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# The SFL genre-based approach to writing in EFL contexts

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## Abstract

This research investigates the changes in English as a Foreign Language (EFL) learners' psychological attributes in relation to awareness of the lexicogrammatical features and generic structures of a discussion genre essay. This was achieved by implementing a genre-based approach to text-based writing lessons during a 15-week course. The following lesson procedure was implemented: stage (1): modeling and deconstruction of a text, stage (2): writing of target texts, stage (3): genre analysis of peers' essays, and stage (4): writing of an analysis reflection. The results indicated specific improvements in genre-based writing, particularly among low-proficiency English learners. Results suggest that their understanding of "interpersonal meaning" such as modal verbs improved. Improvements in the use of modal auxiliaries were also noted, in that the word "should" did not appear in pre-writing texts; however, the frequency improved in post-writing texts, especially in the final paragraph, which comprised the writers' opinions and suggestions.

**Keywords:** English as a foreign/ second language (EFL/ ESL), English for speakers of other languages (ESOL), Linguistics, Teacher and learner variables

## Introduction

Systematic functional linguistics (SFL) is a theoretical approach that analyzes the relationship between social contexts and linguistic aspects (Halliday & Matthiessen, 2014). The core concepts of SFL are language as functional and language as meaning-making (Halliday, 1978). The first concept refers to language attributes: understanding a language means understanding how people use the language in context and how it is structured for use (Halliday & Matthiessen, 2014). According to the second concept, language as meaning-making, speakers and writers have a systematic choice in a particular context (Halliday & Matthiessen, 2014). When writing an email message to a friend, person A chooses particular lexicogrammatical functions, which are different from those used when the same person writes an email message to inquire about a job. Thus, the context of a situation is related to the meaning-making choice.

The common focused features of English as a Foreign Language (EFL) learning in high school classrooms in Japan among 2134 high school teachers in 2015 were reading texts aloud, practicing pronunciation, and explaining the uses of lexicogrammar (Benesse Educational Research and Development Institute, 2016). Having learners write sentences or essays about their opinions and summarizing textbook contents were less focused during EFL lessons at Japanese high schools (Benesse Educational

Research and Development Institute, 2016). One study revealed how 140 EFL learners at a private university in Japan considered the importance of learning English: 28% of them considered improving listening skills to be important, while 11% of them agreed that learning how to write texts in English was important (Yamashita, 2012). Sugiura (2017) explores the changes of 37 Japanese university students' understanding of their English learning styles from when they were high school students to when they became university students through an analysis of narrative frameworks. Many of them learned lexicogrammar of English using textbooks provided in a teacher-dominated setting in high school, while they tended to be taught mostly through practicing verbal communication while at university (Sugiura, 2017). Their narratives, however, said far less about the ways that they learned how to write in English. Murakoshi (2015) reported that writing practices and activities in classrooms tended to consist of opportunities for EFL learners to practice writing sentences and paragraphs in order to establish linguistic knowledge. The aim of this paper is (1) to introduce an SFL-genre-based approach to teaching methodology that reckons with the changes in EFL learners' awareness of target genre in particular learning contexts, and (2) to explore the idea that learning how to write consists of more than creating a cognitive network in terms of linguistic knowledge as it is processed by learners' brains. Language as functional and language as meaning-making purposes is applicable or not within the EFL classroom contexts.

**Literature review**

**Systematic functional linguistics and genre**

In SFL theory, the relational concept of linking the context of a situation with linguistic choices is called register. Register has three features; Field, Tenor, and Mode, which are related to the following metafunctions (see Table 1; Halliday & Matthiessen, 2014; Martin & Rose, 2008). The Field facilitates understanding of how and when people use particular lexicogrammatical features such as mental verbs and elements of cohesion; these are ideational metafunctions that allow us to understand what is occurring in the text. The Tenor facilitates understanding of how and when the writer chooses particular modalities and appraisal systems; this interpersonal metafunction helps us to understand the relationship between writers and readers. Finally, the Mode facilitates analysis and understanding of the Theme and Rheme as well as cohesive devices; this textual metafunction allows us to understand how the text is organized.

**Table 1** Context of the Situation and Linguistic Features

Layers	Categories		
Context	Field	Tenor	Mode
Register variables	What is going on in the text?	What is the relationship between writers and readers?	How is the text organized, in spoken text or written text?
Linguistic realization in metafunction	Ideational meaning: when, how, who, where, and what	Interpersonal learning: politeness, modality, and evaluative terms; attitudes, positions, and social roles	Textual meaning: Theme and Rheme as well as new and old information.
Lexicogrammatical features	Vocabulary, grammar, and tense	Mood, modalities	Theme; this, it, and that

*Note.* Information in the table is based on Halliday and Matthiessen (2014)

Genre in SFL represents the systems of social processes that constitute a culture (Martin & White, 2005). Rose (2013, p. 209) claimed that the approach of genre has been influenced by features: “Halliday’s (1975) theory of language regarding the social semiotic process, Bernstein’s (1990) sociological theory, and a series of large-scale action research projects in literacy education (Martin, 1999; Rose, 2008).” Bhatia (2004) summarized some common features of genre studies: Genre refers to language in a stylized communicative setting in order to allow speakers and writers to express a definitive set of communicative goals of a disciplinary or social institution. Genre gives us stable structural forms, including the use of lexicogrammatical and semiotic resources (Bhatia, 2004).

