## **Global Federation of Animal Sanctuaries**



# Standards For Elephant Sanctuaries

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## **Table of Contents**

INTRODUCTION	2
GFAS PRINCIPLES	2
ANIMALS COVERED BY THESE STANDARDS	3
STANDARDS UPDATES	3
ELEPHANT STANDARDS	4
ELEPHANT HOUSING	
H-1 Types of Space and Size	4
H-2 CONTAINMENT	
H-3 Ground and Plantings	
H-4 Transfer Doors	-
H-5 Shelter	
H-6 Enclosure Furnishings	
H-7 SANITATION	
H-8 TEMPERATURE, HUMIDITY, VENTILATION, LIGHTING	.14
PHYSICAL FACILITIES AND ADMINISTRATION	.16
PF-1 Overall Safety of Facilities	
PF-2 Water Drainage and Testing	
PF-3 LIFE SUPPORT AND LIGHTING	
PF-4 HAZARDOUS MATERIALS HANDLING	
PF-5 SECURITY: ELEPHANT ENCLOSURES	
PF-6 PERIMETER BOUNDARY AND INSPECTIONS, AND MAINTENANCE	
PF-7 SECURITY: GENERAL SAFETY MONITORING	
PF-8 Insect and Rodent Control	
PF-9 RECORD KEEPING	
PF-10 Animal Transport	.21
NUTRITION REQUIREMENTS	.22
N-1. Water	.22
N-2. Diet	.23
N-3. FOOD PRESENTATION AND FEEDING TECHNIQUES	.24
N-4. FOOD STORAGE	.25
N-5. FOOD HANDLING	.25
VETERINARY CARE	.26
V-1. GENERAL MEDICAL PROGRAM AND STAFFING	.26
V-2. On-Site and Off-Site Veterinary Facilities	.27
V-3. Preventative Medicine Program	27
V-4. DIAGNOSTIC SERVICES, SURGICAL, TREATMENT AND NECROPSY FACILITIES	.28
V-5. QUARANTINE AND ISOLATION OF ELEPHANTS	.30
V-6. MEDICAL RECORDS AND CONTROLLED SUBSTANCES	.31
V-7. Breeding/Contraception	.31
V-8. ZOONOTIC DISEASE PROGRAM	.32
WELL-BEING AND HANDLING OF ELEPHANTS	.33



W-1. Physical Well-Being	33
W-2. Social Housing	35
W-3. Introduction of Unfamiliar Individuals	36
W-4. BEHAVIORAL/PSYCHOLOGICAL WELL-BEING	
W-5. Elephant-Caregiver Relationships	38
W-6. HANDLING AND RESTRAINT	38
STAFFING	
GENERAL STAFFING	
S-1. GENERAL STAFFING CONSIDERATIONS	
S-2. SECURITY AND EMERGENCY COVERAGE	
S-3. VOLUNTEER AND INTERNSHIP PROGRAMS	
S-4. MANUALS	
S-5. EMPLOYEE TRAINING AND CONTINUING EDUCATION	42
SAFETY POLICIES, PROTOCOLS AND TRAINING	42
S-6. General Staff Safety	
S-7. COMMUNICATION SYSTEM	
S-8. EMERGENCY RESPONSE PLANS AND PROTOCOLS	
S-9. ESCAPED ELEPHANT PROTOCOL	
S-10. Emergency Training	45
S-11. FIREARM POLICY	
S-12. FIREARM TRAINING	45
S-13. CHEMICAL RESTRAINT	46
S-14. First Aid and Zoonotic Disease Training, and Staff First Aid	46
GOVERNANCE AND FINANCE	47
GOVERNING AUTHORITY	47
G-1. Nonprofit/ NON-COMMERCIAL STATUS	
G-2. OWNERSHIP OF SANCTUARY PROPERTY AND CONTINGENCY PLANNING	
G-3. Succession Planning	
G-4. BOARD OF DIRECTORS/TRUSTEES	
G-5. ETHICS AND GRIEVANCE PROCEDURES	
G-6. REQUIRED LICENSES AND PERMITS	
G-7. STRATEGIC PLANNING	
FINANCIAL RECORDS AND STABILITY	
F-1 BUDGET AND FINANCIAL PLAN	
F-2 FINANCIAL REPORTS	
F-3 FINANCIAL STABILITY	
F-4 BANKING RESPONSIBILITIES AND FINANCIAL TRANSACTIONS	
F-5 Fundraising Activities and Disclosures	
F-6 INSURANCE AND WAIVERS	52
EDUCATION AND OUTREACH	
E-1. EDUCATION PROGRAMS	53
E-2. Tours	
E-3. Outreach	54
POLICIES	55



POLICIES: ACQUISITION AND DISPOSITION OF ELEPHANTS	55
P-1. ACQUISITION ETHICS AND COMMERCIAL TRADE PROHIBITION	
P-2. ACQUISITION RECORDKEEPING AND MONETARY EXCHANGE	56
P-3. DISPOSITION ETHICS AND RESPONSIBILITY	56
P-4. DISPOSITION OF LIVE ELEPHANTS	57
P-5. Euthanasia	57
POLICIES: PUBLIC CONTACT AND RESTRICTIONS ON USE AND HANDLING OF	
ELEPHANTS	
P-6. Public Contact	
P-7. REMOVAL FROM SANCTUARY OR ENCLOSURES/HABITATS FOR NON-MEDICAL REASONS	
P-8. Public Viewing of Human/Elephant Interaction	
P-9. Non-Portrayal of Elephants as Tractable	59
P-10. Non-Harmful, Non-Exploitive Fundraising	
P-11. ETHICS IN RESEARCH	60
ELEPHANTS BEING RELEASED TO THE WILD	61
R-1. General Considerations	61
R-2. RESCUE OF ELEPHANTS	62
R-3. EVALUATION OF SUITABILITY FOR RELEASE	63
R-4. QUARANTINE AND PRERELEASE HOUSING	63
R-5. DIET, NUTRITION AND FORAGING SKILLS	65
R-6. HUSBANDRY AND HEALTH	65
R-7. HEALTH AND SAFETY OF CAREGIVERS WORKING WITH RELEASABLE ELEPHANTS	66
R-8. ASSESSMENT OF HEALTH AND SKILLS	66
R-9. DETERMINING APPROPRIATE RELEASE SITES	67
R-10. THE RELEASE PROCESS AND POST RELEASE MONITORING	67
APPENDIX 1	69



## INTRODUCTION

## **GFAS PRINCIPLES**

The Global Federation of Animal Sanctuaries (GFAS) will designate an organization as "verified" or "accredited" based upon its substantial compliance with the standards listed below. GFAS recognizes that some organizations under consideration will operate valid rescue and rehabilitation programs with a goal of releasing wildlife to the wild pursuant to IUCN and/or other international or national standards. For those animals, lifetime sanctuary care may not be part of the organization's mission. While the care for these animals may be provided on an interim basis only, the organization is still expected to meet the standards below with regard to all animals in its care and for purposes of these standards it will be identified as a "sanctuary."

Consistent with GFAS' philosophy and the standards below, it is expected that a sanctuary does not adopt policy positions that are in opposition to the welfare of the species of animals in the care of the sanctuary (for example, while it is not required that a primate sanctuary affirmatively promote a policy against laboratory research using primates, it should not promote a policy in favor of such research).

Note: Several standards make reference to a sanctuary's "Director." GFAS recognizes that a sanctuary may use a different title, and the term "Director" is intended to reference the sanctuary's Sanctuary Director, who may be called an Executive Director or Chief Executive Officer, etc.

GFAS also recognizes that sanctuaries may rely on volunteers for certain functions, including some aspects of animal care (such as food preparation). Standards referencing "staff" may take into account appropriately qualified and trained volunteers as well as employees.

Appendix I of this document provides further guidance/suggestions on facility design and elephant care. These are not requirements but rather provide sanctuaries with access to knowledge gained from experience at other sanctuaries/felid care facilities.

GFAS Standards for Elephant Care are based in part on the Coalition for Captive Elephant Wellbeing's Best Practices for Captive Elephant Well-being, as found at http://www.elephantcare.org/protodoc files/new%2006/CCEWBCoreBestPractices.2.pdf.



## **ANIMALS COVERED BY THESE STANDARDS**

## Family / Genus

Family: Elephantidae

Genus	Species	Common Names
Elephas	maximus	Asian elephant
Loxodonta	africana	African elephant

## Version Updates:

New and Updated content released on February 2015

 G-1 Nonprofit/ Non-Commercial Status, P-3 Disposition Ethics and Responsibility, P-4 Disposition of Live Elephants, P-5 Euthanasia

This is a summary of the new and changed content released on January 2015.

H-1	Types of Space and Size	
	Indoor housing (I) – Access to outdoor space	5
	Dimensions (o) – Outdoor enclosures for elephants sufficient for natural travel habits	6
	Dimensions (p) – Indoor enclosures/shift yards for elephants with expanded room/sta	all
	minimums.	6
H-2	Containment	
	Electric Fences – Access to electrical installations limited	
	New Restraint chutes	,8
H-6	Enclosure Furnishings	
	General (e) - Outdoor exhibit space heterogeneous	.12



## **ELEPHANT STANDARDS**

GFAS notes that there may be other acceptable ways of meeting the intent of each standard, aside from those detailed below, and that in some instances there may be legal, cultural or other significant barriers to meeting GFAS requirements. The standards are considered mandatory, but GFAS will consider specific exceptions to some of the listed requirements (e.g., exact enclosure size, manner of record keeping, legal requirements that impact a sanctuary's acquisition policy, etc.). GFAS encourages sanctuaries to offer feedback on the standards and to explain any reasons why it believes it cannot meet a particular standard, or why the standard is not applicable and/or appropriate to its situation. Sanctuaries are also welcome to indicate a timeline for meeting a standard if the standard is not yet met at the time of application for accreditation or verification.

The exceeding of the standards is encouraged. In addition to meeting these standards, an organization is expected to comply with all applicable international, national, state/province, and local laws and regulations.

## **ELEPHANT HOUSING**

## H-1 Types of Space and Size

Unless otherwise directed by a veterinarian, elephants are provided sufficient opportunity and space to move about freely and comfortably, and to exercise choice in location so as to reduce stress and maintain good physical condition.

#### General

- a. The habitat and living conditions are species-appropriate and replicate, in as much as possible, the elephants' wild habitat with a balance between hygiene and the species' physiological and psychological needs. This includes adequate space, both vertical and horizontal, and appropriate space, in terms of diversity and complexity.
- b. The physical space provides varied opportunities for the elephants to interact with the environment and key elements are changed often, resulting in a dynamic living space.
- Facility design takes into account caregiver-elephant safety and ease of maintaining a positive relationship.
- d. Elephants are provided access to as many areas of the enclosures as possible, except during staff maintenance activities, unless security concerns dictate otherwise. All enclosures interconnect without creating 'dead ends' to allow for freedom of movement of subordinate individuals.



- e. In areas where solid barriers are not used, equipment, e.g. machinery and heaters placed outside the enclosure, is positioned far enough away from the enclosure that the elephants cannot manipulate them through the barrier.
- f. The habitat ideally provides appropriate visual, olfactory, and acoustic barriers.
- g. The habitat provides security from predators and unauthorized human access.
- h. Sanctuaries that routinely accept infant elephants have a nursery unit.
  - Nursery units include sleeping areas for caregivers and elephants in close proximity.
  - Both indoor and outdoor areas of the nursery unit are designed to allow infant great elephants to explore and play.

#### **Open Space Settings**

- i. Open space enclosures are designed to provide the maximum possible freedom and complexity for enclosure residents. The enclosures have sufficient area per animal to accommodate natural individual and group activities. While it may not be possible to monitor every animal in an open space enclosure on a daily basis, design allows for regular inspection of animals and facility maintenance as needed.
- j. Where open space settings are the primary enclosure, two other areas may also be provided:
  - Indoor enclosure or other means of providing night housing and secure shelter during inclement and extreme weather. This space also provides alternate housing for sick or injured individuals while in close proximity to the social group.
  - ☐ Shift yards for use while the primary enclosure is serviced and/or for animal management needs including introduction of new individuals to a group, or temporary separation for health or social reasons. Shift yards should include a small pen area accessible from indoor housing, and a minimum of one entrance to the primary enclosure.

## **Controlled Access Settings**

- k. Controlled access enclosures, which may be indoor or outdoor units, provide sufficient space for natural activities but are also designed to allow caregivers to monitor each individual animal on a daily basis, to easily shift individuals, pairs or small groups as needed and to isolate animals for individual care. As with open space enclosures, design also includes:
  - Shelter which can serve as night housing and/or secure space during inclement and extreme weather.
  - Space for use while the primary enclosure is serviced and/or for animal management needs including introduction of new individuals to a group, or temporary separation for health or social reasons. (Note: This space might also be night housing, lockout, shift yard, etc.)
  - Alternate housing for sick or injured individuals.

#### **Indoor Housing**

- Elephants must be allowed to stay outdoors as much as possible. Elephants should have free access to the outdoors day and night, in the absence of adverse weather, safety or health conditions [E1].
- m. Indoor housing provides year-round protection from the elements. For sanctuaries located in northern climates (where freezing temperatures occur regularly during any part



of the year), indoor space is large enough to allow for all forms of species-specific behavior.

**Note:** GFAS strongly recommends against creating elephant sanctuaries in climates where temperature extremes would require extended periods without access to outdoor enclosures.

#### **Dimensions**

- m. Many factors influence the minimum space required for a group of elephants, including, but not limited to: group size, group composition, and enclosure complexity. The following are general minimum requirements. Facilities should provide as much space as is possible and/or practical.
- n. Sanctuaries meeting only the minimum requirements for enclosure space employ additional environmental enrichment, focusing on physical and mental exercise rather than food, to compensate for reduced space and complexity.
- o. <u>Outdoor enclosures for elephants</u> Healthy elephants shall have sufficient space to travel a minimum of 10km (7 miles) on a daily basis while engaged in natural behaviors like foraging, feeding, exploring, socializing and the like. Enclosure shape may be variable to take in natural features in the landscape such as rock formations, hills and trees, and for roofed enclosures there should be a minimum vertical dimension of 20 ft. (6 m). Space includes a minimum of one (1) animal transfer door leading to the indoor enclosure, where applicable.
- p. <u>Indoor enclosures/shift yards for elephants</u> have a minimum of two 'rooms' or one indoor room and one shift yard per group of compatible elephants. Room dimension is dependent on intended purpose and/or duration of confinement. Minimum dimension of 2600 sq. ft. (240 sq. m) per 4 adult females, with an additional 860 sq. ft. (80 sq. m) per additional animal.
  - Bull stalls/rooms, where used, are a minimum of 1200 sq. ft. (110 sq. m) per animal.
  - A minimum vertical height of 24 ft. (7.3 m) is recommended for all roofed elephant spaces.
  - Rooms interconnect without creating 'dead ends' to allow for freedom of movement for subordinate individuals.
  - Rooms include a minimum of one transfer door to an outdoor enclosure.
  - Whenever possible, separated elephants have visual and tactile access to group members to facilitate reintroduction.

## H-2 Containment

## Elephants are safely contained.

#### General

Other than when being transported or for medical reasons, elephants are kept at all times in secure enclosures or other appropriate areas.

Enclosures and buildings are designed to allow for elephants' normal defense reactions and appropriate 'flight' or escape distances.



All enclosures and buildings are designed, constructed and maintained to securely contain elephants and to present no likelihood of harm or injury to them. [E2]

Distance or barriers between elephants and between enclosures and personnel is sufficient to minimize stress to the animals as well as reduce the risk of disease transmission.

 Clear markings delineating safe zones or safety wall mesh with a maximum dimension of 2 in. x 2 in. (5 x. 5 cm) are used in areas where caregivers must work in close proximity to enclosures.

Enclosures are designed to allow for proper, safe cleaning and drainage.

Materials are appropriate for their particular application and are maintained in good repair.

Elephants shall not come into close contact with toxic materials, surfaces or fumes, such as paint, preservatives or disinfectants [E3]

#### **Outdoor Enclosures**

Perimeter containment of outdoor areas is constructed so as to prevent digging under the barrier by native wildlife, domestic species and the enclosure residents.

Fences and enclosures are inspected daily for signs of digging. Where fencing meets hard surfaces such as rock or concrete, the fencing is securely anchored in place.

There should be no angles less than 90 degrees for any part of the perimeter of the main enclosure, to avoid animals getting trapped.

