

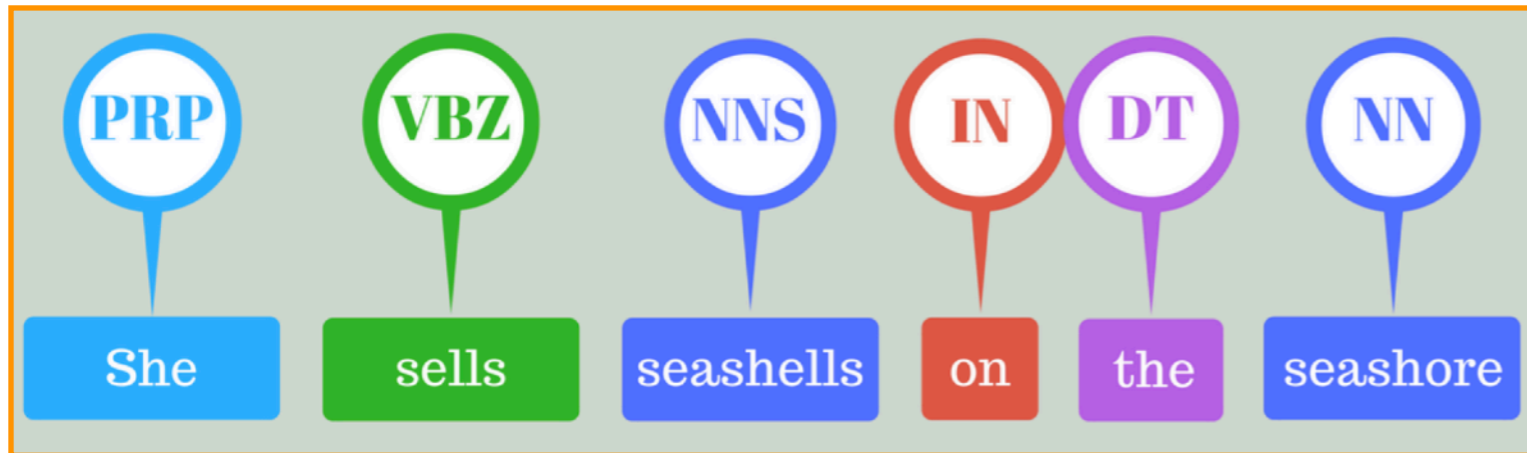


COS 484: Natural Language Processing

Sequence Models

Fall 2019

Why model sequences?



Part of Speech tagging

Named Entity recognition



Brazil ranks number 5 in the list of countries by population.

The term "**Ibu Negara**" (Lady/Mother of the State) is used for **wife of the President of Indonesia**.

Game of Thrones is an adaptation of A Song of Ice and Fire, George R. R. Martin's series of fantasy novels. It ranks **fourth** among the **IMDB Top Rated TV Shows**.

THE COUNTRIES WITH THE LARGEST POPULATION

China	1	1,388,232,693
India	2	1,342,512,706
Unites States	3	326,474,013
Indonesia	4	263,510,146
Brasil	5	174,315,386

THE COUNTRY'S' FIRST LADIES

- Brigitte Macron
- Spouse: Emmanuel Macron, President of France (2017 -)
- Melania Trump
- Spouse: Donald J. Trump, U.S. President (2017-)
- Iriana Widodo**
- Spouse: Joko Widodo, **President of Indonesia** (2014 -)
- Also known as: "**Ibu Negara**" (Lady/Mother of the State)

IMDB TOP RATED TV SHOWS

- 1 Planet Earth II (2016) 9.6.
- 2 Band of Brothers (2001) 9.5.
- 3 Planet Earth (2006) 9.5.
- 4 **Game of Thrones** (2011) 9.4.
- 5 Breaking Bad (2008) 9.4.

Information Extraction

Overview

- Hidden markov models (HMM)
- Viterbi algorithm
- Maximum entropy markov models (MEMM)

What are POS tags

- Word classes or syntactic categories
- Reveal useful information about a word (and its neighbors!)

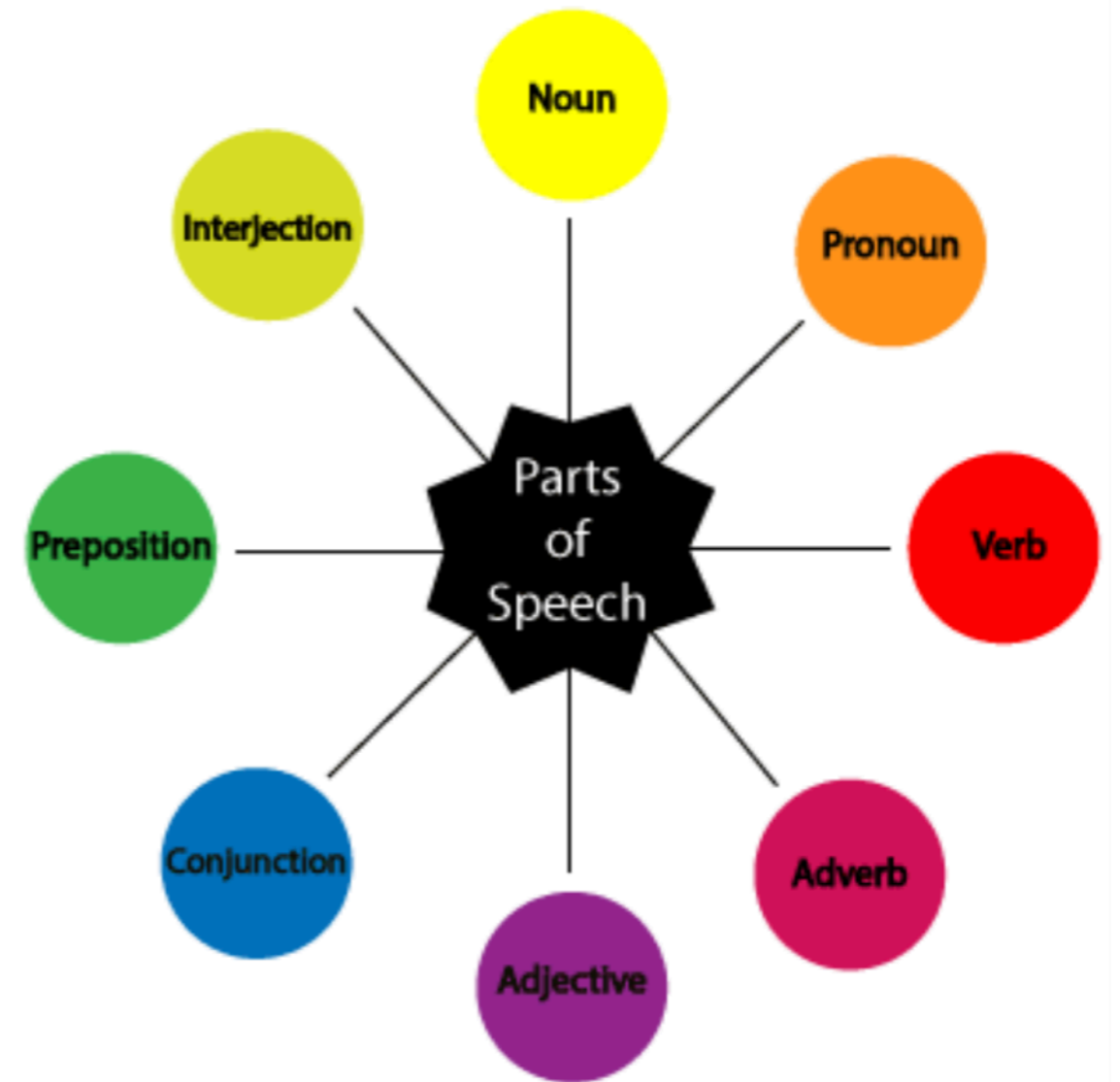
The/**DT** cat/**NN** sat/**VBD** on/**IN** the/**DT** mat/**NN**

Princeton/**NNP** is/**VBZ** in/**IN** New/**NNP** Jersey/**NNP**

The/**DT** old/**NN** man/**VB** the/**DT** boat/**NN**

Parts of Speech

- Different words have different functions
- Closed class: fixed membership, **function words**
 - e.g. prepositions (*in, on, of*), determiners (*the, a*)
- Open class: New words get added frequently
 - e.g. nouns (Twitter, Facebook), verbs (google), adjectives, adverbs



Penn Tree Bank tagset

Tag	Description	Example	Tag	Description	Example	Tag	Description	Example
CC	coordinating conjunction	<i>and, but, or</i>	PDT	predeterminer	<i>all, both</i>	VBP	verb non-3sg present	<i>eat</i>
CD	cardinal number	<i>one, two</i>	POS	possessive ending	<i>'s</i>	VBZ	verb 3sg pres	<i>eats</i>
DT	determiner	<i>a, the</i>	PRP	personal pronoun	<i>I, you, he</i>	WDT	wh-determ.	<i>which, that</i>
EX	existential 'there'	<i>there</i>	PRP\$	possess. pronoun	<i>your, one's</i>	WP	wh-pronoun	<i>what, who</i>
FW	foreign word	<i>mea culpa</i>	RB	adverb	<i>quickly</i>	WP\$	wh-possess.	<i>whose</i>
IN	preposition/ subordin-conj	<i>of, in, by</i>	RBR	comparative adverb	<i>faster</i>	WRB	wh-adverb	<i>how, where</i>
JJ	adjective	<i>yellow</i>	RBS	superlatv. adverb	<i>fastest</i>	\$	dollar sign	<i>\$</i>
JJR	comparative adj	<i>bigger</i>	RP	particle	<i>up, off</i>	#	pound sign	<i>#</i>
JJS	superlative adj	<i>wildest</i>	SYM	symbol	<i>+, %, &</i>	“	left quote	<i>' or “</i>
LS	list item marker	<i>1, 2, One</i>	TO	“to”	<i>to</i>	”	right quote	<i>' or ”</i>
MD	modal	<i>can, should</i>	UH	interjection	<i>ah, oops</i>	(left paren	<i>[, (, {, <</i>
NN	sing or mass noun	<i>llama</i>	VB	verb base form	<i>eat</i>)	right paren	<i>],), }, ></i>
NNS	noun, plural	<i>llamas</i>	VBD	verb past tense	<i>ate</i>	,	comma	<i>,</i>
NNP	proper noun, sing.	<i>IBM</i>	VBG	verb gerund	<i>eating</i>	.	sent-end punc	<i>. ! ?</i>
NNPS	proper noun, plu.	<i>Carolinas</i>	VBN	verb past part.	<i>eaten</i>	:	sent-mid punc	<i>: ; ... - -</i>

[45 tags]

Figure 8.1 Penn Treebank part-of-speech tags (including punctuation).

(Marcus et al., 1993)

Other corpora: Brown, WSJ, Switchboard

Part of Speech Tagging

- Disambiguation task: each word might have different senses/functions
- The/DT **man/NN** bought/VBD a/DT boat/NN
- The/DT old/NN **man/VB** the/DT boat/NN

Types:		WSJ	Brown
Unambiguous	(1 tag)	44,432 (86%)	45,799 (85%)
Ambiguous	(2+ tags)	7,025 (14%)	8,050 (15%)
Tokens:			
Unambiguous	(1 tag)	577,421 (45%)	384,349 (33%)
Ambiguous	(2+ tags)	711,780 (55%)	786,646 (67%)

Figure 8.2 Tag ambiguity for word types in Brown and WSJ, using Treebank-3 (45-tag) tagging. Punctuation were treated as words, and words were kept in their original case.

Part of Speech Tagging

- Disambiguation task: each word might have different senses/functions
 - The/DT **man/NN** bought/VBD a/DT boat/NN
 - The/DT old/NN **man/VB** the/DT boat/NN

earnings growth took a **back/JJ** seat
a small building in the **back/NN**
a clear majority of senators **back/VBP** the bill
Dave began to **back/VB** toward the door
enable the country to buy **back/RP** about debt
I was twenty-one **back/RB** then

Some words have
many functions!

A simple baseline

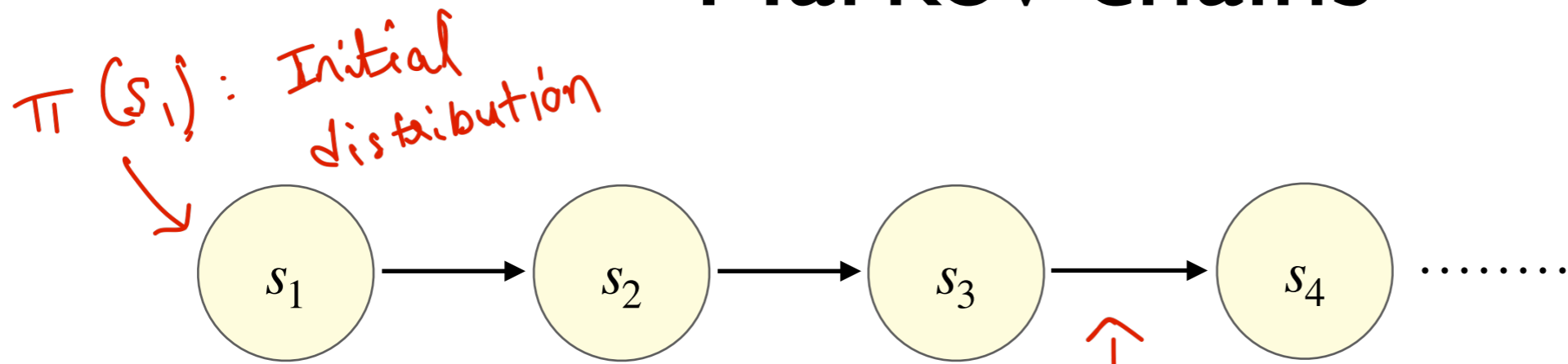
- Many words might be easy to disambiguate
- **Most frequent class:** Assign each token (word) to the class it occurred most in the training set. (e.g. man/NN)
- Accurately tags **92.34%** of word tokens on Wall Street Journal (WSJ)!
- State of the art ~ 97%
- Average English sentence ~ 14 words
 - Sentence level accuracies: $0.92^{14} = \mathbf{31\%}$ vs $0.97^{14} = \mathbf{65\%}$
- POS tagging not solved yet!

