

**SUPPLEMENTAL DOCUMENT SD-6
FOR PART IVC – Quality Assurance/Uncertainty
Measurement Uncertainty for Extrapolations of Net Weight and Unit Count**

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Introduction

The following examples demonstrate various approaches for deriving estimates of uncertainty associated with weight and count extrapolations:

- A Example 1: Extrapolation of net weight
- B Example 2: Extrapolation of net weight in conjunction with a hypergeometric sampling plan
- C Example 3: Extrapolation of unit count

These examples are meant to be illustrative, not exclusive. Laboratories should develop defensible procedures that fit their operational environment and jurisdictional requirements. Notes and calculations are provided to clarify these applications. Calculations are based upon assumptions that populations are normally distributed¹. Various terms used in this document are defined in the SWGDRUG Recommendations Annex A. The following examples should not be directly applied to methodology used without first considering the specific purpose of the method and its relevant operational environment.

¹ *Where sample weights diverge substantially from a normal distribution, weight extrapolations using small sample sizes (e.g. $n = 3$) may yield unreliable extrapolations and associated uncertainties.*

A Example 1: Extrapolation of net weight

Scenario:

A laboratory receives an exhibit containing 100 bags of white powder.

Objective:

The analyst needs to determine the total net weight of the powder in the 100 bags. This is done by weighing the powder from a sample of the population and extrapolating to the total population.

Procedure:

A.1 Determine the population size N . Only bags which have sufficient similar characteristics are placed in the same population.

In this example, the contents of all 100 bags are visually consistent in substance amount (about 0.5 gram) and physical appearance (i.e. color, texture, etc)², hence $N = 100$.

A.2 Select the sample size, n , to be weighed¹.

In this example, the analyst chooses a sample size $n = 10$. The 10 units are randomly selected³ from the total population.
(Results for other n values are given later in the section.)

A.3 Measure the weight of the powder in each of the randomly selected units.

The weight (X) of the powder in each of the 10 bags is measured by dynamic weighing on a three-place balance (with 0.001 gram readability)⁴ as recorded in table 1.1.

² If the bags or tablets are visually dissimilar, they need to be separated into different groups before continuing with the analysis separately for each group.

³ A “random sample” is defined as “the sample so selected that any portion of the population has an equal (or known) chance of being chosen. Haphazard or arbitrary choice of units is generally insufficient to guarantee randomness” in SWGDRUG Glossary of Terms and Definitions, Annex A.

⁴ See SWGDRUG Supplemental Document SD-3 for discussion on weighing processes and measurement uncertainty.

Table 1.1: Individual weights of 10 bags.

| Bag | Wt of powder (X), gram | Bag | Wt of powder (X), gram |
|-----|---------------------------|-----|---------------------------|
| 1 | 0.593 | 6 | 0.574 |
| 2 | 0.509 | 7 | 0.580 |
| 3 | 0.557 | 8 | 0.540 |
| 4 | 0.548 | 9 | 0.532 |
| 5 | 0.569 | 10 | 0.529 |

- A.4 Calculate the average weight per unit, \bar{X} , the standard deviation, s , and the relative standard deviation, RSD.

$$\begin{aligned} \text{Average weight per unit, } \bar{X} &= 0.5531 \text{ gram} \\ \text{Standard deviation, } s &= 0.02622 \text{ gram} \\ \text{Relative Standard Deviation, RSD}^5 &= 4.741\% \end{aligned}$$

- A.5 Obtain the uncertainty, u_w , associated with the balance used⁴.

In this example, the laboratory has determined $u_w = 0.00185$ gram for a three-place balance.

- A.6 Obtain the uncertainty associated with the calculated average weight, $u_{\bar{X}}$. This uncertainty encompasses the standard deviation as well as the number of measurements performed.

$$u_{\bar{X}} = \frac{s}{\sqrt{n}} = \frac{0.02622 \text{ g}}{\sqrt{10}} = 0.008292$$

⁵ The laboratory's requirement should ensure that the variability of the measurements is small enough that all samples can be considered as belonging to the same population. UNODC and ENFSI Guidelines on Representative Drug Sampling (Reference D.2), page 34 states "In common practice, an acceptance criterion is that the sampling results are taken into consideration if the ratio between the standard deviation s and the average weight \bar{X} of a drug unit in the sample is less than 0.1 (RSD < 10%). Otherwise, an increase of the sample size is required in order to reach the target percentage." In casework, RSDs of sample weights higher than 10% may be encountered (see reference D.6). For such cases, when necessary and feasible, Laboratories may evaluate the RSD acceptance criteria based on weight and type (e.g. pharmaceutical versus illicit) of sample.

- A.7 Calculate the combined uncertainty, u_c , associated with the average weight per unit, by combining the standard uncertainties⁶ of the average weight, u_x , and the balance used, u_w ,⁷ via the root-sum-square (RSS) method.

$$u_c = \sqrt{u_x^2 + u_w^2} = \sqrt{0.008292^2 + 0.00185^2} = 0.008496 \text{ gram}$$

- A.8 Calculate the extrapolated net weight of the 100 bags, W , and its associated uncertainty, u_T .

