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About DPI-SSL

(i) **NOTE:** DPI-SSL is a separate, licensed feature that provides inspection of encrypted HTTPS traffic and other SSL-based IPv4 and IPv6 traffic.

Topics:

- Using DPI-SSL
- Deployment Scenarios
- Customizing DPI-SSL
- Connections per Appliance Model

Using DPI-SSL

Topics:

- Supported Features
- Security Services

Supported Features

Deep Packet Inspection of Secure Socket Layer (DPI-SSL) extends SonicWall's Deep Packet Inspection technology to the inspection of encrypted HTTPS traffic and other SSL-based traffic. The SSL traffic is decrypted (intercepted) transparently, scanned for threats, and then re-encrypted and, if no threats or vulnerabilities are found, sent along to its destination.

DPI-SSL provides additional security, application control, and data-leakage prevention for analyzing encrypted HTTPS and other SSL-based traffic. DPI-SSL supports:

- Transport Layer Security (TLS) Handshake Protocol 1.2 and earlier versions The TLS 1.2 communication protocol is supported during SSL inspection/decryption between the firewall and the server in DPI-SSL deployments (previously, TLS 1.2 was only supported between client and firewall). SonicOS also supports TLS 1.2 in other areas as well.
- SHA-256 All re-signed server certificates are signed with the SHA-256 hash algorithm.

• Perfect Forward Secrecy (PFS) – Perfect Forward Secrecy-based ciphers and other stronger ciphers are prioritized over weak ciphers in the advertised cipher suite. As a result, the client or server is not expected to negotiate a weak cipher unless the client or server does not support a strong cipher.

DPI-SSL also supports application-level Bandwidth Management over SSL tunnels. App Rules HTTP bandwidth management policies also applies to content that is accessed over HTTPS when DPI-SSL is enabled for App Rules.

DPI-SSL for both client and server can be controlled by Access Rules.

Topics:

- Support for Local CRL
- TLS Certificate Status Request Extension
- Blocking of SSH X11 Forwarding
- Support for ECDSA-Related Cipher
- DPI-SSL and CFS HTTPS Content Filtering Work Independentlyt
- Original Port Numbers Retained in Decrypted Packets

Support for Local CRL

A Certificate Revocation List (CRL) is a list of digital certificates that have been revoked by the issuing Certificate Authority (CA) before their scheduled expiration date and should no longer be trusted. A problem with contacting the CA for this list is that the browser cannot confirm whether it has reached the CA's servers or if an attacker has intercepted the connection to bypass the revocation check.

Local CRL is relative to typical CRL (or online CRL). For typical CRL, the client needs to download the CLR from a CRL distribution point. If the client is unable to download the CRL, then by default, the client trusts the certificate. Contrary to typical CRL, Local CRL maintains a list of revoked certificates locally in import memory for DPI-SSL to verify whether the certificate has been revoked.

For further information about this feature, contact Technical Support.

TLS Certificate Status Request Extension

DPI-SSL supports the TLS Certificate Status Request extension (formally known as OCSP stapling). By supporting this extension, the certificate status information is delivered to the DPI-SSL client through an already established channel, thereby reducing overhead and improving performance.

Blocking of SSH X11 Forwarding

(i) NOTE: X11 Forwarding requires a valid SonicWall DPI-SSH license.

X is a popular window system for Unix workstations. Using X, a user can run remote X applications that open their windows on the user's local display (and vice versa, running local applications on remote displays). If the remote server is outside after a firewall and administrator have blocked remote connections, user can still use SSH tunneling to get the X display on a local machine. A user can thus circumvent the application-based security policies on the firewall, thereby creating security risks. As X protocol sessions between applications and X servers are not encrypted while being transmitted over a network, an X11 protocol

connection can be routed through an SSH connection to provide security and stronger authentication. This feature is called X11 forwarding An SSH client requests X forwarding when it connects to an SSH server (assuming X forwarding is enabled in the client). If the server allows X forwarding for this connection, login proceeds normally, but the server takes some special steps behind the scenes. In addition to handling the terminal session, the server sets itself up as a proxy X server running on the remote machine and sets the DISPLAY environment variable in the remote shell to point to the proxy X display. If an X client program is run, it connects to the proxy. The proxy behaves just like a real X server, and in turn instructs the SSH client to behave as a proxy X client, connecting to the X server on the local machine. The SSH client and server then cooperate to pass X protocol information back and forth over the SSH pipe between the two X sessions, and the X client program appears on your screen just as if it had connected directly to your display. DPI-SSH X11 forwarding supports these clients:

- SSH client for Cygwin
- Putty •secureCRT
- SSH on Ubutu
- SSH on centos

DPI-SSH X11 Forwarding supports the SSH servers on:

- Fedora
- Ubuntu

SSH X11 Forwarding supports both route mode and wire mode. For:

- Wire mode, SSH X11 Forwarding is only supported in the secure (active DPI of inline traffic) mode.
- Route mode, here is no limitation.

The maximum number of connections supported for SSH X11 Forwarding is same as for DPI-SSH: 1000.DPI-SSH.

Support for ECDSA-Related Ciphers

DPI-SSL Client supports ECDSA (Elliptic Curve Digital Signature Algorithm) ciphers:

- TLS_ECDHE_ECDSA_WIATH_AES_128_GCM_SHA256
- TLS_ECDH_RSA_WITH_AES_128_GCM_SHA256

DPI-SSL and CFS HTTPS Content Filtering Work Independently

DPI-SSL and CFS HTTPS content filtering can be enabled at the same time and function as follows:

- If DPI-SSL Client Inspection is disabled, Content Filter Service filters HTTPS connections.
- If DPI-SSL Client Inspection is enabled, but the Content Filter option is not selected, Content Filter Service filters HTTPS connections.
- If DPI-SSL Client Inspection is enabled and the Content Filter option is selected, CFS does not filter HTTPS connections.

Original Port Numbers Retained in Decrypted Packets

For encrypted connections DPI-SSL/DPI-SSH connections, the decrypted packet shows the destination port as 80 (in the case of HTTPS). When the decrypted packets are observed in packet capture/Wireshark, they now retain the original port numbers. The port number change applies only to the packet capture and not to the actual packet or connection cache.

