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ABSTRACT

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There are many clinical evidences that hyperuricemia is a risk factor for the development of peripheral carotid and coronary vascular diseases. However, the mechanism of elevated uric acid concentration in biological systems is not yet clear. In the present work Fourier transform infrared (FT-IR) spectroscopy was used to evaluate the mechanism of calcification and plaque formation in carotid arteries in hyperuricaemic patients. Comparison between the spectra of carotid arteries from patients with elevated uric acid values and spectra obtained from patients with normal uric acid values showed structural changes of the characteristic spectral bands in the region 4000-500 cm⁻¹. These changes were related with changes in the concentration of the serum uric acid and the clinical history of the patients. The intensity decrease of the infrared bands in the region 1650-1500 cm⁻¹ was associated with the decrease of the apolipoprotein ratio, Apol/Apoll, which corresponds to HDL (High Density Lipoproteins) and the regulation of the LDL (Low Density Lipoproteins), which are related to oxidation stress. The infrared band at 1467 cm⁻¹ indicated the presence of urea components as a result of the metabolic pathway. The shape and the intensity of the bands between 1250-900 cm⁻¹ depend on the glycation-end products of the diseases. SEM-EDX chemical analysis showed fibril formation and molybdenum release in hyperuricaemic patients.

Key words: infrared spectroscopy, oxidative stress, molybdenum enzymes, hyperuricemia uric acid, SEM-EDEX

INTRODUCTION

The term oxidative stress was introduced by B. Seis in 1985 in order to characterize the imbalance of endogenous antioxidants and free radical activity (Sies 1985). There are many papers on oxidative stress showing that it provokes inflammation, ischemia and cardiovascular diseases (Mamarelis et al. 2010; Anastassopoulou et al. 2018). It is known that the oxidative stress affects the redox potential of the cells leading to damage of lipids, proteins, DNA, as well as the enzymes inducing fragmentations, peroxidation of the molecules, inhibiting thus the recovering of the damaged molecules. The free radicals are continuously produced endogenously in the living cells from metabolites, while external factors, such as cosmic rays, medical diagnostic techniques, and xenobiotics lead also to free radical production. Free radicals, because of the non-paired electron presence, are very reactive species and have the tendency to transfer or attract electrons in order to pair them and be stabilized. In all cases, it is necessary to have excess of electron transfer reactions, which activate the oxygen molecules as electron acceptors, and prevent the accumulation of damaged products which induce pathological effects. The active centre of the enzymes contains metal ions which could be excellent electron acceptors, as well as donors. Xanthine oxidoreductase (XOR) is a molybdenumiron sulphur flavoprotein enzyme. Molybdenum is a transition metal and the possibility that it might have a biological function was recognized by Bortels in 1930, who reported that it acted as a catalyst in the fixation of nitrogen by Arthrobacter chroococcum (Bortels 1930) Molybdenum enzymes catalyse the oxidation of hypoxanthine to xanthine and the oxidation of xanthine to uric acid as final product. Xanthine is involved in purine and uric acid (UA) catabolism and is implicated in the pathogenesis of hypertension (Rothery et al. 2018). It is believed that oxygen free radicals which are produced from XOR exert arrhythmogenic and cytotoxic effects in ischemic heart. Although uric acid has antioxidant properties (Oliveira-Paula et al. 2016), many recent studies suggest that it is an important risk factor for cardiovascular diseases (Ames et al. 1981; Tavil et al. 2018; Kelley 2015). However, its role at the molecular level is not yet well understood.

