

# UNIVERSITY OF ALASKA FAIRBANKS



FY **18** | **Financial Review**  
December 2018



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UAF photo by Zayn Roohi. Produced by UAF University Relations. 10/2018



## MANAGEMENT AND BUDGET

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University of Alaska Fairbanks

The UAF Office of Management and Budget (OMB) would like to thank the following offices for their contributions to this Financial Review.

Thank you for assistance with financial data, detailed analysis and feedback.

**Office of Finance & Accounting (OFA)**  
**Planning, Analysis & Institutional Research (PAIR)**  
**Facilities Services**  
**Human Resources**  
**Dining Services & Contract Operations**  
**College of Rural & Community Development (CRCD)**  
**Residence Life**  
**University Relations**

Additionally, thanks to the schools, colleges and institutes that provided information or narratives to help “tell the story” behind the numbers.

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# MANAGEMENT AND BUDGET

University of Alaska Fairbanks

## FY18 Financial Review with FY19-FY20 Outlook

December 2018

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## UAF FY18 Performance Target Recap and Strategies to Achieve Results in FY19-FY20

Performance Compact Summary provided by UAF Planning, Analysis & Institutional Research (PAIR)

This overview is an excerpt from the more comprehensive UAF Performance Report Compact Summary, which is submitted to the UA System Offices and the State of Alaska annually. Within the UAF campuses, unit compact plans are shared with the Office of the Provost. Academic performance results in these areas and regular feedback from the unit level administrators (Deans and Directors) drives projections related to the following outcomes:

- High-Demand Job Area (HDJA) - Engineering
- Grant-Funded Research Expenditures
- Graduation Rates: First-Time Full-Time Degree Seeking Freshman
- University Generated Revenue
- Student Credit Hours (SCH)
- Citations of Research Publications
- Knowledge Transfer via Public Service

The following snapshots display actual campus achievement in FY14 through FY18. Targets are noted for FY19 and FY20 performance.

END RESULT METRIC A	STATUS	TARGETS	DATA CHART <span style="color: blue;">◆ historical performance</span> <span style="color: yellow;">◆ targets</span>																								
<b>Graduated more students who were qualified to take a high-demand job in Alaska.</b>	<ul style="list-style-type: none"> <li>• UAF conferred 113 qualifying awards in FY18, above the target of 100 awards, and 2.7% above the FY17 award level.</li> </ul>	<ul style="list-style-type: none"> <li>• Confer 100 baccalaureate engineering degrees in FY19 and FY20.</li> </ul>	<table border="1"> <caption>Baccalaureate Engineering Degrees Awarded</caption> <thead> <tr> <th>Fiscal Year</th> <th>Degrees Awarded</th> <th>Type</th> </tr> </thead> <tbody> <tr> <td>FY14</td> <td>113</td> <td>Historical Performance</td> </tr> <tr> <td>FY15</td> <td>110</td> <td>Historical Performance</td> </tr> <tr> <td>FY16</td> <td>85</td> <td>Historical Performance</td> </tr> <tr> <td>FY17</td> <td>100</td> <td>Historical Performance</td> </tr> <tr> <td>FY18</td> <td>103</td> <td>Historical Performance</td> </tr> <tr> <td>FY19</td> <td>100</td> <td>Target</td> </tr> <tr> <td>FY20</td> <td>100</td> <td>Target</td> </tr> </tbody> </table>	Fiscal Year	Degrees Awarded	Type	FY14	113	Historical Performance	FY15	110	Historical Performance	FY16	85	Historical Performance	FY17	100	Historical Performance	FY18	103	Historical Performance	FY19	100	Target	FY20	100	Target
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<p>Analysis of results and challenges: UAF awarded 113 engineering BS degrees in FY18, an increase of 3% over FY17 and 9% over FY14. Sharp declines in Alaska employment opportunities in certain industries, including oil &amp; gas and construction, appear to be responsible for recent declines in baccalaureate engineering enrollment. Since engineering degrees typically require five years to complete, annual degree awards should remain above 100 through about FY19, but could decline thereafter.</p>																											

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<p><b>Increased capacity in externally-funded research, and increased conferrals of doctoral degrees.</b></p>	<ul style="list-style-type: none"> <li>In FY18 UAF had \$101.1M in research expenditures, a 5.1% decrease from FY17.</li> </ul>	<ul style="list-style-type: none"> <li>Generate \$103 million in grant-funded research expenditures in FY19, and \$100 million in FY20.</li> </ul>	<div style="text-align: center;"> <h3>Grant-Funded Research Expenditures (in \$Millions)</h3> <table border="1" style="margin-top: 10px;"> <caption>Grant-Funded Research Expenditures (in \$Millions)</caption> <thead> <tr> <th>Fiscal Year</th> <th>Expenditure (\$Millions)</th> <th>Type</th> </tr> </thead> <tbody> <tr> <td>FY14</td> <td>105</td> <td>Historical Performance</td> </tr> <tr> <td>FY15</td> <td>95</td> <td>Historical Performance</td> </tr> <tr> <td>FY16</td> <td>100</td> <td>Historical Performance</td> </tr> <tr> <td>FY17</td> <td>105</td> <td>Historical Performance</td> </tr> <tr> <td>FY18</td> <td>100</td> <td>Historical Performance</td> </tr> <tr> <td>FY19</td> <td>100</td> <td>Target</td> </tr> <tr> <td>FY20</td> <td>100</td> <td>Target</td> </tr> </tbody> </table> </div>	Fiscal Year	Expenditure (\$Millions)	Type	FY14	105	Historical Performance	FY15	95	Historical Performance	FY16	100	Historical Performance	FY17	105	Historical Performance	FY18	100	Historical Performance	FY19	100	Target	FY20	100	Target
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<p>Grant-funded research expenditures totaled \$101.0M in FY18, down 5.1% from FY17 and 5.7% from FY14. The FY17 to FY18 decrease came as federal grants and contracts to UAF fell by \$2.9M and State of Alaska grants and contracts fell by \$1.3M.</p> <p>UAF is the world’s leading institution in the number of annual research publications about the Arctic, but needs to continue to recruit and retain excellent faculty to increase its competitive advantage. Several other U.S. universities are making large investments in Arctic research centers, and these are increasing the competition for federal dollars.</p> <p>The federal deficit reduction efforts beginning in FY13 are continuing to decrease the availability of both competitive and non-competitive research funding. Other factors reducing research expenditures include loss of funding to the International Arctic Research Center from agencies in Japan, and decreasing State of Alaska agency funding of research grants and contracts.</p> <p>Grant-funded research expenditures are forecast to increase from FY18 to FY19 based on historical proposal activity, but expenditures are expected to decline again in FY20 and thereafter.</p>																											

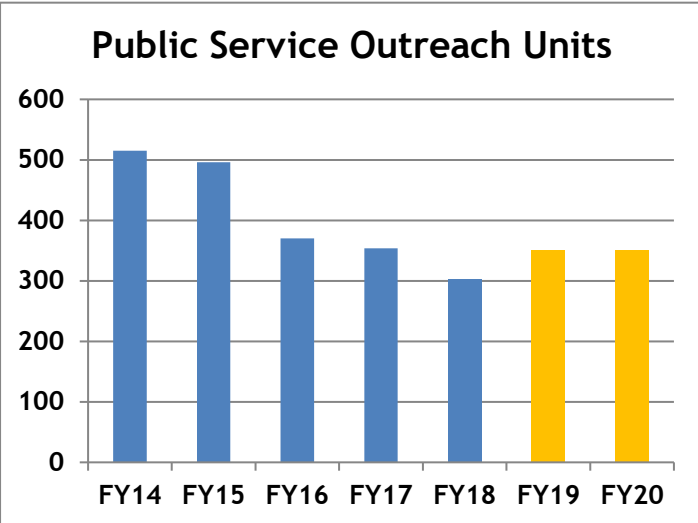
END RESULT METRIC C	STATUS	TARGETS	DATA CHART <span style="color: blue;">◆</span> historical performance <span style="color: gold;">◆</span> targets																																																
<p><b>Increased the graduation rate of first-time full-time degree-seeking freshmen.</b></p>	<ul style="list-style-type: none"> <li>The FY18 baccalaureate-level rate was 32.6%, a 1.6% increase from FY17.</li> <li>The FY18 associate-level rate was 11.9%, a 7.75% decrease from FY17.</li> </ul>	<ul style="list-style-type: none"> <li>Increase the percent of baccalaureate degree-seeking students attempting 15 or more credits to 34 percent in FY19 and FY20.</li> <li>Increase the percentage of associate-level degree-seeking students attempting 15 or more credits to 13 percent in FY19 and FY20.</li> </ul>	<div data-bbox="1255 203 1957 673"> <h3>Baccalaureate Degree-Seeking Students Enrolled in 15 Credits or More (%)</h3> <table border="1"> <thead> <tr> <th>Fiscal Year</th> <th>Percentage (%)</th> <th>Type</th> </tr> </thead> <tbody> <tr><td>FY14</td><td>33.6</td><td>Historical Performance</td></tr> <tr><td>FY15</td><td>33.8</td><td>Historical Performance</td></tr> <tr><td>FY16</td><td>33.0</td><td>Historical Performance</td></tr> <tr><td>FY17</td><td>32.1</td><td>Historical Performance</td></tr> <tr><td>FY18</td><td>32.6</td><td>Historical Performance</td></tr> <tr><td>FY19</td><td>34.0</td><td>Target</td></tr> <tr><td>FY20</td><td>34.0</td><td>Target</td></tr> </tbody> </table> </div> <div data-bbox="1255 706 1957 1226"> <h3>Associate-Level Degree-Seeking Students Enrolled in 15 Credits or More (%)</h3> <table border="1"> <thead> <tr> <th>Fiscal Year</th> <th>Percentage (%)</th> <th>Type</th> </tr> </thead> <tbody> <tr><td>FY14</td><td>12.2</td><td>Historical Performance</td></tr> <tr><td>FY15</td><td>12.6</td><td>Historical Performance</td></tr> <tr><td>FY16</td><td>13.1</td><td>Historical Performance</td></tr> <tr><td>FY17</td><td>12.9</td><td>Historical Performance</td></tr> <tr><td>FY18</td><td>11.9</td><td>Historical Performance</td></tr> <tr><td>FY19</td><td>13.0</td><td>Target</td></tr> <tr><td>FY20</td><td>13.0</td><td>Target</td></tr> </tbody> </table> </div>	Fiscal Year	Percentage (%)	Type	FY14	33.6	Historical Performance	FY15	33.8	Historical Performance	FY16	33.0	Historical Performance	FY17	32.1	Historical Performance	FY18	32.6	Historical Performance	FY19	34.0	Target	FY20	34.0	Target	Fiscal Year	Percentage (%)	Type	FY14	12.2	Historical Performance	FY15	12.6	Historical Performance	FY16	13.1	Historical Performance	FY17	12.9	Historical Performance	FY18	11.9	Historical Performance	FY19	13.0	Target	FY20	13.0	Target
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<p>Most students do not enroll in enough credits each semester (15) to graduate in the nominal time for their degree level. The percentage of baccalaureate-seeking part-time students (&lt;12 credits) has been about 17% in recent years, but students classified as full-time usually take fewer than 15 credits as well. There was a significant downward trend in the percentage enrolling in 15 or more credits in earlier years, falling from 38% of baccalaureate-seeking students in fall 1998 to 30.6% in FY11. The proportion increased from FY13 through FY15, reaching 33.8%. Since then, the number has ranged between 32% and 33%, with FY18 at 32.6%. The FY13 to FY15 increase was likely at least in part the result of the university Stay On Track campaign, which promoted enrollment in at least 15 credits per semester in order to graduate within the nominal time for the degree sought. That campaign is no longer active.</p> <p>The percentage of associate-level students enrolling in 15 or more credits has ranged from 12% to 13% over the past five years, with FY18 falling a percentage point to 11.9% from 12.9% in FY17. Most community campus students attend part-time and progress on this measure through the Stay On Track campaign has been slow but noticeable.</p>																																																			

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<p><b>Generated a significant amount of revenue from sources other than the State of Alaska.</b></p>	<ul style="list-style-type: none"> <li>• FY18 revenue decreased 5.2% from FY17 to \$222.3M.</li> </ul>	<ul style="list-style-type: none"> <li>• Generate \$219.9 million in university-generated revenue in FY19, and \$217.4 million in FY20.</li> </ul>	<div style="text-align: center;"> <h3>University Generated Revenue (in \$Millions)</h3> <table border="1" style="margin: 10px auto; border-collapse: collapse;"> <thead> <tr> <th>Fiscal Year</th> <th>Revenue (\$Millions)</th> </tr> </thead> <tbody> <tr><td>FY14</td><td>235</td></tr> <tr><td>FY15</td><td>225</td></tr> <tr><td>FY16</td><td>235</td></tr> <tr><td>FY17</td><td>235</td></tr> <tr><td>FY18</td><td>222.3</td></tr> <tr><td>FY19</td><td>219.9</td></tr> <tr><td>FY20</td><td>217.4</td></tr> </tbody> </table> </div>	Fiscal Year	Revenue (\$Millions)	FY14	235	FY15	225	FY16	235	FY17	235	FY18	222.3	FY19	219.9	FY20	217.4
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<p>University Generated Revenue was down 5.4% to \$222.3M for FY18 compared with FY17, primarily due to declines of \$7.8M in federal receipts (-8.5%), \$2.8M in capital improvement project receipts (-50.6%), \$1.1M in dormitory, food service and auxiliary receipts (-6.6%), and \$1.1M in tuition and fees (-2.2%). Federal receipts were mainly competitive grants or contracts supporting research, but Title III and other funds supporting educational programming are also significant. Over the five-year period from FY14 to FY18, University Generated Revenue was down 5.5%, mostly tracing to decreases in State of Alaska restricted fund support of the University. Tuition and fee revenue has increased 8.2% over the past five years due to rate increases; SCH generation has declined 15.6% over this period, partly due to recent decreases in the annual number of high school graduates in Alaska and partly due to low unemployment rates, which particularly impact community campus enrollments.</p> <p>UAF likely continues to be affected by reduced market appeal of the University of Alaska after several years of budget uncertainty and extensively publicized program reductions. The future prospects for increasing University Generated Revenue are guarded. Almost 50% of University Generated Revenue is federal grants and contracts and indirect cost recovery that is mainly derived from those. A large majority of federal grants and contracts are competitively funded based on proposals submitted by UAF, and as faculty and staff numbers are declining due to state funding reductions, it is progressively more difficult to continue to submit the large number of proposals required. Nearly 30% of University Generated Revenue is directly related to enrollment (tuition, fees, dormitories, food service, and other auxiliary services), and UAF is being compelled to eliminate some academic programs due to reduced funding and the Board of Regents mandate to reduce the duplication of programs among UAA, UAF, and UAS.</p>																			



END RESULT METRIC E	STATUS	TARGETS	DATA CHART <span style="color: blue;">◆ historical performance</span> <span style="color: yellow;">◆ targets</span>																								
<b>Maintained student credit hour production.</b>	<ul style="list-style-type: none"> <li>UAF generated 165,200 SCH in FY17, below the target of 170,300 SCH, and 4.84% below the FY16 SCH level.</li> </ul>	<ul style="list-style-type: none"> <li>Generate 149,200 credit hours in FY19 and 144,500 in FY20.</li> </ul>	<div style="text-align: center;"> <h3>Student Credit Hours (in thousands)</h3> <table border="1" style="margin: 10px auto; border-collapse: collapse;"> <caption>Student Credit Hours (in thousands)</caption> <thead> <tr> <th>Fiscal Year</th> <th>Value (thousands)</th> <th>Type</th> </tr> </thead> <tbody> <tr> <td>FY14</td> <td>180</td> <td>Historical Performance</td> </tr> <tr> <td>FY15</td> <td>175</td> <td>Historical Performance</td> </tr> <tr> <td>FY16</td> <td>170</td> <td>Historical Performance</td> </tr> <tr> <td>FY17</td> <td>165</td> <td>Historical Performance</td> </tr> <tr> <td>FY18</td> <td>155</td> <td>Historical Performance</td> </tr> <tr> <td>FY19</td> <td>149.2</td> <td>Target</td> </tr> <tr> <td>FY20</td> <td>144.5</td> <td>Target</td> </tr> </tbody> </table> </div>	Fiscal Year	Value (thousands)	Type	FY14	180	Historical Performance	FY15	175	Historical Performance	FY16	170	Historical Performance	FY17	165	Historical Performance	FY18	155	Historical Performance	FY19	149.2	Target	FY20	144.5	Target
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<p>At 153,984, FY18 Student Credit Hours (SCH) were 6.8% below FY17 and 15.6% below FY14. An important factor in the enrollment declines over the past three years is the decreased number of high school graduates in Alaska, which reached a peak of 8,245 in 2010, decreased to 7,668 in 2014, rebounded to 8,108 in 2016, then fell again to 7,681 in 2017 (Alaska Department of Education and Early Development). SCH are strongly influenced by roughly the past five years of high school graduates, given the median completion time for baccalaureate degrees of five years. An additional reason for decreased enrollments traces to economic conditions. Both nationally and at UAF CTC, postsecondary enrollment tends to decrease with decreasing unemployment. The Fairbanks September 2012 through 2016 unemployment rate (5.1%) was the lowest rate since November of 2007 and was significantly less than that in September 2011 (5.9%) (U.S. Bureau of Labor Statistics). However, during 2016 unemployment rates increased a few tenths of a percent (relative to the same time the previous year) as the Alaska economy slowed. This trend continued into 2017, with September unemployment rising to 5.9%. Reduced market appeal of the University of Alaska overall after several years of budget uncertainty and program reductions is likely another factor contributing to reduced enrollment and credit hour generation at UAF.</p> <p>There is continued strong effort to recruit Alaskans to UAF, through contacts with high school juniors and seniors and through dual credit and Tech Prep partnerships with high schools. In addition, UAF has increased efforts to recruit transfer students from western states. As discussed in that measure, eLearning has been an area of growth in SCH. For FY18, UAF internally reallocated more than \$1M (including both continuing and one-time funds) to support additional recruiting, development of online-programs, and other steps to improve enrollment. There are some positive developments for the Fairbanks economy that offer opportunities if UAF can muster the resources to respond, including the basing of two squadrons of F-35s at Eielson Air Force Base and the construction of the Long Range Discrimination Radar at Clear.</p>																											

END RESULT METRIC F	STATUS	TARGETS	DATA CHART <span style="color: blue;">◆</span> historical performance <span style="color: gold;">◆</span> targets																								
<b>Disseminated high quality research for Alaska.</b>	<ul style="list-style-type: none"> <li>In FY18, 40,964 citations were reported by the Web of Science, a 3.8% increase over FY17.</li> </ul>	<ul style="list-style-type: none"> <li>Generate 45,000 citations in 2015-2019, and 47,000 citations in 2016-2020.</li> </ul>	<div style="text-align: center;"> <h3>Journal Citations</h3> <table border="1" style="margin-top: 10px;"> <caption>Journal Citations Data</caption> <thead> <tr> <th>Fiscal Year</th> <th>Citations</th> <th>Category</th> </tr> </thead> <tbody> <tr> <td>FY14</td> <td>~22,000</td> <td>Historical Performance</td> </tr> <tr> <td>FY15</td> <td>~22,000</td> <td>Historical Performance</td> </tr> <tr> <td>FY16</td> <td>~22,000</td> <td>Historical Performance</td> </tr> <tr> <td>FY17</td> <td>~39,000</td> <td>Historical Performance</td> </tr> <tr> <td>FY18</td> <td>~41,000</td> <td>Historical Performance</td> </tr> <tr> <td>FY19</td> <td>~45,000</td> <td>Target</td> </tr> <tr> <td>FY20</td> <td>~47,000</td> <td>Target</td> </tr> </tbody> </table> </div>	Fiscal Year	Citations	Category	FY14	~22,000	Historical Performance	FY15	~22,000	Historical Performance	FY16	~22,000	Historical Performance	FY17	~39,000	Historical Performance	FY18	~41,000	Historical Performance	FY19	~45,000	Target	FY20	~47,000	Target
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<p>Citations to publications authored by UAF faculty, staff, and students numbered 40,964 for FY18, up from 36,332 last year. The specific measure reported is the number of citations of papers published during the last complete 5-year period (in this case, calendar years 2013 through 2017), as reported in July of the following year. There is a break in data continuity from FY16 to FY17, as UAF has changed its data source for this measure from Web of Science to Scopus. Both are proprietary databases that include information on a vast number of research publications in the sciences (including social sciences) and engineering. Both also include information on the number of times each indexed publication has been cited by other publications, which is widely regarded as a measure of the recognition and use of scientific research. The Scopus database costs significantly less, but includes a wider range of publications.</p> <p>Strategies to increase performance are the ones described under grant-funded research expenditures; increasing research grant funding through strengthening our leadership position in Arctic and Alaska research. However, increasing performance is very difficult when new and replacement faculty hires are limited due to reductions in state funding of the University.</p>																											

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<b>Provided knowledge transfer to Alaskans through Public Service.</b>	<ul style="list-style-type: none"> <li>FY18 outreach units were 302, a decrease of 14.69% from FY17.</li> </ul>	<ul style="list-style-type: none"> <li>Increase Outreach Units to 350 in FY19 and FY20</li> </ul>	<div style="text-align: center;"> <h3>Public Service Outreach Units</h3>  <table border="1" style="margin-top: 10px; width: 100%; border-collapse: collapse;"> <caption>Public Service Outreach Units Data</caption> <thead> <tr> <th>Fiscal Year</th> <th>Units</th> <th>Type</th> </tr> </thead> <tbody> <tr> <td>FY14</td> <td>510</td> <td>Historical Performance</td> </tr> <tr> <td>FY15</td> <td>490</td> <td>Historical Performance</td> </tr> <tr> <td>FY16</td> <td>370</td> <td>Historical Performance</td> </tr> <tr> <td>FY17</td> <td>350</td> <td>Historical Performance</td> </tr> <tr> <td>FY18</td> <td>300</td> <td>Historical Performance</td> </tr> <tr> <td>FY19</td> <td>350</td> <td>Target</td> </tr> <tr> <td>FY20</td> <td>350</td> <td>Target</td> </tr> </tbody> </table> </div>	Fiscal Year	Units	Type	FY14	510	Historical Performance	FY15	490	Historical Performance	FY16	370	Historical Performance	FY17	350	Historical Performance	FY18	300	Historical Performance	FY19	350	Target	FY20	350	Target
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FY19	350	Target																									
FY20	350	Target																									
<p><b>ANALYSIS</b></p> <p>Outreach Units fell by 15% from FY17 to FY18, due to the continuing decline in Non-Credit Instructional Units, which have dropped by almost 90% since the baseline in FY12, and by a decline in 4-H participation that resulted from a statewide reduction in 4-H FTEs and the retirement of the Anchorage district agent.</p> <p>UAF has recently developed systematic indicators of public outreach and engagement as part of its self-assessment for accreditation. Data for most of these are not available for all of the past years included in other parts of this performance report. Outreach consists of many and varied activities that are not easy to capture in a composite metric, but after consideration UAF adopted the following “outreach unit”, using FY12 as an example: (% of FY12 4-H participants) + (% of FY12 CES publications distributed or accessed) + (% of FY12 number of public workshops offered by CES, MAP, and others) + (% of FY12 UA Press books sold) + (% of FY12 noncredit instruction units). The result was 500 for FY12. The individual components comprising this outreach unit are described as strategies below. Since UAF does not have much historical performance information for these measures, it is difficult to predict normal annual variability or future performance. A substantial amount of year-to-year variability is expected, because some of the activities are grant funded, and others depend on opportunities that arise due to partnerships with organizations outside the university.</p> <p>The biggest challenge for outreach is the vast area and widely distributed population of Alaska, which UAF strives to overcome by placing extension faculty in many communities around the state, having faculty and staff travel to other locations to deliver programming, on-line access to information, distribution of a wide variety of free and purchased publications, and 4-H programming throughout the state supported by volunteer leaders.</p>																											

## FY18 Financial Review - Executive Summary

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### December 2018

#### FY18-FY19 Overview

UAF's revenue sources in FY18 were State general funds (38 percent), auxiliary receipts, transfers, and partnerships (26 percent), Federal receipts for sponsored research (20 percent), tuition and fees (10 percent) and indirect cost recovery from research activities (6 percent). While UAF's FY18 total budget was smaller than prior years, the proportion of each revenue stream remained generally the same.

In FY18, salary and benefits remained the most significant piece of UAF's budget at 54 percent of total expenses, followed by contractual expenses (20 percent), commodities (9 percent) and debt (8 percent).

FY18 marked four consecutive years of reductions from State general funds. Fortunately, in FY19, UA received its first increase in State general funds since FY14. Even with this modest increase, UAF continues to manage the effects of numerous years of state cuts and rising fixed costs.

Declining enrollment numbers have offset tuition rate increases and growing competition for research funding creates challenges to gain sponsored funding. The university works to provide high quality services for its students, and revenue decreases influence the ability to fund the basic academic and instructional costs that support these services. UAF is currently focusing heavily on enrollment, recruitment and retention, as well as continuing to explore and strengthen research opportunities.

#### FY18-FY19 Operating and Capital Budget Changes

UA received an increase of \$10 million to the operating budget from the state between FY18 (\$317 million) and FY19 (\$327 million). Of the \$10 million increase in FY19, \$5 million was directed towards utility cost increases and funding for the UAF engineering building and \$5 million for UA Strategic investments.

In FY18, UA received \$5 million for deferred maintenance (DM) and reallocated \$5 million from operating funds to maintain a \$10 million total investment level. UAF's DM increment from each source was \$3.1 million, for a total of \$6.2 million.

In FY19, UA received \$5 million for DM; UAF's allocation was roughly \$3.1 million. State funding for new capital projects or research activities has not been received since 2015.

#### FY18-FY19 Strategic Investments

It is critical to maintain a focus on strategic planning and investment, even in tight budget times. UAF regularly reallocates internal resources to support priority areas and align with BOR goals.

In FY18 and FY19, UA reallocated funding to support goals in areas of enrollment/student success, degree attainment, research, workforce and online program development, economic development and process improvement/automation efforts.

In FY18 UAF strategic investment funds included \$950 thousand in one-time funding for digital and traditional marketing, moving additional degree programs online, and research equipment and match funds. An additional \$1 million in base funding is targeted for various enrollment initiatives, workforce development/online programs, and research.

For FY19, UAF allocated three specific funding sources to support economic and workforce development, research, enrollment and degree attainment, and compliance. These goals align with President and BOR goals. Funding sources include \$1.53 million (one-time funding); \$1.86 million (base funding); and \$2.08 million from the President's three-year internal initiative pool.

### **Initial FY20 Budget Request and Process**

For FY20, the UA Board of Regents approved an operating budget request of \$358 million, a 9.6 percent increase over the FY19 UA budget of \$327 million. This level of request supports compensation increases, fixed costs, Title IX and campus safety, and UA strategic initiatives. UA also put forth a \$50 million capital request for DM, \$5 million for sustaining USArray research capabilities in Alaska (for UAF), and \$2 million for digital fabrication laboratories.

Governor Walker released his budget in December 2018, and newly elected Governor Dunleavy has the option to submit a revised version by February 2019. The legislative session will run from January through April 2019, although in recent years special sessions have continued through June. UA contingency budget planning efforts will likely begin in January 2019.

UA will continue to communicate openly with state leaders about the importance of continued investment in higher education and UA's ability to contribute to the Alaskan economy.

### **Report Content, Appendices & Financial Schedules**

This annual production is a look back at financial trends (FY13-FY18) and provides some analysis for FY19-FY20 planning. It provides a campus-wide overview of FY18 financial activities including revenue and expenditure trends, current and future conditions that may impact the campus operations, auxiliary and recharge center activities and plans, a facilities snapshot focused on construction, leasing and debt, a discussion on resource allocation and investment, as well as a summary of employee trends, organizational changes and process improvement efforts.

UAF OMB compiled the report with input and assistance from various departments and units, including the Office of Finance & Accounting (OFA), Planning, Analysis & Institutional Research (PAIR), Facilities Services, Human Resources, Dining Services & Contract Operations, CRCD, Residence Life, and University Relations.

Figures listed are management report oriented and will differ from those presented formally in the UA financial statement due to reporting definitions and adjustments. Financial figures included in the Appendix may have slight differences from prior year report versions due to accounting adjustments or structural changes over time. Any changes of significance have been discussed.

## Section 1. Revenue & Expenditure Trends

### A. Six-year trend and one-year changes in revenue by source, fund and campus including significant trends, one-year changes, and projections.

Refer to Appendix 1.A.1 - Total Revenue by Source FY13-18

Refer to Appendix 1.A.2 - Total Revenue by Fund Type and Source FY13-18

Refer to Appendix 1.A.3 - General Fund Revenue by Source FY13-18

Refer to Appendix 1.A.4 - General Fund Revenue by Allocation FY13-18

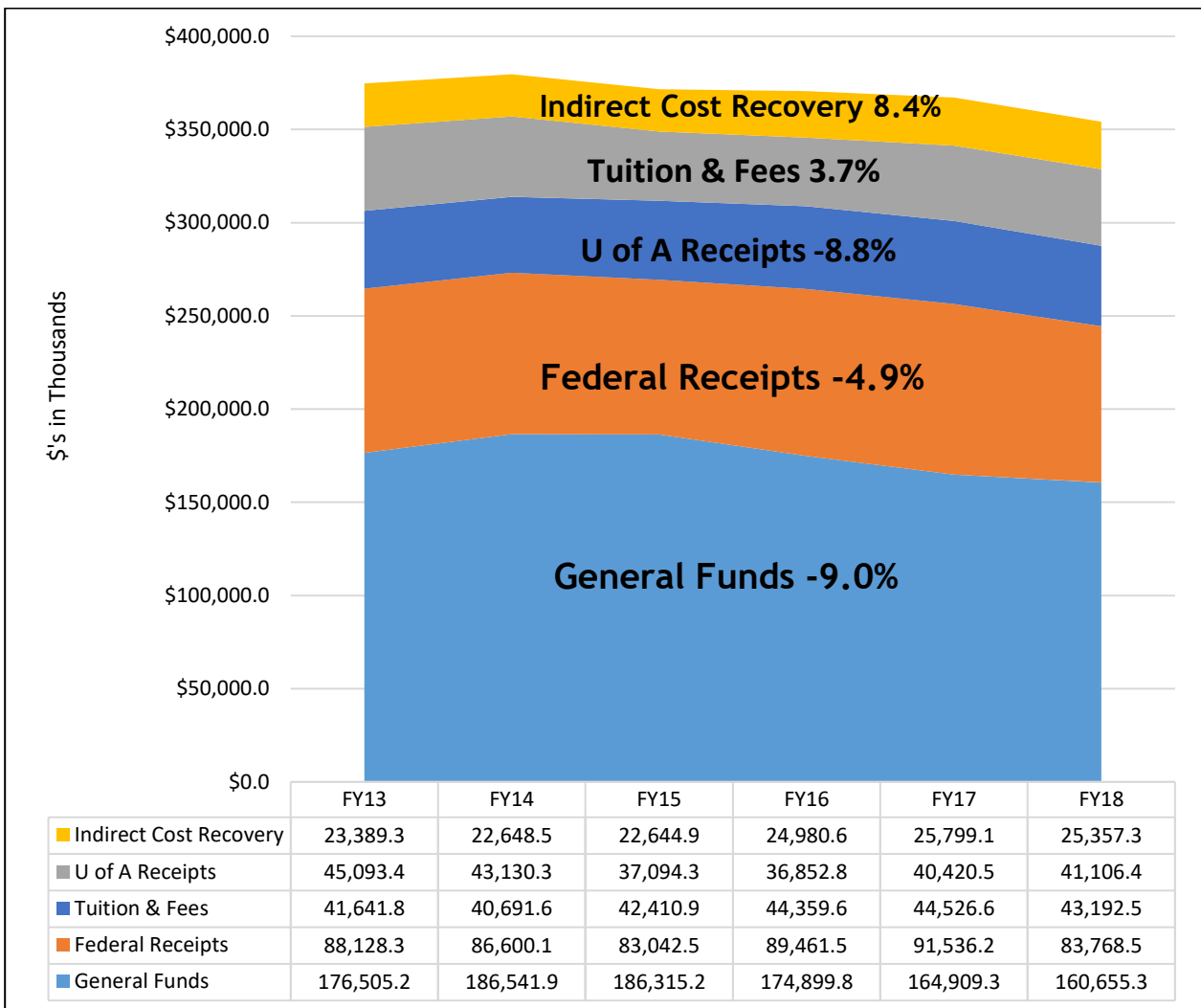
Refer to Appendix 1.A.5 - Non General Fund (NGF) Revenue by Fund Type and Source FY13-18

Refer to Appendix 1.B.1 - Total Revenue by Vice Chancellor and Unit FY13-18

### MAJOR REVENUE CATEGORIES

The major revenue categories for UAF are 1) state general funds, 2) federal receipts, 3) UA receipts, 4) tuition and fees, and 5) indirect cost recovery (ICR). Figure 1.1 describes the six-year change in each of the revenue categories and the section below provides further analysis on each revenue stream.