Security Services

The following security services and features can use DPI-SSL:

Gateway Anti-Virus	Content Filtering
Gateway Anti-Spyware	Application Firewall
Intrusion Prevention	

Deployment Scenarios

DPI-SSL has two main deployment scenarios:

- **Client DPI-SSL**: Used to inspect HTTPS traffic when clients on the appliance's LAN access content located on the WAN. Exclusions to DPI-SSL can be made on a common-name or category basis.
- Server DPI-SSL: Used to inspect HTTPS traffic when remote clients connect over the WAN to access content located on the appliance's LAN.

Proxy Deployment

DPI-SSL supports proxy deployment, where all client browsers are configured to redirect to a proxy server, but an appliance sits between the client browsers and the proxy server. All DPI-SSL features are supported in this scenario, including supporting domain exclusions when the domain is part of a virtual hosting server, or in some cloud deployments, wherein the same server IP can be used by multiple domains.

Additionally, typical data center server farms are fronted with a load balancer and/or reverse SSL Proxy to offload SSL processing on the servers. For a load balancer fronting the servers and doing decryption, the appliance usually only sees the IP of the load balancer, and the load balancer decrypts the content and determines the specific server to assign this connection to. DPI-SSL now has a global policy option to disable an IP-based exclusion cache. The exclusions continues to work even if the IP-based exclusion cache is off.

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Customizing DPI-SSL

(i) **IMPORTANT:** Add the NetExtender SSL VPN gateway to the DPI SSL IP-address exclusion list. As NetExtender traffic is PPP-encapsulated, having SSL VPN decrypt such traffic does not produce meaningful results.

In general, the policy of DPI-SSL is to secure any and all traffic that flows through the appliance. This may or may not meet your security needs, so DPI-SSL allows you to customize what is processed.

DPI-SSL comes with a list (database) of built-in (default) domains excluded from DPI processing. You can add to this list at any time, remove any entries you've added, and/or toggle built-in entries between exclusion from and inclusion in DPI processing. DPI-SSL also allows you to exclude or include domains by common name or category (for example, banking or health care).

Excluded sites, whether by common name or category, however, can become a security risk that can be exploited in the future by exploit kits that circumvent the appliance and are downloaded to client machines or by a man-in-the-middle hijacker presenting a fake server site/certificate to an unsuspecting client. To prevent such risks, DPI-SSL allows excluded sites to be authenticated before exclusion.

As the percentage of HTTPS connections increase in your network and new https sites appear, it is improbable for even the latest SonicOS version to contain a complete list of built-in/default exclusions. Some HTTPS connections fail when DPI-SSL interception occurs due to the inherent implementation of a new client app or the server implementation, and these sites might need to be excluded on the appliance to provide a seamless user experience. SonicOS keeps a log of these failed connections that you can troubleshoot and use to add any trusted entries to the exclusion list.

In addition to excluding/including sites, DPI-SSL provides both global authentication policy and a granular exception policy to the global one. For example, with a global policy to authenticate connection, some connections may be blocked that are in essence safe, such as new trusted CA certificates or a a self-signed server certificate of a private (or local-to-enterprise deployment) secure cloud solution. The granular option allows you to exclude individual domains from the global authentication policy.

You can configure exclusions for a domain that is part of a list of domains supported by the same server (certificate). That is, some server certificates contain multiple domain names, but you want to exclude just one of these domains without having to exclude all of the domains served by a single server certificate. For example, you can exclude <code>youtube.com</code> without having to exclude any other domain, such as <code>google.com</code>, even though <code>*.google.com</code> is the common name of the server certificate that has <code>youtube.com</code> listed as an alternate domain under Subject Alternate-Name extension.

Connections per Appliance Model

To learn about the hardware model and its maximum concurrent connections to perform the Client DPI-SSL inspections, refer to the following platform datasheets: SonicWall TZ Series.

Refer to the SonicWall resources page for more information about our Product Series. Search for high-end, mid-range, entry level, and virtual firewall details, such as Maximum connections (DPI SSL), from the **By Product Series** drop-down menu.

Configuring the DPI-SSL/TLS Client

Topics:

- Decryption Services > DPI-SSL/TLS Client
- Viewing DPI-SSL Status
- Deploying the DPI-SSL/TLS Client

Decryption Services > DPI-SSL/TLS Client

DPI-SSL STATUS						
Current DPI-SSL connections (cur/peak/max) 0 / 0 / 30000						
General Certificate	Objects Common Name CFS Category-based Exclusion/Inclusion					
GENERAL SETTINGS						
	Enable SSL Client Inspection Intrusion Prevention Gateway Anti-Virus Gateway Anti-Spyware Application Firewall Content Filter Allow Expired CA ⑦ Deployments wherein the Firewall sees a single server IP for different server domains, ex: Proxy setup ⑦ Allow SSL without decryption (bypass) when connection limit exceeded ⑦ Audit new default exclusion domain names prior to being added for exclusion ⑦ Always authenticate server before applying exclusion policy ⑦					

() | TIP: For information about DPI-SSL, see About DPI-SSL.

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Viewing DPI-SSL Status

DPI-SSL STATUS

Current DPI-SSL connections (cur/peak/max) 0 / 0 / 30000

The **DPI-SSL Status** section displays the current DPI-SSL connections, peak connections, and maximum connections.

Deploying the DPI-SSL/TLS Client

The DPI-SSL/TLS Client deployment scenario typically is used to inspect HTTPS traffic when clients on the LAN browse content located on the WAN. In this scenario, the firewall typically does not own the certificates and private keys for the content it is inspecting. After performing DPI-SSL inspection, the appliance re-writes the certificate sent by the remote server and signs this newly generated certificate with the certificate specified in the Client DPI-SSL configuration. By default, this is the firewall certificate authority (CA) certificate, but a different certificate can be specified. Users should be instructed to add the certificate to their browser's trusted list to avoid certificate trust errors.

