



Gregg Drilling & Testing, Inc.  
*Site Investigation Experts*




***Seismic CPT (SCPT)***

Peter K. Robertson

*Webinar #12*  
*Sept. 2014*

**GUIDE TO  
CONE PENETRATION  
TESTING**




***CPT Guide***  
***5<sup>th</sup> Edition***

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Robertson  
& Cabal (Robertson)



*5<sup>th</sup> Edition*  
2012

www.greggdrilling.com

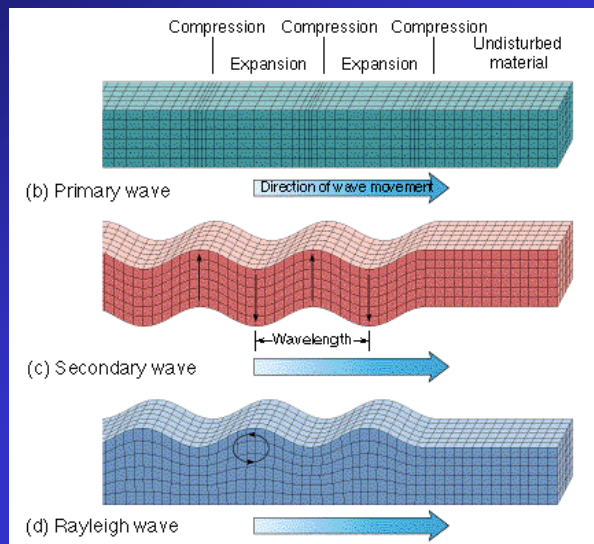
Robertson, 2013

# Geophysical Testing

- *Seismic*
- *Electrical*
- *Gravity*
- *Magnetic*
- *Nuclear*
- *Electromagnetic (GPR)*



## Main seismic waves



*P-waves  
(compression)*

*S-waves  
(shear)*

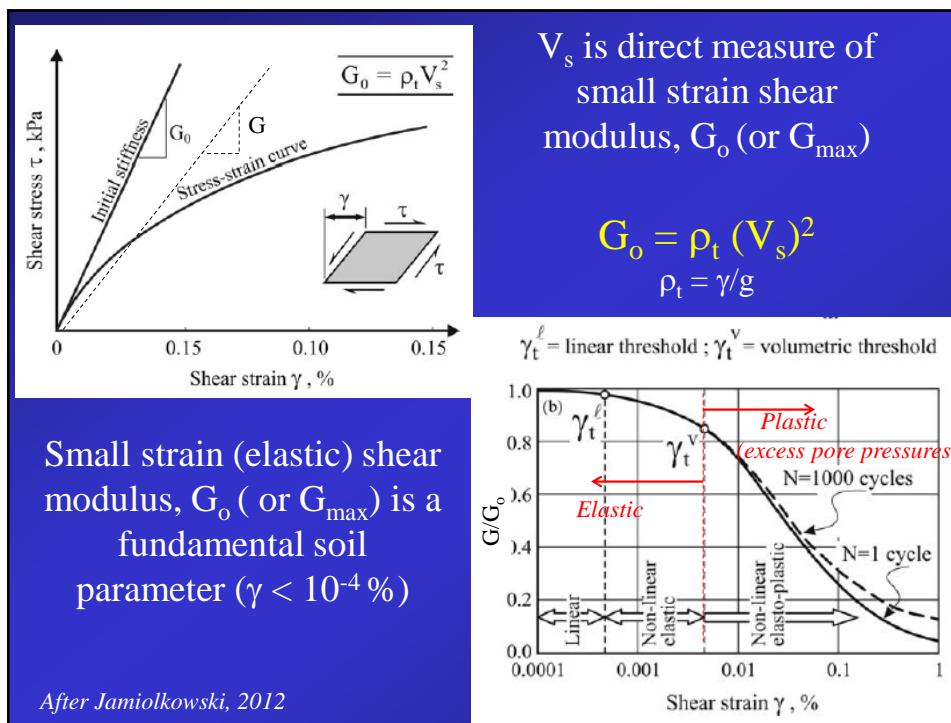
*Rayleigh  
surface-waves  
(mostly shear)*

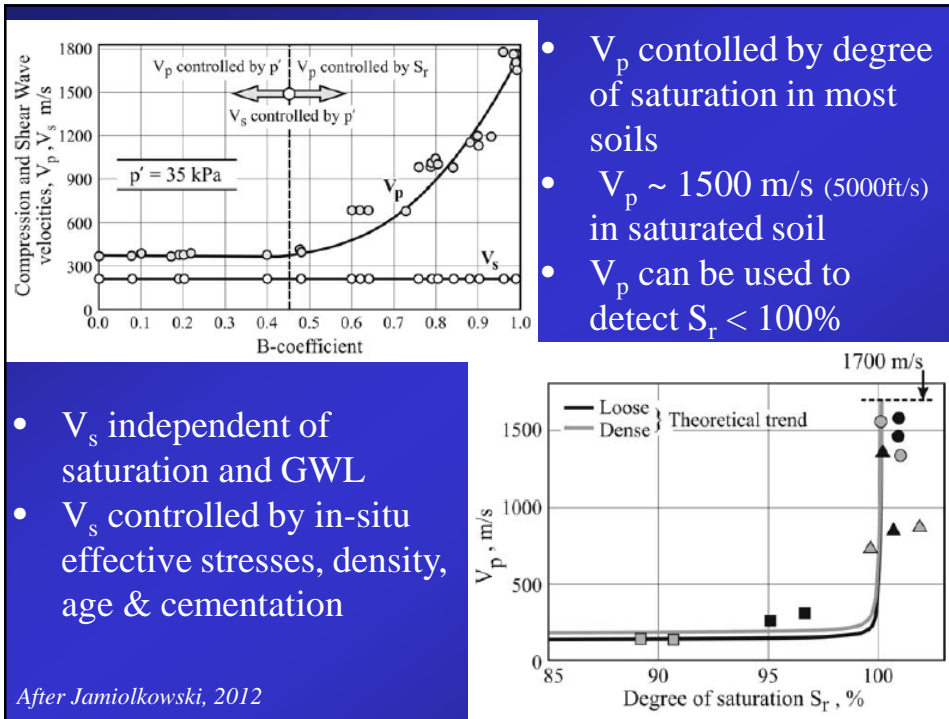
## Why are seismic velocities helpful?

Wave type	Propagation mode	Shape change	Wave velocity	Small-strain modulus
<b>P</b>		Compression 	$V_p (V)$ $V_p (H)$	$M_0 = \rho_t V_p^2$ $M_0(V)$ $M_0(H)$
<b>S</b>		Distorsion 	$V_s (VH)$ $V_s (HH)$	$G_0 = \rho_t V_s^2$ $G_0(VH)$ $G_0(HH)$