**Figure 1.1 - Major Revenue Categories with 6 Year Percent Change, FY13-FY18**



## STATE GENERAL FUNDS

UAF's total revenues rely more on state general fund appropriations than on any other source. In FY15, general fund made up 42 percent of total UAF revenue; in FY18, it made up roughly 38 percent of UAF total revenue. It is important to note that FY18 general fund of \$161 million is roughly in line with FY11 levels (not adjusted for inflation).

In FY18, state general funds totaled \$160,655.3 and consisted of state appropriations (\$154,411.1), matching funds (\$4,739.3), technical vocational education program (TVEP) funds (\$1,266.5) and state-funded capital research items (\$505.4). Refer to Appendix 1.A.3.

From FY14 to FY18, UAF lost roughly \$19 million from general fund (refer to Figure 1.8 in this section.) This does not include the loss of the supplemental fuel trigger and other rising fixed costs. As UAF's fixed cost base increases each year, the resulting impact is a growing budget gap that must be managed on an annual basis.

### State Funded Capital Research

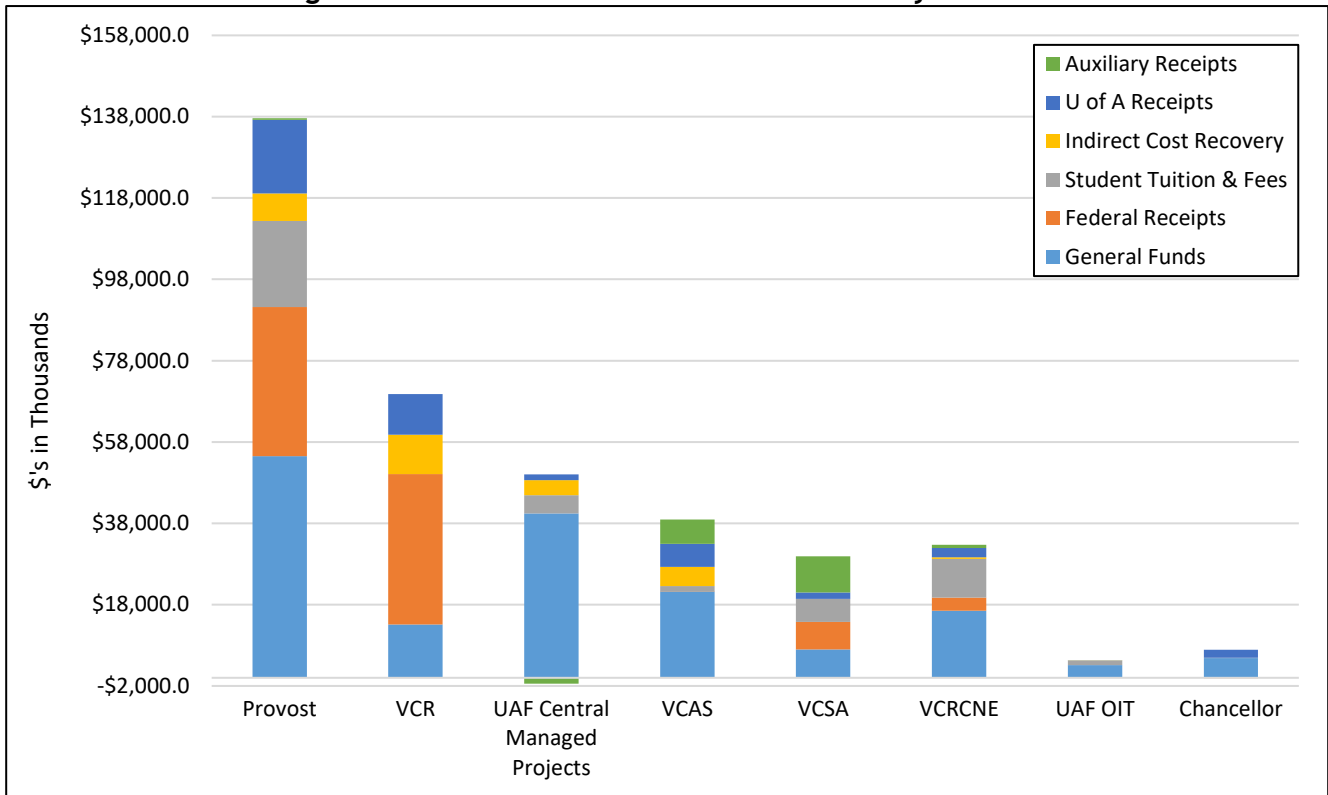
Beginning in FY13, the State of Alaska funded capital research projects in support of Alaska's needs and include GI unmanned aerial systems (ACUASI)/Poker Flat, CFOS ocean acidification and Alaska Center for Energy & Power (ACEP). As these multi-year projects are spent down, expenditures decreased by 7.4 percent from FY17 (\$546.0 in FY17 and \$505.4 in FY18). These types of projects are expended as restricted funds. ACUASI expired the end of FY17, ACEP expired the end of FY18 and CFOS ocean acidification is expected to expire at the end of FY21.

## REVENUE SOURCES BY VICE CHANCELLOR LEVEL

Figure 1.2 below shows selected revenue sources allocated by Vice Chancellor level. These data do not include intra-agency receipts or other less significant revenue sources.

It is important to note that the central managed account pays for UAF-wide fixed expenses such as heat, utilities, debt service, central leases, risk management, computing costs, scholarships and financial aid. This category must be protected to the extent practical in order to pay these required costs. It is primarily made up of general funds, therefore when general funds are reduced, other VC areas must absorb more of the cut.

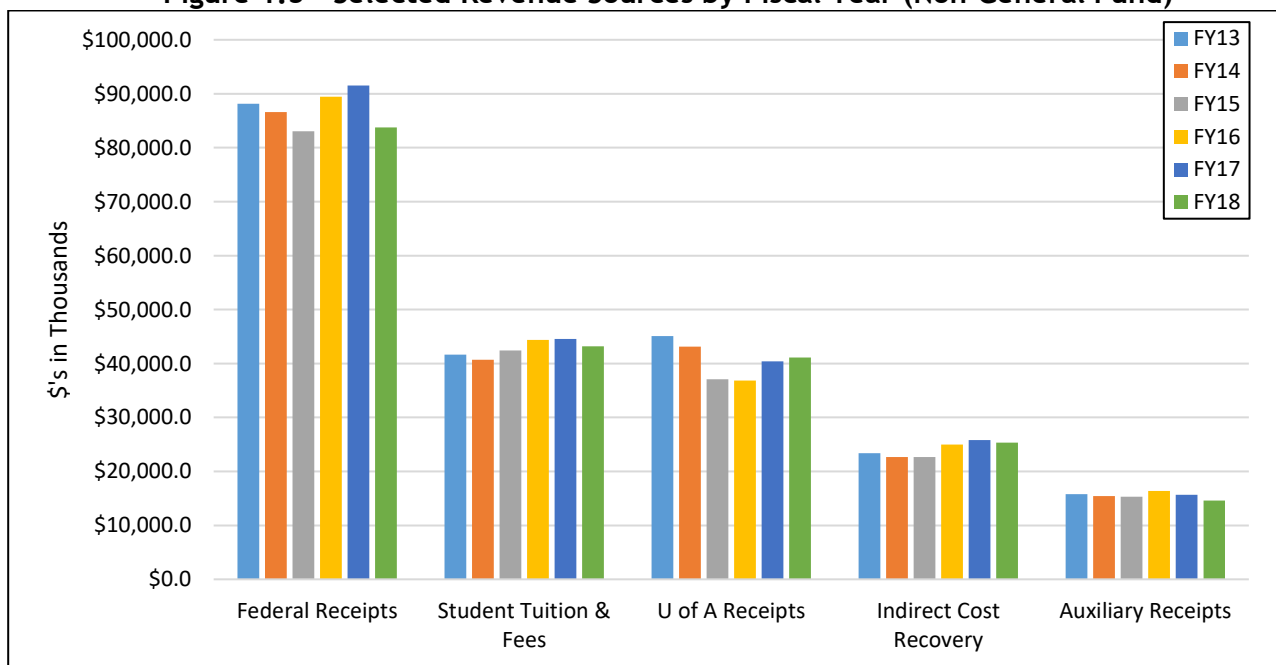
**Figure 1.2 - FY18 Selected Revenue Sources by VC Level**



**UAF REVENUE FROM NON-GENERAL FUND SOURCES (Excluding ARRA & UA Intra-Agency Transfers)**

The most significant non-general fund receipts consist of federal receipts, tuition and fees, UA receipts, ICR, and auxiliary receipts. Revenue from these sources decreased from FY17 to FY18 by 4.6 percent.

**Figure 1.3 - Selected Revenue Sources by Fiscal Year (Non-General Fund)**





## **FEDERAL RECEIPTS (EXCL. ARRA STIMULUS FUNDS)**

Federal revenue declined by 8.5 percent (or \$7.7 million) from FY17 to FY18. The FY18 level of \$83,768.5 is close to FY15 levels (not accounting for inflation). Federal funding historically ranges within 19-21 percent of total UAF revenues and remains that way during FY18. This revenue is driven heavily by competitive research and, outside of general funds, is UAF's largest university generated revenue source.

The decrease in federal receipts between FY17 to FY18 was due to several awards that ended for Sikuliaq Ship Cruises, CFOS Institute of Marine Science (IMS) and the Alaska Statewide Mentors program.

## **UNIVERSITY RECEIPTS**

University receipts include both restricted and unrestricted revenues received from corporate sources, private donations, local governments (city and borough), as well as revenues received from publication sales, non-credit self-support programs, recreational facility use fees, and other miscellaneous sources. This activity remained relatively flat from FY17 to FY18.

## **UA INTRA-AGENCY TRANSFERS**

UA Intra-Agency transfers include all internal charges for services provided by central or service departments to other university departments. This includes services such as physical plant work orders, computer repairs, and certain administrative functions such as risk management and labor relations.

Until FY17, UA Intra-Agency transfers have held relatively steady averaging \$31 million for the last several years. In FY17, these transfers increased significantly by \$20.8 million (67.7 percent), and in FY18, these transfers decreased by \$6.7 million (12.9 percent). These fluctuations are primarily due to a varying number of ship days for the R/V Sikuliaq. In FY17, the Sikuliaq operated on a full year of ship operations, while FY18 saw a decreased number of ship days. Ship days are operated on a calendar year basis. Some agencies fund the ship in increments that may cross fiscal years, while other agencies fund it up front. These factors can contribute to timing differences.

The majority of total UA Intra-Agency transfer activity (81 percent) is recorded on recharge funds.

## **STUDENT TUITION & FEES**

Revenue in this category is heavily influenced by enrollment. Since FY13, tuition and fee revenue has increased by 3.7 percent. Tuition rates increased by 5 percent across the board in FY18.

Total student tuition and fee revenue for UAF decreased by \$1.3 million in FY18, a 3.0 percent decrease from FY17. Fee revenue decreased by roughly \$300 thousand and net tuition revenue decreased by \$1.0 million. Continuing enrollment declines offset the 5 percent increase in tuition rates and several increases in student fees from FY17 to FY18.

Beginning in fall semester 2018 (FY19), UAF offers discounted tuition (25 percent) for selected occupational endorsements and certificate programs (or courses). The discount will apply to 50 programs and more than 300 courses at UAA, UAF and UAS. It is too early to know how these discounts will affect student enrollment and tuition revenue.

Additional tuition and fee analysis is included in Section 2.

## FACILITIES & ADMINISTRATIVE (F&A) COSTS

Facilities and administrative (F&A) costs include support services related to sponsored activities provided by the institution. Many of these costs cannot be directly charged to sponsored awards and are therefore recovered in part via an indirect cost recovery (ICR) rate as revenue. The facilities “F” portion of the rate is uncapped and the administrative “A” portion of the rate is capped at 26 percent.

Figure 1.4 shows total F&A costs expended by all units from FY13 through FY18. This represents the total F&A charged via sponsored award/grant billings to support facilities and administration costs across UAF. F&A does not appear on the revenue line in a unit budget; however, once it is recovered as ICR, funds can be expended similar to any other unrestricted revenue source.

From FY17 to FY18, F&A charges have remained essentially flat. Since FY13, F&A expenditures have increased by approximately 8.4 percent.

UAF’s negotiated F&A rate on organized Federal research grants is currently 50.5 percent and is effective through June 30, 2018 (FY18). New proposed F&A rates for the period FY19-FY22 are currently under audit with the Office of Naval Research (ONR), UAF’s cognizant agency, and are not final. UAF’s proposed organized research rate is significantly higher (63.9 percent) than the current rate of 50.5 percent, mainly due to the Engineering Building and the Combined Heat and Power Plant. These facilities fall into the “F” uncapped portion of the rate. It is too soon to know where final negotiated rates will land.

**Figure 1.4 - Total F&A Charged to Support Administration, by Unit**

F&A Expenditures by Department	FY13	FY14	FY15	FY16	FY17	FY18	FY17-18 % Change
Geophysical Institute	8,094,407	8,345,823	8,898,858	9,267,914	10,440,079	10,616,674	1.7%
College of Fisheries&Ocean Sciences	4,323,677	4,130,009	4,462,653	5,397,070	5,109,936	5,317,608	4.1%
Institute of Arctic Biology	4,081,298	3,646,604	3,672,912	3,794,043	3,888,852	3,991,685	2.6%
College of Engineering & Mines	3,223,087	2,887,992	2,784,082	2,947,144	2,716,787	2,697,254	-0.7%
Intl Arctic Research Center	2,936,172	2,664,997	1,988,399	2,035,417	2,224,988	1,961,579	-11.8%
College of Nat Sciences&Mathematics	321,274	283,322	710,408	1,269,008	1,395,479	1,304,977	-6.5%
Others	1,259,034	1,243,262	676,138	967,281	802,162	713,662	-11.0%
College of Rural & Community Development	755,311	688,873	634,937	619,912	663,418	621,625	-6.3%
School of Nat Res & Extension	583,174	513,440	389,899	356,810	595,960	600,724	0.8%
VCR Development Programs & Projects	481,254	704,656	636,182	956,789	773,781	345,308	-55.4%
College of Liberal Arts	278,727	319,138	320,137	183,941	202,450	237,865	17.5%
<b>Grand Total</b>	<b>26,337,415</b>	<b>25,428,118</b>	<b>25,174,605</b>	<b>27,795,329</b>	<b>28,813,891</b>	<b>28,408,962</b>	<b>-1.4%</b>

## INDIRECT COST RECOVERY (ICR) REVENUE

ICR revenues are generated primarily from Federal research (restricted) awards and are used to reimburse administrative and support costs as noted above. ICR revenue is distributed internally at 60 percent for research reinvestment and 40 percent for research support. Within the research reinvestment portion, 50 percent is returned to the unit/department that generates the revenue. A portion of the revenues generated by UAF is distributed to the UA System Office/Statewide Administration (12.0 percent or roughly \$3 million in FY18). The remaining ICR revenue retained by UAF in FY18 is \$25.4 million.

A distribution summary is shown in Figure 1.5.

**Figure 1.5 - Indirect Cost Recovery Distribution**

Indirect Cost Recovery - Revenue Distribution Summary	
Account Code 9810 - Research Investment Components	Percent Distribution
Generating Unit	50.0%
New Buildings (debt)	7.5%
OSP & CRS Match	1.5%
Undergrad/Student Research	1.0%
<b>Grand Total 9810</b>	<b>60.0%</b>
Account Code 9811 - Support Units	Percent Distribution
Facilities (including M&R/Utilities/Operations)	12.5%
VCAS Units (OGCA, EHS/RM, Procurement & OFA)	11.3%
Library	4.2%
<b>Subtotal Support Units</b>	<b>28.0%</b>
UA Statewide Administration	12.0%
<b>Grand Total 9811</b>	<b>40.0%</b>
<b>Grand Total</b>	<b>100.0%</b>

ICR Generation by Unit

As shown in Figure 1.6, the highest ICR generators at UAF in FY18 were GI, CFOS, IAB, CEM/INE, and IARC. Collectively, these units generated 87 percent of UAF’s ICR in FY18.

**Figure 1.6 - Indirect Cost Recovery (ICR) Revenue by Unit - 60% for Research Reinvestment**

Indirect Cost Recovery (ICR) Revenue by Department (9810)	FY13	FY14	FY15	FY16	FY17	FY18
Geophysical Institute	4,224,246	4,262,449	4,528,429	4,682,163	5,252,581	5,327,139
College of Fisheries&Ocean Sciences	2,205,209	2,076,405	2,233,622	2,683,152	2,539,787	2,649,573
Institute of Arctic Biology	2,307,857	1,993,534	2,169,365	2,398,164	2,391,447	2,483,895
College of Engineering & Mines	1,665,454	1,458,548	1,370,304	1,466,272	1,345,263	1,323,760
Intl Arctic Research Center	1,735,188	1,661,070	1,172,072	1,120,039	1,197,677	1,083,155
College of Nat Sciences&Mathematics	152,504	129,005	353,413	599,990	692,710	653,555
College of Rural & Community Development	391,275	350,919	322,460	311,625	345,753	322,752
School of Nat Res & Extension	291,455	246,022	184,726	174,918	300,377	296,338
VCR Development Programs & Projects	330,722	480,015	377,369	612,264	522,373	196,478
College of Liberal Arts	126,006	143,344	128,756	85,295	99,673	116,483
UA Museum of the North	95,373	125,856	120,467	107,220	81,177	105,922
School of Education	37,444	76,927	59,373	218,901	226,466	102,085
UAF Provost Office Operations	33,994	72,316	68,924	71,671	47,036	67,534
UAF Rasmuson Library	14,069	10,695	23,802	9,686	20,763	20,540
Community and Technical College			3,694	7,578	8,453	20,159
School of Management	9,850	23,157	20,278	28,296	8,156	18,880
UAF Office Information Technology	26,658	26,879	28,890	25,787	18,702	16,351
UAF Central Managed	389,031	271,765	54,659	-	-	1,557
Facilities Services						1,035
UAF Summer Sessions				778	1,028	897
UAF Student Services	17,266	1,838	240	-	-	12
<b>Grand Total</b>	<b>14,053,599</b>	<b>13,410,742</b>	<b>13,220,841</b>	<b>14,603,798</b>	<b>15,099,421</b>	<b>14,808,100</b>

Distribution of ICR to support units is identified in account code 9811 - indirect cost recovery revenue for support functions. In general, these revenues are distributed as detailed in Figure 1.7. This table excludes the revenue distributed to Statewide.

**Figure 1.7 - ICR Revenue for Support Functions - 40% for Research Support**

Indirect Cost Recovery (ICR) for Support Functions (9811)	FY13	FY14	FY15	FY16	FY17	FY18
UAF Central Managed	3,245,171	3,204,212	3,261,669	3,678,881	3,685,241	3,744,837
Facilities Services	2,975,700	2,751,450	2,827,724	3,185,690	3,296,014	3,251,856
UAF Rasmuson Library	1,157,388	1,077,219	1,049,739	1,134,978	1,164,118	1,145,940
UAF Financial Services	1,038,200	1,038,200	1,038,200	1,038,200	1,038,200	1,038,200
Vice Chancellor for Research	390,301	457,670	352,317	396,327	409,097	405,332
UAF Safety Services	129,900	301,900	301,900	301,900	301,900	301,900
UAF Provost Office Operations			189,905	234,555	249,527	250,076
Geophysical Institute	0		127,229	150,000	315,442	176,877
UAF VCAS Business Operations	148,200	148,200	148,200	148,200	148,200	148,200
College of Rural & Community Development	94,613	108,886	108,349	108,106	91,663	85,403
College of Nat Sciences&Mathematics	324	-			512	559
College of Liberal Arts					(280)	
Intl Arctic Research Center	152,679	150,000	18,855	-		
UA Museum of the North	3,176	-				
<b>Grand Total</b>	<b>9,335,651</b>	<b>9,237,736</b>	<b>9,424,088</b>	<b>10,376,838</b>	<b>10,699,634</b>	<b>10,549,179</b>

**AUXILIARY RECEIPTS**

Auxiliary receipt revenue is discussed in Section 3.

***B. Six-year trend and one-year changes in general fund/state appropriation-authorized budget and actuals by allocation with explanation of the changes in actuals and the differences between authorized budget and actuals.***

*Refer to Appendix 1.C.1 - Revenue by Allocation (Campus) FY13-18*

*Refer to Appendix 1.C.2 - Revenue by Allocation (Campus Detail) FY13-18*

**GENERAL FUND BUDGETS BY ALLOCATION AND FY18 BUDGET STRUCTURE**

UA has a single appropriation structure. For organizational and managerial purposes, the university’s budget is grouped into Results Delivery Units (RDU) with related components (allocations). For UAF and the community campuses, there are nine allocations:

- University of Alaska (Appropriation)
- University of Alaska Fairbanks RDU
  - Fairbanks Campus (Allocation)
  - Fairbanks Organized Research (Allocation)
  - Bristol Bay Campus (Allocation)
  - Chukchi Campus (Allocation)
  - Interior Alaska Campus (Allocation)
  - Kuskokwim Campus (Allocation)

- Northwest Campus (Allocation)
- College of Rural & Community Development (Allocation)
- UAF Community & Technical College (Allocation)

## **UAF LEGISLATIVE INCREMENTAL FUNDING HISTORY**

Table 1.8 reflects the general fund increments provided by the Legislature over the past seven years.

Historically, incremental general funds received from the State were dedicated to covering employee compensation (50 percent of salary and benefits obligations), utilities, other fixed cost increases and high priority programs. The university was expected to cover the other half of compensation and other cost increases with university-generated revenues (tuition, ICR, etc.).

In FY17, compensation increases were not funded by the State and 50 percent of staff benefit increases were covered internally. The state also did not fund high priority programs, maintenance and repair (M&R), utilities or other fixed costs.

In FY17 and FY18, UA/UAF internally funded priority and strategic areas including student success, economic development, workforce development, research, and process automation.

General fund reductions during FY15-FY18 do not factor in other losses, including foregone utility trigger funding (approximately \$4.0 million), and a lack of capital and deferred maintenance increments to cover rising fixed costs.

The Statewide Yellowbooks FY13-FY19 are sources for information shown in Figure 1.8.

**Figure 1.8 UAF Legislative & Internal Reallocation Funding History - General Fund Only**

UAF Legislative Incremental Funding History - General Fund (GF) Only (1)							
	FY13	FY14	FY15	FY16 <sup>(3)</sup>	FY17	FY18	FY19
<b>Prior Year ABS Authorized Operating Budget <sup>(2)</sup></b>	<b>162,838.2</b>	<b>170,620.3</b>	<b>177,775.5</b>	<b>179,291.4</b>	<b>172,790.9</b>	<b>161,374.2</b>	<b>158,704.3</b>
<b>Personal Services</b>							
Salary & Benefits	2,618.3	3,858.0	2,594.6	5,063.0			
<b>Non-Personal Services Fixed Costs/Internal Reallocations</b>							
Utilities							1,000.0
Maintenance & Repair (M&R)	578.9	354.0	468.3	715.5			
Library/Operating Fixed Costs	100.0						
New Facility Operating Costs	434.0	2,303.0					
<b>Subtotal</b>	<b>1,112.9</b>	<b>2,657.0</b>	<b>468.3</b>	<b>715.5</b>	<b>-</b>	<b>-</b>	<b>1,000.0</b>
<b>High Priority Programs</b>	2,324.0	610.0	718.5	2,080.8	-	-	
<b>Strategic Investments/UA and/or UAF Internal Reallocations</b>							
Staff Benefits only (no compensation increase)					4,936.80		
Maintenance & Repair (M&R)					6,247.60		
UAF Engineering Building Debt Service					2,700.00		
New Facility Operating Costs - Engineering					300.00		2,000.0
Replace mid-year tuition revenue					2,970.00		
Compliance Officer					205.00		
Research - ACEP						250.0	
Student Success - scholarships						150.0	
Development - annual giving development officer						80.0	
Leadership cost reduction						(730.0)	
President's Professor						500.0 <sup>(6)</sup>	
Student Success - Strategic Allocation (1x)							2,083.5
Research - Strategic Allocation							1,540.0
Workforce Development - Strategic Allocation							200.0
Process Automation -Strategic Allocation							120.0
Reallocations - FY19 Strategic Allocation (1x)							(2,083.5)
<b>Other Funding <sup>(4)</sup></b>							
Transfers					1,278.7	1,018.9	380.7
Changes	1,726.9	30.2	(1,339.4)	(1,228.8)	(9,999.8)		
<b>Legislative Adjustments (unallocated reductions) <sup>(5)</sup></b>			(8,078.9)	(13,131.0)	(20,055.0)	(3,938.8)	
<b>Subtotal</b>	<b>4,050.9</b>	<b>640.2</b>	<b>(8,699.8)</b>	<b>(12,279.0)</b>	<b>(11,416.7)</b>	<b>(2,669.9)</b>	<b>4,240.7</b>
<b>Final GF Management Plan <sup>(2)</sup></b>	<b>170,620.3</b>	<b>177,775.5</b>	<b>172,138.6</b>	<b>172,790.9</b>	<b>161,374.2</b>	<b>158,704.3</b>	<b>163,945.0</b>
<b>Percent Change from Prior Year</b>	<b>4.8%</b>	<b>4.2%</b>	<b>-3.2%</b>	<b>-3.6%</b>	<b>-6.6%</b>	<b>-1.7%</b>	<b>3.3%</b>
<b>Notes:</b>							
(1) Each fiscal year detail agrees to the respective Yellow Book for that fiscal year.							
(2) For FY12-FY15, General Funds include GF, GF Match and TVEP; does NOT include one-time supplemental funding "trigger" for utilities.							
(3) Beginning in FY16, General Funds no longer include TVEP; these are included as Designated, Federal and Other funds.							
(4) Other Funding include items such as program transfers from other UA appropriations, TVEP adjustments, or reversal of one-time initiatives/allocations (i.e. compensation).							
(5) Legislative adjustments:							
(a) FY15 legislative adjustments include an unallocated GF reduction of about \$7.6M and \$517K reduction to travel expenditures. This total reduction of \$8.1M was distributed after the final FY15 management plan and will not match the FY15 Yellow Book.							
(b) FY16 legislative reduction of \$13.1M is UAF's share of a UA system-wide reduction of \$31.4M (or 42 percent).							
(c) FY17 Legislative reduction of \$20.1M of UAF's share of the Governor's unallocated UA system-wide reduction of \$40.8M (or 49 percent).							
(d) FY18 Legislative reduction of \$3.9M is UAF's share of the Governor's unallocated UA system-wide reduction of \$8M (or 49 percent).							
(6) The \$500M transfer for President's Professor occurred after the publication of the FY18 Yellow Book. It has been added to this review to properly reflect what was actually report for that year.							

**C. Six-year trends and one-year changes in expenditures by NCHEMS and Fund Type noting significant changes.**

Refer to Appendix 1.D.1 - Expenditures by NCHEMS FY13-18

Refer to Appendix 1.D.2 - Expenditures by Allocation and NCHEMS FY13-18

Refer to Appendix 1.D.3 - Expenditures by Fund Type and NCHEMS FY13-18

Refer to Appendix 1.F.1 - UAF Research Expenditures by Definition FY13-FY18

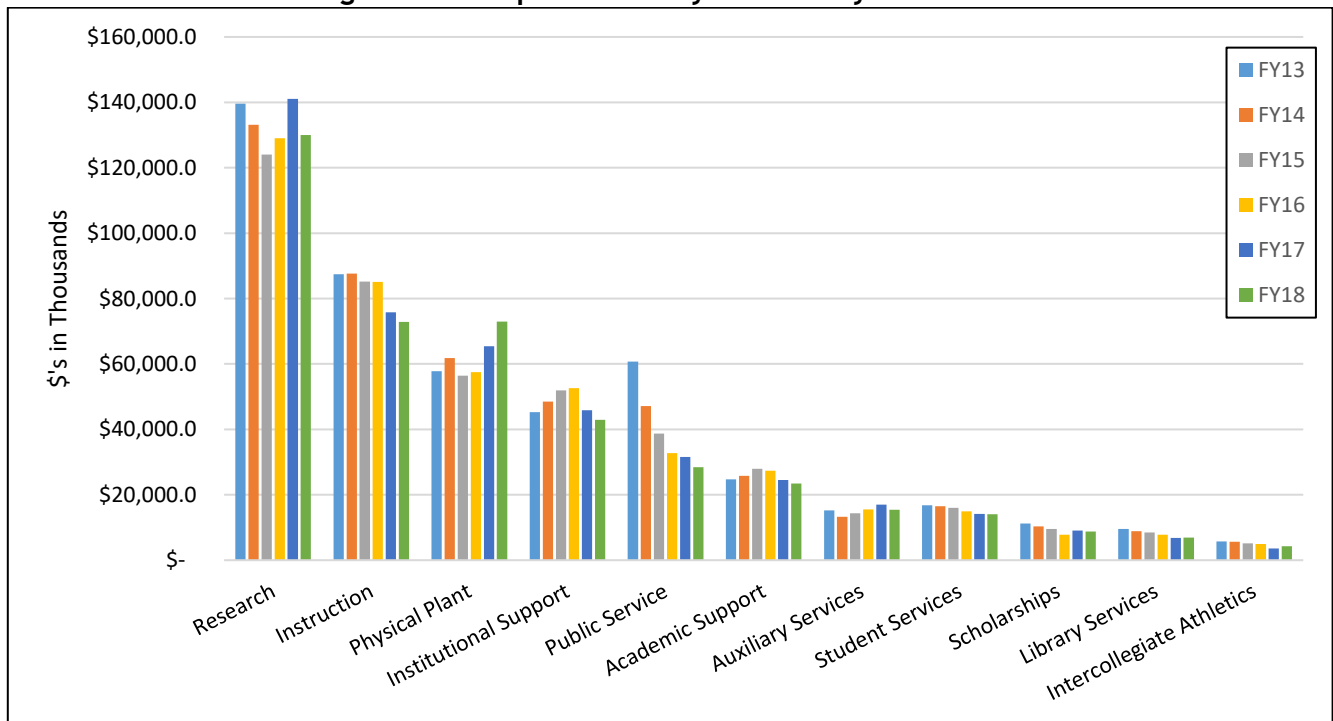
**EXPENDITURES BY NCHEMS**

The most significant expenditure categories by NCHEMS (National Center for Higher Education Management Systems) include research, instruction, physical plant and institutional support. See Figure 1.9.

Research activity continues to drive the largest proportion of expenditures, making up 31 percent of the total. From FY17 to FY18, research expenditures decreased by \$11,006.4, or 7.8 percent. Expired or soon to be expired grants were the leading cause of this decrease (i.e. a Sikuliaq award for Ship Cruises and two grants with the Alaska Established Program to Stimulate Competitive Research (EPSCoR)). UAF continues to seek research opportunities in areas where UAF maintains a competitive edge. For example, a new Sikuliaq grant began in FY19 and will fund NSF cruises through 2022.

UAF may report research expenditures in a variety of ways depending on the report type and required research definition. Appendix 1.F.1 demonstrates annual research activity by definition from FY13 through FY18.