Topics:

- Configuring General Settings
- Selecting the Re-Signing Certificate Authority
- Configuring Exclusions and Inclusions
- Excluding/Including by Common Name
- Client DPI-SSL Examples

Configuring General Settings

Topics:

- Enabling SSL Client Inspection
- Enabling DPI-SSL Client on a Zone
- Enabling DPI-SSL Server on a Zone

Enabling SSL Client Inspection

To enable SSL Client inspection:

- 1. Navigate to **POLICY | DPI-SSL > Client SSL**.
- 2. Click General.

DPI-SSL STATUS						
Current DPI-SSL connections (cur/peak/max) 0 / 0 / 30000						
General	Certificate	Objects	Common Name	CFS Category-based Exclusion/Inclusion		
GENERAL SETTIN	GS					
				Enable SSL Client Inspection Intrusion Prevention		
				Gateway Anti-Virus		
				Gateway Anti-Spyware		
				Application Firewall		
				Content Filter		
			0	Always authenticate server for decrypted connections (<i>j</i>) Allow Expired CA (<i>j</i>)		
			\bigcirc	Deployments wherein the Firewall sees a single server IP for different server domains, ex: Proxy setup ()		
				Allow SSL without decryption (bypass) when connection limit exceeded $\cite{(j)}$		
				Audit new default exclusion domain names prior to being added for exclusion (\widehat{i})		
				Always authenticate server before applying exclusion policy (2)		
				Cancel		

- 3. Select Enable SSL Client Inspection. This option is not selected by default..
- 4. Select one or more services with which to perform inspection; none are selected by default:
 - Intrusion Prevention
 - Gateway Anti-Virus
 - Gateway Anti-Spyware
 - Application Firewall
 - Content Filter
- 5. To authenticate servers for decrypted/intercepted connections, select **Always authenticate server for decrypted connections**. When enabled, DPI-SSL blocks connections:
 - To sites with untrusted certificates.
 - If the domain name in the Client Hello cannot be validated against the Server Certificate for the connection.

This option is not selected by default. When this option is selected, **Allow Expired CA** becomes available.

- (i) **IMPORTANT:** Only enable this option if you need a high level of security. Blocked connections show up in the connection failures list, as described in *Showing Connection Failures*.
- (i) TIP: If you enable this option, use the Skip CFS Category-based Exclusion option (see Excluding/Including Common Names) to exclude a particular domain or domains from this global authenticate option. This is useful to override any server authentication-related failures of trusted sites.
 - 6. To allow expired or intermediate CAs, select **Allow Expired CS**. This option is not selected by default. If it is not selected, connections are blocked if the domain name in the Client Hello cannot be validated against the server certificate for the connections.
 - 7. To disable use of the server IP address-based dynamic cache for exclusion, select **Deployments** wherein the Firewall sees a single server IP for different server domains, ex: Proxy setup. This option is not selected by default.

This option is useful for proxy deployments, where all client browsers redirect to a proxy server, including if appliance is between the client browsers and the proxy server. All DPI-SSL features are supported, including

domain exclusions when the domain is part of a virtual hosting server, as part of a server farm fronted with a load balancer, or in some cloud deployments, wherein the same server IP can be used by multiple domains.

In such deployments, all server IPs as seen by the appliance are the proxy server's IP. It is, therefore, imperative that in proxy deployments, IP-based exclusion cache is disabled. Enabling this option does not affect SonicOS's capability to perform exclusions.

 By default, new connections over the DPI-SSL connection limit are bypassed. To allow new connections to bypass decryption instead of being dropped when the connection limit is exceeded, select the Allow SSL without decryption (bypass) when connection limit exceeded checkbox. This option is selected by default.

To ensure new connections over the DPI-SSL connection limit are dropped, deselect/disable this checkbox.

9. To audit new, built-in exclusion domain names before they are added for exclusion, select the Audit new built-in exclusion domain names prior to being added for exclusion checkbox. By default, this checkbox is not enabled.

When this option is enabled, whenever changes to the built-in exclusion list occur, for example, an upgrade to a new firmware image or other system-related actions, a notification pop-up dialog displays over the **Decryption Services > DPI-SSL/TLS Client** page with the changes. You can inspect/audit the new changes and accept or reject any, some, or all of the new changes to the built-in exclusion list. At this point, the run-time exclusion list is updated to reflect the new changes.

If this option is disabled, SonicOS accepts all new changes to the built-in exclusion list and adds them automatically.

- 10. To always authenticate a server before applying a common-name or category exclusion policy, select the **Always authenticate server before applying exclusion policy** checkbox. This option is not selected by default. When enabled, DPI-SSL blocks excluded connections:
 - To sites with untrusted certificates.
 - If the domain name in the Client Hello cannot be validated against the Server Certificate for the connection.

This is a useful feature to authenticate the server connection before applying exclusion policies. Enabling this option ensures that the appliance does not blindly apply exclusion on connections and thereby create a security hole for exclusion sites or sites belonging to excluded categories. This is especially relevant when banking sites, as a category, are excluded.

By validating both the server certificate and the domain name in the Client Hello before applying an exclusion policy, SonicOS can reject untrusted sites and potentially block a type of zero-day attack from taking place. The SonicOS implementation takes the "trust-but-verify" approach to ensure that a domain name that matches the exclusion policy criteria is validated first, thus preventing an unsuspecting client from phishing or URL-redirect-related attacks.

- (i) **IMPORTANT:** If you are excluding alternate domains in the Subject-Alternate-Name extension, it is recommended that you enable this option.
- (i) TIP: If you enable this option, use the Skip CFS Category-based Exclusion option (see Excluding/Including Common Names) to exclude a particular domain or domains from this global authenticate option. This is useful to override any server authentication-related failures of trusted sites.
 - 11. Click Accept.

Enabling DPI-SSL Client on a Zone

To enable DPI-SSL Client on a zone:

- 1. Navigate to **OBJECT | Match Objects > Zones**.
- 2. Click the Edit icon for the zone to be configured. The Edit Zone dialog displays.
- 3. Select Enable SSL Client Inspection. This option is not selected by default.
- 4. Finish configuring the zone.
- 5. Click OK.
- 6. Repeat Step 2 through Step 5 for each zone on which to enable DPI-SSL client inspection.

Enabling DPI-SSL Server on a Zone

To enable DPI-SSL Server on a zone:

1. Navigate to Navigate to **POLICY | DPI-SSL > Server SSL**.

(i) | TIP: For information about configuring DPI-SSL servers, see Configuring DPI-SSL/TLS Server Settings.