In order to study the mechanism of carotid atherogenesis at a molecular level we used Fourier Transform Infrared (FT-IR) spectroscopy. FT-IR spectroscopy is constantly gaining the attention of scientists in the study of biological molecules and diagnosis of diseases. FT-IR spectroscopy is a powerful, simple, fast and non-destructive method, which provides simultaneously information about

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all the components of the tissue at a molecular level. The individual spectral bands are the "fingerprint" not only of the chemical components of the tissues, such as proteins, phospholipids, DNA, but also of the disease (Anastassopoulou et al. 2019; Mamareli et al. 2018; Kyriakidou et al. 2017; Megaloikonomos et al. 2018; Theophanides 2012; Theophanides 2015; Anastassopoulou et al. 2014; Balan et al. 2019). By using FT-IR spectroscopy it is easy to elucidate structural features of proteins' secondary structure, such as protein folding, which could be used as "marker bands" for the diagnosis and the progression of the diseases (Anastassopoulou et al. 2019; Mamareli et al. 2018; Kyriakidou et al. 2017; Megaloikonomos et al. 2018; Theophanides 2012; Theophanides 2015; Anastassopoulou et al. 2014). Although a biological sample is complex, there are only a few main features that dominate the infrared spectrum, and it is essential to understand the origin of such absorption bands of the functional groups. In proteins the peptide bond (-NHCO-) gives rise to five strong characteristic bands, e.g. amide A and amide B, the amide I, due mostly to stretching frequency of ν C=O, ν C-N and δ NH frequencies, which mainly characterize the strength of the hydrogen bond between intermolecular and intramolecular interactions in the helices. The frequency of this amide I band is located in the 1650-1675 cm⁻¹ spectral region showing the strength of the C=O frequency and its involvement in hydrogen bonding of the peptide bond in proteins (Barth A & Zscherp C 2018). The amide II band originates from the coupling of three frequencies of vC-N stretching and in-plane bending of \deltaN-H of peptide bond -NHCO- and it absorbs and gives a characteristic band in the region of 1500-1575 cm⁻¹ (Conti et al. 2008; Mavrogenis et al. 2015; Kyriakidou et al. 2016). This amide II band is sensitive to conformational changes of proteins. The positions of the frequencies are energy profiles of the amide I and amide II bands. The amide III band originates from the coupling of vC-N stretching vibration and \deltaN-H out-of-plane bending and is found as a medium or weak band in the region of 1200-1300 cm⁻¹. The amide A and amide B frequencies are found in the high energy region of stretching frequencies of vN-H 3300-3200 cm⁻¹ and are normally hydrogen bonded to C=O groups of -NHCO- in α-helix.

In lipids, the bands which arise from the stretching vibrations of methyl (ν CH₃) and methylene (ν CH₂) groups are found in the region 2800-3100 cm⁻¹. The bands related with ν C=C of unsaturated lipid chains are found near 1660 cm⁻¹ and a weak band near 3080 cm⁻¹ was assigned to the stretching frequency of olefinic ν =C-H. The carboxylic stretching frequencies are characteristic and are found in the ν O-H region of the hydroxyl absorption band in the 3600-3500 cm⁻¹ region, whereas the ν C=O carbonyl strong absorption band is found near 174s0-1720 cm⁻¹ (**Mavrogenis et al. 2015; Kyriakidou et al. 2016).** Moreover, changes of the ratio between characteristic spectral bands gives even more information about the effect of the disease on the tissues (**Conti et al. 2008; Anastassopoulou et al. 2009).**

MATERIALS AND METHODS

Biopsies

For the present study, 150 biopsies of atheromatic plaques were used that were received from patients (age 53-84 years) who underwent carotid endarterectomy. All the patients were smokers and 30 of them were hyperuricaemic. After removal, the samples were immediately fixed in formalin solution.

FT-IR spectra

FT-IR spectra were recorded using Nicolet 6700 thermoscientific spectrometer (USA) with an attenuated total reflection (ATR) crystal. The biopsies were washed with hydrogen peroxide and high distilled water to remove the blood. In order to minimize the signal-to-noise ratio each spectrum consisted of 120 co-added spectra at a spectral resolution of 4 cm⁻¹. The OMINC 7.2a software was used to analyse the spectra. Each infrared spectrum was compared with the corresponding infrared spectra of all other patients, taking into account their clinical history.

With the ATR accessory technique, the biological samples were not homogenized. This allowed us to study different parts of the biopsies (Figure 1A) as it is shown with the arrows.