**Figure 1.9 - Expenditures by NCHEMS by Fiscal Year**



**D. Six-year trend and one-year changes in expenditures by major account code and fund noting significant changes.**

Refer to Appendix 1.E.1 - Expenditures by Account Code FY13-18

Refer to Appendix 1.E.2 - Expenditures by Allocation and Account Code FY13-18

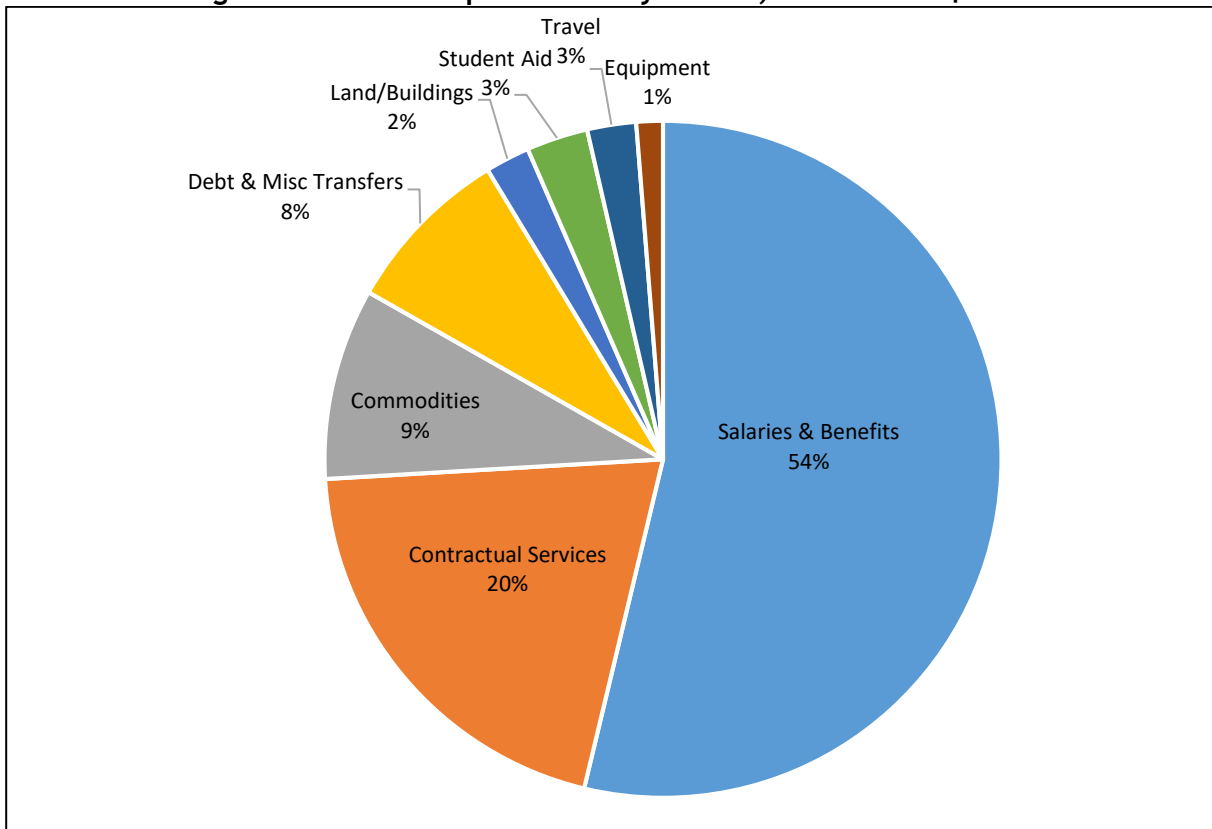
Refer to Appendix 1.E.3 - Expenditures by Fund and Account Code FY13-18

Refer to Appendix 1.E.4 - Expenditures by Vice Chancellor and Unit FY13-18

**EXPENDITURES BY ACCOUNT CODE**

Total expenditures decreased 3.3 percent since FY17. Salaries and benefits make up the majority of expenditures at 54 percent, and contractual services as the second largest component at 20 percent.

**Figure 1.10 FY18 Expenditures by Source, UAF Total ~ \$420M**



Unrestricted expenditures make up the majority of expenditures with \$289,752.9 or 69 percent of total expenditures; restricted expenditures total \$130,500.8 or 31 percent. Restricted funds also include auxiliary, capital and designated fund types because these funds are directed to specific and restricted/sponsored purposes. See Figure 1.11.

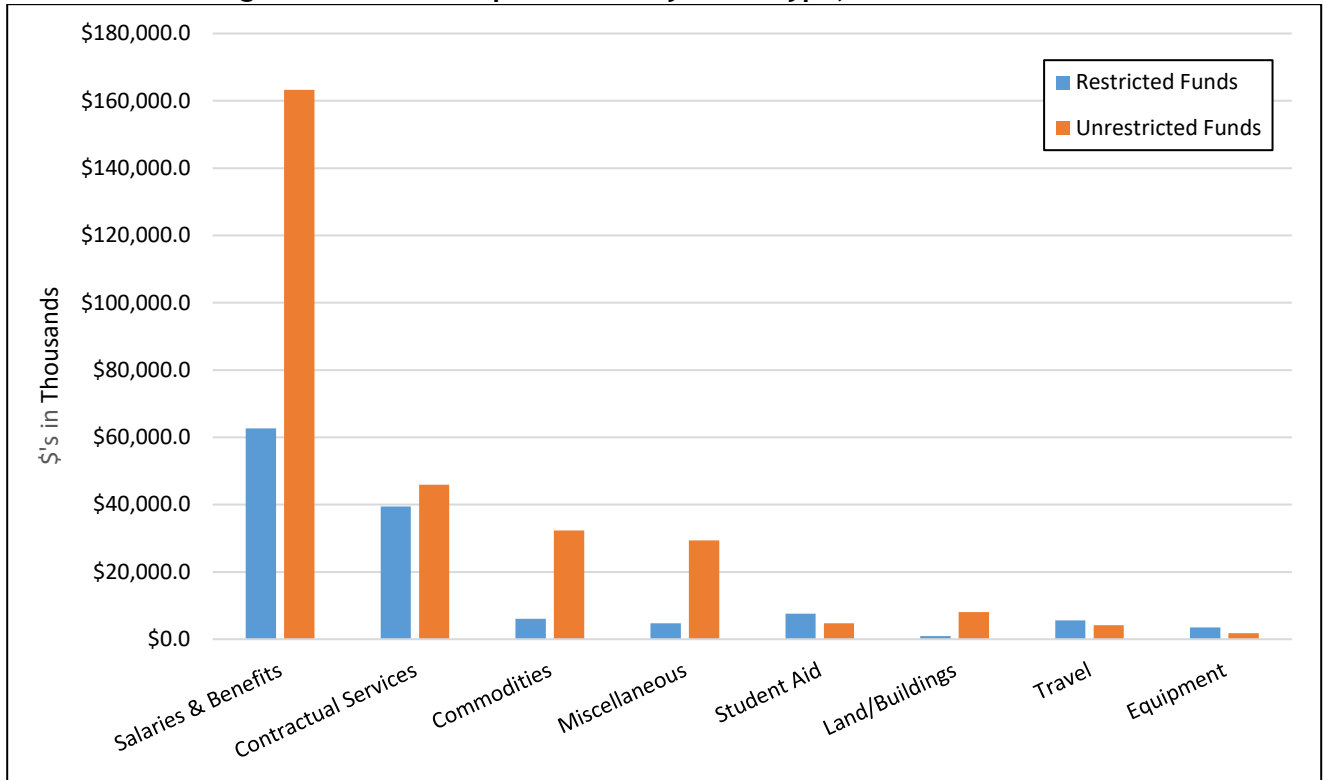
Although heavily scrutinized, travel expenditures make up only 2.3 percent of total expenditures and decreased 4.0 percent from FY17 to FY18. Of this, 57 percent is due to sponsored activities/research related travel.

Miscellaneous expenditures increased by 16.4 percent from FY17 to FY18 and this category primarily consists of debt service payments (80 percent of the FY18 total). Debt obligations increased by 28.4 percent from FY17 to FY18 and are projected to peak in FY19 due to CHP and the Engineering building. Debt obligations are discussed in more detail in Section 5.



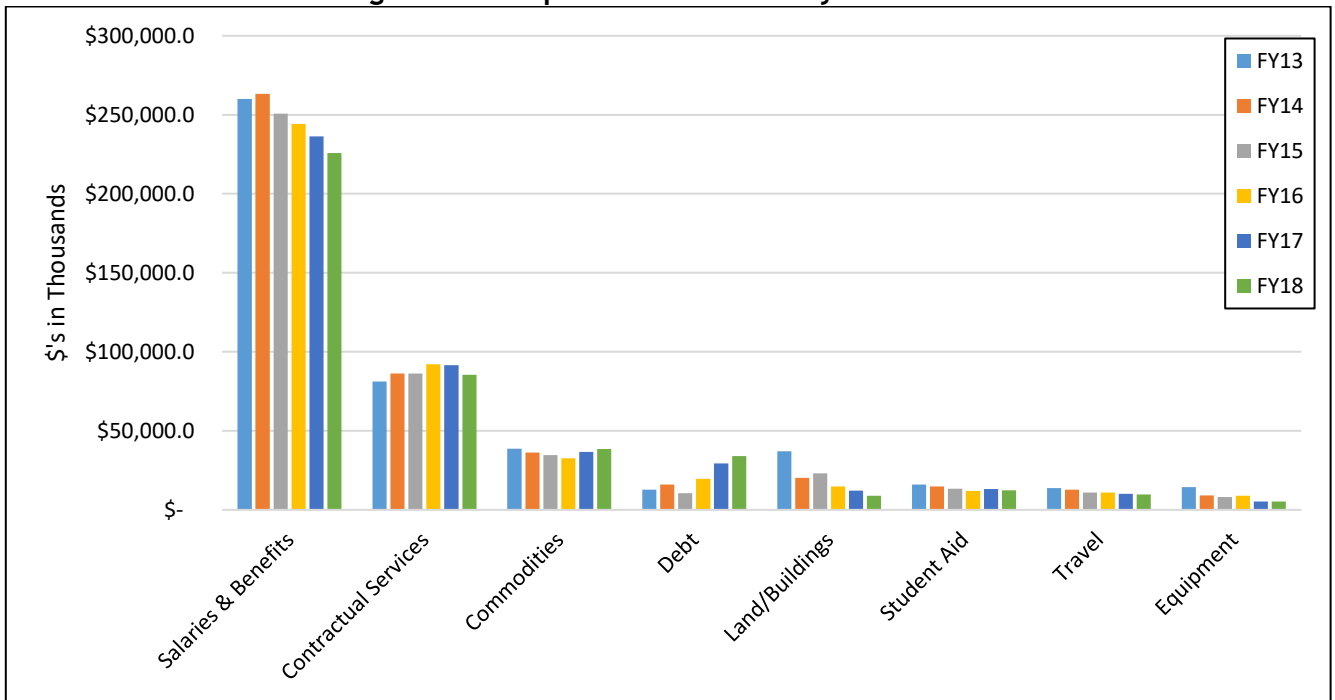
Other expenditures are stable to declining over time, in alignment with declining revenues.

**Figure 1.11 FY18 Expenditures by Fund Type, UAF Total ~ \$420M**



Salaries and benefits decreased by \$10,443.3 or 4.4 percent from FY17 to FY18. UAF’s workforce continues to decline in this fiscal climate. Employee trends are discussed in Section 6.

**Figure 1.12 Expenditure Sources by Fiscal Year**



## Section 2: Revenue Projections FY19-FY20

**Figure 2.1. Five Year Change (FY13-FY18) and FY19-FY20 Revenue Projection Summary**

Funding Source - Operating	2013 Actual	2018 Actual	FY13-FY18 % Change	2019 Projection	FY18-FY19 Projected % Change	2020 Projection	FY19-FY20 Projected % Change
<b>State Appropriation</b>							
<i>General Fund</i>	\$168,707.6	\$154,144.1	-8.6%	\$158,655.7	2.9%	\$150,000.0	-5.5%
<i>General Fund Match</i>	\$4,739.3	\$4,739.3	0.0%	\$4,739.3	0.0%	\$4,500.0	-5.0%
<i>Mental Hlth Trust Auth Receipts</i>	\$50.0	\$50.0	0.0%	\$50.0	0.0%	\$50.0	0.0%
<b>State Approp. Subtotal</b>	<b>\$173,496.9</b>	<b>\$158,933.4</b>	<b>-8.4%</b>	<b>\$163,445.0</b>	<b>2.8%</b>	<b>\$154,550.0</b>	<b>-5.4%</b>
<b>Technical Vocational Education Program (TVEP)</b>	\$1,206.4	\$1,266.5	5.0%	\$1,235.4	-2.5%	\$1,200.0	-2.9%
<b>University Receipts</b>							
<i>Interest Income</i>	-\$87.3	\$193.2	-321.3%	\$15.0	-92.2%	\$20.0	33.3%
<i>Auxiliary Receipts</i>	\$15,768.9	\$14,597.1	-7.4%	\$15,968.0	9.4%	\$15,800.0	-1.1%
<i>Student Tuition/Fees (net)</i>	\$41,641.8	\$43,192.5	3.7%	\$41,688.0	-3.5%	\$41,000.0	-1.7%
<i>Indirect Cost Recovery</i>	\$23,389.3	\$25,357.3	8.4%	\$26,520.0	4.6%	\$27,000.0	1.8%
<i>University Receipts</i>	\$45,093.4	\$41,106.4	-8.8%	\$39,770.4	-3.3%	\$38,000.0	-4.5%
<b>University Receipts Subtotal</b>	<b>\$125,806.1</b>	<b>\$124,446.5</b>	<b>-1.1%</b>	<b>\$123,961.4</b>	<b>-0.4%</b>	<b>\$121,820.0</b>	<b>-1.7%</b>
<b>Other Funds</b>							
<i>Federal Receipts</i>	\$88,128.3	\$83,768.5	-4.9%	\$85,126.3	1.6%	\$88,000.0	3.4%
<i>UA Intra-Agency Transfers</i>	\$31,398.8	\$45,042.1	43.5%	\$44,043.9	-2.2%	\$44,000.0	-0.1%
<i>State Inter-Agency Receipts</i>	\$2,546.6	\$2,673.3	5.0%	\$2,796.8	4.6%	\$2,000.0	-28.5%
<i>CIP Receipts</i>	\$14,108.3	\$2,686.6	-81.0%	\$1,747.0	-35.0%	\$1,000.0	-42.8%
<b>Other Funds Subtotal</b>	<b>\$136,182.0</b>	<b>\$134,170.5</b>	<b>-37.5%</b>	<b>\$133,714.0</b>	<b>-30.9%</b>	<b>\$135,000.0</b>	<b>-68.0%</b>
<b>Grand Total - Operating</b>	<b>\$436,691.4</b>	<b>\$418,816.9</b>	<b>-4.1%</b>	<b>\$422,355.8</b>	<b>0.8%</b>	<b>\$412,570.0</b>	<b>-2.3%</b>

Figure 2.1 includes operating projections only, excluding Federal Stimulus-ARRA funding, RSA capital authority and state-funded capital research items.

### FY19-FY20 Outlook and Assumptions

FY18 was the fourth consecutive year UA received a general fund reduction to the base budget. Fortunately, in FY19, UA received the first increase in state operating funds since FY14. Detailed information about the FY18-FY20 budget request and outcomes is described in Section 4.

- For FY20, the UA Board of Regents approved an operating budget request of \$358 million for the UA System. This is a 9.6 percent increase over the FY19 UA budget of \$327 million. This level of request supports compensation increases, fixed costs, Title IX and campus safety, and UA strategic initiatives. UA also put forth a \$50 million capital request for Deferred Maintenance (DM), \$5 million for sustaining USArray research capabilities in Alaska (for UAF), and \$2 million for digital fabrication laboratories.
- Governor Walker released his budget in December 2018. Newly elected Governor Dunleavy will have the option to submit a revised budget request by February 2019. The legislative session will run from January through April 2019, although in recent years special sessions have continued through June. Due to the change in State of Alaska administration, it is too early to anticipate the final budget impacts for FY20.
- The BOR approved a 5 percent tuition rate increase for all levels for both FY19 and FY20. However, anticipated enrollment declines offset potential tuition revenue increases. Additionally, beginning fall semester 2018, UAF offers discounted tuition (25 percent) for

selected occupational endorsements and certificate programs (or courses). Tuition scenarios based on varying enrollment levels are included with more detail later in this section.

- Indirect cost recovery (ICR) is projected to increase slightly in FY19 and FY20. New proposed F&A rates for the period FY19-FY22 are currently under audit with the Office of Naval Research (ONR), UAF's cognizant agency, and are not final. UAF's proposed organized research rate is significantly higher than the previous period, and while it is too soon to know where final negotiated rates will land, any potential increases to ICR may not materialize until FY21 or FY22.

## **FEDERAL FUNDING AND IMPACT ON RESTRICTED FUNDS**

Federal funding accounted for approximately 20 percent (\$83,768.5) of total UAF operating revenue in FY18; this is a decrease of 8.4 percent since FY17 (\$91,536.2). This revenue is driven heavily by competitive research and, outside of general funds, is UAF's largest university generated revenue source (between 19 and 21 percent). The BOR's goals to increase research expenditures by 5 percent per year will affect Federal receipts, if these goals are achieved.

Federal Title III funding has been significant for both facility renovations and student development programs at UAF's community campuses. Title III is discussed in detail below.

As a Land Grant University, UAF receives USDA formula funding, now referred to as "capacity grants", through the SNRE. These funds include Smith-Lever, McIntire Stennis and Hatch Multi-state match and have not increased for several years. FY18 levels are essentially flat from FY17.

## **COLLEGE OF RURAL AND COMMUNITY DEVELOPMENT (CRCD) - FEDERAL AND TITLE III IMPACTS**

The Alaska Native Serving Institutions (ANSI) status is awarded annually by application to the U.S. Department of Education. This designation plays a critical role in supporting the College of Rural and Community Development's (CRCD) mission. For CRCD, these federal discretionary funds are primarily used to support academic programs, student services, outreach, development, and building maintenance and renovation. ANSI are eligible to apply for federal grants specific to institutions that meet the undergraduate student enrollment that is at least 20 percent Alaska Native students.

### Funding Implications

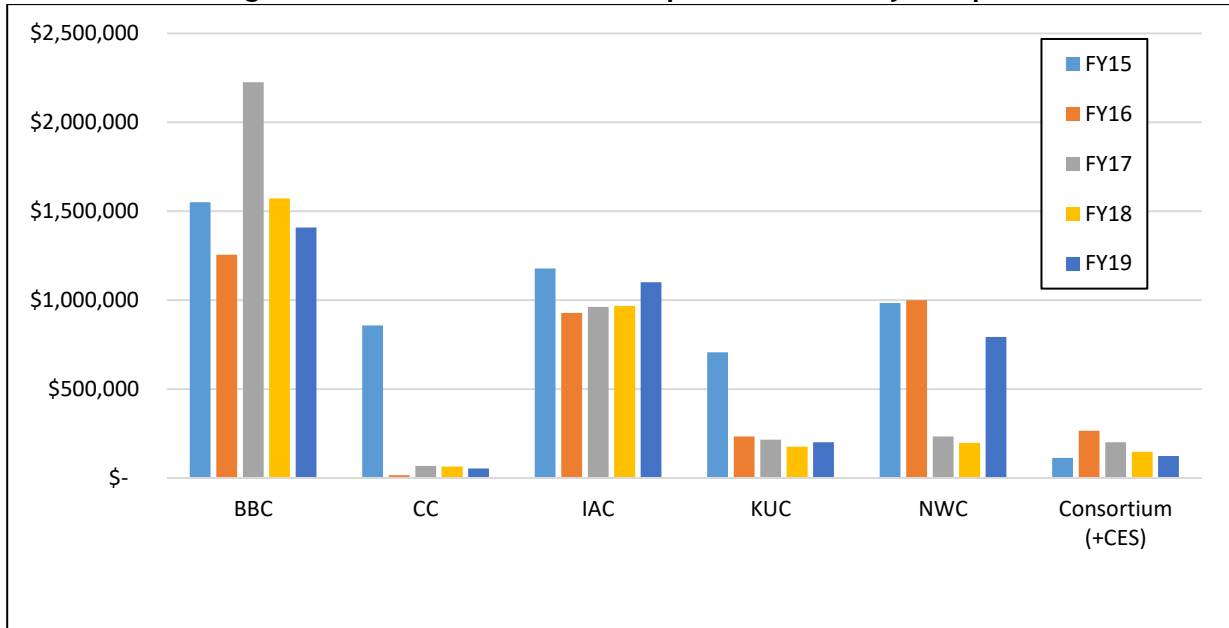
The timing of new awards can be challenging for the CRCD campuses. New awards are announced very close to the start date of October 1, after the campuses have made commitments for the academic year. It is particularly difficult to secure faculty after the academic year has begun. The level and availability of ANSI funds impacts critical academic and student service programs, outreach and development activities. Due to the tight budget environment, it is extremely challenging for CRCD to find alternate sources of funding support.

### ANSI Revenue by Source

CRCD received a total of \$128.4M for development and renovation awards under the ANSI designation from FY00 through FY19 from the following granting agencies:

- US Department of Education - Title III Development & Renovation (Part A & Part F): \$87.0M
- US Department of Agriculture - National Institute of Food & Agriculture: \$17.8M
- National Science Program - Tribal Colleges and Universities Program (TCUP): \$9.4M
- US Department of Housing & Urban Development: \$14.2M

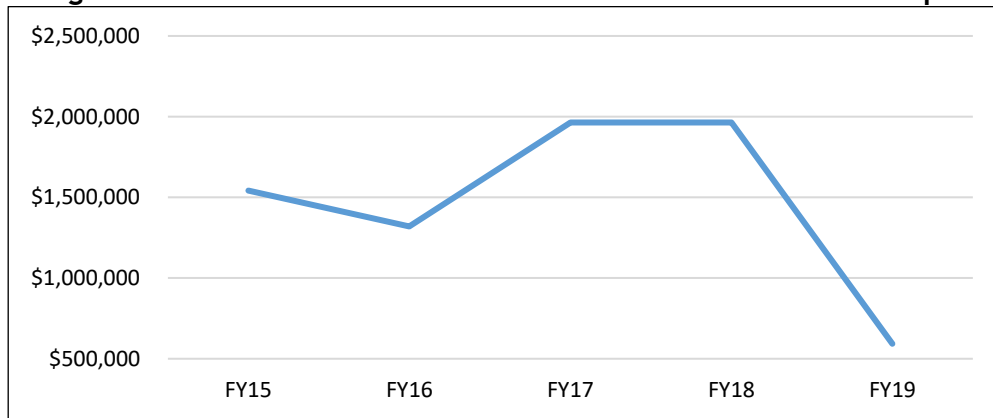
**Figure 2.2. FY15-19 ANSI Development Awards by Campus**



\*Figure 2.2. Does not include Renovation Awards.

Renovation awards cover building renovations and improvements such as upgrades that increase ADA compliance and improve energy efficiency. These funds have drastically reduced deferred maintenance costs and annual operational expenses for the university. These awards will not fund the construction of new buildings. This type of funding is very limited from other sources, and generally not available through the state. Since FY15, only the Northwest Campus is receiving a renovation award. FY19 is the final year of the award.

**Figure 2.3. FY15-FY19 ANSI Renovation Awards: Northwest Campus**



**OTHER IMPACTS**

CRCD and Technical and Vocational Education Program (TVEP) Funding

Maintaining the current level of TVEP, funding is critical to the vocational programs within CRCD. Current TVEP supported programs at CRCD include Health Sciences, Workforce Readiness, Certified Nurse Aid Training, Tech Prep/Dual Credit, and Early Childhood Education. Some programs are delivered statewide.

## UAF TUITION REVENUE SCENARIOS FOR FY19-20 - Updated November 2018

Enrollment as noted in the following scenarios refers to the production of student credit hours (SCH). Fiscal year projections are based on the academic year (Fall-Spring-Summer) that begins during that fiscal year.

### Tuition and Fee Revenues, FY18

Total tuition and fee revenue for FY18 was \$43.2 million, a decrease of 3.0 percent (\$1.3 million) from FY17. Although tuition rates increased by 5 percent across the board in FY18, enrollment decreased from Fall 2016 to Fall 2017 which was enough to cause a revenue decline in this area.

**Figure 2.4. FY18 Tuition and Fee Revenue**

FY18 Tuition & Fees	Revenue
<b>Fees</b>	<b>10,603,681</b>
<b>Tuition</b>	
Grad/Credit Hr Tuition	6,482,926
Lower Division Tuition	19,889,202
Non Resident Surcharge	4,249,488
Tuition Allowance - Contra Revenue	(6,617,011)
Tuition Discounts - Contra Revenue	(704,623)
Undergrad Consolidated Tuition	0
Undergrad/Credit Hr Tuition	3,384
Upper Division Tuition	9,285,479
<b>Tuition Total</b>	<b>32,588,845</b>
<b>Grand Total</b>	<b>43,192,526</b>

### Tuition Revenue Scenarios, FY19-FY20

Revenue projections for FY19 are based on the 5 percent across the board increase approved by the BOR; FY20 projections are based on another 5 percent ATB increase. Changes to occupational endorsements or certificate programs/courses are not yet included. The following table estimates the revenue impact of the rate increase, given potential enrollment scenarios.

Enrollment is significant in these scenarios. Without factoring in any rate increases:

- A one percent change in tuition rate is worth \$326,000, assuming flat enrollment;
- A one percent change in enrollment is worth \$432,000, assuming flat tuition and fee rates;
- A one percent change in fee rates is worth \$106,000, assuming flat enrollment.

**Figure 2.5. FY20 Tuition Revenue Impact with 5 Percent Tuition Increase, Variable Enrollment**

FY20 Tuition Scenarios	Flat Enrollment		Enrollment -5%		Enrollment -7%	
	Projected Revenue	Change, FY19-20	Projected Revenue	Change, FY19-20	Projected Revenue	Change, FY19-20
5% Tuition Rate Increase						
<b>Tuition Impact</b>	<b>\$ 34,133</b>	<b>\$ 1,625</b>	<b>\$ 32,426</b>	<b>\$ (81)</b>	<b>\$ 31,075</b>	<b>\$ (748)</b>

**Notes:**

- Projections are based on the academic year (Fall-Spring-Summer) that begins during that fiscal year.
- Activity in the contra tuition accounts is mostly Pell Grant (Tuition Allowance), with \$600k in various discounts (e.g. take 12 credits but pay for 10). Other than Pell Grants and tuition deals, this report does not consider scholarships, assistantships, or other tuition waivers.
- Figure 2.5 does not include fee revenue, which is generally directed to specific purposes and is not available for flexible use.

## INTELLECTUAL PROPERTY AND COMMERCIALIZATION EFFORTS

UAF’s Office of Intellectual Property and Commercialization (OIPC) was created in FY11 and helps innovators bridge the gap between research and the private sector and serve as UAF’s pipeline for innovation. It also helps UAF inventors protect their inventions and move University research into the private sector, where it can create jobs and stimulate economic development. OIPC has received more than 250 invention disclosures since inception.

**Figure 2.6. FY14-FY18 Metrics Comparison**

Metrics Comparison	FY14	FY15	FY16	FY17	FY18	FY7-FY18 % Change
Inventions Reported	75	52	20	13	26	100%
Non-Disclosure Agreements Prepared	109	112	88	78	57	-27%
Licenses	5	2	0	1	3	200%

In FY18, OIPC received 26 invention disclosures. Of these, 25 came from UAF and one came from UAS. OIPC experienced an uptick in activity due to multiple factors including: strong support from UAF leadership, increased awareness of OIPC services as achieved through greater outreach, and incentives to disclose inventions such as with OIPC’s Invent Alaska competition. A stable number of disclosures that consistently and accurately reflects UAF’s level of innovation is expected to be achieved in the next one to three years. Then, disclosures are expected to increase at a steady rate in step with the growing culture of innovation and entrepreneurialism at UAF and UAS.

Also in FY18, OIPC executed three licenses and, at year’s end, had another three licenses under negotiation. Increased licensing activity is attributed to greater emphasis on harvesting and cultivating high quality disclosures as well as OIPC’s growing experience and sustained presence.

### FY18 UAF RESEARCH PROPOSAL SUMMARY

*Refer to Appendix 2.A.1 - UAF FY18 Proposals and Awards*

Beginning in FY17, research reporting definitions were updated to assign proposals and awards to the fiscal year in which the proposal was submitted to better represent success rates. Due to this change, beginning in FY17, proposal and award amounts in prior fiscal years may increase in subsequent fiscal years as proposals are approved and awarded.

Award values are a snapshot as of October 23, 2018.

- At the time of this report, UAF has 690 approved proposals from FY18, a three percent increase. Note that the submitted number reflects data in Banner, which relies on manual updates, and may increase once all data is updated.
- Currently, FY18 award values are 38 percent higher than FY17. As noted above, awards with FY18 will continue to increase in the coming months as proposals are processed and award.

## Section 3. Auxiliary and Recharge Schedule

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### ***A. Six-year trend and one-year changes in revenue by source, fund, and campus including significant trends, one-year changes, and projections***

#### **AUXILIARY RECEIPTS**

*Refer to Appendix 3.A.1 - Auxiliary Operations*

Auxiliary fund are unrestricted enterprise funds that furnish a variety of services to students, faculty and/or staff for a fee. These fees directly relate to, but may not directly equal, the costs of the services provided. The bookstore, parking services, housing, and dining services are examples of auxiliary enterprises.

Total auxiliary enterprise revenue was \$16.2 million in FY18, a decrease of 6.5 percent from FY17. Refer to Appendix 3.A.1, Auxiliary Operations.

### ***B. Significant changes, investment areas and trends***

#### Residence Life

FY18 revenues within the main housing auxiliary were down by more than \$750,000 from the previous fiscal year. The greater share of this decrease was due to reduced numbers in single student housing, discussed in more detail in Section C. Two of the lower campus residence halls, Nerland and Stevens, were closed in an effort to mitigate the financial impact of the lower counts.

With occupancy numbers and revenues both down, expenditures also decreased from the previous year. Savings in utilities, janitorial services, and the elimination of cable television contributed to the reduction. M&R expenditures also decreased due to delays for planned projects as a result of heavy workload schedules of UAF project managers. The net impact for FY18 was a \$720,000 draw on the auxiliary fund balance. The beginning fund balance was \$3.6 million and the ending balance was \$2.9 million.

Residence Life's \$1.1 million annual debt service payment for the new dining facility continues to be a significant challenge on the financial resources of the auxiliary. Developing a plan to cover this debt obligation and deferred maintenance needs is a critical step for the auxiliary.

#### Dining Services

Declining enrollment and the associated decline in students living in campus housing has continued to have a significant impact on Dining Services. Fall numbers for dining plan participants were off by approximately 100, resulting in a drop in meal plan revenues of close to \$450,000. The financial strain on the dining contractor led the university to modify several aspects of the dining contract, including decreasing the university's revenue share and eliminating a rebate payment paid by the contractor. The FY18 ending fund balance is \$417,000, down from an FY14 ending fund balance of \$1.1 million.

#### Hess Village

Revenues in FY18 started to rebound from the effects of using Hess Village to house residents displaced from other units in FY17. Following a revenue decline of 19 percent from FY16 to FY17, FY18 total revenues increased by 8 percent from the previous year. Currently, there are nine units

vacant (of 72 total units), with four of those being offline for renovations. While revenues increased by over \$50,000, expenditures increased by \$100,000. Expenditure increases were driven by various renovations and repairs to units damaged by a significant water leak and a cooking fire. In a typical year, the Hess Village auxiliary generates about \$300,000 for M&R and similar investment, so these revenue and expenditure issues have not had any significant impact on the auxiliary’s fund balance.

**Table 3.1 Fund Balances - Residence Life, Dining Services and Hess Village, FY18**

FY18	Residence Life	Hess Village	Dining Services	Total
<b>Beg Fund Balance</b>	<b>3,587.3</b>	<b>873.8</b>	<b>618.4</b>	<b>5,079.5</b>
Revenue	6,780.0	719.5	4,093.2	11,592.7
Expenditures	6,805.6	712.9	3,999.6	11,518.2
<b>Net Operations</b>	<b>(25.6)</b>	<b>6.6</b>	<b>93.6</b>	<b>74.6</b>
Transfers	696.5	-	294.8	991.3
<b>End Fund Balance</b>	<b>2,865.2</b>	<b>880.4</b>	<b>417.2</b>	<b>4,162.8</b>

Bookstore

The UAF bookstore is operated by Follett Higher Education and has historically provided annual revenues of approximately \$100,000. During FY18, the bookstore contract with Follett was extended for an additional three years, with modifications to the contract adding in the CRCD bookstore, improving the university’s financial terms, and gaining a \$100,000 investment toward store improvements. Annual revenues under the new terms are expected to total \$120,000-\$125,000.

Parking Services

Parking Services has operated in the black for four consecutive years, increasing the fund balance from deficit status at the close of FY14 to a balance of \$1.3 million at the close of FY18. While revenues increased only slightly through the year, expenditures increased by over \$200,000. These expenditures were driven by parking improvements (e.g., a new roof over the core campus-parking kiosk), increased shuttle routes, and the early stages of a parking lot resurfacing project. The campus is developing a ten-year plan for resurfacing lots, upgrading and expanding electrical plug-in services, and making other parking infrastructure investments. In FY19 Parking Services will also begin making the full payments for the UPASS bus service program with the borough.

**RECHARGE CENTERS**

*Refer to Appendix 3.A.2 - Recharge Operations*

There were 26 active recharge centers in FY18, of which 17 ended the year with positive fund balances and nine with negative fund balances. These fund balances do not include the addition of their depreciated fund balances. In sum, the overall UAF recharge center ending fund balance decreased from \$11.7 million in FY17 to \$9.0 million in FY18 (a decrease of \$2.7 million or 23 percent).

The utilities recharge contributed to the most substantial portion of the decrease with a reduction to the ending fund balance of roughly \$2 million. This was partly due to increased expenditures for utilities and facilities M&R. CFOS Sikuliaq Operations recharge decreased over \$900,000 from FY17 to FY18 due to less ship days and cruises that cross between fiscal years, meaning expenditures and



revenue may be accounted for in different years. Design and Construction had a decrease of \$581,300 between fiscal years as recharge rates were lowered in FY18.

## **ENTERPRISE CENTERS**

*Refer to Appendix 3.A.3 - Enterprise Operations*

Of the six active recharge centers in FY18, four ended the year with negative fund balances and two ended positive. The enterprise center ending fund balance moved from -\$1.9 million in FY17 to -\$3.2 million in FY18.