Wave propagation  
 Particle motion

After Jamiolkowski, 2012

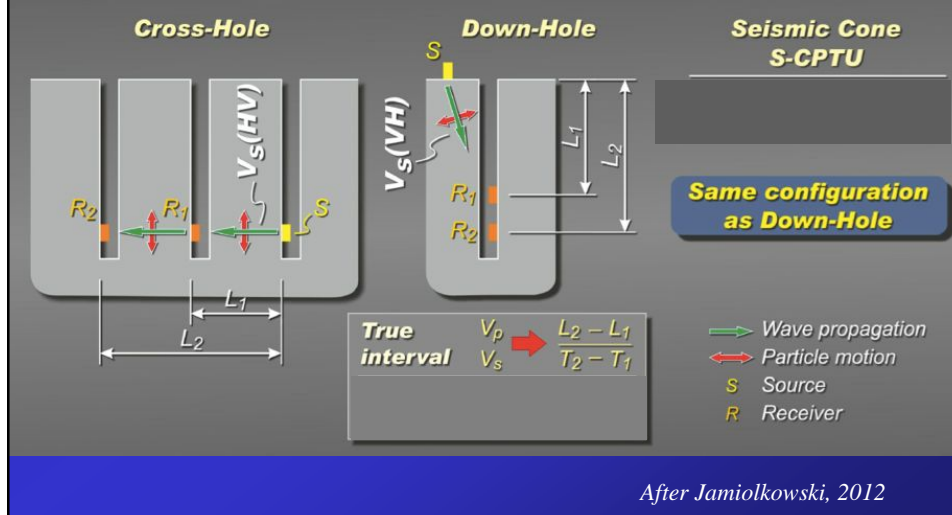




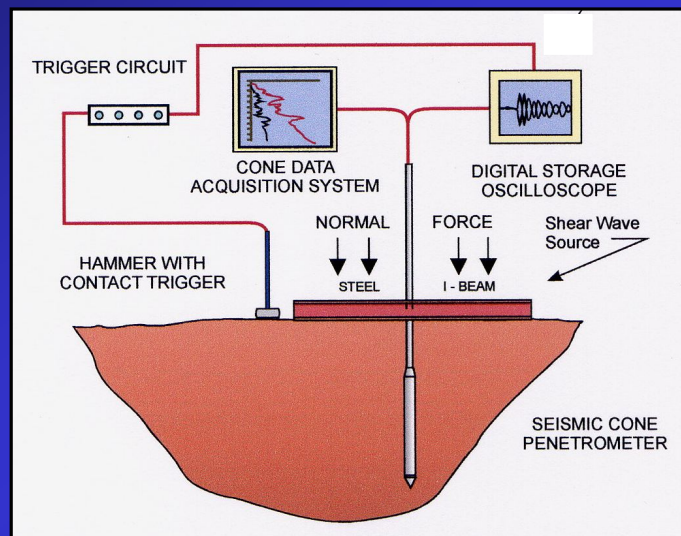
## Seismic Testing Methods

- **Surface-based (non-invasive)**
  - Refraction/reflection
  - Active (SASW, MASW)
  - Passive (ReMi, etc.)
- **Subsurface-based (invasive)**
  - Down-hole/up-hole/cross-hole
    - *SCPT (down-hole)* – mostly  $V_s$
  - Borehole logging (e.g. P-S logging)

## Subsurface seismic methods



## Basic Seismic CPT Configuration





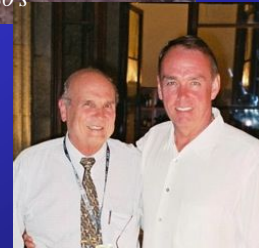
## *Early days of SCPT (UBC)*



*Imperial Valley, CA, early 1980's*



First SCPT in early 1980's  
Prof Campanella, UBC



## *Seismic CPT using a Drill-rig*



*1990 U of Alberta*

## Modern CPT Trucks

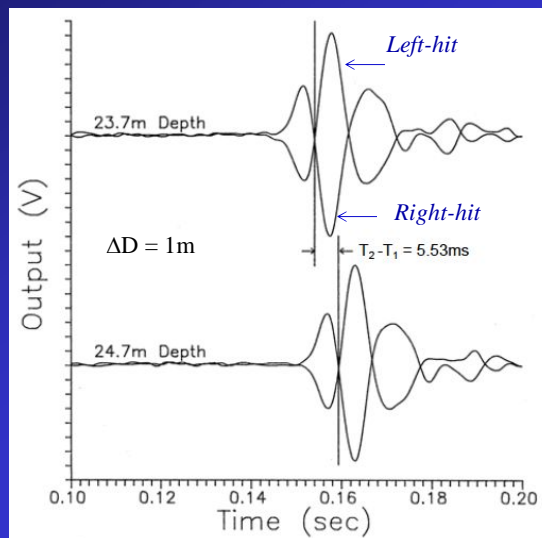


Trucks with build-in seismic beam

Seismic beam



## Polarized shear wave traces



$$V_s = \frac{(L_2 - L_1)}{(T_2 - T_1)}$$

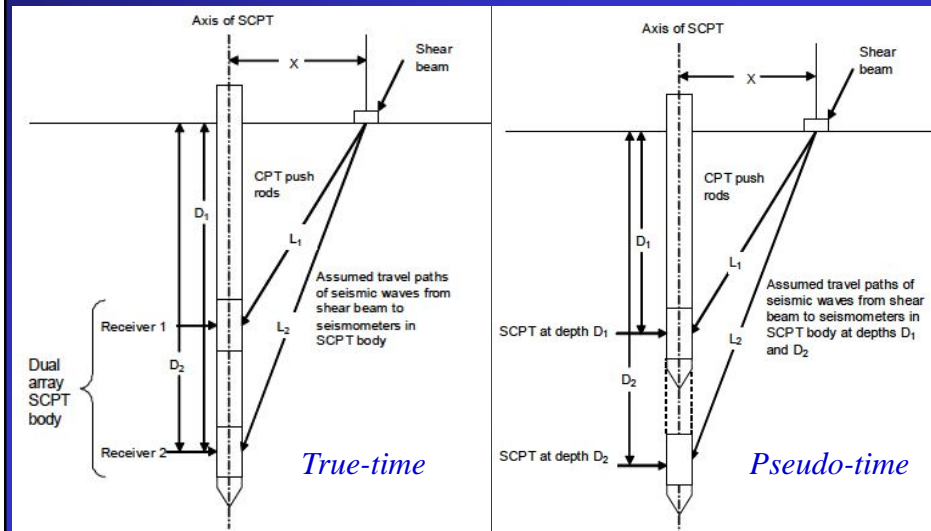
L = calculated straight path distance from source to receiver (use horizontal offset X & vertical depth D)

$(T_2 - T_1)$  = time difference



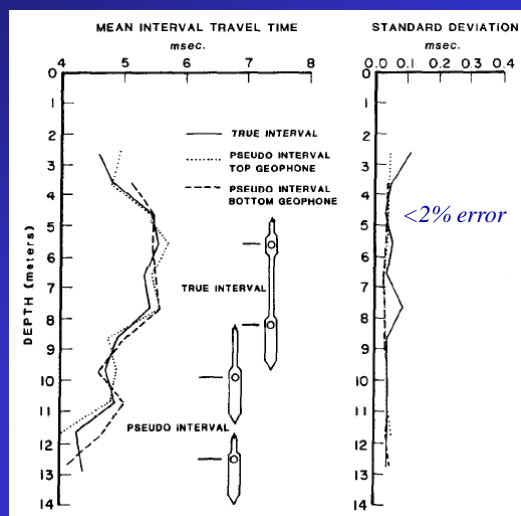
After Butcher et al 2005 (ISSMGE TC 10)

## True & Pseudo-time interval



After Butcher et al 2005 (ISSMGE TC 10)

## True & Pseudo-time interval



- In general, little difference between true- and pseudo-time interval methods
- Pseudo-time interval requires only 1 seismic sensor
- True-time allows real-time automatic velocity calculation

After Robertson et al, 1986



## *SCPT Equipment & Procedures*

- Key elements:
  - True-time (dual-array) or pseudo-time (single-array)
  - Sensors
    - Type – geophones or accelerometers
    - Number – 1, 2, 3 (single, dual-, triaxial-)
  - Seismic source
    - Beam, auto-hammers
  - Trigger
    - Contact or sensor
      - Contact trigger preferred and commonly used