Extrapolated net weight, $W = N * X = 100 * 0.5531 \text{ g} = 55.31 \text{ grams}$

Extrapolated uncertainty, $u_T = N * u_c = 100 * 0.008496 \text{ g} = 0.8496 \text{ grams}$

- A.9 Obtain the expanded extrapolated uncertainty, U_T , by using the appropriate coverage factor, k , (*Student's t* constant for 9 degrees of freedom)⁸. Round up the expanded extrapolated uncertainty, U_T , to two significant figures.

If a 95% level of confidence is used, (coverage factor $k = 2.26216$),

$$U_T = u_T * k = 0.8496 \text{ g} * 2.26216 = 1.922 \text{ grams} \approx 2.0 \text{ grams}$$

If a 99% level of confidence is used (coverage factor $k = 3.24984$),

$$U_T = u_T * k = 0.8496 \text{ g} * 3.24984 = 2.761 \text{ grams} \approx 2.8 \text{ grams}$$

- A.10 Report the total extrapolated net weight and its associated uncertainty by matching the extrapolated net weight to the same level of significance (i.e. decimal places) as the rounded expanded uncertainty:

When the 95% level of confidence is used:

The amount of powder in 100 bags is 55.3 grams \pm 2.0 grams at a 95% level of confidence, determined by weighing 10 bags and extrapolating to obtain the total net weight.

⁶ When a sample size of greater than 10% of the population is used, a finite correction factor of $\sqrt{\left(\frac{N-n}{N}\right)}$ should be applied to the combined uncertainty (Reference D.2). However, since this correction factor is always less than 1 and decreases as n increases, it reduces the total uncertainty. The finite correction factor was not applied to these examples as omission results in a more conservative estimate of uncertainty.

⁷ Contributions of uncertainty substantially less than one third of the largest contributor can often be eliminated from consideration (Reference D.3). However, for purposes of this document, the smaller contribution from the balance used u_w is included for all calculations.

⁸ The coverage factor k is obtained from a two-tailed Student's t -distribution with $n-1$ degrees of freedom. In this function, as n increases with more data points, k decreases.

When the 99% level of confidence is used:

The amount of powder in 100 bags is 55.3 grams \pm 2.8 grams at a 99% level of confidence, determined by weighing 10 bags and extrapolating to obtain the total net weight.

- A.11 If the analyst also performs qualitative analysis on each one of the 10 randomly selected bags and all are found to contain cocaine (that is, no negatives found), the following inferences about the population (at the respective 95% or 99% levels of confidence) can be made:

By statistically sampling 10 bags, it is concluded at a 95% level of confidence, that at least 76% of the population contains cocaine.

By statistically sampling 10 bags, it is concluded at a 99% level of confidence, that at least 65% of the population contains cocaine.

The above statistical inferences on the population as well as for other levels of confidence (depending on laboratory's policy and decision), can be calculated using the ENFSI DWG Calculator for Qualitative Sampling of seized drugs (2012). (This calculator can be obtained from the website <http://www.enfsi.eu/documents/enfsi-dwg-calculator-qualitative-sampling-seized-drugs-2012>).

Appendix 1.1:

Net weights and associated uncertainties extrapolated for other sample sizes are given in Table 1.2. It is noted that as the sample size n increases, the expanded extrapolated uncertainty, U_T , decreases. Also, for a given sample size n , the expanded uncertainty is larger when a higher level of confidence is used.

Table 1.2: Calculations for sample sizes of $n = 3, 5, 10, 20$ and 30 .

| Sample size, n | 3 | 5 | 10 | 20 | 30 |
|-------------------------------------|----------|----------|----------|----------|----------|
| Avg wt of unit, X | 0.5530 | 0.5552 | 0.5531 | 0.5514 | 0.5510 |
| Std deviation, s | 0.04214 | 0.03086 | 0.02622 | 0.02860 | 0.02759 |
| % RSD | 7.621 | 5.558 | 4.741 | 5.188 | 5.007 |
| Std uncertainty of avg wt, u_X | 0.024331 | 0.013800 | 0.008292 | 0.006396 | 0.005037 |
| Combined std uncertainty, u_c | 0.024401 | 0.013923 | 0.008496 | 0.006658 | 0.005366 |
| Extrapolated uncertainty, u_T | 2.4401 | 1.3923 | 0.8496 | 0.6658 | 0.5366 |
| Extrapolated wt, W | 55.30 | 55.52 | 55.31 | 55.14 | 55.10 |
| With 95% Level of Confidence | | | | | |
| Coverage factor, k | 4.30265 | 2.77645 | 2.26216 | 2.09302 | 2.04523 |
| Exp extrapolated uncertainty, U_T | 10.499 | 3.866 | 1.922 | 1.394 | 1.097 |
| Lower Wt Limit | 44.80 | 51.65 | 53.39 | 53.74 | 54.00 |
| Upper Wt Limit | 65.80 | 59.39 | 57.23 | 56.53 | 56.20 |
| With 99% Level of Confidence | | | | | |
| Coverage factor, k | 9.92484 | 4.60409 | 3.24984 | 2.86093 | 2.75639 |
| Exp extrapolated uncertainty, U_T | 24.218 | 6.410 | 2.761 | 1.905 | 1.479 |
| Lower Wt Limit | 31.08 | 49.11 | 52.55 | 53.23 | 53.62 |
| Upper Wt Limit | 79.52 | 61.93 | 58.07 | 57.04 | 56.58 |

Raw data of individual sample weights used are given in Table 1.3.