- 2. Select Enable SSL Server Inspection. This option is not selected by default.
- 3. Select one or more types of inspection.
- 4. Click ACCEPT.
- 5. Navigate to **OBJECT | Match Objects > Zones**.
- 6. Click the Edit icon for the zone to be configured. The Edit Zone dialog displays.
- 7. Select Enable SSL Server Inspection. This option is not selected by default.
- 8. Finish configuring the zone.
- 9. Click OK.
- 10. Repeat Step 6 through Step 8 for each zone on which to enable DPI-SSL server inspection

Selecting the Re-Signing Certificate Authority

The re-signing certificate replaces the original certificate signing authority only if that authority certificate is trusted by the firewall. If the authority is not trusted, then the certificate is self-signed. To avoid certificate errors, choose a certificate that is trusted by devices protected by DPI-SSL.

Inore: For information about requesting/creating a DPI SSL Certificate Authority (CA) certificate, see the Knowledge Base article, How to request/create DPI-SSL Certificate Authority (CA) certificates for the purpose of DPI-SSL certificate resigning (SW14090).

To select a re-signing certificate:

- 1. Navigate to the **POLICY | DPI-SSL > Client SSL** page.
- 2. Click **Certificate**.

	Current DPI-S	SL connections (c	:ur/peak/max) 0 / 0 / 30	0000	
General	Certificate	Objects	Common Name	CFS Category-based Exclusion/Inclus	sion
TIFICATE RE	SIGNING AUTHO	RITY			
This certificat	e will replace the orig	ginal certificate sign	ning authority only if that a	authority certificate is trusted by the firewall.	
This certificat If the authorit To avoid certi To manage ce	e will replace the orig y is not trusted, then ficate errors, choose ; rtificates, go to Appl	ginal certificate sign the certificate will a certificate that is iance > Certificate	ning authority only if that a be made self-signed. trusted by devices protect s. Certificate Default	authority certificate is trusted by the firewall. ted by DPI-SSL. t SonicWall DPI-SSL 2048 bit CA ce,	<i>(i)</i>
This certificat If the authorit To avoid certi To manage ce	e will replace the orig y is not trusted, then ficate errors, choose i rtificates, go to Appl	jinal certificate sigr the certificate will a certificate that is iance > Certificate:	ning authority only if that a be made self-signed. trusted by devices protect s. Certificate Defaul Dow	authority certificate is trusted by the firewall. ted by DPI-SSL. t SonicWall DPI-SSL 2048 bit CA ce	<i>(i)</i>

- 3. Select the certificate to use from the **Certificate** drop-down menu. By default, DPI-SSL uses the Default SonicWall DPI-SSL CA certificate to re-sign traffic that has been inspected.
 - (i) NOTE: If the certificate you want is not listed, you can import it from the DEVICE | Settings > Certificates page.
- 4. To download the selected certificate to the firewall, click the (download) link. The Opening *filename* dialog appears.
 - TIP: To view available certificates, click on the (Manage Certificates) link to display the DEVICE | Settings > Certificates page.
 - a. Ensure the Save File radio button is selected.
 - b. Click OK.

The file is downloaded.

5. Click Accept.

Adding Trust to the Browser

For a re-signing certificate authority to successfully re-sign certificates, browsers have to trust the certificate authority. Such trust can be established by having the re-signing certificate imported into the browser's trusted CA list. Follow your browser's instructions for importing re-signing certificates.

Configuring Exclusions and Inclusions

By default, when DPI-SSL is enabled, it applies to all traffic on the appliance. You can customize to which traffic DPI-SSL inspection applies:

- Exclusion/Inclusion lists exclude/include specified objects and groups
- Common Name exclusions excludes specified host names
- CFS Category-based Exclusion/Inclusion excludes or includes specified categories based on
 CFS categories

This customization allows individual exclusion/inclusion of alternate names for a domain that is part of a list of domains supported by the same server (certificate). In deployments that process a large amount of traffic, to reduce the CPU impact of DPI-SSL and to prevent the appliance from reaching the maximum number of concurrent DPI-SSL inspected connections, it can be useful to exclude trusted sources.

- () **NOTE:** If DPI-SSL is enabled on the firewall when using Google Drive, Apple iTunes, or any other application with pinned certificates, the application may fail to connect to the server. To allow the application to connect, exclude the associated domains from DPI-SSL; for example, to allow Google Drive to work, exclude:
 - .google.com
 - .googleapis.com
 - .gstatic.com

As Google uses one certificate for all its applications, excluding these domains allows Google applications to bypass DPI-SSL.

Alternatively, exclude the client machines from DPI-SSL.

Topics:

- Excluding/Including Objects/Groups
- Excluding/Including by Common Name
- Specifying CFS Category-based Exclusions/Inclusions
- Content Filtering
- App Rules

Excluding/Including Objects/Groups

To customize DPI-SSL client inspection:

- 1. Navigate to the **POLICY | DPI-SSL > Client SSL** page.
- 2. Click **Objects**.

DPI-SSL STATUS						
Current DPI-SSL connections (cur/peak/max) 0 / 0 / 30000						
General	Certificate	Objects	Common N	lame	CFS Category-ba	ased Exclusion/Inclusion
EXCLUSION/INCLU	JSION					
ADDRESS OB	JECT/GROUP					
			Exclude	None	•	
			Include	All	•	
SERVICE OBJE	ECT/GROUP					
			Exclude	None	•	
			Include	All	•	
USER OBJECT	/GROUP					
			Exclude	None	•	
			Include	All	•	
		\square	Cancel	Accept		

- From the Address Object/Group Exclude and Include drop-down menus, select an address object or group to exclude or include from DPI-SSL inspection. By default, Exclude is set to None and Include is set to All.
 - (i) **TIP:** The **Include** drop-down menu can be used to fine tune the specified exclusion list. For example, by selecting the **Remote-office-California** address object in the **Exclude** drop-down menu and the **Remote-office-Oakland** address object in the **Include** drop-down menu.
- From the Service Object/Group Exclude and Include drop-down menus, select an address object or group to exclude or include from DPI-SSL inspection. By default, Exclude is set to None and Include is set to AII.
- From the User Object/Group Exclude and Include drop-down menus, select an address object or group to exclude or include from DPI-SSL inspection. By default, Exclude is set to None and Include is set to AII.
- 6. Click Accept.