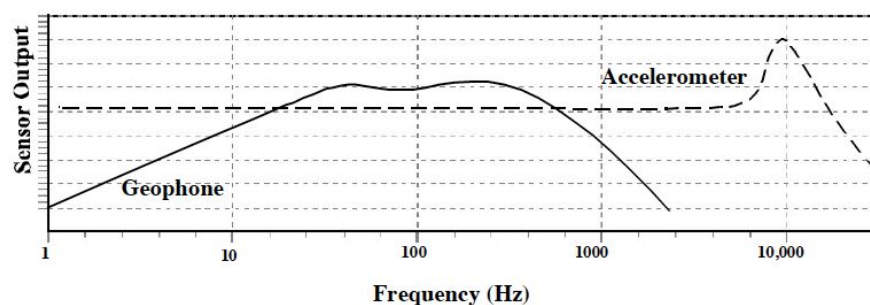
## *Sensors*

See BCE Technical Note 10 (Baziw/Verbeek)

[http://www.bcengineers.com/images/BCE\\_Technical\\_Note\\_10.pdf](http://www.bcengineers.com/images/BCE_Technical_Note_10.pdf)

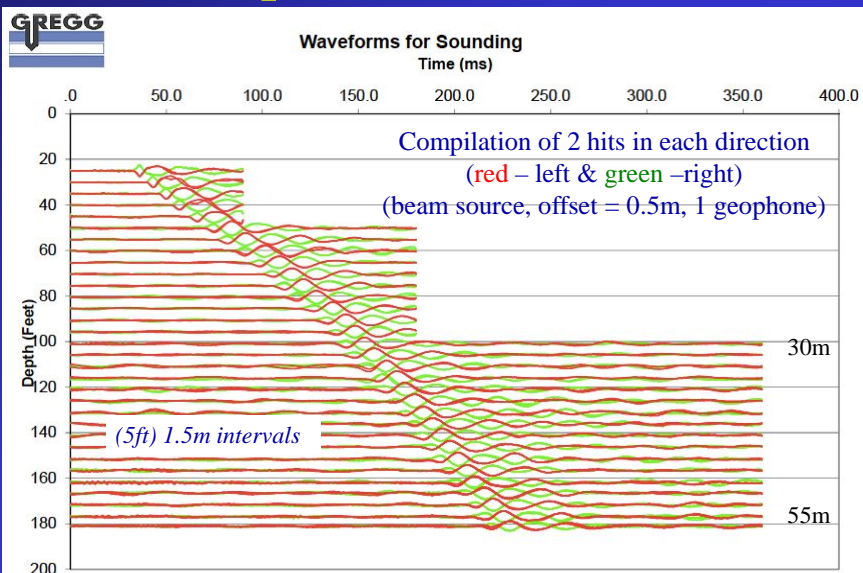
High resolution piezoelectric accelerometers – minimal sensor distortion