#### Fencing

Barbed or razor wire are not used to contain elephants.

High tensile electric fencing may be used in conjunction with standard fencing products but is discouraged for use as a primary barrier.

The supporting posts for fences are firmly fixed into the ground.

Fence material is sufficiently secured to supporting posts in such a way that the weight of the elephants could not detach it from the support nor dislodge the supporting posts.

Gates and doors are at least as strong, and as effective, in containing the elephants as the rest of the enclosure barriers. In particular, gates and doors are designed and maintained so as to prevent animals from lifting them from their hinges or unfastening the securing device.

For open enclosures, a minimum fence height of 6.6 ft. (2 m) is recommended. Where solid or slack cable horizontal railing is used, 10 ft. (3m) is recommended.

Enclosures are adequately secured to allow the animals to have 24-hour access without supervision.

Vertical post construction is recommended, as elephants are adept at climbing solid horizontal fences and solid barriers may limit air exchange.

Vertical post barriers may be constructed of steel reinforced concrete or steel pipe.

#### **Electric Fencing**

Electric fence energizers emit at least 9,000 V with a joule rating appropriate for the length and condition of the fence (25 joules is recommended).

20-gauge high-tensile wire is required. A stronger gauge (e.g., 12-gauge) may be more appropriate.

Energizers are connected to battery or generator backup for continuous power supply during outages.

In dry climates, the earth rod area is watered to ensure adequate grounding.



Safety signs on hot wire are visible to staff and bystanders.

A non-electrified barrier is used to keep bystanders and wildlife from coming in contact with the electric fence.

With the exception of the hot wire, electrical installations shall be inaccessible to the elephants [E4]

#### **Solid Barriers**

Solid barriers such as concrete block, poured concrete and artificial rock can be used as the sole method of containment or in conjunction with other types of barrier.

Walls are secured in appropriate footings to ensure wall stability.

Care is taken, especially with artificial rock, to ensure that contours in the rock do not provide escape routes from the enclosure.

Design of areas using solid walls allows for sufficient airflow throughout an enclosure.

 Gunnite and similar artificial rock, which may interfere with normal thermoregulation, are used with caution in elephant enclosures.

#### **Moats**

The use of moats, other than HaHa (half) moats is not recommended for elephant enclosures due to risk of injury or escape.

Haha moats, constructed with one steep side and one gently sloping side which is designed for easy access, may be used provided the elephants do not have access to the steep side.

#### **Indoor Enclosures and Shift Yards**

Construction materials as described for outdoor enclosures may be used for indoor enclosures and shift yards.

Design of areas using solid walls allows for sufficient airflow throughout the enclosure.

Solid concrete or concrete block walls are sealed to make them impervious to contaminants and pathogens.

#### Restraint chutes

Each elephant facility shall be equipped with an elephant restraint device subject to routine maintenance, testing and inspection. The restraint device should offer protection from extreme weather conditions. The restraint device should open widely enough to allow an elephant to lie down if necessary. The device should be accessible from multiple elephant living spaces, especially quarantine or isolation/sick enclosures.

## H-3 **Ground and Plantings**

Ground cover indoors and out is healthy for elephants. Plantings are appropriate and safe.

#### Vegetation

- a. Any vegetation capable of harming elephants is kept out of reach.
- b. All outdoor enclosures for elephants include living or fresh vegetation, which can provide visual barriers, shade and resting sites.
- c. All plant materials in an enclosure are evaluated for potential toxicity before use, including leaves, buds, seeds, fruit, bark and flowers.



## **Outdoor Enclosures**

- All outdoor enclosures have a natural substrate consistent with the needs of the elephants.
  - The substrate can be amended with organic materials, including but not limited to clean soils, sand or grasses.
  - The substrate drains well.
- e. Elephants are provided with appropriate environments to accommodate an array of locomotor and foraging behaviors, as well as appropriate sleeping and resting areas, including nesting and bedding materials.
- f. Varied topography provides visual barriers, increased enclosure complexity and varied elevations, and can be achieved using naturally occurring topography at a selected construction site or through addition of soils, culverts, rocks, logs etc.
- g. Where natural topography of an enclosure is not varied, it is created through the addition of natural and placed elements.
- h. <u>Trees</u> Key shade trees within an outdoor enclosure are identified and protected from damage.
  - Health of trees close to fence lines is checked regularly and any removed if there
    is fear of it coming down on fence line.
  - Access to very tall trees is limited by electric wires, barriers etc.

### **Indoor Enclosures**

- i. Indoor enclosures in as much as possible have a concrete floor and, provided adequate septic service is present, are sloped to a drain.
- j. Existing construction ensures that all floors are sealed. For new construction, the indoor area is designed to accommodate a deep litter substrate.
  - Deep litter enclosures are designed to allow appropriate litter depth and drainage for proper functioning.
  - Litter is properly spot-cleaned and maintained.
  - Where earthen floors are used, soiled surfaces are removed and replaced as needed to maintain sanitation.
    - Floors drain well.
  - Where deep litter substrate is not used, floor surfaces are smooth enough to prevent foot irritation but are not slippery.
    - Floors are impervious to water and quick drying.
- k. Bedding materials are provided in sufficient amount/depth to cushion resting elephants.
  - Bedding material suitable for use includes, but is not limited to, clean soils, sand or grasses.
- I. All elephants are observed regularly for signs of illness that may be related to ingestion of bedding materials that may pose a health hazard.



## **Shift Yards**

- m. All outdoor shift yards have a minimum of 50% of the surface area in natural substrate. The remaining 50% may be concrete as appropriate for drainage, sanitation and structural needs.
- n. The substrate can be amended with organic materials including, but not limited to, clean soils, sand and grasses. The substrate drains well.
- Bedding materials are provided in sufficient amount/depth to cushion falls resting elephants.

## H-4 Transfer Doors

Elephant enclosure transfer doors are appropriately designed to ensure both animal and human health and safety.

#### **General**

- a. Animal transfer doors are a key element of facility design. Doors are designed to allow transport crates to safely attach to them.
  - Transport crates should be able to be moved in and out of the enclosure through the transfer doors.
- b. Transfer doors are designed to remain functional under all circumstances and are maintained in good working order and free from any encumbrances that may prevent opening and closing.
- c. Doors are designed to allow caregiver view of enclosures while operating the doors.
- d. Doors are designed to allow for normal posture while travelling though the doorway. A minimum dimension of 8 ft. x 16 ft. (2.4 m x 4.8 m) is recommended.
- e. Doors are designed such that people are out of view when elephants are being shifted. If not, no eye contact is made with the elephants going through the doors.
- f. Doors and door hardware are properly maintained to ensure proper functioning.

#### Security

- g. Transfer doors and their frames are constructed of materials similar in strength to those used in the primary enclosure.
- h. Doors are lockable in both the open and closed positions.
- i. For pneumatic or hydraulic doors, pneumatic or hydraulic pressure is sufficient for keeping doors in the open position. A mechanical lock is, however, in place to lock the door in the closed position.
- Particular attention is given to preventing bedding/shavings from affecting door mechanisms.

#### **Animal Safety**

k. Doors operated via remote control are visible from the control area.



- Guillotine doors are not recommended due to risk of animal injury. If used, a backup system should be in place to prevent doors from free falling due to mechanical failure or operator error.
- m. Hydraulic systems use peanut or other food-grade oils to prevent risks to the elephants in the event of leakage.
- n. Hydraulic and pneumatic door systems include backup systems to allow for door usage in the event of equipment failure.

### **User Safety**

o. If door handles or locking mechanisms are in close proximity to the enclosure, a solid barrier is present to protect the user.

## H-5 Shelter

Elephants have access to man-made shelter that provides each individual with protection from extreme weather (including, but not limited to, prevailing wind, snow, sleet, rain, sun, and temperature extremes).

- a. Elephants have space to seek refuge from sun, wind, inclement weather and enclosure mates.
- b. Shelter does not create or result in 'dead ends' in which individuals can be trapped by other group members.
- Shade and shelter are provided in multiple locations within enclosures to ensure that all elephants have access to shade throughout the day.
- d. Shade and shelter can be created through natural and artificial means including shade trees, shade fabric or outbuildings.
- e. Shelter areas provide dry space during wet weather, as well as protection from wind.

## H-6 Enclosure Furnishings

Elephants are provided with an appropriately complex and rich habitat to explore, to ensure the animals' physical, nutritional and stimulation needs are met.

#### General

a. Enclosures are equipped in accordance with the needs of the elephants with appropriate substrate, vegetation, bedding and other enrichment materials designed to aid and encourage normal behavior patterns and minimize any abnormal behavior.



- b. Appropriate complexity is provided through the use of various natural and artificial materials in the enclosure, using a combination of items including, but not limited to, those listed above.
- c. The date that items are placed in an enclosure is noted, and items are removed when they become soiled, damaged or novelty has diminished.
- d. Elephant enclosures that provide sufficient space with appropriate natural features (trees, grasslands, ponds, wooded areas and varying terrain) minimize the need for artificial materials.
- e. Outdoor exhibit space must include a variety of slopes and terrain sufficient to allow and encourage significant muscular activity

## Outdoor Enclosures

- e. <u>Visual barriers</u> can be used to avoid confrontation or aggression, and include walls, shade structures, topography and large enrichment items.
  - Variations in topography, including gentle and steep slopes encourage movement, providing additional physical benefits to elephants moving about the enclosure.
- f. <u>Water features</u> such as ponds, streams/rivers, lakes or manmade pools are provided to allow for bathing and thermoregulation.
  - Water features are large enough to allow complete submersion when the elephant is standing or lying on its side.
  - Where possible, the water features are large enough to accommodate all elephants in a group simultaneously.
  - Multiple, sloped entry points are preferred for easy access and to prevent individuals being trapped by other elephants.

#### g. Other Materials

- Dry wallows for dust bathing are also provided.
- Rocks, trees, stumps or other large sturdy objects are provided for rubbing and scratching.

#### **Indoor Enclosures**

- h. To the greatest extent possible, all visual barriers and enrichment features meet outdoor enclosure criteria.
  - Where elephants are confined to indoor enclosures for extended periods (more than one month), access to water features are provided.

## **Shift yards**

i. To the greatest extent possible shift yards meet outdoor enclosure criteria for plantings, trees, topography, visual barriers and materials used.



## H-7 Sanitation

## Proper sanitation is practiced to reduce pathogen transmission.

#### General

- a. State/province and local laws regarding proper waste removal are observed.
- b. Elephants are transferred from enclosures prior to cleaning, disinfection and/or sanitizing.
- c. As fomites (shoes, clothing, etc. which carry infectious materials) may be a source of zoonotic disease, all who may come in contact with such materials are made aware of these risks and trained accordingly. (See also Standard V-8, "Zoonotic Disease Program").
- d. Uneaten perishable food is removed within a timeframe appropriate for the type of foodstuff and size of enclosure, prior to molding or contamination.

## **Removal of Animal Waste**

- e. Animal waste is removed from the habitat as often as necessary to prevent contamination
  of the elephants contained therein, to minimize disease hazards and to reduce odors.
  This also enables caregivers to collect fecal samples in a timely manner.
- f. Soiled bedding material and substrate are removed and replaced with fresh materials daily, or as needed to prevent buildup. If odorous, bedding is changed regardless of how long in place.
- g. Damaged and soiled enrichment items are removed daily, or as soon as the elephants allow access to the area.
- h. Efforts are made to prevent native wildlife getting access to waste.

#### **Tools**

- i. Each enclosure has dedicated tools to prevent cross contamination between enclosures. When resources restrict the ability to have dedicated tools, tools are disinfected between enclosures to prevent the spread of parasites and disease.
- j. Tools are labeled when use is restricted to specific areas.
- k. Tools used for Asian elephants are not used for African elephants.
- Sanitation tools or equipment, including wheelbarrows, are not used for transport or storage of foodstuffs or bedding.

#### **Cleaning and Disinfection**

- m. Feeding areas, automatic water devices, water and food containers are cleaned and disinfected daily.
- Care is taken to minimize overspray of waste, directly or via aerosolizing, into adjacent cages during cleaning.
- Animals are not present in enclosures being cleaned using power hoses. Care is taken
  to prevent accidental spraying of animals in adjacent enclosures when power hoses are
  used for cleaning.



- p. Concrete floored enclosures are dried with a squeegee, and as needed fans, to ensure floors are dry before bedding material is replaced.
- q. All hard surfaces including walls, floors, ceilings, benches, climbing structures, cage mesh and caregiver work areas are sanitized regularly to the extent possible. Note that in large outside enclosures with plenty of exposure to sunshine and rain, there may not be a need for scrubbing and cleaning but areas are monitored for potential sanitation problems.
- Cleaning and Disinfection Standard Operating Procedures are developed and followed to address:
  - safe disinfectant use to prevent hazards to the elephants, caregivers and the environment;
  - cleaning and disinfecting protocols for food preparation and veterinary care areas using more powerful disinfectants on hard surfaces;
  - daily, weekly, monthly and quarterly cleaning schedules for all hard surfaces including walls, floors, ceiling, benches, cage mesh and staff work areas designed to minimize the risk of disease transmission;
  - disinfectants and other cleaning products stored separately from foodstuffs.
- s. A Material Safety Data Sheet (MSDS) or equivalent is readily available for all cleaning products in use and all containers are properly labeled as to contents.

## H-8 Temperature, Humidity, Ventilation, Lighting

Temperature, humidity, ventilation, and lighting are appropriately addressed.

### **Temperature**

- a. The temperature is within an acceptable range for the species housed.
  - Weather is considered in addition to temperature.
  - Allowance is made to accommodate individual animals not able to tolerate temperatures above or below the usual range of comfort for the species.
- b. For outdoor enclosures and shift yards, elephants have access to heated or cooled areas when ambient temperature falls below 40°F (4.5°C), adjusted for wind chill, or rises above 74°F (23°C). Great caution is taken with elderly, infant and disabled elephants.
  - Elephants moved from warmer climates are gradually acclimated to local weather before being exposed to temperatures lower than 60°F (16°C).
  - Windbreaks are sufficient in number to accommodate all elephants simultaneously with consideration for social structure and relationships in a group.
  - Shade is available throughout the day in a number of areas, which provides an adequately sized space to accommodate all elephants simultaneously with consideration for social structure and relationships within a group.



- Care is taken to prevent direct elephant contact with heat sources. Note: Infrared bulbs or 'heat lamps' are not recommended as heat sources due to risks associated with bulb breakage and tissue damage in the elephants.
- c. For indoor enclosures, an average temperature of no less than 60°F (16°C) is maintained. At least one enclosure of the facility can be maintained at 70°F (21°C) to accommodate very young, elderly, sick or debilitated animals.
  - Heat can be provided by forced air or hydronic heating systems. Note: Infrared bulbs or heat lamps are not recommended due to risks associated with bulb breakage and tissue damage to the animals.
  - Cool air can be provided by refrigerant air conditioning, "swamp coolers", fans, or misters. As appropriate, access to water features or outdoor enclosures may provide for necessary thermoregulation, particularly in the evening when elephants normally release heat accumulated during the day.
  - Providing elephants with opportunities to choose temperature ranges within an
    enclosure is preferred. This can be achieved by access to areas near heat
    vents, skylights, or hog warmers for example.
  - Even when ambient temperatures are 'warm', bare concrete floors, especially damp floors, are too cold for many individuals and are not considered suitable substrate or housing for elephants.
    - Consideration is given to providing heated flooring in at least half of the indoor enclosure in cooler climates.
      - All animals have access to the heated portion of the floor.
      - Flooring temperature is maintained such that over-drying or burning or feet, nails and other potentially vulnerable parts of the elephants' bodies is prevented.
  - Any climate control systems include redundancy and back-up power in case of equipment or power failure.

#### **Humidity**

- d. Optimal indoor humidity is between 40% and 70%. Humidity should not be kept above 80% in controlled environments to prevent fungal and mold growth. High humidity can be mitigated through proper ventilation or dehumidifier systems.
- e. Elephants have access to water features or are bathed as needed to prevent skin becoming too dry when humidity is low.

#### Ventilation

- f. Proper ventilation of indoor enclosures is critical.
  - In these areas, Heat Recovery Ventilators and Energy Recovery Ventilators can provide fresh outdoor air with minimal heat loss.
- Indoor enclosures ideally have a negative air pressure, with regular exchange of nonre-circulated air.
  - A minimum of one complete air exchange per hour is recommended.
- h. To the extent possible, separate air handling systems are maintained between animal areas to prevent disease transmission.