Excluding/Including by Common Name

You can add trusted domain names to the exclusion list. Adding trusted domains to the Built-in exclusion database reduces the CPU effect of DPI-SSL and prevents he appliance from reaching the maximum number of concurrent DPI-SSL inspected connections.

1-5	52 51	Current DPI-SSL connections	(cur/peak/max) 0 / 0 / 30000		
General Certificate Objects Common Name CFS Category-based Exclusion/Inclusion					
		Default Exclusion	ons Timestamp UTC 03/28/2018 17:5	£40.000	
			Last Checked 07/24/2019 21:28:38.	000	
MM	1001	NAME EXCLUSIONS/INCLUSIONS			
Q	Searc	ch View: All 🔻	Show Connection	Failures 🕐 🕂 Add 🍵 Delete 🐧 Refresh 🌼 Display O	Optio
	#	COMMON NAME	ACTION	BUILT-IN	-
	1	.agni.lindenlab.com	Exclude	Approved	
	2	.atl.citrixonline.com	Exclude	Approved	
	3	.citrixonlinecdn.com	Exclude	Approved	
	4	.gotomeeting.com	Exclude	Approved	
	5	.iad.citrixonline.com	Exclude	Approved	
	6	.icloud.com	Exclude	Approved	
	7	.itunes.apple.com	Exclude	Approved	
	8	.itwin.com	Exclude	Approved	
	9	.las.citrixonline.com	Exclude	Approved	
	10	.live.citrixonline.com	Exclude	Approved	
	11	livemeeting com	Exclude	Approved	
	12	logmein.com	Exclude	Approved	
	12	mozilla org	Exclude	Approved	
	14	ord citrixonline.com	Exclude	Approved	
	14	packetiv net	Exclude	Approved	
	15	pricement com	Exclude	Approved	
	17	sis sitzivanlina com	Exclude	Approved	
	17	softether.com	Exclude	Approved	
	10	sonicwall.com	Exclude	Approved	
	20	telev cc	Exclude	Approved	
	21	vedivi.com	Exclude	Approved	
	22	yudu com	Exclude	Approved	
	22	watransfer.com	Exclude	Approved	
	24	windowsundate.com	Exclude	Approved	
	* 25	accounts mesh com	Evoludo	Annoved	
	26	activation sls microsoft com	Exclude	Annroved	
	27	auth2 triongames com	Exclude	Approved	
	28	bitbucket org	Exclude	Approved	
	29	courier push apple com	Exclude	Approved	
	30	gsa.apple.com	Exclude	Annroved	
	31	myquickcloud.com	Exclude	Annroved	
	32	notify mal5 com	Exclude	Approved	
	33	rooms hp.com	Evolude	Annroved	
	34	sap.mymeetingroom.com	Exclude	Approved	
	35	storage mesh com	Exclude	Annroved	
	36	update microsoft com	Exclude	Annroved	
	37	updates metaguotes net	Exclude	Approved	
	38	windowsupdate microsoft com	Exclude	Annroved	
	38	windowsupdate microsoft.com	Exclude	Annroved	
	39	villaulu com	Exclude	Annroved	
	33	raaguu.com	Exclude	Approved	

If you work in a closed environment or prefer to update default exclusions manually.
 please download exclusions file fromwww.mysonicwall.com to your disk, then import the file.

🕹 Import Exclusions

Topics:

- Viewing Status of DPI SSL Default Exclusions
- Excluding/Including Common Names
- Deleting Custom Common Names
- Showing Connection Failures
- Updating Default Exclusions Manually

Viewing Status of DPI SSL Default Exclusions

The firewall periodically checks for updates to the DPI SSL default exclusions database on MySonicWall and displays the latest status of the database in the DPI SSL Default Exclusions Status section. You can update the database on the firewall manually, as described in *Updating Default Exclusions Manually*.

To view the status of default exclusions:

- 1. Navigate to **POLICY | DPI-SSL > Client Server**.
- 2. Click Common Name.
- 3. Scroll to DPI SSL Default Exclusions Status.

DPI SSL DEFAULT EXCLUSIONS STATUS	
Default Exclu	usions Timestamp UTC 03/28/2018 17:59:40.000 Last Checked 07/24/2019 21:28:38.000
Default Exclusions Timestamp	Date and time the default exclusions database was updated.
Last Checked	Date and time the firewall checked the default exclusions database.

Excluding/Including Common Names

To exclude/include entities by common name:

- 1. Navigate to the **POLICY | DPI-SSL > Client SSL** page.
- 2. Click Common Name.
- 3. Scroll to Common Name: Exclusions/Inclusions.

OMMON	NAME EXCLUSIONS/INCLUSIONS		
Q Sean	ch View: All 🔻	Show Connection Failures + Add	🅤 Delete 🛛 Refresh 🛛 🏘 Display Options
#	COMMON NAME	ACTION	BUILT-IN
1	.agni.lindenlab.com	Exclude	Approved
2	.atl.citrixonline.com	Exclude	Approved
3	.citrixonlinecdn.com	Exclude	Approved
4	.gotomeeting.com	Exclude	Approved
5	.iad.citrixonline.com	Exclude	Approved
6	.icloud.com	Exclude	Approved
7	.itunes.apple.com	Exclude	Approved
8	.itwin.com	Exclude	Approved
9	.las.citrixonline.com	Exclude	Approved
10	.live.citrixonline.com	Exclude	Approved

- 4. You can control the display of the common names by selecting the following options:
 - View options:
 - All Displays all common names.
 - **Default** Displays the default common names (excludes **Custom**).
 - Custom Displays only common names you have added.
- 5. By default, all Built-in common names are approved. You can reject the approval of a Built-in common name by:
 - a. Clicking the **Reject this built-in name** icon in the **Configure** column for the common name. A confirmation message displays.

i	
	Cancel

b. Click OK.