Genre was defined differently in English for specific purposes (ESP) by Swales (1990, p. 58), who defined the key concepts in ESP as “discourse community, communicative purpose, and genre.” Here, genre is a category of communicative events holding some communicative purpose. In other words, genres in ESP are understood as communicative tools in the social context of the discourse community, and understanding the functions of generic structure and lexicogrammar help us to understand the communicative goals (Fakhruddin & Hassan, 2015). In sum, genres in ESP are forms of communicative action.

Martin (1984, p. 25) stated that genre in SFL is “goal-oriented and has a social activity purpose:” writers and speakers who use the genre engage in these activities as “members of their culture.” Eggins (2004) proposed that each genre text has a different cultural purpose based on its generic structure and realizational pattern. Realization of the relationship between (1) social purposes and (2) contexts as specific linguistic interactions is the key concept in SFL (Fakhruddin & Hassan, 2015). Understanding social purposes and contexts allows us to discern the meaning of the language and specific social actions (Fakhruddin & Hassan, 2015).

In this study, genre is defined as types of written text that help us to understand the relationship between the text’s social purpose and structure, which influence writers’ linguistic actions.

### **Previous research on the SFL genre (text)-based approach of writing in EFL contexts**

Most current research on the genre-based approach (GBA) and SFL have implied improvement in learners’ generic structural awareness rather than in their lexicogrammatical meaning-making choices. Chen and Su (2012) and Feez (2002) emphasized that the use of GBA with the teaching-learning cycle (TLC) has five stages: (1) setting of the context, (2) modeling, (3) joint construction, (4) independent construction, and (5) comparison. The GBA and TLC were effective in terms of learners’ summarization ability, especially their organization of the narrative genre text’s structure rather than vocabulary and language use (Chen & Su, 2012; Feez, 1998; Feez, 2002). Carstens (2009) investigated the effectiveness of using GBA with SFL grammar as the theoretical framework for teaching academic writing to second-year undergraduate students of Humanities at the University of Pretoria. Although it is challenging for many tertiary-level learners to acquire specific knowledge and skills to write particular academic genre texts, genre-based writing has the capability to enhance their writing abilities. However, very little empirical research has been conducted in this area. Carstens’ (2009) study

applied a mixed methods design using genre analysis, discourse analysis, survey of writing tasks, and pre- and posttest essay ratings. The results indicated that the following genre types were required to write academic essays: argumentation, discussion, explanation, and description genre texts (Carstens, 2009). Despite limited research, studies on EFL writing based on SFL-GBA teaching and learning have found that learners improved their understanding of the logico-semantic relationship. In Srinon's (2011) study, learners' use of resources to construct a logico-semantic relationship increased; that is, the frequency of using single-clause sentences reduced, and sentences including hypotaxis and parataxis increased.

SFL-GBA teaching usually involves the TLC, which is a systematic approach guiding EFL learners on how to interrelate lexicogrammatical features as well as functions and writers' shared experiences in the context when they write. The original TLC has three stages: deconstruction of the target genre's written texts, joint construction, and independent construction (Feez, 1998; Feez, 2002). Many studies on SFL-GBA writing have reported the benefits of applying this TLC to explore the degree to which genre-based teaching allows learners to gain genre awareness and improve writing quality when they write argumentative essays (Tsou & Lin, 2013). However, in their study, Tsou and Lin (2013) found that the control group learners' understanding of genre awareness did not improve significantly. The concept of TLC allows EFL teachers and instructors to utilize the scaffolding strategy. Syarifah and Gunawan (2015) observed improvement in six EFL learners' writing performance of a discussion genre text; in particular, the social function, schematic structure, and language features improved because of the SFL-GBA writing lesson. Thus, their results showed that scaffolding was embedded in the TLC. Viriya and Wasanasomsithi (2017) used the genre approach to writing lessons while using the TLC (Feez, 2002) for a 12-week period. The target for these learners was to produce a genre text that was informative and persuasive. However, there was little improvement in the learners' awareness of the reader-writer relationship and what they should write about. Viriya and Wasanasomsithi (2017) concluded that these learners' discourse community was within the classroom, and therefore they knew that their audience, that is, the reader of the text, would only be the instructor. Therefore, awareness of the reader-writer relationship did not improve significantly in the post-task. In SFL theory, the concept of Tenor indicates the degree of formality, power, and effect that usually influence interpersonal choices in terms of linguistics systems (Halliday, 1978, p. 143). However, this idea might have been omitted in the learning classroom context in Viriya and Wasanasomsithi's (2017) study.

Finally, although the majority of research on GBA teaching and learning has found that the SFL-GBA pedagogy is useful for EFL learners, most studies have demonstrated little understanding regarding which features of the approach are useful. Many studies have focused on learners' generic awareness improvement but not on the changes in teachers' understanding of how to teach writing using SFL and GBA. Shi (2015) applied a qualitative case study method by analyzing interviews, classroom observations, and students' writing data. These students' target genre was a discussion text. The authors found that through the workshop training, teachers' (1) curricular knowledge, (2) subject matter content knowledge, and (3) pedagogical content knowledge about the target genre was improved. These teachers realized that their former approach of teaching how to write the target genre text was too general, with insufficient details about the

generic structure. They found that genre pedagogy can provide learners with step-by-step instructions on how to write the target text. The teachers' content knowledge of the target genre text allowed them to gain subject matter content knowledge and curriculum knowledge. These teachers believed that the modeling stage of the TLC in particular, allowed students to gain awareness of the textual features within target genre texts.