Table 1.3: Individual sample weights of 30 bags used in examples.

| Bag | Wt of powder (X), gram | Bag | Wt of powder (X), gram | Bag | Wt of powder (X), gram |
|-----|---------------------------|-----|---------------------------|-----|---------------------------|
| 1 | 0.593 | 11 | 0.583 | 21 | 0.593 |
| 2 | 0.509 | 12 | 0.510 | 22 | 0.530 |
| 3 | 0.557 | 13 | 0.540 | 23 | 0.548 |
| 4 | 0.548 | 14 | 0.582 | 24 | 0.581 |
| 5 | 0.569 | 15 | 0.552 | 25 | 0.539 |
| 6 | 0.574 | 16 | 0.530 | 26 | 0.579 |
| 7 | 0.580 | 17 | 0.509 | 27 | 0.530 |
| 8 | 0.540 | 18 | 0.580 | 28 | 0.532 |
| 9 | 0.532 | 19 | 0.520 | 29 | 0.511 |
| 10 | 0.529 | 20 | 0.590 | 30 | 0.560 |

Step A.7 shows that the combined uncertainty, u_c , is contributed by two terms: the standard uncertainties of the average weight, u_x , and the weighing process, u_w . If a balance of a different uncertainty is used, the combined uncertainty will change. Similarly, the distribution of the individual weights of the population will affect the combined uncertainty. To illustrate the impact of the weight distribution of the population on the extrapolation of the total net weight, another 30 bags from a different population (one that has been tested to be normally distributed) are individually weighed on the same balance. The individual weights of these 30 bags are given in Table 1.4 below and the associated calculations given in Table 1.5. It is noted that the RSD values listed in Table 1.5 are all much smaller than those for Table 1.2 (above). This consequentially gives rise to smaller expanded extrapolated uncertainty, U_T , for all sample sizes in Table 1.5 as compared to Table 1.2.

Table 1.4: Individual sample weights of 30 bags from a normally distribution population.

| Bag | Wt of powder (X), gram | Bag | Wt of powder (X), gram | Bag | Wt of powder (X), gram |
|-----|---------------------------|-----|---------------------------|-----|---------------------------|
| 1 | 0.553 | 11 | 0.557 | 21 | 0.552 |
| 2 | 0.549 | 12 | 0.557 | 22 | 0.554 |
| 3 | 0.557 | 13 | 0.552 | 23 | 0.555 |
| 4 | 0.554 | 14 | 0.555 | 24 | 0.557 |
| 5 | 0.550 | 15 | 0.555 | 25 | 0.551 |
| 6 | 0.553 | 16 | 0.556 | 26 | 0.557 |
| 7 | 0.556 | 17 | 0.557 | 27 | 0.557 |
| 8 | 0.557 | 18 | 0.547 | 28 | 0.556 |
| 9 | 0.555 | 19 | 0.554 | 29 | 0.551 |
| 10 | 0.556 | 20 | 0.556 | 30 | 0.552 |

Table 1.5: Calculations for sample sizes of $n = 3, 5, 10, 20$ and 30 .

| Sample size, n | 3 | 5 | 10 | 20 | 30 |
|-------------------------------------|-----------|-----------|-----------|-----------|-----------|
| Avg wt of unit, X | 0.5530 | 0.5526 | 0.5540 | 0.5543 | 0.5543 |
| Std deviation, s | 0.004000 | 0.003209 | 0.002789 | 0.002886 | 0.002728 |
| % RSD | 0.7233 | 0.5808 | 0.5034 | 0.5206 | 0.4922 |
| Std uncertainty of avg wt, u_X | 0.0023094 | 0.0014353 | 0.0008819 | 0.0006452 | 0.0004981 |
| Combined std uncertainty, u_c | 0.002959 | 0.002341 | 0.002049 | 0.001959 | 0.001916 |
| Extrapolated uncertainty, u_T | 0.2959 | 0.2341 | 0.2049 | 0.1959 | 0.1916 |
| Extrapolated wt, W | 55.30 | 55.26 | 55.40 | 55.43 | 55.43 |
| With 95% Level of Confidence | | | | | |
| Coverage factor, k | 4.30265 | 2.77645 | 2.26216 | 2.09302 | 2.04523 |
| Exp extrapolated uncertainty, U_T | 1.273 | 0.650 | 0.464 | 0.410 | 0.392 |
| Lower Wt Limit | 54.03 | 54.61 | 54.94 | 55.02 | 55.03 |
| Upper Wt Limit | 56.57 | 55.91 | 55.86 | 55.84 | 55.82 |
| With 99% Level of Confidence | | | | | |
| Coverage factor, k | 9.92484 | 4.60409 | 3.24984 | 2.86093 | 2.75639 |
| Exp extrapolated uncertainty, U_T | 2.937 | 1.078 | 0.666 | 0.561 | 0.528 |
| Lower Wt Limit | 52.36 | 54.18 | 54.73 | 54.87 | 54.90 |
| Upper Wt Limit | 58.24 | 56.34 | 56.07 | 55.99 | 55.95 |

B Example 2: Extrapolation of net weight in conjunction with a hypergeometric sampling plan

Scenario:

The scenario is the same as Example 1, where the laboratory receives an exhibit containing 100 bags of white powder. Sentencing penalty in this jurisdiction increases if the amount of substance containing cocaine exceeds 25 grams.

