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# Reporting your successes: Writing an Effective RPPR and More

EHS Festival – Wednesday December 7

National Institutes of Health • U.S. Department of Health and Human Services

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# Reporting your successes: Writing an Effective RPPR and More



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# Wait, someone actually reads this??



Yes! Your program officer (not a robot!) reads your RPPR.

- Have you made adequate progress towards the aims?
- Have you encountered any difficulties?
- Are you spending your funds as expected, and if not, why?
  - What were your major research products and findings this year?

# **Profile of a Program Officer at NIEHS**



# **RPPR review: SIMULATION**



# Writing your progress update: DO'S and DON'TS

- DO: Tell me what you accomplished this year, and only this year
- **DO:** Be concise and clear

**DON'T:** Use the same text every year, and just add a sentence or

two

- DON'T: Tell me every experimental detail, right down to the concentration of buffer you used
- DO: Tell me about experimental challenges preventing progress, and how you've tried to solve them
- DON'T: Just hope that I won't notice that you haven't made progress on something

- DO: Remember to cite the grant number in publications that are related to this grant
- DO: Note if you've completed all of the experiments for a given aim in a previous year
- **DO:** Tell me how you shared your data
- DO: Discuss any change in scope that is required for next year
- **DO:** Think big picture

DON'T: Cite this grant number in EVERY paper you write this year, on any topic

**DON'T:** Copy the progress update for an aim from previous year's report

**DON'T:** Assume that I know you're following your initial sharing plan

DON'T: Suddenly add a human study without telling me

**DON'T:** Drown me in pages and pages of detail

# Have carryover? Have no fear.



Do you have >25% carryover? (of this year's budget)

- Verify that you have (or don't have) carryover, and verify how much.
- Explain why you have the carryover
- Explain how you will spend the funds out next year

# **Sample structure for Progress Summary**

#### Major accomplishments

- I was awesome at doing sequencing and sequenced a lot of things.
- I was also awesome at doing biochemistry and identified the Exciting Binding Domain.
- I completed the first phase of Solving the Problem and found that the Problem was bigger than I anticipated.

*Aim 1:* The goal of aim 1 was to be awesome. I first tried to be awesome by just simply wishing I was. Unfortunately, that did not work, so I am trying several alternative strategies. Right now I am trying to be awesome by using my voice to inform people that I'm awesome. If this is not successful, in year three I plan to actually do something awesome.

I published one paper describing this work.

Aim 2: The goal of aim 2 was to Solve the Problem....

Start with a bulleted list of the big achievements from this project year

Break it down aim by aim.

# Your Program Officer: Not that scary



- Don't be afraid to contact us!
- Don't be afraid to contact us AGAIN after we don't respond the first time
- Don't be afraid to ask us questions

We are from the government and we are here to help! (no, really! we are! we mean it! )



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# Helping you Help Us Report your Success!

Christie Drew, Program Analysis Branch

National Institutes of Health • U.S. Department of Health and Human Services



### **Results of your work are used to:**

- Justify taxpayer investment
- Ensure accountability
- Answer questions all kinds!
  - What are you spending on \_\_\_\_\_?



- What are important outcomes from \_\_\_\_\_ program?
- Who is involved in \_\_\_\_\_?
- How are grantees solving \_\_\_\_\_?

# Overview

- Introduce the Program Analysis Branch
- Knowledge Management tools
- Cite your grant number properly when you publish
- Claim your work
- Changes to the RPPR



### **NIEHS Program Analysis Branch**

- Short and long term program evaluation & portfolio analysis
- Information technology to support analysis and strategic planning
- Communicate research impact



**Christie Drew Branch Chief** 





**Helena Kennedy Program Analyst** 



Sarah Luginbuhl Analyst CareerTrac



Kristi Pettibone Evaluator

> **Elizabeth Ruben** IT Liaison, Analyst

**Steven Tuyishime** Presidential Management Fellow

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# Why evaluate?

- Better program design
- Improved outcomes
- Stronger partnerships
- More effective data collection
- Continuous improvement loops
- Replicate programs
- Inform strategic planning



You have to know where you are going (and why) before you figure out how to get there!



