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Considering Chance in Quality and Safety Performance Measures:
An analysis of performance reports by boards in English NHS trusts

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ABSTRACT

Objectives: Hospital board members are asked to consider large amounts of quality and safety data with a duty to act on signals of poor performance. However, in order to do so it is necessary to distinguish signals from noise (chance). This article investigates whether data in English NHS acute care hospital board papers are presented in a way that helps board members consider the role of chance in their decisions.

Methods: Thirty English NHS trusts were selected at random and their board papers retrieved. Charts depicting quality and safety were identified. Categorical discriminations were then performed to document the methods used to present quality and safety data in board papers, with particular attention given to whether and how the charts depicted the role of chance, i.e., by including control lines or error bars.

Results: Thirty board papers were sampled, containing a total of 1488 charts. Only 88 (6%) of these charts depicted the role of chance, and only 17 of the 30 board papers included any charts depicting the role of chance. Of the 88 charts that attempted to represent the role of chance; 16 included error bars and 72 included control lines. Only six (8%) of the 72 control charts indicated where the control lines had been set (e.g., 2 vs 3 SD's).

Conclusions: Hospital board members are expected to consider large amounts of information. Control charts can help board members distinguish signals from noise, but often boards are not using them. We discuss demand- and supply-side barriers that could be overcome to increase use of control charts in healthcare.

1 **Considering Chance in Quality and Safety Performance Measures:**

2 **An analysis of performance reports by boards in English NHS trusts**

3 **Introduction**

4 Hospitals collect large amounts of data related to quality and safety. This information is
5 presented to hospital board members who have a duty to scrutinize the data to help identify
6 problems with care. However deriving inferences from data is not straightforward. A key issue
7 concerns the role of chance, i.e., random variation. There is a need to distinguish a signal
8 (sometimes called special-cause) from noise (common-cause) variation. Therefore, it is
9 sometime difficult to distinguish signals from noise purely by visual inspection.[1]

10 This article is concerned with the presentation of data in such a way as to help board
11 members make this distinction by identifying the role of chance.[2] First we document how
12 quantitative data is presented in NHS board papers and then discuss potential barriers to
13 representing the play of chance in charts and how they may be overcome.

14 **NHS Hospital Boards**

15 Whilst accountability for hospital safety and quality lies with the whole board, many
16 boards establish special committees dedicated to such purposes which may have access to more
17 information than is provided to the whole board. The board is supported by an elected council of
18 patient, staff, and local resident Governors, all reporting up through the NHS infrastructure
19 (Clinical Commissioning Groups, Public Health England, and the Department of Health) to the
20 Secretary of State for Health, with monitoring and regulation provided by other agencies.[3]

21 **Why focus on charts?**

22 Data relating to quality and safety can be presented in tables or charts. While tables are
23 an excellent presentation method to help decision makers identify past, unique data (e.g., what

24 was the infection rate in July?), charts better portray patterns in data (e.g., is the infection rate
25 increasing?).[4] As quality improvement relies on recognizing patterns in data, we concentrate
26 on charts. The following section provides a classification of chart presentations.

27 **Classification of chart presentation methods**

28 Line and bar charts.

29 Line and bar charts are the most commonly chosen presentation methods.[5] Line charts
30 better highlight trends across time and bar charts differences between discrete groups (e.g.,
31 patients, staff, hospitals).[6] More complicated charts combine information across time and
32 between-groups. The interpretation of information in line and bar charts may be facilitated by
33 including reference indicators, as we now describe.

34 Reference indicators that do not depict the role of chance.

35 Reference indicators are any features of a chart, that helps the user interpret the data.
36 Reference indicators may indicate a standard that is external to the data, e.g., a regulator may
37 require that 95% of patients attending an Accident and Emergency department are seen within
38 four hours of arrival. Reference indicators of this type facilitate identification of data that exceed
39 pre-set thresholds.[7] Examples of such charts are in Figure 1A and 1B. Reference indicators that
40 indicate trends (e.g., lines of best fit) reveal patterns internal to the structure of the data.
41 Examples of such charts are in Figure 2A and 2B. Neither of these reference indicators depicts
42 the role of chance.