 Proper window and door placement can ensure sufficient cross-ventilation in warm climates.

#### Lighting

- j. Light, natural and artificial, is appropriate for the species housed in terms of intensity, spectrum and duration.
- k. <u>Indoor enclosures</u> Natural lighting is optimal and can be obtained using skylights, windows, roll-up doors and other means. Glass bricks may be used, taking into account the fact that light intensity will be less than with clear glass.
  - Supplemental lighting is provided to ensure adequate light for caregivers to safely observe animals, clean enclosures and perform related animal care tasks.
  - Dimmer systems which allow the enclosure to be gradually darkened to a low-level, full spectrum light approximating moonlight are recommended where elephants must be confined indoors overnight.
  - When animals are confined indoors overnight, sufficient lighting is used to extend the daylight period to a day/night cycle of 12/12 hours to allow animals time to eat and select sleeping sites.
  - In northern climates, where natural light is less intense and of shorter duration during the winter months, full-spectrum bulbs are used to ensure elephant health.
- I. <u>Outdoor enclosures and shift yards</u> While not necessarily required, consideration is given to supplemental lighting or power sources for use in outdoor areas in event of an emergency. Tamper-proof lighting is used in elephant enclosures.

## PHYSICAL FACILITIES AND ADMINISTRATION

## PF-1 Overall Safety of Facilities

The premises, tools, equipment, animal care records, and hazardous materials are appropriately kept clean and safe.

- a. The sanctuary is committed to maintaining a safe and healthy environment for all employees, volunteers, visitors and elephants, and conforms to health and safety practices as outlined under applicable national and state/province laws and regulations (e.g., the Occupational Health and Safety Administration ["OSHA"] in the United States or an equivalent international/national occupational safety organization/agency).
- b. Premises (buildings and grounds) are kept clean and in good repair in order to protect employees, volunteers, visitors and elephants from injury and to facilitate appropriate elephant care.
- c. Materials and equipment are safely stored when not in use, and there is an effective system in place for regular inspection and maintenance of tools and equipment.



## PF-2 Water Drainage and Testing

Water drainage is rapid and complies with all regulations, and soil and water are tested annually.

- a. A suitable method is provided to rapidly eliminate excess water.
- b. The sanctuary's method of drainage complies with applicable national, state/province, and local laws and regulations relating to pollution control or the protection of the environment.
- Enclosures are checked annually for potential water contamination and soil contaminants.

## PF-3 Life Support and Lighting

There are adequate and reliable utilities, with back up.

- Adequate and reliable electric power, potable water, water supplies and plumbing are available on the premises.
- b. An emergency power system, such as a generator, is in place in the event of a power outage.
- c. There is adequate light for employees and volunteers to perform their duties, both day and night as needed.

## PF-4 Hazardous Materials Handling

Hazardous materials are appropriately handled according to applicable regulations and laws, protective clothing and other equipment in isolation units are not used elsewhere, and waste is taken care of appropriately.

- a. The method for disposal of sewage, toxic/hazardous materials, garbage, and elephant waste follows all guidelines for hazardous materials. All national, state/province and local legal and regulatory requirements are met.
- b. All hazardous materials are labeled with the name of the contents, appropriate hazard warnings, and the name and address of the manufacturer as provided on the Material Safety Data Sheets (MSDS Sheets) or equivalent, if used in the country in which the sanctuary is based.
- c. If applicable, Material Safety Data Sheets for each hazardous material to which employees may be exposed, are kept in the area where the materials are stored.



Employees are made aware of, have access to and understand how to interpret the MSDS Sheets.

- d. All employees, and volunteers where appropriate, utilizing hazardous materials are appropriately trained in the use of, and made aware of the potential hazards of using these materials.
- Appropriate protective equipment and clothing is utilized when working with hazardous chemicals.
- f. Accumulations of trash is placed in designated areas and cleared as necessary to protect the health of the elephants, staff, volunteers, visitors and the surrounding environment.
- g. The sanctuary considers the potential risks of releasing parasites, diseases or non-native plants through effluent water and other routes.
- h. Provision is made for the safe and legal removal and disposal of elephant and food wastes, bedding, dead animals, trash and debris.
- Disposal facilities are so provided and operated to minimize rodent and insect infestation, odors, and disease hazards while complying with applicable international, national, state/province, and local laws and regulations relating to pollution control or the protection of the environment.

## PF-5 Security: Elephant Enclosures

Proper security measures are in place to safely contain elephants at all times, and there is a 24-hour security system in place.

- a. For very large enclosures into which vehicles enter, double gates and/or doors located far enough apart to allow the vehicle to be completely enclosed into the area with both gates secured before entering the enclosure are recommended.
- b. See also Standard S-6, "General Staff Safety."
- c. The sanctuary has 24-hour systems in place to minimize the risks of theft, malicious damage or release of elephants by intruders entering the grounds.
- d. The sanctuary has a key control system designed to ensure that only qualified staff are allowed into certain areas of the sanctuary, such as elephant enclosures. Gates and doors to enclosures are securely locked so as to prevent unauthorized openings.
- e. An adequate number of clearly visible safety signs, providing warning by means of a symbol, words or a combination of symbol and words, are displayed at each enclosure as needed.



## PF-6 Perimeter Boundary and Inspections, and Maintenance

The perimeter boundary is designed to discourage unauthorized entry, with suitable exits, and any enclosures in need of repairs is immediately repaired or replaced, or elephants are relocated.

- a. The perimeter boundary, including access points, is designed, constructed, and maintained to discourage unauthorized entry and as an aid to the safe confinement of all the elephants within the sanctuary.
- b. Exits through any perimeter fence are suitably located and adequately designated and secured.
- c. Each exit from the sanctuary is kept clear and is capable of being easily opened from the inside to allow the release of staff.
- All such gates are capable of being closed and secured to prevent the escape of elephants and entry of unauthorized animals and visitors.
- e. Safety signs on any electrified section of the perimeter fence or enclosures are easily visible.
- f. A regular program of sanctuary maintenance is in place.
- g. Any enclosure in need of repair, or any defect likely to cause harm to elephants, is immediately repaired or replaced, or the elephant(s) are relocated to a secure enclosure.

## PF-7 <u>Security: General Safety Monitoring</u>

Appropriate fire extinguishers and alarms are in place and in working order, weather is monitored, and all physical features of the sanctuary are designed and maintained to ensure the safety of the elephants.

- a. Adequate fire extinguishers and alarms are installed, regularly tested, maintained in good working order and the staff is trained in their use. Fire alarms can automatically be heard from the permanent residence.
- b. The sanctuary has a system in place to provide early warning of severe temperature extremes and weather patterns. This is communicated directly to the sanctuary director in case of emergency.
- c. Steps have been taken to protect elephants as much as possible from fire, flood, and other natural hazards. This includes not storing more than the daily ration of bedding or hay in the same building in which elephants are housed.
- d. All plant and fixed equipment, including electrical and heating apparati, are installed and maintained in such a way that they do not present a hazard to elephants, and their safe operation cannot be disrupted by the elephants.
- e. Tools and other portable equipment are not left unattended in places where they could cause elephants harm or serve as projectiles.



## **PF-8 Insect and Rodent Control**

An appropriate, effective, humane and safe rodent control program is in place as needed. Insects are safely controlled as needed.

- An insect and humane rodent control program is in place, supervised by a veterinarian who determines the degree of toxicity that products in use may pose to elephants, native wildlife and staff.
- b. Insect and rodent control is implemented in all appropriate areas of the sanctuary, including storage areas for food items.
- c. Any pesticides are used in accordance with government regulations. Whenever possible, less toxic or non-toxic agents such as silica gel, diatomaceous earth, or insect growth regulator products are given preference.

## **PF-9 Record Keeping**

Records are maintained appropriately as required by local, state and national regulations and as necessary for good husbandry, management and veterinary care.

- a. Detailed individual and group records are necessary for good husbandry, management and veterinary care. All nationally required records are kept, as well as records required by GFAS to meet other standards in this document (e.g., Standard P-2, "Acquisition Recordkeeping and Monetary Exchange").
- Records that, if not required by law, are recommended by GFAS include but are not limited to:
  - Individual animal records showing origin, age, species, gender, microchip number, tattoo, photo, bio, etc.;
  - Individual veterinary record;
  - Reproductive history, if known;
  - Contraception records;
  - · Weight, current diet and record of diet changes;
  - Food consumption and preferred food items;
  - Enrichment dates, items used and elephant's response;
  - Where applicable and appropriate, any positive behavioral management records showing completed objectives and those in development;
  - Current and historic enclosure mates, social groups and partners, including response to various phases of introduction and response to other individuals;



- Acquisition documents (see Standard P-2, "Acquisition Recordkeeping and Monetary Exchange");
- Welfare assessment for the elephants as a whole including measures of: disease prevalence, morbidity and mortality rates, and activity levels;
- Inspection reports, as applicable, from international, national, state/province and local agencies, as well as accrediting organizations;
- Other animal documentation as applicable, such as complaints or police reports pertaining to specific animal and animal escape reports.

## PF-10 Animal Transport

Elephants are appropriately transported to maximize safety and minimize stress and in accordance with all local, state/province, national, international requirements and laws.

- a. Elephants are transported only when necessary, such as when being transported to the sanctuary, to a medical facility for care or to another accredited sanctuary for reasons as described in acquisition standards.
- b. Pre-transport health examinations ideally include a complete physical exam with attention to parasite checks, necessary vaccinations, and completion of any tests required by regulations of the receiving state/province or country.
- c. Health certificates and any required transport permits accompany the elephant when being transported interstate or internationally. All transport abides by local, state/province, national and international law. A veterinarian is responsible for preparing and signing the health certificate.
- d. Prior to transport, the sanctuary ensures that adequate facilities are available at the receiving end and food items that are familiar to the animal are available.
- e. Where possible and appropriate, elephants are acclimated to shipping container/crate prior to transport. Capture, restraint, and transportation methods consider the elephant's temperament and behavior in order to minimize injury, and distress.
- f. At a minimum, transport enclosures meet appropriate animal welfare standards (e.g., IATA, US Animal Welfare Act Transportation Standards or similar).
- g. Transport crates and vehicles are in good condition and meet national and/or international standards. Equipment suitable for lifting, crating and transportation of animals kept within the sanctuary is readily available.
- h. Where transport vehicles are not climate controlled, water is available to cool animals as needed and transport occurs during cooler hours in hot climates. In cooler climates, extra bedding is provided and every effort is made to reduce drafts in the transport vehicle.
- Transport containers:
  - have impervious surfaces, which are cleaned and disinfected after use.
  - are designed to permit safe transfer into a secondary enclosure.



- are designed to minimize the risk of the elephant reaching through to make contact with personnel.
- are designed to minimize loss of bedding and waste, reducing the risk of disease transmission.
- j. Elephants are provisioned with hay or browse throughout the transport, and water is provided at regular intervals during long transports.
- k. Any elephant taken outside the sanctuary, for an approved reason such as medical treatment or transfer to a more appropriate sanctuary, is in the personal possession of the sanctuary director, or of competent persons acting on his/her behalf and adequate provision is made for the safety and well-being of the animal and public safety.
- I. All elephants taken outside the sanctuary are kept securely at all times. Elephants are managed outside the sanctuary in such a way that the animal is under control and not likely to suffer distress, cause injury or transmit or contract disease.
- m. Complete medical records, diet and husbandry information, and identifying papers (e.g., describing tattoos, or other identification methods) accompany all transported elephants.

## **NUTRITION REQUIREMENTS**

## N-1. Water

## Fresh clean water is available in sufficient quantity.

#### Quantity

- a. Fresh clean water is available at all times to all individuals.
- Multiple water sources are available for group-housed elephants to ensure high-ranking individuals do not dominate water sources.

#### Quality

- c. Water quality parameters are maintained at a generally acceptable level for elephants in terms of turbidity, salts, etc.
- d. Potable water sources are tested for contaminants annually.
- e. All water sources (including water buckets) are cleaned at least daily, and more often if needed.
- f. If automatic water devices are not used in hot climates, water sources are shaded or changed multiple times to avoid overly hot water.

#### **Automatic Water Devices**

- g. Devices are tested daily to ensure water is available.
- h. Devices are easily disabled when animals must be fasted for medical purposes.
- i. When monitoring of water consumption is required, an alternative means of providing water is devised.



 In colder climates, steps are taken (such as installation of heat sources) to ensure water consumption does not decrease with lower ambient air temperatures.

## N-2. Diet

A properly balanced and healthy diet is provided appropriately based on the needs of each elephant, following veterinary instructions for special needs.

#### General

- a. A veterinarian or qualified nutritionist periodically reviews all aspects of the elephants' diet at the sanctuary.
- b. Diets of individual elephants (including vitamin supplementation) are of a quality, quantity and variety to match the physiological and psychological state of the individual as it changes over time, with consideration for the age, life stage, species, condition, and size of the individual.
- c. Food is wholesome, palatable, free from contamination and of sufficient quantity and nutritive value to maintain all elephants in good health.
- d. The sanctuary utilizes a feeding regimen that ensures each individual receives adequate nutrition regardless of status in social group.
- e. Where possible and appropriate, each elephant's daily dietary needs are documented and made available to animal care staff.
- f. In open space enclosures, routine observation of feeding activity ensures all animals are able to access sufficient food.
- g. Hay, browse, grain and produce are appropriately combined to provide a complete low protein/high fiber diet.
  - Hay or browse are available for at least 12-16 hours every day with 24 hour access to these food items recommended.
  - Year round access to high quality pasture and browse is preferred.
- h. Commercially prepared complete diets are not the sole diet for elephants, as behavioral and dietary needs are not met using these feeds alone.
  - Where commercial diets are used, they are formulated for herbivores.
  - Simple grains are preferred over compressed, dehydrated grain pellets and cubes which deliver nutrients at a higher concentration than elephants can appropriately utilize.

#### Leafy Greens, Vegetables and Fruit

- A variety of leafy greens, vegetables and fruit are offered as a component of the basic diet.
- j. Leafy greens, including fresh bamboo may aid in increasing fiber content.
- k. Rotation of seasonally available fruits and vegetables contributes to variety in the diet.



 The fresh produce portion of the diet is not heavily dependent on over ripe and/or sugary fruits.

#### **Browse**

- m. Fresh browse is offered daily to promote natural feeding behaviors.
- Materials offered may include bark that is easily stripped and consumed, leaves, seeds, flowers and shoots.
- o. All browse items are nontoxic and grown without chemical pesticides. Caregivers are trained to identify safe, non-toxic plant species appropriate for elephants.

## **Vitamins/Supplements**

o. Prior to offering supplemental vitamins, the health and condition of the individual elephant, as well as the diet, is reviewed by a nutritionist experienced in elephant care and/or the attending veterinarian.

#### **Treats/Enrichment items**

- p. Preferred food items from the basic diet can be reserved for enrichment through the use of hanging hay feeders and other food enrichment devices/techniques.
- The calories in foods used as enrichment are considered when planning the overall diet.

## N-3. Food Presentation and Feeding Techniques

Food is prepared and presented in a safe and appropriate manner to meet elephants' health and social needs.

#### General

- a. Feeding and drinking receptacles are placed in positions that minimize the risks of contamination from soiling by the elephants themselves, wild birds, rodents and other potentially invasive species.
- b. Food receptacles, where used, are appropriate for the species housed in terms of number, size and placement, and are cleaned daily.
- c. Receptacles for animal food and water are designed to minimize spillage and are not used for any other purpose.
- d. Hygiene concerns are balanced with natural grazing needs when determining food presentation for Asian elephants, which are grazing animals.
- e. Elephants are offered the grain and produce portion of their diet a minimum of twice daily, early in the morning and late in the day to accommodate natural night foraging behavior.
- f. Elephants have access to hay and/or natural plant browse a minimum of 12, and preferably 24 hours a day to accommodate natural foraging behavior.



## **Feeding Techniques**

- g. Variations in food presentation are considered part of the enrichment program for elephants. Distributing food throughout an enclosure allows natural foraging behavior.
- h. Feeding in multiple locations helps to ensure that low-ranking individuals have adequate access to food and water.