Objective:

The analyst will use statistical sampling to determine, to a 99% level of confidence, if the jurisdictional weight threshold is exceeded or not. This example does not take purity of the powder into account because it is not jurisdictionally relevant.

Procedure:

B.1 The analyst needs to determine how many bags must be sampled to determine if the 25-gram threshold weight is exceeded.

To obtain an estimation of the number of bags that must be sampled, the specified statutory threshold weight (25 grams) is divided by the average net weight (\bar{X}) per unit (obtained from Example 1).

$$\text{Estimated number of bags} = \frac{\text{statutory threshold weight}}{\bar{X}} = \frac{25}{0.5531} = 45.1 \text{ (46 bags)}$$

Therefore, a minimum of 46 bags must be sampled to provide strong evidence that the threshold weight is exceeded. To compensate for the uncertainty associated with the weighing process, the analyst decides to increase the number of bags to 50.

B.2 Determine the sample size n that needs to be qualitatively tested to demonstrate that at least 50 of the 100 bags contain cocaine at a 99% level of confidence.

Method 1: 99% level of confidence corresponds to an α of 0.01 (level of confidence = 0.99 = 1- α). Proceed to use a hypergeometric sampling calculator to determine the sample size needed. (See <http://www.enfsi.eu/documents/enfsi-dwg-calculator-qualitative-sampling-seized-drugs-2012>)

Using the hypergeometric sampling calculator and the appropriate parameters ($N = 100$, $\alpha = 0.01$, proportion of positives = 0.5, with no negatives expected), the sample size is determined to be 7.

or

Method 2: Manually determine the number of bags, n , to test by multiplying the resulting conditional probabilities for the 50 bags needed. The number of bags to be sampled will be indicated by the first instance resulting in a probability value (p -value) below the established significance level of 0.01 (corresponding to a 99% level of confidence).

$$\begin{aligned}
 P_n &= P(\text{bag } 1) * P(\text{bag } 2) * P(\text{bag } 3) * P(\text{bag } 4) * \dots * P(\text{bag } n) \\
 &= \frac{50-1}{100} * \frac{50-2}{100-1} * \frac{50-3}{100-2} * \frac{50-4}{100-3} * \dots * \frac{50-n}{100-(n-1)} \\
 &= \frac{{}^{50-1}C_n}{{}^{100}C_n} \\
 &= P(\text{all } n \text{ bags in the sample contain cocaine})
 \end{aligned}$$

The following calculations show the p -values (and resulting levels of confidence, LoC) obtained for each successive sample tested (with no negatives found) until a value below 0.01 is obtained (which is sample 7):

$$P_1 = \frac{49}{100} = 0.4900 \text{ (51.00\% LoC)}$$

$$P_2 = \frac{49}{100} * \frac{48}{99} = 0.2376 \text{ (76.24\% LoC)}$$

$$P_3 = \frac{49}{100} * \frac{48}{99} * \frac{47}{98} = 0.1139 \text{ (88.61\% LoC)}$$

$$P_4 = \frac{49}{100} * \frac{48}{99} * \frac{47}{98} * \frac{46}{97} = 0.0540 \text{ (94.60\% LoC)}$$

$$P_5 = \frac{49}{100} * \frac{48}{99} * \frac{47}{98} * \frac{46}{97} * \frac{45}{96} = 0.0253 \text{ (97.47\% LoC)}$$

$$P_6 = \frac{49}{100} * \frac{48}{99} * \frac{47}{98} * \frac{46}{97} * \frac{45}{96} * \frac{44}{95} = 0.0117 \text{ (98.83\% LoC)}$$

$$P_7 = \frac{49}{100} * \frac{48}{99} * \frac{47}{98} * \frac{46}{97} * \frac{45}{96} * \frac{44}{95} * \frac{43}{94} = 0.0054 \text{ (99.46\% LoC)}$$

Therefore, the number of bags n needed for testing is 7.

B.3 A total of 7 bags are randomly selected for chemical analysis⁹ and confirmed to contain cocaine.

Since all 7 bags are found to contain cocaine, it can be stated, to a 99.46% level of confidence, that at least 50 of the 100 bags contain cocaine.