43 Reference indicators depicting the role of chance.

44 There are at least two commonly used types of reference indicators that depict the role of
45 chance graphically: control charts and error bars. Control charts are a presentation method that
46 includes reference indicators that make the role of chance explicit. They were originally

47 developed for use in the manufacturing industry. Their use has since expanded to healthcare.[8]
48 Control charts contain at least three reference indicators: a center line to signify the central
49 tendency of data collected from a working process, and control lines surrounding the center line
50 to signify variation due to chance. The amount of variation for which control lines account is at
51 the creator's discretion; typically they are placed two or three standard deviations from the center
52 line.[9]

53 The idea is that data falling between the control lines are likely to be the result of chance
54 (common-cause variation). Data falling outside the control lines are more likely to be signals
55 (result of special-cause variation).¹ Control lines act as thresholds based on statistical
56 calculations to help target further investigations efficiently.[10, 11]

57 The horizontal axis for charts making comparisons between groups can be arranged such
58 that data representing the group with the smallest sample-size appears first followed by data with
59 increasingly larger sample-sizes. This rearrangement causes the control lines to take on a funnel
60 appearance, termed a "funnel chart." [12]

61 Different methods of presenting the same information on a chart found in a NHS board
62 paper are shown in Figure 3. Figure 3A, copied directly from the board paper, is a time-series
63 line chart showing readmission rates by month. In this chart, the peak readmission rate,
64 December, stands out, and so may trigger a board member to call for an investigation. Figure 3B
65 shows the same information remade as a control chart. The peak is still shown, but the addition
66 of control lines contextualizes the peak readmission rate as falling within the play of chance at 3
67 standard deviations (SD) and the lowest datum in May becomes more apparent. In so doing a

¹ When considering time-series data, special cause variations are also indicated when data series follow a statistically aberrant pattern, such as five data points all ascending or descending. Using multiple sets of control lines can facilitate the identification of some such patterns. For additional information see Champ, et al.[9]

68 board member's desire to investigate the high point may wane and their attention to the low point
69 may wax. An example of a chart in board papers that could be remade into a funnel charts (i.e.,
70 that shown in Figure 3B) cannot be shown in the current paper as the necessary information is
71 missing, i.e., the sample-size from which the data arose. One may note that error bars and control
72 lines both represent dispersion of data, but in different ways. A more complete discussion of the
73 distinction between hypothesis testing and control charts can be found in the literature.[13]

74 Our aim is to survey the quality and safety charts presented in NHS acute care trusts'
75 board papers. In the following section we describe the methods by which we obtained and
76 analyzed the charts in NHS publically available board papers according to the described
77 classification system.

78

79

METHODS

80 Of the 163 English acute care trusts in the NHS Choices' service directory, 30 trusts were
81 selected at random.[14] Each Trust was assigned a number and then Excel's random number
82 generator was used to generate 30 numbers without replacement. The Trusts for which the
83 assigned numbers were generated were selected. No geographical constraints were applied, but
84 by chance these trusts include all nine historic regions of England, and remain anonymous. After
85 selecting the trust to be included, temporal constraints were applied to ensure the analysis
86 encompassed an entire year (May 2013 - April 2014); each selected trust was randomly assigned
87 a month without replacement so that every month was selected at least twice, but no more than
88 three times.² One board paper from each selected trust was obtained through each trust's website.

²As some trusts do not meet every month, a month could be randomly selected that was not available. When this occurred, trusts' months were exchanged. For example, Trust 1's randomly selected month may have been December, but during that month there was no board meeting. In addition, Trust 2's randomly selected month may have been February. If Trust 1 had a February meeting and Trust 2 had a December meeting, than their selected

89 Categorical discriminations were performed to understand the contents of the charts in
 90 these board papers. The first discrimination noted the charts’ broad content: quality and safety,
 91 financial, patient surveys, staff, and activity. These categories were informed by past literature
 92 on hospital performance measures.[15] Further discriminations analyzed only the charts
 93 containing quality and safety data, using the classification of presentation methods discussed in
 94 the introduction (summarized in Table 1). All discriminations were performed independently by
 95 the first author (KS) and a co-author blind to the purpose of this article (JC).