Both geophones and accelerometers can be effective

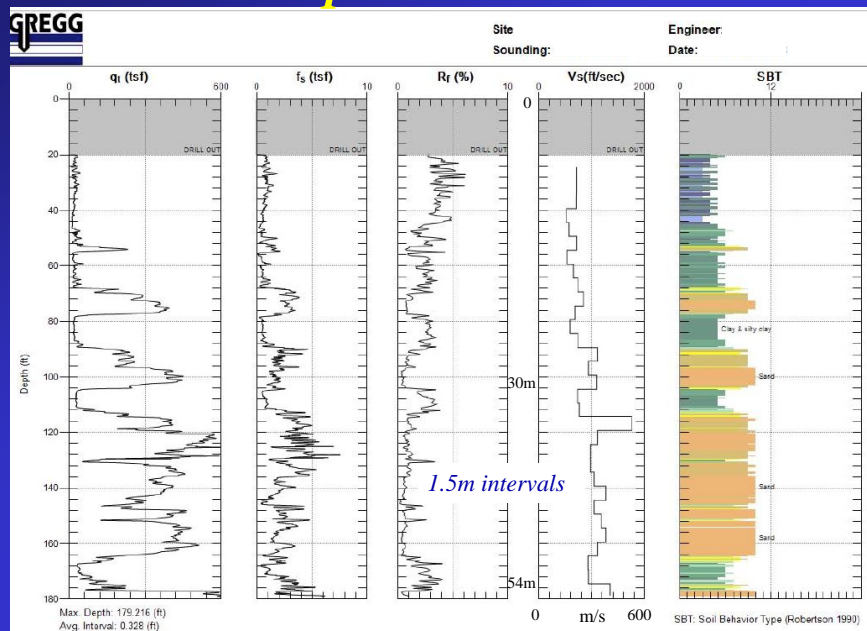


Typical frequency response curves for geophones and accelerometers

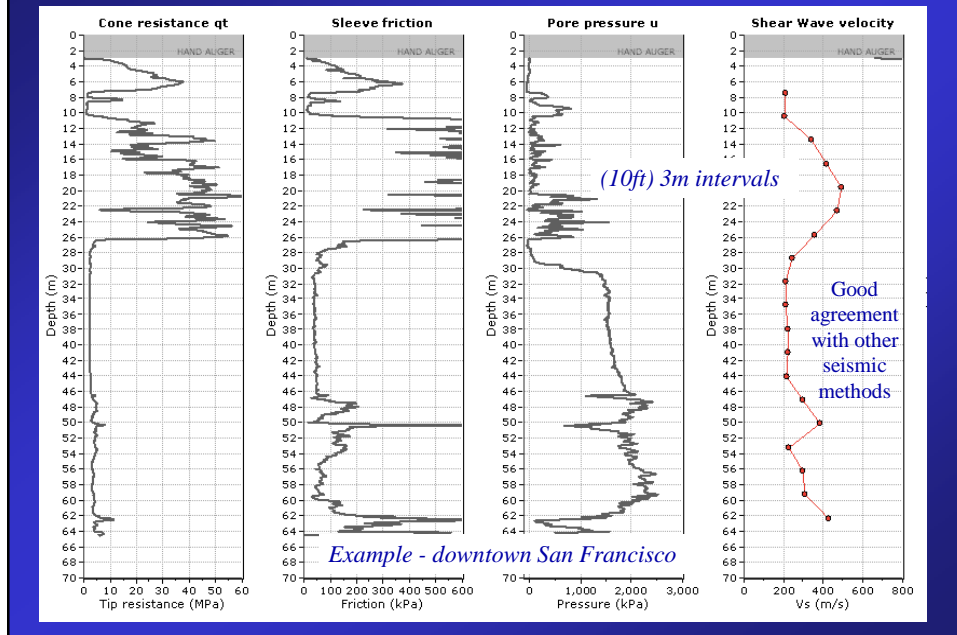
## SCPT polarized wave traces



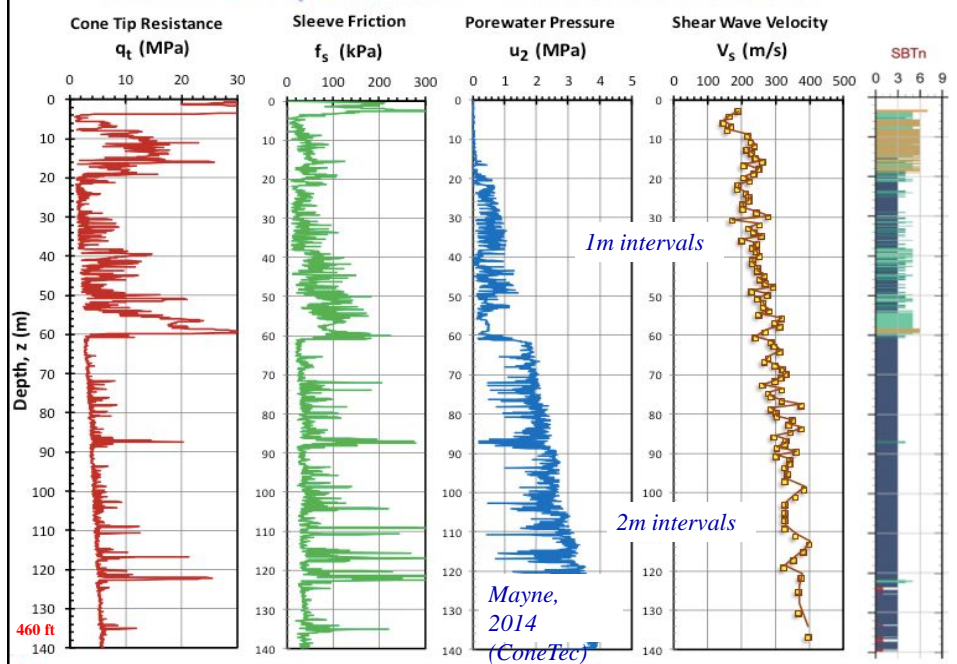
## Example Seismic CPT



## Example Seismic CPT



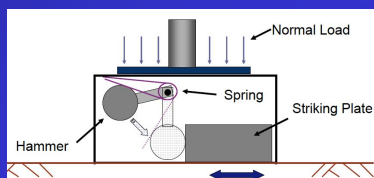
## 140-m Deep SCPTu - British Columbia



## Automatic seismic source



Figure 1. AutoSeis shear wave seismic source.



Simple repeatable source

Automatic hammer source  
“AutoSeis” – Georgia Tech  
(Mayne & McGillivray, 2005)

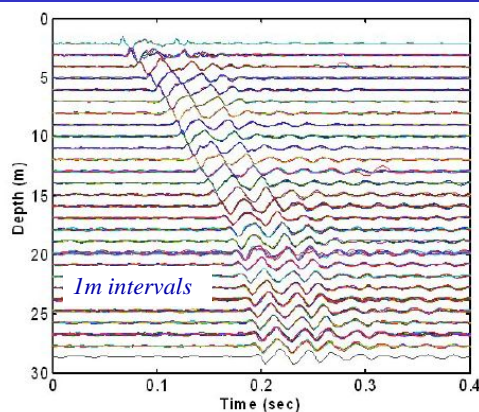
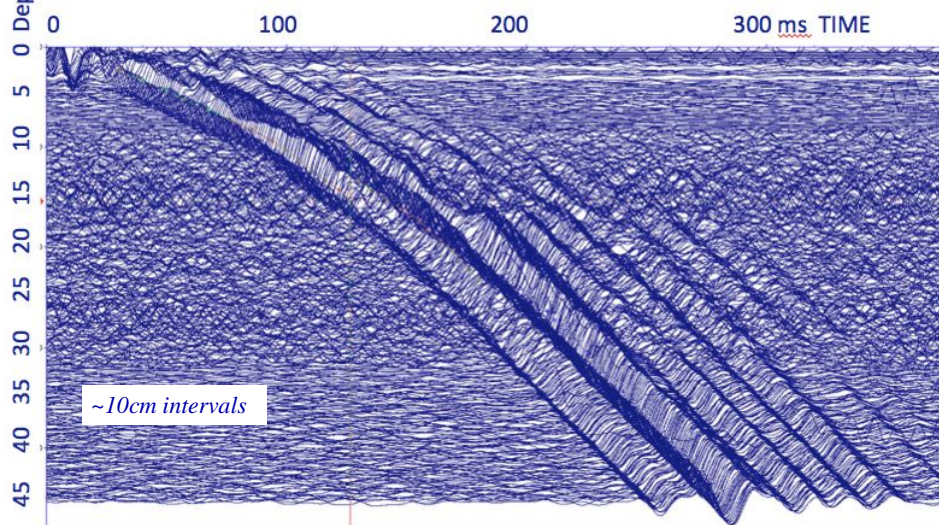


Figure 2. AutoSeis traces. *Single hammer*

## Continuous $V_s$ profiling to 45 meters

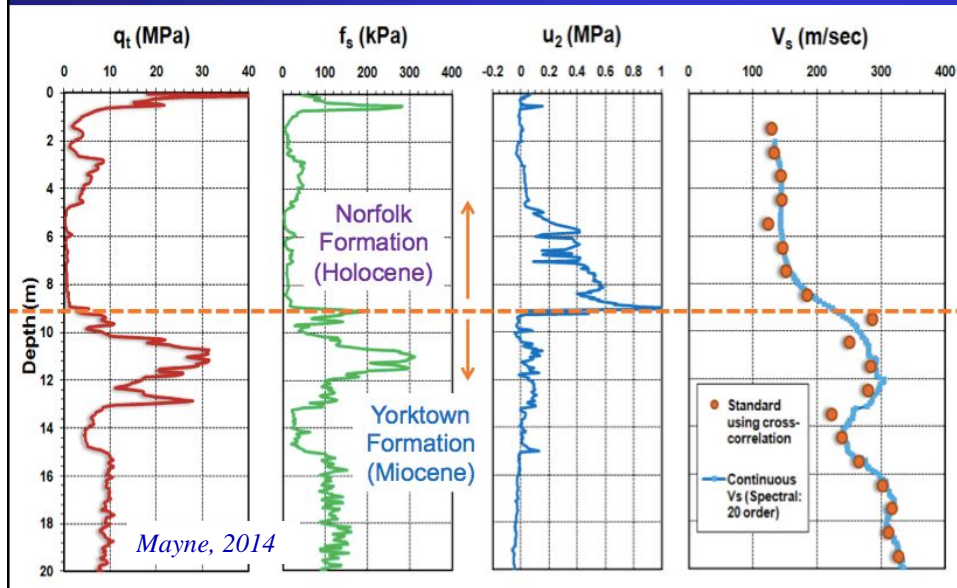
GT  
AutoSeis



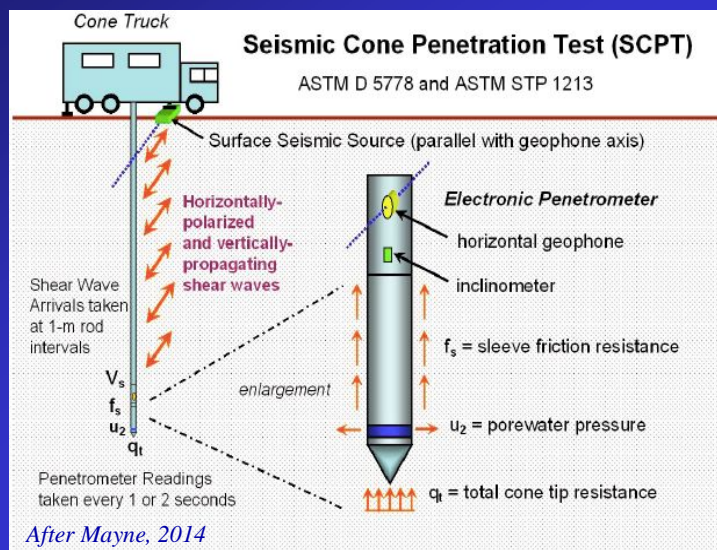
Mayne, 2014 (ConeTec)



## Continuous source – Norfolk (USA)



## Seismic CPT System Configuration



SCPT<sub>u</sub>

7 measurements!