The Reject icon becomes an Accept icon, and Approved in the Built-in column becomes Rejected.

(i) | **TIP:** Built-in common names cannot be modified or deleted, but you can reject or accept them.

To accept a rejected Built-in common name:

a. Click its Accept this built in name icon. A confirmation message displays.



b. Click OK.

6. To add a custom common name, click **+Add**. The **Add Common Names** dialog displays.

Add Common Name	es
Please add new common name entries separated by comma or newline characters.	
Action	Exclude Skip CFS Category-based Exclusion
Always authenticate server before applying exclusion policy	Skip authenticating the server Use Global Setting Close Accept

- a. Add one or more common names in the field. Separate multiple entries with commas or newline characters.
- b. Specify the type of Action:
 - Exclude (default)
 - Skip CFS Category-based Exclusion
 - Skip authenticating the server to opt out of authenticating the server for this domain if doing so results in the connection being blocked. Enable this option only if the server is a trusted domain.
- c. DPI-SSL dynamically determines if a connection should be intercepted (included) or excluded, based on policy or configuration. When DPI-SSL extracts the domain name for the connection, exclusion information is readily available for subsequent connections to the same server/domain.

To **Enable** or **Disable** use of dynamic exclusion cache (both server IP and common-name based), select an option from the **Always authenticate server before applying exclusion policy** drop-down menu. **Use Global Setting** is selected by default.

d. Click Accept.

The **Common Name Exclusions/Inclusions** table is updated, with **Custom** in the **Built-in** column. If the **Always authenticate server before applying exclusion policy** option has been selected, an **Information** icon displays next to **Custom** in the **Built-in** column.

Mouse over the Information icon to see which custom attributes were selected. If a common name was added through the **Connection Failure List**, the Information icon indicates the type of failure:

- Skip CFS category exclusion
- Skip Server authentication
- Failed to authenticate server
- Failed Client handshake
- Failed Server handshake

To delete the entry, click the **Delete** icon in the **Configure** column.

7. You can search for common names by specifying a filter.

- a. In the **Filter** field, enter a name by specifying the name in this syntax: name:mycommonname.
- b. Click Filter.
- 8. Click Accept.

Deleting Custom Common Names

To delete custom common names:

- 1. Do one of the following:
 - Clicking a custom common name's **Delete** icon in the **Configure** column.
 - Selecting the name in the Exclusions, and then clicking Delete.
 - Clicking **Delete All** to delete all custom common names. A confirmation message displays. Click **OK**.
- 2. Click Accept.

Showing Connection Failures

SonicOS keeps a list of recent DPI-SSL client-related connection failures. This is a powerful feature that:

- Lists DPI-SSL failed connections.
- Allows you to audit the failed connections.
- Provide a mechanism to automatically exclude some failing domains.

The dialog displays the run-time connection failures. The connection failures could be any of the following reasons:

- Failure to handshake with the Client
- Failure to handshake with the Server
- Failed to validate the domain name in the Client Hello
- Failure to authenticate the server (the server certificate issuer is not trusted)

The failure list is only available at run-time. The number logged for each failure is limited to ensure a single failure type does not overrun the entire buffer.

To use the connection failure list:

1. Click Show Connection Failures. The Connection Failure List dialog displays.

Connection Failure L	ist		
Browse through the list of connection failures	. You can add an entry or entries as cust	om exclusion names, clear some or cl	lear all entries
			Exclude Clear All
# CLIENT ADDRESS	SERVER ADDRESS	COMMON NAME	ERROR MESSAGE
No Data			
			Close
			Close

Each entry in this lists displays the:

- Client Address
- Server Address
- **Common Name** The common name of the failed connection's domain. You can edit this entry inline before adding it to the automatic exclusion list.
- **Error Message** Provides contextual information associated with the connection that enables you to make appropriate choices about excluding this connection.
- 2. To add an entry to the exclusion list:
 - a. Select the entry.
 - b. Make any edits to the entry.
 - c. Click Exclude.
- 3. To delete an entry:
 - a. Select it.
 - b. Click Clear.
- 4. To delete all entries, click Clear All.
- 5. When you have finished, click Close.

Updating Default Exclusions Manually

If your environment is closed or you prefer to update default exclusions manually, you can download the default exclusions database from www.MySonicWall.com and then import them.

To update default exclusions manually:

- 1. Import the default exclusions database from www.MySonicWall.com.
- 2. Navigate to the **POLICY | DPI-SSL > Client SSL** page.
- 3. Scroll to the Update Default Exclusions Manually section.



4. Click IMPORT EXCLUSIONS. The Import Exclusion File dialog displays.

Import Exclusion File	
Please select a file to import Add File	
	Import Cancel

- 5. Click Add File. The File Upload dialog displays.
- 6. Open the downloaded default exclusions database file.
- 7. The **Common Name Exclusions/Inclusions** table and the status of the default database used by the firewall in the DPI SSL Default Exclusions Status section are updated.

Specifying CFS Category-based Exclusions/Inclusions

You can exclude/include entities by content filter categories.

To specify CFS category-based exclusions/inclusions::

- 1. Navigate to the **POLICY | DPI-SSL > Client SSL** page.
- 2. Click CFS Category-based Exclusions/Inclusions.

DPI-SSL STATUS					
	Current DF	I-SSL connections (cur/peak/max) 0 / 0	/ 30000		
General	General Certificate Objects Common Name CFS Category-based Exclusion/Inclusion				
CONTENT FILTER	CATEGORY INCLU	JSIONS/EXCLUSIONS			
Content Filtering	g license is activated				
	Please select	an action for following categories	Exclude		
		Select all Categories			
			-		
		1. Violence/Hate/Racism			
	2. Intimate Apparel/Swimsuit				
	3. Nudism				
		4. Pornography			
		5. Weapons			
		6. Adult/Mature Content			
	7. Cult/Occult				
		8. Drugs/Illegal Drugs			
9. Illegal Skills/Questionable Skills					
Exclude connection if Content Filter Category is not available					
Cancel					

The status of the list is shown by an icon at the top of the view. A green icon indicates Content Filtering is licensed, a red icon that it is not.

- 3. Choose whether you want to include or exclude the selected categories by clicking either:
 - **Exclude** (default)
 - Include

By default, all categories are unselected.