96

97 RESULTS

98 Categorical discriminations

99 The initial, inter-rater reliabilities were high (average Cohen's kappa = 0.94) across the
 100 different discriminations and the majority of disagreements were settled after discussion between
 101 KS and JC (average Cohen’s kappa = 0.99). The remaining 11 disagreements were arbitrated by
 102 a third author (RL). More detailed information appears in Table 1.

103

104 Table 1. Inter-rater reliabilities

Discrimination	Number of charts	Number of Categories	Kappa	95% confidence interval
1. Broad Content	1488	6*		
Initial			0.90 (<i>p</i> <0.01)	0.88 - 0.92
After discussion			1.00 (<i>p</i> <0.01)	0.99 - 1.00
2. Quality and Safety Content	589	14**		
Initial			0.92 (<i>p</i> < 0.01)	0.89 - 0.94
After Discussion			1.00 (<i>p</i> < 0.01)	1.00 - 1.00

months were exchanged. Accordingly, Trust 1’s month was now February and Trust 2’s month was now December. If Trust 2 also did not have a December meeting, then Trust 3 would have been considered.

3. Methods used to Present Quality and Safety Data				
3a. Appearance	589	8***		
Initial			0.88 ($p < 0.01$)	0.85 - 0.91
After Discussion			0.98 ($p < 0.01$)	0.96 - 0.99
3b. Comparison	589	3****		
Initial			0.91 ($p < 0.01$)	0.87 - 0.94
After Discussion			1.00 ($p < 0.01$)	1.00 - 1.00
3c. Reference indicators	285	2*****		
Depiction				
Initial			0.84 ($p < 0.01$)	0.76 - 0.91
After Discussion			1.00 ($p < 0.01$)	1.00 - 1.00

105 *quality and safety, financial, activity, patient surveys, staffing, and other

106 **waiting/delays, healthcare acquired infections, incidents reports, mortality, pressure ulcers, falls, length of stay,
107 readmissions, venous thromboembolism, cleanliness, catheter, medication errors, others not consistently enough
108 appearing to warrant a specific category and one for graphs that were placed in multiple categories

109 ***line, bar, both line and bar, line with reference, bar with reference, both line and bar with reference, pie, other

110 ****time-series, between-groups, both time-series and between-groups

111 *****reference indicators depicting either a standard or trend, or the role of chance

112

113 **Broad content**

114 In total, 1488 charts were located in the 30 board papers. The median board paper was
115 148 pages (range = 53-456) and contained 39.5 charts (range = 0-124). Quality and safety was
116 the most frequent type ($Mdn = 16$, range = 0-54), followed by: financial information ($Mdn = 7.5$,
117 0-34), patient surveys ($Mdn = 4.5$, range = 0-38), staffing ($Mdn = 4$, range = 0-50), activity (Mdn
118 = 2, range = 0-15) and others ($Mdn = 0$, range = 0-27). This article will now focus on those
119 charts presenting quality and safety information.

120 **Quality and safety contents**

121 In total, 589 quality and safety charts were located across the 30 board papers. The
122 median board paper contained 16 charts of this type, but with a wide range of 0 to 54. The types
123 of quality and safety issues depicted, from most to least common were: waiting/delays ($n = 112$),

124 incident reports³ ($n = 100$), healthcare acquired infections ($n = 99$), and mortality ($n = 85$).
 125 Categories included less often, from most to least were: pressure ulcers ($n = 30$), falls ($n = 27$),
 126 length of stay ($n = 19$), venous thromboembolism prophylaxis ($n = 15$), readmissions ($n = 14$),
 127 cleanliness ($n = 13$), medication errors ($n = 11$) and information related to the management of
 128 catheters, urinary or vascular ($n = 8$), see Table 2. The results now presented relate to the 589
 129 charts related to quality and safety.