The total net weight of 50 bags, W_{50} , can be extrapolated from the average net weight per unit (obtained from Example 1):

$$W_{50} = 50 * X = 50 * 0.5531 \text{ g} = 27.655 \text{ grams}$$

B.4 The combined standard uncertainty, u_c , associated with the average weight per unit as calculated from Example 1 is:

$$u_c = 0.008496 \text{ gram}$$

The extrapolated uncertainty for 50 bags, u_{T50} , is calculated as

$$u_{T50} = u_c * 50 = 0.008496 \text{ g} * 50 = 0.4248 \text{ gram}$$

⁹ Sample size determination may be made prior to weight determination. For example, a laboratory may mandate inferences to be made for 90% of all populations at a 95% level of confidence, irrespective of statutory weight thresholds. In this instance, the extrapolated net weight may be based on the weights of the individual items weighed and the appropriate coverage factor would be selected to calculate the expanded uncertainty. As an example, for $N=100$, to achieve a 95% level of confidence, the sample size $n = 23$. The average weight per tablet X and standard deviation s are determined and entered using the ENFSI DWG Calculator for Qualitative Sampling of seized drugs (2012), see <http://www.enfsi.eu/documents/enfsi-dwg-calculator-qualitative-sampling-seized-drugs-2012>.

| | | |
|--|---------|------------------------|
| Step 1: Enter Confidence level (1- α) | 0.95 | $\alpha = 0.05$ |
| Step 2: Enter Population (N) | 90 | $t = 2.074$ |
| Step 3: Enter Sample Size (n) | 23 | $n/N = 0.256$ |
| Step 4: Enter Mean Weight (x-bar) | 0.55209 | $Q = 0.863$ |
| Step 5: Enter Sample Standard Deviation (s) | 0.0283 | $\text{rsd}(\%) = 5.1$ |
| Step 6: Enter Number of Negative Results in Sample (r) | 0 | $P = 1$ |
| Confidence Interval = 0.55209 ± 0.011 | | |

Figure above shows the results obtained from the ENFSI DWG Calculator for Qualitative Sampling of seized drugs.

The total expanded uncertainty (U_{T50}), at 99% level of confidence, and rounded up to two significant figures (coverage factor $k = 3.7074$ using Student's t value for 6 degrees of freedom) is

$$U_{T50} = u_{T50} * k = 0.4248 \text{ g} * 3.7074 = 1.574 \text{ g} \approx 1.6 \text{ gram}$$

- B.5 The analyst compares the calculated extrapolated weight of the 50 bags, W_{50} , minus the expanded uncertainty, U_{T50} , (truncated to the same level of significance) against the statutory threshold of 25 grams.

The weight of 50 bags is 27.6 grams \pm 1.6 grams at a 99% level of confidence. The lower end of the weight range is = 27.6 – 1.6 grams = 26.0 grams (which is above 25-grams statutory threshold).

- B.6 If the lower end of the weight range is below the statutory threshold, additional bag(s) may need to be sampled in order to demonstrate that the statutory threshold has been exceeded.

- B.7 The results of the analysis can be reported in either of the following ways:

- 1) A total of 100 indistinguishable bags were received. By using statistical sampling of 7 bags, it is concluded at a 99% level of confidence that at least 50% of the population contains cocaine. The extrapolated net weight of 50 bags is 27.6 grams \pm 1.6 grams at a 99% level of confidence.
- 2) A total of 100 indistinguishable bags were received. Using statistical (hypergeometric) sampling and by testing 7 bags, cocaine is present in at least 26.0 grams of powder at a level of confidence of at least 98%.

Explanation on deriving the overall level of confidence (i.e. at least 98%): The second report option gives an overall level of confidence of at least 98% for the weight and identity of the powder. Each of these parameters is individually tested at a 99% level of confidence. Since these two statements are not independent of each other, the Bonferroni correction (Reference D.1, p 155-156) is used in the calculation of the overall confidence level. This is obtained by determining the value of $(1 - 0.01 - 0.01)*100\%$. If the two statements are independent, the multiplication rule of probability can be used instead, giving an overall level of confidence of $99\%*99\% = 98.01\%$.

Appendix 2.1:

To contrast the practicality of using hypergeometric sampling to identify a proportion of a population, the following example is given:

To determine the content of all 100 bags

If a sampling size of 7 is used to determine the content of all 100 bags, the probability of failure = P_7

$$\begin{aligned} &= P_{(bag\ 1)} * P_{(bag\ 2)} * P_{(bag\ 3)} * P_{(bag\ 4)} * P_{(bag\ 5)} * P_{(bag\ 6)} * P_{(bag\ 7)} \\ &= \frac{{}^{100-1}C_7}{{}^{100}C_7} \end{aligned}$$

$$= \frac{99}{100} * \frac{98}{99} * \frac{97}{98} * \frac{96}{97} * \frac{95}{96} * \frac{94}{95} * \frac{93}{94} = 0.93 \text{ (7\% confident)}$$

As illustrated in this case, if only 7 bags are sampled, the analyst is only 7% confident that all 100 bags contain a substance containing cocaine.

If a 95% level of confidence is needed for the reporting of content of all 100 bags, the sampling size needs to be increased as shown below:

$$\frac{99}{100} * \frac{98}{99} * \frac{97}{98} * \frac{96}{97} * \frac{95}{96} * \dots * \frac{5}{6} = 0.05 \text{ (95\% confident)}$$

giving a sample size of 95.

Therefore, it is often practical to report that a certain proportion of the population is positive instead of reporting on the entire population. This can be achieved by using statistical sampling. Using the same example of a total population of 100 bags, if the laboratory only needs to report on the content of 90 bags, the sampling size would reduce to 23:

$$\frac{89}{100} * \frac{88}{99} * \frac{87}{98} * \frac{86}{97} * \frac{85}{96} * \dots * \frac{67}{78} = 0.047 \text{ (95.3\% confident)}$$

As seen from this example, if the laboratory needs to report on the content of all 100 bags at a confidence level of 95%, a total of 95 bags need to be tested. In contrast, if the laboratory only needs to report on the content of 90 bags at the same confidence level, the number of bags to be tested is reduced to 23 (a reduction of 75%).