Hidden Markov Models

Some observations

- The function (or POS) of a word depends on its context
 - The/DT **old/NN** **man/VB** the/DT boat/NN
 - The/DT **old/JJ** **man/NN** bought/VBD the/DT boat/NN
- Certain POS combinations are extremely unlikely
 - $\langle JJ, DT \rangle$ or $\langle DT, IN \rangle$
- Better to make decisions on entire sequences instead of individual words (**Sequence modeling!**)

Markov chains

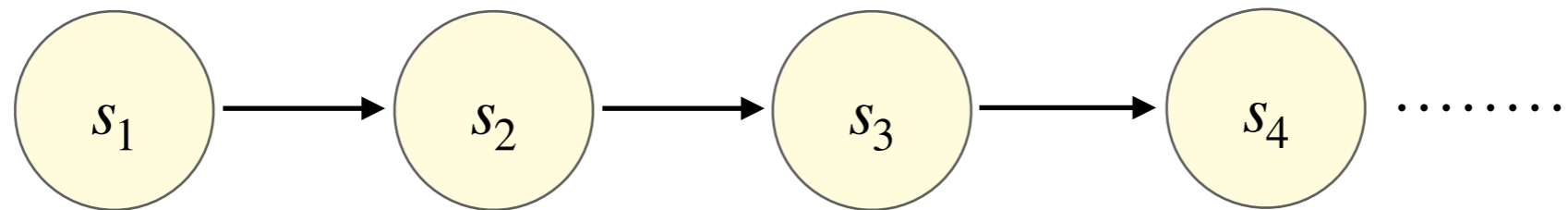


$P(s_t | s_{t-1})$: Transition probability

- Model probabilities of sequences of variables
- Each state can take one of K values ($\{1, 2, \dots, K\}$ for simplicity)
- Markov assumption: $P(s_t | s_{<t}) \approx P(s_t | s_{t-1})$

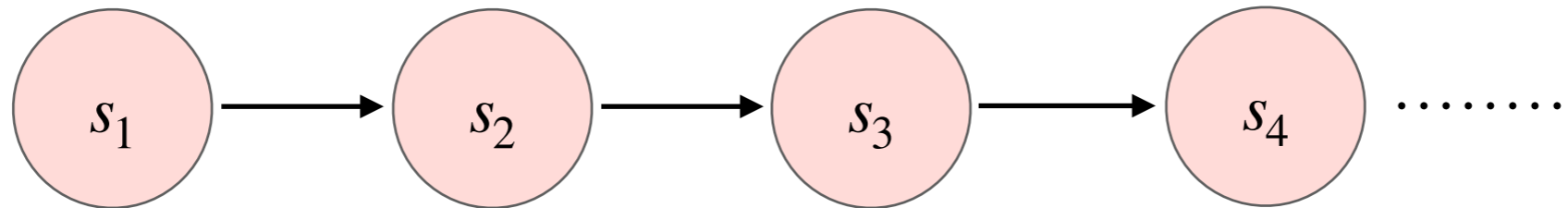
Where have we seen this before?

Markov chains



The/**DT** cat/**NN** sat/**VBD** on/**IN** the/**DT** mat/**NN**

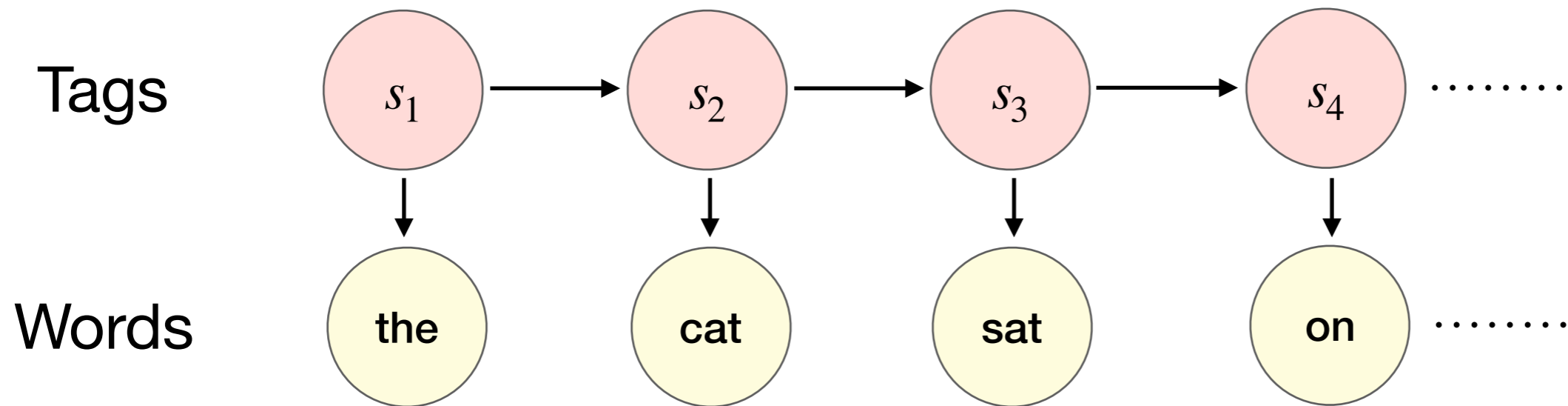
Markov chains



The/?? cat/?? sat/?? on/?? the/?? mat/??

- We don't observe POS tags in corpora

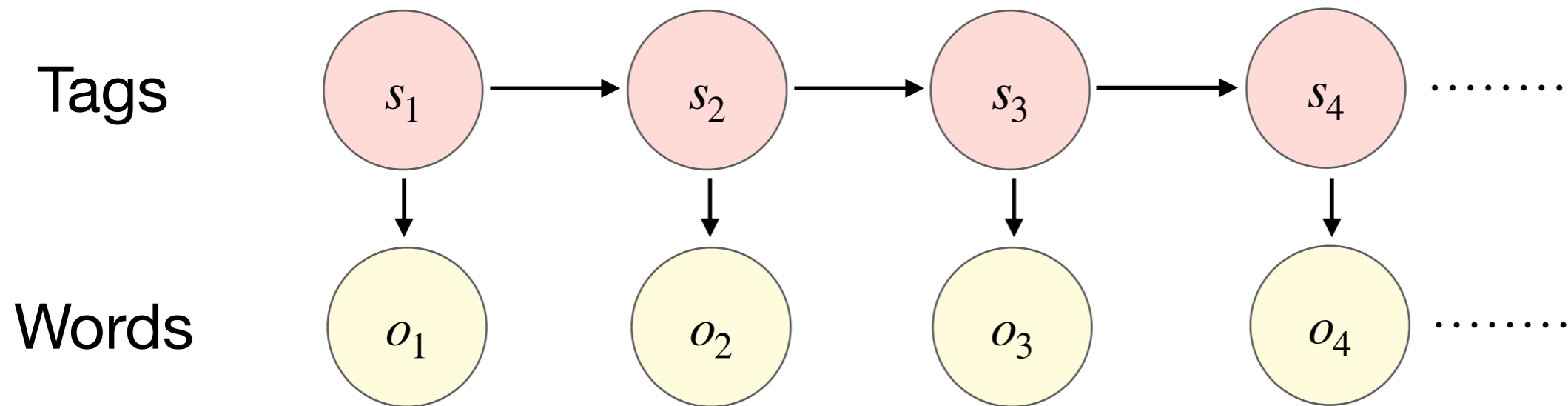
Hidden Markov Model (HMM)



The/?? cat/?? sat/?? on/?? the/?? mat/??

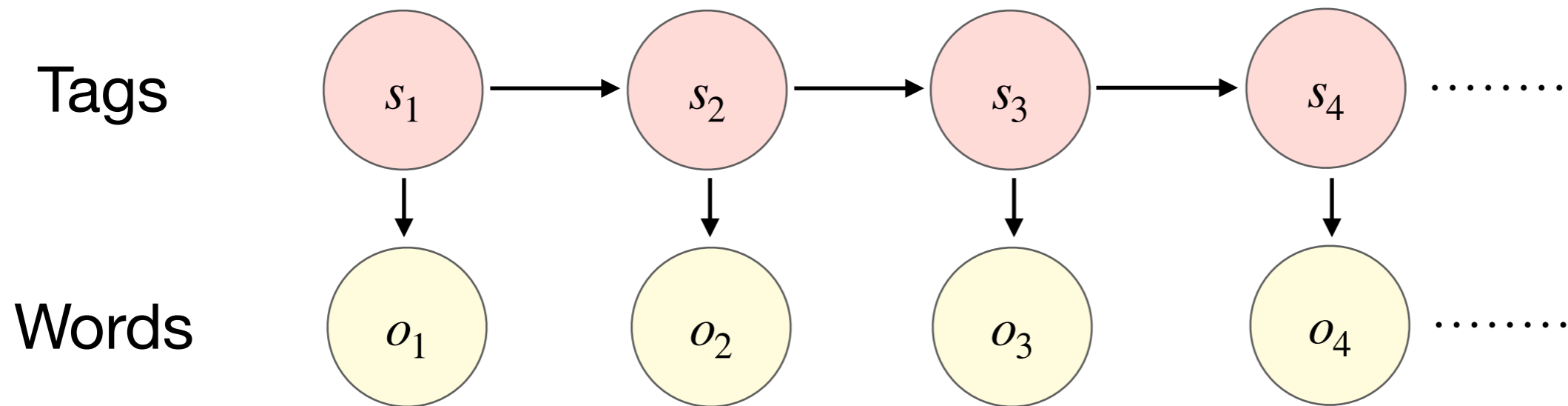
- We don't observe POS tags in corpora
- But we do observe the words!
- HMM allows us to *jointly reason* over both **hidden** and **observed** events.

Components of an HMM



1. Set of states $S = \{1, 2, \dots, K\}$ and observations O
2. Initial state probability distribution $\pi(s_1)$
3. Transition probabilities $P(s_{t+1} | s_t)$
4. Emission probabilities $P(o_t | s_t)$

Assumptions



1. Markov assumption:

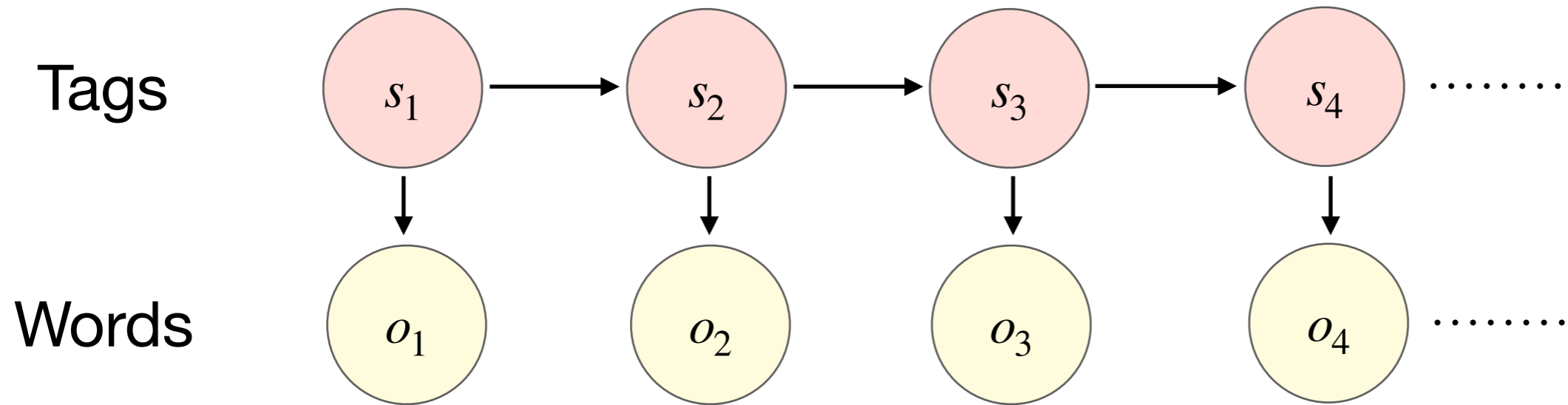
$$P(s_{t+1} | s_1, \dots, s_t) = P(s_{t+1} | s_t)$$

2. Output independence:

$$P(o_t | s_1, \dots, s_t) = P(o_t | s_t)$$

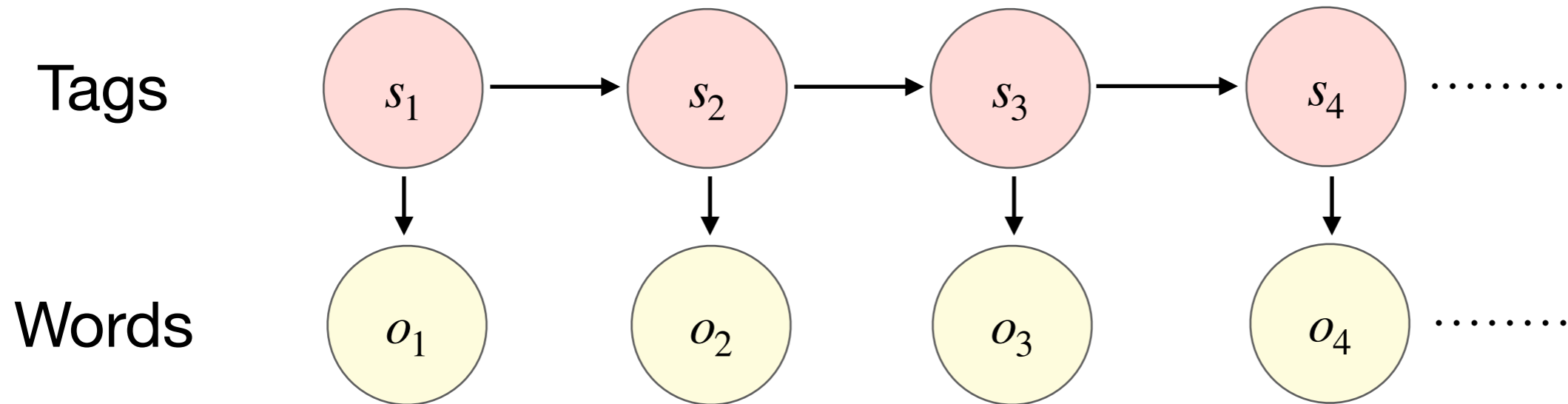
Which is a stronger assumption?