130

131 Table 2. Quality and Safety Contents

Quality and Safety Content	Total Number*	Mean	Median	Range
Waiting-times	112	3.73	2	0- 16
Incident reports	100	3.33	2	0-16
Health care acquired infections	99	3.30	2	0-24
Mortality	85	2.83	2	0-18
Pressure Ulcers	30	1.00	0	0-5
Falls	27	0.90	0	0-5
Length of Stay	19	0.63	0	0-6
Venous thromboembolism	15	0.50	0	0-3
Readmissions	14	0.47	0	0-4
Cleanliness	13	0.43	0	0-5
Medication Error	11	0.37	0	0-4
Catheters (urinary, vascular)	8	0.27	0	0-4
Other ⁴	89	2.97	1.5	0-11

132 *The numbers in this column will not add up to the total number of charts because eleven charts were placed in
 133 multiple categories

134

135 **Classification of presentation methods for quality and safety charts**

136 The 589 quality and safety charts will be classified in two different ways: first using the
 137 total number of charts as the denominator (e.g. 88 charts contained reference indicators that

³ Incidents reports includes any graph which was an amalgamation of specific instances without specifically stating the type of incidents included, such as harm free days and serious incidents requiring investigation (SIRI's).

⁴ Items that are displayed on less than eight of the charts, e.g., looked after children assessments.

138 depict the role of chance) and second using the median number of charts appearing in the 30
 139 board papers as the denominator (e.g. the median board paper contained 1 chart depicting the
 140 role of chance), see Table 3. Figure 4 shows how the 589 total charts split into those including or
 141 not including a reference indicator, whether those indicators represented the role of chance and
 142 how they did so.

143

144 Table 3. Chart Presentation Methods

Chart Presentation Methods	Total Number	Median Number	Range Number
Line	347	8	0 – 48
Bar	158	4	0 – 21
Line and Bar	33	0	0 – 8
Other	51	0	0 – 13
Total	589		
Across Time	413	9	0 – 49
Between-Groups	112	1	0 – 18
Both Across and Between	64	1	0 – 12
Total	589		
Reference Indicators			
No	304	7	0 – 35
Yes	285	7	0 – 39
Total	589		
Reference Indicators depicting the Role of Chance			
No	197	4.5	0 – 37
Yes	88	1	0 – 16
Total	285		
Methods of Depicting Chance			
Error Bars	16	0	0 – 2
Control Lines	72	0	0 – 16
Total	88		

145

146 Line and bar.

147 Of the 589 charts dealing with quality/safety, over half were line charts ($n = 347$ [58.9%]
148 $Mdn = 8$) and approximately a quarter were bar charts ($n = 158$ [26.8%] $Mdn = 4$). Charts
149 including both lines and bars or other formats, e.g. pie charts, were much less common (n 's = 33
150 and 51 respectively, [5.6% and 8.7% respectively] Mdn 's = 1).

151 Performance across time and between-groups.

152 Of the 589 charts, most displayed comparisons across time ($n = 413$ [70.1%] $Mdn = 9$),
153 followed by charts presenting comparisons both across time and between-groups ($n = 112$
154 [19.0%] $Mdn = 1$) and those comparing groups, e.g., wards or hospitals, at a given time ($n = 64$
155 [10.9%] $Mdn = 1$).

156 Reference indicators not depicting the role of chance.

157 There were 285 charts that included reference indicators. Of these 285 charts, 197
158 (69.1%, $Mdn = 4.5$) did not depict the role of chance. Of these 197 charts, 137 (69.5%, $Mdn = 2$)
159 depicted an externally imposed standard and 38 (19.3% $Mdn < 1$) depicted a trend. An even
160 smaller number of charts (22) displayed both standards and trends (11.2%, $Mdn < 1$).

161 Reference indicators depicting the role of chance.

162 Of the 285 charts that included reference indicators, only 88 highlighted the role of
163 chance ($n = 88$ [30.9%] $Mdn = 1$). Of the 88 charts depicting the role of chance, 16 included
164 error bars (18.2%, $Mdn < 1$) and 72 included control lines (81.8%, $Mdn < 1$).

165 Of the 30 board papers, only 17 (56.7%) board papers displayed any charts depicting the
166 role of chance. Nine board papers included at least 1 chart with error bars and 14 included at
167 least 1 control chart. Thus over half of the board papers did not contain any control charts.

