

# The standards and procedures of an ASIIN programme accreditation

Workshop 4, Berlin May 2<sup>nd</sup> 2016

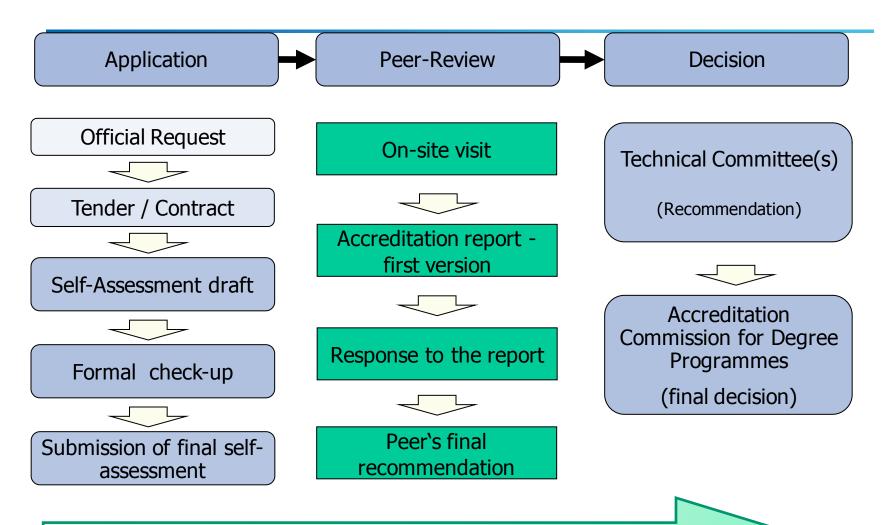
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for Degree Programmes



#### **Accreditation Process in a Nutshell**



The **three** stages of the accreditation process



## Who does what during the accreditation process?

#### **Audit Teams**

#### **Technical Committee(s)**

#### **Accreditation Commission**



#### The Audit Teams

- Are set up on demand
- 4 to 5 persons [Industry and both types of universities]
- Briefing
- View the documents of the University
- Visit and interview the University (2 days)
- Create final report
- Coordinate the final report with the University
- Give report and statement with recommendations to the Technical Committee(s) and the Accreditation Commission

#### The Technical Committee

- Develops and improves the field-specific criteria for the study programs
- Proposes the experts for the audit-teams
- Checks report and statements of the peers
- Makes a recommendation to the accreditation commission

#### **The Commission**

- Sets up the standards, procedures and requirements for accreditation
- Nominates the members of the Technical Committees
- Nominates the Audit Teams
- Issues the accreditation decision on the basis of the peer review results

support by headquarters' staff:

a responsible is assigned to each procedure



## **Initiation of the Accreditation Process**

- → HEI must turn in the Accreditation Request
- → Discussion in ASIIN's Technical Committee(s)
- → HEI will receive tender letter (including benefits, price and a proposed timeline)



## **Preparing the Self-Assessment**

- Self-assessment report must be compiled by the university
- It is based on the internal QA-system



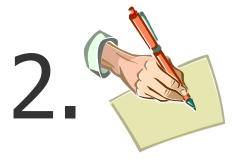
- Compilation takes 6 to 12 months to prepare
- University staff (administrative and academic) needs to contribute to content



## The course of an accreditation process



Preliminary meeting – discussion of first draft of the self assessment



Final version of the **self** assessment



**Questions** of the auditors





### A Peer Review - The Audit

- ASIIN has a pool of about 1,600 peers
- Standard team for initial accreditation:
  - 4 to 5 ASIIN peers → representatives from
    - Technical Universities or Universities (more research oriented; 1 to 2 peers)
    - Universities of Applied Sciences (1 to 2 peers)
    - Industry (1 peer)
    - Student (1 peer)
- Principles:
  - Independence
  - Expertise
  - Comprehensiveness
  - Authority





## Peer review in accreditation

#### Peers ...

 are "equals", i. e. colleagues from the relevant academic fields

 represent the academic community and prospective employers.

• are involved on all levels of the accreditation process.



## Typical requirement profile for peers

#### Peers ...

- ✓ are renowned experts for the relevant academic field
- ✓ are recommended by an expert organisation relevant to their academic field
- ✓ act independently, not as representatives of a single interest group or organisation.
- ✓ respect the requirements and procedural principles.
- critically discuss the institutional strategy and the objectives of a degree programme with the HEI, without prescribing either.



## Typical requirement profile for peers

#### Peers...

✓ discuss the relevance, accessibility and process of definition of educational objectives.

✓ formulate questions rather than statements and allow their dialogue partners to explain their views.

moderate the discussion process as to reach a joint of understanding or at least a mutual understanding for opposing views.



### The on-site visit

#### Internal discussion of auditors

Discussion with representatives of institution's administration



Discussion with professors responsible for the development of the programme

Discussion with academic staff

Discussion with students



Review of exams (written, final, projects...)

Visitation of the institution (laboratories, library, ...)



Final internal discussion of auditors

Final meeting with institution's representatives



## The course of an accreditation process

4.



Audit and production of auditors' report

5.

**Comments** by university (submission of additional information material, if required)

6.



Final **recommendation** by the auditors



## **ASIIN** The course of an accreditation process

7.

Discussion in relevant **Technical Committee(s)** 

8.

Discussion in the **Accreditation Commission** 

→ Decision and conclusion



### Time frame



- Audit (+12-16 weeks)
- Audit report (+4 weeks)
- Comments by the HEI (+2 weeks)
- Recommendation of the peers (+2 weeks)
- Recommendation of the Technical Committee(s) (+1 weeks)

Decision of the Accreditation Commission (+2 weeks)

Delivery of the report and certificates (+ 4 weeks) Fulfillment of requirements (+ 9 months)



## The accreditation decision: possible outcomes

- Accreditation without reservation: Accreditation valid for five years.
- 2. Conditional accreditation with requirements and recommendations: Accreditation initially limited to one year, extended to a total of five years after fulfillment of the requirements.
- **3. Suspension of the accreditation process: w**ith conditions, for up to 18 months. The process will be taken up again after the conditions have been met.
- 4. Rejection



#### **Limited accreditation: Timeline**

> Accreditation decision

Fulfilment of requirements due: about nine months later

Four years to next accreditation visit!



## Requirements

- ...identify issues that require immediate efforts for further improvement; for example completing the module handbook or improving single modules/courses.
- ...must be demonstrated to have been implemented within
   ca. nine months after the accreditation decision.
- > ...often require efforts by the entire academic staff plan with enough time for discussion and implementation.



#### Recommendations

- ...identify issues that require medium- to long-term efforts for further improvement; for example completing the quality assurance system.
- ...should have been implemented before the next accreditation visit; if not, explicit justification is required.
- → ...are **not merely "suggestions"**, but may lead to stronger sanctions in the future.