#### Diet Changes, Increases or Decreases

- Adjustments made to an already formulated and nutritionally balanced diet are made to the entire diet to ensure continued nutritional balance.
- j. Considerations for diet increase include weight and condition of all animals in the group, overall food consumption, activity level of the group, feeding competition and other medical or behavioral considerations.
- k. Diet increases or decreases are made in modest increments with animal response to the change assessed for a minimum period before additional changes are made.
- Underweight individuals experiencing health or behavioral problems may be separated for supplemental feeding as needed to avoid undesirable weight gain in conspecifics.

## N-4. Food Storage

## Food is stored appropriately.

- a. Separate and secure facilities are provided for proper and hygienic storage of food.
- b. Dry goods (*grains*) are stored in clean, dry storage areas in sealed containers or on pallets. Products are dated and rotated to use oldest stock first, and expired food as well as bags damaged by pests is discarded.
- c. Produce is stored in a clean, dry refrigerator, and is ordered at regular intervals in amounts that can be used prior to spoilage.
- d. Items frozen for use are dated and labeled, and no frozen items are thawed and refrozen. Items that are not fed frozen are thawed in a refrigerator to minimize risk of spoilage.
- e. Browse, grass hay, alfalfa and other baled products are stored in a sheltered area on pallets, and oldest stock is used first.

## N-5. Food Handling

Food is handled and prepared in an appropriate manner to retain nutritional value, freshness, and freedom from spoilage, invasive species or other forms of contamination.

a. Food is protected against dampness, deterioration, mold, and/or contamination by insects, birds, rodents or other animals.



- b. No food that is spoiled or otherwise contaminated is served.
- c. Foods not fed frozen are thawed in a refrigerator to minimize risk of spoilage. Frozen foods are not thawed and refrozen.
- d. Fruits and vegetables fed to insect colonies are changed often to prevent consumption of spoiled food items.
- e. Diets are prepared in a safe and hygienic manner to reduce the possibility of contamination or spoilage.
- f. Food preparations meet all local, state/province, and national regulations.
- g. Separate cutting boards, utensils and food preparation surfaces are used when meats, fish and produce diets are prepared in a common kitchen area.
- h. Food preparation surfaces are thoroughly cleaned after use.
- Staff and volunteers wash hands thoroughly prior to handling food, and wearing gloves during food preparation is recommended.

## **Veterinary Care**

## V-1. General Medical Program and Staffing

There is a written veterinary medical program, overseen by a veterinarian, with adequate support staff at the sanctuary, with 24/7 veterinary care available on call.

- a. The sanctuary has a written veterinary medical program, including long term preventative medical protocols and disease surveillance and containment procedures, that is developed and carried out under the supervision of a licensed veterinarian the attending veterinarian who has training or experience in providing medical care for the elephants and other species housed at the sanctuary, and who is aware of any specific issues with the health of the elephants at the sanctuary.
- b. One or more full-time veterinarians specifically concerned with the veterinary medical program is highly recommended for sanctuaries whose budget will support the salaries of such trained personnel. Sanctuaries unable to employ a full-time veterinarian have access to a part-time veterinarian, under a contractual or other similar arrangement, with training and appropriate experience with the elephants housed at the sanctuary.
- c. Veterinary care is available 7 days per week and 24 hours per day for the sanctuary on an on-call basis when a veterinarian is not physically on grounds. When the primary veterinarian is unavailable, there are other suitably experienced veterinarians on call.
- d. There are support staff to carry out the following roles: (1) Husbandry (elephant caregivers), (2) Technical (medical technologists, or individuals trained at the sanctuary), and (3) Clerical. The sanctuary has available properly trained and qualified professional and supporting personnel as necessary to implement these roles.
- e. A staff member is trained to serve as a medical program director, dealing with emergencies until a veterinarian arrives or is reached. He or she is able to direct any

26



- restraint of the elephants, perform basic first aid, be responsible for administration of post-surgical care, and be skilled in maintaining appropriate medical records.
- f. Medications are stored appropriately on site, according to label directions. Medications requiring refrigeration are stored separately from food items.

## V-2. On-Site and Off-Site Veterinary Facilities

## Veterinary facilities are appropriately located, designed and equipped.

- a. Any on-site veterinary facility at the sanctuary meets all local and state/province building regulations.
- b. Surfaces in the on-site veterinary facility with which elephants can come in contact are non-toxic and can be readily disinfected.
- c. The on-site facility is located away from areas of heavy public use to minimize noise levels for hospitalized elephants.
- d. The on-site facility has separate areas for examination and treatment for any of the following functions performed on-site: sterile surgery, necropsy, quarantine, laboratory, radiology, pharmaceuticals storage including, when necessary, a safe for narcotics that meets the standards set by applicable regulations (e.g., the Drug Enforcement Administration [DEA] in the United States), radiology equipment (if done on-site), elephant holding areas, capture and restraint equipment, non-absorbent and non-impact resistant surfaces, floors sloping toward drains, air handling systems, ceilings, doors, outside elephant enclosures as appropriate, hospitalized elephant enclosures, furniture, and storage areas.
- e. If the sanctuary does not have an on-site veterinary facility, or only a partially outfitted veterinary facility it has a contract or similar arrangement with a nearby veterinary hospital for off-site treatment as needed. The hospital should have a sterile surgical facility with anesthetic equipment to include radiology equipment, a laboratory, and pharmaceutical storage. If necropsies are performed at the hospital, there is a separate area for necropsies and a separate storage refrigerator for storage of carcasses.
- f. See also Standard V-4, "Clinical Pathology, Surgical, Treatment and Necropsy Facilities."

## V-3. Preventative Medicine Program

#### The sanctuary has a complete preventative medicine program.

- a. Appropriate preventative medicine programs are in place to manage all elephants, with special attention paid to geriatric animals.
- b. The preventative medicine program includes quarantine procedures, parasite surveillance and control, immunization, contraception, infectious disease screening,



- dental prophylaxis, and periodic reviews of diets, husbandry techniques and invasive species control.
- c. When circumstances permit, and as appropriate for the individual animal, an overall examination is performed annually, blood is collected, serum banked as a baseline control and the results are recorded. The attending veterinarian, in consultation with the sanctuary director, determines any schedule for routine physical examinations, including ocular, dental and musculoskeletal assessment, and implements any necessary treatment.
- d. A veterinarian, veterinary technician, or other trained personnel performs regular fecal examinations to look for pathogens (random enclosure sampling is adequate for grouphoused elephants). Results are recorded. Fecal examinations are repeated following treatment to evaluate efficacy.
- e. All elephants are immunized as recommended by the attending veterinarian, using currently recommended procedures and products as appropriate for the country, species and individual. Where possible, killed vaccines are utilized to minimize the potential for adverse reactions. Schedules and products are dictated by the disease status of domestic and wild animals in the area surrounding the sanctuary and relevant local and national laws.
- f. When elephants are immunized, the type, serial number, and source of product are recorded in the individual animal's medical record.

# V-4. <u>Diagnostic Services, Surgical, Treatment and Necropsy</u> Facilities

Diagnostic services, surgical facilities and services, medical treatment for sanctuary elephants and necropsy are all high quality, humane, professional, legal, and safe.

#### **Diagnostic Services**

- a. Diagnostic laboratory services are available on- or off-site to assist with the examination of elephants and the diagnosis of disease.
  - Where diagnostic services are performed on-site appropriate safety equipment and training is in place, e.g. as radiation exposure monitoring, personal protective equipment and hazardous material handling equipment; and there is a maintenance program in place for X-ray machines and other laboratory equipment.
- Diagnostic capabilities include radiology, cytology, microbiology, parasitology, complete blood count, blood chemistry, urinalysis, serology and other appropriate laboratory procedures.

#### Surgical

c. The sanctuary has access to surgical facilities (either on-site or at a nearby veterinary hospital) that are clean, free from excessive noise and unnecessary pedestrian traffic, have adequate lighting, ventilation, and temperature controls, and can be easily cleaned



- and disinfected. For off-site aseptic surgical facilities, an on-site area that can be adapted for occasional or emergency aseptic surgical use is available.
- d. Surgical facilities have access to appropriate anesthetic equipment including injectable anesthetics, reversal agents, oxygen, sterilized surgical packs, surgical preparation solutions, intravenous fluids, fluid administration equipment, pulse oximetry, heart monitoring equipment (e.g. electrocardiogram, stethoscope), and emergency drugs. Where gas anesthetic equipment including scavenger units, are used, they are cleaned and calibrated at least annually. Gas cylinders are safely stored and replaced regularly.
- e. If on-site, the sanctuary ensures that surgical equipment is maintained in good working order and is on a program of routine preventive maintenance.
- f. Only a licensed veterinarian performs surgery, using standard operating procedures. (Note: A veterinary technician appropriately trained by a veterinarian in states or provinces where such action is permitted by veterinary practice acts can perform surgical first aid.)
- g. The veterinarian uses aseptic surgical procedures whenever applicable.
- h. Veterinarians and support personnel are compassionate and knowledgeable about the humane aspects of elephant treatment, including the proper use of anesthetics, analgesics, and tranquilizers.
- i. Surgical incisions are observed daily, or as frequently as possible while minimizing stress to the elephants, for signs of dehiscence or infection. Analgesics are administered post-operatively when appropriate.

### **Treatment**

- j. Medications are maintained and used in accordance with local, state/province, and national laws and regulations and are administered in accordance with the state veterinary practice act, or equivalent outside the US.
- k. The sanctuary has a pharmacy on-site where routinely used drugs, such as emergency resuscitative medications, antibiotics, anthelmintics, fluids, anesthetics, analgesics, tranquilizers, etc. are maintained.
- All medications are purchased, prescribed and administered under the guidance of the veterinarian.
- m. When distributed to elephant caregivers, medications are properly labeled and packaged, with the contents identified and instructions for the amount, frequency and duration of administration as well as the name and identification of the elephant to receive the medication, the expiration date of the medication, prescribing doctor and number of refills if any.
- All medical treatments and drug prescriptions are documented in the elephant's medical record.
- o. Basic physical capture and restraint equipment to facilitate medical treatment is available at the sanctuary.

#### Necropsy

p. Whenever possible, there is an isolated area on the grounds for performing necropsies, or appropriate storage facilities until the deceased elephant can be transported to a facility for a postmortem examination as soon as possible, understanding that necropsies performed longer than 24 hours after death may be of limited value due to autolysis of the body. (Note: Any refrigerated area for holding dead elephants is physically separate from



- live elephant holding, treatment, and surgery areas and from food supply storage or preparation areas.)
- q. Disposition of dead elephants and their parts meet all legal restrictions.
- r. Dead specimens not used are incinerated or disposed of as deemed suitable by the veterinarian in accordance with local, state/province and national regulations.

## V-5. Quarantine and Isolation of Elephants

# Appropriate quarantine and isolation policies and accommodations are in place and utilized.

- a. Upon arrival, all elephants undergo quarantine for a minimum of 30 days, according to the protocol established by the attending veterinarian, or for a greater period if required by applicable law. The quarantine period may be longer (at least 60-90 days) for those elephants that have received minimal screening prior to arrival, such as elephants from the wild. Elephants previously housed together may be quarantined together.
- b. If the sanctuary does not have an adequate quarantine facility, steps should be taken to have elephants undergo quarantine under these guidelines prior to their arrival.
- Local, state/province, or national regulations regarding quarantine of newly arrived elephants are followed.
- d. All utensils and outer clothing used in quarantine are restricted to that area.
- e. Protective clothing, boots and footbaths are used by all staff entering the quarantine area or areas containing quarantined animals. Quarantine clothing is not removed from the quarantine area, except in a sealed container for cleaning.
- f. Caregivers wear protective gloves and masks when cleaning or handling anything with which the quarantine elephants come into contact.
- g. Where possible, staff working in quarantine areas does not work with other sanctuary animals. If this is not possible, work is done in the quarantine areas last.
- h. Quarantine staff cares for newly admitted elephants in their quarantine area before caring for sick animals, which are housed in separate isolation enclosures.
- i. The quarantine area allows for daily cleaning and sanitation, either with removable catch trays or a drainage system that allows fecal matter to flush into a septic system; waste is otherwise removed and disposed of properly.
- j. In enclosures housing animals carrying infectious or transmissible diseases, to the extent possible, all surfaces of the enclosure are properly sanitized.
- k. Quarantine areas have adequate ventilation, heat and air conditioning, which are used to ensure optimum conditions, particularly in the case of young, elderly or sick elephants who may be more sensitive to environmental changes.
- Quarantine animal waste is handled separately from all other manure or compost at the facility. Because of the risk of disease transmission, quarantine waste is not spread on pastures or composted.



## V-6. Medical Records and Controlled Substances

Complete medical records and appropriate statistics are maintained, elephants have permanent identification, and controlled substances are prescribed and stored legally.

## **Medical Records**

- a. Complete medical records are maintained on all elephants.
- b. Medical records are dated, legible and indicate examination findings, treatments (types of medication, dosage, duration), surgical procedures, anesthetic procedures (type of agent, dosage, effect), results of all laboratory tests (parasitologic, hematologic, bacteriologic, etc.) pathology reports, plus immunization records with all relevant dates, elephant identification and nutrition/diet information, and, where applicable, necropsy reports.
- Copies of medical records accompany any elephant who is transferred to another sanctuary.
- d. Medical records are maintained under the direction of the veterinarian or trained elephant caregiver. Where possible, duplicate record sets are stored at another site, or in a fire proof or theft proof safe on site or an online storage system.
- e. Statistics are tabulated regularly on the rates and nature of illness and mortality in the sanctuary.

#### **Controlled Substances**

- f. Only a licensed veterinarian prescribes controlled substances used at the sanctuary, and all such substances are secured in accordance with any applicable laws.
- g. The sanctuary maintains appropriate records and logs for all controlled drugs used. All drug logs are kept up to date and comply with any national or other legal requirements (such as the Drug Enforcement Agency in the U.S.).
- h. Expired controlled drugs are marked as such and stored separately.
- Controlled drugs are discarded in accordance with applicable national, state, and local law and regulations (such as the USDA and DEA in the United States).

## V-7. Breeding/Contraception

No intentional propagation of elephants occurs, and sound practices are in place and implemented to prevent propagation and to properly care for infants born at the sanctuary.

a. Although GFAS recognizes the importance of appropriate "conservation breeding" programs, they fall outside the mandate of GFAS Accreditation programs unless they adhere to the following guidelines:



- Animals are not brought into captivity for the purpose of breeding. Animals that are
  allowed to breed should enter a wildlife facility as a result of normal acquisition
  protocols such as surrender or government confiscation and be considered an
  endangered or threatened species with available release sites within the
  state/province, conducted with specific conservation goals, in accordance with local,
  state/province, national, and international law and regulations.
- Breeding should not be forced that is, not the result of artificial insemination or being placed in enclosures of insufficient size or otherwise not in keeping with GFAS standards.
- Breeders that is, the parent animals should be released into the wild with their young. If breeding animals are deemed unreleasable, there should be documented evidence from a qualified professional that the animals cannot be released because of a physical condition or other reason that would make them unable to survive in the wild. Offspring of unreleasable parents should not be released until an age of speciesspecific maturity for survivability.
- Unreleasable breeding animals should receive the care required of all animals under the GFAS standards and should not be maintained for the purpose of breeding if they have incurable or unmanageable pain or suffering and do not have an acceptable quality of life.
- The facility should have an identified release site for the breeding animals and offspring, with any necessary permits or memoranda of understanding in place. While GFAS may consider whether a definite plan (such as ongoing surveys of land for potential release sites and a timeline for releasing animals) is sufficient, it will not be sufficient for a facility to simply say that it hopes or plans to be able to release the animals one day. Thus, a facility may not breed any animals in captivity, even highly endangered animals in order to create a sustainable population, without a definite release plan in place.
- b. The sanctuary has species appropriate contraceptive programs in place (which may include physical separation of genders) with the method of contraception based on current best practices and attending veterinarian recommendations.
- c. If females arrive at the facility pregnant, the sanctuary provides necessary care and the female is allowed to deliver unless there are valid health reasons for terminating the pregnancy, or unless the attending veterinarian feels the pregnancy is in such an early stage that aborting the fetus is an option, if so desired by the sanctuary. After delivery, reproductive control methods are applied after allowing adequate time for weaning as appropriate for that animal, provided there is no further opportunity for breeding during this period of time.
- d. Infants born at the sanctuary remain with the mother as appropriate for natural rearing, provided there is no further opportunity for breeding during this period of time. Infants are only removed from females for hand-rearing if there is a threat to the life of the infant or the mother.