168 Of the 72 control charts, 40 (55.6%, $Mdn < 1$) featured time-series and 32 (44.4%, $Mdn <$
169 1) between-groups comparisons. Only six of the control charts specified the control limits (e.g.,

170 2 vs 3 SD's). Certain types of quality/safety indicators were more likely to be featured as control
171 charts. Of the 40 time-series control charts, the most frequently occurring contents in order,
172 from most to least, include: safety incidents ($n = 11$), mortality ($n = 11$), infection ($n = 7$),
173 waiting ($n = 4$), pressure ulcers ($n = 2$), length of stay ($n = 2$) medication errors ($n = 1$), falls ($n =$
174 1), and the number of times patients were moved ($n = 1$). Of the 32 between-groups control
175 charts, 16 charts, all from one board paper, used straight lines to compare infection or infection
176 rates between hospitals. The remaining 16 between-groups control charts were all funnel charts.
177 The contents of these charts included, in order from most to least: mortality ($n = 11$), incidents (n
178 = 2), infection ($n = 1$), doctor to patient ratios ($n = 1$), and knee replacement outcomes ($n = 1$).

179

180

DISCUSSION

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188

This article surveyed the quality and safety charts presented in 30 NHS acute care trusts' board papers. To our knowledge this is the first article describing how such information is presented to boards. The quality and safety charts available in these papers differed quantitatively and qualitatively. Although not the intended focus of this research, the wide variation in the number of charts is surprising (range 0 – 124), suggesting that there is little consensus on the quantity and types of information that should be presented to the board in graphical form. It is plausible that the number of charts, specifically depicting summative incidents reflect an open culture conducive to safety.

189

190

191

The role of chance was rarely depicted and where it was depicted, the charts were silent as to where the control lines had been set. This is suboptimal because without this information the role of chance is easily overlooked and common-cause variations can be misdiagnosed.[16]

192 Our results pertain to England and whether these findings apply elsewhere is unanswered.
193 We hope that our study will provoke investigation of how charts are presented to decision
194 makers (and whether or not the role of chance is depicted). Our focus on English hospitals may
195 seem solipsist at first glance, since it is focused on but one issue in but one country. However,
196 the results speak to broader issues of public engagement in science and statistics. The hospital
197 board is one of many places where citizens and managers need to be numerate in order to take a
198 view on issues that affect them.

199 While both control lines and error bars convey the role of chance, there are reasons to
200 prefer control lines. Error bars allow performance measures to be compared, but this often cannot
201 be accomplished by visual inspection alone since inferences require an accompanying statistical
202 test. In contrast, control charts allow the reader to use visual inspection to derive statistical
203 inferences without separate statements of statistical significance. Further, error bars are poorly
204 understood by lay people and academics alike.[17] By comparison control charts are a “powerful
205 means of communicating results to lay audiences or clinical personnel who are unfamiliar with
206 statistical tests, probability values, effect sizes, and confidence intervals.”[18]

207 Some readers may note that analyses of time-series line charts, i.e., run charts, can be
208 guided by four rules, wherein an unusual pattern is designated by a: (1) shift, (2) trend, (3) run,
209 or (4) astronomical point in the data series.[19]. Precise definitions are available for the first
210 three rules and no reference indicators are needed (e.g., a trend is five or more consecutive points
211 all going in the same direction). These rules are based on a false positive rate of 0.05 for
212 normally distributed data. Encouragingly, board members could be taught to identify these
213 patterns. The last rule however depends on chance variation which can often be difficult to
214 discern without control lines.[20]

215 Our data do not explain why control charts are seldom used. We postulate that this could
216 be due to an issue in demand (board members not requesting the data on control charts) and
217 supply (staff are not able to supply the data in this format).

218 **Demand**

219 Barrier 1: Board members may not be aware of control charts

220 As the use of statistical process control is relatively new in healthcare, it may not have
221 been part of many board members' formal education.[21] This is a barrier because if board
222 members are not aware of control charts they do not have the capability to request them.

223 Recommendation 1:

224 Active education may overcome this barrier. These efforts could take the form of a brief
225 tutorial at a board meeting and/or instructional annotations on control charts as they are added to
226 board reports. An introduction to control charts should be offered to new board members as part
227 of their induction, at least until control charts become commonplace in healthcare. More
228 generally, citizens need to understand simple statistical information to make more informed
229 decisions about their care.

230

231 Barrier 2: Board members may not feel comfortable in their ability to interpret control charts

232 While we might expect board members to have more experience and knowledge of
233 typical charting techniques, they might be unfamiliar or uncomfortable with the interpretation of
234 such control charts, particularly regarding control lines.