$q_t$

$f_s$

$u_2$

$V_s (V_p)$

$t_{50}$

$u_o$

$i$

diss



## *Seismic CPT - Advantages*

- 30 years experience (~1983)
- Simple, reliable, and inexpensive
- Direct measure of small strain soil stiffness
- Typically 1 meter intervals
- Combines CPT measurements ( $q_c$ ,  $f_s$ ,  $u$ ) and seismic  $V_s$  ( $V_p$ ) profile in same soil (very cost effective)

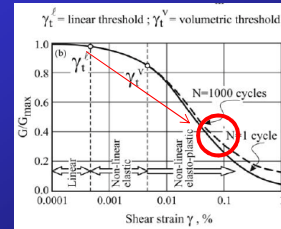
## *SCPT Applications*

- Direct measure of soil stiffness
  - Settlement calculations
  - Input for numerical modeling (stress-strain)
- Estimation of soil parameters based on  $V_s$
- Evaluation of soil liquefaction based on  $V_s$
- Determination of saturation based on  $V_p$
- Identification of ‘*unusual*’ soils
  - i.e. soils with microstructure
- Link to lab testing ( $V_s$  in-situ and lab)

## Direct measure of soil stiffness

- Small strain shear modulus,  $G_o = \rho (V_s)^2$ 
  - key parameter in soil dynamics ( $G_o = G_{\max}$ )
- Link to small strain Young's modulus,  $E_o$ 

$$E_o = 2G_o (1+\nu) \sim 2.4 G_o$$
  - $\nu$  = poisson's ratio  $\sim 0.2$  (drained small strains)
- Soften to strain level of interest
  - for  $\gamma \sim 0.1\%$ , soften by  $\sim 0.4$
  - $E'_{0.1\%} \sim G_o$



## Mobilized stiffness for design

Modified hyperbola based on mobilized stress level (Fahey, 1998)

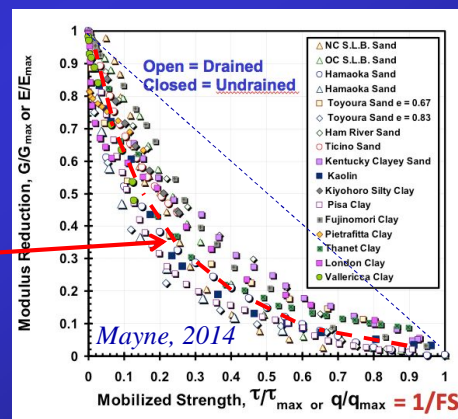
$$G/G_o = 1 - f (\tau/\tau_{\max})^g = 1 - f (1/FS)^g$$

where FS (factor of safety)

$$FS = \tau/\tau_{\max} = q/q_{ult}$$

For uncemented, unstructured soils

$$f \sim 1.0 \text{ and } g \sim 0.3$$

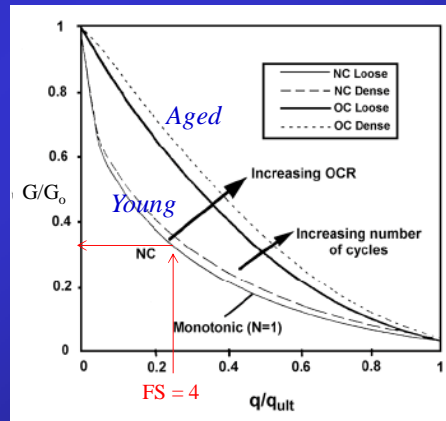


## Mobilized stiffness for design

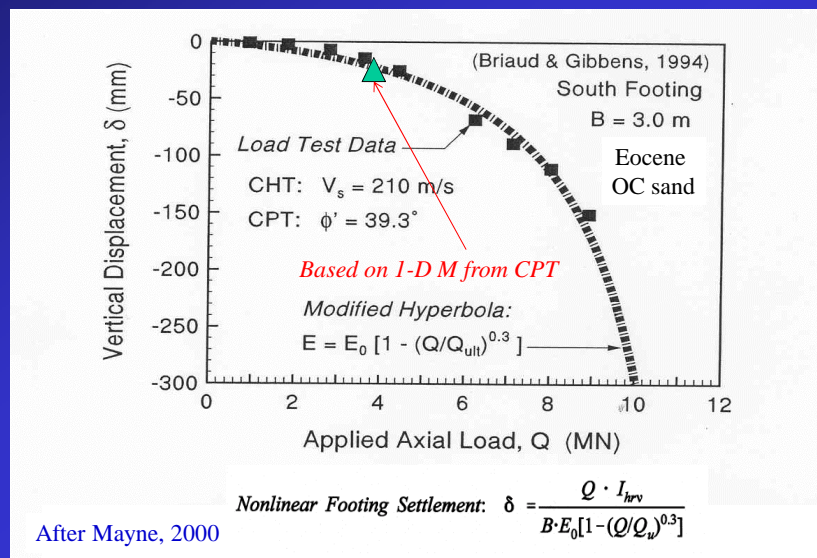
Mobilized modulus for footing design

$$E' = 2.4 G_o [1 - (q/q_{ult})^{0.3}]$$

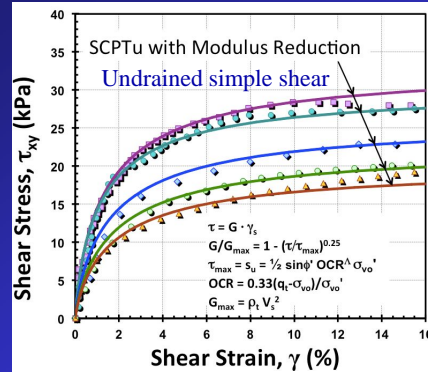
Modulus can be varied as a function of degree of loading to produce full load-settlement curve



## Texas A & M Footing - sand



## Estimating stress-strain curves



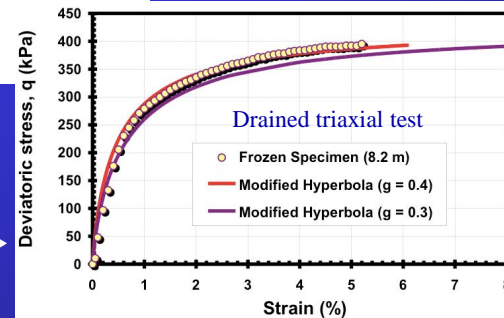
### Lab DSS Tests

- CAU SS: 12.7 m
- CAU SS: 14.9 m
- ◆ CAU SS: 10.5 m
- CAU SS: 9.4 m
- ▲ CAU SS: 4.8 m

Soft clay  
Burswood, Perth,  
Australia (Chung, 2005)

Natori river sand  
(young, uncemented)  
Japan (Mimura, 2003)

Mayne, 2014



## Estimating soil parameters

Summary by Mayne (2014) – [www.cpt14.com](http://www.cpt14.com)

- Independent estimate based on  $V_s$ :

*Young, uncemented soils*

– Soil unit weight,

$$\square \gamma_t \text{ (KN/m}^3\text{)} = 8.32 \log (V_s) + 1.61z \quad V_s \text{ (m/s) \& } z \text{ (m)}$$

– Peak friction angle (sands)

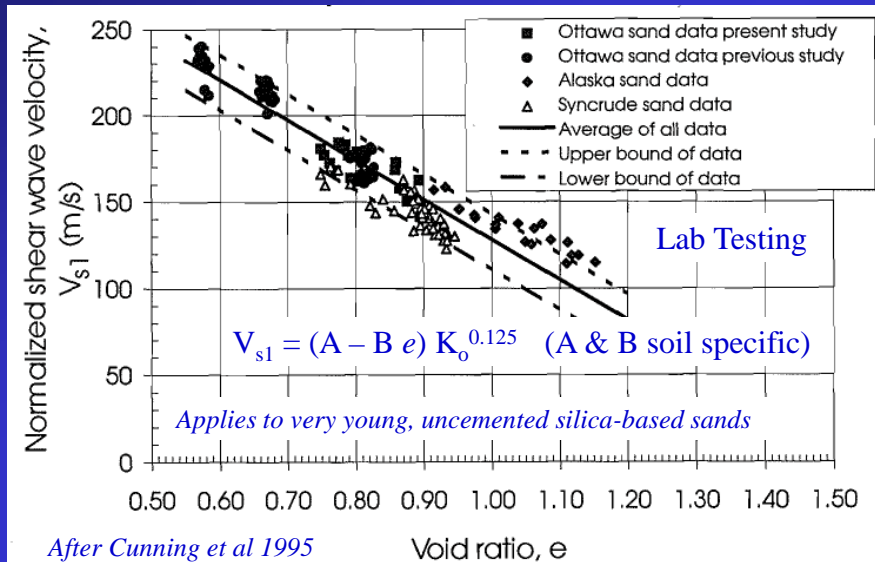
$$\square \phi' = 3.9 (V_{s1})^{0.44} \quad V_{s1} = V_s (\sigma'_{vo}/p_a)^{0.25} \text{ m/s}$$

