2011, Kim Davis submitted Compliance Testimony on behalf of the APSC Staff.

10-011-U

In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets

On August 17, 2011, William Marcus and Kevin Woodruff submitted Surrebuttal Testimony on behalf of the Attorney General.

On August 17, 2011, Entergy filed a revised agenda for the August 19, 2011 Technical Conference.

On August 17, 2011, Entergy filed a Motion to Present Witnesses as a Panel and to Excuse Witness Gary Taylor.

On August 18, 2011, Mark Drazen submitted Surrebuttal Testimony on behalf of Arkansas Electric Energy Consumers, Inc.

On August 18, 2011, Ricky Bittle and Dr. Laurence Kirsch submitted Surrebuttal Testimony on behalf of Arkansas Electric Cooperative Corporation.

On August 18, 2011, Diana Brenske, Jeff Hilton, and Daniel Peaco submitted Surrebuttal Testimony on behalf of the General Staff of the Arkansas Public Service Commission.

On August 18, 2011, Craig Roach, Ph.D. submitted Surrebuttal Testimony on behalf of SPP.



On August 18, 2011, Kip Fox submitted Surrebuttal Testimony on behalf of Southwestern Electric Power Company.

On August 18, 2011, Carl Monroe submitted Surrebuttal Testimony on behalf of SPP.

On August 18, 2011, EAI filed its Regional Transmission Organization Implementation Second Quarter 2011 Report.

On August 18, 2011, Richard Doying and Clair Moeller submitted Surrebuttal Testimony on behalf of Midwest ISO.

On August 19, 2011, Midwest ISO filed a Motion to Present Witnesses as a Panel at the hearing commencing on September 7, 2011.

On August 24, 2011, the APSC issued Order No. 50. The Commission believes three days are sufficient for the evidentiary hearing and reserves the right to start the hearing earlier than 8:30 AM on September 8-9, 2011, and end later than 4:30 PM, as well as take only short breaks or recesses for lunch.

The Commission also discussed the hearing procedures and listed the order of appearance of the Parties.

The Commission granted EAI's and MISO's motion to present witnesses as a panel.

Post-hearing briefs are due no later than Noon ten calendar days after the adjournment of the evidentiary hearing.

By Noon on August 26, 2011, Counsel for the Parties should email the Commissioners' primary legal and policy advisors for this Docket and provide the following information:

- 1) the name of the lead attorney and names of all other attorneys, name of the attorney to deliver the opening statement, and names of and order in which the Party plans to call its witnesses:
- 2) notification regarding any witnesses for whom the Parties have agreed to waive cross examination or excuse from appearing at the hearing;
- 3) if the Party has multiple witnesses and desires to present those witnesses as a panel.

On August 24, 2011, Entergy submitted an errata sheet to correct page 32, line 19 of Hurstell's August 4, 2011 Rebuttal Testimony.

On August 25, 2011, Kurtis Castleberry, John Hurstell, Jay Lewis, Hugh McDonald, Richard Riley, and Michael Schnitzer submitted Sur-surrebuttal Testimony on behalf of Entergy Arkansas, Inc.

On August 31, 2011, APSC General Staff filed a Motion to Excuse Witness Jeff Hilton.

On August 31, 2011, the Attorney General filed a Motion to Excuse Witness William Marcus.

On August 31, 2011, Entergy filed a Motion to Excuse EAI Witnesses Jay A. Lewis and Phillip B. Gillam from Appearing at September 7, 2011 Hearing.

On September 2, 2011, the APSC issued Order No. 51, granting pending Motions for Admission Pro Hace Vice.

On September 2, 2011, the APSC issued Order No. 52, providing additional procedural



instructions related to the September 7, 2011 evidentiary hearing.

The APSC also granted the motions to excuse several witnesses from the hearing.

Any corrections to pre-filed testimony are due by Noon on September 6, 2011.