235 Recommendation 2:

236 This barrier may be overcome initially by providing text or annotations to the charts to
237 highlight when data are likely special-cause data. However, we worry that such text might

238 overshadow the most important information, i.e., the data. In time it would be preferable that
239 board members were empowered to identify special-cause data themselves. This is also a
240 capability issue which again may be addressed through training. Below we now briefly propose
241 information these educational efforts should include.

242 Control lines, which are typically dashed lines, are often set three standard deviations
243 above and below the center line. This placement ensures that there is a small chance that an
244 investigation of a signal will be unjustified. However, more cautious board members may
245 consider this suitable for industrial uses but too stringent for health care, preferring a two
246 standard deviation control line.[22] Such a practice increases the chance of a false positive signal
247 more than many realize. Up to 25% of data can be located beyond two standard deviations
248 (Chebyshev's inequality).[23] A common-sense approach may be to include both 2 and 3 SDs
249 control limits. Determining where the control lines are set on charts for different measures
250 should reflect the cost of investigation and the cost of not investigating, in terms of money,
251 quality, and safety. This is a question of judgment and cannot be resolved statistically and it will
252 vary from one type of measure to another.

253 **Supply**

254 Barrier 1: Staff may not know how to create control charts

255 Assuming staff know what control charts are, a reason staff do not provide control charts
256 may be that they do not have the practical tools to do so at their fingertips – a question of
257 opportunity.

258 Recommendation 1:

259 Staff should be encouraged to use computer software to help them create control
260 charts. However while numerous software tools exist, many are likely to cause more frustration

261 than aid because they are unfamiliar, expensive, and create files that can only be shared if others
262 have the same program (e.g., SPSS, Minitab, Sigma 6). Using a more common program such as
263 Excel, might be easier for an organization first exploring control charts. Staff familiar with
264 Excel's functions can set up templates for other staff to use. Another option is to install an Excel
265 add-in, either at a cost or using peer-reviewed freeware.[24]

266

267 Barrier 2: Staff are not confident they have a sufficient number of data points to construct a
268 control chart.

269 Another reason staff may not provide control charts is that they do not think they have a
270 sufficient amount of data points to plot on the chart.

271 Recommendation 2:

272 While recommendations vary, a desirable number of data points required to set up the
273 control lines ranges from 10 to 35.[25] The number of data points available to hospital staff often
274 fall outside this range. The number of data points considered within a control charts can often be
275 increased or reduced, for instance, by looking at shorter/larger time intervals and carefully
276 aggregating data (e.g., plotting data by week rather than by day). As the number of available data
277 can only be increased by collecting it more frequently, we urge hospitals to use automatic tools
278 to record data as frequently as possible. For rare events, special control chart techniques have
279 been described by Woodall and Driscoll.[26]

280

281 Barrier 3 - Staff are not confident they are selecting the correct type of control chart

282 Staff members may fail to use a control chart because they are uncertain which control
283 chart is best.

284 Recommendation 3 –

285 Perfection should not become an enemy of the good. Fears about selecting the wrong
286 chart may be mitigated by realizing that underlying principles are similar across different types.
287 There are seven basic control charts types: Xbar, XmR, XmS, C, U, P and NP. As a default, we
288 recommend using a XmR chart, which has proven robust for most time-series data and is a good
289 place to start.[27] Many more sophisticated varieties are available, e.g., CUSUM and EWMA,
290 for those who are comfortable with the basic types of control charts.[28] The table in the
291 appendix 1 (*Adapted from Steven Wachs Integral Concepts, Inc.*) may be used to help select an
292 appropriate control chart for different dimensions of quality and safety. Other decision tools are
293 available in the literature.[29, 30]

294 A more fundamental issue relates to the importance of stating where the control lines
295 have been set. Few of the control charts we located in board papers indicated where the control
296 lines had been set. This is a concern because if the board members do not know where the
297 control lines are set (e.g., 2 or 3 sigma) they cannot assess the chance of making type 1 and 2
298 errors in their decisions.