The samples were not fixed in paraffin, since it was found that valuable information on the disease was lost, because by removing the paraffin from the samples were removed also the soluble products in it produced during the disease. We have seen that using solvents such as hexane or DMSO the aggregates formed during the disease development preferred the lipophilic environment and they were not detected in the spectra (Mamarelis et al. 2010).

Scanning Electron microscopy, SEM

The morphology of the surface of carotids was obtained by using the Scanning Electron Microscope (SEM) (from Fei Co, The Netherlands). This was coupled with energy dispersive X-ray (EDX) apparatus for elementary chemical analysis of different sites or spots of the carotids. The samples were not covered with carbon or gold in order to avoid the reaction of secondary electrons.

Ethics

The samples were taken after the removal of the patients, according to the Greek ethical rules and the permission of the Scientific Board of the 401 Army General Hospital.

RESULTS AND DISCUSSION

In Figure 2 are shown the representative spectra in the region 4,000-700 cm⁻¹ of carotid atheromas obtained from (a) control healthy patient, (b) patient with normal serum uric acid and (c) hyperuricaemic patient.

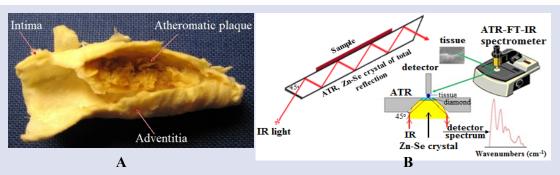


Figure 1. A) Carotid atheroma. The arrows show the parts of the tissue which were analysed. B) Schematic presentation of ATR (attenuated total reflection) technique for recording FT-IR spectra. The infrared light passing through a Zn-Se crystal and after many reflections through the crystal and the sample on the surface, is collected by the detector. ATR allows us to record in different parts of the same sample.

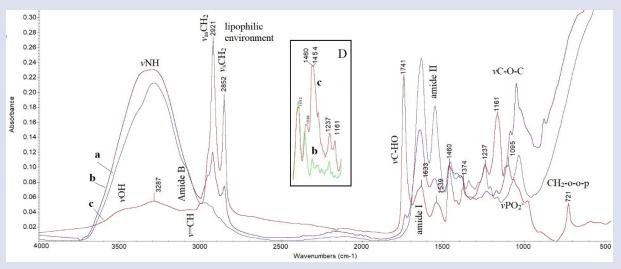


Figure 2. Representative FT-IR spectra of carotid atheroma. A) c healthy patient control sample, B) from patient with normal serum uric acid and C) from hyperuricaemic patient. D) Insert spectra with characteristic bands of hyperuricaemic patients.

Spectral region 4,000-3,000 cm⁻¹

Comparison between the spectra showed considerable intensity changes and frequency shifts in the entire spectral region. In the spectral region 4,000-3,000 cm⁻¹ are found the bands that arise from the stretching vibrations of vOH of water and saccharide molecules and vNH groups of proteins in the cell (**Theophanides 2012; Theophanides 2015; Dritsa et al. 2013; Kotoulas et al. 2017**).

In the spectra of hyperuricaemic patients the broad band at about $3,527 \text{ cm}^{-1}$ on the higher side of the energy of the vOH vibration is assigned to the frequency of the weaker hydrogen bonded -OH groups (**Theophanides et al. 1984**). These hydroxyl groups reflect the hydroperoxidation of lipids, proteins and glycosides (**Mavrogenis et al. 2015**).

The next intense band at 3,287 cm⁻¹ is assigned to stretching vNH vibrations of proteins in amide A conformation (**Kyriakidou et al. 2016**). This band decreases strongly in the spectra of hyperuricaemic patients, leading to the result that the elevated uric acid affects the conformational structure of proteins. In the spectra of patients with normal serum uric acid this band becomes broader, suggesting that the atheromatic plaques of the patients contained a higher number of free -NH, groups.