On September 6, 2011, Entergy submitted errata pages for the following testimony:

Supplemental Direct Testimony of Tom Reagan and Jay Lewis filed on May 12, 2011. Steven Strickland's Supplemental Direct Testimony filed on June 22, 2011. Richard Riley's Rebuttal Testimony filed on August 4, 2011. Michael Schnitzer's Sur-Surrebuttal Testimony filed on August 25, 2011.

On September 6, 2011, APSC Staff submitted errata page 1 of Diana Brenske's Surrebuttal Testimony filed August 18, 2011.

On September 6, 2011, SPP submitted errata page 37 of Carl Monroe's Supplemental Initial Testimony filed July 12, 2011.

On September 6, 2011, Arkansas Electric Cooperative Corporation filed an errata to the Prepared Initial Testimony of Dr. Laurence Kirsch filed on July 12, 2011.

An evidentiary hearing was held September 7-9, 2011.

On September 9, 2011, the APSC issued Order No. 53, requiring Entergy to respond to questions posed in the Order no later than noon on September 16, 2011.

In a letter dated September 13, 2011, Midwest ISO invited Arkansas Electric Cooperative Corporation, Oklahoma Gas and Electric Company, The Empire District Electric Company, and Southwestern Electric Power Company to a meeting on September 20, 2011 to discuss concerns regarding congestion management after integration of Entergy Arkansas, Inc. into Midwest ISO.

On September 16, 2011, Entergy Arkansas, Inc. submitted its Responses to Order No. 53.

On September 19, 2011, SPP filed its Post Hearing Brief.

On September 19, 2011, numerous parties submitted Post-Hearing Briefs.

On September 20, 2011, Midwest ISO hosted a meeting in order for Arkansas Electric Cooperative Corporation, Oklahoma Gas and Electric Company, The Empire District Electric Company, and Southwestern Electric Power Company to discuss concerns regarding congestion management after integration of Entergy Arkansas, Inc. into Midwest ISO.

In the Matter of a Rulemaking to Adopt an Evaluation, Measurement, and Verification Protocol and Propose EM&V Amendments to the Commission's Rules for Conservation and Energy Efficiency Programs ("C&EE Rules")

On August 22, 2011, the Parties filed a Joint Motion to Approve Settlement Agreement.

On August 22, 2011, Richard Leger submitted Testimony Supporting Settlement Agreement on behalf of the Joint Utilities.

On August 22, 2011, Kim Davis submitted Settlement Testimony on behalf of APSC Staff.

On August 22, 2011, Emon Mahony submitted Testimony in Support of the Proposed

10-100-R



Stipulation and Settlement Agreement on behalf of the Arkansas Attorney General.

On August 24, 2011, the APSC issued Order No. 9 allowing The National Audubon Society, Inc. and the Arkansas Community Action Agencies Association, Inc. to file Supplemental Reply Testimony in response to the Settlement Agreement and supporting testimony no later than August 29, 2011.

On August 29, 2011, Hale Powell submitted Testimony on behalf of Audubon Arkansas.

On August 29, 2011, APSC Staff submitted an errata to Kim Davis' Sur-Reply Testimony filed on July 28, 2011.

On August 29, 2011, Rose Adams submitted Testimony in Support of the ACAAA-Audubon Proposal on behalf of the Arkansas Community Action Agencies Association.

On August 29, 2011, Audubon and ACAAA submitted their Joint Response to Motion of Utilities and Staff to Approve Settlement Agreement.

In the Matter of the Institution of a Rulemaking to Adopt Amendments to the Commission's Rules on Conservation & Energy Efficiency ("C&EE") to Allow Self-Directed Programs for Large Consumers

An evidentiary hearing was held August 16, 2011.

On August 23, 2011, the APSC issued Order No. 10, adopting Staff's Proposed Section 11 amendments to the C&EE Rules, establishing a large C&I customer Self-Direct Option, as modified in the attached blackline and clean versions.