Sequence likelihood



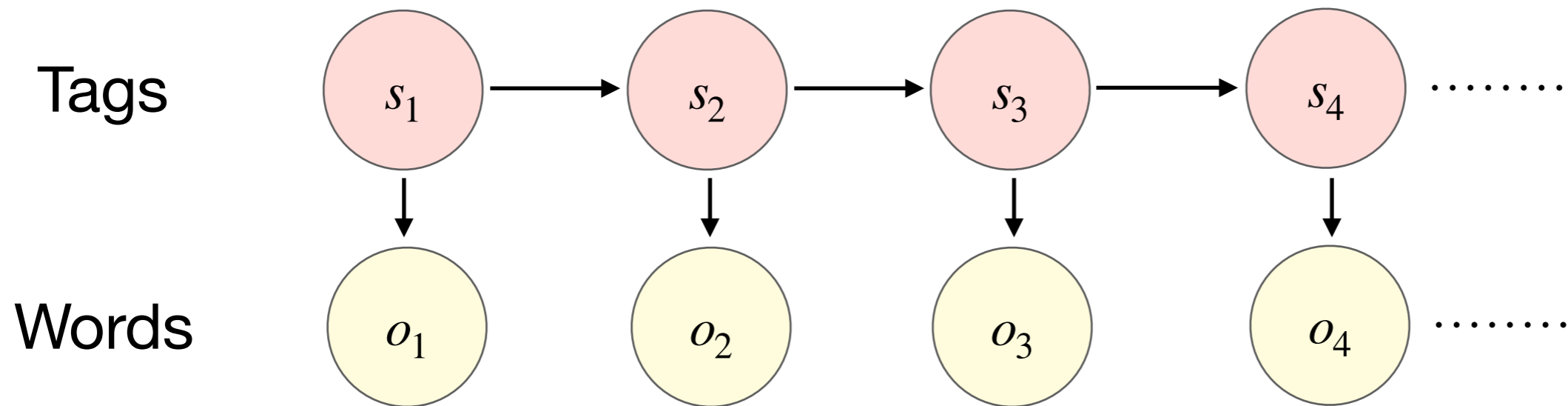
$$P(S, O) = P(s_1, s_2 \dots s_n, o_1, o_2 \dots o_n)$$

Sequence likelihood



$$P(s, o) = P(s_1, s_2 \dots s_n, o_1, o_2 \dots o_n)$$
$$= \pi(s_1) P(o_1 | s_1) \prod_{i=2}^n P(s_i, o_i | s_{i-1})$$

Sequence likelihood



$$\begin{aligned} P(s, o) &= P(s_1, s_2 \dots s_n, o_1, o_2 \dots o_n) \\ &= \pi(s_1) P(o_1 | s_1) \prod_{i=2}^n P(s_i, o_i | s_{i-1}) \\ &= \pi(s_1) P(o_1 | s_1) \prod_{i=2}^n P(s_i | s_{i-1}) P(o_i | s_i) \end{aligned}$$

Learning

Training set:

1 Pierre/**NNP** Vinken/**NNP** ,/, 61/**CD** years/**NNS** old/**JJ** ,/, will/**MD** join/**VB** the/**DT** board/**NN** as/**IN** a/**DT** nonexecutive/**JJ** director/**NN** Nov./**NNP** 29/**CD** ./.

2 Mr./**NNP** Vinken/**NNP** is/**VBZ** chairman/**NN** of/**IN** Elsevier/**NNP** N.V./**NNP** ,/, the/**DT** Dutch/**NNP** publishing/**VBG** group/**NN** ./.

3 Rudolph/**NNP** Agnew/**NNP** ,/, 55/**CD** years/**NNS** old/**JJ** and/**CC** chairman/**NN** of/**IN** Consolidated/**NNP** Gold/**NNP** Fields/**NNP** PLC/**NNP** ,/, was/**VBD** named/**VBN** a/**DT** nonexecutive/**JJ** director/**NN** of/**IN** this/**DT** British/**JJ** industrial/**JJ** conglomerate/**NN** ./.

...

38,219 It/**PRP** is/**VBZ** also/**RB** pulling/**VBG** 20/**CD** people/**NNS** out/**IN** of/**IN** Puerto/**NNP** Rico/**NNP** ,/, who/**WP** were/**VBD** helping/**VBG** Hurricane/**NNP** Hugo/**NNP** victims/**NNS** ,/, and/**CC** sending/**VBG** them/**PRP** to/**TO** San/**NNP** Francisco/**NNP** instead/**RB** ./.

Learning

Training set:

1 Pierre/**NNP** Vinken/**NNP** ,/, 61/**CD** year
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of/**IN** Puerto/**NNP** Rico/**NNP** ,/, who/**WP**
Hurricane/**NNP** Hugo/**NNP** victims/**NNS** ,/
them/**PRP** to/**TO** San/**NNP** Francisco/**NN**

- Maximum likelihood estimate:

$$P(s_i | s_j) = \frac{C(s_j, s_i)}{C(s_j)}$$

$$P(o | s) = \frac{C(s, o)}{C(s)}$$

Example: POS tagging

the/?? cat/?? sat/?? on/?? the/?? mat/??

$$\pi(DT) = 0.8$$

 s_{t+1} O_t

	DT	NN	IN	VBD
DT	0.5	0.8	0.05	0.1
NN	0.05	0.2	0.15	0.6
IN	0.5	0.2	0.05	0.25
VBD	0.3	0.3	0.3	0.1

	the	cat	sat	on	mat
DT	0.5	0	0	0	0
NN	0.01	0.2	0.01	0.01	0.2
IN	0	0	0	0.4	0
VBD	0	0.01	0.1	0.01	0.01

Example: POS tagging

the/?? cat/?? sat/?? on/?? the/?? mat/??

$$\pi(DT) = 0.8$$

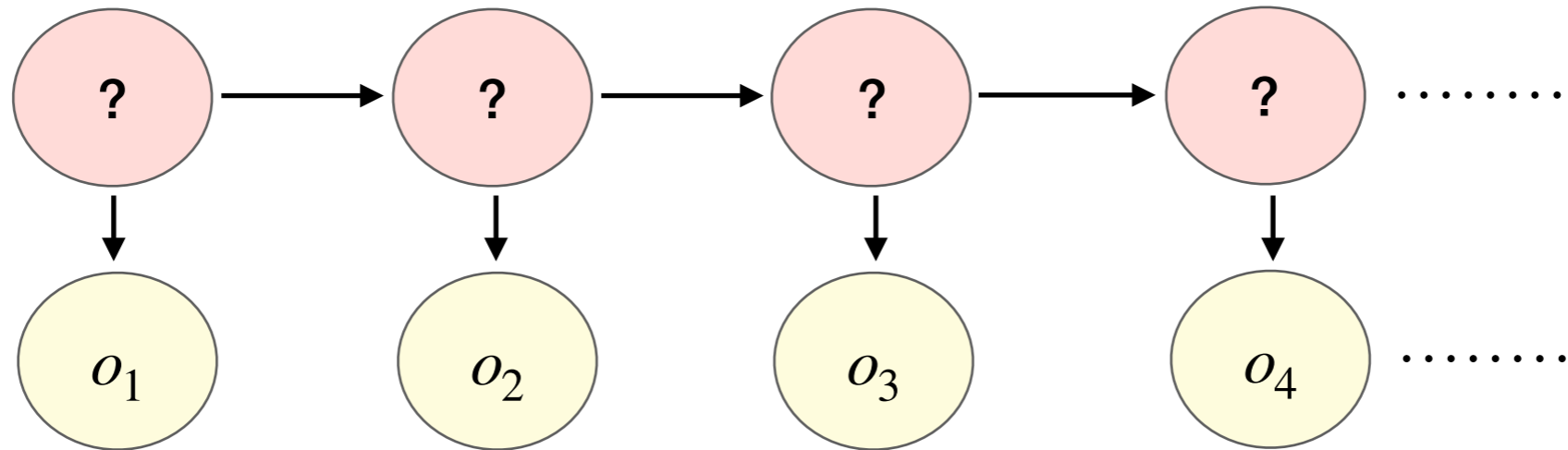
 s_{t+1}
 o_t

	DT	NN	IN	VBD
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VBD	0.3	0.3	0.3	0.1

	the	cat	sat	on	mat
DT	0.5	0	0	0	0
NN	0.01	0.2	0.01	0.01	0.2
IN	0	0	0	0.4	0
VBD	0	0.01	0.1	0.01	0.01

$$P(\text{the/DT, cat/NN, sat/VBD, on/IN, the/DT, mat/NN}) = 1.84 * 10^{-5}$$

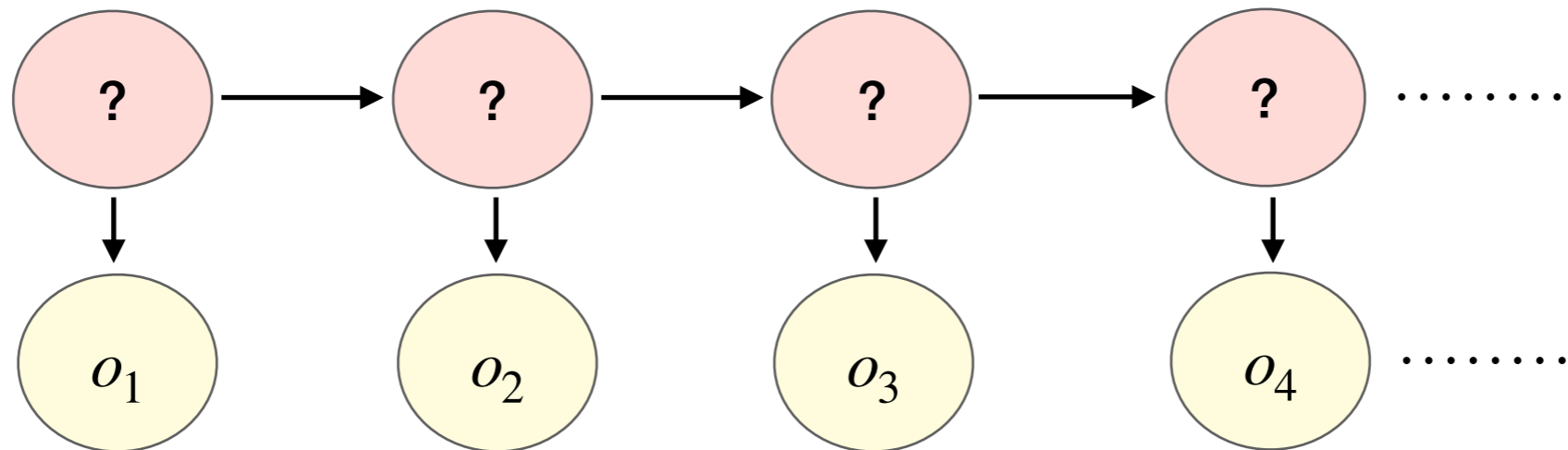
Decoding with HMMs



- **Task:** Find the most probable sequence of states $\langle s_1, s_2, \dots, s_n \rangle$ given the observations $\langle o_1, o_2, \dots, o_n \rangle$

$$\hat{S} = \operatorname{argmax}_S P(S|O) = \operatorname{argmax}_S \frac{P(S) P(O|S)}{P(O)} \quad [\text{Bayes}]$$

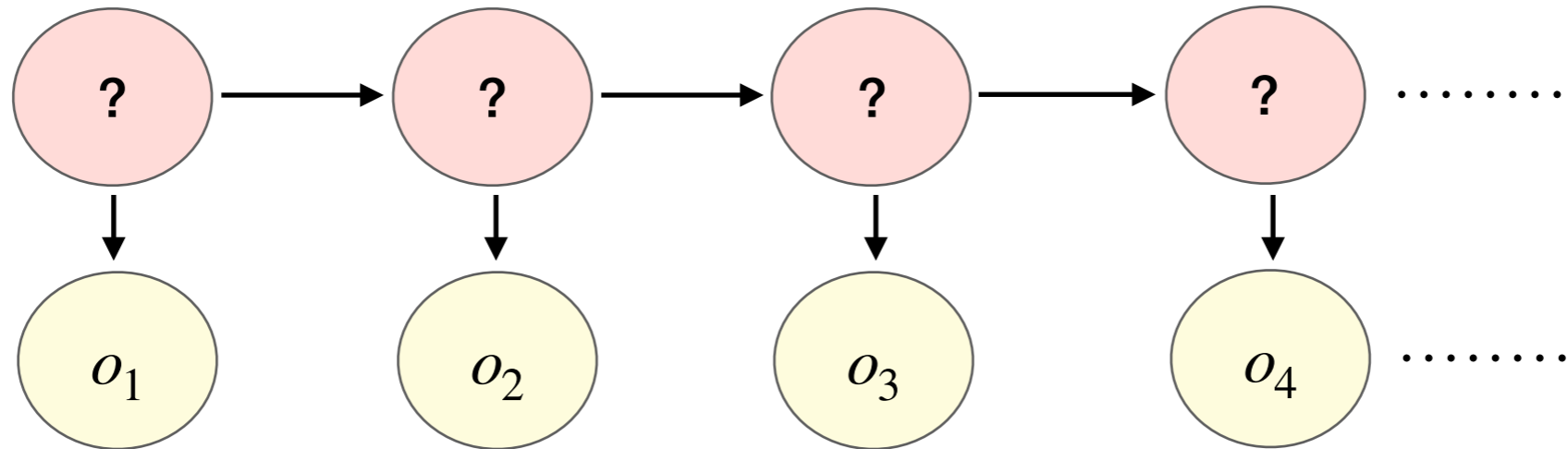
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$$= \operatorname{argmax}_S P(S) P(O|S)$$

