

# WAIVER STANDARDS SERVICES STANDARDS



# CHANGES IN SERVICE STANDARDS

## VERSION A

- ▶ Provider Qualifications for Direct Support Workers and Service Supervisors in several services
- ▶ Changes in how some services can be authorized
- ▶ Limits and other clarifying language about what is allowed or prohibited
- ▶ Increased documentation requirements for providers in several services

# PHASE-IN AND STANDARDS VERSIONS

- ▶ Changes will begin with ISPs held on and after July 1, 2017
- ▶ Matrix of service-by-service plan for transition being finalized
- ▶ Standards Version B will be used after transition to new services/fee schedules/codes
- ▶ Most of the information in Standards Version A will not change. Version B changes will primarily focus on service descriptions.

# PHASE-IN OVERVIEW

- ▶ Review of Cohorts:
  - ▶ **Cohort 1:** Participants who live in licensed or certified homes
  - ▶ **Cohort 2:** Participants receiving ADH services but do not live in licensed or certified homes
  - ▶ **Cohort 3:** All remaining participants
- ▶ Supports Intensity Scale Assessments:
  - ▶ Cohort 1: July 1, 2017 through June 30, 2018 with ISP
  - ▶ Cohort 2: July 1, 2018 through June 30, 2019 with ISP
  - ▶ Cohort 3: July 1, 2019 through June 30, 2020 with ISP

# PHASE-IN OVERVIEW

- ▶ Many services fully transition during Year 1 (July 1, 2017 through June 30, 2018)
  - ▶ New fee schedule (rates and codes/modifiers)
  - ▶ Available to all participants at ISP during Year 1
- ▶ Some services will phase-in with Cohort Groups and SIS Assessments:
  - ▶ PAB fee schedule and tiers
  - ▶ ResHab tiers
  - ▶ ADH tiers
  - ▶ CLS - Group tiers

# PROVIDER SERVICE APPLICATION PROCESS

- ▶ Cross-walk Document
  - ▶ 2 pages - Main page + Training & Consultation detail page
  - ▶ Blue column is “What you are currently approved to provide”
  - ▶ Gold column is “What you want to provide starting July 1, 2017”
  - ▶ Must be completed by the same person authorized to sign the Medicaid Provider Agreement

# Provider Agreement Amendment Form

## PROVIDER AGREEMENT AMENDMENT FORM

Provider Name:		Provider Number:	
Authorized Person on Provider Agreement:			
Address:		Phone Number:	
Primary Contact Person:		Email Address:	

The blue column indicates services that your agency is currently approved to provide through the 1915(c) HCBS Waiver for Individuals with I/DD. In the gold column, please check off all services in the corresponding row for which your agency requests approval to provide within your service array starting July 1, 2017.

**NOTE:** All services in the blue column are available in the Waiver effective July 1, 2016. The gold column includes a cross-walk to the corresponding service(s) that will be available effective July 1, 2017 (pending CMS approval of the amendment). **If you are not currently authorized for service(s) in the blue column and you want to request to add a "new" service to your approved service array, check the corresponding box(es) in the gold column.** DOH-DDD will then send you an Application for Additional Services through DDD-CRB.

CURRENT APPROVED SERVICES (Agency approved to provide under existing Provider Agreement)	NEW SERVICES (Agency requests to be approved by Amendment to Provider Agreement effective 7/1/17)	Service to be provided on:					
		Oahu	Hawaii	Maui	Molokai	Lanai	Kauai

# PERSONAL ASSISTANCE/HABILITATION

PAB



# PAB

## CHANGES IN STANDARDS **VERSION A**

- ▶ Provider qualifications for Direct Support Worker and Service Supervisor revised
  - ▶ Added training requirements when supporting participants with formal behavior plans
  - ▶ Included Registered Behavior Technician (RBT) as a qualified direct support worker
- ▶ Clarified in “Activities Not Allowed” that PAB services are not used for helping to complete DOE homework assignments or for sole purpose of child care

# PAB

## CHANGES IN STANDARDS **VERSION B**

- ▶ Services provided in the community will be authorized and billed as Community Learning Services - Individual (CLS)
- ▶ PAB services will focus on those activities provided in the participant's home
- ▶ PAB will not be provided in licensed and certified homes once ResHab is authorized in the ISP in Year 1
- ▶ Change to one tier
  - ▶ There will not be different staff and supervisory qualifications for staff based on individual's assigned levels of need

# PAB

## PROVIDER AGREEMENT AMENDMENT FORM

Personal Assistance Habilitation	<b>X</b>	Residential Habilitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Additional Residential Supports	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Community Learning Service – Individual	<b>X</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Personal Assistance Habilitation	<b>X</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

# PAB PHASE-IN PLAN

<b><i>Population Group</i></b>	<b>All Participants</b>	<b>Cohort 1</b>	<b>Cohort 2</b>	<b>Cohort 3</b>
<p><i>Agency Personal Assistance/ Habilitation (to be limited to in-home services) (includes RBT services)</i></p>	N/a	<p>Transition to daily Residential Habilitation rates based on ISP date.</p> <p>(use of PAB ends for this group based on ISP date)</p>	<p>Remains on tiered rates in year 1.</p> <p>Transition to new fee schedule (without tiered rates) based on ISP date in year 2.</p>	<p>Remains on tiered rates in years 1 and 2.</p> <p>Transition to new fee schedule (without tiered rates) based on ISP date in year 3.</p> <p>12</p>