299 Of course board members' decisions should not be solely influenced by information
300 provided in charts. Rather such data should be contextualized in the other information available
301 to the board. For example if pressures sores increase within a ward but not enough to breach the
302 upper control line, the board may want to consider the nurse to patient ratio in that ward before
303 ultimately deciding whether further action is warranted. Further research is indicated not just for
304 how decision makers can make the best use of statistical information within a single data set but
305 also on how information across multiple data sets can be synthesized to inform decisions.

306 **Conclusion**

307 In summary, NHS board papers in England contain many quality and safety charts.
308 Unfortunately, few of these charts allow board members to appreciate the role of chance in the
309 data. To our knowledge, this is the first report documenting the types of charts used to present
310 data to hospital boards. While the control charts are increasingly being used to monitor health
311 related variables around the world, we suspect that they are still underused in many countries
312 (and look forward to seeing such comparisons).[31] The introduction of control charts into NHS
313 board papers is a simple process that would greatly improve board members' ability to consider
314 the role of chance in their decisions, and ultimately provide better management for patient care.
315

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326

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428 Appendix 1. Decision tool to select appropriate control-chart.

DATA TYPE	CHARTS	MONITORS	APPLICATIONS
Variable	X-Bar and S	Process average and standard deviation	High volume, single characteristic Sample size 2 or larger
Variable	X-Bar and R	Process average and range	High volume, single characteristic Sample size between 2 and 6
Variable	X and MR	Process average and moving range	Sensitivity not required Sampling is costly Long cycle time (Note: Normality of data must be considered.)
Variable	Deviation from Nominal	Process average and range (or standard deviation)	Short production runs (multiple parts) All parts have similar standard deviation
Variable	Standardized X-Bar and R Standardized X-Bar and S	Process average and range Process average and standard deviation	Short production runs (multiple parts) Part standard deviations differ
Variable	X-Bar, Rb, d	Process average, range between and difference between extreme locations	Multiple locations within subgroup Location averages are statistically different
Variable	X-Bar, Rb, R _w X-Bar, Rb, S	Process average, range (or standard deviation) within and range between subgroup	Multiple locations within subgroup Variation within and between subgroups different Location averages are not statistically different
Variable	CUSUM	Cumulative deviations from mean	Charts for individuals when X and MR are not sensitive enough
Variable	EWMA	Weighted moving average	Charts for individuals when X and MR are not sensitive enough
--	--	--	--
Attribute	N _p	Number of Defectives	Pass/Fail Data Constant Sample Size n > 3/p

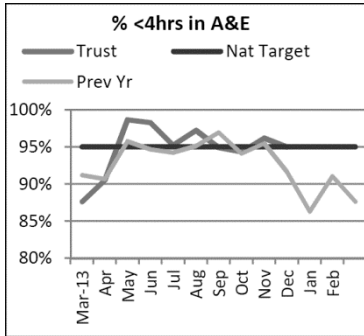
Attribute	P	Proportion Defective	Pass/Fail Data Constant or Variable Sample Size $n > 3/p$
Attribute	Standardized p	Standardized Proportion Defective	Pass/Fail Data Variable Sample Size $n > 3/p$ Can be used for short production runs
Attribute	C	Number of Defects	Multiple types of defects on unit Constant sample size n such that $c > 7$
Attribute	U	Number of Defects per unit	Multiple types of defects on unit Constant or variable sample size n such that $c > 7$
Attribute	Standardized u	Standardized Number of Defects per unit	Multiple types of defects on unit Variable sample size n such that $c > 7$ Can be used for short production runs

429

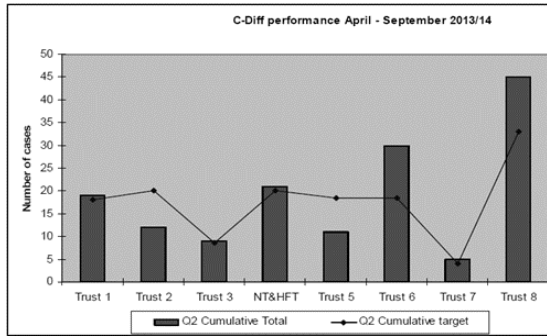
430

431 Figure 1. Charts taken from actual board papers with reference indicators depicting a standard
 432 that is external to the data (altered to be greyscale). Cell A contains a line chart, showing that
 433 trusts 4-hour performance target in the Accident and Emergency department, the current year,
 434 the previous year and the national target of 95%. Cell B contains a bar chart, showing different
 435 Trusts' cumulative C-Diff performances and their respective targets.