C Example 3: Extrapolation of unit count

Scenario: The laboratory receives a large container with numerous illicitly pressed tablets.

Objective:

The analyst needs to determine the total number of tablets present in the container and its associated uncertainty by direct weighing of a sample of individual tablets and extrapolating to obtain the total count.

Procedure:

C.1 Determine whether all the tablets in the container can be treated as one population.

Since all the tablets in the container are visually similar, they will be treated as one population.

C.2 Measure the net weight of all the tablets.

The total weight, TW , of the total population of tablets is determined to be 701.5 grams based on dynamic weighing on a balance with 0.1 gram readability.

C.3 Choose the number of individual tablets to weigh.

In this example, the analyst randomly samples and weighs 10 tablets ($n = 10$). (Results for other n values are given later in the section.)

The weight of each tablet X is determined by dynamic weighing on a balance with 0.0001 gram readability as in Table 3.1.

Table 3.1: Individual weights of 10 tablets.

| Tablet | Wt of tablet (X), gram | Tablet | Wt of tablet (X), gram |
|--------|----------------------------|--------|----------------------------|
| 1 | 0.3084 | 6 | 0.3437 |
| 2 | 0.3225 | 7 | 0.2918 |
| 3 | 0.3349 | 8 | 0.3116 |
| 4 | 0.2981 | 9 | 0.3077 |
| 5 | 0.3293 | 10 | 0.3426 |

- C.4 Calculate the average weight per tablet, \bar{X} , the standard deviation of the tablet weight, s , and the relative standard deviation, RSD.

| | |
|--------------------------------------|-----------------|
| Average weight per tablet, \bar{X} | = 0.31906 gram |
| Standard deviation, s | = 0.018287 gram |
| Relative standard deviation, RSD | = 5.7314 % |

- C.5 The number of tablets in the container is estimated by dividing the total weight of all the tablets, TW , by the average weight per tablet, \bar{X} .

$$\text{Estimated number of tablets in container} = \frac{TW}{\bar{X}} = \frac{701.5}{0.31906} = 2198.6$$

- C.6 Obtain the uncertainty associated with the two balances used⁴:

Uncertainty for one-place balance (0.1 g readability), $u_{w1} = 0.35810$ gram

Uncertainty for four-place balance (0.0001 g readability), $u_{w2} = 0.0004840$ gram

- C.7 Calculate the relative uncertainties of both weighing processes.

Relative uncertainty of the total weight of tablets, u'_{TW} :

$$u'_{TW} = \frac{u_{TW}}{TW} = \frac{u_{w1}}{TW} = \frac{0.35810 \text{ g}}{701.5 \text{ g}} = 0.00051048$$

Relative uncertainty of average weight per tablet, \bar{X} :

$$u'_{\bar{X}} = \frac{u_{\bar{X}}}{\bar{X}} = \frac{\sqrt{\left(\frac{s}{\sqrt{n}}\right)^2 + (u_{w2})^2}}{\bar{X}} = \frac{\sqrt{\left(\frac{0.018287 \text{ g}}{\sqrt{10}}\right)^2 + (0.0004840 \text{ g})^2}}{0.31906 \text{ g}} = 0.018188$$

- C.8 Combine the two relative standard uncertainties (u'_{TW} and $u'_{\bar{X}}$) to obtain the combined relative standard uncertainty, u'_c , associated with the extrapolated tablet count.

$$u'_c = \sqrt{u'^2_{TW} + u'^2_{\bar{X}}} = \sqrt{(0.00051048)^2 + (0.018188)^2} = 0.018195$$

- C.9 Determine the absolute combined uncertainty, u_c , for the tablet count by multiplying the combined relative standard uncertainty, u'_c , by the estimated number of tablets.

$$u_c = u'_c * \text{number of tablets} = 0.018195 * 2198.6 = 40.004$$

C.10 Expand the combined uncertainty, u_c , using the appropriate coverage factor k .

At a 95% level of confidence for $n = 10$, the coverage factor $k = 2.26216$.
Expanded uncertainty, $U_c = u_c * k = 40.004 * 2.26216 = 90.496$ tablets.

If a 99% level of confidence is used, the coverage factor $k = 3.24984$.
Expanded uncertainty, $U_c = u_c * k = 40.004 * 3.24984 = 130.007$ tablets.

C.11 Report the total extrapolated tablet number, and its associated uncertainty, truncating or rounding to the nearest whole number as per laboratory's policy. In this example, the number of tablets is truncated while the associated uncertainty is rounded up for a conservative approach.

Number of tablets: 2198 ± 91

The number of tablets is an extrapolated estimated value based on the individual weights of 10 tablets and the uncertainty value represents an expanded uncertainty at a 95% level of confidence.

Number of tablets: 2198 ± 131

The number of tablets is an extrapolated estimated value based on the individual weights of 10 tablets and the uncertainty value represents an expanded uncertainty at a 99% level of confidence.