- 4. Optionally, repeat Step 3 and Step 4 to create the opposite list.
- 5. Select the categories to be included/excluded. To select all categories, click Select all Categories.
- 6. Optionally, to exclude a connection if the content filter category information for a domain is not available to DPI-SSL, select the **Exclude connection if Content Filter Category** is not available

checkbox. This option is not selected by default.

In most cases, category information for a HTTPS domain is available locally in the firewall cache. When the category information is not locally available, DPI-SSL obtains the category information from the cloud without blocking the client or server communication. In rare cases, the category information is not available for DPI-SSL to make a decision. By default, such sites are inspected in DPI-SSL.

7. Click Accept.

Client DPI-SSL Examples

Topics:

- Content Filtering
- App Rules

Content Filtering

To perform SonicWall Content Filtering on HTTPS and SSL-based traffic using DPI-SSL:

- 1. Navigate to **POLICY | Security Services > Content Filter**.
- 2. Ensure SonicWall CFS is selected for the Content Filter Type from the drop-down menu.
- 3. Scroll to the **Global Settings** section.

GLOBAL SETTINGS				
Max URL Caches (entries)	15360	Enable Local CFS Server	\bigcirc	
Enable Content Filtering Service		Primary Local CFS Server		<i>(i)</i>
Block if CFS Server Is Unavailable	\bigcirc	Secondary Local CFS Server		<i>(i)</i>
Server Timeout	5	second(s)		

- 4. Select Enable Content Filtering Service.
- 5. Click Accept.
- 6. Navigate to the **POLICY | DPI-SSL > Client SSL** page.
- 7. Click General.

DPI-SSL STATUS						
Current DPI-SSL connections (cu	Current DPI-SSL connections (cur/peak/max) 0/0/30000					
General Certificate Objects Common Name	CFS Category-based Exclusion/Inclusion					
GENERAL SETTINGS						
	Enable SSL Client Inspection					
	Intrusion Prevention					
	Gateway Anti-Virus					
	Gateway Anti-Spyware					
	Application Firewall					
	Content Filter					
	Always authenticate server for decrypted connections (i)					
	Allow Expired CA (j)					
	Deployments wherein the Firewall sees a single server IP for different server domains, ex: Proxy setup 🧃					
	Allow SSL without decryption (bypass) when connection limit exceeded $\ (\widehat{t})$					
	Audit new default exclusion domain names prior to being added for exclusion (\widehat{t})					
	Always authenticate server before applying exclusion policy \bigcirc					
	Cancel					

- 8. Select the Enable SSL Inspection checkbox.
- 9. Select the Content Filter checkbox.
- 10. Click Accept.
- 11. Navigate to a blocked site using the HTTPS protocol to verify that it is properly blocked.

(i) **NOTE:** For content filtering over DPI-SSL, the first time HTTPS access is blocked results in a blank page being displayed. If the page is refreshed, the user sees the firewall block page.

App Rules

To filter by application firewall rules, you need to enable them on both the **POLICY | DPI-SSL > Client SSL** page and the **POLICY | Rules and Policies > App Control** page.

- 1. Navigate to the **POLICY | DPI-SSL > Client SSL** page.
- 2. Click General.

DPI-SSL STATUS	
Current DPI-SSL connections (cur/p	eak/max) 0 / 0 / 30000
General Certificate Objects Common Name	CFS Category-based Exclusion/Inclusion
GENERAL SETTINGS	
E	nable SSL Client Inspection
	Intrusion Prevention
	Gateway Anti-Virus
	Gateway Anti-Spyware
	Application Firewall
	Content Filter
	ways authenticate server for decrypted connections (i)
	Allow Expired CA (j)
	eployments wherein the Firewall sees a single server IP for different server domains, ex: Proxy setup 🧳
A ()	llow SSL without decryption (bypass) when connection limit exceeded $\;(\widehat{j})\;$
A ()	udit new default exclusion domain names prior to being added for exclusion (j)
A ()	ways authenticate server before applying exclusion policy (\widehat{i})
Ca	ncel

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- 3. Select the Enable SSL Client Inspection checkbox.
- 4. Select the Application Firewall checkbox.
- 5. Click Accept.
- 6. Navigate to **POLICY | Rules and Policies > App Control** page.
- 7. Scroll to the App Rules Global Settings section.
- 8. Select Enable App Control. This option is not selected by default.
- 9. Configure an HTTP Client policy to block Microsoft Internet Explorer browser with block page as an action for the policy.
- 10. Click Accept.
- 11. Access any website using the HTTPS protocol with Internet Explorer to verify it is blocked.

Configuring DPI-SSL/TLS Server Settings

Topics:

- Decryption Services > DPI-SSL/TLS Server
- About DPI-SSL/TLS Server Settings

Decryption Services > DPI-SSL/TLS Server

GENERAL SETTINGS								
		Enable SSL Server Inspection						
		Intrusion Prevention						
		Gateway Anti-Viru:						
		Gateway Anti-Spyware						
		Application Firewal						
ADDRESS OBJECT/GROUP				USER OBJECT/GROUP				
	Exclude	None	•		Exclude	Q None	•	
	Include	All	•		Include	Q AII	-	
SSL SERVERS								
								+ =
# ADDRESS OBJECT		CERT	IFICATE		CLEA	RTEXT		
No Data								
			Cancel					

(i) NOTE: For information about DPI SSL, see About DPI-SSL.

The Server DPI-SSL deployment scenario is typically used to inspect HTTPS traffic when remote clients connect over the WAN to access content located on the firewall's LAN. Server DPI-SSL allows you to configure pairings of an address object and certificate. When the appliance detects SSL connections to the address object, it presents the paired certificate and negotiates SSL with the connecting client.

Afterward, if the pairing defines the server to be cleartext, then a standard TCP connection is made to the server on the original (post NAT remapping) port. If the pairing is not defined to be cleartext, then an SSL connection to the server is negotiated. This allows for end-to-end encryption of the connection.

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(i) **NOTE:** In this deployment scenario, the owner of the firewall owns the certificates and private keys of the origin content servers. You would have to import the server's original certificate onto the appliance and create an appropriate server IP address to server certificate mappings in the Server DPI-SSL UI.