Enterprise centers are specialized recharge centers; they charge other internal units or departments for goods or services but may have other defining qualities that may (or may not) require them to be separately classified as an enterprise fund. Some of these qualities may include:

- A different operating cycle than a fiscal year (such a calendar year).
- Direct sales to external parties.
- Rates based on market and can incorporate competitive features.
- Existence of research and development (R&D) expenses: if significant recharge time exists that is not a direct billable activity but contributes toward a future billable product or service.
- Inclusion of a special charge code to avoid adding ICR through restricted funds because the center generates ICR expense directly. The reason for this varies, but happens due to the center having a very unique operation (such as Sikuliaq) or has primarily external sales (greater than 50 percent).

The presences of one of these qualities may not cause a recharge center to be classified as an enterprise, but typically two or more will.

High-frequency Aerial Aurora Research Program (HAARP) contributed to the majority of the negative ending balance with -\$2.4 million. The HAARP enterprise operation started in FY17 and estimates several years of deficit to account for start-up costs; it is currently projected to see a profit by FY22.

### ***C. Trend in housing capacity by building and occupancy.***

## **HOUSING**

As of September 2018, the total number of students living on campus in the single-student housing residence halls or apartments decreased by 10.8 percent compared to the previous year. This represents a loss of 118 students with a revenue impact near \$490,000 annually. In the past two years, single-student occupancy has fallen by 22.5 percent. This represents a loss of over 280 students with a revenue impact of nearly \$1.2 million annually.

Employee-Family-Graduate housing numbers have rebounded from the lows of FY16 and FY17, with occupancy numbers back above 90 percent and trending up. A number of units that were offline for various reasons were returned to service and no further disruptions are anticipated at this time.

**Table 3.2 FY00-FY18 Residence Life Single Occupancy**

Facility	Bartlett	Lathrop	McIntosh	Moore	Nerland	Skarland	Stevens	Wickersham	Cutler	Sustain Village	Total
<b>Capacity as Built</b>	<b>322</b>	<b>132</b>	<b>98</b>	<b>322</b>	<b>97</b>	<b>143</b>	<b>101</b>	<b>96</b>	<b>242</b>	<b>16</b>	<b>1569</b>
<b>2000</b> <sup>[1]</sup>	181	119	90	298	86	7	87	66	183		1117
<b>2001</b>	184	111	79	297	72	129	87	67	181		1207
<b>2002</b>	195	120	86	256	85	112	90	68	193		1205
<b>2003</b>	203	123	92	302	90	133	95	65	201		1304
<b>2004</b>	239	110	80	307	66	141	92	72	207		1314
<b>2005</b>	242	106	84	307	83	135	90	73	207		1327
<b>2006</b> <sup>[2]</sup>	184	86	79	251	78	132	79	73	211		1173
<b>2007</b> <sup>[3]</sup>	226	0	80	310	78	139	84	76	224		1217
<b>2008</b> <sup>[3]</sup>	217	19	82	317	84	134	90	88	219		1250
<b>2009</b> <sup>[4]</sup>	284	11	91	304	87	23	93	83	223		1306
<b>2010</b> <sup>[4]</sup>	245	125	91	317	90	0	88	92	227		1275
<b>2011</b>	271	114	77	314	83	141	88	88	228		1404
<b>2012</b>	265	106	90	298	88	132	86	86	222	16	1389
<b>2013</b>	261	107	82	296	81	132	81	83	221	14	1358
<b>2014</b>	274	96	85	282	84	132	87	80	223	16	1359
<b>2015</b>	258	101	73	297	78	129	77	79	213	16	1321
<b>2016</b>	261	93	65	275	79	120	53	81	220	16	1263
<b>2017</b>	260	95	75	216	0	129	0	82	224	16	1097
<b>2018</b> <sup>[5]</sup>	204	65	64	220	37	119	0	58	196	16	979
<b>Capacity as Used</b>	<b>314</b>	<b>129</b>	<b>96</b>	<b>308</b>	<b>49</b>	<b>135</b>	<b>0</b>	<b>97</b>	<b>231</b>	<b>16</b>	<b>1375</b>
<b>Occupancy AU*</b>	65.0%	50.4%	66.7%	71.4%	75.5%	88.1%	NA	59.8%	84.8%	100.0%	71.2%
<b>Occupancy AB*</b>	63.4%	49.2%	65.3%	68.3%	38.1%	83.2%	0.0%	60.4%	81.0%	100.0%	62.4%
Notes:	<p>[1] Bartlett rooms sold as super singles, limits capacity to 189; Moore freshmen in double rooms only, limits capacity to 300; Skarland used for faculty offices and Alaska Renaissance Project; Wickersham makes super singles available, all rooms occupied.</p> <p>[2] Bartlett rooms sold as super singles, limits capacity to 189.</p> <p>[3] Lathrop Hall converted to Guest Housing Fall 2007 - Spring 2008; Fall 2008 two floors returned to student use.</p> <p>[4] Lathrop Hall converted to Freshman housing; Skarland closed for repairs.</p> <p>[5] Nerland Hall double rooms sold as Super-Singles only; limits capacity to 49.</p> <p>* AU: As Used - AB: As Built</p> <p>All Occupancy Data 2006-2018 is from Occupancy Reports run annually between the dates of September 11 - September 18.</p>										

**Table 3.3 FY00-FY18 Residence Life Family and Faculty Occupancy**

	Family/Student		Faculty/Staff		Total		Occupancy
	Capacity	Occupied	Capacity	Occupied	Capacity	Occupied	Percent
2000	154	126	28	23	182	149	81.9%
2001	153	144	29	24	182	168	92.3%
2002	114	112	26	26	140	138	98.6%
2003	121	116	27	23	148	139	93.9%
2004	152	136	27	27	179	163	91.1%
2005	142	141	27	27	169	168	99.4%
2006	149	143	27	27	176	170	96.6%
2007	140	139	33	32	173	171	98.8%
2008	136	130	37	35	173	165	95.4%
2009	143	138	36	35	179	173	96.6%
2010	133	129	46	43	179	172	96.1%
2011	135	129	44	43	179	172	96.1%
2012	135	131	44	43	179	174	97.8%
2013		124		43	173	167	96.5%
2014		124		38	175	162	92.6%
2015		110		37	163	147	90.2%
2016		87		33	165	120	72.7%
2017		85		57	165	142	86.1%
2018		111		50	176	161	91.5%
Notes	1 Units may be redesignated from one category to another each year.						
	2 Not all units may be available due to maintenance down time.						
	3 Beginning FY02, Harwood Hall (36 units) transferred to academic use.						
	4 Harwood returned to Res Life FY04.						
	5 Garden Apt 2 bedroom redesignated from single family (capacity 12) to shared graduate (capacity 24) in 2007.						
	6 All Occupancy Data from 2006-2013 is from Occupancy Reports run annually from October 29-November 15.						
	7 As of Fall 2012, the Office of Residence Life has changed the format of the spreadsheet that we use to ascertain Family Occupancy numbers. The Office of Residence Life no longer designates specific facilities to family or faculty, there is simply a total capacity of units available.						
	8 As of January 2015, Walsh Hall (a total of 12 (1) bedroom apartments) is off-line until November 2017.						
	9 Occupancy Data for 2016 was pulled while 32 Chandalar, Garden, Hess, and Harwood units were offline due to various projects and were anticipated to return to service within 4-6 weeks.						

## Section 4. Budget Planning, Resource Reinvestment & Reallocation

### A. Six-year trend and one-year changes of unreserved fund balance (UFB) by allocation and fund, by VC level unit.

**Table 4.1 FY13-FY18 UFB by Fund Type & Allocation**

UFB by Fund Type	FY13	FY14	FY15	FY16	FY17	FY18
Total Unrestricted (F1)	8,519,642	6,523,669	6,550,230	6,615,445	8,085,122	7,431,858
Recharge Svc Centers (F7)	12,778,853	10,725,853	15,871,227	10,666,129	17,367,210	15,565,143
Fairbanks Leasing (FL) & Enterprise (FE)	5,252,453	6,733,537	5,886,972	5,521,896	3,920,400	2,796,045
<b>Grand Total</b>	<b>26,550,947</b>	<b>23,983,059</b>	<b>28,308,428</b>	<b>22,803,470</b>	<b>29,372,731</b>	<b>25,793,047</b>

F1 UFB by Allocation	FY13	FY14	FY15	FY16	FY17	FY18
Fairbanks Campus	4,218,168	4,252,467	2,491,610	(578,271)	6,834,623	5,252,747
Organized Research	2,191,153	751,686	3,032,791	6,413,348	(484,399)	1,135,848
Co-op Extension Svcs	247,890	414,241	(31,892)			
Bristol Bay Campus	191,281	50,545	73,074	71,263	413,708	11,951
Chukchi Campus	40,464	1,572	123,720	42,173	189,949	71,769
Community and Technical College	343,183	234,178	100,647	126,496	213,774	54,173
Interior Campus	81,414	112,273	24,738	107,487	512,367	285,618
Kuskokwim Campus	65	102,317	27,588	4,357	336,915	19,485
Northwest Campus	203,357	221,129	282,645	13,659	151,107	49,196
Rural College	1,002,665	383,259	425,309	414,935	(82,922)	551,071
<b>Grand Total</b>	<b>8,519,642</b>	<b>6,523,669</b>	<b>6,550,230</b>	<b>6,615,445</b>	<b>8,085,122</b>	<b>7,431,858</b>

Table 4.1 represents the total UAF unreserved fund balance (UFB) managed at the campus (allocation) level from FY13-FY18, regardless of the source. Only unrestricted UFB (F1) is available for utilization by unit management. UFB by VC level is listed in Table 4.2.

UAF's UFB principles exist as a set of guidelines for unit financial managers and encourage accuracy in reporting projections. The target range for year-end balances on unrestricted sources is 2.0-4.0 percent. The FY18 UFB of \$7.4 million represents approximately 2.1 percent of all restricted and unrestricted funding sources.

Specifics by VC Level for FY18 UFB (F1) are identified in Table 4.2.

**Table 4.2 Fund 1 UFB by VC Level Unit - FY18**

Cabinet	FY18
Chancellor	93,210
Provost	444,155
Vice Chancellor for Administrative Services	1,312,747
UAF Office of Information Technology	127,830
Vice Chancellor for Rural, Community & Native Education	1,018,363
Vice Chancellor for Research	1,920,831
Central Managed Projects	1,704,540
Vice Chancellor for Student Affairs	810,181
<b>Grand Total</b>	<b>7,431,858</b>

The Vice Chancellor for Research’s area had the largest UFB at 26 percent of the F1 total, followed by the Central Managed Projects at 23 percent of the F1 total. Central Managed Projects include central student aid and waivers, debt service payments, utilities costs and other large institutional expenditure categories. These two areas made up 49 percent of the total UFB with all other units contributing to the remaining 51 percent.

**B. FY18 outlook and management actions in progress, including assumptions and FY19 strategic reinvestment areas.**

*Refer to Appendix 4.A.1 - FY18 Budget Planning Guidance - Interim Chancellor Thomas Memo December 16, 2016*

*Refer to Appendix 4.A.2 - FY18 Budget Update - Interim Chancellor Thomas Memo February 3, 2017*

FY18 Planning Assumptions and Estimated Budget Gap

Some overarching assumptions made to effectively plan for FY18 included, but were not limited to:

- No compensation or benefits increase
- 5 percent tuition increase
- Flat to slightly declining enrollment (-3 percent)
- Debt service increases (Engineering)
- Athletics reallocation for GF support
- FY18 budget gap estimated at \$10.4 million considering legislative cuts, internal commitments and funding offsets

FY18 estimated budget gap components are outlined below.

**FY18 Estimated Funding Gap**

UA -2.5% General Fund (GF) Scenario - \$317M UA Total	
UAF GF Estimated at 50% of UA Total - \$158M	
UAF GF (-2.5% reduction from FY17 GF levels - \$M)	(3.9)
UAF Fixed Cost Obligations	(2.7)
Chancellor’s Strategic Reallocation	(1.0)
Athletics	(2.8)
<b>Subtotal UAF Internal Obligations</b>	<b>(10.4)</b>
UA Strategic Investments \$6M: UAF UFB \$0.95M (one-time, no GF impact)	0.0
<b>UAF Est. Total Budget GAP w. 2.5% GF Reduction</b>	<b>(10.4)</b>

FY18 Shortfall Planning Actions

UAF focuses its remaining resources on core mission activities, and communicates to faculty, staff and current and prospective students that UAF continues to be a strong institution with a bright future.

UAF FY18 reduction impacts:

- Suspend and discontinue a small number of additional programs
- Reduce course offerings
- Reorganize staffing as vacancies arise, hold vacancies unfilled, reduce contracts, non-renewals and layoffs

- Scholarship/fellowship graduate aid reductions
- Eliminate outreach/engagement activities (e.g. visiting writing programs)
- Reduce service hours (Library, Museum)
- Close residence halls (2)
- Increase service fees
- Reduce athletic funding/scholarships
- Reduce KUAC memberships and tech refresh, increase private funding
- Consolidate CRCD staffing and Sr. Administrator roles
- Consolidate CRCD bookstore with Follett (Fairbanks campus bookstore contract)
- Close Unalakleet Learning Center
- Diminished research capacity (loss of faculty positions)
- Consolidate research building operations and computing
- Unfilled tenure track in key biomedical areas, limiting competitiveness
- Eliminate InfoEd grant software
- Eliminate Siemens energy monitoring services (in-house)

Use of one-time carry-forward funding to bridge notice periods for employee or program reduction, cover necessary program costs, or invest in needed equipment/match for increasing research competitiveness.

### FY18 Legislative Outcomes

The Legislature passed a UA budget of \$317 million. UA System Administration reserved \$6 million from Statewide and university UFB sources, as well as Statewide working capital funds for strategic internal investments (described in more detail below).

### FY18 Legislative Reductions & Funded Initiatives

- UAF received a reduction of \$3.9 million in unallocated general fund.
- UA did not receive funding increments from the State.

### FY18 UA Strategic Reallocations

The Strategic Pathways process has identified numerous priorities for improved programs and services. Strategic investments supported by the BOR total \$6 million for each of the next three years (FY18-FY20) funded from various sources. These funding levels are subject to change based on State general fund support. Investment areas include:

- Enrollment and student success (\$2.1 million UA-wide) - funded from each university's UFB/reallocation
- Research (\$1.8 million UA-wide) - funded from Statewide UFB
- Workforce and online program development (\$1.0 million UA-wide) - funded from each university's UFB/reallocation
- Process improvement/automation (\$1.1 UA-wide) - funded from Statewide working capital

### FY18 UAF Strategic Investments

*Refer to Appendix 4.A.3 - FY18 Strategic Investment Allocations - AVC Queen Memo  
June 30, 2017*

In FY18, strategic investment funds totaled \$950 thousand in one-time funding for digital and traditional marketing, moving additional degree programs online and equipment and match R&R

funds. An additional \$1 million in base (ongoing) funding is targeted for various enrollment, workforce development/online programs, research initiatives and Chancellor's office core operations.

### ***C. FY19 approved budget requests, outlook and management actions in progress.***

*Refer to Appendix 4.B.1 - FY19 Budget Update - Chancellor White Memo  
December 15, 2017*

*Refer to Appendix 4.B.2 - FY19 Initial Budget Planning Targets - Memo to Core  
February 14, 2018*

*Refer to Appendix 4.B.3 - FY19 Budget Update in Cornerstone - Chancellor White  
March 23, 2018*

### FY19 Planning Assumptions

Some overarching assumptions made to effectively plan for FY19 included, but were not limited to:

- No compensation or benefits increase
- 5 percent tuition increase
- Flat to slightly declining enrollment
- A full year of O&M costs for the new Engineering Learning and Innovation Facility

### FY19 Legislative Outcomes

The Legislature passed a UA budget of \$327 million, a \$10 million increase from FY18. Of that increase, \$5 million was directed to support increases for UA utilities and fixed costs and \$5 million was directed for UA Strategic Investment goals, which include contributing to Alaska's economic development, providing Alaska's skilled workforce, growing our world class research and increasing degree attainment of our students. This was UA's first increase to state operating funds since FY14.

### FY19 Legislative Increase & Funded Initiatives

UAF received an increase of \$3 million in base funding for fixed costs:

- \$1 million towards increases in utility costs
- \$2 million in operation and maintenance funding for the new Engineering Learning and Innovation Facility

### FY19 UA Strategic Reallocations

In addition to the \$5 million from state general funds, President Johnsen reallocated \$4.0 million one-time funding of system office funds to support UA Strategic investments. Of the \$9.0 million total, UA investment areas were funded as follows:

- Contributing to Alaska's economic development (\$850 thousand)
- Provide Alaska's skilled workforce (\$1.90 million)
- Grow our world class research (\$2.15 million)
- Increase degree attainment (\$4.1 million)

UAF's portion of these investments is described below.

## FY19 UAF Strategic Investments

*Refer to Appendix 4.B.4 - FY19 Strategic Investment Allocation - Chancellor White Memo  
July 9, 2018*

In FY19, UAF utilized funding from three separate sources to contribute to strategic investment areas. UAF allocated \$1.53 million from UAF's FY18 UFB (one-time), \$1.86 million from FY19 state funds (base) and \$2.08 million from the President's three-year internal initiative pool for a total of \$5.48 million. Total funding from all sources are directed towards:

- Economic development (\$120,000)
- Skilled workforce development (\$200,000)
- Research (\$1.74 million)
- Enrollment/degree attainment (\$3.27 million)
- Compliance (\$150,000)

***D. FY20 approved budget requests, outlook and management actions in progress.***

## **FY20 BUDGET PLANNING ACTIONS AND PROCESS**

*Refer to Appendix 4.B.5 - FY19 Budget Recap and FY20 Budget Planning - Chancellor White Memo  
September 26, 2018*

### FY20 BOR Approved Request

The FY20 UA budget request is \$358 million, a 9.6 percent increase over FY19. This includes \$10 million to support UA's Strategic Investment goals (which are identical to the FY19 goals listed above). Of the \$10 million total, UAF's proposed proportion is roughly 4.2 million (or 42 percent).

### UA Operating Budget - Fixed Costs

- FY20 UA Personal Services and Benefits: \$7.2 compensation increase (may be refined based on further analysis of compensation review)
- UA Facilities Maintenance: \$9.8 million
- UA Utilities: \$1.3 million
- UA E-Journal Subscriptions and contractual software/hardware: \$950K
- UA Title IX Enhancement (Culture of Respect): \$1.752 million
- Other operating costs: \$390K

### Capital Budget

- UA Deferred Maintenance: \$50.0 million (UAF portion is approx. \$30.5 million)
- UA Digital Fabrication Laboratories: \$2.0 million
- UAF Sustaining USArray (research): \$5.0 million



## Section 5. Facilities Snapshot

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### A. Construction in Progress

*Refer to Appendix 5.A.1 UAF Construction in Progress*

*(Fairbanks campus: projects over \$1,000,000; community campus: projects over \$250,000)*

As of September 2018, UAF construction in progress totaled \$421 million in total cost estimates, and of that total, \$373 million has been expended or encumbered (88 percent). The most significant projects on the list continue to be the Combined Heat & Power Plant (CHP) replacement project and the Engineering Building, which both make up the majority of the total project cost total (\$369.6 million or 88 percent). The project list also contains major Deferred Maintenance (DM) items.

It is important to note that construction in progress amounts represent a total amount authorized to complete a project, and are not an indication of approved funding. As the FY18 budget has been reduced at the state level, plans listed below may be adjusted as funding strategies change.

#### Combined Heat & Power Plant (CHP) Major Upgrade Project

Construction of the new CHP to replace the current/aging facility began in July 2015 with completion and testing to occur in the summer of 2018. The new plant is expected to be fully operational in late 2018.

#### UAF Engineering Facility

Construction of the Engineering building was completed in November 2017 and first classes began in January 2018.

### B. Lease, Joint Use, Debt and Rental

*Refer to Appendix 5.B.1 Lease, Joint Use, Debt and Rental*

- *Percentage of total UAF utilized space that is leased.*
- *Actions planned for those leases that expire in the next 24 months.*
- *Listing by building and UAF owned space leased to a third party.*
- *Percentage of total UAF owned space is leased to a third party.*
- *Actions planned for these leases that expire in the next 24 months and new efforts planned to lease out additional space.*
- *List of on-UA owned and non-UA occupied facilities/space that are situated on UAF educational property.*

UAF leased space accounts for 2.7 percent of total UAF square footage, totaling 100 thousand of the campus' 3.8 million square feet of owned space. Annual lease payments total roughly \$1 million, a decrease of 20 percent from FY17.

As a cost saving measure, UAF has worked aggressively to reduce off-campus leases in the Fairbanks and surrounding areas and bring departments into university-owned space. After FY18, two off-campus leases remain in the Fairbanks area: \$272,000 for the CTC Hutchison Institute of Technology, and \$253,000 for the CTC Process Technology & Environmental Safety programs at the Fairbanks Pipeline Training Center. The Fairbanks Pipeline Training Center lease is paid for with Technical Vocational Education Funds (TVEP) funds. As such, further ability to reduce off-campus

leases in the Fairbanks area is unlikely.

UAF continues to negotiate existing leases when warranted.

**During FY18, UAF terminated the following leases which will result in a combined future estimated annual cost savings in excess of \$350,000:**

- Fairbanks Community Food Bank (Cooperative Extension Service) in Fairbanks  
*Estimated future annual savings: \$59,190*
- Roth Building (Division of Design and Construction) in Fairbanks  
*Estimated future annual savings \$207,997*
- KRK Management (College of Fisheries and Ocean Sciences) in Anchorage  
*Estimated future annual savings: \$9,600*
- West Valley Plaza (Advancement Services) in Fairbanks  
*Estimated future annual savings: \$66,284*
- City of Togiak (BBC Ikaiyurvik Family Residence Center Space) in Togiak  
*Estimated future annual savings: \$11,620*
- Native Village of Hooper Bay (KuC Hooper Bay Learning Center) in Hooper Bay  
*Estimated future annual savings: \$5,000*

**During FY19, UAF is attempting to renegotiate active leases to reduce square footage and/or annual lease costs:**

- Fairbanks North Star Borough Hutchison Institute of Technology (Community and Technical College) located in Fairbanks.

**During FY19, UAF plans to terminate:**

- Kodiak Island Borough lease (College of Fisheries and Ocean Sciences) in Kodiak  
*Estimated future annual savings: \$66,000*

### **Third Party Leased Space**

UAF-owned space leased to third parties totals \$847,000 in annual revenues. UAF does have special arrangements with other entities to share non-UA owned facilities located on UA property. These include the Cold Climate Housing Research Center (CCHRC) and the State of Alaska Virology Lab.

### **C. UAF Debt Service**

*Annual listing of all debt payments (by building/project/vehicles/equipment) and the term of the debt (FY18 to FY28). This includes new debt payments projected based on UAF's master plan and projects in the six-year capital plan.*

*Refer to Appendix 5.C.1 UAF Debt Service Schedule*

### **Current and Anticipated Debt Issues**

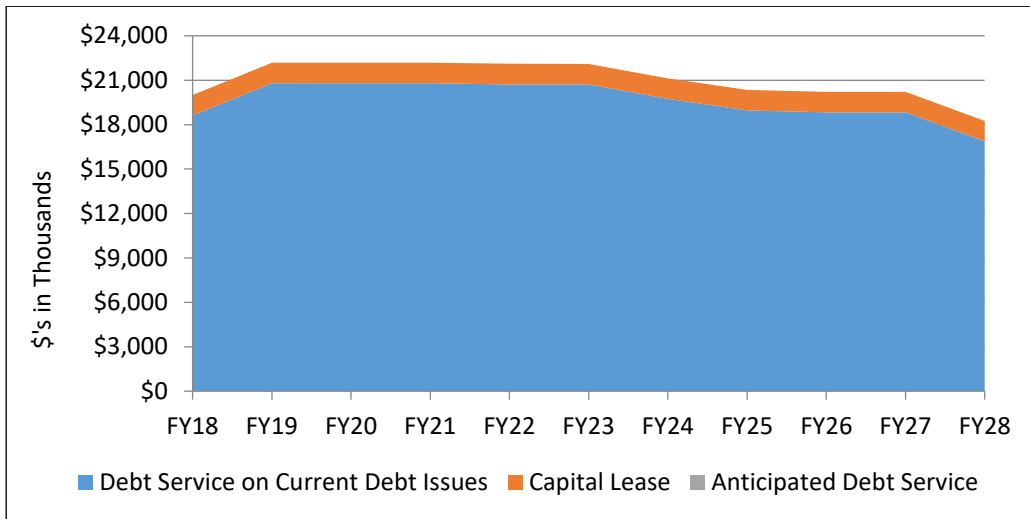
UAF's most significant debt service (D/S) obligations include the CHPP (\$10.5 million), Deferred Maintenance (DM) (\$2.9 million), Engineering (\$2.1 million), and Murie (\$1.5 million). These four together represent over three quarters (76 percent) of UAF's total debt. DM is a significant factor because it represents borrowing to address current infrastructure, which then reduces UAF's ability to maintain existing infrastructure in future years.

The FY19 D/S obligation is more than double FY15 levels, due to the CHPP and Engineering increasing to full cost obligations. UAF debt obligations will begin to decrease in a step-down fashion after FY23, with the current plan:

- FY15: \$10.7 million
- FY18: \$20.0 million
- FY19: \$22.2 million
- FY23: \$22.1 million
- FY24: \$21.1 million
- FY28: \$18.2 million

The D/S Schedule, Appendix 5.C.1, displays the UAF’s current and proposed debt obligations over the next decade. The FY18 commitment is roughly nine percent of UAF’s unrestricted revenues.

**Graph 5.1 UAF Debt Issues, Leases, and Other Debt Service**



**Long-Term Capital Leases**

UAF completed its dining facility in late summer of 2014, opened it for operations for fall semester 2014 (FY15), and financed the project through a public-private partnership (P3). UAF’s financial obligation on this facility is limited to a 30 year lease agreement, after which time UAF will own the building. Lease payments began in FY15 and are approximately \$1.4 million annually.

***D. FY19-20 Capital Snapshot***

State capital appropriations over the last several years have fallen well short of UA needs, therefore UAF funding requests submitted for consideration in the FY20 Capital Budget and the FY21-29 Capital Improvement Plan will continue to be adjusted and prioritized with special emphasis on major facilities, deferred maintenance (DM) and renewal and renovation (R&R). UAF’s highest FY20 capital priority is DM and R&R.

**Deferred Maintenance (DM)**

UA is requesting \$50 million in FY20 to fund DM and R&R work. UAF is distributed a percentage of the UA System DM funds. For FY20, this distribution is estimated at \$30.5 million for UAF, if funded. DM funds are utilized in areas of highest priority and include R&R, code, and ADA items. UAF’s facility needs are the highest in the UA System.

## Research for Alaska

Planning for capital needs at UAF includes a \$5 million funding request for sustaining USArray capabilities in Alaska which will improve Alaska's ability to assess and prepare for earthquakes and tsunamis by establishing a long-term statewide monitoring platform.

### UA/UAF DM funding history:

- FY15 - UAF did not receive a DM distribution because the legislature funded CHP and a portion of the Engineering building.
- FY16 - UA received \$3 million for DM in total. UAF's DM increment was \$2.35 million.
- FY17 - UA reallocated \$10 million from operating funds for DM. UAF's DM increment was \$6.2 million reallocated from operations. UAF also received \$168K in Natural Resources funding.
- FY18 - UA received \$5 million for DM and reallocated an additional \$5 million from operating funds. UAF's DM increment from each source was \$3.1 million, for a total of \$6.2 million. UAF also received \$183K in Natural Resource funding. Reallocation of operating funds for DM adversely impacts UAF's ability to proactively address maintenance and repair (M&R) needs and is therefore a short-term strategy.
- FY19 - UA received \$5 million for DM: \$2 million from the capital budget and \$3 million from the Governor's office. UAF's DM increment was \$3.1 million.

### Future Capital Items (FY21-FY29) as part of UAF's 10-year Capital Plan

#### Major Maintenance & Renewal

- Bartlett and Moore Infrastructure
- Building Demolition (planning)
- West Ridge Research Facilities: Elvey Annex (Phase 1) & Exterior (Phase 2)
- Engineering Program Modernization: Duckering
- Fine Arts Program: Salisbury Theater/Multi-Use Instructional Space
- Arctic Health Facility Upgrade

#### New Starts/Continuation, Land, Property and Facilities Acquisitions

- Fire & Emergency Services Training and Education Facility
- Troth Yeddha/Indigenous Studies Center: Park & Building
- Community & Technical College (CTC) Aviation/Hangar Addition
- Kuskokwim Campus Consortium Learning Center
- Toolik Research Field Station: Classroom
- Science, Teaching & Research Building
- Student Life Revitalization
- Student Recreation Center Expansion
- Athletics & Recreation: Patty Center Complex Connector
- Core Campus Parking Garage
- Coal Ash Disposal Site
- Early Childhood Education & Childhood Center

#### Research for Alaska

- Alaska Integrated Data Archive

#### Academic Equipment

- eLearning Recording Capabilities, ADA Accessibility & Instructional Classroom Technology

## Section 6. Employee Trends, Reviews & Organizational Structures

### A. Employee and Position Trends

Refer to Appendix 6.A.1 - 2014-2018 Fall Headcount and FTE with Spring Updates

#### HEADCOUNT TRENDS

Spring figures are typically higher than Fall since Spring includes an increase in seasonal and research field season employees. Spring numbers are not typically compared to Fall numbers as the trends would have greater variance. Additionally, there is a change in fiscal year (annually) between these time periods.

**Table 6.1. UAF Headcount Change - Spring 2014-2018**

Employee Type	Spring 2014	Spring 2015	Spring 2016	Spring 2017	Spring 2018	Change 14-18	% Change 14-18	Change 17-18	% Change 17-18
REGULAR	2,214	2,102	2,015	1,876	1,826	-388	-17.5%	-50	-2.7%
TEMPORARY	2,028	1,987	1,847	1,733	1,655	-373	-18.4%	-78	-4.5%
<b>Grand Total</b>	<b>4,242</b>	<b>4,089</b>	<b>3,862</b>	<b>3,609</b>	<b>3,481</b>	<b>-761</b>	<b>-17.9%</b>	<b>-128</b>	<b>-3.5%</b>

**Table 6.2. UAF Headcount Change - Fall 2014-2018**

Employee Type	Fall 2014	Fall 2015	Fall 2016	Fall 2017	Fall 2018	Change 14-18	% Change 14-18	Change 17-18	% Change 17-18
REGULAR	2,127	2,020	1,890	1,818	1,790	-337	-15.8%	-28	-1.5%
Faculty	649	610	579	544	523	-126	-19.4%	-21	-3.9%
Officers/Sr. Administrators	71	65	59	57	62	-9	-12.7%	5	8.8%
Staff	1,407	1,345	1,252	1,217	1,205	-202	-14.4%	-12	-1.0%
TEMPORARY	1,846	1,753	1,663	1,581	1,530	-316	-17.1%	-51	-3.2%
Adjunct Faculty	358	318	343	338	322	-36	-10.1%	-16	-4.7%
Staff	426	444	364	347	370	-56	-13.1%	23	6.6%
Student	1,062	991	956	896	838	-224	-21.1%	-58	-6.5%
<b>Grand Total</b>	<b>3,973</b>	<b>3,773</b>	<b>3,553</b>	<b>3,399</b>	<b>3,320</b>	<b>-653</b>	<b>-16.4%</b>	<b>-79</b>	<b>-2.3%</b>

#### Authorized Position History

The Office of Finance and Accounting (OFA) tracks position status in the following categories: filled, in recruitment status, vacant/hold unfilled for a period of time (as defined), or in reserve. This process allows for consistent standards in the budgeting, expenditure, recruitment, and reservation of positions across the system.

OFA additionally tracks the overall UAF Position Control Number (PCN) count that is managed in conjunction with the UA System Office and State of Alaska. These are known as “authorized positions”. Standardized and accurate management of these positions is crucial for the State of Alaska.

**Table 6.3. UAF Authorized Position Changes, FY14-2019**

	FY14	FY15	FY16	FY17	FY18	FY19	FY14-FY19 Change	FY14-FY19 % Change
Total UAF Authorized Positions	2,639	2,469	2,369	2,290	2,108	2,033	-606	-23.0%
Change from Prior Year		-170	-100	-79	-182	-75		
Percent Change from Prior Year		-6.4%	-4.1%	-3.3%	-7.9%	-3.6%		

UA campuses have agreed that an authorized position count should be roughly 6-8 percent above the count of occupied PCNs or PCNs in active recruitment status. This margin is necessary to accommodate swings in grant hiring, as well as changes in organizational structure or transition of programs and services. UAF is within this recommended threshold, but has returned PCNs to the State over the last several years to meet declining budgetary conditions.

Since July 2014, UAF returned 606 authorized positions to reallocate within the UA system or to back to the State of Alaska. This leaves UAF with 2,033 after other internal adjustments. This is a 23 percent reduction since FY14, although further reduction in FY20 is not expected.