## V-8. Zoonotic Disease Program

The staff and sanctuary veterinarian are knowledgeable about zoonotic diseases that may affect elephants at the sanctuary, and implement appropriate policies and procedures as needed to mitigate risk and deal with any exposures that occur.



- a. The sanctuary's veterinarian is knowledgeable about zoonotic diseases that may affect elephants at the sanctuary. The sanctuary has emergency procedures and a defined process to avoid transmission of all potential or emerging diseases through bites, scratches, body fluids, direct contact with elephants and other means. (Note: Additional precautions may be necessary for staff classified as increased risk of disease, including those who are immune-compromised.)
- b. Staff has tuberculin tests and necessary immunizations prior to employment and annually thereafter, as appropriate for the country, elephant species and individual. All attendants, handlers, and/or trainees who have direct contact with elephants are tested regularly for tuberculosis or have a chest x-ray taken (annual testing or x-ray recommended in areas with a high incidence of tuberculosis) and are continually made aware of the potential threat.
- c. A physician with expertise in infectious diseases is consulted whenever an employee contracts an unusual illness or is exposed to an elephant diagnosed with a zoonotic disease.
- d. When a reportable disease is identified, all appropriate local, state/province, and national regulatory officials are contacted.
- e. All areas in which the staff has direct contact with elephants have hand-washing facilities available in the immediate vicinity (or an equivalent; e.g., bactericidal handwipes)
- f. Human food consumption by the staff does not occur in the immediate area of elephant contact.
- g. Testing and vaccination protocols vary by location. Federal, state or province and local rabies prevention protocols supersede recommendations made in this document.
- h. See also Standard S-14, "First Aid and Zoonotic Disease Training, and Staff First Aid."

## **Well-Being and Handling of Elephants**

## W-1. Physical Well-Being

All elephants are routinely monitored to ensure their physical well-being. All aspects of husbandry, including veterinary care, environmental enrichment and diet are designed to optimize the elephants' physical well-being.

- a. The welfare of each individual elephant is the overriding consideration in all sanctuary actions.
- b. Elephants are able to enjoy lives that are as close as possible to that of their wild counterparts as regards stimulation and interest through adopting husbandry and management procedures, including appropriate housing and enclosure design, environmental enrichment programs, positive reinforcement programs and a balanced diet to meet nutritional requirements.
- c. Elephants are provided with opportunities to explore their environment, forage for food, rest and play by providing species-appropriate places to hide and rest in comfort, and a variety of plants, trees and substrates and other enclosure enhancements where food/enrichment items can be hidden.



- d. Regular assessments are performed in an effort to quantify and measure the welfare of individual animals through monitoring of nutritional, physical and social conditions. Qualified personnel conduct daily observations of each elephant to monitor for signs of physical abnormalities. Any unusual activities are recorded in a log at each inspection. Sudden changes in food consumption and other behaviors are immediately brought to the attention of supervisory staff. Note: Where it is not possible to observe each animal on a daily basis, time is spent observing all elephants on at least a weekly basis, an accurate population count is maintained, and health issues monitored.
  - Particular attention is paid to skin care particularly where there is limited access to bathing water and dry and wet wallows.
  - Attention is also paid to foot care where there is a history of chronic problems and/or or a lack of variety of natural substrates to result in normal foot wear.
- e. Where possible and appropriate, records of individual elephants are kept to provide both behavioral and veterinary history.
- f. Where possible, each elephant is weighed annually, either during a routine physical or through the use of a built-in scale, to monitor for signs of illness and to determine dosages for chemical anesthetics. Body condition scoring provides another option for assessing elephant weight and condition.
- g. The use of positive reinforcement may be appropriate for elephants who enjoy interacting with people, to provide additional enrichment, reduce the need for chemical immobilization and reduce stress during medical intervention.



## W-2. Social Housing

Elephants are grouped appropriately with the safety of animals and staff in mind.

#### **General**

- a. Elephants housed in the same primary enclosure are compatible.
- b. Elephants are not housed near animals that interfere with their health or cause them physical or psychological discomfort.
- c. Habitats are of sufficient size to allow appropriate space between individuals within and between social groupings and to allow for temporary isolation from conspecifics.
- d. Elephants are housed so that no individual endures constant harassment or suffers physical injury, nor do social behaviors prevent any individual from maintaining proper nutrition and hydration.
- Solitary housing is generally temporary and reserved for situations including, but not limited to: quarantine; medical assessment and/or care; lack of appropriate social partners or social tension resulting in disruption to the herd or physical aggression leading to injuries.
- f. The sanctuary has the ability to separate and isolate animals to address behavioral concerns. If elephants are isolated for social reasons, all efforts are made to find a suitable social group within the facility or at another accredited institution.

#### **Social Housing**

- g. The individual developmental and social history of each elephant is taken into consideration when determining social groups.
- h. Elephants are provided with the opportunity to exercise choice and interact socially with other elephants.
- i. Asian and African elephants are not housed together, as behavioral differences between the species often lead to dominance or aggression problems and endemic disease in one species may be fatal to the other.
- j. <u>Herd dynamics</u> are taken into account in developing social groups as elephants are highly social and develop complex relationships among themselves. Sanctuaries have the ability to manage introductions, separations and social compatibility concerns within each herd.
  - Staff is aware of each elephant's social compatibility and of the dominance hierarchies within the herd.
- k. Where possible, sanctuaries hold no less than three female elephants, recognizing that some captive adult females exhibit aberrant behavior which may require their being housed singly if the anti-social behavior does not improve within a new social group.
- I. In as much as possible, female offspring are not separated from their mothers.
  - An exception may be made in cases of maternal rejection or where a female infant cannot be re-established into her original social group.



- m. Juvenile males may remain with their natal herd until they are rejected by the herd or begin to show signs of sexual maturity. Age of maturity is highly variable thus young males must be closely monitored.
- n. Adolescent males may be housed as a group until adulthood at which point they may be integrated into a bachelor herd. Males are housed at facilities with the capability of dealing the aggressive behaviors exhibited during musth.

## W-3. Introduction of Unfamiliar Individuals

Introduction of any new elephant to a social group is done according to techniques appropriate for each species, with staff safety ensured.

- Introduction of unfamiliar elephants is carefully considered. Professionals with experience in social introductions, if not on staff, are consulted whenever possible during these considerations.
- b. As needed and possible, information listed below is gathered for the introduction planning process:
  - A list of individual animals to be introduced, including all that the sanctuary ultimately hopes to integrate into a group.
  - Background of each individual, including but not limited to: age and gender; social
    experience with other elephants; rearing history (hand-reared, parent reared, time
    spent with mother and siblings); dominance rank in previous groups and rank relative
    to other elephants who are also being integrated into the new group; affiliations with
    other individuals who are also being integrated into the new group; considerations for
    species-specific behavior and biology.
- c. As appropriate or needed, benchmarks or desired outcomes are identified for each step in the process. Examples include:
  - physical location of animals during visual contact period;
  - behavioral goals of visual contact period;
  - physical location of animals during tactile contact period;
  - behavioral goals of tactile contact period;
  - benchmarks for proceeding to physical introduction;
  - space and enclosures to be used for physical introduction;
  - reasons location selected: neutral space, ample run around, visual barriers, doors that can be closed to protect animals in trouble etc.;
  - enclosure set-up for physical introduction, enrichment etc.;
  - emergency equipment that might be needed;
  - time frame necessary to acclimate animals to presence of equipment;
  - criteria for separating animals if physical introduction does not proceed safely;
  - post introduction management and husbandry protocols.



- d. The plan is developed with involvement of all staff involved with care of the species and details a series of steps that will be taken to integrate the individual animals involved. Necessary modifications to enclosures are identified and completed prior to beginning the process.
- e. The plan establishes behavioral goals for introductions and is not driven by schedules, and is open to modification as introduction/integration develops and evolves.
- f. Only normally scheduled caregivers and animal managers are present to directly observe. Individuals who are not routinely present in the animal area, including veterinary and management staff, observe via remote video or receive reports from staff.
- g. All caregivers have a clear understanding of the plan including contingencies for problems that might occur, and are empowered to take appropriate action in the event of perceived emergency.

## W-4. Behavioral/Psychological Well-Being

The behavioral/psychological well-being of each elephant is evaluated and addressed, appropriate enrichment is provided, and where appropriate a welfare plan and report is part of each elephant's file.

- a. There is a formal, written enrichment program that promotes species-appropriate behavioral opportunities and ensures the captive elephants' psychological well-being. A complete environmental enrichment program includes the following:
  - <u>Structural enrichment</u> Enclosure design and furniture that add complexity to the environment and promote species-specific behavior.
  - <u>Object enrichment</u> Objects that encourage inspection and manipulation and promote species-specific behavior.
  - <u>Food enrichment</u> Varying food choices and food presentation, including the use of hanging feeders, that increase food procurement time.
  - <u>Social enrichment</u> Affiliative interactions between caregivers and elephants may be appropriate in some instances. The decision to include social enrichment with caregivers should be made on an individual basis, considering only the social needs of the animal, such as dependent young; elephants in small enclosures; solitary animals, particularly those hand reared by humans with no conspecific contact; neonatal and juvenile animals in situations where appropriate.
- b. All elephant care staff are trained to recognize abnormal behavior and clinical signs of illness. Measures of well-being that are assessed include:
  - species appropriate behavior and interaction with other animals;
  - the animal's ability to respond appropriately to variable environmental conditions, physiological states, developmental stages, and social situations as well as adverse stimuli.
- c. Stereotypic behavior, self-injurious behavior, and inappropriate responses to various stimuli not previously documented or witnessed may be evidence of compromised well-being and are investigated. A plan to address the concerns is developed.

37



d. Where possible and appropriate, a behavioral/psychological profile is maintained for each individual elephant and updated annually. A copy of the welfare report is kept in the elephant's permanent file.

## W-5. Elephant-Caregiver Relationships

Positive relationships between elephants and caregivers are maintained. Elephants are not fearful or aggressive in response to human presence or routine care procedures.

- a. Elephants arrive at sanctuaries with a variety of previous experience with caregivers, which caregivers take into account in their interactions with these species.
- b. A protocol for introducing elephants to new caregiver staff has been developed. Where possible, new caregivers accompany a trusted caregiver until the elephants become comfortable with the new individual.
- c. A positive relationship between the elephants and regular caregivers, animal managers and veterinary staff is one in which the elephants are given the freedom to integrate with their conspecific social group with minimal human interference or to interact regularly with caregivers if they choose.
- d. Where possible and appropriate, animals become familiar with the veterinary staff, allowing close observation. Individual elephant preference for interaction with caregivers, animal managers and veterinary staff is taken into account.
- e. The animals do not become fearful or overly aggressive in response to human presence or routine care procedures.
- f. Interactions with elephants do not cause overheating, excessive cooling, physical harm, or unnecessary discomfort, and minimize physical and psychological stress or trauma as much as possible.
- g. Negative interactions are avoided. However, when they occur, efforts are made to recover trust and a positive relationship if the elephant enjoys regular interaction with people.
- h. Physical abuse, deprivation of food or water, and other forms of negative reinforcement or punishment-based training are never used to train, shift or otherwise handle elephants.

## W-6. Handling and Restraint

Any necessary handling and restraint is done safely and appropriately, with minimal distress to elephants, and staff are trained in elephant-specific safe handling techniques/practices.

a. In general, humans do not enter enclosures with elephants. Direct physical interaction is, with few exceptions, limited to protected forms of contact, by experienced personnel, to minimize the risk of injury.



- Protected contact is defined as handling of an elephant where the caregiver and elephant are separated by a barrier or an established safety space.
  - Typically in this system, the elephant is not spatially confined and is free to leave the work area at will. The caregiver has contact through a protective barrier.
  - Protected contact also includes situations where the elephant is handled through a protective barrier but is also spatially confined by an Elephant Restraint Device (ERD).
- Free contact may be appropriate for elephants being hand-reared for release to the wild, provided personnel involved in caring for the calves are appropriately trained in positive reinforcement techniques and safety procedures.
- b. A management plan is developed for each elephant that includes caregiver safety, elephant behaviors volunteered upon request, emergency protocols, and staff training.
- c. Where possible and appropriate, Positive Reinforcement Training (PRT) is used to minimize the need for chemical immobilization and to reduce stress during procedures. With appropriate training, many procedures can be performed cooperatively, without anesthesia, such as examination of body parts and treatment of superficial injury.
- d. Some elephants may be conditioned to enter an elephant restraint device (ERD) using PRT.
- e. In general, the following items are not used when working with elephants:
  - Chains In circumstances where the use of chains may significantly improve elephant safety, such as during transport, their use is limited to the shortest time possible. Elephants are never chained simply for convenience.
  - Sticks, bats or any other devices used to poke at or strike the elephant; electrical devices
    designed for use on livestock, such as commercially manufactured electric prods; and
    shocking collars/belts.
  - Any physical abuse, deprivation of food or water and other forms of negative reinforcement or punishment-based training.
- f. Handling for veterinary care is done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, physical harm, or unnecessary discomfort, and minimizes physical and psychological stress as much as possible.
  - f. Manual restraint is not recommended for elephants, and is not attempted when multiple animals are present in an enclosure.
  - g. Chemical immobilization is performed only by a licensed veterinarian or by trained staff under the guidance of a licensed veterinarian, or other qualified individuals authorized by the sanctuary director or veterinarian, following the laws and regulations of country where the animals are housed. Specific anesthetic protocols, including record-keeping, are followed.
  - h. Chemical restraint is not used when multiple animals are in an enclosure except in an emergency situation. In such cases, all possible precautions are taken to prevent threats to the handlers and the animal being sedated.
  - i. Multiple staff members are trained to use a dart gun and other restraint equipment, and to employ safe capture techniques. The staff, and volunteers where appropriate, are aware of who is trained and authorized to use restraint equipment.



## **STAFFING**

#### **GENERAL STAFFING**

## S-1. General Staffing Considerations

The sanctuary has a sufficient number of staff and volunteers, adequately supervised, to provide humane care, with clear job duties and equitable compensation.

- a. The sanctuary employs or enlists a sufficient number of qualified employees or volunteers to provide the appropriate level of care for the elephants and to ensure adequate supervision of all employees and volunteers. (Note: Staff-to-animal ratio will vary greatly given the nature of the facility and the type of elephant and other animals at the sanctuary.)
- b. As described in Standard G-3, "Succession Planning," there is a written job description for the sanctuary director and other senior management positions at the sanctuary, providing a clear description of their duties and responsibilities.
- c. A list is maintained of all staff/volunteers authorized to work with the elephants, indicating lines of responsibility. Staff receives fair compensation commensurate with their skills. At a minimum, each salary complies with generally accepted standards of compensation for employees of the sanctuary.
- d. There is a clear management structure within the sanctuary, which is communicated to all employees, and to volunteers as appropriate.

## S-2. Security and Emergency Coverage

#### Staff is available at all times to respond to emergencies.

- a. A qualified senior staff member or the sanctuary director should live on the sanctuary grounds. If no one lives on sanctuary grounds, then at least one trained and qualified staff member or trained volunteer is on the sanctuary grounds at all times, and a staff member is immediately reachable via telephone, radio or pager, 24 hours a day, 7 days a week.
- b. The director is generally available to the sanctuary on a full-time basis (40 hours per week); when the director is not available due to vacation or another reason, there is a designated back-up from among the senior staff. Staff has various means to contact the director at all times in case of emergency.
- c. A qualified veterinarian trained in the care of the elephants housed is available in person or via phone at all times in case of emergency.



## S-3. Volunteer and Internship Programs

Volunteers and Interns are appropriately supervised, and those playing an integral role in the sanctuary receive the manuals, training and safety protocols.

- a. Any volunteers/interns and community workers have a specific employee/staff member assigned with directing their recruitment, training and supervision.
- b. Any volunteers/interns and community service workers allowed to work with or around elephants do so only under the appropriate level of supervision of a fully trained elephant caregiver.
- c. Volunteers/interns who play an integral role in the sanctuary are treated as an employee would be treated, regarding the provision of manuals, training, and safety.

## S-4. Manuals

The sanctuary has a current employee manual, standard operating procedure manual, and, if applicable, manuals for volunteer and internship programs. Manuals are reviewed and updated regularly.