# RESIDENTIAL HABILITATION

ResHab

# RES HAB

## CHANGES IN STANDARDS **VERSION A**

- ▶ Per day code and rate
- ▶ Two models for ResHab
  - ▶ Provider Model - setting owned or leased/operated by agency
  - ▶ Shared Living Model - setting owned or leased/operated by certified foster parent or licensed caregiver
  - ▶ Provider agency roles and responsibilities are different based on the model
  - ▶ Providers enter into Independent Contractor Agreements with Shared Living Model foster parents or licensed caregivers.
- ▶ Operational Guidelines lists the other services a participant can use during a “ResHab day”

# RES HAB

## CHANGES IN STANDARDS **VERSION A**

- ▶ Three rate tiers based on individuals' level of need
  - ▶ Rate is determined by individual, not by home and there is no requirement or expectation that persons with similar levels of need have to live together
- ▶ Rates vary by home size (3 or fewer beds, 4 beds, and 5+ beds)
- ▶ Separate rate for TLP
- ▶ Information added for Home and Community Based Services (HCBS) final rule for community Integration
  - ▶ Includes language about CMS requirements if the participant's ISP will include any limitations/restrictions/modifications to access the home and community

# ResHab

## CHANGES IN STANDARDS **VERSION B**

- ▶ PAB will no longer be available to participants authorized for ResHab services in Year 1 based on ISP date
- ▶ All phase-in for Cohort 1 will be complete at end of Year 1
- ▶ Rates are based on billing for 344 days and accounting for up to 21 days per year absences from the home
- ▶ Participants getting ResHab can also receive CLS but the CLS must be delivered by an employee of the Agency, not the caregiver or other member of the household.



# ResHab

## CHANGES IN STANDARDS **VERSION B**

- ▶ Will develop new service standards for Additional Residential Support
  - ▶ Additional Residential Support will become available to participants in Year 1 based on ISP date
  - ▶ Hourly authorization and 15-min code
  - ▶ Time-limited with review process if required beyond short-term basis
  - ▶ This service can only be provided by a direct support worker employed by the agency
  - ▶ Additional Residential Support cannot be provided by the caregiver or other member of the household

# ResHab

## PROVIDER AGREEMENT AMENDMENT FORM

Personal Assistance Habilitation	X	Residential Habilitation	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Additional Residential Supports	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Community Learning Service – Individual	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Personal Assistance Habilitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

# ResHab

## PHASE-IN PLAN

<b><i>Population Group</i></b>	<b>All Participants</b>	<b>Cohort 1</b>	<b>Cohort 2</b>	<b>Cohort 3</b>
Residential Habilitation (including Therapeutic Living Program)	N/A	Transition from PAB to daily Residential Habilitation rates based on ISP date.	N/A	N/A
Additional Residential Supports	N/A	Becomes available with Residential Habilitation based on ISP date	N/A	N/A 19

# ADULT DAY HEALTH

## ADH

# ADH

## CHANGES IN STANDARDS **VERSION A**

- ▶ ADH will complete an Interest Inventory for each participant to help in identifying community interests and social valued role opportunities.
- ▶ Effective July 1, 2017, ratios will change:
  - ▶ **facility-based** services maximum of 1:6, based on participant's need & ISP
  - ▶ **community-based** services maximum of 1:3, based on participant's need & ISP
- ▶ Effective July 1, 2017, ADH programs are not required to provide lunches.
  - ▶ The ADH program cannot require that participants purchase meals as a requirement for participation.

# ADH

## CHANGES IN STANDARDS **VERSION A**

- ▶ Provider qualifications for Direct Support Worker and Service Supervisor revised
  - ▶ Added training requirements when supporting participants with formal behavior plans
  - ▶ Included Registered Behavior Technician (RBT) as a qualified direct support worker
- ▶ ADH is not used for supporting participants who are working or volunteering.
  - ▶ Individual Employment Supports for job coaching
  - ▶ Discovery & Career Planning for work or volunteer experiences
- ▶ Information added for Home and Community Based Services (HCBS) final rule for community Integration

# ADH

## CHANGES IN STANDARDS **VERSION B**

- ▶ During Year 1, transition to new fee schedule using 15 minute billing codes based on ISP date. Full- and half-day rates and requirements phase out by end of Year 1 based on ISP date
- ▶ Community Learning Services - Group will be available to all participants during Year 1 based on ISP date. ADH can bill for CLS-Group for community-based activities.
- ▶ Limit of 1560 hours annually for a combination of ADH and CLS-Group
- ▶ Tier will be based on the ADH tier until the SIS Assessment is completed with the participant's Cohort based on ISP date
  - ▶ Tier (and rate) will vary based on individuals' level of support needs based on SIS (with smaller assumed group sizes for persons with more significant needs)