### **Tools to amplify your success**

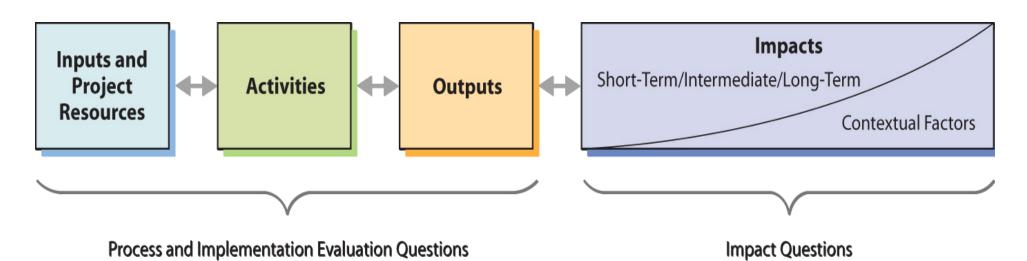
- Technical assistance to help you achieve your goals
  - PEPH Evaluation Metrics Manual
  - Environmental Health Economics Annotated Bibliography

High Impacts Tracking System

- Knowledge management systems
  - HITS, CareerTrac



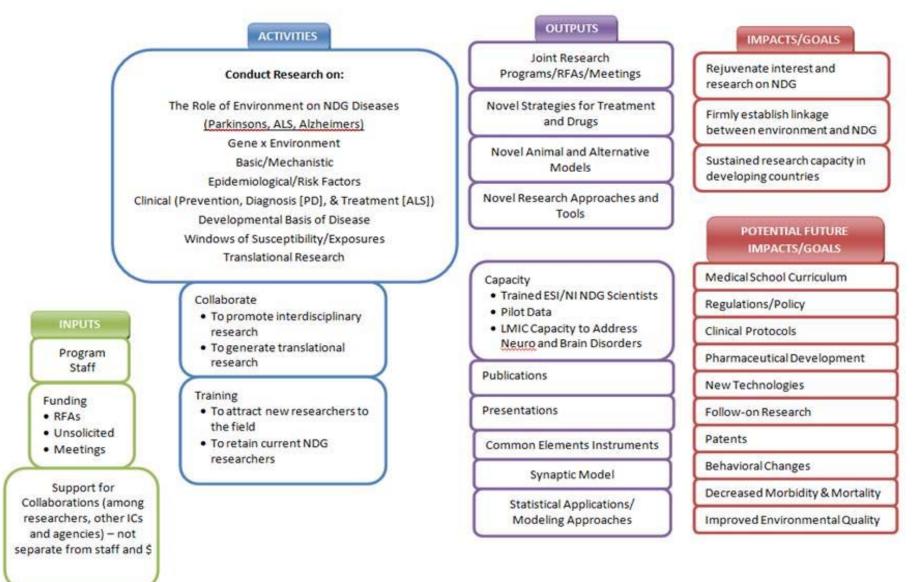




Logic Model – organized, project specific, informs metrics

- Inputs resources available
- Activities actions that use available resources
- **Outputs** direct products of activities
- Impacts benefits or changes resulting from activities, outputs

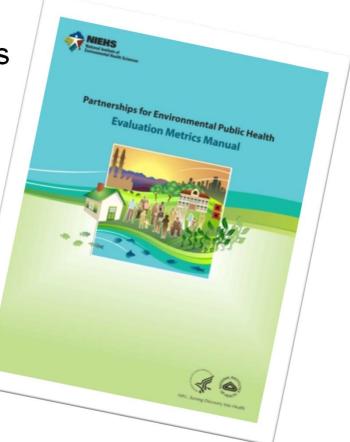
### **Neurodegeneration Program Logic Model**





## **PEPH Evaluation Metrics Manual**

- Helping grantees measure success
- <u>www.niehs.nih.gov/pephmetrics</u>
- PDF and online training available for developing goal-based logic models and related metrics
- Chapters focus on measuring partnerships, "leveraging," products and dissemination, education/training, and capacity building



Can be applied to any kind of research project



# Environmental Health Economic Analysis: Annotated Bibliography

- Contains >70 papers
- Search for exposures, outcomes, or methods
- Find experts working in the area
- Links to datasets and resources for economic assessment
- www.niehs.nih.gov/EHEA