- a. The sanctuary has a written employee manual that includes information pertaining to topics including: personnel practices, employee benefits, leave of absence, sick leave, personal appearance and conduct, environmental concerns, filing complaints, and performance evaluation. The employee manual is given to all new employees.
- b. A standard operating procedure (SOP) manual is available on the premises and in a location accessible to the staff at all times. The manual contains a detailed outline of all daily procedures, as well as emergency protocols and other policies relating to the care and safety of the elephants.
- c. Care procedures for elephants at the sanctuary, as well as other animals at the sanctuary, are written down (either in the SOP manual or elsewhere) and include detailed information specific to that species or individual.
- d. If the sanctuary has a volunteer and/or intern program, it has prepared manuals outlining volunteer and/or intern responsibilities. Copies of the manuals are given to all new volunteers and/or interns.
- e. All manuals are reviewed at least annually and updated as necessary, and employees, volunteers and interns are advised of any changes.



## S-5. Employee Training and Continuing Education

Training and supervision are carried out in a manner to ensure the highest and safest level of care for the elephants, including during unforeseen changes in personnel.

- a. New employees participate in a probationary training period suitable to the species in question and under the strict supervision of a fully trained senior staff member before working directly with elephants at the sanctuary.
- b. At least one staff member and backup are trained in all aspects of elephant care for all species housed at the sanctuary to ensure that an experienced caregiver is always available to care for all elephants in case of personnel changes; and that staff member and backup are noted in writing.
- c. The sanctuary director ensures that plans for continuing education to improve elephant care and management techniques are in place.
- d. Continuous in-house staff training and development (including availability of relevant literature) is offered to employees, and volunteers as appropriate, including such topics as: elephant husbandry, elephant welfare, health and safety, first aid, action in emergencies or escapes or illness, safety procedures, emergency euthanasia, basic sampling for health monitoring and diagnosis, food hygiene, disease prevention.

## SAFETY POLICIES, PROTOCOLS AND TRAINING

## S-6. General Staff Safety

Elephant caregivers have a thorough understanding of the potential risks of working with elephants and are appropriately trained in safety procedures.

- a. All sanctuaries housing elephants have a thorough understanding of the potential risks of working with these animals.
- b. Protocols involving potential risk (*e.g.*, unlocking enclosures, shifting elephants to previously unlocked areas) include redundancies to reduce the risk of equipment failure and human error.
- c. Personnel are ALWAYS accompanied by at least one other trained individual when working with or near elephants. Caregivers hand-rearing elephant calves

All staff working with or near the elephants maintain verbal contact. Cell phones or radios may be used, where appropriate, for maintaining contact.



- d. All slides, doors and gates in elephant areas are kept closed and securely fastened at all times unless needed for elephant access.
  - Ideally a double-gated system is in place with an escape route for staff in the event of an elephant escape into human areas.
- e. Designated senior members of staff are responsible for holding keys to elephant areas and supervising staff in those areas.
- f. Locks and the security of slides, gates and doors are double-checked after each use and inspected regularly to ensure proper functioning.
- g. Any areas where staff and elephants are in close proximity have clear safe zones such as clearly delineated lines over which staff does not cross, or a protective barrier, such as strong mesh fencing.
- h. All personnel working with great elephants are trained to recognize and respond appropriately to threat displays and other behaviors that could signal an impending attack.
- Caregivers have established a predictable protocol for servicing enclosures to minimize stress for the enclosure occupants. In as much as possible the enclosures are serviced from outside or appropriate space barriers are employed.
  - In general, personnel do not enter any enclosure occupied by an elephant except in emergencies AND when other individuals have been shifted to a safe area. Additional exceptions may be made for caregivers working with elephant calves being hand-reared for release back to the wild.

## S-7. Communication System

#### The sanctuary has a reliable communication system in place.

d. A reliable communication system with back ups, which may utilize pagers, 2-way radios, cell phones, intercoms, or other electronic devices, is in place.

## S-8. Emergency Response Plans and Protocols

The sanctuary has appropriate written disaster preparedness plans in place, needed information is posted, and appropriate coordination takes place with community emergency services.

- a. The sanctuary has a written disaster preparedness plan in place to cover emergency procedures in the event of a natural disaster, fire, injury, etc. The plan has taken into account all necessary elephant handling under situations of extreme stress.
- b. The written plan is provided to staff and, where appropriate, volunteers.
- Emergency information is posted throughout the sanctuary indicating emergency contacts and phone numbers including the local police department, fire department,



- attending veterinarian, sanctuary director, supervising staff members, location of nearest hospital and other important information.
- d. A detailed outline of communication lines, procedures and locations of all exits and entrances to the sanctuary are clearly defined and known by the entire staff. This information is reviewed for needed updates periodically. Maps are posted throughout the sanctuary indicating the best evacuation route.
- e. All emergency plans are coordinated with local community emergency services as appropriate including fire, police, hospitals, and ambulance services.
   Appropriate community personnel and agencies are aware that elephants are housed at the sanctuary.
- f. The location of the sanctuary does not pose any undue hazards and minimizes risk from natural disasters (*e.g.* flood zone, riverbed). If such risks are present, the sanctuary has addressed this in the written disaster plan.
- g. The sanctuary is located in an area that is removed from heavily developed areas to the extent possible. If the sanctuary is near heavily developed areas, it has taken steps to address problems this may cause for the surrounding community or the elephants.
- A secure location is identified where elephant records (i.e., acquisition, transport, medical, welfare assessment reports) are protected from fire, flood, and other hazards. (Note: Backed up offsite storage and web-based storage of electronic records is one method.) Governance documents, financial records, and permits and licenses are also stored securely.
- Provisions are made for long-term archiving in a secure format. A regularly backedup copy should be stored in a separate location or online.

## S-9. Escaped Elephant Protocol

A detailed and appropriate written escaped elephant protocol is in place and understood by staff and local emergency services; and any escapes are detailed in reports.

- a. A detailed written escaped elephant protocol is in place addressing situations in which elephants escape from their enclosures, regardless of whether the animals have escaped sanctuary property, and is reviewed and understood by all staff, and volunteers as appropriate.
- b. The protocol is shared with local emergency services such as the fire and police departments.
- c. The protocol includes the following:
  - A clearly defined chain of command in an emergency situation;
  - A notification hierarchy, indicating who to contact first, second, third and so on in case of an escape;
  - Possible elephant escapes occurring during off-hours, when staff may not be immediately available;



- A communication system allowing for clear communication with sanctuary staff of all pertinent ape information including the type of elephant escaped by species, age, sex and location.
- d. Clear plans and routes for personnel safety are plotted and displayed throughout the sanctuary.
- e. All escapes are recorded and detailed reports made.

## S-10. <u>Emergency Training</u>

Staff participates in ongoing training for emergency response, and drills are conducted regularly.

- All staff, and volunteers where appropriate, participates in ongoing training on all emergency, escape, and disaster preparedness procedures consistent with the sanctuary's written protocols, with drills held at a minimum of every 6 months.
- b. Records of training are maintained, including a list of those staff and volunteers who participated in training. Drills are evaluated to ensure that procedures are being followed, that the sanctuary's communication system is effective, that staff training is effective, and that improvements to protocols are made where appropriate.

## S-11. Firearm Policy

(Note: Not applicable for sanctuaries that do not need or use firearms)

The sanctuary has a written firearm policy, including identified personnel, and covering proper care and storage of firearms.

- a. A written firearm policy exists in compliance with all applicable laws; and personnel qualified to use firearms are identified and made known to sanctuary staff.
- b. Firearms, ammunition, where provided, are available for immediate use, used by licensed and trained operators only, cleaned and maintained and tested as recommended by the manufacturer, and kept securely under lock and key when not in use or under maintenance.

## S-12. Firearm Training

(Note: This standard may be waived when firearms are not needed or used at the sanctuary.)

If the sanctuary has firearms, appropriate staff are identified for weapons training, and receive documented and up-to-date training.



 All staff qualified and licensed to use firearms undergo training and periodic refresher training and practice, including a review of current sanctuary protocols and policies. Such training is recorded.

## S-13. Chemical Restraint

The sanctuary has a written chemical restraint policy, which covers appropriate use, maintenance and storage of chemical restraint equipment and attendant drugs.

- a. A written policy for the humane chemical restraint and safe capture of elephants housed at the sanctuary is in place and in compliance with the Drug Enforcement Agency (or comparable agency outside the United States), to include:
  - Training and certification in the equipment, humane chemical restraint, immobilization process, and the use of drugs for veterinarian purposes or emergencies;
  - Procedures listing at a minimum those persons authorized to administer animal drugs, situations in which they are to be utilized, location of animal drugs in a safe and secure place, and those persons with access to them, and an emergency procedure in the event of accidental human exposure.
- The sanctuary's policy provides for qualified personnel to partake in appropriate training programs on the safe and humane use of chemical restraint and immobilization equipment.
- All chemical restraint equipment is cleaned after each use, maintained in good working order and tested on a regular basis.

# S-14. <u>First Aid and Zoonotic Disease Training, and Staff First Aid</u>

An appropriate written first-aid plan is in place, staff (and volunteers where appropriate) is informed when a zoonotic disease occurs at the sanctuary, and training is provided to staff and, as appropriate, volunteers.

- a. The sanctuary has a written first-aid plan that is accessible to all staff on the premises, and to volunteers as appropriate.
- b. Staff, and volunteers as appropriate, are trained in basic first aid.
- c. Written instructions are provided for staff (and volunteers as appropriate) on the provision of emergency health care and the procedures to be followed in the event of an incident involving any elephant and a visitor, volunteer or staff member, including (when appropriate) handouts with any special information that any attendant health care professional, on site or off, should know to help the victim and/or keep health care



- attendants safe from potential zoonotic diseases. First-aid stations that are readily and easily accessible and are located throughout the sanctuary.
- d. Employees, and volunteers where appropriate, have adequate training to understand the potential risk of disease transmission, including potential sources of disease, modes of disease transmission, and clinical signs associated with disease. Each signs a form that clearly states that he/she has been fully trained in these procedures. Training and attendance are logged.
- e. All staff and active volunteers are informed when a zoonotic disease occurs at the sanctuary.
- f. See also Standard V-8, "Zoonotic Disease Program."

#### **GOVERNANCE AND FINANCE**

#### **GOVERNING AUTHORITY**

Note: The term "Board of Directors" is used in this section to reference the governing authority for the sanctuary. In some instances, another term may be used (e.g., "Trustees").

## G-1. Nonprofit/Non-Commercial Status

The sanctuary and/or its governing organization has a national legal nonprofit status, or is operated as a non-commercial entity by a national or local government.

- a. A facility and/or its governing body (if the facility is a program of one or more organizations) satisfies one of the following:
  - A sanctuary, or its governing organization has obtained national nonprofit status in the country of governance or operation. For example, sanctuaries located in or operated by organizations in the United States or its territories should have 501(c) 3 status, and sanctuaries located in or operated by organizations in the United Kingdom should have registered charity status.
  - A facility (such as a wildlife rescue center) is operated as a non-commercial entity by a national or local government.
- b. An exception to this standard will be made if non-profit registration is not available in the country of governance or operation.

# G-2. Ownership of Sanctuary Property and Contingency Planning

Sustainability of the sanctuary is promoted by ownership of the sanctuary property or a proper written lease agreement.

 All property on which the sanctuary sits is held in the name of the sanctuary (or its governing organization) as either owner or lessee.



- b. The sanctuary's governing body has confirmed that the sanctuary is located on property for which it is allowable (by law or regulation, such as zoning laws) to operate the facility and the activities conducted by the organization.
- c. If the sanctuary is on another person's property [e.g., housed in someone's home or on their land, including government land], there needs to be a written lease agreement between the property owner and the sanctuary (or its governing organization).
- d. If property is leased, a long-term (ten years or longer) contractual lease is in place, with a termination clause requiring sufficient notice (a minimum of a year) to allow the sanctuary to relocate or transfer its animals to another appropriate facility that has committed to providing their lifetime care.
- e. If property is leased, the sanctuary should have a written contingency plan describing the steps to take to relocate or transfer its animals to another appropriate facility at the end of the lease, or upon an unexpected termination of the lease.

## G-3. Succession Planning

The sanctuary has a written succession plan for its continuance should the director or other key management be unable to continue in their positions.

- a. The sanctuary has a written plan outlining succession scenarios for key positions within the sanctuary, covering at a minimum the sanctuary director. Depending on the structure of the sanctuary management, this may also cover the assistant director, director of operations, director of finance, etc.
- b. For the director position as well as other key management, written job descriptions should exist outlining the primary functions and responsibilities of each position.
- c. The succession plan should include an emergency plan outlining who will carry out the key responsibilities in the event of a sudden and unexpected absence by the director or other key management in both short- and long-term scenarios.
- d. A succession plan should also define the role of the Board of Directors/Trustees in overseeing transition in the event of a planned departure of the sanctuary's director, including functions such as hiring and oversight of an interim director, determining salary ranges, re-assignment of responsibilities, and the appointment of a transition committee.

## G-4. Board of Directors/Trustees

The Board of Directors/Trustees organizes itself and carries out its duties in an appropriate, legal and responsible manner, and has appropriate relationships with staff and volunteers.

a. A Board of Directors/Trustees is in place with a minimum of three (3) members, or a greater number if required by law, where at least one board member is not a family member.



- b. The Board of Directors/Trustees has organized itself in a manner that allows its duties to be carried out in a timely and responsible manner and in accordance with all relevant non-profit regulations.
- c. Bylaws, in accordance with applicable law, have been developed and adopted as the general policies and rules that govern the sanctuary and define the Board's composition and structure.
- d. The Board of Directors/Trustees has regularly scheduled meetings, and minutes are kept. The Board has a written position description describing the responsibilities of its members, and members are knowledgeable of their legal obligations and accept responsibility for self-regulation, accountability, ethical practice of the sanctuary, and sound financial management and oversight.
- e. The Board is supportive of the sanctuary abiding by GFAS standards.

## G-5. Ethics and Grievance Procedures

The sanctuary's policies and actions of the Board and staff reflect adherence to a high code of professionalism and ethics.

- a. Business and related activities, including outreach and interactions with other sanctuaries, are conducted in a professional manner, with honesty, integrity, compassion and commitment, realizing that an individual's behavior reflects on the sanctuary and greater humane communities as a whole. A code of ethics/conduct for the sanctuary has been adopted by the Board of Directors/Trustees.
  - The code of ethics/conduct addresses the core values of: integrity, openness, accountability, service and charity, and reinforces standards of professional behavior. (Note: In recognition that some animals are used for food, and sanctuaries are in the business of protecting animals, all sanctuaries should ensure that their sanctuary events are conducted in a manner that is consistent with their mission.)
  - All personnel associated with the sanctuary, including volunteers, have been provided with access to the code of ethics/conduct and have agreed to adhere to it.
- b. The sanctuary has a written Conflict of Interest policy prohibiting any Board member, director, or key employee from approving or voting on a transaction in which he or she has a monetary or other interest. Members of the Board of Directors and the director, as well as key employees as appropriate, are asked to sign written acknowledgements of receipt of the policy and have disclosed potential conflicts of interest.
- c. The sanctuary has a written anti-discrimination policy, specifically referring to any protected class under law.
- d. There is a written grievance process that is clearly communicated to the staff and volunteers to communicate the procedure for reporting a concern regarding workplacerelated issues, including ethics complaints; includes an alternate pathway if the normal person to whom one should take concerns is non-responsive or the focus of the concern; and allows for fair, prompt and meaningful resolution.



## G-6. Required Licenses and Permits

The Sanctuary has all legally required licenses and permits (or other necessary government approval) to operate as a sanctuary and to house each animal.

a. The sanctuary obtains and maintains all permits and licenses required under city, county, state/province, country and international laws and statutes for each animal housed at the sanctuary.

## G-7. Strategic Planning

The sanctuary has at least a three-year strategic plan in writing, to provide a structure upon which to base the fundamental actions that guide and shape operations.

a. The sanctuary has a written strategic plan in place, developed by the Board of Directors and director, with input from other sanctuary management and staff where appropriate, that provides a structure within which fundamental actions of the sanctuary are based to shape and guide sanctuary operation. The strategic plan addresses at a minimum three years.

## FINANCIAL RECORDS AND STABILITY

## F-1 Budget and Financial Plan

The sanctuary maintains an annual operating budget and a long-term financial plan.