#### **SFL-GBA writing research on EFL contexts in Japan**

Contemporary genre research into the Japanese language has been conducted since the 1970s, focusing in particular on Japanese discourses. Therefore, the study of genre in Japanese language using the concept of SFL as a framework is now a well-established field of research (Thomson, de Silva Joyce, & Sano, 2017). However, EFL research on writing using the concept of SFL in the classroom context in Japan has not yet been fully established. The concept of SFL has recently been appreciated in EFL-based countries, and its theory and practicum have been integrated into English classes. Tatsuki (2006) analyzed the relationship between generic structures and lexicogrammatical features, and introduced the concept of SFL theory and practical application using genre texts with real situations.

Cornelius and Cotsworth (2015) clearly explained possibilities for applying SFL-GBA teaching in classroom learning contexts. Novice writers may find the conventionalities of EFL academic writing problematic, especially for lower English proficiency learners in the EFL classroom contexts of Japanese universities (Cornelius & Cotsworth, 2015). Cornelius and Cotsworth found that the Australian School of Genre approach (i.e., the TLC) can be an alternative solution that allows EFL learners to understand how the text is composed using a holistic approach and also allows EFL teachers to teach by explaining the target genre texts' purpose, generic structures, and linguistic markers. Moreover, through this GBA of learning, learners have the responsibility for the output and their interaction (Cornelius & Cotsworth, 2015). During EFL learning in Japan, many learners might think that the grammar function, vocabulary, and meaning of a genre are separate aspects; however, the core concept of SFL and GBA is that "grammar and vocabulary are related to the meaning of the genre and not viewed as separate aspects" (Cornelius & Cotsworth, 2015, p. 19). It is important for EFL learners to become familiar with the text's purpose, structure, and language features by engaging in tasks with sample texts that allow them to recognize the particular genre (Cornelius & Cotsworth, 2015). The GBA method of learning writing benefits novice EFL learners in Japan by ensuring the above (Cornelius & Cotsworth, 2015). In addition, EFL learners need to be aware of the different social contexts when they engage in writing; however, it can be challenging for EFL learners to understand these differences (Badger & White, 2000), as they affect the choice of appropriate lexicogrammar and generic structure that are suited to the social context. For example, writing factual description texts and writing opinion essays use different lexicogrammatical features such as modalities. Learning to write using the GBA can solve the above issue.

Watanabe (2016) analyzed 50 writing tasks given in university entrance examinations in Japan. Learning of English in junior and senior high schools in Japan is highly entrance-examination driven; in other words, learners at high schools are familiar with

how to write these particular genre texts through classroom lessons, although they do not have sufficient exposure to other genres. Thirty EFL learners in Japan, who were in two different proficiency groups, participated in the GBA with the SFL framework; these learners' understanding of tone, register, and audience awareness were improved in the post-writing task (Yasuda, 2012).

Yasuda (2015) used SFL as the research framework to investigate the changes in EFL learners' generic and language features. This author identified changes in learners' understanding of interpersonal and textual meanings through SFL-informed genre analysis tasks. Yasuda (2015) concluded that genre-specific language learning with an SFL framework can enhance writers' awareness of ideational, interpersonal, and textual meanings in summary writing. One limitation of Yasuda's (2015) study, however, was the focus on learners with different English proficiency levels. Therefore, this study attempts to examine two groups: learners with higher and lower English proficiency.

### **Contribution of this study**

The efficacy of the approach of genre-based pedagogy (GBP) in teaching EFL academic writing and in learners' learning of specific genres has not been well discussed in theoretical and anecdotal research. Few empirical studies have been conducted to assess whether the GBP approach benefits learners in their learning of a target genre. The use of the GBA with the SFL framework is a relatively new method of teaching English language writing to undergraduate university students in Japan. Therefore, this study was conducted to answer the following research questions:

RQ 1 How does the learners' awareness of the target genre text change in terms of their use of generic structures and appropriate lexicogrammatical choice in the General English for Academic Purposes writing class?

RQ 2 How do learners understand words that have interpersonal meanings, and how do they use modal auxiliaries in writing a discussion genre essay?

## **Methods**

### **Participants**

The study participants comprised 27 first-year university students who belonged to two different classrooms: 13 EFL learners (female:  $n = 9$ , male:  $n = 4$ ) in the higher English proficiency class, which is equivalent to IELTS 5.5 (upper-intermediate level) and 14 learners (female:  $n = 11$ , male:  $n = 3$ ) in the lower English proficiency group, which was equivalent to IELTS 4.0 (pre-intermediate level). The common academic goals in their discourse community were (1) to participate in studies abroad, a university requirement for graduation and (2) to improve their meaning-making lexicogrammatical choices when writing academic essays such as discussion genre essays. Their English proficiency and understanding of a variety of genre texts were very limited; most participants with lower English proficiency had difficulty comprehending academic writing papers with several paragraphs. All participants were informed of the research purpose and asked to fill in their informed consent form at an early stage in the semester, before

they participated in this research. The research purpose and preservation of participant anonymity were explained to them whenever they participated in tasks.