On September 2, 2011, Kim Davis submitted Compliance Testimony on behalf of the APSC Staff.

On September 8, 2011, the APSC issued Order No. 11, an Interim Protective Order.

On September 8, 2011, the APSC issued Order No. 12, approving the standard application forms for requesting a Self-Directed Certificate of Exemption for use by certain large commercial and industrial customers.

10-101-R



FERC or State Jurisdiction: State of Kansas

11-PWTE-600-MIS

In the Matter of the Application of Prairie Wind Transmission, LLC for a Siting Permit for the Construction of a Double Circuit 345 kV Transmission Line in Sedgwick, Sumner, Harper, and Barber Counties, Kansas

On September 14, 2011, the Petitioners Group, Prairie Wind and KCC Staff filed a Joint Submission Regarding Newberry Alternative. The parties provided notice that the Commission's requirements have been met and the Newberry Alternative should be implemented.



FERC or State Jurisdiction: State of Louisiana

U-28155

Investigation of the Continued Participation of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, LLC in the Independent Coordinator of Transmission ("ICT") Arrangement, Potential Enhancements to the ICT, Potential Alternatives to the ICT, the Impact of Alternatives on LPSC Jurisdictional Ratepayers

On September 1, 2011, Entergy submitted a Status Report on Matters Relating to Expiration of Independent Coordinator of Transmission Arrangement.



FERC or State Jurisdiction: State of Louisiana - City of New Orleans

UD-11-01

Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements

On August 19, 2011, the East Texas Cooperatives filed an Answer in response to Entergy New Orleans, Inc.'s and Entergy Louisiana, LLC's Joint Objection to the Request Interventions by East Texas Electric Cooperative, Inc., Sam Rayburn G&T Electric Cooperative, Inc. and Tex-La Electric Cooperative of Texas, Inc.

On August 19, 2011, Entergy New Orleans, Inc. filed a copy of the Regional Transmission Organization Implementation Second Quarter 2011 Report filed in APSC Docket No. 10-011-U on August 18, 2011.

On August 29, 2011, Entergy submitted copies of the sur-surrebuttal testimony of Kurt Castleberry, John Hurstell, Jay Lewis, Hugh McDonald, Rick Riley, and Michael Schnitzer filed on August 25, 2011 in APSC Docket No. 10-011-U.

On August 29, 2011, Entergy New Orleans, Inc. filed copies of the Sur-Surrebuttal Testimony filed in APSC Docket No. 10-011-U on August 25, 2011.

On September 1, 2011, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On September 6, 2011, Entergy New Orleans, Inc. filed Objections to the Advisors to the Council of the City of New Orleans' Third and Fourth Set of Data Requests.

On September 20, 2011, Entergy New Orleans, Inc. submitted copies of Entergy Arkansas, Inc.'s Post Hearing Brief and its Responses to Order No. 53, both filed in APSC Docket No. 10-011-U.



FERC or State Jurisdiction: State of Missouri

EO-2011-0128

In the Matter of the Application of Union Electric Company for Authority to Continue the Transfer of Functional Control of Its Transmission System to Midwest Independent Transmission System Operator, Inc.

On August 22, 2011, Staff filed its Procedural Non-Opposition and Substantive Opposition to Ameren Missouri's Motion for Leave to Amend Verified Application.

Staff stated it is opposed to Ameren's new proposals to, among other things, shift the burden of proof from Ameren to other parties.

On August 22, 2011, Ameren Missouri submitted objections to Staff Data Request No. 21.

On August 23, 2011, the MoPSC issued an Order Directing the Commission's Staff to Respond to Questions from the Commission in its Prefiled Testimony, which is due September 14, 2011. Any other party that wishes to provide answers to the questions in the order may do so as part of prefiled rebuttal or surrebuttal testimony.

On August 23, 2011, the MoPSC issued an Order Granting Leave to File Amended Application.