Search Term:	0	Env	viron	mental	Health Economic Analysis An	notated			
child Q	Search	Bib	liogra	aphy					
Article Type	Results	This interactive bibliography provides useful recommendations, methods, and guidelines for individuals interested in performing or learning more about integrating environmental health and economics research. Th							
Research article	10	tool summarizes over 70 articles, books, websites and other tools where economic analyses have been appl to environmental health sciences research.							
Review	1	to environmental nealth sciences research.							
Environmental Agents	Results	11 1	results fo	or child		Return to all bibliograph			
Air pollutants	3	Use c	heckbox	es to select	articles to generate a Printable PDF				
Allergens	1								
Environmental pollutants	1			The economic burden of exposure to secondhand smoke for child and adult					
Metals	4		never smokers residing in U.S. public housing						
			Aut	thors	Mason J, Wheeler W, and Brown MJ				
Health Outcomes	Results			urnal	Public Health Rep				
Birth outcomes	1		Yea		2015				
Cancer	1			onomic aluation	Cost analysis (CA)				
Metabolic outcomes	1								
Metals poisoning	1		2 As	Assessing the health benefits of air pollution reduction for children					
Mortality	1			thors	Wong EY, Gohlke J, Griffith WC, Farrow S, and				
Neurological/Cognitive outcome:	s 6			urnal	Environmental Health Perspectives	radaunan Cili			
Respiratory outcomes	3		Yea		2004				
Economic Evaluation	Results			onomic aluation	Cost-benefit analysis (CBA)				
Cost analysis (CA)	5								
Cost-benefit analysis (CBA)	7		3 Th	e social co	osts of childhood lead exposure in the post-	ead regulation era			
Cnet affartivanace analysis ICE	1	1	J. 111	e social co	vata or crimentoou read exposure in the post-	eau regulauoli era			



### **Knowledge Management: NIH Data Infrastructure**

- **NIH IMPAC II Database:** Comprehensive NIH-Wide grant information, including applications, payments, specific aims, progress reports, publications, etc.
  - RPPR: Progress report module
  - Review Module: Reviewers and review staff interact to submit scores
  - xTRAIN: Trainee appointment module for T32 and R25
  - xTRACT: Trainee progress and outcome data (new)
  - SPIRES: links NIH grants to PubMed and PubMedCentral
  - RePORTER: publicly available database of funded NIH Grants (<u>https://projectreporter.nih.gov</u>)
  - MYNCBI: Grant PI can link publications to a specific grant (<u>https://publicaccess.nih.gov</u>)



### **Knowledge Management at NIEHS**

- Most IMPAC II systems are not focused on grant outputs and outcomes
  - New features of the RPPR are an exception (more about this later)
- Institutes often build additional tools to add IT capacity and features to IMPAC II
- Two major KM systems in use at NIEHS
  - CareerTrac
  - High Impacts Tracking System



# National Institute of Environment NIEHS High Impacts Tracking System (HITS) What is HITS?

- <u>High</u> <u>Impacts</u> <u>Tracking</u> <u>System</u>
- Progress reports and program notes accessible, searchable
- Robust free-form and structured coding ("tags")
  - Coding: Portfolio characteristics, outputs, impacts, dissemination; Grants management information
- Dynamic query and reporting
- Imports data from IMPAC II, SPIRES
- Complements existing tools: QVR, SPIRES, CareerTrac

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# Outputs

- Scientific Findings
- Publications
- Patents
- Collaborations
- Animal Models
- Biomarkers
- Curricula and Guidelines
- Databases and Software
- Measurement Instruments and Sensors

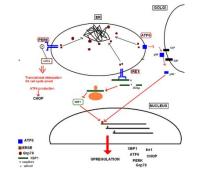


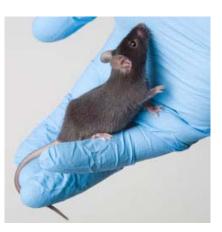


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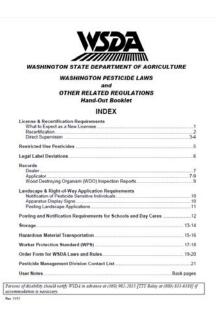




# Impacts

- Improved Health
- Disease reduction
- Exposure reduction
- Policies and Regulations
- Community Benefits
- Economic Benefits









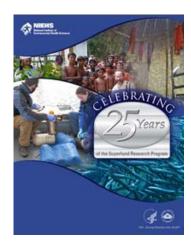
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# **Portfolio Coding**

- Landmark Programs
- Science Areas
- Strategic Plan Goals



Deepwater Horizon Research Consortia



**Breast Cancer and the Environment** 

**Research Program** 

Extended Environmental Exposure



Partnerships for Environmental Public Health (PEPH)