Appendix 3.1:

Examples of other sample sizes $n = 3, 5, 30$ and 50 taken from the same population are given in Table 3.2, together with data from $n = 10$ for comparison. Raw data of tablet weights used for Table 3.2 are given in Table 3.3. It is noted that the extrapolated combined uncertainty, u_c , is smaller as the sample size gets bigger. Also, for a given sample size n , the expanded uncertainty, U_c , is larger when a higher level of confidence is used.

It should be the laboratory's decision and policy to determine the sample size n needed for the extrapolation of number of units. Smaller n is more time efficient but results in a much larger expanded uncertainty, U_c . Larger n takes more time to complete the analysis but has the benefit of a smaller expanded uncertainty

Table 3.2: Calculations for sample sizes of $n=3, 5, 10, 30$ and 50 .

| Sample size, n | 3 | 5 | 10 | 30 | 50 |
|---|------------|------------|------------|------------|------------|
| Avg wt per tablet, X | 0.32193 | 0.31864 | 0.31906 | 0.32337 | 0.32510 |
| Std deviation, s | 0.013259 | 0.015163 | 0.018287 | 0.017731 | 0.019186 |
| % RSD | 4.1186 | 4.7587 | 5.7314 | 5.4833 | 5.9016 |
| Extrapolated tablet count, $\frac{TW}{\bar{X}}$ | 2179.0 | 2201.5 | 2198.6 | 2169.3 | 2157.8 |
| Std uncert of avg wt, $u_{\bar{X}}$ | 0.0076551 | 0.0067811 | 0.0057828 | 0.0032373 | 0.0027133 |
| Rel. uncert of net wt, u'_{TW} | 0.00051048 | 0.00051048 | 0.00051048 | 0.00051048 | 0.00051048 |
| Rel. uncert of avg wt, $u'_{\bar{X}}$ | 0.023826 | 0.021336 | 0.018188 | 0.010122 | 0.008478 |
| Combined rel uncert, u'_c | 0.023832 | 0.021342 | 0.018195 | 0.010135 | 0.008493 |
| Extrapolated combined uncert, u_c | 51.930 | 46.985 | 40.004 | 21.987 | 18.327 |
| With 95% Level of Confidence | | | | | |
| Coverage factor, k | 4.30265 | 2.77645 | 2.26216 | 2.04523 | 2.00958 |
| Expanded uncert, U_c | 223.435 | 130.450 | 90.496 | 44.968 | 36.828 |
| With 99% Level of Confidence | | | | | |
| Coverage factor, k | 9.92484 | 4.60409 | 3.24984 | 2.75639 | 2.67995 |
| Expanded uncert, U_c | 515.393 | 216.322 | 130.007 | 60.604 | 49.114 |

Table 3.3: Individual weight of tablets for Table 3.2.

| Tablet | Wt of tablet (X), gram | Tablet | Wt of tablet (X), gram | Tablet | Wt of tablet (X), gram |
|--------|---------------------------|--------|---------------------------|--------|---------------------------|
| 1 | 0.3084 | 21 | 0.3152 | 41 | 0.3580 |
| 2 | 0.3225 | 22 | 0.2763 | 42 | 0.3090 |
| 3 | 0.3349 | 23 | 0.3058 | 43 | 0.3251 |
| 4 | 0.2981 | 24 | 0.3014 | 44 | 0.3459 |
| 5 | 0.3293 | 25 | 0.3376 | 45 | 0.3054 |
| 6 | 0.3437 | 26 | 0.3313 | 46 | 0.3195 |
| 7 | 0.2918 | 27 | 0.3388 | 47 | 0.2802 |
| 8 | 0.3116 | 28 | 0.3192 | 48 | 0.3463 |
| 9 | 0.3077 | 29 | 0.3323 | 49 | 0.2802 |
| 10 | 0.3426 | 30 | 0.3348 | 50 | 0.3356 |
| 11 | 0.3476 | 31 | 0.3462 | | |
| 12 | 0.3450 | 32 | 0.3317 | | |
| 13 | 0.3196 | 33 | 0.3322 | | |
| 14 | 0.3171 | 34 | 0.3272 | | |
| 15 | 0.3321 | 35 | 0.3305 | | |
| 16 | 0.3441 | 36 | 0.3383 | | |
| 17 | 0.3435 | 37 | 0.3456 | | |
| 18 | 0.3240 | 38 | 0.3456 | | |
| 19 | 0.3293 | 39 | 0.3106 | | |
| 20 | 0.3155 | 40 | 0.3408 | | |

Appendix 3.2:

To illustrate the impact of the weight distribution on the extrapolation of the unit count, three distinct populations of tablets were evaluated. Group 1 contains 50 Ecstasy tablets, Group 2 contains 50 illegitimate pharmaceutical tablets, and Group 3 contains 50 legitimate pharmaceutical tablets.