### Procedures

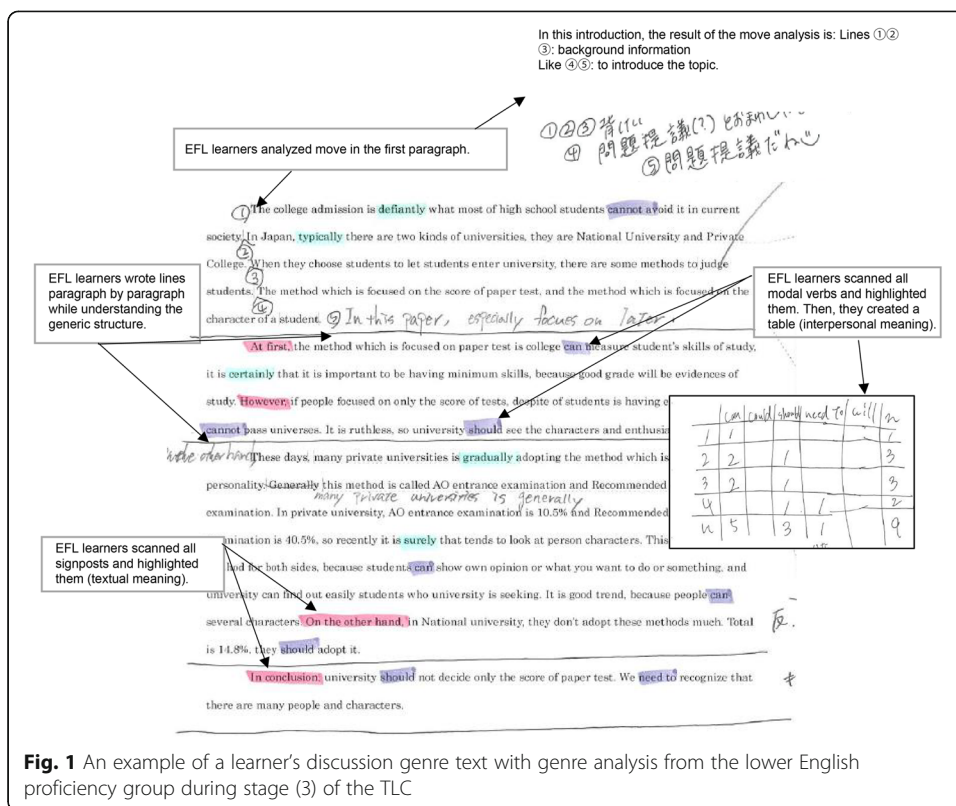
The following theories were applied as the framework in a 15-week semester sequence of genre-based EFL instruction. The particular concept from Halliday and Matthiessen (2014) and Martin and Rose (2008) for theoretical framework has been applied in this reaching. The contents of tasks and activities include submaterials for each GBA lessons were applied from Carstens (2009), Chen and Su (2012), NSW Department of School Education (1989). Moreover, Feez's (2002) TLC was also applied three times during the 15-week lesson. The target genre text for the first cycle within 4 weeks was an "exposition (hortatory) genre text," that for the second cycle was an "exposition (analytical) genre text," and the third target genre was a "discussion genre text" during the last cycle. The fundamental lesson procedure for the TLC was as follows: (1) modeling and deconstructing a text, (2) writing the target text, (3) analyzing the genres of peers' essays, and (4) writing the analysis reflection sheet.

In stage (1), learners had an opportunity to analyze the structure and language features of the modeling text. After decoding the model text, learners were asked to find a text similar to the target genre text online and then analyze it. That is, the learners were provided a great deal of input and time to expose themselves to the target genre in order to allow them to see how the purpose of the genre text is conveyed through the text organization and language features (Cornelius & Cotsworth, 2015; Hammond et al., 1992).

In stage (2), learners completed a timed writing exercise within 50 to 60 min. In stage (3), they analyzed the target genre essay's generic structure and language features using peers' essays; questions for the analysis were taken from Shi (2015). First, a move analysis was conducted for the first, second, and third paragraphs of the discussion type essay to assess learners' understanding of the generic structure (see Fig. 1). Second, learners identified the frequency of the use of personal nouns and action verbs to assess the writers' appropriate lexicogrammatical field choices. They then analyzed the frequency of word modalities, such as modal verbs and adverbs, to evaluate the appropriate tenor choices. Next, the frequency of word construct conjunctions, that is, appropriate mode choices, was assessed. The final task was to reflect on the learners' genre analysis results.

### Data sources

In phase 1, to elicit in-depth qualitative insights to answer RQ 1, 21 learners conducted genre analysis reflection of written texts (higher-level:  $n = 9$ , lower-level:  $n = 12$ ). Six students did not complete this task, as they were absent. In stage (4) of Feez's (2002) TLC, immediately after the learners completed the segment analysis of their peers' essays, they completed the reflection writing task using the analysis reflecting sheet, which took approximately 40 min; this was conducted at the end of the semester. Learners were given open-ended reflection questions on which they needed to reflect based on their understanding of the target genre text. The questions were based on Nagao (2017), Shi (2015, p. 263), and Yasuda (2015). The questions for the analysis



sheets are follows: Q1: Please summarize the features that provided new information and knowledge through this genre analysis of a peer's discussion essay and Q2: Please summarize the result of the genre analysis. Discuss why the results were different from yours.

In Phase 2, discussion type essays that learners produced at the beginning and end of the semester as a non-instructional and instructional writing task, respectively, were explored in order to answer RQ 2: How do learners understand words that have interpersonal meanings, and how do they use modal auxiliaries in writing a discussion genre essay? The writing topics were "Does the individual have the right to privacy?" for the pre-writing task and "Should academic achievement be a primary consideration for college admission?" for the post-writing task. Twenty-one learners participated in these tasks (higher-level: n = 9, lower-level: n = 12).