- a. An annual operating budget exists and reflects estimated future expenditures. The budget includes expenses related to staffing salaries and benefits, overhead expenses, supplies, capital improvements, ongoing maintenance, etc. The budget is approved by the Board of Directors/Trustees.
- Periodically during the year, the estimated budget is compared to the actual expenses of the sanctuary and where necessary, appropriate adjustments are reflected in future estimated expenditures.
- c. The sanctuary has a long-term (minimum of three years) financial plan that projects future revenue and expenses, consistent with priorities set out in the strategic plan. The plan builds in protection for the care of the animals (*such as* creating a "bare bones" budget; seeking endowments for lifetime care of animals; building up increased operating reserves; entering into written agreements with other facilities to take animals in the event



of closure of the sanctuary; or other such "safety nets") in the event that significant decreases in operating income occur.

## F-2 Financial Reports

#### The sanctuary keeps accurate and complete financial records.

- a. Detailed, accurate periodic financial reports are kept on file. The sanctuary produces on a regular basis (at least annually) the following financial statements:
  - A Statement of Financial Position (also known as the Balance Sheet);
  - A Statement of Activities (also known as the Statement of Revenues and Expenses, or Operating Statement, or Income Statement, or Profit and Loss Statement); and
  - A Statement of Cash Flows.
- b. Other pertinent information, such as loan amortization schedules and lease commitments, are also maintained and updated at least annually.
- c. Copies of IRS Forms 990 (or comparable documents required to be filed to maintain non-profit status outside of the United States) and other tax documents, such as exempt status determination letters, are kept on file with other sanctuary documents and are available for public review, as required by law.

## F-3 Financial Stability

The sanctuary has a strategy in place for securing and maintaining at least minimal financial reserves.

- a. The sanctuary has a strategy in place, as reflected in strategic and financial plans, to maintain reserves equal to at least three months (or one month to achieve GFAS verification) of those operating costs essential to the proper care and welfare of the sanctuary animals.
- b. Consideration may also be given to cash equivalents as well as advance purchases of food, supplies, etc.
- c. See also Standard F-1(c), "Budget and Financial Plan."

## F-4 Banking Responsibilities and Financial Transactions

The sanctuary maintains a bank account, keeps personal and sanctuary business separate, and properly records all contributions, petty cash transactions, and loans to the sanctuary.

a. There is a checking account registered in the sanctuary's name that is used only for sanctuary financial transactions.



- b. Personal business is kept completely separate from the sanctuary's business (*e.g.*, staff and Board members cannot use sanctuary funds to pay for personal expenses or take loans from sanctuary funds).
- c. If the sanctuary is being funded through personal loans, loan documents are signed and maintained in the accounting record. Repayment schedules are developed and followed.
- d. All contributions from donors are properly documented and promptly deposited. Donors are provided with receipts as required in accordance with applicable laws or regulations.
- e. If petty cash is kept on hand, transactions are documented and receipts are kept on file substantiating the related expenditures.

## F-5 Fundraising Activities and Disclosures

#### Fundraising is conducted in a legal, ethical and transparent manner.

- a. Fundraising techniques conform to applicable tax regulations for maintaining non-profit status (e.g., sec. 501(c)(3) status in the United States) and conform to the spirit as well as the letter of all applicable laws and regulations.
- b. Fundraising activities are conducted with honesty and integrity, and put the charitable mission of the sanctuary above personal gain.
- c. All fundraising and soliciting materials are accurate, do not exaggerate financial needs or incorrectly claim sole credit for joint efforts, correctly reflect the sanctuary's mission and use of solicited funds, and do not threaten to betray the mission by making misleading and unprofessional statements (e.g., claiming animals will have to be euthanized if donations are not received immediately).
- d. The sanctuary ensures proper stewardship of charitable contributions, including timely reports (e.g., tax filings, annual reports, reports required by funders) on the use and management of funds. Restricted funds are expended in accordance with donor's intentions. Explicit consent by the donor is obtained before altering restrictions or conditions of a gift.
- e. Fundraising expenses are reasonable, and total fundraising expense is disclosed on financial reports and any required tax filings.
- f. Fundraisers for the sanctuary ensure that all information provided to donors is accurate and complete. Any statements about the taxable nature of donations indicate that all or part of the donation may be tax deductible as a charitable contribution under applicable law.

## F-6 Insurance and Waivers

The sanctuary has adequate insurance coverage and secures signed waivers from visitors and volunteers who enter the sanctuary property.

a. Insurance policies, where available, are in place that protect the financial resources of the sanctuary and staff, as well as protect the community from harm that the sanctuary might cause. The amount of coverage is commensurate with the size of the sanctuary and the



implied risk associated with the animals housed at the sanctuary. Where available, this includes General Liability insurance and a management liability policy (often called Directors & Officers or "D & O").

b. Visitors and volunteers sign waivers that acknowledge the potential risks of being on sanctuary property.

## **EDUCATION AND OUTREACH**

## E-1. Education Programs

(Note: Not applicable for sanctuaries that do not have an education program)

Education programs are thoughtfully designed and overseen to promote a humane ethic, with careful respect and protection of all aspects of the individual welfare of the elephants involved, and ensuring public safety.

- a. Any education program is designed to promote awareness, empathy, and respect for all life through education and advocacy insofar as resources permit, and portray the issues surrounding why individual elephants reside at the sanctuary, the elephants' natural history and conservation status, and how the highest welfare of each individual elephant is ensured.
- b. An education program is conducted in accordance with a written Education and Outreach Policy that articulates and evaluates program benefits, under the direction of qualified staff and/or volunteers.
- c. The education program is evaluated by the director periodically for effectiveness and content, ideally on an annual basis.
- d. Elephants are not taken out of enclosures/habitats or off the grounds of the sanctuary for incorporation into the education program. Elephants may be incorporated into education programs utilizing non-invasive educational methods/tools, such as audio-visual presentations, webcasts, or other forms of multi-media. In such cases, they are treated in a respectful, safe manner that does not misrepresent or degrade them, does not cause them distress, and does not put elephants or humans at risk.
- e. See also Standards P-8, "Removal from Sanctuary or Enclosures/Habitats for Non-Medical Reasons," and P-9, "Public Viewing of Human/Elephant Interaction."



## E-2. Tours

Any tours are monitored and conducted in a careful manner that minimizes the impact on the elephants and their environment, does not cause them stress, and gives them the ability to seek undisturbed privacy and quiet.

- a. Non-guided tours are prohibited, and tour groups are of a size that allows for close monitoring and vary based on the size and staff of the sanctuary.
- b. Tours, if allowed, are for an educational purpose consistent with the sanctuary's education policy and not used for entertainment (see Standard E-1, "Education Programs").
- c. All tours are conducted to minimize the impact on the elephants and their environment.
- d. Elephants are confined within a secure environment and provided the opportunity to escape from public view. Elephants are not in enclosures or habitats specifically designed to minimize their privacy and all wild elephants have the ability to seek undisturbed privacy and quiet.
- e. Elephants that are easily stressed are excluded from tours.
- f. All tours prohibit the public from any physical contact with the elephants residing at the sanctuary.
- g. Members of the public cannot feed sanctuary elephants during tours.

## E-3. Outreach

Sanctuary staff are appropriate advocates for elephant protection and welfare, and work cooperatively with other sanctuaries and the community.

- a. The sanctuary works cooperatively with other sanctuaries as applicable, keeping the elephants' welfare as the first priority. (For instance, best practices are shared, sanctuaries collaborate to arrange best placements for elephants, etc.).
- b. Any community outreach is conducted in an ethical and professional manner.
- c. The sanctuary does not adopt policies in opposition to the welfare of elephants (e.g., endorsing the use of elephants for entertainment).



## **POLICIES**

# POLICIES: ACQUISITION AND DISPOSITION OF ELEPHANTS

## P-1. Acquisition Ethics and Commercial Trade Prohibition

#### Acquisition of elephants by the sanctuary is legal and ethical.

- a. The sanctuary has relevant legal documentation (including any required permits and licenses) for, and is in legal possession of, all animals in its care.
- b. The sanctuary has a written policy governing its acquisition of elephants, including the following provisions:
  - Elephants are only accepted if the sanctuary has the financial resources to provide appropriate professional care.
  - Elephants are only accepted if they will not jeopardize the health, quality of care or maintenance of elephants currently housed at the sanctuary.
  - All acquisitions of animals by the sanctuary are consistent with its mission and in the
    best interest of the individual animals (for example, it may be in the best interest of a
    rescued infant elephant to be placed at another accredited sanctuary if no
    appropriate surrogates are available).
  - Acquisition of elephants occurs through donation or rescue. No commercial trade in sanctuary animals occurs (included, but not limited to, the sale of animals, animal parts, by-products, or offspring), and the sanctuary does not knowingly engage a third party to purchase an elephant on its behalf. (Note: if animals have been purchased, or if the sanctuary has a policy in place that allows purchase under certain circumstances, the sanctuary must provide GFAS with this information, indicating why such purchases are consistent with the sanctuary's mission and why they do not sustain or promote the commercial exploitation of the species.)
  - No acquisition results from the intentional breeding of animals for or at the sanctuary. An
    exception may be made for rehabilitation and release centers engaged in a bona fide
    breeding-for-release-program of endangered species with available release sites within
    the state/province, conducted with specific conservation goals, in accordance with local,
    state/province, national, and international law and regulations.
- c. Safe and humane transport is used for all acquisitions.



## P-2. Acquisition Recordkeeping and Monetary Exchange

## Acquisition contracts are clear, with ultimate responsibility for acquisitions clearly defined.

- a. An acquisition contract is in place that clearly identifies the sanctuary as the "responsible party" for the elephants and when such responsibility takes effect; whenever possible, the contract includes information on the "surrendering party" as well as any intermediary parties (rescue groups, zoos, etc.). This written contract is kept as part of the permanent record for each elephant entering and housed at the sanctuary.
- b. Other acquisition records to be kept as part of the permanent record for each elephant may include:
  - Permits as required to satisfy local, state, federal and international law.
  - Importation papers or other declaration forms where applicable.
  - Titles and other appropriate documents establishing a paper trail of legal acquisition are maintained whenever possible. When such information does not exist (the sanctuary maintains confiscated wildlife), an explanation is provided regarding such animals.
  - Health certificates as required by the appropriate national and local government agencies (such as the USDA Interstate and International Certificate of Health Examination in the United States).
- c. Financial expenses associated with acquisition of an elephant may be received in order to enable the sanctuary to be able to responsibly take in the elephant, and may include medical testing, behavioral assessment, crate construction costs, quarantine costs, shipping and transport costs. Lifetime care costs may be factored in as appropriate.

## P-3. <u>Disposition Ethics and Responsibility</u>

The sanctuary assumes responsibility for the elephants it acquires, with some note exceptions, with ultimate responsibility for dispositions clearly defined.

- a. The sanctuary assumes lifelong responsibility for the elephants acquired and only in very rare circumstances does an elephant permanently or semi-permanently leave the sanctuary, with the exception of releasable wildlife reintroduction.
- A rehabilitation center assumes responsibility (in accordance with national and local law) for the appropriate disposition of animals it acquires, with the goal or reintroducing native wildlife, where possible, to its natural habitat.
- b. Acceptable reasons for disposition, when movement of elephants to another sanctuary or other appropriate facility does not compromise the welfare of that individual or the other elephant(s) with which s/he will be housed, include:
  - health concerns that cannot be adequately addressed by the sanctuary, where another accredited sanctuary or comparable facility is better equipped to provide care for the elephant.



- another accredited sanctuary or comparable sanctuary can provide a better long-term environment (such as creating a suitable social group of conspecifics).
- c. Other reasons for disposition include financial insolvency, closure of the sanctuary, return of confiscated wildlife to its country of origin, or death of the elephant.
- Detailed records of elephant disposition are logged and maintained, including the details of all body parts.

## P-4. Disposition of Live Elephants

Responsible steps are taken to ensure that any disposition of a live elephant is in the life-long best interests of that elephant.

- The sanctuary has a written disposition policy that adopts substantially the language of this standard.
- b. Elephants are not transferred to individuals, nor are they transferred to sanctuaries or other facilities that lack the appropriate expertise and/or resources and/or facilities to care for them appropriately. Before transfers, the sanctuary is convinced that the recipient has the expertise, records management capabilities, financial stability and facilities required to properly care for the elephants. Elephants are not "loaned" to other facilities.
- c. Elephants are not disposed of at auctions or to breeders, dealers, brokers, "kill buyers", slaughterhouses or private pet owners.
- d. For sanctuaries engaged in rescue, rehabilitation and release of elephants, subject to all pertinent regulations and laws, elephants are released within native ranges, in accordance with local, state, national and international regulations.
- e. If an elephant, especially one housed individually (to be avoided whenever possible), shows signs of self-mutilation and/or apathy, is uncontrollable, has a highly aggressive disposition, and/or is suffering physically or psychologically, and if the sanctuary cannot remedy the situation, then, if possible, the elephant is transferred to another accredited sanctuary or other appropriate facility, if it appears that environment will better suit the animal.
- f. See also Standard P-5, "Euthanasia."

## P-5. Euthanasia

Euthanasia is governed by an ethical humane euthanasia policy, and deceased elephants are handled appropriately.

a. The sanctuary has and maintains a written humane euthanasia policy (as part of the disposition policy) for elephants and other animals at the sanctuary, and in compliance with any national or local law, administered under the strict supervision of a licensed veterinarian.

57



- b. Euthanasia is only be used as a final option. Euthanasia is not used as management tool (such as a means to create space for more animals).
- c. Examples of cases where euthanasia may be accepted are:
  - Incurable disease/injury that is likely to cause unmanageable pain or suffering;
  - Disease/injury where treatment is likely to cause unreasonable pain or suffering;
  - Disease/injury where treatment will not be effective in restoring the elephant to an acceptable quality of life;
  - Disease/injury where treatment is beyond the normal community standards of monetary expenditure and would cause an excessive burden on the sanctuary resources, and no other sanctuary can step in, after reasonable efforts to locate such a sanctuary;
  - The process of aging has resulted in an unacceptable quality of life;
  - In the event of presenting an infectious disease risk to some or all of the residents.
- For facilities engaged in the rehabilitation and reintroduction of wildlife, it is determined in accordance with an appropriate protocol or other "decision tree" analysis that an animal cannot be reintroduced to its natural habitat and there is no appropriate (consistent with these standards) long-term care option.
- d. A licensed veterinarian, his/her authorized representative, or a trained staff member who is knowledgeable and skilled in performing euthanasia in a compassionate and professional manner and ideally with an established relationship with the sanctuary and the elephant, recommends and performs humane euthanasia. However, in extreme circumstances of elephant suffering when a veterinarian is unable to reach the sanctuary in a timely manner, a method such as the use of a firearm to euthanize an elephant may be required and is performed by a trained and qualified staff member when no other humane option is available.
- e. Euthanasia is performed so that it avoids distress to the elephant, and unless impossible, is performed out of view of other elephants.
- f. With regard to deceased elephants:
  - Personnel conduct themselves in such a manner that is respectful during disposition activities;
  - Body parts are never to be sold, traded or donated (see exception at Standard P-11, "Ethics in Research");
  - Disposition of deceased elephants meets the requirements of all acceptable practices along with applicable local, state, national, and international regulations and laws.
- g. The species and ecosystems are carefully considered during disposition activities.

# POLICIES: PUBLIC CONTACT AND RESTRICTIONS ON USE AND HANDLING OF ELEPHANTS

## P-6. Public Contact

Contact between elephants and the public is not allowed or is restricted appropriately.

a. No unescorted public visitation occurs. This is not to exclude discrete, nonintrusive observation by a carefully evaluated person, such as a wildlife student, as allowed by the appropriate decision-making body of the sanctuary.



- b. No direct contact between the public and elephants occurs. In certain rehabilitation/ reintroduction programs, with young orphaned elephants, volunteers who are suitably trained and part of the sanctuary's structured volunteer program may assist staff in carefully structured programs that ensure the safety and well-being of both the elephants and the volunteers.
- c. See also Standard E-3, "Tours."

## P-7. Removal from Sanctuary or Enclosures/Habitats for Non-Medical Reasons

Elephants at the sanctuary are not removed from the sanctuary or enclosures/habitats for non-medical reasons.

a. Elephants are not taken from the sanctuary or enclosures/habitats for exhibition, education, or research purposes.

## P-8. Public Viewing of Human/Elephant Interaction

The sanctuary does not allow unprotected human/elephant contact to occur within public view.

a. Any unprotected contact with elephants (*e.g.*, for purposes of providing medical care) is performed out of public view, except in cases of emergency.