# How do we use HITS?

- Aggregates critical grant information on one screen for analysis
- More easily identify outputs and impacts that program officers find "important"
- Respond to frequent requests from NIEHS and NIH OD
- Congressional Justifications
- NIH Director Data Calls
- Presentations and Publications
- Media Requests
- ARRA Reports and Highlights

Environmental Factor Your Online Source for NIEHS News



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# era: RPPR (Current View)

### Section C: Products

- C1. Publications; (reported through MyNCBI)
- C2. Web sites
- C3. Technologies or techniques
- C4. Inventions, patent applications and licenses (reported through iEdison)
- C5. Other

#### C.2 Website(s) or other Internet site(s)

List the URL for any Internet site(s) that disseminates the results of the research activities. A short description specified above.

Gerearcher For awards not designed to create or maintain one or more websites select "Nothing to Report". A descrip the response to this reporting period.

#### Nothing to Report

or list URL(s) for Internet site(s) and provide description(s) below (NIH recommended length is up to 1 page

#### Total remaining allowed limit is 8000 characters.

#### C.3 Technologies or techniques

Identify technologies or techniques that have resulted from the research activities. Describe the technologies period.

#### Nothing to Report

or identify and describe technologies or techniques below (NIH recommended length is up to 1 page. Limit

Total remaining allowed limit is 8000 characters.



### **Section C: Products**

C5. Other:

- audio or video products;
- instruments or equipment;
- protocols;
- clinical interventions;
- data and research material (e.g., cell lines, DNA probes, animal models)

C.5 Other products and resource sharing				
C.5.a Other Products Identify any other significant products that were	developed under this p	project.		
$\mathscr{F}$ Describe the product and how it is available	to be shared with the i	research community. Do	not repeat information	provided above. Limit the response to this reporting period.
Examples of other products are: audio or video models; protocols; and software or netware.	products; data and res	earch material (e.g., cell	lines, DNA probes, anii	mal models); databases; educational aids or curricula; instruments or equipment;
Nothing to Report	n			
or upload Response	Add Attachment	Delete Attachment	View Attachment	
C.5.b Resource sharing 🚱				
	enting that plan. For sh	aring model organisms,	include information on	lel organisms, Genome Wide Association Studies data, or other such project- the number of requests received and number of requests fulfilled during this
Nothing to Report				
or upload Response	Add Attachment	Delete Attachment	View Attachment	

- educational aids or curricula
- software or netware
- databases;
- models;
- new business creation



### National Institute of Environmental Health Sciences

### **New RPPR section C structures products**

#### C.5 Other products and resource sharing

Identify any other significant products that were developed under this project.

If there are other products the products the arise from their NIH award in section C. If there are other products to report not covered in Sections C1 - C4, enter a description for the product and choose the appropriate product category(ies) from the pull down menu (select multiple categories by holding down the Ctrl button while selecting the categories). If there is more than one product to report, select "add product" to create a workspace to report an additional product. Limit the response to this reporting period.

#### Nothing to Report

or list URL(s) for Internet site(s) and provide description(s) below (NIH recommended length is up to 1 page. Limit is 2000 characters or approximately 3 pages.)

Audio or video   Data or Databases   Research Material   Educational aids or curricula   Evaluation Instruments   Instruments or equipment   Models   Physical collections   Protocols   Software   Survey Instruments   Add/New	Total remaining allowed limit is 2000 characters.	.4
Category	Other products and resource sharing	Action
Nothing found to display.		