About DPI-SSL/TLS Server Settings

Topics:

- Configuring General DPI-SSL/TLS Server Settings
- Configuring Exclusions and Inclusions
- Configuring Server-to-Certificate Pairings

Configuring General DPI-SSL/TLS Server Settings

er Inspection On Prevention On Anti-Virus On Anti-Spyware On A

To enable Server DPI-SSL inspection:

1. Navigate to the **POLICY | DPI-SSL > Server SSL** page.

Enable SSL S	Ser
Intru	rusi
Gate	itew
Gatewa	vay
Арр	plic

- 2. Scroll to the General Settings section.
- 3. Select Enable SSL Server Inspection.
- 4. Select one or more of the services with which to perform inspection:
- Intrusion Prevention
- Gateway Anti-Virus
- Gateway Anti-Spyware
- Application Firewall
- 5. Click Accept.
- 6. Scroll down to the **SSL Servers** section to configure the server or servers to which DPI-SSL inspection is applied. See *Configuring Server-to-Certificate Pairings*.

Configuring Exclusions and Inclusions

By default, the DPI-SSL applies to all traffic on the appliance when it is enabled. You can configure inclusion/exclusion lists to customize to which traffic DPI-SSL inspection applies. The **Inclusion/Exclusion** lists provide the ability to specify certain objects or groups. In deployments that process a large amount of traffic, to reduce the CPU impact of DPI-SSL and to prevent the appliance from reaching the maximum number of concurrent DPI-SSL inspected connections, it can be useful to exclude trusted sources.

To customize DPI-SSL server inspection:

- 1. Navigate to the **POLICY | DPI-SSL > Server SSL** page.
- 2. Scroll to the Inclusion/Exclusion section.

INCLUSION/EXCLUSION ADDRESS OBJECT/GROUP			USER OBJECT/GROUP			
Exclud	e None	•		Exclude	Q None	-
Includ	e All	-		Include	Q, All	-

- 3. From Address Object/Group Exclude, select an address object or group to exclude from DPI-SSL inspection. By default, Exclude is set to None.
- 4. From Address Object/Group Include, select an address object or group to include in DPI-SSL inspection. By default, Include is set to AII.
 - (i) TIP: Include can be used to fine tune the specified exclusion list. For example, by selecting the Remote-office-California address object from Exclude and the Remote-office-Oakland address object from Include.
- 5. From **User Object/Group Exclude**, select an address object or group to exclude from DPI-SSL inspection. By default, **Exclude** is set to **None**.
- 6. From **User Object/Group Include**, select an address object or group to include in DPI-SSL inspection. By default, **Include** is set to **AII**.
- 7. Click Accept.

Configuring Server-to-Certificate Pairings

Server DPI-SSL inspection requires that you specify which certificate is used to sign traffic for each server that has DPI-SSL inspection performed on its traffic.

To configure a server-to-certificate pairing:

- 1. Navigate to the **POLICY | DPI-SSL > Server SSL** page.
- 2. Scroll to the SSL Servers section.

SSL SERVERS			
			+ 1
# ADDRESS OBJECT	CERTIFICATE	CLEARTEXT	
No Data			
	Cancel Accept		

3. Click +Add. The Server DPI-SSL - SSL Server Setting dialog displays.

Server DPI-SSL -	SSL Server Setting				
To view and manage certificates, go to System > Certificates.					
SSL SERVER SETTING					
Server DPI-SSL allows you to config typically offload/protect an internal Server	ure pairings of an address object and certificate to from inbound WAN access.				
Address Object/Group	 i) 				
SSL Certificate	Select a certificate				
Cleartext	\bigcirc \bigcirc				
	Cancel Add				

- 4. From **Address Object/Group**, select the address object or group for the server or servers to which you want to apply DPI-SSL inspection.
- 5. From **SSL Certificate**, select the certificate to be used to sign the traffic for the server. This certificate is used to sign traffic for each server that has DPI-SSL Server inspection performed on its traffic. For more information on:
- Importing a new certificate to the appliance, see Selecting the Re-Signing Certificate Authority.
- Creating a Linux certificate.

(i) **TIP:** Clicking the (Manage Certificates) link displays the **DEVICE** | Settings > Certificates page.

- 6. Select **Cleartext** to enable SSL offloading. When adding server-to-certificate pairs, the **Cleartext** option provides a method of sending unencrypted data onto a server. This option is not selected by default.
 - (i) **IMPORTANT:** For such a configuration to work properly, a NAT policy needs to be created for this server on the **POLICY | Rules and Policies > NAT Rules** page to map traffic destined for the offload server from an SSL port to a non-SSL port. Traffic must be sent over a port other than 443. For example, for HTTPS traffic used with SSL offloading, an inbound NAT policy remapping traffic from port 443 to port 80 needs to be created for things to work properly.
- 7. Click Add.

SonicWall Support

Technical support is available to customers who have purchased SonicWall products with a valid maintenance contract.

The Support Portal provides self-help tools you can use to solve problems quickly and independently, 24 hours a day, 365 days a year. To access the Support Portal, go to https://www.sonicwall.com/support.

The Support Portal enables you to:

- View knowledge base articles and technical documentation
- View and participate in the Community forum discussions at https://community.sonicwall.com/technology-and-support.
- View video tutorials
- Access https://mysonicwall.com
- · Learn about SonicWall professional services
- Review SonicWall Support services and warranty information
- Register for training and certification
- Request technical support or customer service

To contact SonicWall Support, visit https://www.sonicwall.com/support/contact-support.

About This Document

- (i) NOTE: A NOTE icon indicates supporting information.
- () | IMPORTANT: An IMPORTANT icon indicates supporting information.
- (i) **TIP:** A TIP icon indicates helpful information.
- CAUTION: A CAUTION icon indicates potential damage to hardware or loss of data if instructions are not followed.
- WARNING: A WARNING icon indicates a potential for property damage, personal injury, or death.

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For more information, visit https://www.sonicwall.com/legal.

End User Product Agreement

To view the SonicWall End User Product Agreement, go to: https://www.sonicwall.com/legal/end-user-product-agreements/.

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