## P-9. Non-Portrayal of Elephants as Tractable

With few exceptions, the sanctuary rarely portrays elephants as tractable in text, photos, video, or other media.

a. The sanctuary rarely publishes material that portrays elephants as tractable. This includes but is not limited to: photos in which staff or others are shown petting elephants; and elephants dressed in human clothing. In situations where text, photos, video or other media are published portraying the above, steps should be taken to add text to the publication (website, brochure, etc.) that explains the reason for the contact and discouraging the idea that the animals would make suitable pets.



## P-10. Non-Harmful, Non-Exploitive Fundraising

Fundraising activities are not distressing or negatively disruptive to elephants, nor do the activities involve improper use of elephants.

- a. Fundraising activities approved by an appropriate decision-making body of the sanctuary are allowed provided the following:
  - The activities do not violate any of the other GFAS Standards, including those regarding contact with the public, handling of elephants, and removal from the sanctuary or enclosures/habitats;
  - The activities are deemed to not be distressing or in any way negatively disruptive to the elephants and their normal routine, nor are normal routines designed specifically for fundraising needs;
  - Elephants are not in enclosures or habitats specifically designed to minimize their privacy, and all elephants have the ability to seek undisturbed privacy and quiet;
  - Elephants are not being used as entertainment, which includes the performance of "tricks" for public display;
  - Elephants are not raffled or sold.

## P-11. Ethics in Research

Any research conducted is devoted to benefiting the health and welfare of the individual elephant involved, and does not cause pain or distress.

- a. No resident elephants are made available for participation in research studies unless the studies are strictly observational and do not interfere with the normal daily activities of individual elephants. Interventions that cause pain or distress are not acceptable.
- b. An exception may be made, with approval of an appropriate decision-making body of the sanctuary, if:
  - It is determined that the health and welfare interests of the individual elephant are best served by participating in a new treatment study;
  - There is reason to believe that outcome of the study will be a tangible benefit for the individual elephant involved;
  - The study does not prevent normal activities of daily living.
- c. An exception may also be made for research involving biological sampling if it will have a demonstrable health, conservation, or genetic benefit to captive elephant management and/or wild elephant population conservation. In such cases, samples are only to be taken during routine examinations of the elephant (which are otherwise needed for the welfare of the individual elephant) or routine cleanings of enclosures, or during a necropsy that does not violate any other GFAS standards. Sanctuaries should ensure that any biological samples are used ethically by the receiving institution or laboratory, and that any applicable CITES regulations are followed.



#### ELEPHANTS BEING RELEASED TO THE WILD

GFAS strongly supports the efforts of wildlife rehabilitators and sanctuary managers to return wildlife to its natural environment, provided appropriate steps are taken to ensure that the animals released are likely to survive in the wild.

Facilities releasing elephants to the wild must also make every effort to reduce the risk of their having a damaging impact on ecological resources, including other animal species, found naturally in the release area. Examples of risk factors include but are not limited to:

- Displacement of indigenous animals;
- · Transmission of novel pathogens;
- Disruption of local human communities, including crop raiding, damage to dwellings and injury or death of local inhabitants;
- Alterations to the environment that disrupt the ecological niche of other species.

For a more detailed discussion of the potential risks, as well as time and financial commitment involved in creating a quality re-introduction project, see the International Union for the Conservation of Nature Species Survival Commission (IUCN/SSC) Reintroduction Specialist Group's "Guidelines for Re-Introductions".

#### R-1. General Considerations

The sanctuary has policies, agreements and plans in place to optimize the chances for successful re-introduction of elephants into the natural environment.

- a. The facility has a written policy regarding the handling of any potential problems involving released animals. The policy should include but is not limited to:
  - a plan to minimize the risk to human life and property in the area of release;
  - a plan for compensation for or mitigation of damages or injury incurred by the released animals;
  - a deterrent plan to discourage inappropriate activities, *i.e.*, spending time around human habitation or crop raiding.
  - a plan for management or removal of animals who fail to integrate appropriately or who become habitual 'problem animals.'
- b. In as much as possible, using the latest available information on potential health concerns regarding other species found in the area of release, animals are tested and treated for pathogens that might pose a threat to other wildlife.
- c. The facility has agreements in place with any and all appropriate authorities to allow the release process to proceed as smoothly as possible.
- d. Ideally, permissions, any necessary documentation, site determination, etc. begin as soon as it is determined that there are animals in care that are likely to be suitable for release.



- In particular, facilities obtain any permits or other forms of authorization needed to proceed with the release.
- Potential release sites are identified and evaluated as early in this process as possible.
- e. Cooperative agreements are in place prior to animals being released which may include, but are not limited to:
  - veterinary and scientific involvement in post-release monitoring;
  - community acceptance of the project and involvement in habitat protection and awareness raising;
  - landowner agreements enabling release, including the addressing of specific permissions and permits;
  - involvement of NGOs with similar or conflicting interests that may impact (positively or negatively) the project.

## R-2. Rescue Of Elephants

The sanctuary has developed guidelines for rescue work, taking into account staff and animal safety, contingencies for caring for the animal once rescued, and any local, state or national regulations or agency cooperation required.

- a. Facilities accepting elephants from the illegal trade have policies and procedures (ideally in writing) in place with the appropriate authorities that allow for rapid transfer of the animals to the sanctuary or rescue center. These policies and procedures are designed to reduce the risk of:
  - disease transmission;
  - habituation;
  - Inappropriate or inhumane treatment, due to lack of knowledge, by personnel involved in seizure of wildlife from the illegal trade.
- b. In as much as possible, while respecting local or national cultural/religious tenets, a euthanasia policy is in place to address situations where the animal's prognosis for survival is too low to warrant attempting treatment.
  - In situations where field euthanasia is being considered, where possible and appropriate (e.g., the animal is reasonably safe from further human interference and the stress of capture would outweigh the benefit of humane euthanasia), the option of leaving the animal *in situ* may be considered.
  - See also Standard V-5, "Euthanasia."



## R-3. Evaluation Of Suitability For Release

## Elephants admitted into sanctuary are evaluated for their potential suitability for release.

- a. The sanctuary has a protocol in place (ideally in writing) to evaluate potential release candidates and to determine which elephants are given priority for potential release.
  - Animals who have spent little time in captivity and/or who have had little human contact are given priority for potential release.
  - Animals found to be free of diseases and/or parasites of potential concern to the health of the population, particularly in the intended release area, are given priority for potential release.
- b. All juvenile elephants are treated as potential release candidates, particularly those who have not been kept long term as pets. If elephants admitted into sanctuary are determined to be potential release candidates, every effort is made to protect them from exposure to human disease and to keep them as wild as possible.

## R-4. Quarantine And Prerelease Housing

(See also Standards H-1 to H-9, "Elephant Housing," and V-5, "Quarantine and Isolation of Elephants")

The sanctuary has appropriate quarantine facilities and prerelease housing for elephants, with consideration given to sick and injured elephants.

#### General

- a. Non-quarantine housing for elephants being considered for release provides as close to natural a setting as possible. The space allows for foraging, bathing and other actions naturally performed in the wild.
- b. Quarantine facilities and prerelease housing for elephants intended for release are preferably situated a minimum of 66 ft. (20m), giving consideration to factors such as wind direction, from resident elephant populations to protect them from exposure to pathogens present in the sanctuary population that could compromise their return to the wild. A wall surrounding the quarantine area reduces pathogen transfer risk and aids in restricting access to authorized personnel.
  - Where this is not possible, sanctuary residents are screened for potential pathogens
    of concern, and pathogen-free animals are housed closest to the animals intended
    for release to the wild.
- c. Where possible and appropriate, sanctuaries follow International Wildlife Rehabilitation Council guidelines (http://www.nwrawildlife.org/content/minimum-standards) in dividing housing into three types:



- <u>Restricted activity/mobility</u> for the initial stages of rehabilitation where the illness or
  injury requires the animal be treated and/or prevented from activities that would slow
  the rehabilitation process. At a minimum, the animal is able to maintain normal
  upright/alert posture and to stretch the body.
- <u>Limited activity/mobility</u> for the recovery stage of rehabilitation where the animal is regaining mobility and building strength, and staff does not need access to the animal on a daily basis. The animal is able to move short distances and perform some climbing and perching activities.
- <u>Unlimited/Prerelease</u> the final stages of rehabilitation where the main concern is
  ensuring that the animal is fit for release. In general, this phase involves walking
  juvenile elephants in a natural environment, where caregivers monitor their skills
  and safety.

#### **Quarantine Housing**

- d. Sick or injured wildlife is quarantined in such a way that the rehabilitation process is begun during the quarantine phase.
- e. Quarantine facilities have appropriate housing for the treatment of injured or ill elephants.
- f. Quarantine facilities are designed to allow for monitoring and, as needed, modification of behavior of elephants intended for release.
- g. Healthy elephants admitted to quarantine have as large an enclosure as possible to help maintain natural locomotion and foraging behaviors.
- h. Upon arrival, elephants are quarantined for an adequate number of days, ideally for a minimum of 30 days, in accordance with IUCN guidelines. In some situations a longer quarantine may be advisable.
- i. The attending veterinarian works closely with regional, national and international experts and authorities to determine appropriate quarantine timing based on health risks to which the newly admitted elephants may have been exposed.
- j. Orphaned elephants, particularly those who have been potentially exposed to human pathogens, are isolated until any potential health risks are evaluated.

#### Initial Housing for Orphaned, III or Injured Elephants

- k. Animals admitted requiring treatment for illness or injury are housed in enclosures that allow for ease of care. These initial care enclosures can be smaller than that which is acceptable for long-term care.
  - Dependent on illness or injury, either Restricted or Limited activity/mobility housing may be utilized.
- Enclosures provide visual and acoustic barriers to minimize stress.
- m. Orphaned elephants are housed in nursery units, preferably with conspecifics, with human caregivers acting as surrogates to provide necessary nurturing.

#### **Intermediate Housing for Orphaned Elephants**

n. As the orphaned elephants gain strength caregivers walk them in the wild during the day, allowing them to explore their natural habitat, returning to night enclosures, which now may be outdoor units.



#### Intermediate and Prerelease Housing for Sick or Injured Subadult Elephants

**Note:** Independent subadult animals, dependent on their admitting condition, may not require intermediate housing.

o. Animals suffering from injuries that may affect their suitability for release are moved to intermediate housing while regaining strength. Animals are regularly evaluated to determine whether they are likely to be releasable. Where possible they are integrated into social groups with other juveniles being reared for release.

## R-5. Diet, Nutrition And Foraging Skills

Elephants are fed an appropriate diet that approximates that which will be found in the habitat to which they are released, and foraging behavior is encouraged.

- a. As early in the rehabilitation process as possible, elephants are taken out into the wild on a daily basis and assessed for their ability to find appropriate foods and avoid inedible or poisonous foods. Supplemental feeding continues as needed.
- b. Release candidates are fed in such a way as to encourage natural foraging behaviors.

## R-6. Husbandry And Health

All aspects of care, including caregiver-elephant relationships, introduction to social groups and overall health evaluation, are focused on preparing elephants for return to the wild.

- Once an elephant has been evaluated as a potential release candidate, all aspects of care are focused on preparing the animal for the wild.
  - Animals are integrated into an appropriate social group, ideally comprised of other conspecifics intended for release, as quickly as possible.
- c. Opportunities to explore and learn skills in the natural environment are provided.
- d. Caregiver-elephant relationships for animals intended for release to the wild, while ensuring the animals' psychological well-being is met, focus on:
  - avoiding any types of interaction that may compromise the elephants' chances for release;
  - encouraging the elephants to develop appropriate relationships with conspecifics for their social needs.
- e. Veterinary staff evaluate overall health including:
  - recovery from the initial cause for admission to the facility;



- pathogen surveillance to ensure the animal does not present a risk to the wild population as a result of exposure during the rehabilitation process.
  - In as much as possible, using the latest available information from the OIE-World Organization for Animal Health (( <u>www.oie.int</u>) and the IUCN's Conservation Breeding Specialist Group (http://www.cbsg.org), animals are monitored for human pathogens not found in the wild population.

## R-7. <u>Health And Safety Of Caregivers Working With Releasable</u> Elephants

(See also Standard V-8, "Zoonotic Disease Program")

No caregiver begins work with releasable elephants until routine testing has indicated he or she poses no risk to the elephants' release to the wild.

- Caregivers working with elephants intended for release to the wild are routinely monitored for potential anthroponoses (diseases that have potential to be transmitted to the animals).
- b. TB testing, vaccinations and fecal cultures for pathogens may be utilized, as appropriate for the region, to ensure the health of both the elephants and their caregivers. New caregivers should not have contact with the elephants for the first two weeks of employment.
- Provision of adequate nutrition for staff is considered as a possible contribution to the continued well-being of both staff and elephants.

## R-8. Assessment of Health and Skills

## Elephants are fully assessed for health and appropriate skills prior to release.

- a. Elephants who have completed the rehabilitation process and have been successfully integrated into a social group, are further evaluated for release, with attention to health and the skills attained.
- Each animal's skills (e.g. foraging, appropriate interaction or avoidance behaviors in the presence of conspectics, avoidance of dangers including poisonous foods or predators) are evaluated.
- c. A complete health assessment is performed including:
  - Overall fitness as relates to being able to survive in the wild, keep up with a conspecific group, avoid predators, etc.



- Injuries and limitations that originally caused the animal to be brought into care are resolved, either completely, or to the extent that the elephant has a reasonable chance for long-term survival.
- c. Elephants have been tested, and found free of pathogens that have potential to harm the wild population in the planned release area, based on the latest current knowledge.
- d. Genetic assessment has been done to ensure that the elephants being released are of an appropriate subspecies/population/subpopulation for the release site, if their site of origin is unknown.
- e. Elephants are exposed to post-release monitoring equipment prior to release to allow them to acclimate to its presence.

## R-9. Determining Appropriate Release Sites

## Release sites are evaluated for health and other threats and for appropriateness for the species.

- The potential release site is evaluated for the presence of appropriate and adequate food sources.
- b. The area is evaluated for potential health concerns.
- c. The area is evaluated to establish carrying capacity of elephants. Animals are released habitat where carrying capacity for the species has not been reached.
- d. The area is evaluated for instances of potential human-wildlife conflict.
- e. IUCN guidelines are, in as much as possible, followed when determining release sites for rehabilitated elephants.
- f. Animals are, in general, released away from areas where there is potential for or has been a history of human-animal conflict.

## R-10. The Release Process And Post Release Monitoring

Elephants are supported as needed to adapt in their new environment and are monitored post release.

- Once it is determined that the elephants have the basic skills for foraging in their new environment, supplemental care is discontinued.
- b. A post-release monitoring program is in place to ensure the rehabilitation program is providing the animals with the skills necessary to survive, that the habitat is adequate and that the elephants have integrated into the wild.
- c. Ideally, elephants are returned to the wild using a soft release process wherein they spend time with caregivers in the release area where supplemental food may be provided as needed and observation of their acclimatization may be observed.



- d. Post release monitoring, in conjunction with outside veterinary and scientific personnel, continues for a minimum of one year.
  - Level of monitoring may decrease over time as elephants are determined to be acclimating to the environment.
  - Longer term monitoring of the animals and their impact on the habitat is preferred.
- e. Practices used and results obtained, both positive and negative, are shared both within the facility and with others involved in elephant reintroduction to aid in the continued improvement of the process.



## **Appendix 1**

#### General

Intelligent and long-lived, elephants in the wild are highly migratory animals who live within a complex social structure, making it very difficult to provide an appropriate captive environment. The extreme size of elephants (often weighing in excess of 12,000 lb. (5440 Kg.) and standing over 15 ft. tall (3.1 m.) at the shoulder) also contributes to the substantial human, financial, and ethical commitments needed to appropriately maintain these potentially dangerous species.

#### Diet

Elephants naturally spend 20 hours per day selecting, collecting and eating various foods. Decreases in stereotypy have been noted in elephants confined indoors at night when they have constant access to browse or hay.

A full-grown African elephant requires 75-100 lbs. (34-45.5 kg) of fresh browse daily for optimum health.

Rotation of food items, including seasonally available fruits and vegetables, provides variety and enrichment.

At present (2013), Vitamin E and mineral deficiencies in captive elephants, particularly in non-range states, is being examined as a contributing factor in some health problems.