– Undrained shear strength,  $s_u$  (clays)

$$\bullet s_u \text{ (kPa)} = (V_s/7.93)^{1.59} \quad V_s \text{ (m/s)}$$

Careful with units - not commonly used

## Estimating void ratio ( $e$ ) from $V_s$



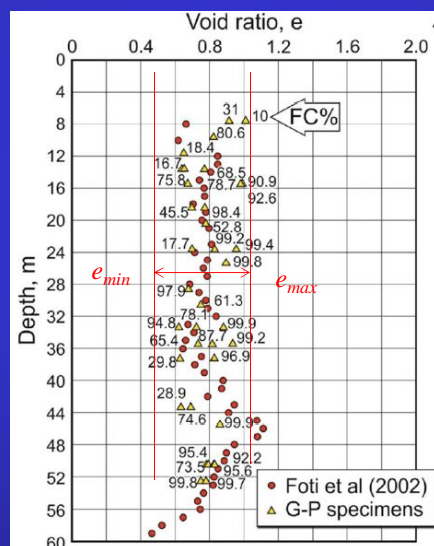
## Estimating porosity ( $n$ ) from $V_s$ & $V_p$

$$n = \frac{\rho_s - \rho_s^2 - \frac{4(\rho_s - \rho_f)B_f}{V_p^2 - 2\left(\frac{1-\nu_s}{1-2\nu_s}\right)V_s^2}}{2(\rho_s - \rho_f)}$$

$\rho_s$  = soil particles mass density  
 $\rho_f$  = pore fluid mass density  
 $B_f$  = bulk modulus of pore fluid  
 $\nu_s$  = Poisson ratio of soil skeleton

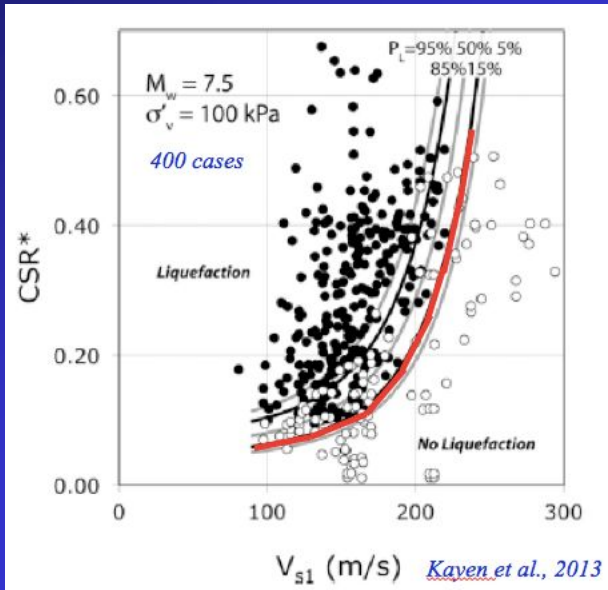
Very sensitive to accuracy of  $V_s$  &  $V_p$

After Jamiolkowski (2014) & Foti et al (2002)





## Evaluation of cyclic liquefaction



Cyclic Liquefaction:

$$100 < V_{s1} < 230 \text{ m/s}$$

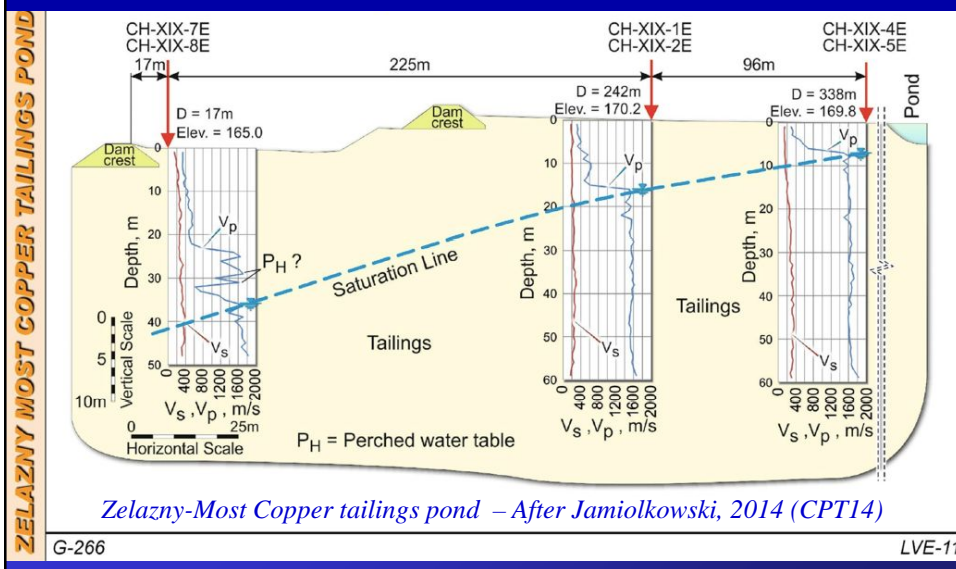
No liquefaction:

$$V_{s1} > 250 \text{ m/s}$$

Young, uncemented  
soils

No effect of 'fines'

## Estimating saturation from $V_p$ measurements



## *Non-textbook – ‘unusual’ soil*

- Most existing published experience/research based on typical “*ideal*” ground
  - *Young, uncemented: soft clay and clean silica sand*
- Limited published experience/research on non-textbook “*unusual*” ground
  - stiff fissured clays, soft rock, intermediate soils (silts), calcareous soils, man-made ground, tailings, older and/or cemented soils
- *Microstructure* often used to describe soils with ‘*unusual*’ characteristics

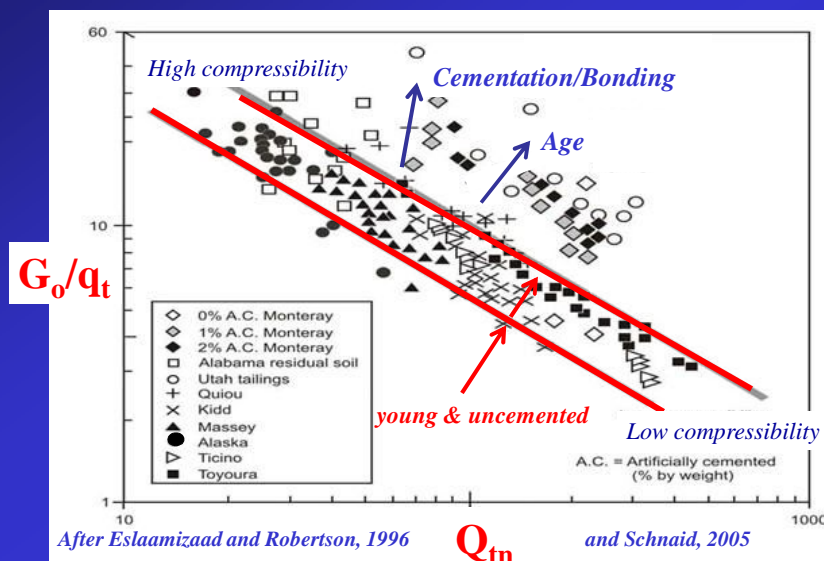
## *Identification of ‘unusual’ soils*

- CPT penetration resistance,  $q_t$  – *mostly large strain response* – mostly controlled by peak strength
- Shear wave velocity,  $V_s$  – *small strain response* – controlled by small strain stiffness
- Potential to identify ‘*unusual*’ soils from SCPT by measuring both small and large strain response