Decoding with HMMs

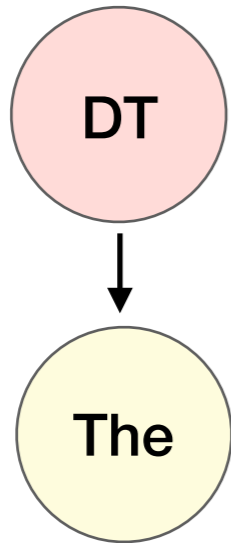


- **Task:** Find the most probable sequence of states $\langle s_1, s_2, \dots, s_n \rangle$ given the observations $\langle o_1, o_2, \dots, o_n \rangle$

$$\hat{S} = \operatorname{arg\,max}_S P(S) P(O|S)$$

$$= \operatorname{arg\,max}_S \prod_{i=1}^n \underbrace{P(s_i | s_{i-1})}_{\text{Transition}} \underbrace{P(o_i | s_i)}_{\text{Emission}}$$

Greedy decoding

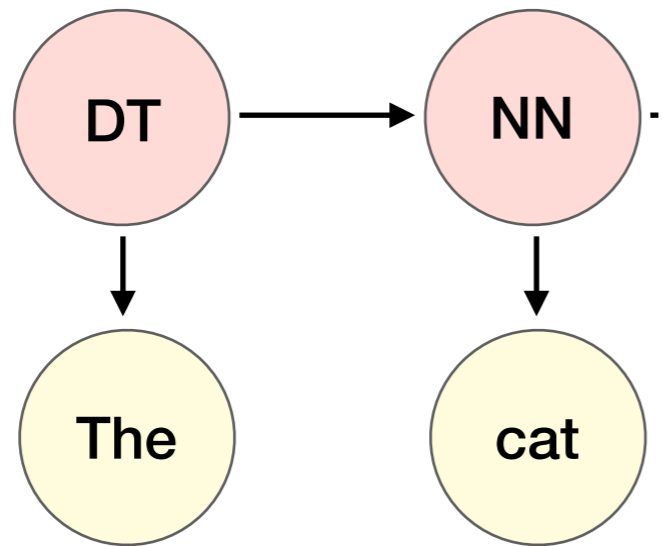


$$\operatorname{argmax}_S \pi(s_1 = S) P(\text{The} | S) = \text{'DT'}$$

$$\hat{S} = \operatorname{argmax}_S P(S) P(O | S)$$

$$= \operatorname{argmax}_S \prod_{i=1}^n \underbrace{P(s_i | s_{i-1})}_{\text{Transition}} \underbrace{P(o_i | s_i)}_{\text{Emission}}$$

Greedy decoding

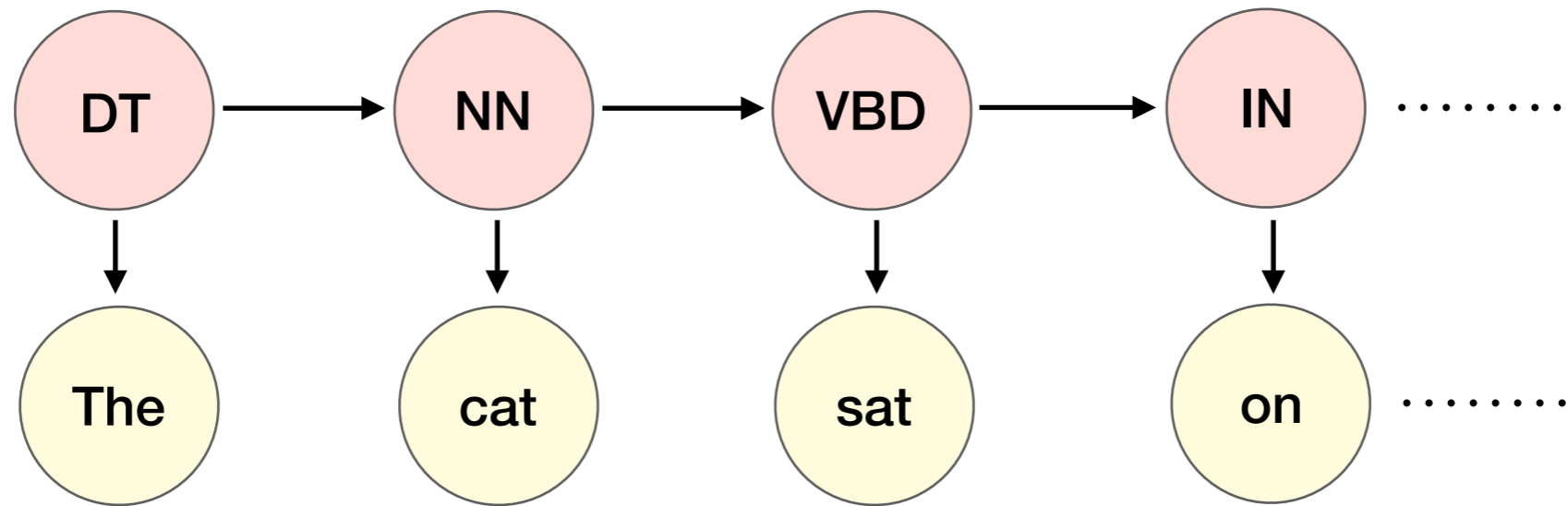


$$\operatorname{argmax}_S P(s_2=s | DT) P(\text{cat} | s) = \text{'NN'}$$

$$\hat{S} = \operatorname{argmax}_S P(s) P(o|s)$$

$$= \operatorname{argmax}_S \prod_{i=1}^n \underbrace{P(s_i | s_{i-1})}_{\text{Transition}} \underbrace{P(o_i | s_i)}_{\text{Emission}}$$

Greedy decoding



$$\forall t, \hat{s}_{t+1} = \operatorname{argmax}_s P(s | \hat{s}_t) P(o_{t+1} | s)$$

- Not guaranteed to be optimal!
- Local decisions

Viterbi decoding

- Use dynamic programming!
- Probability lattice, $M[T, K]$
 - T : Number of time steps
 - K : Number of states
- $M[i, j]$: Most probable sequence of states ending with state **j** at time **i**

Viterbi decoding

DT

$$M[1,DT] = \pi(DT) P(\mathbf{the} | DT)$$

NN

$$M[1,NN] = \pi(NN) P(\mathbf{the} | NN)$$

VBD

$$M[1,VBD] = \pi(VBD) P(\mathbf{the} | VBD)$$

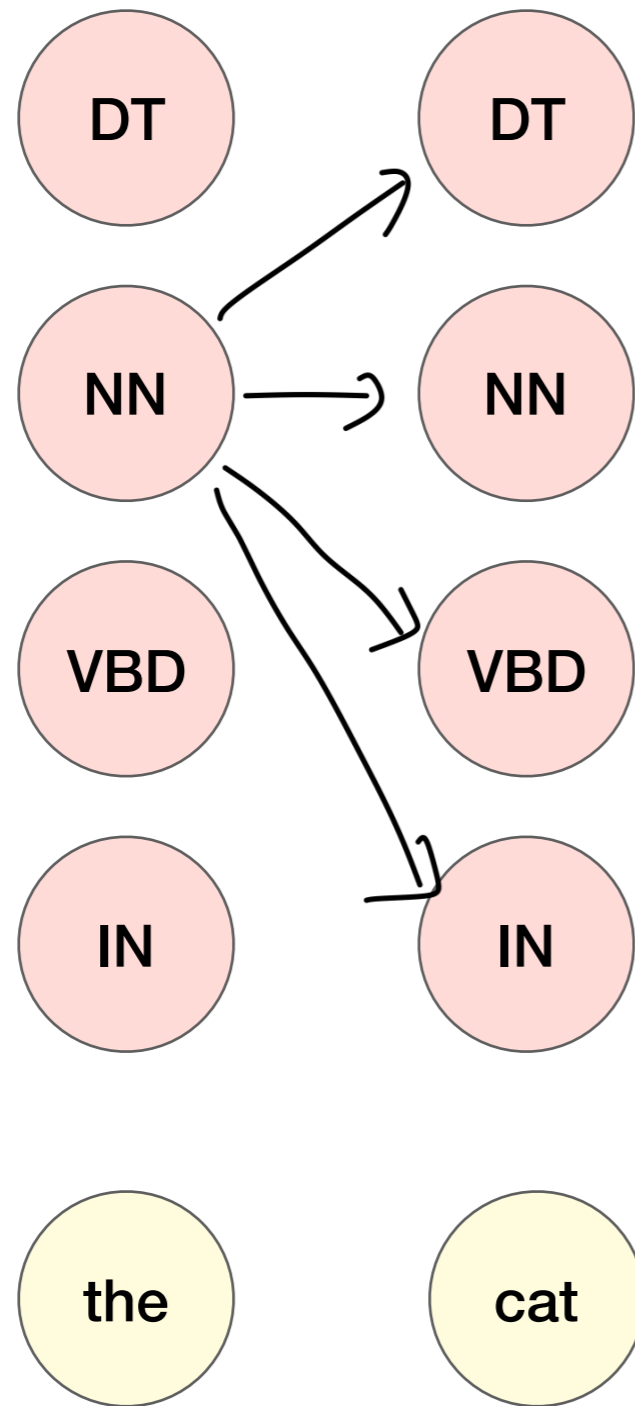
IN

$$M[1,IN] = \pi(IN) P(\mathbf{the} | IN)$$

the

Forward

Viterbi decoding



$$M[2,DT] = \max_k M[1,k] P(DT|k) P(\mathbf{cat}|DT)$$

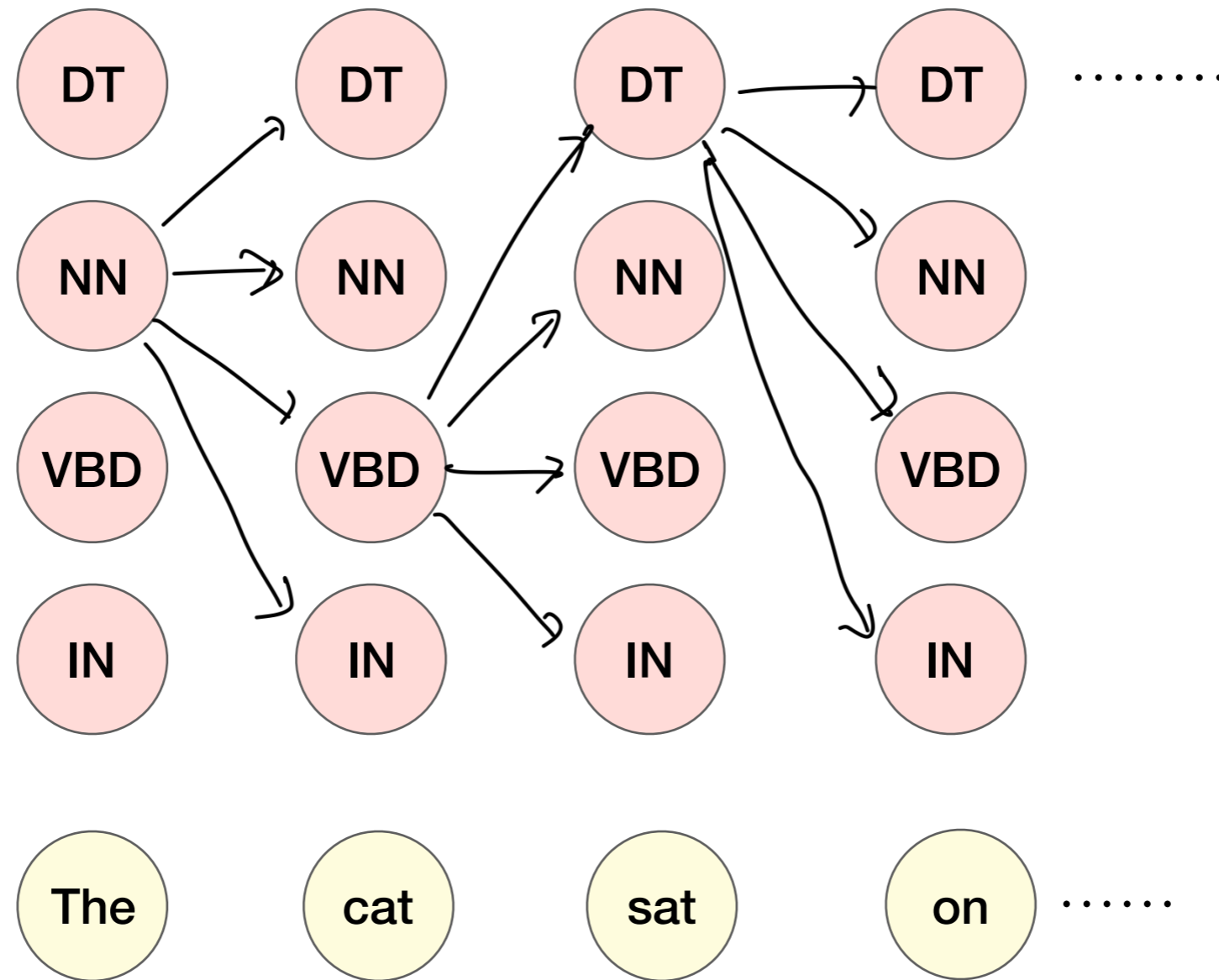
$$M[2,NN] = \max_k M[1,k] P(NN|k) P(\mathbf{cat}|NN)$$