The attribution discussion genre essay was adopted from the NSW Department of School Education's (1989) Metropolitan East Disadvantaged Schools Program and Srinon (2011). The function of the discussion genre essay is for writers to present information and explain arguments from both sides (for and against; pros and cons) of the topic and issue. Based on these evidential explanations, writers introduced their own opinions and recommendations in the final paragraph. During the post-instructional writing task, learners had the opportunity to consider who could be an appropriate audience for the target genre texts. The generic (schematic) structure of the discussion genre essay comprises four paragraphs: introduce the topic and issue, write arguments for (or against), write arguments against (or for), and write recommendations and the writer's opinion. The language features of the discussion genre essay are: some



vocabulary items embedded in the (1) ideational meaning (Field) such as human and non-human participants and mental and action verbs, (2) interpersonal meaning (Tenor) such as modal verbs and adverbs, and (3) textual meaning (Mode) such as construct conjunctions.

**Analyses**

Phase 1—12 criteria from Burns, Joyce, and Gollin (1996) were applied to assess the self-reflection content written to explore how learners used generic structure and lexicogrammar in their essays (see Table 2). All 318 sentences (higher-level: *n* = 172, lower-level: *n* = 146) of the learners’ self-reflection written texts were coded with the 12 criteria.

Phase 2—Pre- and post-timed writing: This study focuses on modal-makers based on the SFL framework (Halliday & Matthiessen, 2014), which is classified into two different types: modality-type and modal semantics. The modality type is categorized into verbal modality and nonverbal modality. In verbal modality, modal auxiliaries such as *can*, *may*, and *could*, semi-modal verbs such as *have to* and *ought to*, and lexical verbs such as *allow* and *oblige* are listed. This study analyzes the frequency of modal auxiliaries. According to Halliday and Matthiessen (2014) and Halliday and Hasan (1989), modal verbs have different degrees of certainty, probability, or obligation, and choosing appropriate modal verbs is essential to realizing the interpersonal functions and expressing the social roles of writers and readers. Moreover, writers and speakers use these modal verbs to reflect their attitudes such as judgment (e.g., *You must know a lot of things now.*) and possibilities (e.g., *I can participate in the meeting tomorrow.*) toward a situation or event, which are called values. These values are classified as high, median, and low (Table 3). For example, one hypothesis is that a large number of modal

**Table 2** Assessment Criteria

Categories	Sub-categories	Assessment Criteria
1 Generic Structure	Identifies sociocultural purpose of genre	(1) To identify the purpose of the discussion essay
	Identifies motivation of genre as interpersonal or pragmatic	(2) To describe the structure of the target genre: 1) Issue, 2) Argument for, 3) Argument against, and 4) Conclusion
2 Lexicogrammar	Makes appropriate lexicogrammatical ideational meaning choices (Field)	(3) To explain general personal nouns (4) To write about the uses of abstract nouns (5) To write about the uses of action verbs (6) To write about the uses of “verbal verbs”
	Makes appropriate interpersonal meaning choices (Tenor)	(7) To write about modalities (8) To write about modality + adverbs (9) To write these fixed phrases to express the writer’s opinion/modality: <i>It is possible that, I guess that, or It is certain that</i>
	Makes appropriate textual meaning choices (Mode)	(10) To explain construct conjunctions and signposts (11) To explain demonstrative pronouns such as <i>that</i> and <i>this</i> (12) To use appropriate thematic ties and referential links to extend genre

*Note.* Table adapted from Burns et al. (1996, p. 94); Nagao (2018)

**Table 3** Different Value Degrees of Modal Verbs

Values	Modal verbs
High	must, should, ought to, need to, has to, is to
Median	will, would, shall
Low	may, might, can, could

*Note.* The information is based on Halliday (1994, p. 362) and Tatsuki (2006, p. 76)

auxiliaries with high values—*must, should, ought to, need to, has to, or is to*—will possibly appear in the final paragraph of the discussion genre text for writers to express their strong opinions. The other hypothesis is that the modal auxiliary, *will*, may appear in the introduction paragraph of the discussion genre essay. EFL learners from the 15-week lesson used in this research were taught the different value degrees of modal verbs (see Table 3) during stage (1) of the TLC for modeling and deconstructing a text. First, the results of the statistical description were analyzed using the UAM corpus tool, which facilitates linguistic annotation of text corpora. Second, the frequencies of modal auxiliaries such as *can, may, and could* were identified for each paragraph of the learners’ pre- and post-writing essays (Shi, 2015). Before writing the post-essay, learners were taught the precise classification of the values of modal auxiliaries. Dates for the collection of the essays (data) are provided in Table 4.

**Results**

**Phase 1: genre analysis of peers’ reflection essay results**

To answer RQ 1, the learners’ reflections on the results of the genre analysis, primarily regarding the generic structure and language features of their peers’ essays were explored. From the reflection analysis sheets, 172 sentences from the higher English proficiency group and 146 sentences from the lower English proficiency group were analyzed. The results of phase 1 are illustrated in Table 5. As for the result of the generic structure, more learners in the higher English proficiency group than in the lower English proficiency group tended to present data analysis and discussion about their outcomes (higher-level: *n* = 70, lower-level: *n* = 44). Similar results were observed for the reflection on genre purpose (higher-level: *n* = 8, lower-level: *n* = 3). For instance, for the generic structure analysis such as move analysis of the first paragraph for the discussion genre, one learner stated the following:

My partner’s structure in the introduction part was in this order: (1) describe the current situation, (2) introduce the essay topic, (3) explain the background issue of the topic, and then finally (4) provide some explanation that played a bridge function for readers to understand what the second and third paragraphs would explain. On

**Table 4** Data Collection Dates

	Pre	Post
Pre-non instructional timed writing essay <sup>a</sup> and post-instructional timed writing essay	September, 2017	January, 2018
Self-reflection written texts: Analysis sheet		January, 2018