## ***B. UA/UAF Reviews and Organizational Structures***

### **STRATEGIC PATHWAYS**

UAF is currently participating in the UA Strategic Pathways reviews, with implementations pending. <https://www.alaska.edu/pathways/>

### **UAF ORGANIZATIONAL CHANGES**

*Refer to Appendix 6.B.1 - UAF Chancellor Level Org Chart*

Effective FY18:

- With the creation of the Alaska College of Education, UAF's School of Education no longer has a Dean position and separate administrative structure. Instead, it is a cost center led by a Director and housed within the College of Natural Science and Mathematics (CNSM).
- UAF Community and Technical College (CTC) became its own unit separate from the College of Rural and Community Development (CRCDD).
- The Division of University Student Advancement was restructured and changed its name to the Division of Student Affairs. As a result of this change, the Development office, KUAC and University Relations now report to the Chancellor's unit.

Effective FY19:

- The Dean of Students rebranded its name to the Center for Student Rights and Responsibilities so that the community can better see the services and focus that the department provides. (July 2018.)
- Within the Division of Student Affairs, the Wood Center became the Center for Student Engagement and the Department of Recreation, Adventure and Wellness (DRAW) became Nanook Recreation. (August 2018.)
- The Office of Diversity and Equal Opportunity (DEO) changed its name to the Department of Equity and Compliance (DEC). (September 2018.)
- UAF eLearning and Distance Education changed its name to UAF eCampus to emphasize the strategic role online courses and programs play at the university. (October 2018.)

## ***C. Process Improvement***

### **SCHOLARSHIP TRANSFORMATION AND REVITALIZATION TEAM (STAR Team)**

In an effort to increase enrollment, UAF is examining how to enhance the user friendliness of the scholarship process, streamline scholarship awarding, and increase UAF's ability to strategically leverage scholarship funds. Students are often are unaware of the net cost of attendance and this can impact their decision to attend UAF. The current processes hamper UAF's ability to leverage student scholarship dollars.

In May 2018, the Process Improvement Team (PIT) Crew kicked off an intensive two-day design conference to map the existing process and identify improvements. This work is expected to conclude in spring 2019. Outcomes will be communicated.

## **TRAVEL**

In FY17, UA launched a comprehensive reexamination of the travel program with goals to streamline processes, enable a more user-friendly process, increase discounts to save UA funds, and improve travel reporting capability. The project includes process improvement, policy and regulation updates and replacing the failing and now unsupported Travel and Expense Management (TEM) system.

Training for travel coordinators and key fiscal managers in the UAF travel ecosystem is underway. Pilot groups at UAF and UAS began using the Concur platform for travel management and booking in November. Training for these groups includes information about the new travel regulations and the new Travel Card, which works with the Concur system. Pending a successful pilot, UAF expects to begin campus-wide rollout of Concur in the start of 2019, and implement the program fully by the start of FY20.

## **RECRUITMENT, ONBOARDING, PERFORMANCE, & TRAINING**

UA implemented PageUp People for a comprehensive recruiting, onboarding, performance, and training system, referred to internally as myUA. Recruitment, onboarding and performance modules are live. Implementation of the training module is underway. A need remains to build in workflows that will automate HR processes and streamline paper routing/data entry in Banner.

## **ELECTRONIC WORKFLOWS**

UAF continues to develop and implement electronic workflows using DocuSign, OnBase, and Banner Self Service (UAOnline), replacing manual and paper-based processes and increasing efficiency.

## **BANNER UPGRADE**

In October 2018, several training sessions were made available online and in-person for Banner users to become familiar with Banner 9. As the fiscal year continues, further trainings and updates will be made to Banner 9, and Banner 8 will be discontinued by the end of December 2018.

## **UA SYSTEM STRATEGIC INVESTMENT**

In FY18, UA Statewide invested \$1.1 million annually over the next three years to integrate and automate back office systems to improve service, reduce long-term costs, and provide system-wide benefits. This funding comes from the Statewide working capital fund. The Business Council is tasked with identifying viable projects in the following areas: information technology, institutional research, human resources, finance and student services. Some projects are underway at UAF and include DocuSign/OnBase integration and exception reporting improvements, HR automation for FML and other workflows, and Procurement workflows.

In FY19, the Business Council approved UAF's request for funding to improve student financial aid packaging and create a simplified student bill. This will enhance the outcomes of the STAR Team process improvement work.

**Total Revenue by Source**  
**1.A.1**

Revenue Source	FY13		FY14		FY15		FY16		FY17		FY18		% Change FY17-18	% Change FY13-18
	Revenue	% of Total	Revenue	% of Total	Revenue	% of Total	Revenue	% of Total	Revenue	% of Total	Revenue	% of Total		
General Funds	176,505.2	37%	186,541.9	41%	186,315.2	42%	174,899.8	40%	164,909.3	37%	160,655.3	38%	-2.6%	-9.0%
Federal Receipts	88,128.3	19%	86,600.1	19%	83,042.5	19%	89,461.5	21%	91,536.2	21%	83,768.5	20%	-8.5%	-4.9%
Student Tuition & Fees	41,641.8	9%	40,691.6	9%	42,410.9	10%	44,359.6	10%	44,526.6	10%	43,192.5	10%	-3.0%	3.7%
Indirect Cost Recovery	23,389.3	5%	22,648.5	5%	22,644.9	5%	24,980.6	6%	25,799.1	6%	25,357.3	6%	-1.7%	8.4%
U of A Receipts	45,093.4	9%	43,130.3	9%	37,094.3	8%	36,852.8	9%	40,420.5	9%	41,106.4	10%	1.7%	-8.8%
UA Intra-Agency Transfers	31,398.8	7%	29,537.4	6%	31,179.7	7%	30,851.9	7%	51,735.1	12%	45,042.1	11%	-12.9%	43.5%
State Inter-Agency Receipts	2,546.6	1%	3,960.2	1%	3,470.4	1%	4,891.2	1%	3,092.7	1%	2,673.3	1%	-13.6%	5.0%
Auxiliary Receipts	15,768.9	3%	15,426.1	3%	15,281.7	3%	16,395.3	4%	15,683.8	4%	14,597.1	3%	-6.9%	-7.4%
CIP Receipts	14,108.3	3%	14,674.5	3%	12,421.3	3%	7,103.9	2%	5,437.4	1%	2,686.6	1%	-50.6%	-81.0%
Federal Stimulus--ARRA2009	35,976.4	8%	15,400.2	3%	9,043.5	2%	3,236.6	1%		0%		0%	N/A	-100.0%
Mental Hlth Trust Auth Receipts	50.0	0%		0%		0%		0%		0%		0%	N/A	-100.0%
Interest Income	(87.3)	0%	1.0	0%	12.6	0%	1.9	0%	10.7	0%	193.2	0%	1709.3%	-321.3%
RSA - Capital 91 Authority	232.7	0%	65.8	0%	18.0	0%	77.7	0%	(0.1)	0%		0%	-100.0%	-100.0%
GF/Mental Health Trust		0%	50.0	0%	50.0	0%	50.0	0%	50.0	0%	50.0	0%	0.0%	N/A
<b>Grand Total</b>	<b>\$474,752.4</b>	<b>100%</b>	<b>\$458,727.6</b>	<b>100%</b>	<b>\$442,985.2</b>	<b>100%</b>	<b>\$433,162.7</b>	<b>100%</b>	<b>\$443,201.2</b>	<b>100%</b>	<b>\$419,322.3</b>	<b>100%</b>	<b>-5.4%</b>	<b>-11.7%</b>



**Total Revenue by Fund Type and Source**  
**1.A.2**

FUND TYPE	Revenue Source	FY13		FY14		FY15		FY16		FY17		FY18		% Change FY17-18	% Change FY13-18	Note
		Revenue	% of Total	Revenue	% of Total	Revenue	% of Total	Revenue	% of Total	Revenue	% of Total	Revenue	% of Total			
Unrestricted	General Funds	174,653.3	37%	183,288.7	40%	184,059.7	42%	173,529.2	40%	164,201.6	37%	160,006.9	38%	-2.6%	-8.4%	
	Federal Receipts	72.0	0%	72.0	0%	72.0	0%	232.3	0%	51.7	0%	0	0%	-100.0%	-100.0%	
	Student Tuition & Fees	41,641.8	9%	40,691.6	9%	42,410.9	10%	44,330.3	10%	44,522.4	10%	43,137.3	10%	-3.1%	3.6%	
	Indirect Cost Recovery	23,389.3	5%	22,648.5	5%	22,644.9	5%	24,980.6	6%	25,799.1	6%	25,357.3	6%	-1.7%	8.4%	
	Auxiliary Receipts		0%		0%		0%		0%		0%	0.0	0%	N/A	N/A	
	U of A Receipts	12,725.8	3%	12,514.1	3%	10,998.1	2%	11,324.8	3%	14,641.9	3%	13,707.3	3%	-6.4%	7.7%	
	State Inter-Agency Receipts	60.3	0%	30.4	0%		0%		0%	1.3	0%	2.5	0%	100.0%	-95.9%	
	UA Intra-Agency Transfers	31,356.2	7%	29,524.2	6%	31,168.1	7%	30,835.9	7%	51,724.8	12%	45,029.7	11%	-12.9%	43.6%	
	CIP Receipts	6,917.5	1%	8,274.0	2%	7,411.5	2%	3,888.4	1%	3,241.9	1%	1,651.2	0%	-49.1%	-76.1%	
	Mental Hlth Trust Auth Receipts	50.0	0%		0%		0%		0%		0%		0%	N/A	-100.0%	
	Interest Income	(87.3)	0%	1.0	0%	12.6	0%	1.9	0%	10.7	0%	193.2	0%	1709.3%	-321.3%	
GF/Mental Health Trust		0%	50.0	0%	50.0	0%	50.0	0%	50.0	0%	50.0	0%	0.0%	N/A		
<b>Unrestricted Total</b>		<b>290,778.9</b>	<b>61%</b>	<b>297,094.5</b>	<b>65%</b>	<b>298,827.9</b>	<b>67%</b>	<b>289,173.4</b>	<b>67%</b>	<b>304,245.2</b>	<b>69%</b>	<b>289,135.3</b>	<b>69%</b>	<b>-5.0%</b>	<b>-0.6%</b>	
Restricted	General Funds	1,851.9	0%	3,053.1	1%	2,055.5	0%	1,192.0	0%	546.0	0%	505.4	0%	-7.4%	-72.7%	(1)
	Federal Receipts	88,056.3	19%	86,528.1	19%	82,970.5	19%	89,229.2	21%	91,484.5	21%	83,768.5	20%	-8.4%	-4.9%	
	Student Tuition & Fees		0%		0%		0%	29.3	0%	4.2	0%	55.3	0%	1215.9%	N/A	
	U of A Receipts	30,495.1	6%	28,423.9	6%	23,969.7	5%	23,495.0	5%	23,847.9	5%	25,421.7	6%	6.6%	-16.6%	
	State Inter-Agency Receipts	2,486.3	1%	3,929.8	1%	3,470.4	1%	4,891.2	1%	3,091.5	1%	2,670.8	1%	-13.6%	7.4%	
	UA Intra-Agency Transfers	42.6	0%	13.3	0%	7.2	0%	16.0	0%	10.0	0%	5.6	0%	-44.7%	-87.0%	
	CIP Receipts	7,190.8	2%	6,400.6	1%	5,009.8	1%	3,215.5	1%	2,195.5	0%	1,035.4	0%	-52.8%	-85.6%	
	Federal Stimulus--ARRA2009	35,976.4	8%	15,400.2	3%	9,043.5	2%	3,236.6	1%		0%		0%	N/A	-100.0%	
<b>Restricted Total</b>		<b>166,099.4</b>	<b>35%</b>	<b>143,749.0</b>	<b>31%</b>	<b>126,526.7</b>	<b>29%</b>	<b>125,304.8</b>	<b>29%</b>	<b>121,179.6</b>	<b>27%</b>	<b>113,462.6</b>	<b>27%</b>	<b>-6.4%</b>	<b>-31.7%</b>	
Auxiliary	General Funds		0%	200.0	0%	200.0	0%	178.6	0%	161.7	0%	143.0	0%	-11.6%	N/A	
	Auxiliary Receipts	15,768.9	3%	15,426.1	3%	15,281.7	3%	16,395.3	4%	15,683.8	4%	14,597.1	3%	-6.9%	-7.4%	
	U of A Receipts	8.5	0%	11.6	0%	75.7	0%	8.7	0%	8.0	0%	26.6	0%	231.9%	213.6%	
	UA Intra-Agency Transfers		0%		0%	4.4	0%		0%	0.2	0%	6.8	0%	3679.2%	N/A	
<b>Auxiliary Total</b>		<b>15,777.4</b>	<b>3%</b>	<b>15,637.7</b>	<b>3%</b>	<b>15,561.8</b>	<b>4%</b>	<b>16,582.6</b>	<b>4%</b>	<b>15,853.7</b>	<b>4%</b>	<b>14,773.5</b>	<b>4%</b>	<b>-6.8%</b>	<b>-6.4%</b>	
Designated	U of A Receipts	1,864.1	0%	2,180.6	0%	2,050.8	0%	2,024.2	0%	1,922.7	0%	1,950.9	0%	1.5%	4.7%	
<b>Designated Total</b>		<b>1,864.1</b>	<b>0%</b>	<b>2,180.6</b>	<b>0%</b>	<b>2,050.8</b>	<b>0%</b>	<b>2,024.2</b>	<b>0%</b>	<b>1,922.7</b>	<b>0%</b>	<b>1,950.9</b>	<b>0%</b>	<b>1.5%</b>	<b>4.7%</b>	
Capital	RSA - Capital 91 Authority	232.7	0%	65.8	0%	18.0	0%	77.7	0%	(0.1)	0%		0%	-100.0%	-100.0%	
<b>Capital Total</b>		<b>232.7</b>	<b>0%</b>	<b>65.8</b>	<b>0%</b>	<b>18.0</b>	<b>0%</b>	<b>77.7</b>	<b>0%</b>	<b>(0.1)</b>	<b>0%</b>	<b></b>	<b>0%</b>	<b>-100.0%</b>	<b>-100.0%</b>	
<b>Grand Total</b>		<b>474,752.4</b>	<b>100%</b>	<b>458,727.6</b>	<b>100%</b>	<b>442,985.2</b>	<b>100%</b>	<b>433,162.7</b>	<b>100%</b>	<b>443,201.2</b>	<b>100%</b>	<b>419,322.3</b>	<b>100%</b>	<b>-5.4%</b>	<b>-11.7%</b>	

(1) In FY13, the State of Alaska funded capital research items for Geophysical Institute's Poker Flat and unmanned aerial systems, as well as School of Fisheries and Ocean Sciences ocean acidification research projects. In FY14, the State of Alaska funded capital research items for Alaska Center of Energy and Power (ACEP) in partnership to develop statewide energy solutions. Alaska Center for Unmanned Aircraft Systems Integration (ACUASI) expired the end of FY17, ACEP expired the end of FY18 and CFOS ocean acidification the end of FY21.

**General Fund Revenue by Source**  
**1.A.3**

Revenue Source	Revenue Title	FY13	FY14	FY15	FY16	FY17	FY18	% Change FY17-18	% Change FY13-18	Note
General Funds	State Appropriation	168,707.6	177,555.5	178,536.0	167,863.6	158,177.6	154,144.1	-2.5%	-8.6%	
	State Matching Fund Appr	4,739.3	4,677.4	4,648.0	4,619.9	4,739.3	4,739.3	0.0%	0.0%	
	State Apr-Tech Voc Educ Prog Other	1,206.4	1,255.8	1,075.7	1,224.3	1,446.4	1,266.5	-12.4%	5.0%	
	State Approp-Capital	1,851.9	3,053.1	2,055.5	1,192.0	546.0	505.4	-7.4%	-72.7%	(1)
<b>General Funds Total</b>		<b>\$176,505.2</b>	<b>\$186,541.9</b>	<b>\$186,315.2</b>	<b>\$174,899.8</b>	<b>\$164,909.3</b>	<b>\$160,655.3</b>	<b>-2.6%</b>	<b>-9.0%</b>	

(1) In FY13, the State of Alaska funded capital research items for Geophysical Institute's Poker Flat and unmanned aerial systems, as well as School of Fisheries and Ocean Sciences ocean acidification research projects. In FY14, the State of Alaska funded capital research items for Alaska Center of Energy and Power (ACEP) in partnership to develop statewide energy solutions. Alaska Center for Unmanned Aircraft Systems Integration (ACUASI) expired the end of FY17, ACEP expired the end of FY18 and CFOS ocean acidification the end of FY21.

General Fund Revenue by Allocation  
1.A.4

Revenue Source	General Fund by Allocation	FY13	FY14	FY15	FY16	FY17	FY18	% Change FY17-FY18	% Change FY13-FY18
General Funds	UAF Comm Tech College	6,579.9	6,876.4	6,559.8	6,222.9	5,684.7	5,147.9	-9.4%	-21.8%
	Rural College	6,133.9	6,182.4	6,262.0	6,193.5	4,633.4	4,095.8	-11.6%	-33.2%
	Kuskokwim Campus	3,467.8	3,555.7	3,425.6	3,130.0	3,027.0	2,873.3	-5.1%	-17.1%
	Interior Alaska Campus	2,229.8	2,144.2	2,284.3	2,175.7	1,905.8	1,590.9	-16.5%	-28.7%
	Bristol Bay Campus	1,589.9	1,644.5	1,638.5	1,530.8	1,517.1	1,256.6	-17.2%	-21.0%
	Northwest Campus	1,856.5	1,876.7	1,773.6	1,625.0	1,436.9	1,325.2	-7.8%	-28.6%
	Chukchi Campus	1,092.1	1,135.5	1,058.6	1,010.4	864.1	848.8	-1.8%	-22.3%
	<b>Total Community Campus Allocations</b>	<b>22,949.8</b>	<b>23,415.3</b>	<b>23,002.4</b>	<b>21,888.2</b>	<b>19,069.0</b>	<b>17,138.5</b>	<b>-10.1%</b>	<b>-25.3%</b>
	Fairbanks Campus	121,633.0	130,294.5	130,226.1	123,204.0	122,813.1	121,145.8	-1.4%	-0.4%
	UAF Organized Research	26,860.1	27,718.1	28,743.2	29,807.7	23,027.2	22,370.9	-2.8%	-16.7%
Co-op Extension Svcs	5,062.3	5,113.9	4,343.6					-100.0%	
	<b>Total Main Campus Allocations</b>	<b>153,555.4</b>	<b>163,126.5</b>	<b>163,312.8</b>	<b>153,011.7</b>	<b>145,840.3</b>	<b>143,516.8</b>	<b>-1.6%</b>	<b>-6.5%</b>
<b>General Funds Total</b>		<b>176,505.2</b>	<b>186,541.9</b>	<b>186,315.2</b>	<b>174,899.8</b>	<b>164,909.3</b>	<b>160,655.3</b>	<b>-2.6%</b>	<b>-9.0%</b>

**Non-General Fund (NGF) Revenue by Fund Type and Source**  
1.A.5

FUND TYPE	Revenue Source	FY13		FY14		FY15		FY16		FY17		FY18		% Change FY17-18	% Change FY13-18	Note
		Revenue	% of Total	Revenue	% of Total	Revenue	% of Total	Revenue	% of Total	Revenue	% of Total	Revenue	% of Total			
Unrestricted	Federal Receipts	72.0	0%	72.0	0%	72.0	0%	232.3	0%	51.7	0%	0	0%	-100.0%	-100.0%	
	Student Tuition & Fees	41,641.8	14%	40,691.6	15%	42,410.9	16%	44,330.3	17%	44,522.4	16%	43,137.3	17%	-3.1%	3.6%	
	Indirect Cost Recovery	23,389.3	8%	22,648.5	8%	22,644.9	9%	24,980.6	10%	25,799.1	9%	25,357.3	10%	-1.7%	8.4%	
	Auxiliary Receipts		0%		0%		0%		0%		0%	0.0	0%	N/A	N/A	
	U of A Receipts	12,725.8	4%	12,514.1	5%	10,998.1	4%	11,324.8	4%	14,641.9	5%	13,707.3	5%	-6.4%	7.7%	
	State Inter-Agency Receipts	60.3	0%	30.4	0%		0%		0%	1.3	0%	2.5	0%	100.0%	-95.9%	
	UA Intra-Agency Transfers	31,356.2	10%	29,524.2	11%	31,168.1	12%	30,835.9	12%	51,724.8	19%	45,029.7	17%	-12.9%	43.6%	
	CIP Receipts	6,917.5	2%	8,274.0	3%	7,411.5	3%	3,888.4	1%	3,241.9	1%	1,651.2	1%	-49.1%	-76.1%	
	Mental Hlth Trust Auth Receipts	50.0	0%		0%		0%		0%		0%		0%	N/A	-100.0%	
	Interest Income	(87.3)	0%	1.0	0%	12.6	0%	1.9	0%	10.7	0%	193.2	0%	1709.3%	-321.3%	
	GF/Mental Health Trust		0%	50.0	0%	50.0	0%	50.0	0%	50.0	0%	50.0	0%	0.0%	N/A	
<b>Unrestricted Total</b>		<b>116,125.6</b>	<b>39%</b>	<b>113,805.8</b>	<b>41%</b>	<b>114,768.2</b>	<b>44%</b>	<b>115,644.2</b>	<b>45%</b>	<b>140,043.6</b>	<b>50%</b>	<b>129,128.4</b>	<b>50%</b>	<b>-7.8%</b>	<b>11.2%</b>	
Restricted	General Funds	1,851.9	1%	3,053.1	1%	2,055.5	1%	1,192.0	0%	546.0	0%	505.4	0%	-7.4%	-72.7%	(1)
	Federal Receipts	88,056.3	29%	86,528.1	31%	82,970.5	32%	89,229.2	34%	91,484.5	33%	83,768.5	32%	-8.4%	-4.9%	
	Student Tuition & Fees		0%		0%		0%	29.3	0%	4.2	0%	55.3	0%	1215.9%	N/A	
	U of A Receipts	30,495.1	10%	28,423.9	10%	23,969.7	9%	23,495.0	9%	23,847.9	9%	25,421.7	10%	6.6%	-16.6%	
	State Inter-Agency Receipts	2,486.3	1%	3,929.8	1%	3,470.4	1%	4,891.2	2%	3,091.5	1%	2,670.8	1%	-13.6%	7.4%	
	UA Intra-Agency Transfers	42.6	0%	13.3	0%	7.2	0%	16.0	0%	10.0	0%	5.6	0%	-44.7%	-87.0%	
	CIP Receipts	7,190.8	2%	6,400.6	2%	5,009.8	2%	3,215.5	1%	2,195.5	1%	1,035.4	0%	-52.8%	-85.6%	
	Federal Stimulus--ARRA2009	35,976.4	12%	15,400.2	6%	9,043.5	3%	3,236.6	1%		0%		0%	N/A	-100.0%	
<b>Restricted Total</b>	<b>166,099.4</b>	<b>55%</b>	<b>143,749.0</b>	<b>52%</b>	<b>126,526.7</b>	<b>49%</b>	<b>125,304.8</b>	<b>48%</b>	<b>121,179.6</b>	<b>43%</b>	<b>113,462.6</b>	<b>44%</b>	<b>-6.4%</b>	<b>-31.7%</b>		
Auxiliary	Auxiliary Receipts	15,768.9	5%	15,426.1	6%	15,281.7	6%	16,395.3	6%	15,683.8	6%	14,597.1	6%	-6.9%	-7.4%	
	U of A Receipts	8.5	0%	11.6	0%	75.7	0%	8.7	0%	8.0	0%	26.6	0%	231.9%	213.6%	
	UA Intra-Agency Transfers		0%		0%	4.4	0%		0%	0.2	0%	6.8	0%	3679.2%	N/A	
<b>Auxiliary Total</b>	<b>15,777.4</b>	<b>5%</b>	<b>15,437.7</b>	<b>6%</b>	<b>15,361.8</b>	<b>6%</b>	<b>16,404.0</b>	<b>6%</b>	<b>15,692.0</b>	<b>6%</b>	<b>14,630.5</b>	<b>6%</b>	<b>-6.8%</b>	<b>-7.3%</b>		
Designated	U of A Receipts	1,864.1	1%	2,180.6	1%	2,050.8	1%	2,024.2	1%	1,922.7	1%	1,950.9	1%	1.5%	4.7%	
<b>Designated Total</b>		<b>1,864.1</b>	<b>1%</b>	<b>2,180.6</b>	<b>1%</b>	<b>2,050.8</b>	<b>1%</b>	<b>2,024.2</b>	<b>1%</b>	<b>1,922.7</b>	<b>1%</b>	<b>1,950.9</b>	<b>1%</b>	<b>1.5%</b>	<b>4.7%</b>	
Capital	RSA - Capital 91 Authority	232.7	0%	65.8	0%	18.0	0%	77.7	0%	(0.1)	0%		0%	-100.0%	-100.0%	
<b>Capital Total</b>		<b>232.7</b>	<b>0%</b>	<b>65.8</b>	<b>0%</b>	<b>18.0</b>	<b>0%</b>	<b>77.7</b>	<b>0%</b>	<b>(0.1)</b>	<b>0%</b>	<b></b>	<b>0%</b>	<b>-100.0%</b>	<b>-100.0%</b>	
<b>Grand Total</b>		<b>300,099.1</b>	<b>100%</b>	<b>275,238.9</b>	<b>100%</b>	<b>258,725.5</b>	<b>100%</b>	<b>259,454.9</b>	<b>100%</b>	<b>278,837.9</b>	<b>100%</b>	<b>259,172.4</b>	<b>100%</b>	<b>-7.1%</b>	<b>-13.6%</b>	

(1) In FY13, the State of Alaska funded capital research items for Geophysical Institute's Poker Flat and unmanned aerial systems, as well as School of Fisheries and Ocean Sciences ocean acidification research projects. In FY14, the State of Alaska funded capital research items for Alaska Center of Energy and Power (ACEP) in partnership to develop statewide energy solutions. ACUASI expired the end of FY17, ACEP expired the end of FY18 and CFOS ocean acidification the end of FY21.

Total Revenue by Vice Chancellor and Unit  
1.B.1

VC LEVEL	UNIT	Revenue Source	FY13	FY14	FY15	FY16	FY17	FY18	% Change	% Change	Note	
			Revenue	Revenue	Revenue	Revenue	Revenue	Revenue	FY17-18	FY13-18		
Chancellor	UAF Chancellor	General Funds	1,499.2	1,550.4	1,651.8	1,396.9	1,355.6	1,446.8	6.7%	-3.5%		
		U of A Receipts	188.7	90.2	100.0	46.3	29.3	74.5	154.1%	-60.5%		
		State Inter-Agency Receipts			14.8	15.1			N/A	N/A		
	<b>UAF Chancellor Total</b>			<b>1,687.9</b>	<b>1,640.6</b>	<b>1,766.7</b>	<b>1,458.3</b>	<b>1,384.9</b>	<b>1,521.3</b>	<b>9.8%</b>	<b>-9.9%</b>	
	Development	General Funds	1,201.4	1,507.9	1,550.2	1,428.1	1,408.1	1,195.3	-15.1%	-0.5%		
		Student Tuition & Fees	80.3	84.3					N/A	-100.0%		
		U of A Receipts	83.4	65.8	68.3	20.0	12.2	25.0	104.1%	-70.1%		
	<b>Development Total</b>			<b>1,365.0</b>	<b>1,658.0</b>	<b>1,618.5</b>	<b>1,448.1</b>	<b>1,420.4</b>	<b>1,220.3</b>	<b>-14.1%</b>	<b>-10.6%</b>	
	University Relations	General Funds	2,063.1	2,234.0	2,035.5	1,839.9	2,116.4	1,425.9	-32.6%	-30.9%		
		Student Tuition & Fees	20.6	20.6	147.0	69.1	63.9	61.6	-3.5%	199.2%		
		U of A Receipts	15.7	3.8	19.2	25.6	28.5	25.8	-9.5%	63.8%		
		UA Intra-Agency Transfers					0.1		-100.0%	N/A		
	<b>University Relations Total</b>			<b>2,099.4</b>	<b>2,258.4</b>	<b>2,201.7</b>	<b>1,934.6</b>	<b>2,208.8</b>	<b>1,513.3</b>	<b>-31.5%</b>	<b>-27.9%</b>	
	KUAC	General Funds	1,080.9	1,285.2	1,135.3	1,024.6	964.6	792.6	-17.8%	-26.7%		
Federal Receipts		0.0	(0.0)		0.1		(0.1)	N/A	-328.0%			
U of A Receipts		2,264.0	1,921.1	1,800.4	1,632.2	2,204.3	1,876.0	-14.9%	-17.1%			
State Inter-Agency Receipts		244.7	242.6	241.4	192.7	160.4	159.4	-0.6%	-34.9%			
UA Intra-Agency Transfers		211.8	9.2	6.1	12.0	3.1	1.0	-67.9%	-99.5%			
<b>KUAC Total</b>			<b>3,801.5</b>	<b>3,458.0</b>	<b>3,183.2</b>	<b>2,861.5</b>	<b>3,332.4</b>	<b>2,828.9</b>	<b>-15.1%</b>	<b>-25.6%</b>		
<b>Chancellor Total</b>			<b>8,953.9</b>	<b>9,015.1</b>	<b>8,770.0</b>	<b>7,702.5</b>	<b>8,346.5</b>	<b>7,083.8</b>	<b>-15.1%</b>	<b>-20.9%</b>		
Provost	College of Engineering & Mines	General Funds	10,907.8	11,294.5	11,099.7	10,168.7	9,280.2	8,094.2	-12.8%	-25.8%		
		Federal Receipts	6,645.7	6,139.2	4,658.8	5,900.2	6,128.6	6,762.7	10.3%	1.8%		
		Student Tuition & Fees	1,648.9	1,789.4	1,890.3	2,005.0	2,632.0	2,420.4	-8.0%	46.8%		
		Indirect Cost Recovery	1,665.5	1,458.5	1,370.3	1,466.3	1,345.3	1,323.8	-1.6%	-20.5%		
		U of A Receipts	2,043.1	2,519.3	2,474.7	2,472.7	1,871.7	1,491.5	-20.3%	-27.0%		
		State Inter-Agency Receipts	(45.4)	430.1	799.7	351.4	123.4	22.1	-82.1%	-148.7%		
		UA Intra-Agency Transfers	291.7	464.7	248.1	477.2	1,180.4	762.3	-35.4%	161.3%		
		CIP Receipts	3,571.0	2,428.2	1,401.1	1,529.9	1,345.6	851.8	-36.7%	-76.1%		
		Federal Stimulus--ARRA2009	1,728.5	180.8					N/A	-100.0%		
		RSA - Capital 91 Authority	232.7	65.8	18.0	77.7	(0.1)		-100.0%	-100.0%		
	<b>College of Engineering &amp; Mines Total</b>			<b>28,689.3</b>	<b>26,770.5</b>	<b>23,960.6</b>	<b>24,449.1</b>	<b>23,907.3</b>	<b>21,728.8</b>	<b>-9.1%</b>	<b>-24.3%</b>	
	College of Liberal Arts	General Funds	11,166.3	11,316.6	10,737.8	9,817.8	8,602.9	7,610.6	-11.5%	-31.8%		
		Federal Receipts	1,189.6	1,578.9	1,679.1	910.5	904.5	924.1	2.2%	-22.3%		
		Student Tuition & Fees	4,472.2	5,126.6	5,196.2	5,200.1	5,344.8	5,185.6	-3.0%	16.0%		
		Indirect Cost Recovery	126.0	143.3	128.8	85.3	99.4	116.5	17.2%	-7.6%		
		U of A Receipts	723.2	739.8	661.7	518.5	617.3	807.0	30.7%	11.6%		
		State Inter-Agency Receipts	100.2	67.4	95.4	127.3	107.6	89.0	-17.3%	-11.2%		
		UA Intra-Agency Transfers	57.5	9.5	7.9	54.4	117.3	5.5	-95.3%	-90.5%		
		CIP Receipts		21.1					N/A	N/A		
Federal Stimulus--ARRA2009		42.2	15.7					N/A	-100.0%			
Mental Hlth Trust Auth Receipts	50.0						N/A	-100.0%				
GF/Mental Health Trust		50.0	50.0	50.0	50.0	50.0	50.0	0.0%	N/A			
<b>College of Liberal Arts Total</b>			<b>17,927.1</b>	<b>19,069.1</b>	<b>18,556.8</b>	<b>16,763.9</b>	<b>15,843.8</b>	<b>14,788.1</b>	<b>-6.7%</b>	<b>-17.5%</b>		
College of Nat Sciences&Mathematics	General Funds	7,988.1	8,151.8	9,670.3	8,883.1	7,478.7	6,751.3	-9.7%	-15.5%			
	Federal Receipts	2,402.9	1,559.6	2,623.4	5,111.8	5,862.6	5,505.3	-6.1%	129.1%			
	Student Tuition & Fees	3,624.3	3,874.0	4,008.4	4,131.3	4,389.0	4,288.2	-2.3%	18.3%			
	Indirect Cost Recovery	152.8	129.0	353.4	600.0	693.2	654.1	-5.6%	328.0%			
	U of A Receipts	811.6	740.4	824.5	1,054.0	1,509.4	1,559.2	3.3%	92.1%			
	State Inter-Agency Receipts	263.8	112.6	338.2	319.7	110.2	22.1	-79.9%	-91.6%			
	UA Intra-Agency Transfers	153.3	173.3	159.1	175.9	254.3	218.9	-13.9%	42.8%			
	CIP Receipts	41.6	157.2	47.8	108.4	(0.7)		-100.0%	-100.0%			
Federal Stimulus--ARRA2009	7.1						N/A	-100.0%				
<b>College of Nat Sciences&amp;Mathematics Total</b>			<b>15,445.5</b>	<b>14,897.9</b>	<b>18,025.1</b>	<b>20,384.2</b>	<b>20,296.7</b>	<b>18,999.2</b>	<b>-6.4%</b>	<b>23.0%</b>		