$$M[2,VBD] = \max_k M[1,k] P(VBD|k) P(\mathbf{cat}|VBD)$$

$$M[2,IN] = \max_k M[1,k] P(IN|k) P(\mathbf{cat}|IN)$$

Forward

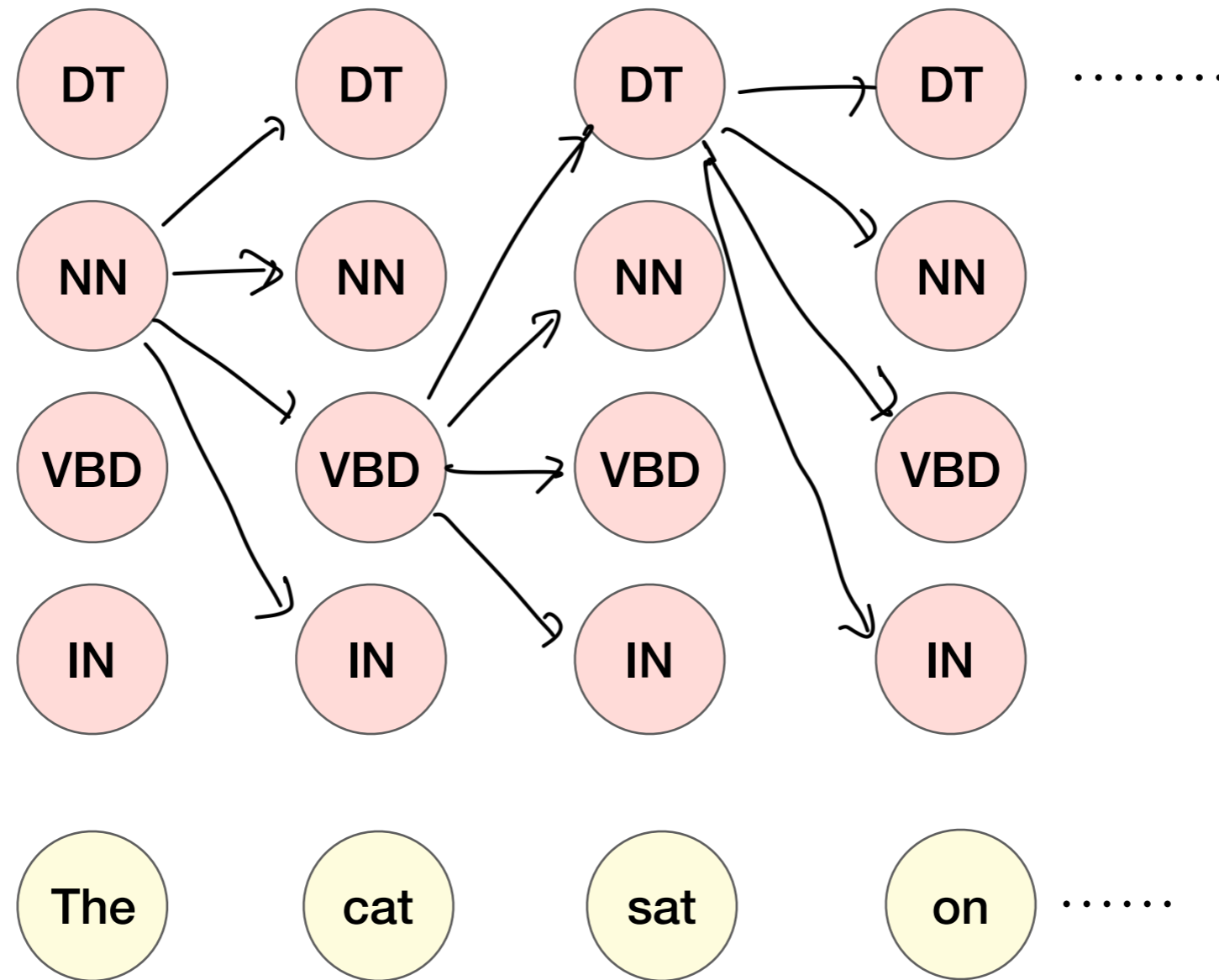
Viterbi decoding



$$M[i, j] = \max_k M[i - 1, k] P(s_j | s_k) P(o_i | s_j) \quad 1 \leq k \leq K \quad 1 \leq i \leq n$$

Backward: Pick $\max_k M[n, k]$ and backtrack

Viterbi decoding



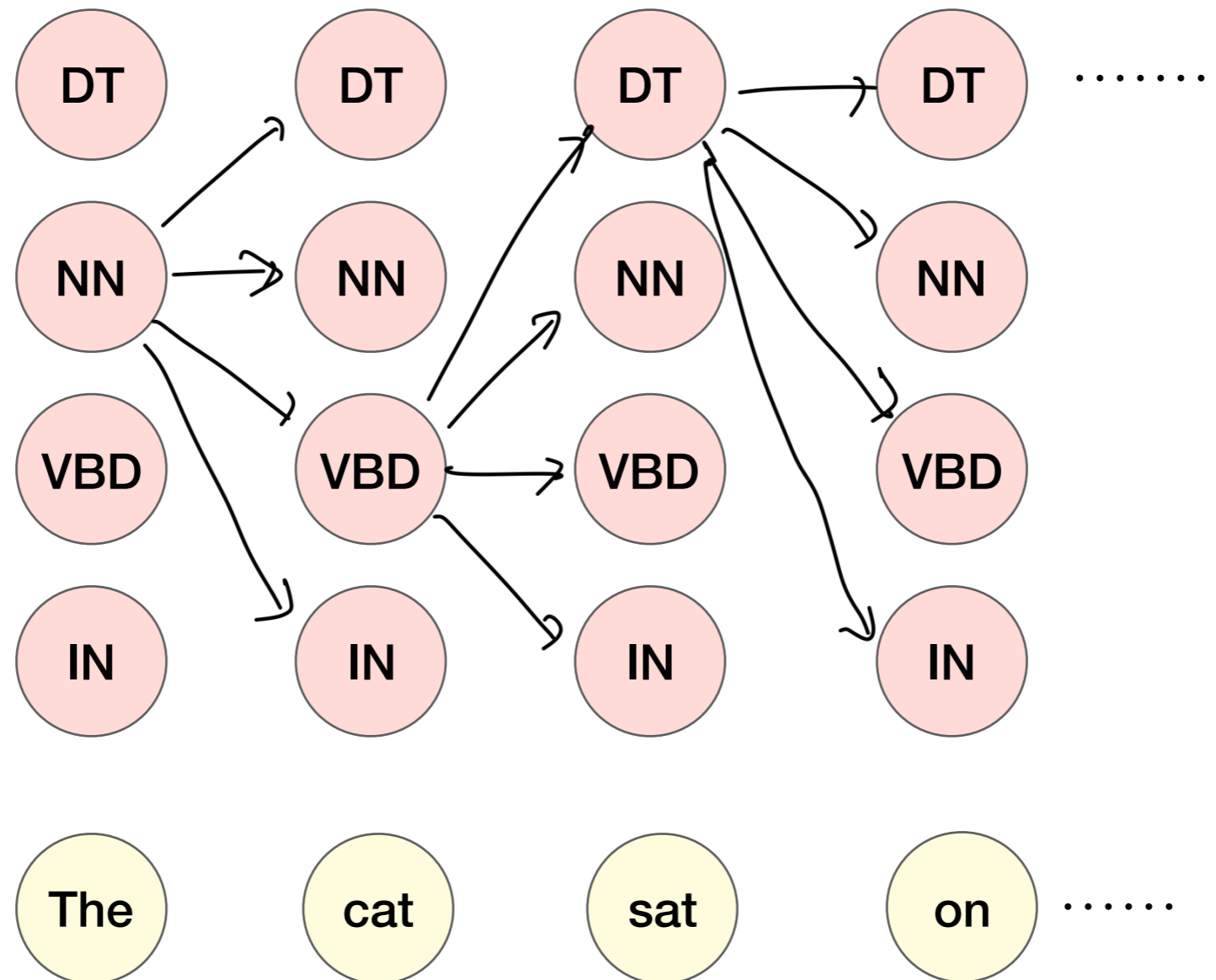
Time complexity?

$$M[i, j] = \max_k M[i-1, k] P(s_j | s_k) P(o_i | s_j) \quad 1 \leq k \leq K \quad 1 \leq i \leq n$$

Backward: Pick $\max_k M[n, k]$ and backtrack

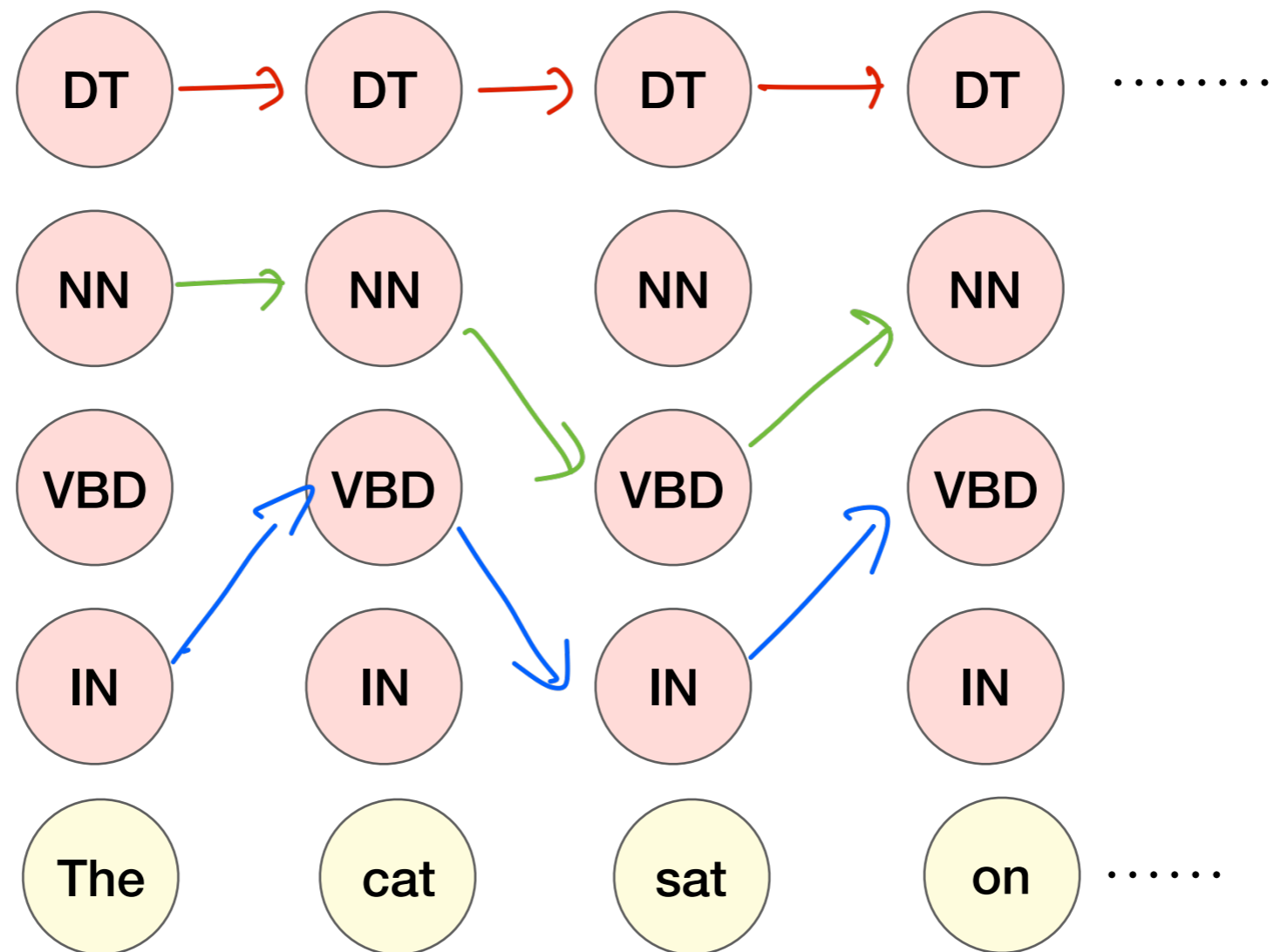
Beam Search

- If K (number of states) is too large, Viterbi is too expensive!



Beam Search

- If K (number of states) is too large, Viterbi is too expensive!



Many paths have very low likelihood!

Beam Search

- If K (number of states) is too large, Viterbi is too expensive!
- Keep a fixed number of hypotheses at each point
 - Beam width, β

Beam Search

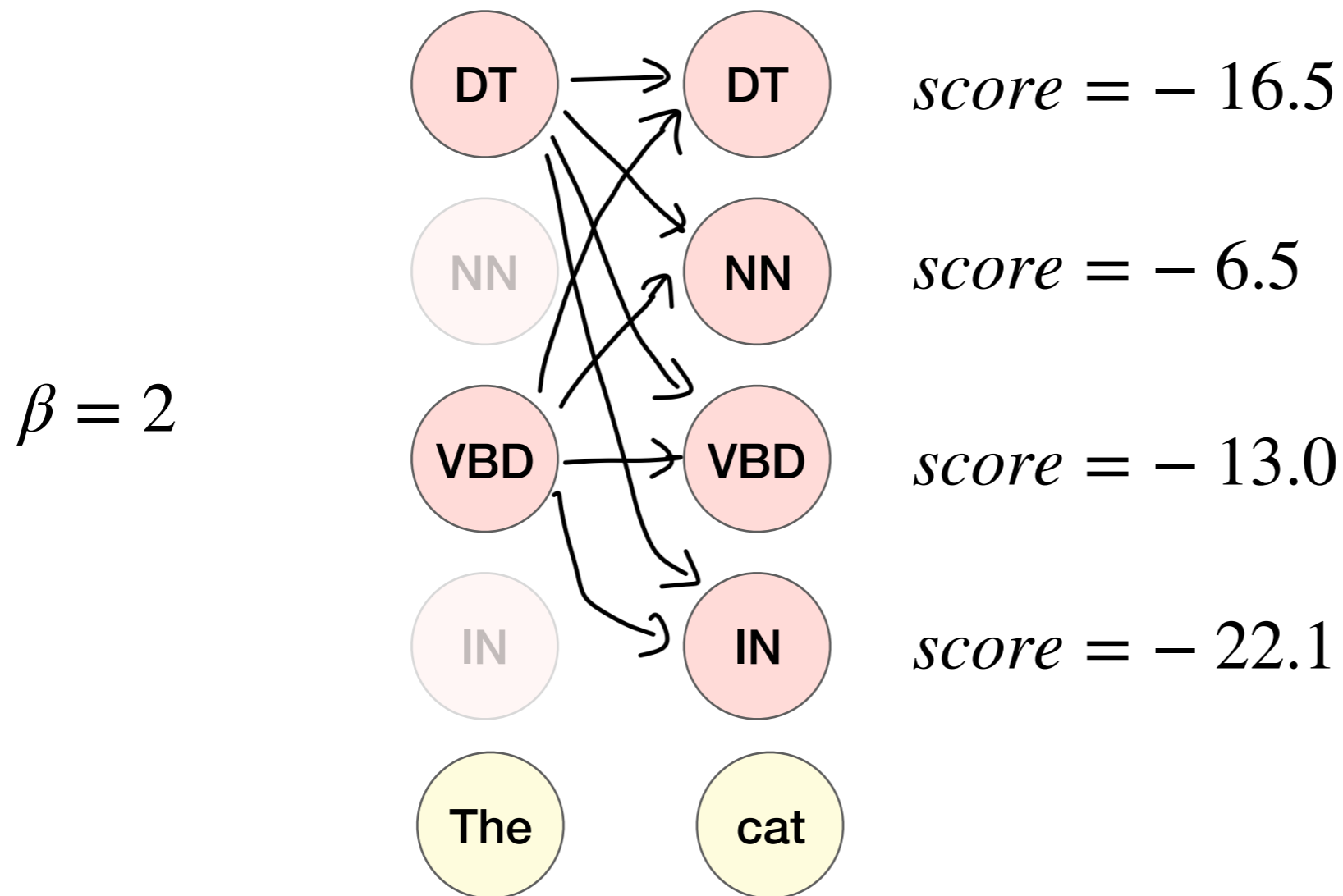
- Keep a fixed number of hypotheses at each point