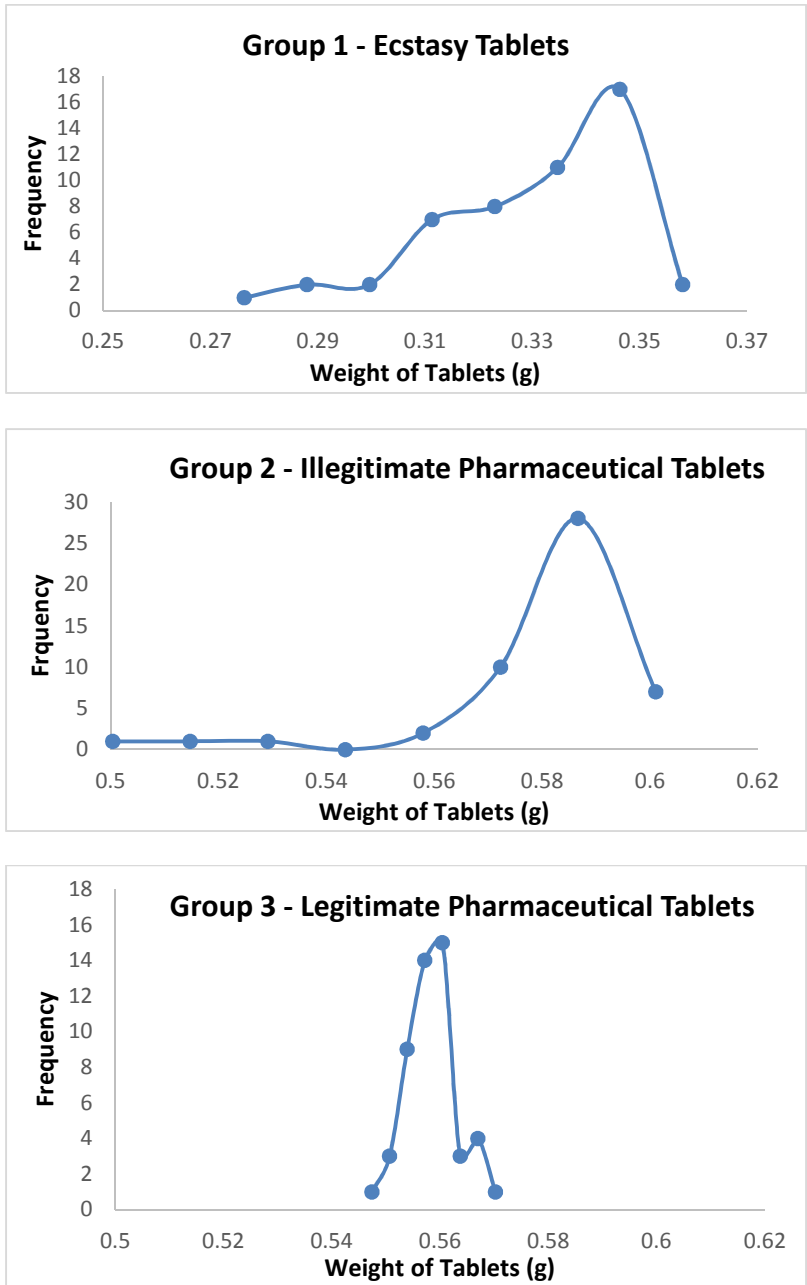
Tablets from each group look visually similar. The total weight of each group of 50 tablets is weighed using a one-place balance (with uncertainty of 0.3581 gram). A sample size of 10 tablets from each group is randomly sampled for individual weighing using a four-place balance (with uncertainty of 0.000484 gram). The calculations for the extrapolation of tablet count for the three groups are shown in Table 3.4 below.

The RSD of the sample, and hence the expanded uncertainty of the extrapolation, depends on the distribution curve. A normally distributed population with a small standard deviation will give smaller expanded uncertainty.

Table 3.4: Calculations for 3 groups of tablets each with sample sizes of 10.

| | Group 1 | Group 2 | Group 3 |
|--|-----------------|-------------------------------------|-----------------------------------|
| Sample Description | Ecstasy Tablets | Illegitimate pharmaceutical tablets | Legitimate pharmaceutical tablets |
| Total Weight of 50 tablets, TW | 16.3 | 28.7 | 27.9 |
| Avg wt per tablet, X | 0.31906 | 0.58253 | 0.55591 |
| Std deviation, s | 0.018287 | 0.011608 | 0.0052800 |
| % RSD | 5.73142 | 1.9926 | 0.94980 |
| Extrapolated tablet count, $\frac{TW}{\bar{X}}$ | 51.088 | 49.268 | 50.188 |
| Std uncert of avg wt, $u_{\bar{X}}$ | 0.0181877 | 0.0036706 | 0.0016697 |
| Rel. uncert of total wt, u'_{TW} | 0.021969 | 0.012477 | 0.012835 |
| Rel. uncert of avg wt, $u'_{\bar{X}}$ | 0.0181877 | 0.0063557 | 0.0031272 |
| Combined rel uncert, u'_c | 0.028521 | 0.014003 | 0.013211 |
| Extrapolated combined uncert, u_c | 1.45707 | 0.68989 | 0.66301 |
| With Level of Confidence = 95% ($k = 2.26216$) | | | |
| Expanded uncert, U_c | 3.296 | 1.561 | 1.500 |
| With Level of Confidence = 99% ($k = 3.24984$) | | | |
| Expanded uncert, U_c | 4.735 | 2.242 | 2.155 |

Figure 1: Charts showing the distribution of the tablet weights of the three groups of tablets.



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