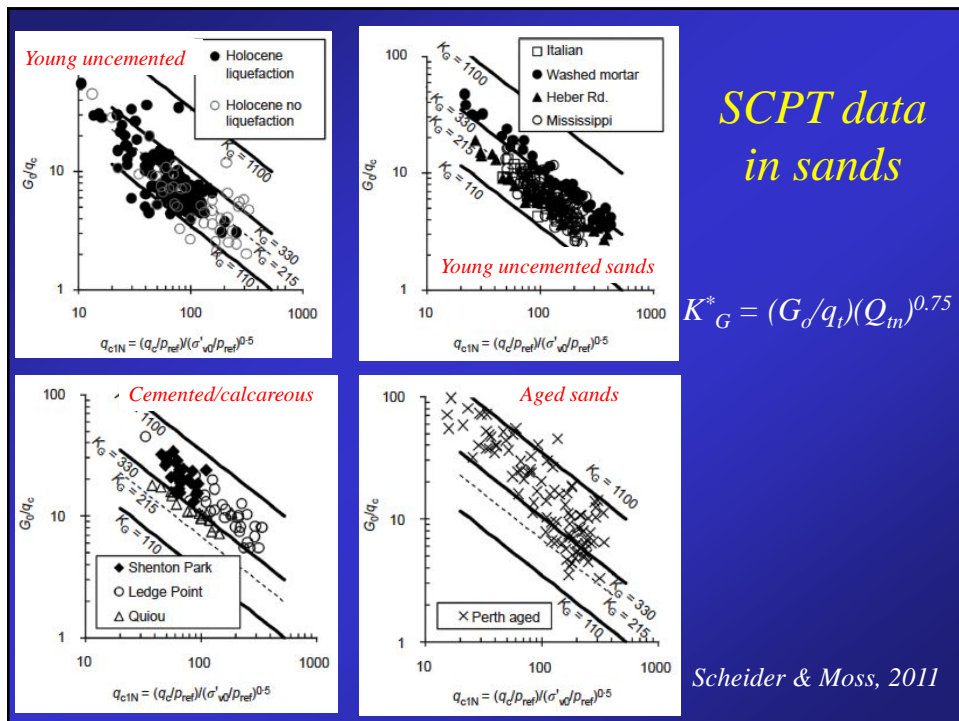
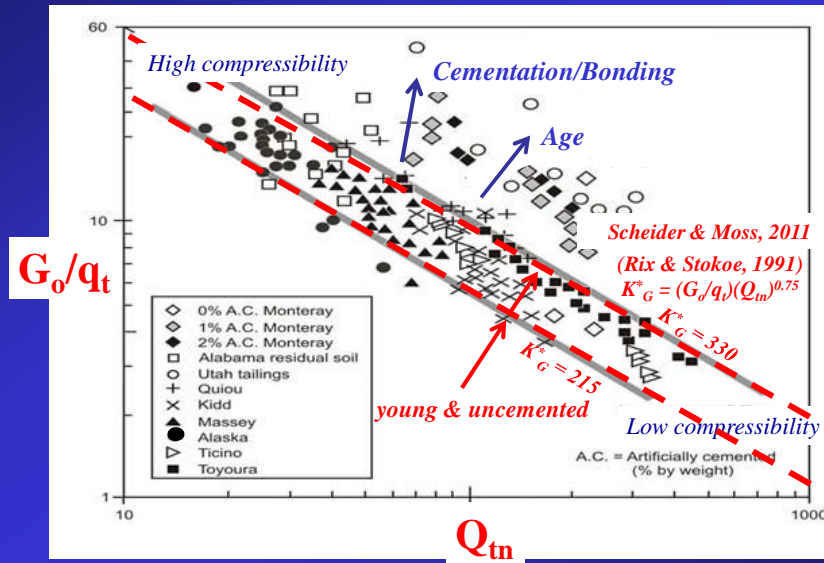
## $V_s$ and CPT

- $V_s$  controlled mainly by: state (relative density & OCR), effective stresses, age and cementation
- CPT tip resistance,  $q_t$ , controlled mainly by: state (relative density & OCR), effective stresses, and to lesser degree by age and cementation
- Strong relationship between  $q_t$  and  $V_s$ , but depends mainly on *age and cementation* (i.e. microstructure)

## Estimating age and/or cementation



## Estimating age and/or cementation



## Estimating age and/or cementation

Schneider & Moss, 2011

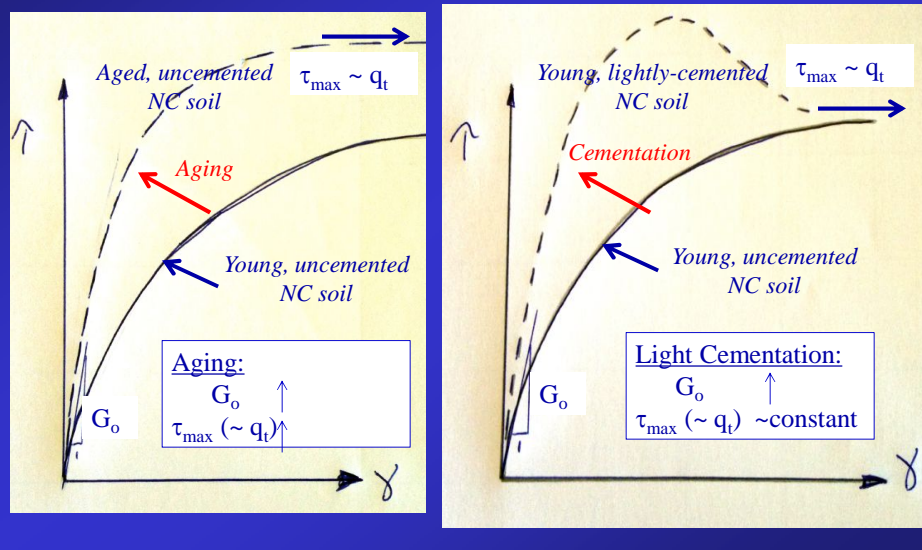
$$K_G^* = (G_o/q_t)(Q_{tn})^{0.7}$$

- If  $K_G^* > 330$  potentially aged and/or cemented
- If  $K_G^* < 200$  potentially very young & uncemented

Difference between ‘geologic-age’ and ‘behaviour-age’

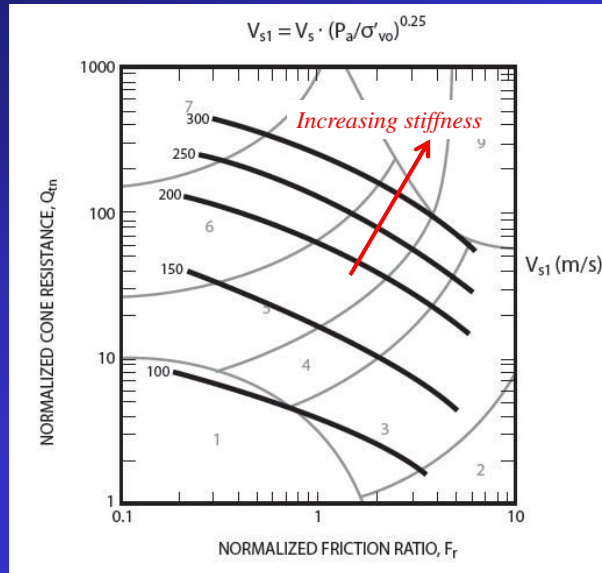
- e.g. past soil liquefaction events can re-set age clock?  
(also - Andrus et al, 2007)