$\beta = 2$

DT	<i>score</i> = - 4.1
NN	<i>score</i> = - 9.8
VBD	<i>score</i> = - 6.7
IN	<i>score</i> = - 10.1
The	

Beam Search

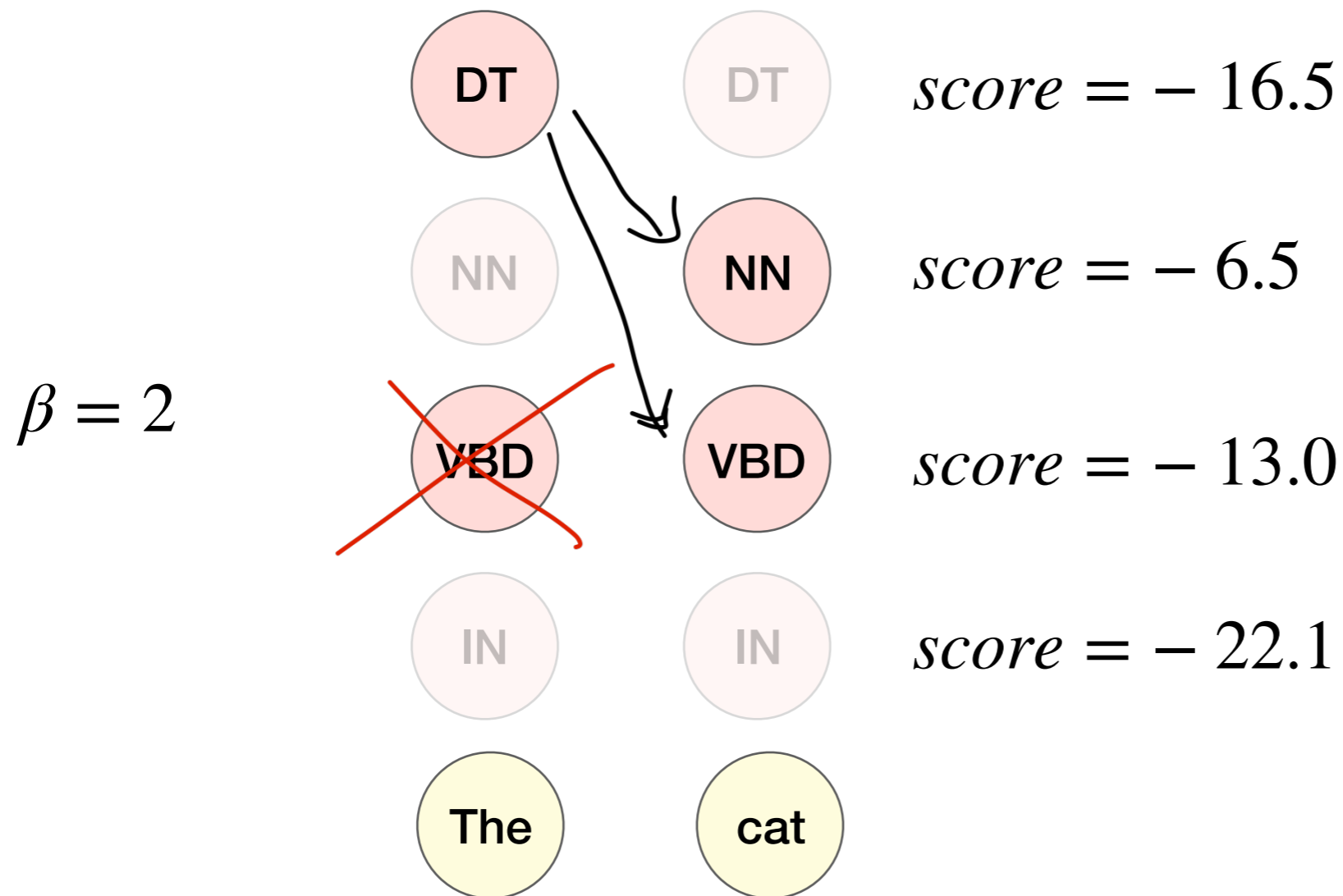
- Keep a fixed number of hypotheses at each point



Step 1: Expand all partial sequences in current beam

Beam Search

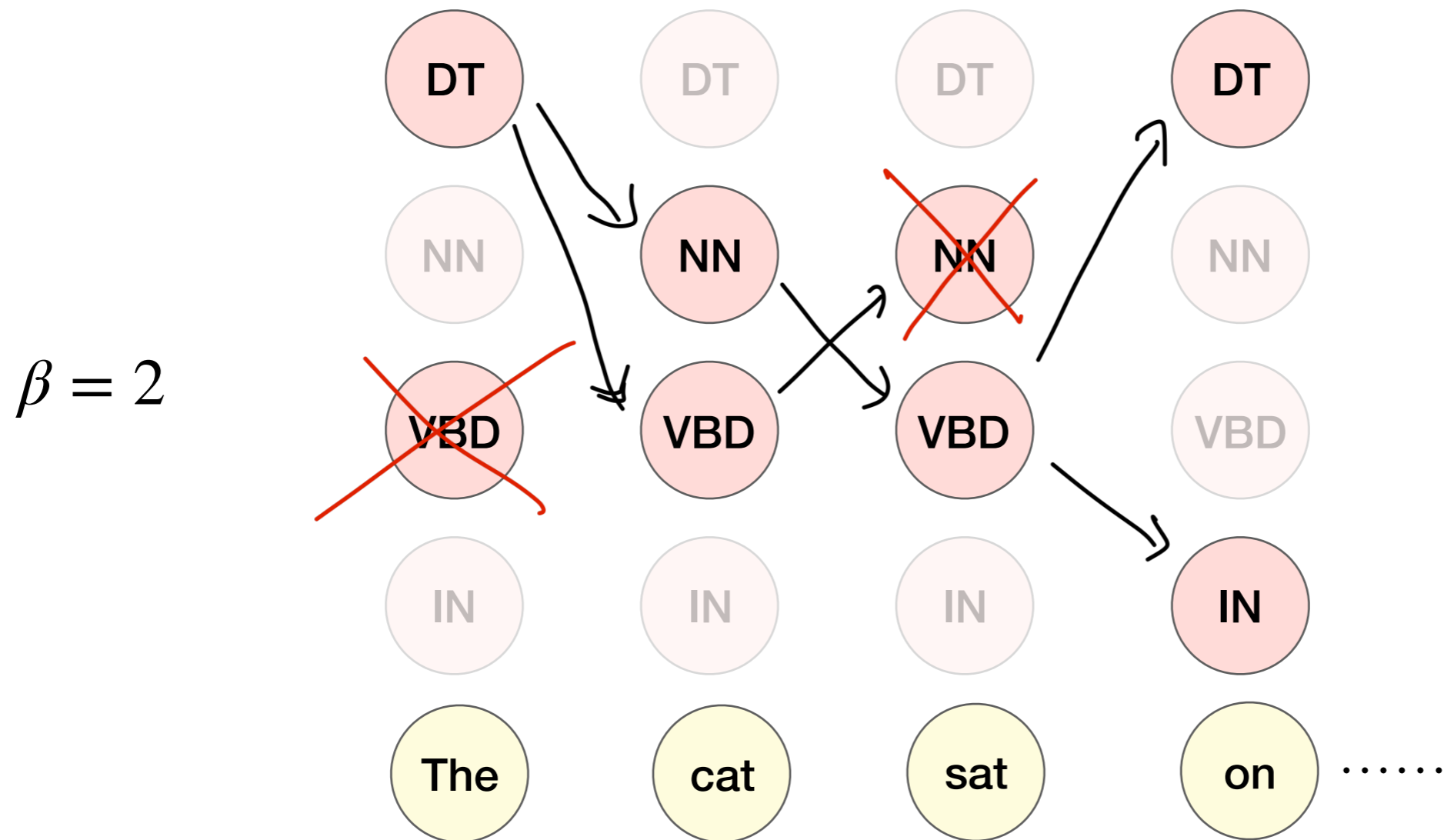
- Keep a fixed number of hypotheses at each point



Step 2: Prune set back to top β sequences

Beam Search

- Keep a fixed number of hypotheses at each point



Pick $\max_k M[n, k]$ from within beam and backtrack

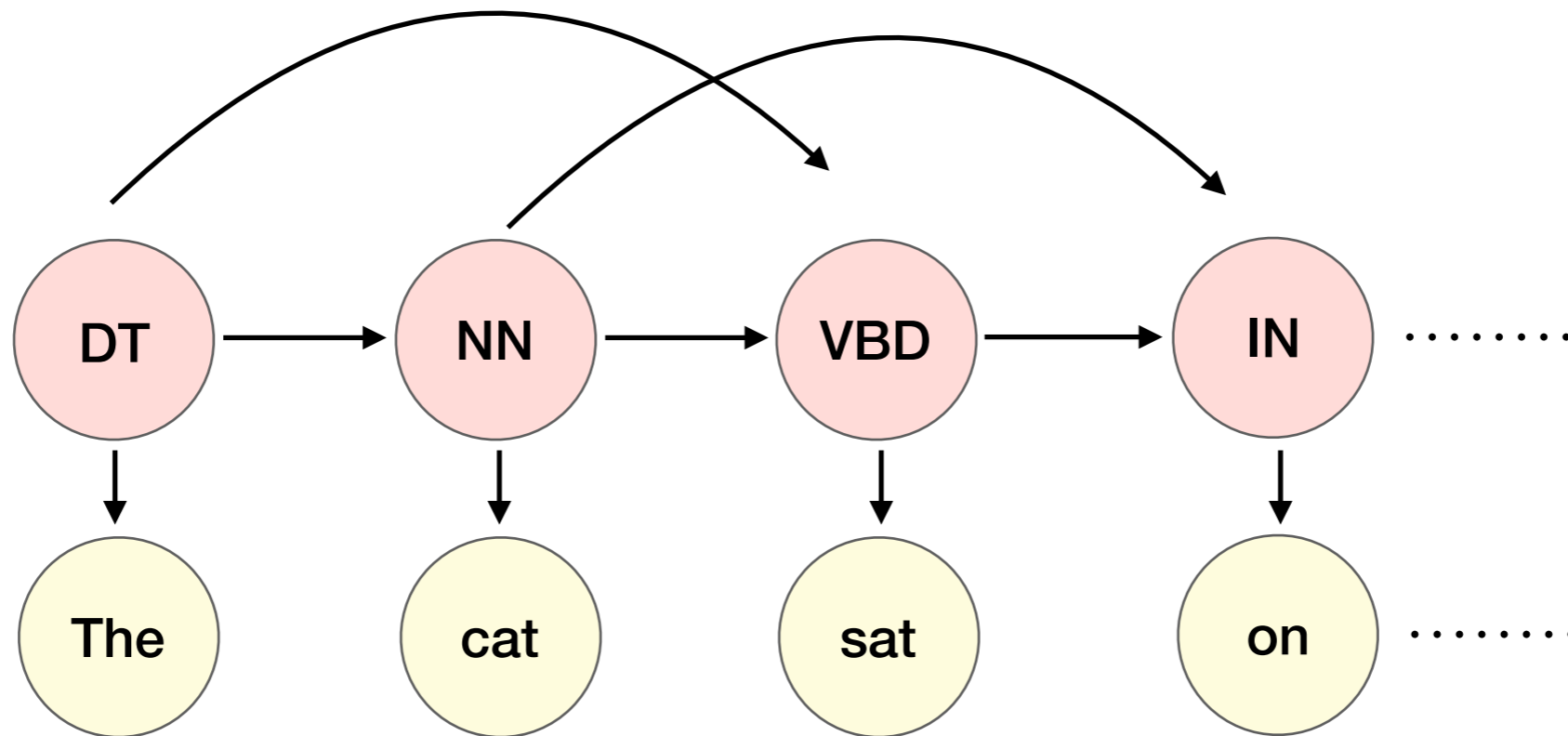
Beam Search

- If K (number of states) is too large, Viterbi is too expensive!
- Keep a fixed number of hypotheses at each point
 - Beam width, β
- Trade-off computation for (some) accuracy

Time complexity?