Total Revenue by Vice Chancellor and Unit  
1.B.1

VC LEVEL	UNIT	Revenue Source	FY13	FY14	FY15	FY16	FY17	FY18	% Change	% Change	Note	
			Revenue	Revenue	Revenue	Revenue	Revenue	Revenue	FY17-18	FY13-18		
Provost	School of Management	General Funds	4,253.0	4,362.4	4,253.1	4,021.1	3,532.0	3,316.0	-6.1%	-22.0%		
		Federal Receipts		15.6	50.2	188.2	41.7	78.6	88.6%	N/A		
		Student Tuition & Fees	1,692.1	1,837.2	2,498.7	2,953.2	3,561.7	3,208.6	-9.9%	89.6%		
		Indirect Cost Recovery	9.9	23.2	20.3	28.3	8.2	18.9	131.5%	91.7%		
		U of A Receipts	392.8	416.5	329.4	264.7	274.0	249.5	-9.0%	-36.5%		
		State Inter-Agency Receipts		3.1	5.8	25.7	26.8	18.1	-32.2%	N/A		
		UA Intra-Agency Transfers	27.1						N/A	-100.0%		
		CIP Receipts	104.2						N/A	-100.0%		
	<b>School of Management Total</b>			<b>6,479.1</b>	<b>6,657.9</b>	<b>7,157.5</b>	<b>7,481.2</b>	<b>7,444.2</b>	<b>6,889.7</b>	<b>-7.4%</b>	<b>6.3%</b>	
	UAF Provost Office Operations	General Funds	5,465.9	5,530.4	5,549.7	5,373.0	4,641.4	5,279.2	13.7%	-3.5%		
		Federal Receipts	1,030.1	1,162.1	1,307.6	1,473.7	1,151.7	722.4	-37.3%	-29.9%		
		Student Tuition & Fees	100.3	79.6	159.6	150.8	192.0	153.4	-20.1%	53.0%		
		Indirect Cost Recovery	34.0	72.3	258.8	306.2	296.6	317.6	7.1%	834.3%		
		Auxiliary Receipts	381.4	392.5	334.5	411.9	332.2	360.1	8.4%	-5.6%		
		U of A Receipts	458.5	568.2	542.8	277.8	429.7	517.6	20.4%	12.9%		
		UA Intra-Agency Transfers	12.3	7.2	3.3	0.3	3.3	14.8	347.5%	19.7%		
		<b>UAF Provost Office Operations Total</b>			<b>7,482.5</b>	<b>7,812.4</b>	<b>8,156.4</b>	<b>7,993.7</b>	<b>7,046.9</b>	<b>7,362.1</b>	<b>4.5%</b>	<b>-1.6%</b>
	UAF School of Education	General Funds	3,060.4	3,097.8	2,934.2	3,180.5	3,380.9	3,147.6	-6.9%	2.8%	(1)	
		Federal Receipts	425.3	916.0	873.1	2,635.6	3,495.0	2,287.3	-34.6%	437.8%		
		Student Tuition & Fees	728.7	922.3	908.4	888.2	1,114.5	985.1	-11.6%	35.2%		
		Indirect Cost Recovery	37.4	76.9	59.4	218.9	226.5	102.1	-54.9%	172.6%		
		U of A Receipts	206.2	142.7	198.0	673.0	807.9	710.0	-12.1%	244.3%		
		State Inter-Agency Receipts				677.1	212.3	112.9	-46.8%	N/A		
		UA Intra-Agency Transfers		0.0		0.5	0.5	0.6	33.3%	N/A		
<b>UAF School of Education Total</b>			<b>4,458.0</b>	<b>5,155.6</b>	<b>4,973.0</b>	<b>8,273.7</b>	<b>9,237.6</b>	<b>7,345.5</b>	<b>-20.5%</b>	<b>64.8%</b>		
UA Museum of the North	General Funds	1,674.3	1,695.9	1,645.1	1,459.1	1,174.3	1,046.0	-10.9%	-37.5%			
	Federal Receipts	611.4	633.6	895.9	819.6	527.0	651.6	23.6%	6.6%			
	Student Tuition & Fees	16.2	1.4	1.8	1.6	0.7	1.9	178.8%	-88.4%			
	Indirect Cost Recovery	98.5	125.9	120.5	107.2	81.2	105.9	30.5%	7.5%			
	U of A Receipts	1,810.8	1,996.1	2,259.9	2,398.5	2,256.4	2,144.0	-5.0%	18.4%			
	State Inter-Agency Receipts	99.3	210.5	110.0	69.4	49.2	32.3	-34.3%	-67.5%			
	UA Intra-Agency Transfers	5.1	5.6	9.6	11.7	100.2	30.4	-69.6%	498.6%			
	<b>UA Museum of the North Total</b>			<b>4,315.6</b>	<b>4,668.9</b>	<b>5,042.7</b>	<b>4,867.1</b>	<b>4,188.8</b>	<b>4,012.1</b>	<b>-4.2%</b>	<b>-7.0%</b>	
UAF Rasmuson Library	General Funds	6,457.9	6,528.4	6,339.6	5,859.7	4,642.1	4,697.4	1.2%	-27.3%			
	Federal Receipts	42.8	18.3	82.0	63.7	68.3	134.4	96.8%	214.4%			
	Student Tuition & Fees	117.0	77.4	166.2	179.4	185.3	179.2	-3.3%	53.1%			
	Indirect Cost Recovery	1,171.5	1,087.9	1,073.5	1,144.7	1,184.9	1,166.5	-1.6%	-0.4%			
	U of A Receipts	980.7	503.8	338.2	371.6	365.1	365.5	0.1%	-62.7%			
	State Inter-Agency Receipts	18.5	39.8	36.0				N/A	-100.0%			
	UA Intra-Agency Transfers	100.7	128.9	111.5	82.9	47.7	25.3	-47.0%	-74.9%			
	CIP Receipts			9.1	2.9	0.0		-100.0%	N/A			
	Federal Stimulus--ARRA2009	43.1	10.8					N/A	-100.0%			
<b>UAF Rasmuson Library Total</b>			<b>8,932.1</b>	<b>8,395.4</b>	<b>8,156.1</b>	<b>7,704.9</b>	<b>6,493.5</b>	<b>6,568.3</b>	<b>1.2%</b>	<b>-26.5%</b>		
UAF Summer Sessions	General Funds	103.2	107.1	33.7	2.4	(22.6)	(16.3)	-27.9%	-115.8%			
	Federal Receipts	0.2	(0.2)		13.3	11.2	9.9	-11.3%	5957.2%			
	Student Tuition & Fees	1,775.3	2,051.9	2,162.1	2,185.6	1,940.3	2,147.0	10.6%	20.9%			
	Indirect Cost Recovery				0.8	1.0	0.9	-12.7%	N/A			
	U of A Receipts	273.3	179.3	148.3	204.0	201.2	136.3	-32.2%	-50.1%			
	UA Intra-Agency Transfers	5.5	0.5	0.3	2.5	9.0	1.0	-88.9%	-81.9%			
<b>UAF Summer Sessions Total</b>			<b>2,157.5</b>	<b>2,338.5</b>	<b>2,344.4</b>	<b>2,408.6</b>	<b>2,140.1</b>	<b>2,278.8</b>	<b>6.5%</b>	<b>5.6%</b>		

Total Revenue by Vice Chancellor and Unit  
1.B.1

VC LEVEL	UNIT	Revenue Source	FY13	FY14	FY15	FY16	FY17	FY18	% Change	% Change	Note	
			Revenue	Revenue	Revenue	Revenue	Revenue	Revenue	FY17-18	FY13-18		
Provost	School of Nat Res & Extension	General Funds	9,466.9	9,584.2	8,296.7	7,521.8	7,273.7	6,926.6	-4.8%	-26.8%		
		Federal Receipts	5,637.9	5,191.1	4,965.9	4,641.0	4,704.9	4,473.5	-4.9%	-20.7%		
		Student Tuition & Fees	353.0	340.3	322.4	303.6	298.4	313.1	4.9%	-11.3%		
		Indirect Cost Recovery	291.5	246.0	184.7	174.9	300.4	296.3	-1.3%	1.7%		
		U of A Receipts	1,736.9	1,845.3	1,627.0	1,728.8	2,591.9	2,448.6	-5.5%	41.0%		
		State Inter-Agency Receipts	448.5	522.6	245.1	332.3	580.5	458.8	-21.0%	2.3%		
		UA Intra-Agency Transfers	150.0	23.9	9.1	14.6	2,826.9	2,797.7	-1.0%	1764.6%		
		CIP Receipts	461.5	545.0	428.5	115.4	47.7	33.0	-30.8%	-92.9%		
		Federal Stimulus--ARRA2009	(0.2)							N/A	-100.0%	
	<b>School of Nat Res &amp; Extension Total</b>			<b>18,546.1</b>	<b>18,298.4</b>	<b>16,079.3</b>	<b>14,832.4</b>	<b>18,624.4</b>	<b>17,747.6</b>	<b>-4.7%</b>	<b>-4.3%</b>	
	UAF eLearning & Distance Education	General Funds		485.7	468.9	1,862.8	1,767.8	1,674.0	-5.3%	N/A		
		Student Tuition & Fees	4,189.9	2,330.9	2,958.9	1,545.9	1,448.1	1,564.0	8.0%	-62.7%		
		U of A Receipts		0.6	3.2	1.6				N/A	N/A	
		UA Intra-Agency Transfers	79.0		2.3					N/A	-100.0%	
	<b>UAF eLearning &amp; Distance Education Total</b>			<b>4,268.9</b>	<b>2,817.3</b>	<b>3,433.3</b>	<b>3,410.3</b>	<b>3,215.9</b>	<b>3,238.0</b>	<b>0.7%</b>	<b>-24.1%</b>	
	College of Fisheries&Ocean Sciences	General Funds	9,043.4	8,576.7	8,171.4	7,939.7	6,537.4	5,958.6	-8.9%	-34.1%		
		Federal Receipts	13,576.1	15,186.6	15,288.2	14,380.0	20,551.8	15,167.6	-26.2%	11.7%		
		Student Tuition & Fees	683.5	723.6	705.8	694.0	675.3	697.2	3.2%	2.0%		
		Indirect Cost Recovery	2,205.2	2,076.4	2,233.6	2,683.2	2,539.8	2,649.6	4.3%	20.2%		
		U of A Receipts	5,927.8	5,829.2	4,972.3	5,132.1	8,169.2	7,701.8	-5.7%	29.9%		
		State Inter-Agency Receipts	115.6	122.8	128.8	1,109.6	286.8	135.0	-52.9%	16.8%		
		UA Intra-Agency Transfers	260.4	53.7	2,920.2	3,962.9	14,613.0	10,340.5	-29.2%	3870.7%		
		CIP Receipts	1,902.9	1,588.5	1,129.4	601.5	343.7	109.0	-68.3%	-94.3%		
		Federal Stimulus--ARRA2009	31,986.8	14,599.4	8,931.8	3,236.8				N/A	-100.0%	
		Interest Income			10.4	1.7	8.1	32.4	298.1%	N/A		
	<b>College of Fisheries&amp;Ocean Sciences Total</b>			<b>65,701.6</b>	<b>48,757.0</b>	<b>44,491.9</b>	<b>39,741.5</b>	<b>53,725.0</b>	<b>42,791.7</b>	<b>-20.4%</b>	<b>-34.9%</b>	
<b>Provost Total</b>			<b>184,403.3</b>	<b>165,638.8</b>	<b>160,377.1</b>	<b>158,310.4</b>	<b>172,164.0</b>	<b>153,750.0</b>	<b>-10.7%</b>	<b>-16.6%</b>		
UAF Central Managed Projects	UAF Central Fixed Costs	General Funds	19,077.9	23,291.1	29,386.8	29,357.5	40,556.3	41,057.3	1.2%	115.2%		
		Federal Receipts					0.0	(0.0)	-200.0%	N/A		
		Student Tuition & Fees	4,289.4	4,268.7	4,285.7	5,606.0	5,425.1	4,717.3	-13.0%	10.0%		
		Indirect Cost Recovery	3,442.3	3,535.1	3,849.4	3,818.2	3,616.4	3,572.1	-1.2%	3.8%		
		U of A Receipts		28.5	534.8	433.6	157.8	72.0	-54.4%	N/A		
		UA Intra-Agency Transfers						0.6	N/A	N/A		
	<b>UAF Central Fixed Costs Total</b>			<b>26,809.5</b>	<b>31,123.3</b>	<b>38,056.7</b>	<b>39,215.4</b>	<b>49,755.6</b>	<b>49,419.3</b>	<b>-0.7%</b>	<b>84.3%</b>	
	UAF Central Investment Areas	General Funds	5,190.3	6,707.4	3,376.7	1,481.3	(331.6)	310.2	-193.5%	-94.0%		
		Federal Receipts	290.5	213.9	19.0	1.8				N/A	-100.0%	
		Student Tuition & Fees	12,632.5	18,514.8	12,532.3	15,192.7	15,161.5	6,639.1	-56.2%	-47.4%		
		Indirect Cost Recovery	4,122.4	3,871.4	3,364.5	3,471.1	3,391.4	3,314.3	-2.3%	-19.6%		
		U of A Receipts	2,893.0	2,674.1	1,367.4	1,416.1	1,406.1	1,308.5	-6.9%	-54.8%		
		State Inter-Agency Receipts		4.4						N/A	N/A	
		UA Intra-Agency Transfers	12.3	10.2				(0.0)	N/A	-100.2%		
		CIP Receipts	16.6	5.9	12.4					N/A	-100.0%	
		Federal Stimulus--ARRA2009	528.3							N/A	-100.0%	
Interest Income	(87.4)					35.0	N/A	-140.1%				
<b>UAF Central Investment Areas Total</b>			<b>25,598.5</b>	<b>32,002.1</b>	<b>20,672.2</b>	<b>21,563.1</b>	<b>19,627.3</b>	<b>11,607.1</b>	<b>-40.9%</b>	<b>-54.7%</b>		
UAF Central Budget Management	General Funds	2,329.4	1,934.3	1,540.6	575.6	983.8	(943.5)	-195.9%	-140.5%			
	Student Tuition & Fees	(12,864.8)	(19,237.9)	(13,811.0)	(15,254.5)	(15,643.6)	(6,876.8)	-56.0%	-46.5%			
	Indirect Cost Recovery	(3,930.5)	(3,930.5)	(3,897.5)	(3,610.5)	(3,322.5)	(3,140.0)	-5.5%	-20.1%			
	Auxiliary Receipts	(1,179.6)	(1,228.1)	(1,487.6)	(1,452.7)	(1,476.0)	(1,424.0)	-3.5%	20.7%			
	CIP Receipts	5,266.6	6,375.8	5,248.2	2,361.4	1,460.1			-100.0%	-100.0%		
<b>UAF Central Budget Management Total</b>			<b>(10,378.9)</b>	<b>(16,086.4)</b>	<b>(12,407.3)</b>	<b>(17,380.7)</b>	<b>(17,998.2)</b>	<b>(12,384.3)</b>	<b>-31.2%</b>	<b>19.3%</b>		
<b>UAF Central Managed Projects Total</b>			<b>42,029.1</b>	<b>47,039.0</b>	<b>46,321.6</b>	<b>43,397.8</b>	<b>51,384.7</b>	<b>48,642.1</b>	<b>-5.3%</b>	<b>15.7%</b>		

Total Revenue by Vice Chancellor and Unit  
1.B.1

VC LEVEL	UNIT	Revenue Source	FY13	FY14	FY15	FY16	FY17	FY18	% Change	% Change	Note
			Revenue	Revenue	Revenue	Revenue	Revenue	Revenue	FY17-18	FY13-18	
UAF Office Information Technology	UAF Office Information Technology	General Funds	3,722.0	3,846.4	3,661.3	3,427.9	3,378.2	3,126.9	-7.4%	-16.0%	
		Federal Receipts	0.1						N/A	-100.0%	
		Student Tuition & Fees	338.9	369.4	836.0	1,045.4	1,034.4	1,000.6	-3.3%	195.2%	
		Indirect Cost Recovery	26.7	26.9	28.9	25.8	18.7	16.4	-12.6%	-38.7%	
		U of A Receipts	282.7	217.7	224.0	207.4	168.6	111.9	-33.7%	-60.4%	
		State Inter-Agency Receipts		128.7	109.6	84.8	75.2	81.4	8.2%	N/A	
		UA Intra-Agency Transfers	2,067.2	2,198.9	2,262.9	2,289.5	2,068.3	1,898.2	-8.2%	-8.2%	
	<b>UAF Office Information Technology Total</b>		<b>6,437.6</b>	<b>6,788.0</b>	<b>7,122.7</b>	<b>7,080.7</b>	<b>6,743.4</b>	<b>6,235.3</b>	<b>-7.5%</b>	<b>-3.1%</b>	
<b>UAF Office Information Technology Total</b>			<b>6,437.6</b>	<b>6,788.0</b>	<b>7,122.7</b>	<b>7,080.7</b>	<b>6,743.4</b>	<b>6,235.3</b>	<b>-7.5%</b>	<b>-3.1%</b>	
VC Rural, Community & Native Educ	Bristol Bay Campus	General Funds	1,515.4	1,495.6	1,531.2	1,459.9	1,465.9	1,232.0	-16.0%	-18.7%	
		Federal Receipts	2,215.1	1,363.5	1,571.3	1,490.7	1,455.1	1,471.4	1.1%	-33.6%	
		Student Tuition & Fees	493.3	412.3	504.6	554.4	525.9	444.7	-15.4%	-9.8%	
		Indirect Cost Recovery	153.6	118.1	109.7	119.3	130.1	95.1	-26.9%	-38.1%	
		U of A Receipts	134.6	164.5	282.1	393.2	439.0	177.2	-59.6%	31.6%	
		State Inter-Agency Receipts	113.0	275.9	150.6	212.2	110.4	205.9	86.5%	82.1%	
		UA Intra-Agency Transfers	34.6				14.9	14.9	0.3%	-56.8%	
		CIP Receipts		18.7	1.5				N/A	N/A	
	<b>Bristol Bay Campus Total</b>		<b>4,659.6</b>	<b>3,848.5</b>	<b>4,151.0</b>	<b>4,229.7</b>	<b>4,141.3</b>	<b>3,641.3</b>	<b>-12.1%</b>	<b>-21.9%</b>	
	Chukchi Campus	General Funds	1,077.3	1,105.9	988.6	954.7	817.0	805.6	-1.4%	-25.2%	
		Federal Receipts	1,167.5	784.7	815.0	639.6	304.0	57.6	-81.1%	-95.1%	
		Student Tuition & Fees	206.1	194.9	152.6	174.0	78.3	87.3	11.5%	-57.6%	
		Indirect Cost Recovery	13.7	15.7	17.6	4.3	7.2	10.9	51.1%	-20.1%	
		Auxiliary Receipts	0.1	0.3	0.2	0.2			N/A	-100.0%	
		U of A Receipts	133.3	152.7	60.9	66.8	98.6	79.2	-19.7%	-40.6%	
		UA Intra-Agency Transfers	0.8				0.1		-100.0%	-100.0%	
	<b>Chukchi Campus Total</b>		<b>2,598.7</b>	<b>2,254.3</b>	<b>2,034.9</b>	<b>1,839.5</b>	<b>1,305.3</b>	<b>1,040.7</b>	<b>-20.3%</b>	<b>-60.0%</b>	
	Community and Technical College	General Funds	6,395.7	6,812.7	6,294.3	6,066.0	5,378.1	5,006.9	-6.9%	-21.7%	
		Federal Receipts	116.6	276.7	349.7	689.4	85.4	111.0	30.1%	-4.8%	
		Student Tuition & Fees	6,601.9	6,538.1	6,401.7	6,337.1	5,559.8	5,675.7	2.1%	-14.0%	
		Indirect Cost Recovery	0.0	2.0	7.1	12.3	11.1	25.3	128.3%	154639.6%	
		U of A Receipts	403.1	647.2	469.4	707.7	607.7	605.6	-0.3%	50.2%	
		State Inter-Agency Receipts	(0.1)						N/A	-100.0%	
		UA Intra-Agency Transfers	404.4	1.5	5.0				N/A	-100.0%	
	<b>Community and Technical College Total</b>		<b>13,921.5</b>	<b>14,278.1</b>	<b>13,527.3</b>	<b>13,812.4</b>	<b>11,642.1</b>	<b>11,424.7</b>	<b>-1.9%</b>	<b>-17.9%</b>	
	Kuskokwim Campus	General Funds	3,369.6	3,397.3	3,231.1	3,058.5	2,908.1	2,771.8	-4.7%	-17.7%	
		Federal Receipts	794.1	1,076.5	1,264.3	1,043.7	404.1	274.5	-32.1%	-65.4%	
		Student Tuition & Fees	639.5	644.3	664.7	806.8	803.2	912.9	13.7%	42.8%	
		Indirect Cost Recovery	70.7	98.4	70.9	53.4	71.7	88.8	24.0%	25.6%	
		Auxiliary Receipts	322.5	296.1	173.2	316.2	421.6	486.6	15.4%	50.9%	
		U of A Receipts	379.3	275.6	194.7	219.1	261.6	237.8	-9.1%	-37.3%	
		State Inter-Agency Receipts	239.7	249.3	6.5	10.4	243.7	245.2	0.6%	2.3%	
		UA Intra-Agency Transfers	43.1	7.2	7.2	7.2	14.5	28.3	95.7%	-34.3%	
	<b>Kuskokwim Campus Total</b>		<b>5,858.3</b>	<b>6,044.6</b>	<b>5,612.7</b>	<b>5,515.3</b>	<b>5,128.4</b>	<b>5,046.0</b>	<b>-1.6%</b>	<b>-13.9%</b>	
	Northwest Campus	General Funds	1,786.4	1,768.9	1,622.2	1,540.1	1,351.3	1,266.3	-6.3%	-29.1%	
		Federal Receipts	713.8	870.2	888.0	686.1	234.0	80.9	-65.4%	-88.7%	
		Student Tuition & Fees	256.9	280.1	289.7	303.4	300.7	392.3	30.5%	52.7%	
		Indirect Cost Recovery	31.5	42.7	51.8	48.0	31.4	18.3	-41.8%	-42.0%	
		Auxiliary Receipts	12.4	14.9	12.5	12.5	3.4	3.2	-6.4%	-74.5%	
		U of A Receipts	21.8	5.3	9.8	0.3	0.2	9.6	3869.8%	-56.0%	
		UA Intra-Agency Transfers	6.6			9.6	19.4	22.1	14.0%	236.9%	
	<b>Northwest Campus Total</b>		<b>2,829.2</b>	<b>2,982.1</b>	<b>2,873.9</b>	<b>2,599.9</b>	<b>1,940.4</b>	<b>1,792.6</b>	<b>-7.6%</b>	<b>-36.6%</b>	



Total Revenue by Vice Chancellor and Unit  
1.B.1

VC LEVEL	UNIT	Revenue Source	FY13	FY14	FY15	FY16	FY17	FY18	% Change	% Change	Note	
			Revenue	Revenue	Revenue	Revenue	Revenue	Revenue	FY17-18	FY13-18		
VC Rural, Community & Native Educ	Rural College	General Funds	5,652.5	5,863.8	5,806.0	5,604.5	4,202.1	3,857.5	-8.2%	-31.8%		
		Federal Receipts	200.3	285.5	295.6	298.3	285.9	375.2	31.2%	87.3%		
		Student Tuition & Fees	1,532.8	1,615.9	1,472.2	1,477.6	1,537.2	1,271.2	-17.3%	-17.1%		
		Indirect Cost Recovery	50.9	65.9	69.1	77.9	70.4	116.1	65.0%	128.2%		
		Auxiliary Receipts	645.5	421.4	356.7	415.4	275.1	276.0	0.3%	-57.2%		
		U of A Receipts	432.1	551.3	651.5	751.2	598.7	657.7	9.8%	52.2%		
		State Inter-Agency Receipts	174.7	142.2	142.0	184.0	50.0	75.0	50.0%	-57.1%		
		UA Intra-Agency Transfers	60.2	160.3	12.7	0.2	15.3	0.6	-96.4%	-99.1%		
	<b>Rural College Total</b>			<b>8,748.9</b>	<b>9,106.2</b>	<b>8,805.8</b>	<b>8,809.0</b>	<b>7,034.8</b>	<b>6,629.3</b>	<b>-5.8%</b>	<b>-24.2%</b>	
	Interior Alaska Campus	General Funds	2,147.5	2,059.9	2,144.4	2,109.1	1,808.9	1,539.3	-14.9%	-28.3%		
		Federal Receipts	1,723.7	1,626.1	1,322.5	995.3	1,039.8	914.7	-12.0%	-46.9%		
		Student Tuition & Fees	621.6	509.5	452.7	485.3	521.8	671.2	28.6%	8.0%		
		Indirect Cost Recovery	165.5	117.1	108.4	112.2	124.0	73.7	-40.6%	-55.5%		
		U of A Receipts	939.1	507.5	405.8	383.8	438.1	528.1	20.5%	-43.8%		
		State Inter-Agency Receipts	146.5	355.5	258.0	376.2	219.8	264.1	20.2%	80.2%		
		UA Intra-Agency Transfers	113.1		0.4			0.6	N/A	-99.5%		
		CIP Receipts				69.7	1.0			-100.0%	N/A	
	<b>Interior Alaska Campus Total</b>			<b>5,856.9</b>	<b>5,175.6</b>	<b>4,692.2</b>	<b>4,531.6</b>	<b>4,153.5</b>	<b>3,991.7</b>	<b>-3.9%</b>	<b>-31.8%</b>	
	<b>VC Rural, Community &amp; Native Educ Total</b>			<b>44,473.2</b>	<b>43,689.4</b>	<b>41,697.9</b>	<b>41,337.4</b>	<b>35,345.9</b>	<b>33,566.2</b>	<b>-5.0%</b>	<b>-24.5%</b>	
	Vice Chancellor for Admin. Services	UAF Financial Services	General Funds	2,080.9	2,043.2	1,612.9	1,587.6	2,044.2	2,071.0	1.3%	-0.5%	
			Student Tuition & Fees	883.5	920.7	901.7	943.4	960.5	1,064.7	10.8%	20.5%	
Indirect Cost Recovery			1,038.2	1,038.2	1,038.2	1,038.2	1,038.2	1,038.2	0.0%	0.0%		
Auxiliary Receipts			6,335.2	6,175.2	6,502.0	6,449.4	6,594.2	6,007.5	-8.9%	-5.2%		
U of A Receipts			159.6	332.1	324.6	280.9	54.7	234.6	329.0%	47.0%		
UA Intra-Agency Transfers			383.7	335.1	418.2	408.7	253.1	260.1	2.8%	-32.2%		
Interest Income			0.0	0.3	0.1	0.0	0.6	0.0	-99.8%	-91.5%		
<b>UAF Financial Services Total</b>			<b>10,881.1</b>	<b>10,844.8</b>	<b>10,797.7</b>	<b>10,708.1</b>	<b>10,945.5</b>	<b>10,676.1</b>	<b>-2.5%</b>	<b>-1.9%</b>		
UAF VCAS Operations		General Funds	444.6	624.8	487.9	637.2	636.2	839.3	31.9%	88.8%		
		Student Tuition & Fees						60.2	N/A	N/A		
		U of A Receipts	271.8	112.1	186.5	26.3	2.0	2.3	16.6%	-99.1%		
		UA Intra-Agency Transfers	782.0	785.8	403.1	181.5	183.5	164.6	-10.3%	-78.9%		
<b>UAF VCAS Operations Total</b>			<b>1,498.4</b>	<b>1,522.7</b>	<b>1,077.4</b>	<b>845.0</b>	<b>821.7</b>	<b>1,066.5</b>	<b>29.8%</b>	<b>-28.8%</b>		
UAF VCAS Business Operations		General Funds	3,002.0	2,731.1	3,206.2	2,742.9	2,143.2	2,151.1	0.4%	-28.3%		
		Student Tuition & Fees	158.6	158.6	158.6	250.7	250.7	250.7	0.0%	58.1%		
		Indirect Cost Recovery	148.2	148.2	148.2	148.2	148.2	148.2	0.0%	0.0%		
		U of A Receipts	207.4	279.9	314.0	284.3	151.4	212.3	40.3%	2.4%		
		UA Intra-Agency Transfers	4.0						N/A	-100.0%		
		Interest Income						55.0	N/A	N/A		
<b>UAF VCAS Business Operations Total</b>			<b>3,520.2</b>	<b>3,317.8</b>	<b>3,827.0</b>	<b>3,426.1</b>	<b>2,693.5</b>	<b>2,817.3</b>	<b>4.6%</b>	<b>-20.0%</b>		
Facilities Services		General Funds	15,346.7	17,053.5	16,873.2	15,268.9	12,602.4	13,119.3	4.1%	-14.5%		
	Federal Receipts	72.0	72.0	72.0	18.0	6.0		-100.0%	-100.0%			
	Indirect Cost Recovery	2,975.7	2,751.4	2,827.7	3,185.7	3,296.0	3,252.9	-1.3%	9.3%			
	Auxiliary Receipts	826.7						N/A	-100.0%			
	U of A Receipts	4,250.8	3,743.0	1,630.2	1,486.4	1,750.9	2,106.0	20.3%	-50.5%			
	State Inter-Agency Receipts		4.8					N/A	N/A			
	UA Intra-Agency Transfers	24,055.9	22,882.6	22,757.9	21,731.1	26,420.8	25,219.3	-4.5%	4.8%			
	CIP Receipts	1,639.9	1,891.9	2,144.7	1,527.0	1,781.8	1,651.2	-7.3%	0.7%			
Interest Income	0.1	0.0	0.1	0.0			N/A	-100.0%				
<b>Facilities Services Total</b>			<b>49,167.8</b>	<b>48,399.2</b>	<b>46,305.7</b>	<b>43,217.0</b>	<b>45,858.0</b>	<b>45,348.7</b>	<b>-1.1%</b>	<b>-7.8%</b>		