The weak band at 3,080 cm⁻¹ indicates that the proteins changed their conformation and are in the amide B configuration (**Barth A & Zscherp C 2018; Theophanides et al. 1984).** This means that the effect of the NH group of the peptide bond -NHCO- is stronger than that of C=O, unlike amide A, where the effect of C=O is stronger, suggesting different hydrogen bonds in length and strength that hold the protein strands together. We noticed that this band is very important for the characterization of the progression of the disease (Anastassopoulou et al. 2018). The band at 3,060 cm⁻¹ is assigned to stretching vibrations of the terminal olefinic ν =CH mode, confirming the implication of oxidative stress during the disease development. This band's intensity was found to be proportional to LDL cholesterol concentration of the patients (Mamarelis et al. 2010; Dritsa et al. 2013; Kotoulas et al. 2017).

Spectral region 3,000-2,850 cm⁻¹

The bands that appear in the region $3,000-2,850 \text{ cm}^{-1}$ originate from the stretching vibrations of methyl (-CH₃) and methylene (-CH₂) groups, mostly from membrane lipids. The intensities of these bands

are weak in the control spectra, but in the hyperuricaemic patients they become very strong. As it is shown, the stretching vibration bands of $v_{\rm as}$ CH₃ and $v_{\rm s}$ CH₃ groups at 2,958 cm⁻¹ and 2,876 cm⁻¹ respectively, have almost disappeared. On the contrary, the intensities of the absorption bands of $v_{\rm as}$ CH₂ and $v_{\rm s}$ CH₂ groups at 2,921 cm⁻¹ and 2,852 cm⁻¹ increase, indicating changes of the flexibility and permeability of membranes, which upon the disease became more lipophilic and more ordered (**Mamarelis et al. 2010; Dritsa et al. 2013; Kotoulas et al. 2017).** Deconvolution analysis of these bands shows a new band at 2,893 cm⁻¹, which is assigned to the branched alkyl chain. Based on that, it is suggested that during the disease's progression, free hydroxyl radicals (HO•) are produced through metabolic pathways and react with lipid chains leading to the formation of alkyl free radicals and then to radical-radical reactions in order to form stable products. The branched polymerization was also observed with SEM microscope.

Region 1,800-1,500 cm⁻¹

This region is very important to study the changes which are induced from the diseases. The band at 1,741 cm⁻¹ is attributed to aldehyde ν CHO vibration mode. This band is a "marker band", which also shows the progression of the disease. As it is shown (Figure 2), the intensity of this band increases considerably in the spectra of hyperuricaemic patients and it is associated with lipid peroxidation of the biological membranes (**Mamarelis et al. 2010; Dritsa et al. 2013; Kotoulas et al. 2017).** Our findings are in agreement with literature data, where it was observed in animal models during ischemia/reoxygenation (**Salaris SC & Babbs CF 1989).** A further increase of the intensity of the band at 1,741 cm⁻¹ was observed in hyperuricaemic patients who were also diabetic.

The next intense band at 1,650 cm⁻¹ is assigned to vC=O of amide I of the peptide bond -NHCO-. This band decreases in intensity and shifts to lower wavenumber at 1,633 cm⁻¹ depending on the increase of serum uric acid. Deconvolution analysis showed three bands at: 1,691 cm⁻¹, 1,652 cm⁻¹ and 1,625 cm⁻¹ corresponding to antiparallel β -sheet, α -helix, random coil upon the disease development (**Dritsa et al. 2013; Kotoulas et al. 2017; Theophanides 1984).** The β -sheet formation confirms the development of lipophilic environment, which is induced by the oxidation of membranes and amyloid like protein formation. The amide II band becomes broad and deconvolution analysis showed three bands at 1,550 cm⁻¹, 1,540 cm⁻¹ and 1,514 cm⁻¹ upon amyloid protein formation. These bands are very characteristic and are observed in many diseases related to oxidative stress. After washing the biopsies

in hexane, a non-polar solvent, it was found that the intensity decrease of the amide I and II bands was associated with the decrease of ApoI/ ApoII ratio, which correspond to HDL and control LDL (Mamarelis et al. 2017). Spectral region 1,500-700 cm⁻¹