# ADH

## PROVIDER AGREEMENT AMENDMENT FORM

Adult Day Health	X	Adult Day Health	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Community Learning Service – Group	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



# ADH PHASE-IN PLAN

<b><i>Population Group</i></b>	<b>All Participants</b>	<b>Cohort 1</b>	<b>Cohort 2</b>	<b>Cohort 3</b>
Adult Day Health	Transition to new fee schedule using 15-minute billing based on ISP date. (limited to center-based services) (Daily and half-day rates phase out based on ISP date)	Assigned to Tier that corresponds to their SIS-based level in year 1.	Assigned to current ADH Tier in year 1.  Assigned to Tier that corresponds to their SIS-based level in year 2 based on ISP date.	N/A (although participants could choose to begin participating in an ADH program)
				25

# COMMUNITY LEARNING SERVICES

CLS

# CLS

## CHANGES IN STANDARDS **VERSION A**

- ▶ **Not included in Standards Manual Version A** because it is not a current service.
- ▶ Submitted with waiver amendment February 28, 2017
- ▶ Must be approved by CMS

# CLS

## CHANGES IN STANDARDS **VERSION B**

- ▶ New service standards will be developed
  - ▶ Use CLS for long-term support for participants who work or volunteer, need supports but not job coach or discovery & career planning services
- ▶ Staff qualifications will be similar to PAB + specialized training in community integration
  - ▶ Includes Registered Behavior Technician as a qualified provider
- ▶ Service supervision qualifications will be similar to PAB
- ▶ CLS - Individual will be available to every participant based on ISP date in Year 1
- ▶ CLS - Group Tier will correspond to ADH tier until the SIS Assessment is completed with the participant's Cohort based on ISP date
  - ▶ Group services tiered by individuals' level of need

# CLS

## PROVIDER AGREEMENT AMENDMENT FORM

Adult Day Health	X	Adult Day Health	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Community Learning Service – Group	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Personal Assistance Habilitation	X	Residential Habilitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Additional Residential Supports	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Community Learning Service – Individual	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Personal Assistance Habilitation	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

# CLS - INDIVIDUAL PHASE-IN PLAN

<b><i>Population Group</i></b>	<b>All Participants</b>	<b>Cohort 1</b>	<b>Cohort 2</b>	<b>Cohort 3</b>
Agency Community Learning Service – Individual (formerly PAB delivered in the community) (includes RBT services)	Available to everyone based on ISP date.	(see all participants)	(see all participants)	(see all participants)

# CLS - GROUP PHASE-IN PLAN

<b><i>Population Group</i></b>	<b>All Participants</b>	<b>Cohort 1</b>	<b>Cohort 2</b>	<b>Cohort 3</b>
Community Learning Service – Group	Available to everyone based on ISP date. (formerly ADH in the community)	Assigned to Tier that corresponds to their SIS-based level in year 1.	Assigned to Tier that corresponds to current ADH Tier in year 1.  Assigned to Tier that corresponds to their SIS-based level in year 2 based on ISP date.	N/A (although participants could choose to begin participating in a CLS-Group program)

# TRAINING & CONSULTATION

T&C



# T&C

## CHANGES TO STANDARDS **VERSION A**

- ▶ Waiver renewal expanded service to include
  - ▶ Ongoing monitoring and oversight if required (primarily Behavior Analysis)
  - ▶ Training and monitoring/oversight can be provided to paid staff as well as family
  - ▶ Authorization changes for Case Management Supervisors to authorize some T&C
- ▶ Revised Qualified Providers
  - ▶ Removed “Behavior Specialist” - based on Licensed Behavior Analyst law
  - ▶ Removed Audiologist and Psychiatrist - health plan services
- ▶ Organized by type of provider within each row of the Service Table
  - ▶ Behavior Analysis
  - ▶ Clinical Assessments
  - ▶ Environmental Accessibility Adaptations
  - ▶ Vehicular Modifications

# T&C

## CHANGES TO STANDARDS **VERSION B**

- ▶ Once amendment approved by CMS, add new provider types
  - ▶ Registered Nurse for nurse delegation
  - ▶ Licensed Mental Health Counselor, Licensed Marriage & Family Therapist, Licensed Clinical Social Worker for Family Support
- ▶ Available to all participants in Year 1 based on ISP date
- ▶ Requirements for RNs to be Service Supervisors will be removed
  - ▶ RNs will be authorized through T&C to provide nursing oversight of delegated tasks



# OTHER SERVICES

## CHANGES IN STANDARDS **VERSION A**

- ▶ Continuum of supports to encourage employment includes more detailed information about what is included and tools in Appendices
  - ▶ Discovery and Career Planning, and Benefits Planning (replaces Prevocational Services)
  - ▶ Job Development (part of Individual Employment Supports)
  - ▶ Job Coaching (part of Individual Employment Supports)
- ▶ Additional documentation requirement for Employment to track and report participants who are working

# NEXT STEPS

- ▶ Develop detailed billing instructions for Providers
- ▶ Waiver Standards Manual B
- ▶ Training

Please send questions to

▶ [DOH.dddcrb@doh.hawaii.gov](mailto:DOH.dddcrb@doh.hawaii.gov)