Total Revenue by Vice Chancellor and Unit  
1.B.1

VC LEVEL	UNIT	Revenue Source	FY13	FY14	FY15	FY16	FY17	FY18	% Change	% Change	Note
			Revenue	Revenue	Revenue	Revenue	Revenue	Revenue	FY17-18	FY13-18	
Vice Chancellor for Admin. Services	UAF Safety Services	General Funds	3,877.8	3,875.9	3,641.9	3,235.3	3,190.1	2,982.8	-6.5%	-23.1%	
		Federal Receipts	22.0	23.3	8.6	18.7	66.7	14.5	-78.3%	-34.2%	
		Student Tuition & Fees						0.6	N/A	N/A	
		Indirect Cost Recovery	129.9	301.9	301.9	301.9	301.9	301.9	0.0%	132.4%	
		U of A Receipts	2,352.2	2,381.7	2,419.9	2,583.8	2,725.0	3,080.4	13.0%	31.0%	
		State Inter-Agency Receipts	1.9			(2.3)			N/A	-100.0%	
		UA Intra-Agency Transfers	106.8	110.9	191.4	179.2	102.7	178.8	74.1%	67.5%	
	<b>UAF Safety Services Total</b>		<b>6,490.5</b>	<b>6,693.7</b>	<b>6,563.7</b>	<b>6,316.6</b>	<b>6,386.4</b>	<b>6,559.0</b>	<b>2.7%</b>	<b>1.1%</b>	
<b>Vice Chancellor for Admin. Services Total</b>			<b>71,557.9</b>	<b>70,778.2</b>	<b>68,571.6</b>	<b>64,512.9</b>	<b>66,705.1</b>	<b>66,467.6</b>	<b>-0.4%</b>	<b>-7.1%</b>	
Vice Chancellor for Research	Geophysical Institute	General Funds	6,100.8	6,928.3	8,183.9	8,661.2	5,997.6	5,717.3	-4.7%	-6.3%	
		Federal Receipts	20,461.4	19,258.2	19,992.9	20,278.4	20,622.7	22,067.5	7.0%	7.8%	
		Indirect Cost Recovery	4,224.2	4,262.4	4,655.7	4,832.2	5,568.0	5,504.0	-1.1%	30.3%	
		U of A Receipts	5,459.6	5,319.9	5,796.6	5,381.5	5,113.2	5,948.5	16.3%	9.0%	
		State Inter-Agency Receipts	312.1	452.7	352.9	456.0	498.0	470.6	-5.5%	50.8%	
		UA Intra-Agency Transfers	1,158.1	1,239.1	865.9	318.7	1,362.2	1,316.1	-3.4%	13.6%	
		CIP Receipts	535.1	282.5	818.7	596.3	426.1	16.5	-96.1%	-96.9%	
		Federal Stimulus--ARRA2009	1,078.2	461.3	111.8	(0.2)			N/A	-100.0%	
		Interest Income		0.7	2.0	0.2	2.0	0.1	-93.4%	N/A	
	<b>Geophysical Institute Total</b>		<b>39,329.6</b>	<b>38,205.2</b>	<b>40,780.4</b>	<b>40,524.3</b>	<b>39,589.9</b>	<b>41,040.6</b>	<b>3.7%</b>	<b>4.4%</b>	
	Institute of Arctic Biology	General Funds	3,744.9	3,416.6	3,559.9	3,169.9	2,440.8	2,519.2	3.2%	-32.7%	
		Federal Receipts	11,713.1	9,993.1	9,457.4	10,077.4	9,879.1	9,752.7	-1.3%	-16.7%	
		Indirect Cost Recovery	2,307.9	1,993.5	2,169.4	2,398.2	2,391.4	2,483.9	3.9%	7.6%	
		U of A Receipts	2,047.9	2,413.7	1,945.0	1,814.9	1,760.0	1,985.5	12.8%	-3.0%	
		State Inter-Agency Receipts	315.3	558.8	375.5	258.8	219.3	256.3	16.9%	-18.7%	
		UA Intra-Agency Transfers	460.8	557.8	500.5	605.0	703.0	736.0	4.7%	59.7%	
		CIP Receipts	225.3	584.5	551.3	161.1	10.7		-100.0%	-100.0%	
		Federal Stimulus--ARRA2009	371.6	118.6					N/A	-100.0%	
	<b>Institute of Arctic Biology Total</b>		<b>21,186.8</b>	<b>19,636.7</b>	<b>18,559.0</b>	<b>18,485.2</b>	<b>17,404.2</b>	<b>17,733.5</b>	<b>1.9%</b>	<b>-16.3%</b>	
	Intl Arctic Research Center	General Funds	1,961.2	1,900.4	1,515.9	1,383.4	1,197.3	1,417.1	18.4%	-27.7%	
		Federal Receipts	7,415.7	8,763.7	5,796.6	8,222.1	5,560.3	4,403.2	-20.8%	-40.6%	
		Indirect Cost Recovery	1,887.9	1,811.1	1,190.9	1,120.0	1,197.7	1,083.2	-9.6%	-42.6%	
		U of A Receipts	3,423.6	2,850.2	1,330.1	1,457.3	1,258.8	1,846.7	46.7%	-46.1%	
		State Inter-Agency Receipts	(1.8)	36.6	51.5	51.5	19.4	25.2	30.0%	-1473.2%	
		UA Intra-Agency Transfers	25.7	20.9	1.8	32.0	264.5	173.5	-34.4%	575.0%	
		CIP Receipts	343.5	775.3	628.7	30.2	21.3	25.1	17.6%	-92.7%	
		Federal Stimulus--ARRA2009	150.2	13.5					N/A	-100.0%	
	<b>Intl Arctic Research Center Total</b>		<b>15,205.9</b>	<b>16,171.7</b>	<b>10,515.4</b>	<b>12,296.6</b>	<b>9,519.3</b>	<b>8,973.9</b>	<b>-5.7%</b>	<b>-41.0%</b>	
	Vice Chancellor for Research	General Funds	1,456.1	1,547.6	1,736.7	1,408.2	1,558.7	1,944.4	24.7%	33.5%	
		Federal Receipts	375.9	173.6					N/A	-100.0%	
		Indirect Cost Recovery	481.3	586.9	415.1	483.5	484.3	429.7	-11.3%	-10.7%	
		U of A Receipts	420.6	131.9	4.3	10.8	6.5	75.7	1069.4%	-82.0%	
		UA Intra-Agency Transfers	21.3	10.0				7.5	N/A	-64.8%	
		Federal Stimulus--ARRA2009	40.5						N/A	-100.0%	
	<b>Vice Chancellor for Research Total</b>		<b>2,795.7</b>	<b>2,450.0</b>	<b>2,156.1</b>	<b>1,902.5</b>	<b>2,049.5</b>	<b>2,457.4</b>	<b>19.9%</b>	<b>-12.1%</b>	
	VCR Development Programs & Projects	General Funds	2,058.5	1,994.0	1,962.7	1,722.2	1,636.2	1,546.2	-5.5%	-24.9%	
		Federal Receipts	1,329.8	1,570.2	1,463.2	2,244.2	1,807.4	733.7	-59.4%	-44.8%	
		Indirect Cost Recovery	239.7	350.8	314.6	525.1	447.1	172.1	-61.5%	-28.2%	
		U of A Receipts	73.6	89.6	112.9	121.7	94.3	138.4	46.8%	87.9%	
		State Inter-Agency Receipts				39.3			N/A	N/A	
		UA Intra-Agency Transfers	127.0	158.7	104.2	159.3	996.3	143.1	-85.6%	12.7%	
	<b>VCR Development Programs &amp; Projects Total</b>		<b>3,828.6</b>	<b>4,163.2</b>	<b>3,957.6</b>	<b>4,811.9</b>	<b>4,981.3</b>	<b>2,733.4</b>	<b>-45.1%</b>	<b>-28.6%</b>	
<b>Vice Chancellor for Research Total</b>			<b>82,346.7</b>	<b>80,626.7</b>	<b>75,968.5</b>	<b>78,020.4</b>	<b>73,544.2</b>	<b>72,938.8</b>	<b>-0.8%</b>	<b>-11.4%</b>	

Total Revenue by Vice Chancellor and Unit  
1.B.1

VC LEVEL	UNIT	Revenue Source	FY13	FY14	FY15	FY16	FY17	FY18	% Change	% Change	Note	
			Revenue	Revenue	Revenue	Revenue	Revenue	Revenue	FY17-18	FY13-18		
Vice Chancellor for Student Affairs	UAF Student Services	General Funds	4,177.4	4,803.4	4,237.7	3,664.8	3,608.8	2,983.5	-17.3%	-28.6%		
		Federal Receipts	7,953.1	7,848.7	7,302.1	6,620.1	6,338.5	6,793.7	7.2%	-14.6%		
		Student Tuition & Fees	5,032.0	4,836.1	5,184.6	5,156.5	5,306.4	4,873.1	-8.2%	-3.2%		
		Indirect Cost Recovery	17.2	1.9	(0.2)				N/A	-100.0%		
		Auxiliary Receipts	8,424.9	9,353.8	9,390.1	10,242.5	9,533.3	8,887.6	-6.8%	5.5%		
		U of A Receipts	1,142.5	939.0	898.1	324.1	329.8	258.8	-21.5%	-77.4%		
		UA Intra-Agency Transfers	172.8	179.4	170.9	134.6	160.1	679.8	324.5%	293.4%		
		Interest Income						70.6	N/A	N/A		
	<b>UAF Student Services Total</b>			<b>26,919.8</b>	<b>27,962.3</b>	<b>27,183.3</b>	<b>26,142.7</b>	<b>25,277.0</b>	<b>24,547.1</b>	<b>-2.9%</b>	<b>-8.8%</b>	
	VCSA Admin & Central Support	General Funds	1,374.7	608.3	837.6	1,194.0	1,202.4	1,190.9	-1.0%	-13.4%		
		Federal Receipts	0.4	(0.7)				0.3	N/A	-32.0%		
		Student Tuition & Fees	399.2	572.0	258.6	29.4		0.3	N/A	-99.9%		
		Indirect Cost Recovery	0.0	(0.0)	0.5			0.0	N/A	-30.0%		
		U of A Receipts	129.7	121.5	165.3	194.6	245.9	164.5	-33.1%	-26.8%		
		State Inter-Agency Receipts			8.7				N/A	N/A		
	<b>VCSA Admin &amp; Central Support Total</b>			<b>1,904.2</b>	<b>1,301.6</b>	<b>1,270.8</b>	<b>1,418.0</b>	<b>1,448.3</b>	<b>1,355.9</b>	<b>-6.4%</b>	<b>-28.8%</b>	
	Intercollegiate Athletics	General Funds	3,184.1	3,422.6	3,302.6	2,810.1		2,800.0	N/A	-12.1%		
		Federal Receipts	1.3						N/A	-100.0%		
		Student Tuition & Fees	918.6	834.8	1,000.4	943.8	858.6	802.3	-6.6%	-12.7%		
		Auxiliary Receipts						0.0	N/A	N/A		
		U of A Receipts	1,618.5	1,629.0	1,398.5	1,485.5	1,383.2	1,133.2	-18.1%	-30.0%		
		UA Intra-Agency Transfers	4.3	2.1	0.2	0.5	0.5		-100.0%	-100.0%		
	<b>Intercollegiate Athletics Total</b>			<b>5,726.8</b>	<b>5,888.5</b>	<b>5,701.8</b>	<b>5,239.9</b>	<b>2,242.3</b>	<b>4,735.5</b>	<b>111.2%</b>	<b>-17.3%</b>	
<b>Vice Chancellor for Student Affairs Total</b>			<b>34,550.8</b>	<b>35,152.4</b>	<b>34,155.8</b>	<b>32,800.6</b>	<b>28,967.5</b>	<b>30,638.5</b>	<b>5.8%</b>	<b>-11.3%</b>		
<b>Grand Total</b>			<b>474,752.4</b>	<b>458,727.6</b>	<b>442,985.2</b>	<b>433,162.7</b>	<b>443,201.2</b>	<b>419,322.3</b>	<b>-5.4%</b>	<b>-11.7%</b>		

(1) In FY18 the School of Education transitioned to become a part of the College of Natural Science and Mathematics (CNSM), but will continue to be shown separately for comparison.

Revenue by Allocation (Campus)

1.C.1

Allocation	FY13	FY14	FY15	FY16	FY17	FY18	% Change FY17-18	% Change FY13-18
UAF Comm Tech College	13,413.6	13,607.2	13,023.4	13,220.7	11,243.0	10,790.08	-4.0%	-19.6%
Rural College	8,703.9	9,312.7	9,167.2	9,341.8	7,441.2	6,697.31	-10.0%	-23.1%
Kuskokwim Campus	5,840.9	6,069.3	5,675.3	5,417.5	5,038.2	4,957.59	-1.6%	-15.1%
Interior Alaska Campus	5,831.4	5,176.3	4,743.7	4,502.4	4,137.2	3,954.79	-4.4%	-32.2%
Bristol Bay Campus	4,632.8	3,904.0	4,156.4	4,187.2	4,067.3	3,592.47	-11.7%	-22.5%
Northwest Campus	2,851.9	3,042.5	2,967.7	2,615.4	1,958.5	1,789.79	-8.6%	-37.2%
Chukchi Campus	2,580.2	2,247.4	2,073.9	1,855.6	1,317.7	1,052.14	-20.2%	-59.2%
<b>Total Community Campus Allocations</b>	<b>43,854.6</b>	<b>43,359.4</b>	<b>41,807.7</b>	<b>41,140.7</b>	<b>35,203.2</b>	<b>32,834.2</b>	<b>-6.7%</b>	<b>-25.1%</b>
Fairbanks Campus	281,949.0	271,198.8	264,935.8	258,777.6	260,833.5	253,600.3	-2.8%	-10.1%
UAF Organized Research	139,540.0	134,234.5	127,613.9	133,244.5	147,164.6	132,887.8	-9.7%	-4.8%
Co-op Extension Svcs	9,408.8	9,934.8	8,627.7					-100.0%
<b>Total Main Campus Allocations</b>	<b>430,897.8</b>	<b>415,368.2</b>	<b>401,177.4</b>	<b>392,022.1</b>	<b>407,998.1</b>	<b>386,488.2</b>	<b>-5.3%</b>	<b>-10.3%</b>
<b>Grand Total</b>	<b>474,752.4</b>	<b>458,727.6</b>	<b>442,985.2</b>	<b>433,162.7</b>	<b>443,201.3</b>	<b>419,322.3</b>	<b>-5.4%</b>	<b>-11.7%</b>







**Expenditures by NCHEMS  
1.D.1**

NCHEMS	FY13		FY14		FY15		FY16		FY17		FY18		% Change FY17-18	% Change FY13-18
	Expenditures	% of Total	Expenditures	% of Total	Expenditures	% of Total	Expenditures	% of Total	Expenditures	% of Total	Expenditures	% of Total		
Academic Support	24,706.2	5%	25,794.6	6%	27,933.0	6%	27,375.9	6%	24,491.4	6%	23,499.3	6%	-4.1%	-4.9%
Auxiliary Services	15,189.8	3%	13,284.0	3%	14,382.8	3%	15,506.4	4%	16,947.0	4%	15,393.6	4%	-9.2%	1.3%
Institutional Support	45,295.8	10%	48,540.1	11%	51,918.6	12%	52,569.4	12%	45,824.7	11%	42,956.6	10%	-6.3%	-5.2%
Instruction	87,449.6	18%	87,600.0	19%	85,228.3	19%	85,085.7	20%	75,815.5	17%	72,897.1	17%	-3.8%	-16.6%
Intercollegiate Athletics	5,713.4	1%	5,647.6	1%	5,144.6	1%	4,909.4	1%	3,565.1	1%	4,297.9	1%	20.6%	-24.8%
Library Services	9,532.5	2%	8,840.2	2%	8,513.0	2%	7,810.9	2%	6,796.7	2%	6,872.3	2%	1.1%	-27.9%
Physical Plant	57,840.1	12%	61,804.8	13%	56,383.9	13%	57,541.9	13%	65,460.1	15%	72,964.6	17%	11.5%	26.1%
Public Service	60,719.9	13%	47,114.7	10%	38,684.1	9%	32,719.7	8%	31,564.8	7%	28,460.9	7%	-9.8%	-53.1%
Research	139,611.0	29%	133,123.1	29%	124,042.2	28%	129,044.5	30%	141,024.0	32%	130,017.5	31%	-7.8%	-6.9%
Scholarships	11,239.6	2%	10,382.1	2%	9,583.1	2%	7,827.8	2%	9,026.4	2%	8,770.5	2%	-2.8%	-22.0%
Student Services	16,806.9	4%	16,484.6	4%	15,982.6	4%	14,984.6	3%	14,176.3	3%	14,096.5	3%	-0.6%	-16.1%
<b>Grand Total</b>	<b>474,105.0</b>	<b>100%</b>	<b>458,615.9</b>	<b>100%</b>	<b>437,796.2</b>	<b>100%</b>	<b>435,376.1</b>	<b>100%</b>	<b>434,691.9</b>	<b>100%</b>	<b>420,226.7</b>	<b>100%</b>	<b>-3.3%</b>	<b>-11.4%</b>

**NOTE:** Unlike the annual statewide Yellowbook, these figures include both operating and capital-funded expenditures (fund types 91, FA and FR). Federal expenditures awarded to UAF and spent on the construction of the research vessel Sikuliaq were captured in the Public Service NCHEMS category through FY16.

Capital expenditures by NCHEMS category are illustrated in the table below. These include fund types 91, FA and FR.

Capital Only	FY13	FY14	FY15	FY16	FY17	FY18
NCHEMS	Expenditures	Expenditures	Expenditures	Expenditures	Expenditures	Expenditures
Academic Support	(0.0)					
Institutional Support						
Instruction	216.6	327.2	160.7	109.2	17.3	22.1
Library Services			9.1	2.9	0.0	
Physical Plant		0.3	0.3	(0.3)		
Public Service	32,183.0	15,247.2	10,158.5	3,873.0	469.5	25.8
Research	12,740.4	9,348.7	5,973.2	3,814.1	2,293.2	1,584.6
Scholarships	110.3	3.5		39.2		
<b>Grand Total</b>	<b>45,250.2</b>	<b>24,926.8</b>	<b>16,301.7</b>	<b>7,838.1</b>	<b>2,779.9</b>	<b>1,632.6</b>

**NOTE:** Sikuliaq capital expenditures made up the majority of the Public Service capital expenditures through FY16.



**Expenditures by Allocation and NCHEMS**  
1.D.2

Allocation	NCHEMS	FY13		FY14		FY15		FY16		FY17		FY18		% Change FY17-18	% Change FY13-18
		Expenditures	% of Allocation	Expenditures	% of Allocation	Expenditures	% of Allocation	Expenditures	% of Allocation	Expenditures	% of Allocation	Expenditures	% of Allocation		
Bristol Bay Campus	Academic Support	627.3	0%	537.5	0%	802.9	0%	643.9	0%	575.7	0%	483.6	0%	-16.0%	-22.9%
	Institutional Support		0%	130.8	0%	85.2	0%	52.1	0%		0%	(43.1)	0%	N/A	N/A
	Instruction	3,515.9	1%	2,861.9	1%	2,785.9	1%	2,870.8	1%	2,428.9	1%	2,323.1	1%	-4.4%	-33.9%
	Physical Plant	216.6	0%	174.1	0%	146.2	0%	108.3	0%	105.7	0%	359.9	0%	240.4%	66.1%
	Public Service	0.9	0%		0%		0%		0%		0%		0%	N/A	-100.0%
	Scholarships	(45.9)	0%	(27.6)	0%	70.6	0%	96.4	0%	109.8	0%	29.1	0%	-73.5%	-163.4%
	Student Services	126.4	0%	176.7	0%	192.5	0%	344.4	0%	433.4	0%	488.2	0%	12.6%	286.2%
<b>Bristol Bay Campus Total</b>		<b>4,441.3</b>	<b>1%</b>	<b>3,853.5</b>	<b>1%</b>	<b>4,083.3</b>	<b>1%</b>	<b>4,115.9</b>	<b>1%</b>	<b>3,653.6</b>	<b>1%</b>	<b>3,640.8</b>	<b>1%</b>	<b>-0.4%</b>	<b>-18.0%</b>
Chukchi Campus	Academic Support	364.7	0%	430.6	0%	240.8	0%	153.4	0%	181.4	0%	158.5	0%	-12.6%	-56.5%
	Auxiliary Services		0%	1.9	0%		0%	0.9	0%		0%	(0.1)	0%	-108.1%	N/A
	Institutional Support		0%	21.5	0%	60.3	0%	45.6	0%		0%	33.6	0%	N/A	N/A
	Instruction	1,639.8	0%	1,275.2	0%	1,288.1	0%	1,298.1	0%	651.2	0%	348.4	0%	-46.5%	-78.8%
	Library Services	215.1	0%	193.3	0%	108.0	0%	102.7	0%	94.6	0%	120.7	0%	27.5%	-43.9%
	Physical Plant	145.7	0%	127.9	0%	108.5	0%	98.5	0%	102.8	0%	257.9	0%	150.9%	77.0%
	Public Service	47.5	0%	26.0	0%	3.5	0%	13.4	0%	(0.0)	0%		0%	-100.0%	-100.0%
	Scholarships	(27.3)	0%	(22.2)	0%	(14.7)	0%	(18.1)	0%	(14.1)	0%	(17.5)	0%	23.7%	-35.9%
	Student Services	154.2	0%	193.2	0%	156.5	0%	119.7	0%	111.9	0%	128.8	0%	15.1%	-16.5%
<b>Chukchi Campus Total</b>		<b>2,539.7</b>	<b>1%</b>	<b>2,247.4</b>	<b>0%</b>	<b>1,951.0</b>	<b>0%</b>	<b>1,813.3</b>	<b>0%</b>	<b>1,128.7</b>	<b>0%</b>	<b>1,030.3</b>	<b>0%</b>	<b>-8.7%</b>	<b>-59.4%</b>
Co-op Extension Svcs	Institutional Support	300.0	0%		0%	(198.3)	0%		0%		0%		0%	N/A	-100.0%
	Instruction		0%	0.2	0%		0%		0%		0%		0%	N/A	N/A
	Public Service	9,056.7	2%	9,732.5	2%	8,836.1	2%		0%		0%		0%	N/A	-100.0%
	Research	22.6	0%	13.1	0%	20.6	0%		0%		0%		0%	N/A	-100.0%
	Scholarships		0%	3.6	0%	1.2	0%		0%		0%		0%	N/A	N/A
<b>Co-op Extension Svcs Total</b>		<b>9,379.3</b>	<b>2%</b>	<b>9,749.4</b>	<b>2%</b>	<b>8,659.7</b>	<b>2%</b>		<b>0%</b>		<b>0%</b>		<b>0%</b>	<b>N/A</b>	<b>-100.0%</b>
Fairbanks Campus	Academic Support	18,272.7	4%	18,278.2	4%	19,991.7	5%	20,202.2	5%	18,424.6	4%	17,576.4	4%	-4.6%	-3.8%
	Auxiliary Services	14,309.7	3%	12,582.4	3%	14,501.0	3%	14,644.9	3%	16,433.7	4%	14,780.3	4%	-10.1%	3.3%
	Institutional Support	42,678.4	9%	46,575.8	10%	49,394.8	11%	50,018.5	11%	43,016.4	10%	40,109.5	10%	-6.8%	-6.0%
	Instruction	56,971.2	12%	58,220.0	13%	58,034.1	13%	58,331.4	13%	54,596.2	13%	52,397.9	12%	-4.0%	-8.0%
	Intercollegiate Athletics	5,713.4	1%	5,647.6	1%	5,144.6	1%	4,909.4	1%	3,565.1	1%	4,297.9	1%	20.6%	-24.8%
	Library Services	9,015.5	2%	8,371.4	2%	8,157.1	2%	7,502.5	2%	6,498.6	1%	6,529.8	2%	0.5%	-27.6%
	Physical Plant	53,892.2	11%	57,543.1	13%	52,694.9	12%	53,601.2	12%	62,221.5	14%	69,989.6	17%	12.5%	29.9%
	Public Service	48,230.5	10%	33,124.9	7%	25,569.8	6%	28,291.1	6%	27,925.4	6%	24,820.2	6%	-11.1%	-48.5%
	Research	3,641.8	1%	2,583.5	1%	3,350.3	1%	4,322.0	1%	4,510.1	1%	4,551.8	1%	0.9%	25.0%
	Scholarships	11,806.7	2%	10,830.4	2%	9,967.3	2%	8,112.8	2%	8,531.0	2%	8,489.0	2%	-0.5%	-28.1%
	Student Services	15,105.0	3%	14,869.8	3%	14,287.3	3%	13,149.0	3%	12,325.4	3%	12,152.7	3%	-1.4%	-19.5%
<b>Fairbanks Campus Total</b>		<b>279,637.3</b>	<b>59%</b>	<b>268,627.1</b>	<b>59%</b>	<b>261,092.8</b>	<b>60%</b>	<b>263,084.9</b>	<b>60%</b>	<b>258,047.9</b>	<b>59%</b>	<b>255,695.1</b>	<b>61%</b>	<b>-0.9%</b>	<b>-8.6%</b>
Interior Alaska Campus	Academic Support	479.1	0%	545.6	0%	734.6	0%	525.1	0%	424.7	0%	573.7	0%	35.1%	19.7%
	Institutional Support		0%	60.6	0%	110.8	0%	44.9	0%		0%	31.0	0%	N/A	N/A
	Instruction	4,886.5	1%	4,116.2	1%	3,478.6	1%	3,460.3	1%	2,899.6	1%	2,893.3	1%	-0.2%	-40.8%
	Physical Plant	131.7	0%	111.6	0%	96.6	0%	100.9	0%	123.7	0%	196.6	0%	58.9%	49.3%
	Public Service		0%	0.1	0%	127.2	0%		0%		0%		0%	N/A	N/A
	Scholarships	74.7	0%	107.9	0%	79.3	0%	152.0	0%	104.0	0%	80.6	0%	-22.5%	7.9%
	Student Services	178.0	0%	110.0	0%	108.2	0%	111.7	0%	132.9	0%	109.5	0%	-17.6%	-38.5%
<b>Interior Alaska Campus Total</b>		<b>5,750.0</b>	<b>1%</b>	<b>5,052.0</b>	<b>1%</b>	<b>4,735.4</b>	<b>1%</b>	<b>4,394.9</b>	<b>1%</b>	<b>3,684.9</b>	<b>1%</b>	<b>3,884.6</b>	<b>1%</b>	<b>5.4%</b>	<b>-32.4%</b>

**Expenditures by Allocation and NCHEMS  
1.D.2**

Allocation	NCHEMS	FY13		FY14		FY15		FY16		FY17		FY18		% Change FY17-18	% Change FY13-18
		Expenditures	% of Allocation	Expenditures	% of Allocation	Expenditures	% of Allocation	Expenditures	% of Allocation	Expenditures	% of Allocation	Expenditures	% of Allocation		
Kuskokwim Campus	Academic Support	1,282.8	0%	1,380.3	0%	1,917.6	0%	1,825.9	0%	843.0	0%	633.8	0%	-24.8%	-50.6%
	Auxiliary Services	385.4	0%	250.4	0%	(235.9)	0%	173.8	0%	356.5	0%	421.1	0%	18.1%	9.3%
	Institutional Support		0%	131.4	0%	161.4	0%	41.8	0%		0%	23.2	0%	N/A	N/A
	Instruction	2,756.5	1%	2,895.9	1%	2,173.5	0%	2,207.1	1%	2,219.4	1%	2,433.5	1%	9.6%	-11.7%
	Library Services	276.5	0%	262.0	0%	240.1	0%	205.6	0%	203.6	0%	221.8	0%	9.0%	-19.8%
	Physical Plant	642.4	0%	525.2	0%	421.7	0%	373.2	0%	337.7	0%	594.8	0%	76.1%	-7.4%
	Public Service	97.1	0%	122.6	0%	51.7	0%	6.5	0%	6.8	0%	5.5	0%	-19.9%	-94.4%
	Research		0%	17.1	0%	54.1	0%	59.7	0%	80.4	0%	132.3	0%	64.6%	N/A
	Scholarships	(5.6)	0%	(48.0)	0%	(28.6)	0%	(56.2)	0%	125.6	0%	4.8	0%	-96.2%	-185.9%
	Student Services	498.0	0%	408.4	0%	503.3	0%	475.8	0%	516.1	0%	529.2	0%	2.5%	6.3%
<b>Kuskokwim Campus Total</b>		<b>5,933.1</b>	<b>1%</b>	<b>5,945.4</b>	<b>1%</b>	<b>5,258.8</b>	<b>1%</b>	<b>5,313.2</b>	<b>1%</b>	<b>4,689.2</b>	<b>1%</b>	<b>5,000.1</b>	<b>1%</b>	<b>6.6%</b>	<b>-15.7%</b>
Northwest Campus	Academic Support	684.1	0%	589.4	0%	633.6	0%	653.4	0%	558.6	0%	518.5	0%	-7.2%	-24.2%
	Auxiliary Services	9.2	0%	13.0	0%	11.5	0%	10.1	0%	4.7	0%	2.2	0%	-53.0%	-76.1%
	Institutional Support		0%	95.5	0%	29.9	0%	51.3	0%		0%	46.0	0%	N/A	N/A
	Instruction	1,459.1	0%	1,649.5	0%	1,527.4	0%	1,454.0	0%	857.8	0%	790.6	0%	-7.8%	-45.8%
	Library Services	25.4	0%	13.5	0%	7.9	0%	0.1	0%		0%		0%	N/A	-100.0%
	Physical Plant	295.3	0%	216.3	0%	199.9	0%	160.2	0%	130.1	0%	269.5	0%	107.2%	-8.7%
	Public Service	12.6	0%		0%		0%		0%		0%		0%	N/A	-100.0%
	Scholarships	(28.6)	0%	(26.1)	0%	(11.3)	0%	(28.5)	0%	(7.8)	0%	(28.9)	0%	273.1%	1.0%
	Student Services	292.0	0%	268.5	0%	285.5	0%	298.7	0%	294.9	0%	271.0	0%	-8.1%	-7.2%
<b>Northwest Campus Total</b>		<b>2,749.2</b>	<b>1%</b>	<b>2,819.5</b>	<b>1%</b>	<b>2,684.5</b>	<b>1%</b>	<b>2,599.2</b>	<b>1%</b>	<b>1,838.3</b>	<b>0%</b>	<b>1,868.8</b>	<b>0%</b>	<b>1.7%</b>	<b>-32.0%</b>
Rural College	Academic Support	1,807.3	0%	2,613.4	1%	2,060.7	0%	1,980.6	0%	1,914.0	0%	1,961.0	0%	2.5%	8.5%
	Auxiliary Services	485.5	0%	436.2	0%	106.3	0%	677.6	0%	151.2	0%	190.1	0%	25.7%	-60.9%
	Institutional Support	340.0	0%	199.9	0%		0%	142.8	0%	300.0	0%	239.8	0%	-20.1%	-29.5%
	Instruction	5,609.2	1%	6,019.9	1%	5,811.4	1%	5,350.1	1%	4,068.3	1%	3,393.0	1%	-16.6%	-39.5%
	Physical Plant	648.9	0%	894.7	0%	1,067.0	0%	1,236.3	0%	910.6	0%	92.4	0%	-89.8%	-85.8%
	Public Service	72.1	0%	123.7	0%	181.2	0%	105.2	0%	133.7	0%	342.2	0%	155.9%	374.7%
	Research	9.8	0%		0%		0%		0%		0%	170.0	0%	N/A	1630.2%
	Scholarships	(519.7)	0%	(102.2)	0%	33.0	0%	40.8	0%	32.1	0%	147.7	0%	360.6%	-128.4%
	Student Services	58.0	0%	88.1	0%	89.4	0%	92.0	0%	58.6	0%	110.9	0%	89.4%	91.2%
<b>Rural College Total</b>		<b>8,511.1</b>	<b>2%</b>	<b>10,273.8</b>	<b>2%</b>	<b>9,348.9</b>	<b>2%</b>	<b>9,625.3</b>	<b>2%</b>	<b>7,568.4</b>	<b>2%</b>	<b>6,647.1</b>	<b>2%</b>	<b>-12.2%</b>	<b>-21.9%</b>
UAF Comm Tech College	Academic Support	1,188.1	0%	1,419.7	0%	1,551.0	0%	1,391.4	0%	1,569.3	0%	1,593.8	0%	1.6%	34.1%
	Institutional Support		0%		0%	185.0	0%	91.4	0%	200.0	0%	78.7	0%	-60.7%	N/A
	Instruction	10,254.5	2%	10,292.5	2%	10,074.9	2%	10,113.8	2%	8,093.9	2%	8,315.4	2%	2.7%	-18.9%
	Physical Plant	1,867.4	0%	2,211.9	0%	1,649.0	0%	1,863.3	0%	1,528.0	0%	1,204.0	0%	-21.2%	-35.5%
	Scholarships	(587.3)	0%	(616.1)	0%	(654.9)	0%	(659.0)	0%	(538.6)	0%	(598.9)	0%	11.2%	2.0%
	Student Services	395.3	0%	369.8	0%	359.9	0%	393.2	0%	303.2	0%	306.1	0%	1.0%	-22.6%
<b>UAF Comm Tech College Total</b>		<b>13,118.0</b>	<b>3%</b>	<b>13,677.9</b>	<b>3%</b>	<b>13,165.0</b>	<b>3%</b>	<b>13,194.2</b>	<b>3%</b>	<b>11,155.7</b>	<b>3%</b>	<b>10,899.1</b>	<b>3%</b>	<b>-2.3%</b>	<b>-16.9%</b>
UAF Organized Research	Institutional Support	1,977.4	0%	1,324.6	0%	2,089.4	0%	2,081.0	0%	2,308.3	1%	2,437.8	1%	5.6%	23.3%
	Instruction	357.0	0%	268.6	0%	54.4	0%		0%	0.2	0%	2.0	0%	823.5%	-99.5%
	Public Service	3,202.5	1%	3,984.9	1%	3,914.6	1%	4,303.5	1%	3,498.9	1%	3,293.1	1%	-5.9%	2.8%
	Research	135,936.8	29%	130,509.4	28%	120,617.1	28%	124,662.8	29%	136,433.4	31%	125,163.4	30%	-8.3%	-7.9%
	Scholarships	572.6	0%	282.3	0%	141.3	0%	187.7	0%	684.5	0%	664.7	0%	-2.9%	16.1%
<b>UAF Organized Research Total</b>		<b>142,046.2</b>	<b>30%</b>	<b>136,369.8</b>	<b>30%</b>	<b>126,816.9</b>	<b>29%</b>	<b>131,235.1</b>	<b>30%</b>	<b>142,925.3</b>	<b>33%</b>	<b>131,560.9</b>	<b>31%</b>	<b>-8.0%</b>	<b>-7.4%</b>
<b>Grand Total</b>		<b>474,105.0</b>	<b>100%</b>	<b>458,615.9</b>	<b>100%</b>	<b>437,796.2</b>	<b>100%</b>	<b>435,376.1</b>	<b>100%</b>	<b>434,691.9</b>	<b>100%</b>	<b>420,226.7</b>	<b>100%</b>	<b>-3.3%</b>	<b>-11.4%</b>