In this spectral region we get important information about the advanced glycation end products (AGEs) of the atheromatic plaques. Important bands are located at 1,460 cm⁻¹ and 1,454 cm⁻¹ as shown in the insert spectra D in figure 2. The first band derives from the asymmetric stretching vibration of v_{as} NC bond of urea (**Oliver et al.** 2016). The intensity and shape of the first band is also related to other parameters, such as elevated serum glucose in patients. The second band at 1,454 cm⁻¹ is assigned to symmetric stretching vibration of v_2 CO₂²⁻ anions (**Raptis et al. 2000; Petra et al. 2005**). The latter band in combination with the observed band at 874 cm⁻¹, which is assigned to $v_4 CO_3^{2}$ vibrations suggest that the atheromatic plaque contains calcium carbonate salts and that acidosis could take place during the atheromatic plaque formation. These results are in accordance with literature data, where it was reported that under anaerobic conditions, low pH induces hydrolysis of ATP and affects the calcium homeostasis. It was described that during oxidative stress the Ca²⁺ cations enter in the cycle of CO₂ and yield calcium carbonates, which inactivate NADH and thus lead to cardiovascular diseases (Brandes R & Bers DM 1997). Moreover, accumulation of Ca2+ has been found to inactivate the protein folding messengers through the disulfide bond formation (-S-S-) and electron transfer reactions between thiol-disulfide bonds (Bhandary et al. 2013).

In the spectral region 1,300-900 cm⁻¹ the bands arise from amide III, the endo- and exo- stretching vibrations of ν -C-O-C- of sugars, ν C-O-P-O of sugar phosphate modes and ν PO₂⁻ in phosphodiester groups of the phospholipids and DNA (Figure 2). This region could be characterized

as the "fingerprint" of sugar molecules. In the spectra of the patients with elevated values of serum uric acid, an increase in intensity of the bands at 1,237 and 1,161 cm⁻¹, which are assigned to sugars, was observed (Figure 2b and 2c). This is most likely due to glycation of collagen under oxidative conditions (Verzijl et al. 2000). The changes were more pronounced in patients with elevated also the glucose in their serum. From the shape of the spectral band near 1095 cm⁻¹ it is suggested that the formation of calcium phosphate salts arise mostly from the reaction of calcium cations with the negative oxygen atoms of phospholipids.

SEM-EDX analysis

Figure 3 illustrates the architecture of the carotid artery of a hyperuricaemic patient. As it is shown, the morphology is not homogenous, but it contains foam cells, fibrils and inorganic salts in different sizes. The detection of fibrils is in accordance with the spectroscopic findings concerning the bands related to β -sheets which lead to amyloid protein formation. SEM-EDX chemical elementary analysis shows the presence of nitrogen (N), phosphorous (P), chloride (Cl), sodium (Na), magnesium (Mg), calcium (Ca) and molybdenum (Mo).

It must be noted that molybdenum was found in the carotid arteries as well as the aortic valve of hyperuricaemic patients only by performing SEM analysis. This observation indicates that in patients with excess serum uric acid the redox potential of molybdenum has been changed. In order to explain the presence of molybdenum in the atherosclerotic plaque of patients, we must accept molybdenum was removed from the centre the of enzymes. It is known that xanthine oxidoreductase (XOR) is a molybdenum enzyme, which catalyses the oxidation of xanthine in the purine metabolism to uric acid. This finding suggests that the

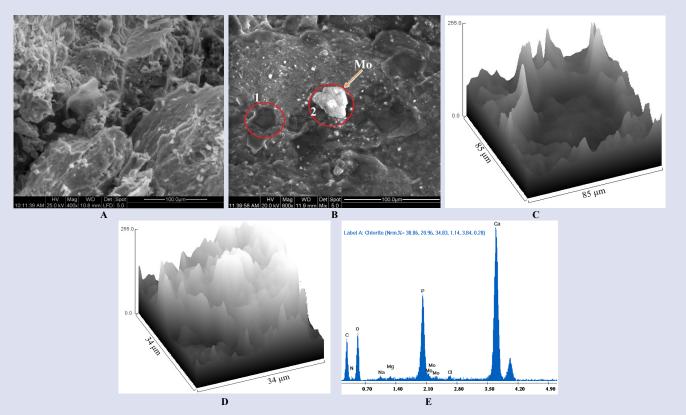


Figure 3. A) SEM illustration of carotid artery architecture of a hyperuricaemic patient. B) SEM morphology in salts rich region. C, D) ImageJ analysis of the region rich in foam cells (1) and the white spot (2), where the arrow shows the molybdenum deposit. E) SEM-EDX elementary analysis of the atheromatic components of the picture B.