*Note.* <sup>a</sup>The pre-non instructional timed-writing essay task was conducted before the first TLC was introduced in the classroom

**Table 5** Frequency Analysis Results of Learners’ Reflection Sheets of the Genre Analysis of Peers’ Essays

	Criteria	Higher-level	Lower-level
1 Generic structure	(1) To identify the purpose of the discussion essay	8	3
	(2) To describe the structure of the target genre: 1) Issue, 2) Argument for, 3) Argument against, and 4) Conclusion	70	44
	Total	78 (46%)	47 (32%)
2 Lexicogrammar	(3) To explain general personal nouns	2	0
	(4) To write about the uses of abstract nouns	0	0
	(5) To write about the uses of action verbs	0	0
	(6) To write about the uses of “verbal verbs”	0	0
	Total	2 (1%)	0 (0%)
	(7) To write about modalities	35	23
	(8) To write about modality + adverbs	12	23
	(9) To write these fixed phrases to express the writer’s opinion/modality: <i>It is possible that, I guess that, or It is certain that</i>	3	0
	Total	50 (29%)	46 (31%)
	(10) To explain construct conjunctions and signposts	14	30
	(11) To explain demonstrative pronouns such as <i>that</i> and <i>this</i>	0	0
	(12) To use appropriate thematic ties and referential links to extend genre	0	0
Total	14 (8%)	30 (21%)	
Other features	28 (16%)	23 (16%)	
Sum	172	146	

Note. Numbers indicate frequency. The criteria are adapted from Burns et al. (1996) and Nagao (2018). The 12 criteria are the same as those given in Table 2

the other hand, the first paragraph of the introduction part of my essay had the following structure: (1) describe the background information, (2) describe the current situation, (3) introduce the essay topic, and (4) provide some statistical data. Overall, through this move analysis of the first paragraph, I realized that the last sentence of the paragraph with the bridge function made it easier for me to understand the essay. (extracted from the reflection sheet of Student no. 6 from the higher English proficiency group)

In terms of the generic structure analysis, some learners analyzed the second, third, and final paragraphs. An example is given as follows:

In my partner’s essay, the content of the second, third, and concluding paragraphs was in the order of (1) argument against, (2) argument for, and (3) argument against. I wrote the same pattern. This pattern is good for readers because readers can compare the arguments given in the second and third paragraphs and then read the content of the final paragraph; in this case, the “argument against” is emphasized. So, I think this pattern of writing can be effective for readers to remember what the writer wanted to say. (extracted from the reflection sheet from Student no. 2 in the lower English proficiency group)

Regarding the results of the lexicogrammatical features for making appropriate ideational meaning (Field), a few learners in the higher English proficiency group analyzed

the use of personal pronouns, while learners in the lower English proficiency group did not mention the process (verbs). Vocabulary items related to interpersonal meaning were analyzed by a large number of learners in both the higher- and lower-level groups. Learners clearly showed understanding of how to write modalities such as *will*, *can*, *should*, and *need to*, as well as how to write adverbs such as *typically*, *absolutely*, and *definitely* in their reflection analysis sheets (higher-level:  $n = 50$ , lower-level:  $n = 46$ ). Appropriate lexicogrammatical features of textual meaning, especially the use of “construct conjunctions” and “signposts,” received attention from EFL learners in the lower English proficiency group (higher-level:  $n = 14$ , lower-level:  $n = 30$ ). For example, Student no. 9 in the lower English proficiency group wrote in an analysis comment, “I think that these signposts, such as *In fact* and *Besides*, are persuasive for readers.”

### **Phase 2: pre non-instructional timed writing and post instructional timed writing tasks**

In phase 1, in both the higher and lower English proficiency groups, the data analysis result suggests that many learners illustrated their understanding in their reflection sheets of how to use vocabulary items related to the interpersonal meaning of the target text, especially the use of modal verbs, *will*, *can*, *should*, and *need to*. In phase 2, the answer to RQ 2 was explored.

In this phase, pre-instructed essays and post-instructed essays were analyzed. The total number of words in the text was counted (higher-level:  $n = 1433$ , lower-level:  $n = 1017$ ), the total number of sentences (higher-level:  $n = 105$ , lower-level:  $n = 88$ ), and the frequency of the nine modal auxiliaries in each paragraph of learners’ pre- and post-discussion genre texts (see Table 6).

The results show that the frequency of the modal auxiliary, *shall*, did not change from the pre-writing texts to post-writing texts in either the higher- or lower-level groups. That is to say, no learner used the word *shall* in either their pre- or post-writing essays. The frequency of the use of *must* was 2 in the pre-writing texts and 0 in the post-writing texts. The results of these modal auxiliaries, *can*, *will*, and *should*, showed a significant change from pre- to post-writing. The total frequency (both classes) of *can* in the first and second paragraphs was large in the pre-writing texts, and most appearances of *can* were in the second and third paragraphs of the post-writing texts. The results show that the frequency of the modal auxiliary *will* changed dramatically between the pre- and post-writing texts. The frequency was 1 in the first paragraph in the pre-writing texts, but 9 for the post-writing texts in total. A similar change was found in the second paragraph. Finally, the frequency of the modal auxiliary *should* also changed dramatically, especially in the final paragraph; in the final paragraphs, the frequency was 12 for the post-writing texts but only 3 for the pre-writing texts, and even then, it was only found among higher proficiency learners. No learners in the lower level wrote *should* in the pre-writing; its use frequency was 1 in the first paragraph, 3 in the second paragraph, 1 in the third paragraph, and 8 in the final paragraph in post-writing texts. To sum up, the post-test results for lower-proficiency learners showed a dramatic increase in the use of the words *should* and *will*, which did not appear at all in their pre-writing texts; *will* was used frequently in the first paragraph of the post-tests, which denotes intention, while *should* appeared often in the final paragraph.