On September 2, 2011, MoPSC Staff filed a Motion for Clarification regarding certain questions contained in the Order Directing the Commission's Staff to Respond to Questions from the Commission in its Prefiled Testimony.

On September 9, 2011, MoPSC issued Clarification in response the Staff's September 2, 2011 Motion.

On September 14, 2011, several parties filed Rebuttal Testimony.

EW-2010-0187

In the Matter of an Investigation into the Coordination of State and Federal Regulatory Policies for Facilitating the Deployment of all Cost-Effective Demand-Side Savings to Electric Customers of All Classes Consistent with the Public Interest

On September 1, 2011, the MoPSC provided notice that it will hold a workshop on October 4, 2011 to discuss ARC/ISO/RTO Demand Response aggregation issues.



FERC or State Jurisdiction: State of Oklahoma

201000075

In the Matter of the Application of Plains and Eastern Clean Line LLC ("Clean Line") to Conduct Business as an Electric Utility in the State of Oklahoma

On August 24, 2011, a hearing was held to discuss the Exceptions to the Report of the Administrative Law Judge and the Motion for Oral Arguments of Exceptions filed by Oklahoma Gas and Electric Company on July 11, 2011.



FERC or State Jurisdiction: State of Texas

37344 Information Related to the Entergy Regional State Committee ("ERSC")

> On September 2, 2011, Richard Greffe of the PUCT submitted presentations made at the August 24-25, 2011 Entergy Regional State Committee meeting concerning a survey of

stakeholder experiences with Midwest ISO and SPP.

38708 Project to Investigate the Entergy Successor Arrangement ("ESA")

> On August 22, 2011, Entergy Texas, Inc. submitted its response to Texas Industrial Energy Consumers' Workshop Questions/Request for Information.

> On August 22, 2011, Entergy Texas, Inc. submitted its response to the East Texas Electric Cooperative, Inc.'s Workshop Questions/Request for Information.

On August 22, 2011, Entergy Texas, Inc. submitted its response to the Cities' Workshop Questions/Request for Information.

On August 22, 2011, Entergy Texas, Inc. submitted its response to the Office of Public Utility Counsel's Workshop Questions/Request for Information.

Application Of Southwestern Public Service Company ("SPS") to Amend CCN For a Proposed Transmission Line Within Hale, Floyd, Motley, Cottle, Briscoe, Hall, Childress, Donley, Collingsworth, And Wheeler Counties

A settlement conference was held on August 16, 2011.

On August 22, 2011, the PUCT issued SOAH Order No. 6, Granting Motion on Adequacy of Routes. Mill Iron and Allred Parties' motion for a hearing on route adequacy was partially granted. The hearing of adequacy of routes was scheduled for August 23, 2011.

A hearing on adequacy of routes was held on August 23, 2011.

On August 24, 2011, the PUCT issued SOAH Order No. 7, Addressing Adequacy of Route Issues. Mill Iron and Allred Partied withdrew the request for hearing on other potential routes within the current study area. The Administrative Law Judges denied the request for Mill Iron and Allred Parties to conduct a hearing on expanding the study area.

Application of Sharyland Utilities, L.P. ("Sharyland") to Approve Study and Plan Pursuant to the Commission's Order In Docket No. 37990 Concerning the Movement of Sharyland's Stanton and Colorado City Divisions from the Southwest Power Pool to **ERCOT**

On September 15, 2011, Sharyland Utilities, L.P. submitted a filing pursuant to Section II.B.1 of Stipulation and Agreement. Sharyland provided an audit report setting forth the original cost and appropriately depreciated book value of all of Sharyland's existing transmission facilities within SPP.

Project to Consider Entergy Texas, Inc.'s ("ETI") Integration into the Midwest ISO

On September 2, 2011, Richard Greffe of the PUCT filed the presentations made at the Entergy Regional State Committee held on August 24, 25, 2011 concerning a survey of stakeholder experiences with Midwest ISO and SPP.

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