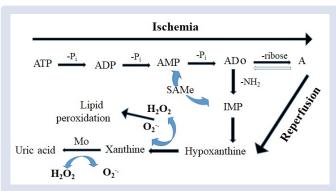


Figure 4. Schematic representation of uric acid production in the cycle ischemia-reperfusion injury. The steps of ATP dephosphorylation, hydrogen peroxide and superoxide anion free radical (O2-.) production are shown. (ATP: Adenosine triphosphate; Ado: Adenosine; A: Adenine, Pi: PO43-; IMP: Inosine monophosphate; SAMe: S-adenosyl-L-methionine).

molybdenum cations of the enzymes react with superoxide anions (O_2^{-1} leading to molybdenum (Mo) release and that the xanthine oxidase (XOR) cannot normalize the uric acid of the patients anymore.

A cofactor deficiency affects the sulphur and xanthine metabolism, resulting in altered concentrations (high or low) of uric acid in the blood serum. Aldehyde catalases catalyse the oxidation reactions of aldehydes and various nitrogen cyclic compounds (**Van Gennip et al. 1994**). These data could explain the intensity increase of the absorption band at 1,741 cm⁻¹ in the spectra of hyperuricaemic patients, which is assigned to aldehyde group (-CHO).

Figure 4 gives schematically the reactions which take place during ischemia and reperfusion.

The excess of uric acid increases the yield of peroxynitrite ions (ONOO⁻), potent non free radical oxidant species, which are implicated in the pathophysiology of several cardiovascular diseases including autoimmune myocarditis, hypertention and heart failure. On the other hand, molybdenum, as a transition metal can react with hydrogen peroxide to produce reactive oxygen species (ROS), increasing thus lipid peroxidation. By increasing the number of ROS we are increasing the rate of atherosclerotic plaque formation. Animal studies showed that XOR, which causes oxidative stress, increased myocardial oxygen consumption and was associated with ischemic heart attack (**Rajendra et al. 2011**). The involvement of free radical during ischemia/ reperfusion is shown in Figure 4.

The dephosphorylation of ATP gives phosphate groups that react with calcium cations and form calcium phosphate salts, which had been detected in the FT-IR spectra. In Figure 4 it is shown that during ischemia, the reverse reaction of ADP to ATP is inhibited. It was also observed that at the end of ischemic reperfusion the depletion of ATP increases by 3-fold the production of Pi (inorganic phosphates, PO_4^{3-}) (**Cave et al. 2000**). Research data indicate that ATP oxidative phosphorylation plays an important role in atherosclerotic plaque formation and aortic valve calcification (**Cote et al. 2012; Kohlhaas M & Maack C 2013**).

CONCLUSION

FT-IR spectroscopic data in combination with SEM-EDX analysis have shown that in hyperuricaemic patients with carotid artery atherosclerosis, the molybdenum is released from the active centre of molybdenoenzymes inhibiting the oxidation of hypoxanthine to xanthine, increasing the yield of uric acid, hydrogen peroxide and reactive oxygen species. The appearance of the infrared bands at 1,741 cm⁻¹ and 1,460 cm⁻¹ which are related to the peroxidation of membrane lipids and phospholipids and the presence of urea molecules respectively, suggest an altered metabolic pathway. The elevated serum uric acid seems to be related to glycation products, which play crucial role in cardiovascular diseases. Moreover, FT-IR spectroscopy could be used to characterize the atheromatic plaque's components and to approach the mechanism of the plaque formation in order to design and develop new treatments.

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