Beyond bigrams

- Real-world HMM taggers have more relaxed assumptions
- Trigram HMM: $P(s_{t+1} | s_1, s_2, \dots, s_t) \approx P(s_{t+1} | s_{t-1}, s_t)$



Pros?

Cons?

Maximum Entropy Markov Models

Generative vs Discriminative

- HMM is a *generative* model
- Can we model $P(s_1, \dots, s_n | o_1, \dots, o_n)$ directly?

Generative

Naive Bayes:

$$P(c)P(d | c)$$

HMM:

$$P(s_1, \dots, s_n)P(o_1, \dots, o_n | s_1, \dots, s_n)$$

Discriminative

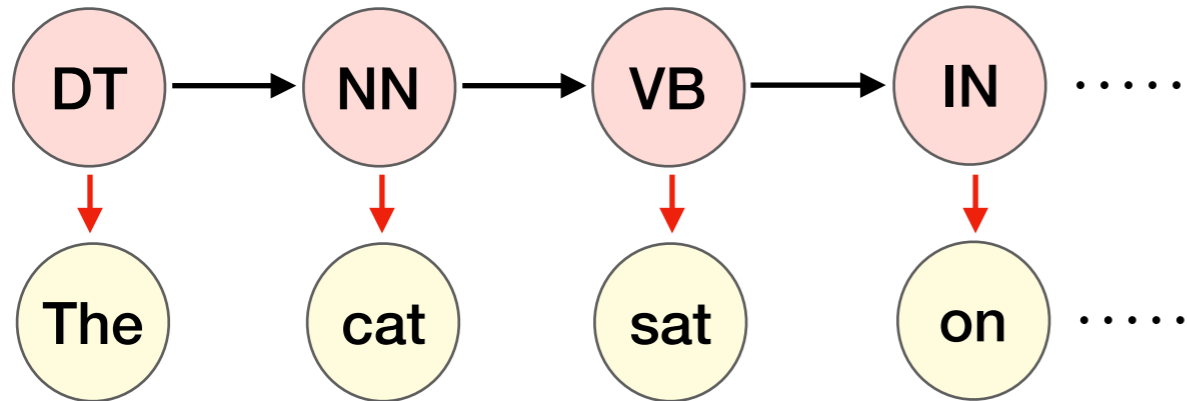
Logistic Regression:

$$P(c | d)$$

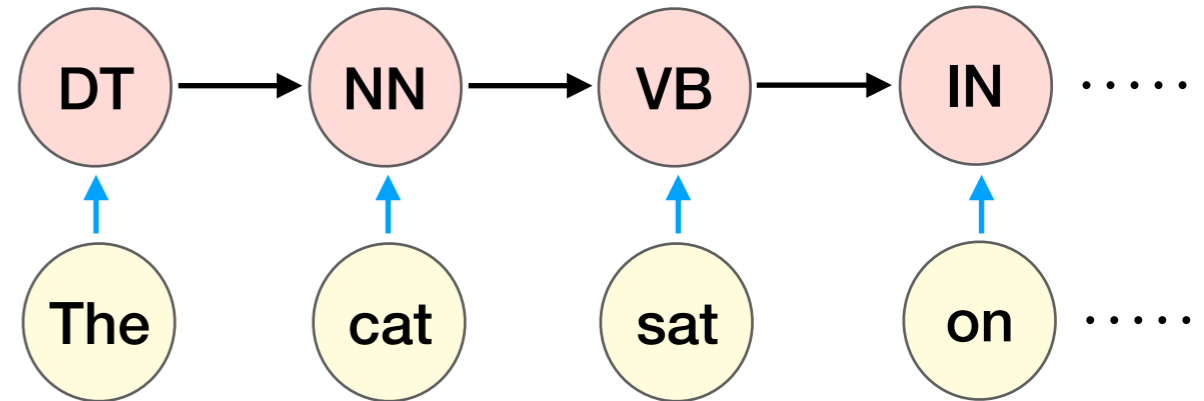
MEMM:

$$P(s_1, \dots, s_n | o_1, \dots, o_n)$$

MEMM



HMM



MEMM

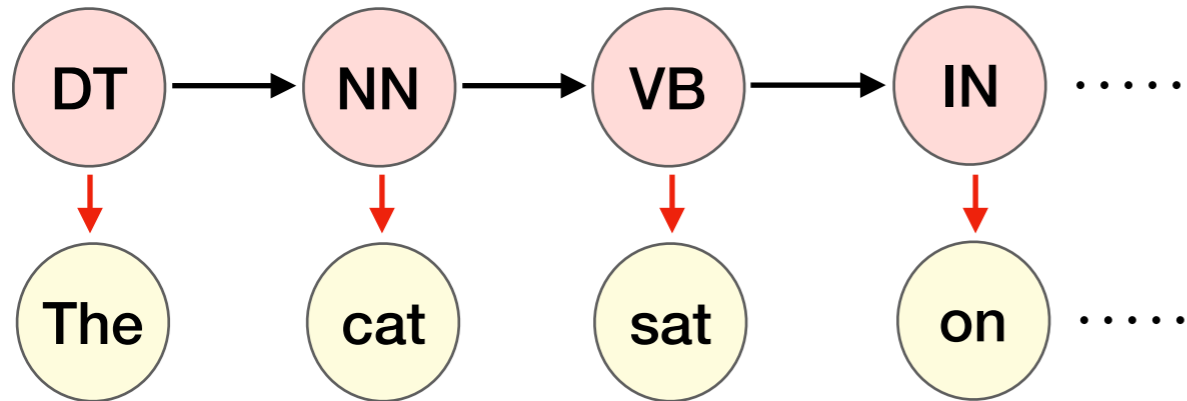
- Compute the posterior directly:

- $\hat{S} = \arg \max_S P(S | O) = \arg \max_S \prod_i P(s_i | o_i, s_{i-1})$

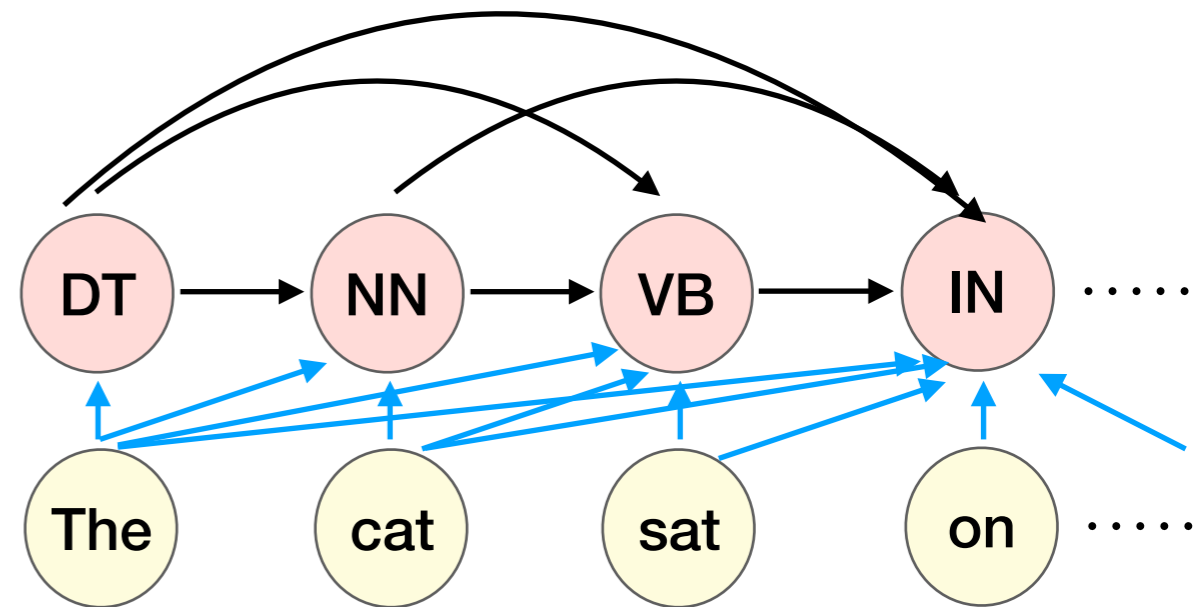
- Use features: $P(s_i | o_i, s_{i-1}) \propto \exp(w \cdot f(s_i, o_i, s_{i-1}))$

Features
weights

MEMM



HMM



MEMM

- In general, we can use all observations and all previous states:

$$\hat{S} = \arg \max_S P(S | O) = \arg \max_S \prod_i P(s_i | o_n, o_{i-1}, \dots, o_1, s_{i-1}, \dots, s_1)$$

$$P(s_i | s_{i-1}, \dots, s_1, O) \propto \exp(w \cdot f(s_i, s_{i-1}, \dots, s_1, O))$$

Features in an MEMM

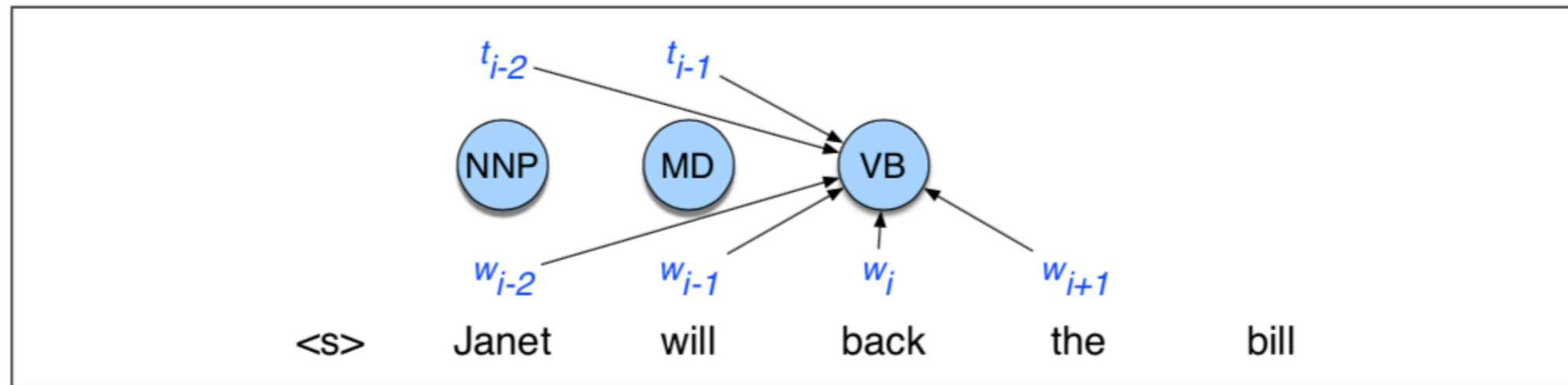


Figure 8.13 An MEMM for part-of-speech tagging showing the ability to condition on more features.

$\langle t_i, w_{i-2} \rangle, \langle t_i, w_{i-1} \rangle, \langle t_i, w_i \rangle, \langle t_i, w_{i+1} \rangle, \langle t_i, w_{i+2} \rangle$
 $\langle t_i, t_{i-1} \rangle, \langle t_i, t_{i-2}, t_{i-1} \rangle,$
 $\langle t_i, t_{i-1}, w_i \rangle, \langle t_i, w_{i-1}, w_i \rangle, \langle t_i, w_i, w_{i+1} \rangle,$

Feature templates

$t_i = \text{VB}$ and $w_{i-2} = \text{Janet}$
 $t_i = \text{VB}$ and $w_{i-1} = \text{will}$
 $t_i = \text{VB}$ and $w_i = \text{back}$
 $t_i = \text{VB}$ and $w_{i+1} = \text{the}$
 $t_i = \text{VB}$ and $w_{i+2} = \text{bill}$
 $t_i = \text{VB}$ and $t_{i-1} = \text{MD}$
 $t_i = \text{VB}$ and $t_{i-1} = \text{MD}$ and $t_{i-2} = \text{NNP}$
 $t_i = \text{VB}$ and $w_i = \text{back}$ and $w_{i+1} = \text{the}$

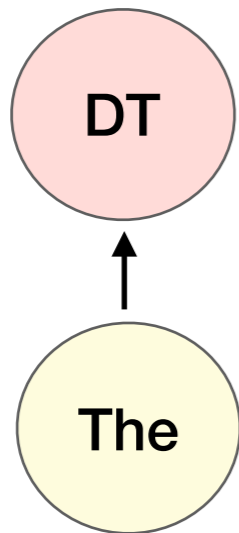
Features

MEMMs: Decoding

$$\hat{S} = \arg \max_S P(S | O) = \arg \max_S \prod_i P(s_i | o_i, s_{i-1})$$

(assume features only on previous time step and current obs)

- Greedy decoding:

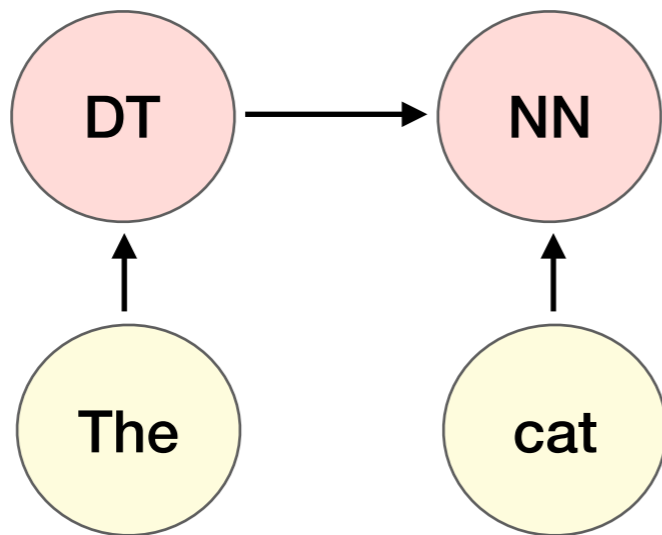


$$\hat{S}_1 = \arg \max_S P(S | \text{The})$$
$$= DT$$

MEMMs: Decoding

$$\hat{S} = \arg \max_S P(S | O) = \arg \max_S \prod_i P(s_i | o_i, s_{i-1})$$

- Greedy decoding:

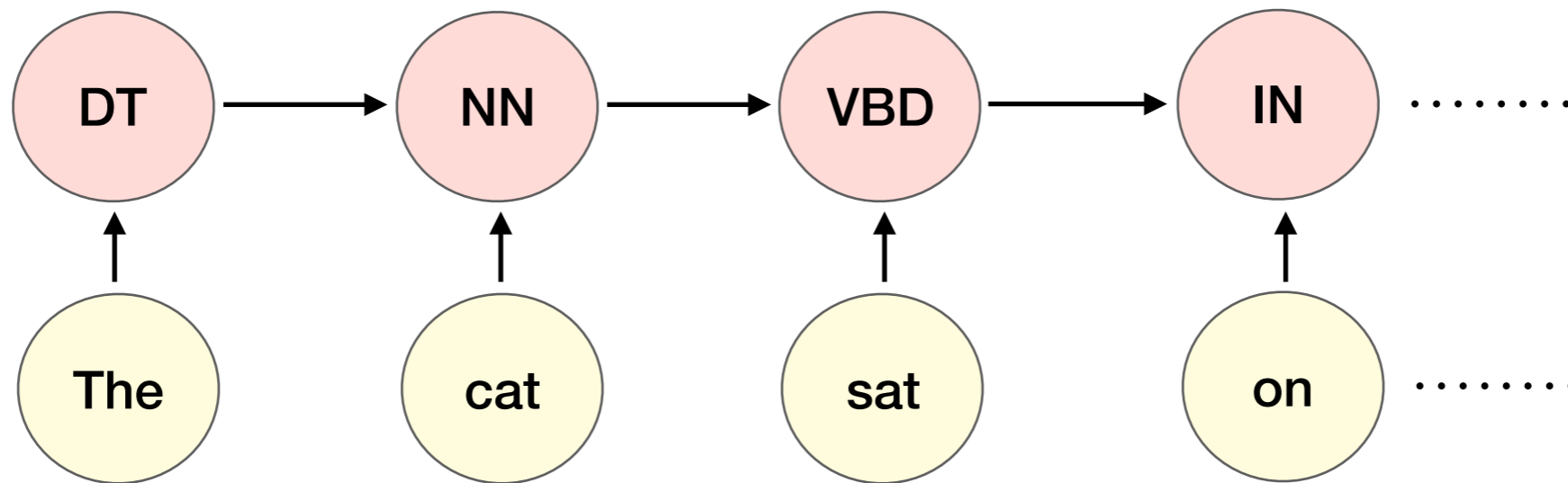


$$\hat{s}_2 = \arg \max_S P(s | \text{cat}, \text{DT})$$
$$= \text{NN}$$

MEMMs: Decoding

$$\hat{S} = \arg \max_S P(S | O) = \arg \max_S \prod_i P(s_i | o_i, s_{i-1})$$

- Greedy decoding:



$$\forall t, \quad \hat{s}_{t+1} = \arg \max_S P(s | o_{t+1}, \hat{s}_t)$$

MEMMs: Decoding

$$\hat{S} = \arg \max_S P(S | O) = \arg \max_S \prod_i P(s_i | o_i, s_{i-1})$$

- Greedy decoding
- Viterbi decoding:

$$M[i, j] = \max_k M[i-1, k] P(s_j | o_i, s_k) \quad 1 \leq k \leq K \quad 1 \leq i \leq n$$

DP Lattice

states

timesteps

MEMM: Learning

- Gradient descent: similar to logistic regression!

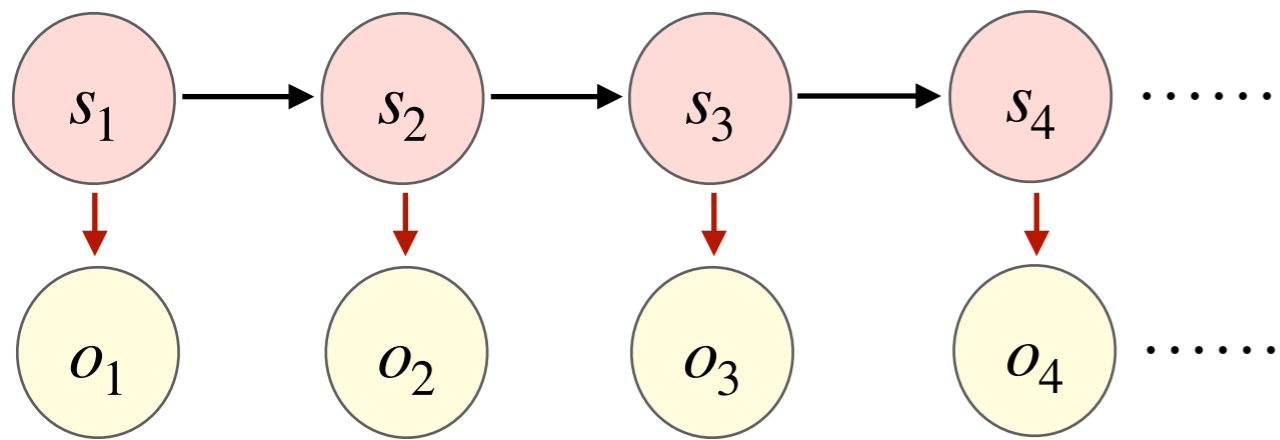
$$P(s_i | s_1, \dots, s_{i-1}, O) \propto \exp(w \cdot f(s_1, \dots, s_i, O))$$

- Given: pairs of (S, O) where each $S = \langle s_1, s_2, \dots, s_n \rangle$

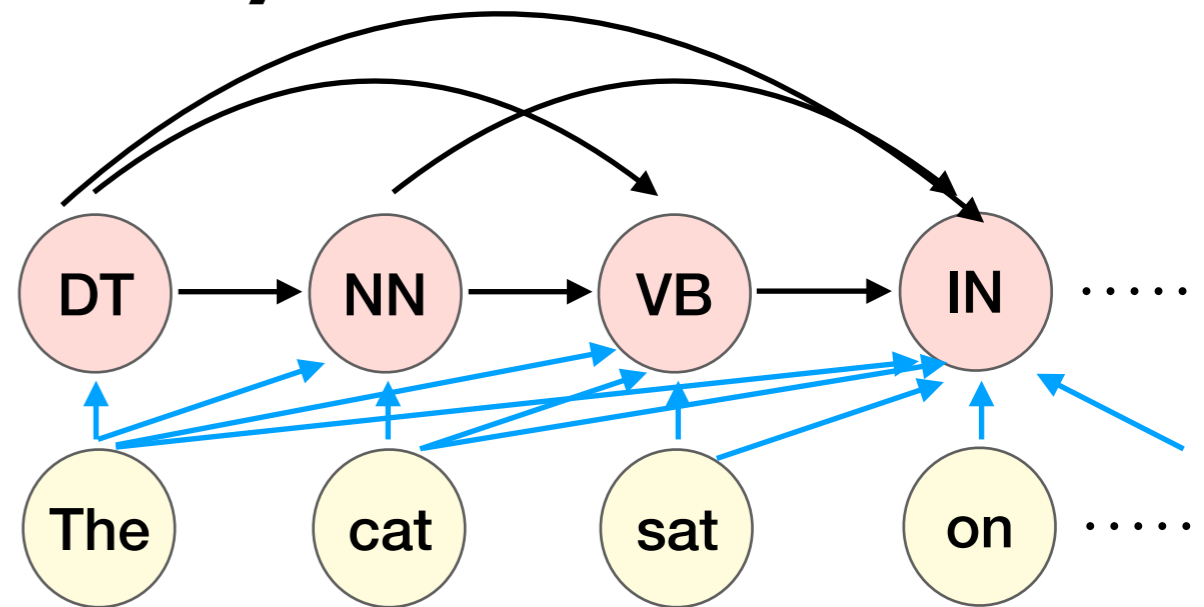
Loss for one sequence, $L = - \sum_i \log P(s_i | s_1, \dots, s_{i-1}, O)$

- Compute gradients with respect to weights w and update

Bidirectionality



HMM

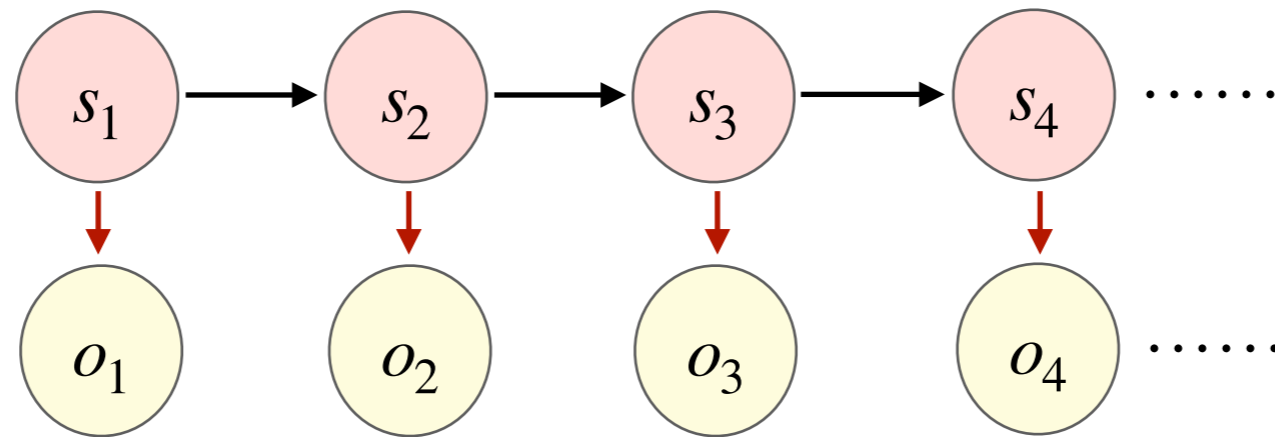


MEMM

Both HMM and MEMM assume left-to-right processing

Why can this be undesirable?

Bidirectionality



HMM

The/? old/? man/? the/? boat/?

$$P(JJ|DT) \boxed{P(\mathbf{old}|JJ)} P(NN|JJ) \boxed{P(\mathbf{man}|NN)} P(DT|NN)$$

$$P(NN|DT) \boxed{P(\mathbf{old}|NN)} P(VB|NN) \boxed{P(\mathbf{man}|VB)} P(DT|VB)$$

Observation bias

Stanford Parser

Please enter a sentence to be parsed:

Language:

English



Sample Sentence

Parse

Your query

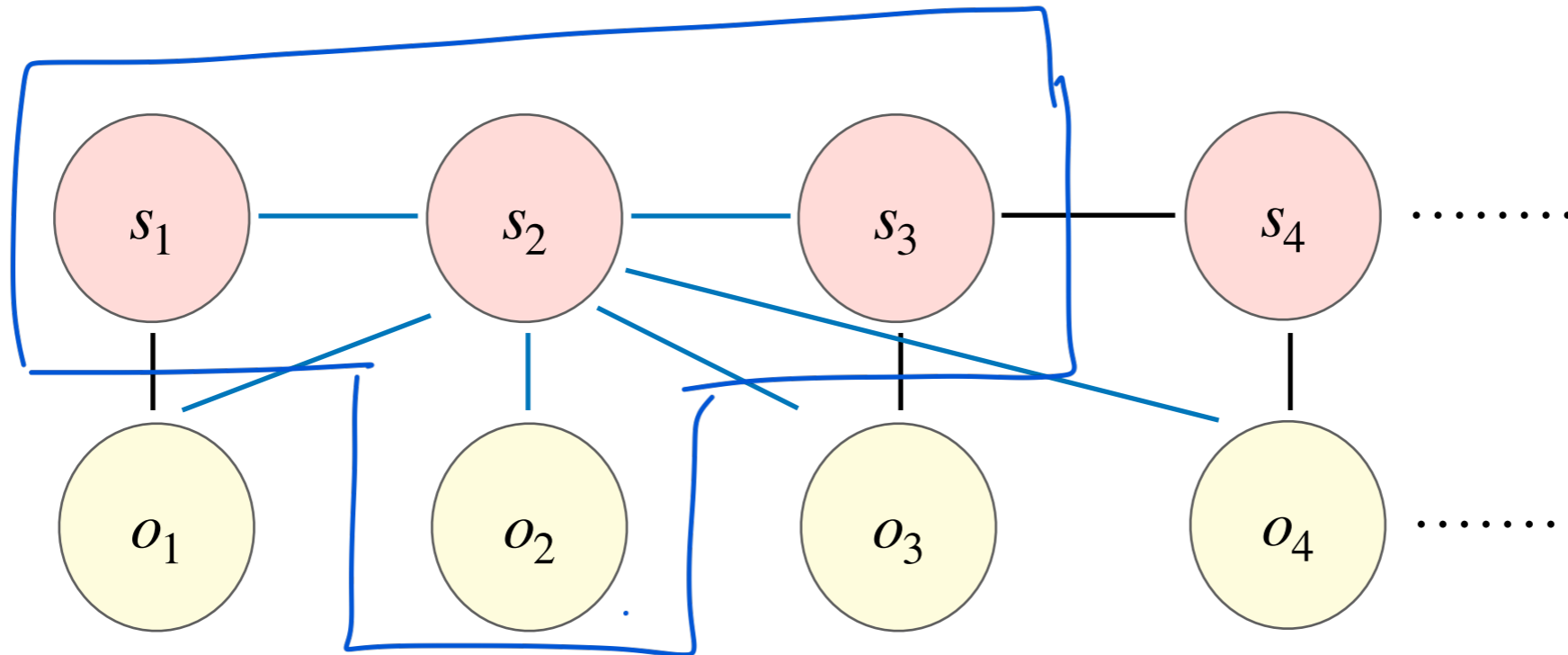
The old man the boat

Tagging

The/DT old/JJ man/NN the/DT boat/NN

Observation bias

Conditional Random Field (advanced)



- Compute log-linear functions over cliques
- Lesser independence assumptions
- Ex: $P(s_t | \text{everything else}) \propto \exp(w \cdot f(s_{t-1}, s_t, s_{t+1}, O))$