**Table 6** Frequency Analysis of the Modal Auxiliaries of the Pre-and Post-Genre Essays

Paragraph		can								might							
		Pre				Post				Pre				Post			
		1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Higher	Total	6	10	6	0	3	12	7	4	1	0	2	2	0	0	1	0
	Mean	0.7	1.1	0.7	0	0.3	1.3	0.8	0.4	0	0	0	0	0	0	0.1	0
Lower	Total	7	7	1	0	4	7	9	4	0	0	0	0	0	0	0	0
	Mean	0.6	0.6	0.1	0	0.4	0.6	0.8	0.4	0	0	0	0	0	0	0	0
Paragraph		may								should							
		Pre				Post				Pre				Post			
		1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Higher	Total	0	0	0	0	0	0	0	1	2	3	2	3	3	3	0	4
	Mean	0	0	0	0	0	0	0	0.1	0	0	0	0	0.3	0.3	0	0.4
Lower	Total	1	0	0	0	1	3	0	0	0	0	0	0	1	3	1	8
	Mean	0.1	0	0	0	0.1	0.3	0	0	0	0	0	0	0.1	0.3	0.1	0.7
Paragraph		shall								would							
		Pre				Post				Pre				Post			
		1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Higher	Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
	Mean	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.1
Lower	Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0
	Mean	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.1	0
Paragraph		will								must							
		Pre				Post				Pre				Post			
		1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Higher	Total	1	2	0	1	4	4	2	6	0	0	0	0	0	0	0	0
	Mean	0	0	0	0	0.4	0.4	0.2	0.7	0	0	0	0	0	0	0	0
Lower	Total	0	0	0	0	5	6	1	3	2	0	0	0	0	0	0	0
	Mean	0	0	0	0	0.5	0.5	0.1	0.3	0.2	0	0	0	0	0	0	0
Paragraph		could															
		Pre				Post											
		1	2	3	4	1	2	3	4								
Higher	Total	1	0	0	0	0	2	2	0								
	Mean	0	0	0	0	0	0.2	0.2	0								
Lower	Total	0	0	0	0	0	1	1	0								
	Mean	0	0	0	0	0	0.1	0.1	0								

To sum up the frequency of the modal verb *will* in pre-writing tasks, the result shows that  $n = 1$  in the first paragraph,  $n = 2$  in the second paragraph, and  $n = 1$  in the conclusion of essays from the higher-level group; *will* was not used in any essay from the lower English proficiency group.

Learners in the higher-level group wrote sentences including the modal verb *will* in their pre-writing texts. The following is an example from the second paragraph: “[s]ome people think they have the right to privacy because they have to be protected by it. If someone uses their information for crime, their privacy *will* disappear” (extracted from Student no. 1). The use of *will* in the above sentence can be interpreted as

indicating probability. Student No. 6 writes as follows in the first paragraph: "If it had not been for individual privacy many people can know our privacy and it *will* cause problems"; this use of *will* reflects the writer's opinion of probability. Finally, the first paragraph of Student no. 8 states, "[t] his essay *will* discuss both views." This *will* can be interpreted as an obligation; the writer is supposed to introduce both views on the topic in the discussion genre essay.

Learners in the higher-level group wrote sentences including the modal verb *will* in their post-writing texts ( $n = 4$  in the first paragraph,  $n = 4$  in the second paragraph,  $n = 2$  in the third paragraph, and  $n = 6$  in the final paragraph). The common feature of the use of *will* in the first paragraph is that the writers used it to denote "obligation," which was the same result as in the pre-writing texts. In paragraph 2 of the post-writing texts, *will* appeared with the meanings of probability ( $n = 2$ ) and obligation ( $n = 1$ ). For example, Student no. 4 stated the following: "According to [newspaper's name] (2013), they decided that the National Center Test for University Admissions *will* be banned in the next few years and the new system will be adopted." This meaning of *will* possibly has a different meaning. *Will* denoting probability also appeared in both the third ( $n = 2$ ) and fourth paragraphs ( $n = 5$ ).

The total frequency of *can* in the first and second paragraphs was large in the pre-writing texts (first paragraph:  $n = 13$ , second paragraph:  $n = 17$ ), while most appearances of *can* were in the second and third paragraphs in the post-writing texts. The frequency of *must* was  $n = 2$  in the pre-writing texts but  $n = 0$  in the post-writing texts, and only among the lower English proficiency group.

## Discussion

Most EFL learners in Japan are told to memorize the literal meanings of vocabulary items without being taught their functional meanings. Thus, many English learners are taught that the word *can* denotes possibility, and to memorize the phrase *be able to* as it has the same meaning as *can*; this can be a typical way to teach. However, teaching writing based on SFL-GBA can provide EFL learners with the opportunity to learn that the word *can* has the meaning of possibility and also has a degree of value. Thus, when the writer wants to express and control his or her opinions or judgments, s/he can use the word *can* appropriately to create meaning between the writer and readers without making the first person *I* explicit (Halliday, 2001). The word *must* carries the highest probability among other modal verbs, as identified by Halliday (1985). Wang (2014) interpreted that the modal verb *will* shows the willingness of the writers and is also subjective and implicit.