## Generalized influence of ‘age’ & ‘cementation’ on soil behaviour





## Estimated $V_s$ based on CPT



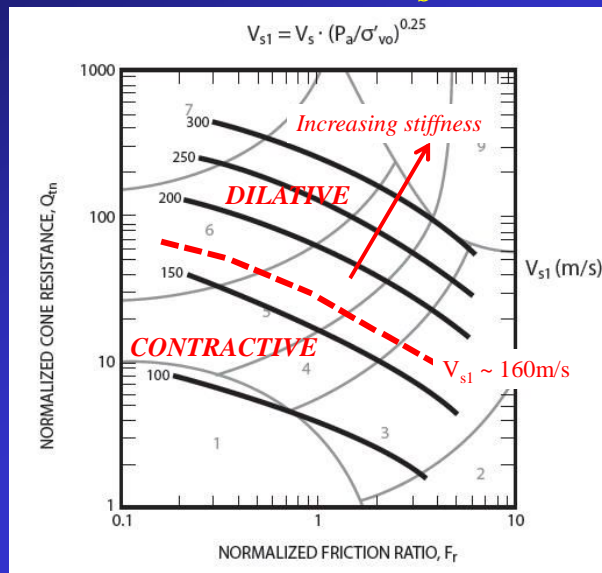
Soils with same  $V_{s1}$  have similar (small strain) behavior

*Young (Holocene to Pleistocene-age) uncemented soils*

Based on large SCPT database (>1,000 data points)

Robertson, 2009

## Estimated $V_s$ based on CPT



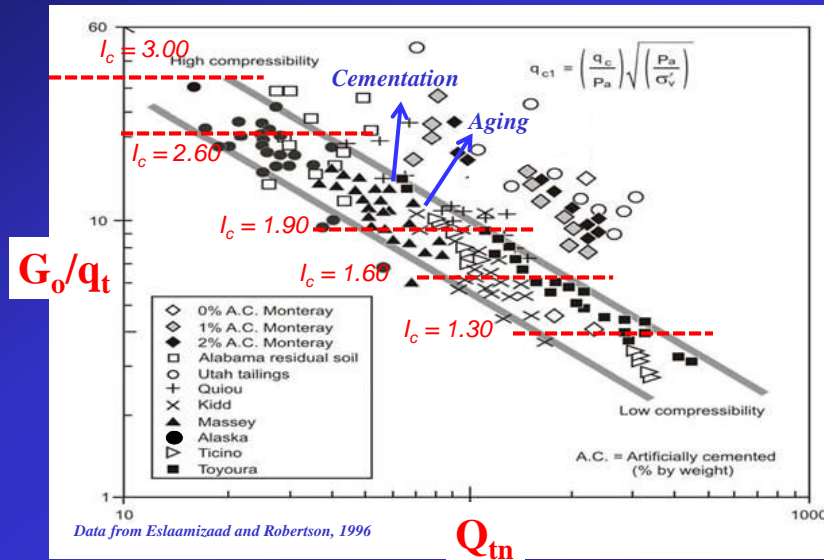
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*Young (Holocene to Pleistocene-age) uncemented soils*

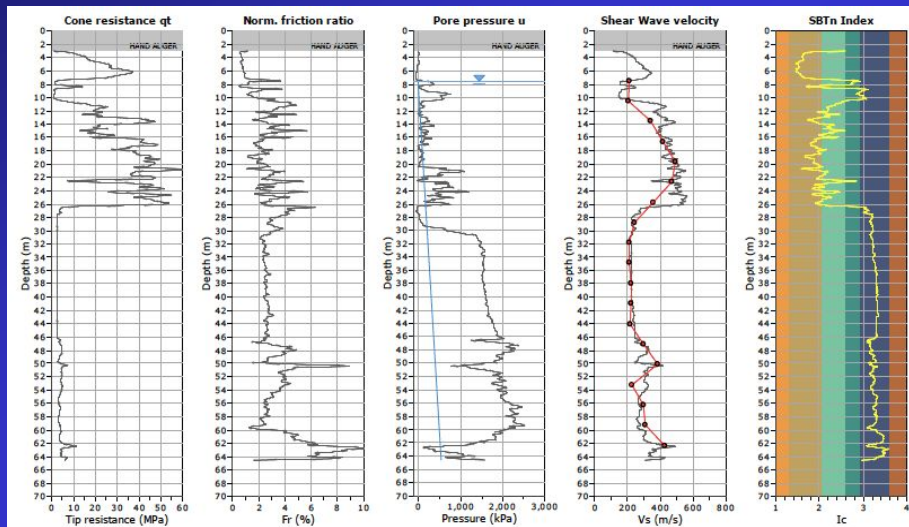
Based on case histories of flow liquefaction & lab results

Robertson, 2010

## Estimating age and/or cementation

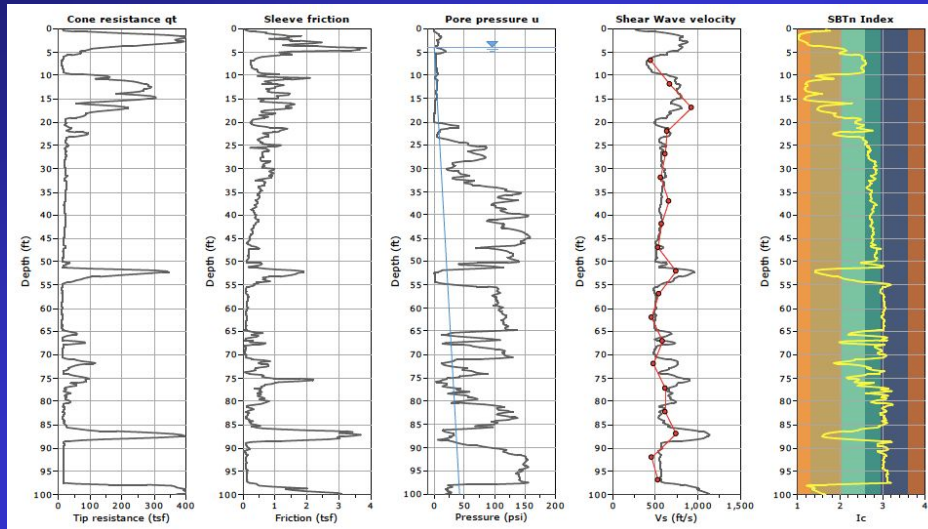


## Example $V_s$ measured vs estimated



Example - young, uncemented soils – downtown San Francisco

## Example $V_s$ measured vs estimated



Example – Nevada, USA

## Summary

- SCPT is a very powerful in-situ test
  - Cost effective way to add  $V_s$  ( $V_p$ ) to CPT
  - Up to 7 measurements in 1 test ( $q_t$ ,  $f_s$ ,  $u$ ,  $V_s$ ,  $t_{50}$ ,  $u_o$ ,  $i$ )
- $V_s$  is a direct measure of soil stiffness
- Helpful for:
  - Settlement calculations & stress-strain relationship
  - Liquefaction evaluation
  - Identification of ‘unusual’ soil (age & cementation)
  - Saturation using  $V_p$

## Summary

Should all CPT's at a site be SCPTu?

- Common to make ~20 to 30% of CPT's using SCPT
- Identify site specific relationship between  $q_t$  and  $V_s$
- Identify if soils are either '*well-behaved*' or '*unusual*'
  - e.g, will traditional correlations (based on 'well-behaved' soils) apply?

Continued growth in use and application of  
SCPTu



## Questions?