A.



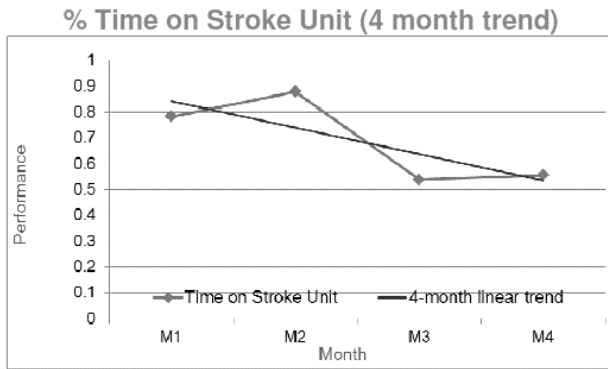
B.



436

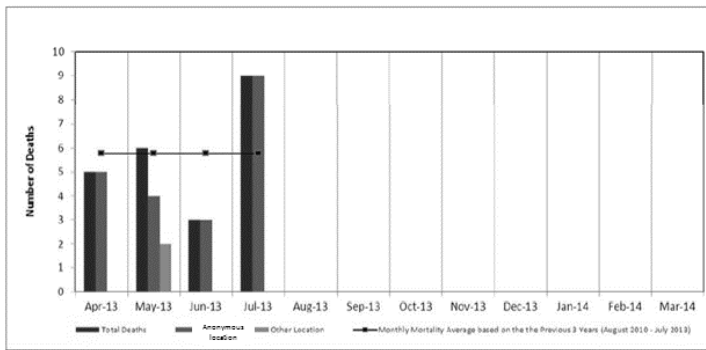
437 Figure 2. Charts taken from actual board papers with reference indicators depicting data trends
 438 (altered to be greyscale). Cell A contains a line chart; the unmarked line shows a 4-month linear
 439 trend. Cell B contains a bar chart; the line shows the average performance for the previous 12
 440 months, including the 4 months displayed

A.



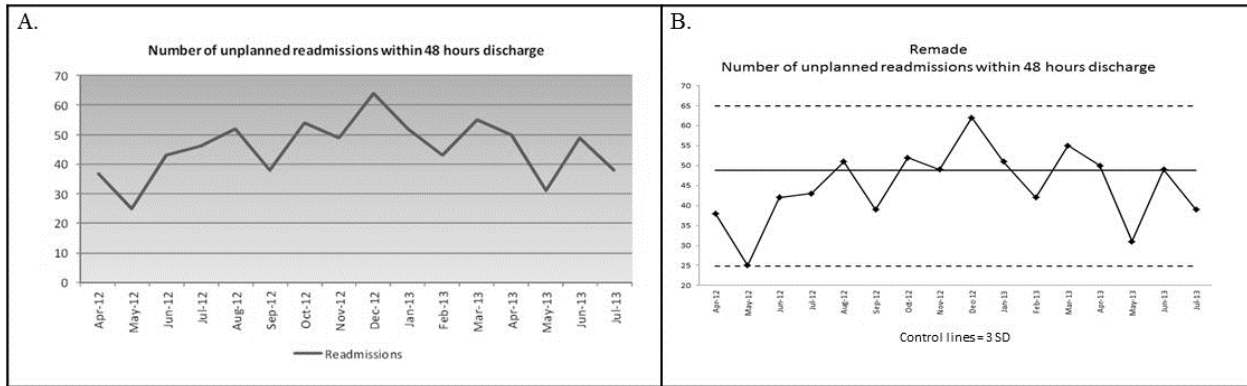
B.

1.5 Mortality Rates



441

442 Figure 3. Chart in Cell A taken from actual board papers (altered to be greyscale). Cell A
 443 contains a time-series line chart showing patient readmissions across months. Cell B contains the
 444 same data remade into a control chart at three SDs



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447 Figure 4. How the 589 total charts split according to whether they included reference indicators,
 448 whether the reference indicators highlight the role of chance, and how the role of chance was
 449 displayed

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