In phase 1, in-depth, qualitative reflection sheets for genre analysis of peers' essays were used to analyze the students' meaning-making choices, demonstrating how much they understood the target genre text. In terms of understanding the generic structure and genre purpose, more learners with higher, rather than lower, English proficiency tended to show better understanding.

Regarding understanding of the lexicogrammar, lower English proficiency learners showed improvement in understanding some vocabulary items related to interpersonal meaning (Table 5; higher level group = 29%, lower-level group = 31%). Improvement in the understanding of modal auxiliaries (interpersonal meaning) in the target genre to examine their improvement of awareness was the focus. Interpersonal meanings are

expressed by modality (Halliday & Matthiessen, 2014), and there are links between the language systems and vocabulary choices made by the writer (Egins, 2004). Choosing an appropriate modal auxiliary in discussion genre texts is related to writers' level of familiarity with their audience and their attitudes and judgments (Egins, 2004). These features were found in the learners' reflection sheets. According to Student no. 9 of the higher-level group:

The genre analysis comparison data showed that, in my partner's essay, her opinion was against the topic and there was little supporting evidence. Therefore, it was hard for me to understand her (as the writer) opinion. My suggestion is to add some auxiliary verbs such as "can, must, should" in this final paragraph in order to show her strong opinion so that I (as the reader) can understand her opinion clearly.

Finally, in phase 2, to examine learners' proficiency improvement, frequency analysis of the modal auxiliaries in the pre- and post-genre essays was conducted. The results showed that the frequency of the modal auxiliaries *can*, *will*, and *should* changed significantly from pre- to post-writing. The modal verb *will* is explained as being closely related to the human activity of communication; in particular, *will* can denote "probability, usuality, obligation, or inclination" (Halliday & Matthiessen, 2014, p. 186, p. 691). Moreover, the results imply that use of this verb was associated with different meanings. However, it could not be confirmed whether learners used these different meanings of *will* intentionally.

The total frequency (for both classes) of *can* in the first and second paragraphs was large in the pre-writing texts, while most appearances of *can* occurred in the second and third paragraphs in the post-writing texts.

The most remarkable result was the frequency of the modal auxiliary *should*, particularly in the final paragraph of the lower English proficiency group. In the conclusion paragraph of the discussion genre text, no EFL writer in the lower English proficiency group chose to write *should* in the pre-writing task; however, *should* appeared several times in the post-writing texts ( $n = 8$ ).

In phase 1, between the higher and lower English proficiency groups, the data analysis result suggests that many of the learners illustrated their understanding in their reflection sheets of how to use vocabulary items related to the interpersonal meaning of the target text, particularly the use of the modal verbs *will*, *can*, *should*, and *need*.

The results in phase 2 show that the frequency of use of the modal auxiliary *shall* in both classes did not change from the pre-writing to post-writing texts; none of the learners wrote the word in either their pre- or post-writing essays. This may be because they did not need to use it or they did not know how to use it, even though they had previously been taught its meaning.

Although no learner in either group used *must* in the post-writing, *must* includes a variety of meanings: It reflects the writer's certainty and can denote always, required, or determined. One interpretation of the fact that *must*, which has a high value, was used less often is that the writer's purpose was possibly not to show the degeneration of the writer's status and power or the writer's uncertainty; rather, the writer may have tried to present their demands and take responsibility (Wang, 2014). This can be considered the learners' understanding of the purpose of the "discussion genre essay," which is to

present different points of view (especially from both sides: pros and cons and advantages and disadvantages) in order to make an informed decision.

Improving their L2 writing skills can be challenging for EFL learners, because, first, they tend to have difficulties generalizing and organizing ideas using appropriate lexicogrammatical functions and, second, they tend to have little ability to implement such choices into intelligible text (Rajagopalan & Jie, 2016).

One limitation of this study is the small number of participants; therefore, these results are merely tendencies, and it cannot be concluded that all EFL lower English proficiency learners improved their understanding of generic structure and lexicogrammatical features. However, the SFL-GBA method allowed EFL learners to increase their awareness of text-type in terms of language and text features. This understanding may link with the aspect of how to turn ideas into intelligible text (the discussion genre text). Similarly, the other limitation of this research is that it was not possible to provide a deterministic evaluation of how much the learners precisely understood the different degrees of modal verbs' values and managed to reflect their interpersonal meaning when they chose to use these modal verbs in the post-writing tasks. To solve the above issue, follow-up interviews with the target learners will be necessary in future research.

## Conclusion

This study has investigated the changes in Japanese EFL learners' awareness of language features and generic structures of "discussion genre texts" through the genre-based approach to text-based writing lessons during a 15-week course. This paper has described an SFL activity-based GBA for teaching writing to EFL learners with higher and lower English proficiencies. It found that the GBA paired with a sequenced and well-structured teaching methodology can be an effective teaching methodology in writing lessons. This approach included macro and micro scaffolding to assist learners to organize their writing and understand the nature of the target text in the classroom learning context. The results suggested that teaching EFL learners to write by developing their rhetorical awareness was a challenge in this particular classroom setting.

## Abbreviations

EFL: English as a Foreign/ Second Language; ESL: English as a Second Language; ESOL: English for Speakers of Other Languages; ESP: English for specific purposes; GBA: Genre-based approach; SFL: Systematic functional linguistics; TLC: Teaching-learning cycle

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## Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

## Declarations

Ethics approval, consent to participate, and consent for publication were received from app participants and committee members for this study.



**Authors' contributions**

AN designed study, performed the research, analyzed the data and wrote the paper. The author read and approved the final manuscript.

**Competing interests**

The author declares that she has no competing interests.

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