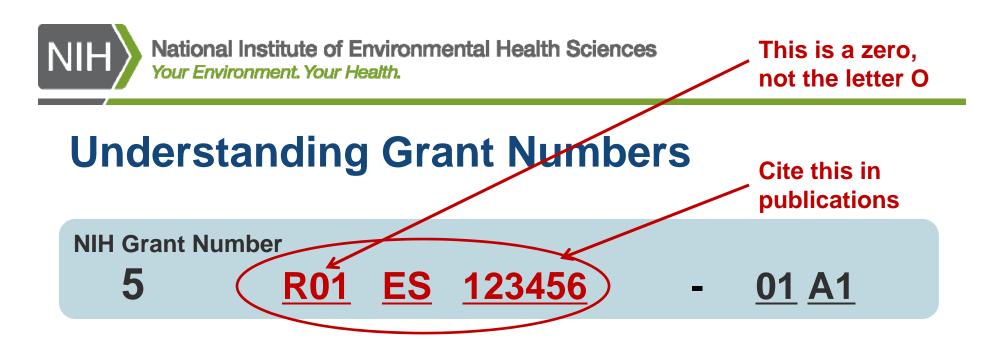


# Advice:

# Cite your grant number properly and

### **Claim your work**

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#### 5 = Type Code

#### **<u>R01</u>** = Activity Code

#### Broad categorization

- 1. new
- 2. competitive renewal
- 3. Supplement
- 4. Continuing (R00)
- 5. non-competitive renewal
- 6. Competitive renewal with change of org
- 7. Competitive renewal with change of recipient or training org
- 8. Non competitive change in IC or Div
- 9. Competitive change in IC or Div

Type of grant

- **R01-** Primary research grant
- R15- AREA grant
- R21- Developmental research
- R41-44 SBIR/STTR
- U01- Cooperative agreement
- P01- Research program
- P30- Core Center (infrastructure)
- K Career development
- F Fellowship
- T Research training

#### ES = IC Code

Institute or Center to which the application was assigned. ES is the 2 letter code for NIEHS

#### <u>123456</u> = Serial Number

Unique 5-6 digit number that identifies the application

#### <u>01</u> = Support year Current year of support

A1 = Suffix Code Used for supplements, amendments, etc.

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### Take Credit for your work

- Use RePORTER to see what publications are linked to what grant (<u>www.projectreporter.nih.gov</u>)
- Use MYNCBI to make corrections (www.ncbi.nlm.nih.gov/sites/myncbi)

## **My NCBI**

Connecting Research

and Researchers

Reporter

- Sign up for an ORCID unique author identifier (<u>http://orcid.org</u>)
- Use SienCV to create biosketches (<u>https://www.ncbi.nlm.nih.gov/sciencv/</u>)



- Automatically pull in publications from MyNCBI or ORCID
- ResearchGate or other social networks for researchers (<u>www.researchgate.net</u>)





### **Relevant Publications**

#### • Logic Models

- Engel-Cox, J. A., Van Houten, B., Phelps, J., & Rose, S. W. (2008). Conceptual model of comprehensive research metrics for improved human health and environment. *Environmental Health Perspectives*, 116 (5), 583–592. doi:10.1289/ehp.10925. Retrieved from <u>http://www.ncbi.nlm.nih.gov/pubmed/18470312</u>.
- Liebow, E., Phelps, J., Van Houten, B., Rose, S., Orians, C., Cohen, J., et al. (2009). Toward the assessment of scientific and public health impacts of the National Institute of Environmental Health Sciences Extramural Asthma Research Program using available data. *Environmental Health Perspectives*, 117 (7), 1147–1154. doi:10.1289/ehp.0800476. Retrieved from <a href="http://www.ncbi.nlm.nih.gov/pubmed/19654926">http://www.ncbi.nlm.nih.gov/pubmed/19654926</a>.
- Orians, C., Abed, J., Drew, C., Rose, S. W., Cohen, J., & Phelps, J. (2009). Scientific and public health impacts of the NIEHS Extramural Asthma Research Program—Insights from primary data. *Research Evaluation*, 18(5), 375– 385. doi:10.3152/095820209X480698. Retrieved from <a href="http://www.ncbi.nlm.nih.gov/pubmed/21921976">http://www.ncbi.nlm.nih.gov/pubmed/21921976</a>.
- National Institute of Environmental Health Sciences (NIEHS). (2012). Partnerships for environmental public health evaluation metrics manual (NIH publication no. 12-7825). Durham, NC. <u>http://www.niehs.nih.gov/research/supported/assets/docs/a\_c/complete\_peph\_evaluation\_metrics\_manual\_508.pdf</u>.

#### • HITS

 Drew, CH, Pettibone, KG; Ruben, E. (2013) Greatest 'HITS'; A new tool for tracking impacts at the National Institute of Environmental Health Sciences. *Research Evaluation* 22: 307-315; DOI 10.1093/reseval/rvt022.

#### • ARIA/RePARS

 Drew, CH, Pettibone, KG; Finch, FO; Giles, D; Jordan, P. (2016) Automated Research Impact Assessment: a new bibliometrics approach. Scientometrics.106:987–1005; DOI 10.1007/s11192-015-1828-7



### **Questions?**

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