**Expenditures by Fund Type and NCHEMS  
1.D.3**

FUND TYPE	NCHEMS	FY13		FY14		FY15		FY16		FY17		FY18		% Change FY17-18	% Change FY13-18
		Expenditures	% of Total	Expenditures	% of Total	Expenditures	% of Total	Expenditures	% of Total	Expenditures	% of Total	Expenditures	% of Total		
Unrestricted	Academic Support	22,883.4	5%	23,933.7	5%	25,518.4	6%	24,635.6	6%	22,570.9	5%	22,032.7	5%	-2.4%	-3.7%
	Auxiliary Services	0.3	0%	0.1	0%	0.1	0%		0%		0%	2.0	0%	N/A	502.4%
	Institutional Support	44,331.7	9%	48,208.2	11%	51,532.1	12%	52,214.5	12%	45,490.4	10%	42,545.5	10%	-6.5%	-4.0%
	Instruction	76,287.4	16%	77,141.1	17%	75,101.9	17%	74,965.8	17%	67,310.6	15%	65,210.3	16%	-3.1%	-14.5%
	Intercollegiate Athletics	5,712.3	1%	5,644.6	1%	5,140.2	1%	4,891.1	1%	3,541.2	1%	4,279.2	1%	20.8%	-25.1%
	Library Services	8,429.2	2%	8,111.8	2%	8,055.6	2%	7,348.5	2%	6,302.2	1%	6,280.9	1%	-0.3%	-25.5%
	Physical Plant	57,666.0	12%	61,729.6	13%	56,270.9	13%	56,577.6	13%	65,288.3	15%	72,837.3	17%	11.6%	26.3%
	Public Service	11,338.0	2%	11,526.3	3%	11,069.9	3%	11,324.8	3%	14,495.8	3%	13,133.9	3%	-9.4%	15.8%
	Research	48,568.1	10%	48,523.2	11%	47,864.0	11%	49,029.5	11%	57,260.3	13%	51,423.3	12%	-10.2%	5.9%
	Scholarships	29.5	0%	(677.9)	0%	(449.6)	0%	(1,619.0)	0%	(564.0)	0%	(1,179.8)	0%	109.2%	-4098.6%
	Student Services	15,715.4	3%	15,397.9	3%	14,758.9	3%	13,425.0	3%	12,956.2	3%	13,160.7	3%	1.6%	-16.3%
<b>Unrestricted Total</b>		<b>290,961.4</b>	<b>61%</b>	<b>299,538.6</b>	<b>65%</b>	<b>294,862.4</b>	<b>67%</b>	<b>292,793.4</b>	<b>67%</b>	<b>294,651.9</b>	<b>68%</b>	<b>289,725.9</b>	<b>69%</b>	<b>-1.7%</b>	<b>-0.4%</b>
Restricted	Academic Support	1,822.8	0%	1,861.0	0%	2,306.7	1%	2,699.4	1%	1,919.4	0%	1,466.6	0%	-23.6%	-19.5%
	Auxiliary Services	(2.6)	0%	56.9	0%	(56.8)	0%	(6.6)	0%	(0.2)	0%	(0.1)	0%	-50.1%	-96.3%
	Institutional Support	964.1	0%	331.9	0%	386.5	0%	304.7	0%	325.1	0%	377.6	0%	16.2%	-60.8%
	Instruction	11,162.2	2%	10,458.9	2%	10,126.5	2%	10,119.9	2%	8,499.1	2%	7,675.6	2%	-9.7%	-31.2%
	Intercollegiate Athletics	1.2	0%	3.0	0%	4.4	0%	18.3	0%	23.8	0%	18.7	0%	-21.5%	1484.2%
	Library Services	1,103.3	0%	728.4	0%	457.4	0%	462.4	0%	494.6	0%	591.4	0%	19.6%	-46.4%
	Physical Plant	174.1	0%	75.2	0%	113.0	0%	964.2	0%	171.8	0%	60.9	0%	-64.5%	-65.0%
	Public Service	48,982.1	10%	34,937.2	8%	27,088.9	6%	20,961.1	5%	16,667.8	4%	14,912.8	4%	-10.5%	-69.6%
	Research	90,810.3	19%	84,534.2	18%	76,150.0	17%	79,937.3	18%	83,763.7	19%	78,576.4	19%	-6.2%	-13.5%
	Scholarships	9,870.8	2%	9,650.6	2%	8,684.7	2%	8,047.4	2%	8,185.0	2%	8,642.3	2%	5.6%	-12.4%
	Student Services	1,091.5	0%	1,086.7	0%	1,223.6	0%	1,556.6	0%	1,220.1	0%	934.2	0%	-23.4%	-14.4%
<b>Restricted Total</b>		<b>165,979.8</b>	<b>35%</b>	<b>143,724.0</b>	<b>31%</b>	<b>126,485.0</b>	<b>29%</b>	<b>125,064.6</b>	<b>29%</b>	<b>121,270.1</b>	<b>28%</b>	<b>113,256.6</b>	<b>27%</b>	<b>-6.6%</b>	<b>-31.8%</b>
Auxiliary	Auxiliary Services	15,067.1	3%	13,106.9	3%	14,379.5	3%	15,413.0	4%	16,847.2	4%	15,291.8	4%	-9.2%	1.5%
	Institutional Support		0%		0%		0%	0.2	0%		0%	0.0	0%	N/A	N/A
	Instruction		0%		0%	(0.0)	0%		0%		0%		0%	N/A	N/A
	Scholarships		0%		0%	0.5	0%		0%		0%		0%	N/A	N/A
	Student Services		0%		0%	0.1	0%	3.0	0%		0%	1.5	0%	N/A	N/A
<b>Auxiliary Total</b>		<b>15,067.1</b>	<b>3%</b>	<b>13,106.9</b>	<b>3%</b>	<b>14,380.1</b>	<b>3%</b>	<b>15,416.2</b>	<b>4%</b>	<b>16,847.2</b>	<b>4%</b>	<b>15,293.3</b>	<b>4%</b>	<b>-9.2%</b>	<b>1.5%</b>
Designated	Academic Support		0%		0%	107.9	0%	41.0	0%	1.1	0%		0%	-100.0%	N/A
	Auxiliary Services	125.0	0%	120.0	0%	60.0	0%	100.0	0%	100.0	0%	100.0	0%	0.0%	-20.0%
	Institutional Support		0%		0%		0%	50.0	0%	9.2	0%	33.4	0%	263.4%	N/A
	Instruction		0%		0%		0%		0%	5.8	0%	11.2	0%	93.3%	N/A
	Physical Plant		0%		0%		0%		0%		0%	66.4	0%	N/A	N/A
	Public Service	399.8	0%	651.3	0%	525.2	0%	433.8	0%	401.2	0%	414.1	0%	3.2%	3.6%
	Research		0%		0%	10.1	0%		0%		0%	17.7	0%	N/A	N/A
	Scholarships	1,339.3	0%	1,409.4	0%	1,347.5	0%	1,399.5	0%	1,405.5	0%	1,308.0	0%	-6.9%	-2.3%
<b>Designated Total</b>		<b>1,864.1</b>	<b>0%</b>	<b>2,180.6</b>	<b>0%</b>	<b>2,050.8</b>	<b>0%</b>	<b>2,024.2</b>	<b>0%</b>	<b>1,922.7</b>	<b>0%</b>	<b>1,950.9</b>	<b>0%</b>	<b>1.5%</b>	<b>4.7%</b>
Capital	Research	232.7	0%	65.8	0%	18.0	0%	77.7	0%	(0.1)	0%		0%	-100.0%	-100.0%
<b>Capital Total</b>		<b>232.7</b>	<b>0%</b>	<b>65.8</b>	<b>0%</b>	<b>18.0</b>	<b>0%</b>	<b>77.7</b>	<b>0%</b>	<b>(0.1)</b>	<b>0%</b>		<b>0%</b>	<b>-100.0%</b>	<b>-100.0%</b>
<b>Grand Total</b>		<b>474,105.0</b>	<b>100%</b>	<b>458,615.9</b>	<b>100%</b>	<b>437,796.2</b>	<b>100%</b>	<b>435,376.1</b>	<b>100%</b>	<b>434,691.9</b>	<b>100%</b>	<b>420,226.7</b>	<b>100%</b>	<b>-3.3%</b>	<b>-11.4%</b>

Expenditures by Account Code  
1.E.1

Expenditure Source	FY13	FY14	FY15	FY16	FY17	FY18	FY18 % of Total	% Change FY17-18	% Change FY13-18
Commodities	38,650.8	36,174.3	34,661.5	32,665.9	36,719.9	38,421.8	9%	4.6%	-0.6%
Contractual Services	81,287.8	86,221.5	86,199.4	92,062.9	91,574.6	85,416.0	20%	-6.7%	5.1%
Equipment	14,387.8	9,110.8	8,093.6	8,935.5	5,319.9	5,321.3	1%	0.0%	-63.0%
Land/Buildings	36,996.0	20,224.4	23,139.4	14,869.1	12,075.5	8,934.4	2%	-26.0%	-75.9%
Miscellaneous	12,701.9	15,997.6	10,492.1	19,728.0	29,305.5	34,100.6	8%	16.4%	168.5%
Salaries & Benefits	260,126.3	263,197.5	250,780.8	244,249.3	236,298.1	225,854.8	54%	-4.4%	-13.2%
Student Aid	16,102.9	14,870.6	13,457.5	11,902.5	13,176.3	12,364.5	3%	-6.2%	-23.2%
Travel	13,851.5	12,819.1	10,972.0	10,963.0	10,222.0	9,813.2	2%	-4.0%	-29.2%
<b>Grand Total</b>	<b>474,105.0</b>	<b>458,615.9</b>	<b>437,796.2</b>	<b>435,376.1</b>	<b>434,691.9</b>	<b>420,226.7</b>	<b>100%</b>	<b>-3.3%</b>	<b>-11.4%</b>

Expenditures by Allocation and Account Code  
1.E.2

Allocation	Expenditure Source	FY13		FY14		FY15		FY16		FY17		FY18		% Change FY17-18	% Change FY13-18
		Expenditure	% of Allocation	Expenditure	% of Allocation	Expenditure	% of Allocation	Expenditure	% of Allocation	Expenditure	% of Allocation	Expenditure	% of Allocation		
Bristol Bay Campus	Commodities	298.2	0.1%	142.6	0.0%	221.6	0.1%	205.6	0.0%	238.3	0.1%	241.7	0.1%	1.4%	-18.9%
	Contractual Services	457.6	0.1%	359.9	0.1%	351.1	0.1%	369.5	0.1%	349.1	0.1%	545.6	0.1%	56.3%	19.2%
	Equipment	68.7	0.0%	97.6	0.0%	23.1	0.0%	35.6	0.0%	21.9	0.0%	9.0	0.0%	-58.9%	-86.9%
	Land/Buildings		0.0%	131.0	0.0%	85.2	0.0%	143.1	0.0%		0.0%		0.0%	N/A	N/A
	Miscellaneous	(87.6)	0.0%	(69.3)	0.0%	220.6	0.1%	155.2	0.0%	(73.7)	0.0%	(94.4)	0.0%	28.0%	7.7%
	Salaries & Benefits	3,136.3	0.7%	2,790.0	0.6%	2,643.0	0.6%	2,722.2	0.6%	2,595.9	0.6%	2,581.1	0.6%	-0.6%	-17.7%
	Student Aid	188.7	0.0%	179.6	0.0%	175.4	0.0%	205.4	0.0%	217.2	0.0%	122.6	0.0%	-43.6%	-35.0%
	Travel	379.4	0.1%	222.1	0.0%	363.3	0.1%	279.4	0.1%	304.9	0.1%	235.1	0.1%	-22.9%	-38.0%
<b>Bristol Bay Campus Total</b>		<b>4,441.3</b>	<b>0.9%</b>	<b>3,853.5</b>	<b>0.8%</b>	<b>4,083.3</b>	<b>0.9%</b>	<b>4,115.9</b>	<b>0.9%</b>	<b>3,653.6</b>	<b>0.8%</b>	<b>3,640.8</b>	<b>0.9%</b>	<b>-0.4%</b>	<b>-18.0%</b>
Chukchi Campus	Commodities	48.0	0.0%	62.6	0.0%	29.6	0.0%	65.3	0.0%	21.7	0.0%	53.8	0.0%	147.5%	12.0%
	Contractual Services	836.1	0.2%	509.4	0.1%	449.1	0.1%	337.9	0.1%	212.5	0.0%	289.6	0.1%	36.3%	-65.4%
	Equipment	11.4	0.0%		0.0%	18.2	0.0%	45.2	0.0%		0.0%		0.0%	N/A	-100.0%
	Land/Buildings		0.0%	21.5	0.0%	60.3	0.0%	45.6	0.0%		0.0%		0.0%	N/A	N/A
	Miscellaneous	(32.0)	0.0%	(52.9)	0.0%	(21.7)	0.0%	106.4	0.0%	(22.5)	0.0%	4.7	0.0%	-121.1%	-114.8%
	Salaries & Benefits	1,552.0	0.3%	1,588.1	0.3%	1,357.3	0.3%	1,175.4	0.3%	886.7	0.2%	627.1	0.1%	-29.3%	-59.6%
	Student Aid	21.1	0.0%	22.5	0.0%	15.2	0.0%	17.6	0.0%	10.5	0.0%	14.3	0.0%	35.5%	-32.5%
	Travel	103.0	0.0%	96.2	0.0%	42.9	0.0%	20.0	0.0%	19.7	0.0%	40.8	0.0%	107.3%	-60.4%
<b>Chukchi Campus Total</b>		<b>2,539.7</b>	<b>0.5%</b>	<b>2,247.4</b>	<b>0.5%</b>	<b>1,951.0</b>	<b>0.4%</b>	<b>1,813.3</b>	<b>0.4%</b>	<b>1,128.7</b>	<b>0.3%</b>	<b>1,030.3</b>	<b>0.2%</b>	<b>-8.7%</b>	<b>-59.4%</b>
Co-op Extension Svcs	Commodities	292.2	0.1%	389.6	0.1%	394.2	0.1%		0.0%		0.0%		0.0%	N/A	-100.0%
	Contractual Services	1,145.5	0.2%	1,306.1	0.3%	1,197.9	0.3%		0.0%		0.0%		0.0%	N/A	-100.0%
	Equipment	7.0	0.0%	26.2	0.0%	12.9	0.0%		0.0%		0.0%		0.0%	N/A	-100.0%
	Land/Buildings		0.0%	292.8	0.1%		0.0%		0.0%		0.0%		0.0%	N/A	N/A
	Miscellaneous	368.3	0.1%	(1.7)	0.0%	(238.5)	-0.1%		0.0%		0.0%		0.0%	N/A	-100.0%
	Salaries & Benefits	7,014.0	1.5%	7,241.0	1.6%	6,831.2	1.6%		0.0%		0.0%		0.0%	N/A	-100.0%
	Student Aid		0.0%	3.6	0.0%	1.2	0.0%		0.0%		0.0%		0.0%	N/A	N/A
	Travel	552.5	0.1%	491.8	0.1%	460.7	0.1%		0.0%		0.0%		0.0%	N/A	-100.0%
<b>Co-op Extension Svcs Total</b>		<b>9,379.3</b>	<b>2.0%</b>	<b>9,749.4</b>	<b>2.1%</b>	<b>8,659.7</b>	<b>2.0%</b>		<b>0.0%</b>		<b>0.0%</b>		<b>0.0%</b>	<b>N/A</b>	<b>-100.0%</b>
Fairbanks Campus	Commodities	28,372.5	6.0%	27,101.1	5.9%	25,371.3	5.8%	22,627.7	5.2%	27,472.7	6.3%	28,290.8	6.7%	3.0%	-0.3%
	Contractual Services	47,849.5	10.1%	51,912.0	11.3%	56,184.7	12.8%	58,827.6	13.5%	53,407.5	12.3%	50,170.3	11.9%	-6.1%	4.9%
	Equipment	3,155.9	0.7%	2,217.4	0.5%	1,703.4	0.4%	2,738.2	0.6%	1,527.7	0.4%	1,492.6	0.4%	-2.3%	-52.7%
	Land/Buildings	36,241.9	7.6%	17,164.2	3.7%	20,453.9	4.7%	12,216.9	2.8%	9,879.5	2.3%	5,804.7	1.4%	-41.2%	-84.0%
	Miscellaneous	10,836.2	2.3%	14,428.6	3.1%	7,792.2	1.8%	16,543.9	3.8%	18,974.3	4.4%	27,632.3	6.6%	45.6%	155.0%
	Salaries & Benefits	135,872.3	28.7%	138,736.3	30.3%	134,691.9	30.8%	136,533.2	31.4%	133,013.5	30.6%	129,271.0	30.8%	-2.8%	-4.9%
	Student Aid	12,188.2	2.6%	11,507.6	2.5%	10,494.1	2.4%	8,962.5	2.1%	9,370.7	2.2%	8,962.5	2.1%	-4.4%	-26.5%
	Travel	5,120.8	1.1%	5,560.0	1.2%	4,401.4	1.0%	4,634.9	1.1%	4,402.0	1.0%	4,070.8	1.0%	-7.5%	-20.5%
<b>Fairbanks Campus Total</b>		<b>279,637.3</b>	<b>59.0%</b>	<b>268,627.1</b>	<b>58.6%</b>	<b>261,092.8</b>	<b>59.6%</b>	<b>263,084.9</b>	<b>60.4%</b>	<b>258,047.9</b>	<b>59.4%</b>	<b>255,695.1</b>	<b>60.8%</b>	<b>-0.9%</b>	<b>-8.6%</b>
Interior Alaska Campus	Commodities	370.0	0.1%	270.3	0.1%	258.0	0.1%	168.9	0.0%	113.0	0.0%	121.1	0.0%	7.2%	-67.3%
	Contractual Services	596.7	0.1%	543.3	0.1%	594.1	0.1%	488.8	0.1%	362.9	0.1%	444.1	0.1%	22.4%	-25.6%
	Equipment		0.0%	11.0	0.0%	7.4	0.0%	25.2	0.0%		0.0%		0.0%	N/A	N/A
	Land/Buildings		0.0%	60.6	0.0%	149.8	0.0%	44.9	0.0%	61.0	0.0%	100.0	0.0%	63.9%	N/A
	Miscellaneous	(78.2)	0.0%	(106.2)	0.0%	32.9	0.0%	307.0	0.1%	(74.3)	0.0%	(51.7)	0.0%	-30.4%	-33.8%
	Salaries & Benefits	3,907.4	0.8%	3,565.4	0.8%	3,164.3	0.7%	2,825.2	0.6%	2,770.7	0.6%	2,837.4	0.7%	2.4%	-27.4%
	Student Aid	353.6	0.1%	336.6	0.1%	182.6	0.0%	175.9	0.0%	169.1	0.0%	169.1	0.0%	-3.9%	-52.2%
	Travel	600.5	0.1%	371.0	0.1%	346.2	0.1%	318.7	0.1%	275.8	0.1%	264.6	0.1%	-4.1%	-55.9%
<b>Interior Alaska Campus Total</b>		<b>5,750.0</b>	<b>1.2%</b>	<b>5,052.0</b>	<b>1.1%</b>	<b>4,735.4</b>	<b>1.1%</b>	<b>4,394.9</b>	<b>1.0%</b>	<b>3,684.9</b>	<b>0.8%</b>	<b>3,884.6</b>	<b>0.9%</b>	<b>5.4%</b>	<b>-32.4%</b>



















Expenditures by Vice Chancellor and Unit  
1.E.4

CABINET	UNIT	Expenditure Source	FY13		FY14		FY15		FY16		FY17		FY18		% Change FY17-18	% Change FY13-18	Note
			Expenditure	% of Total	Expenditure	% of Total	Expenditure	% of Total	Expenditure	% of Total	Expenditure	% of Total	Expenditure	% of Total			
VCR	VCR Development Programs & Projects	Commodities	370.4	0.1%	224.1	0.0%	267.4	0.1%	380.7	0.1%	255.0	0.1%	286.0	0.1%	12.1%	-22.8%	
		Contractual Services	472.7	0.1%	813.0	0.2%	598.8	0.1%	652.2	0.1%	451.4	0.1%	264.3	0.1%	-41.4%	-44.1%	
		Equipment	477.7	0.1%	52.0	0.0%	158.5	0.0%	29.9	0.0%	21.3	0.0%	5.4	0.0%	-74.7%	-98.9%	
		Land/Buildings		0.0%		0.0%	132.0	0.0%	39.0	0.0%		0.0%		0.0%	N/A	N/A	
		Miscellaneous	81.9	0.0%	(7.5)	0.0%	14.6	0.0%	138.5	0.0%	879.1	0.2%	142.5	0.0%	-83.8%	74.1%	
		Salaries & Benefits	2,161.5	0.5%	2,396.9	0.5%	2,511.2	0.6%	3,050.5	0.7%	2,923.7	0.7%	1,667.5	0.4%	-43.0%	-22.9%	
		Student Aid	15.2	0.0%	40.8	0.0%	39.5	0.0%	63.3	0.0%	128.7	0.0%	16.2	0.0%	-87.4%	6.7%	
		Travel	215.3	0.0%	225.9	0.0%	155.8	0.0%	260.9	0.1%	173.3	0.0%	103.4	0.0%	-40.4%	-52.0%	
		<b>VCR Development Programs &amp; Projects Total</b>	<b>3,794.7</b>	<b>0.8%</b>	<b>3,745.2</b>	<b>0.8%</b>	<b>3,877.9</b>	<b>0.9%</b>	<b>4,615.0</b>	<b>1.1%</b>	<b>4,832.5</b>	<b>1.1%</b>	<b>2,485.2</b>	<b>0.6%</b>	<b>-48.6%</b>	<b>-34.5%</b>	
<b>VCR Total</b>			<b>84,858.4</b>	<b>17.9%</b>	<b>80,275.0</b>	<b>17.5%</b>	<b>78,428.5</b>	<b>17.9%</b>	<b>77,808.4</b>	<b>17.9%</b>	<b>74,994.3</b>	<b>17.3%</b>	<b>73,135.0</b>	<b>17.4%</b>	<b>-2.5%</b>	<b>-13.8%</b>	
VCSA	UAF Student Services	Commodities	1,317.3	0.3%	1,317.4	0.3%	1,403.8	0.3%	1,147.6	0.3%	1,472.1	0.3%	1,292.8	0.3%	-12.2%	-1.9%	
		Contractual Services	4,917.6	1.0%	5,942.8	1.3%	6,224.6	1.4%	5,874.4	1.3%	6,188.9	1.4%	6,178.6	1.5%	-0.2%	25.6%	
		Equipment	43.5	0.0%	230.1	0.1%	10.5	0.0%	0.2	0.0%	13.9	0.0%	16.6	0.0%	19.4%	-61.9%	
		Land/Buildings	165.4	0.0%	82.9	0.0%	1,282.7	0.3%	55.8	0.0%	1,140.3	0.3%	467.5	0.1%	-59.0%	182.7%	
		Miscellaneous	1,870.0	0.4%	(70.0)	0.0%	176.1	0.0%	1,086.3	0.2%	942.2	0.2%	936.0	0.2%	-0.7%	-49.9%	
		Salaries & Benefits	10,215.2	2.2%	10,668.7	2.3%	10,044.4	2.3%	9,803.9	2.3%	10,250.0	2.4%	9,409.4	2.2%	-8.2%	-7.9%	
		Student Aid	8,024.0	1.7%	7,836.3	1.7%	7,409.5	1.7%	6,789.7	1.6%	6,487.2	1.5%	6,926.0	1.6%	6.8%	-13.7%	
		Travel	208.1	0.0%	208.5	0.0%	187.6	0.0%	139.6	0.0%	168.4	0.0%	140.2	0.0%	-16.7%	-32.6%	
		<b>UAF Student Services Total</b>	<b>26,761.0</b>	<b>5.6%</b>	<b>26,216.7</b>	<b>5.7%</b>	<b>26,739.2</b>	<b>6.1%</b>	<b>24,897.5</b>	<b>5.7%</b>	<b>26,663.1</b>	<b>6.1%</b>	<b>25,367.2</b>	<b>6.0%</b>	<b>-4.9%</b>	<b>-5.2%</b>	
	VCSA Admin & Central Support	Commodities	59.5	0.0%	32.8	0.0%	19.7	0.0%	35.1	0.0%	16.0	0.0%	41.6	0.0%	160.7%	-30.1%	
		Contractual Services	154.3	0.0%	155.5	0.0%	196.9	0.0%	143.0	0.0%	195.2	0.0%	161.3	0.0%	-17.4%	4.5%	
		Miscellaneous	14.7	0.0%	11.8	0.0%	9.2	0.0%	29.8	0.0%	(9.2)	0.0%	266.3	0.1%	-2994.2%	1709.3%	
		Salaries & Benefits	1,517.3	0.3%	1,169.0	0.3%	1,270.4	0.3%	1,227.7	0.3%	739.4	0.2%	836.4	0.2%	13.1%	-44.9%	
		Student Aid		0.0%		0.0%	7.1	0.0%		0.0%		0.0%		0.0%	N/A	N/A	
		Travel	60.6	0.0%	58.2	0.0%	64.1	0.0%	46.0	0.0%	42.4	0.0%	17.2	0.0%	-59.4%	-71.6%	
		<b>VCSA Admin &amp; Central Support Total</b>	<b>1,806.4</b>	<b>0.4%</b>	<b>1,427.3</b>	<b>0.3%</b>	<b>1,567.4</b>	<b>0.4%</b>	<b>1,481.6</b>	<b>0.3%</b>	<b>983.7</b>	<b>0.2%</b>	<b>1,322.8</b>	<b>0.3%</b>	<b>34.5%</b>	<b>-26.8%</b>	
	Intercollegiate Athletics	Commodities	376.2	0.1%	366.2	0.1%	374.7	0.1%	327.0	0.1%	344.9	0.1%	330.3	0.1%	-4.2%	-12.2%	
		Contractual Services	1,561.9	0.3%	1,519.9	0.3%	1,384.1	0.3%	1,308.9	0.3%	1,417.5	0.3%	911.9	0.2%	-35.7%	-41.6%	
		Miscellaneous	(9.5)	0.0%	(560.1)	-0.1%	(753.5)	-0.2%	(705.0)	-0.2%	(2,179.8)	-0.5%	(595.3)	-0.1%	-72.7%	6160.2%	
		Salaries & Benefits	2,423.8	0.5%	2,474.0	0.5%	2,318.4	0.5%	2,308.4	0.5%	2,344.5	0.5%	2,149.8	0.5%	-8.3%	-11.3%	
		Student Aid	701.6	0.1%	1,112.2	0.2%	1,443.9	0.3%	1,069.6	0.2%	1,023.3	0.2%	1,103.2	0.3%	7.8%	57.2%	
		Travel	1,349.7	0.3%	1,261.0	0.3%	1,052.7	0.2%	941.7	0.2%	995.8	0.2%	899.9	0.2%	-9.6%	-33.3%	
		<b>Intercollegiate Athletics Total</b>	<b>6,403.7</b>	<b>1.4%</b>	<b>6,173.2</b>	<b>1.3%</b>	<b>5,820.4</b>	<b>1.3%</b>	<b>5,250.7</b>	<b>1.2%</b>	<b>3,946.1</b>	<b>0.9%</b>	<b>4,799.8</b>	<b>1.1%</b>	<b>21.6%</b>	<b>-25.0%</b>	
<b>VCSA Total</b>			<b>34,971.1</b>	<b>7.4%</b>	<b>33,817.2</b>	<b>7.4%</b>	<b>34,127.0</b>	<b>7.8%</b>	<b>31,629.8</b>	<b>7.3%</b>	<b>31,593.0</b>	<b>7.3%</b>	<b>31,489.8</b>	<b>7.5%</b>	<b>-0.3%</b>	<b>-10.0%</b>	
<b>Grand Total</b>			<b>474,105.0</b>	<b>100.0%</b>	<b>458,615.9</b>	<b>100.0%</b>	<b>437,796.2</b>	<b>100.0%</b>	<b>435,376.1</b>	<b>100.0%</b>	<b>434,691.9</b>	<b>100.0%</b>	<b>420,226.7</b>	<b>100.0%</b>	<b>-3.3%</b>	<b>-11.4%</b>	

(1) In FY18 the School of Education transitioned to become a part of the College of Natural Science and Mathematics (CNSM), but will continue to be shown separately for comparison.

UAF Research Expenditures FY13-FY18 (\$000's)

1.F.1

	FY13	FY14	FY15	FY16	FY17	FY18
<b>1 Federal Research (including ICR)</b>  <i>Federal</i> grant-funded research expenditures including both direct research expenditures as well as indirect cost recovery (ICR) from <u>restricted</u> research grants spent on research and administrative support.	\$94,952.8	\$85,903.7	\$81,585.7	\$89,940.4	\$97,313.9	\$94,506.6
<b>2 Research (NCHEMS w/Capital Projects)</b>  External research funding, including <i>federal</i> grant-funded expenditures, <i>state</i> pass-throughs, and <i>state capital</i> research grants. This figure does <u>not</u> include <u>unrestricted</u> funds.	\$114,487.8	\$106,925.0	\$97,535.5	\$102,532.0	\$106,384.4	\$100,999.9
<b>3 UA Research Performance Definition</b>  Grant-funded operating research expenditures from <i>federal</i> , <i>state</i> , and <i>private</i> sources, including both direct research expenditures as well as Indirect Cost Recovery from <u>restricted</u> research grants spent on research and administrative support. This metric does <u>not</u> consider expenditures for non-research sponsored activities such as public service or outreach.	\$114,200.6	\$106,843.9	\$97,513.0	\$102,434.9	\$106,384.5	\$100,999.9
<b>4 Federal Research w/Public Service &amp; Instruction</b> a. w/o Financial Aid (scholarships) b. w/ Financial Aid (scholarships)  <i>Federal</i> grant-funded research expenditures including both direct research expenditures as well as indirect cost recovery (ICR) from restricted research grants spent on research and administrative support. This figure <u>includes</u> research dollars classified as public service or as Instruction.	\$103,487.7	\$101,520.8	\$93,509.0	\$100,177.4	\$119,718.1	\$111,092.9
	\$104,060.3	\$101,803.1	\$93,650.3	\$100,364.9	\$120,402.6	\$111,757.6
<b>5 Research (NCHEMS) - not including ARRA or capital funds</b>  Grant-funded operating research expenditures, including ICR, directly related to scientific and academic research, including both <u>restricted</u> and <u>unrestricted funds</u> from <i>federal</i> , <i>state</i> , and <i>private</i> sources.	\$126,936.2	\$123,886.6	\$118,504.9	\$127,118.6	\$141,412.1	\$132,096.2
<b>6 Research (NCHEMS) - including ARRA and capital funds</b>  Grant-funded operating research expenditures, including ICR, directly related to scientific and academic research, including both <u>restricted</u> , <u>unrestricted</u> and <u>capital funds</u> from <i>federal</i> , <i>state</i> , and <i>private</i> sources.	\$139,611.0	\$133,123.1	\$124,042.2	\$129,044.5	\$141,024.0	\$130,017.5
<b>7 Audited Financial Statements - Schedule of Expenditures of Federal Awards (SEFA)</b>  The research cluster reported on UA's schedule of expenditures for federal awards (SEFA) prepared in accordance with the requirements described in the U.S. Office of Management and Budget (OMB) Uniform Guidance.	\$132,599.4	\$107,540.1	\$100,096.6	\$89,046.2	\$103,741.6	\$97,668.5
<b>8 National Science Foundation (NSF) Survey</b>  The NSF Higher Education Research and Development (HERD) survey collects all research expenditures, including <i>federal</i> , <i>state</i> , and <i>private</i> sources. Unlike the other figures represented in this table, the NSF also reports <u>unrecovered</u> indirect costs. The NSF figure is the most inclusive definition of an institution's legitimate research capacity.	\$162,543.0	\$155,768.9	\$142,742.3	\$144,259.0	\$148,607.0	\$140,916.8

**FY18 Sources:**

- 1 - UA in Review, Table 5.06 (preliminary)
- 2 - UA in Review, Table 5.06 (preliminary)
- 3 - UA in Review, Table 5.06 (preliminary)
- 4 - UA FY19 Yellowbook - Fairbanks Organized Research Allocation (pg 54)
- 5 - UA FY19 Yellowbook - all University of Alaska Fairbanks (pg 48)
- 6 - FY18 UAF Financial Review, Appendix 1.D.1
- 7 - UA reported Schedule of Expenditures for Federal Awards (SEFA)
- 8 - UAF Planning, Analysis and Institutional Research

## UAF PROPOSALS AND AWARDS, FY18

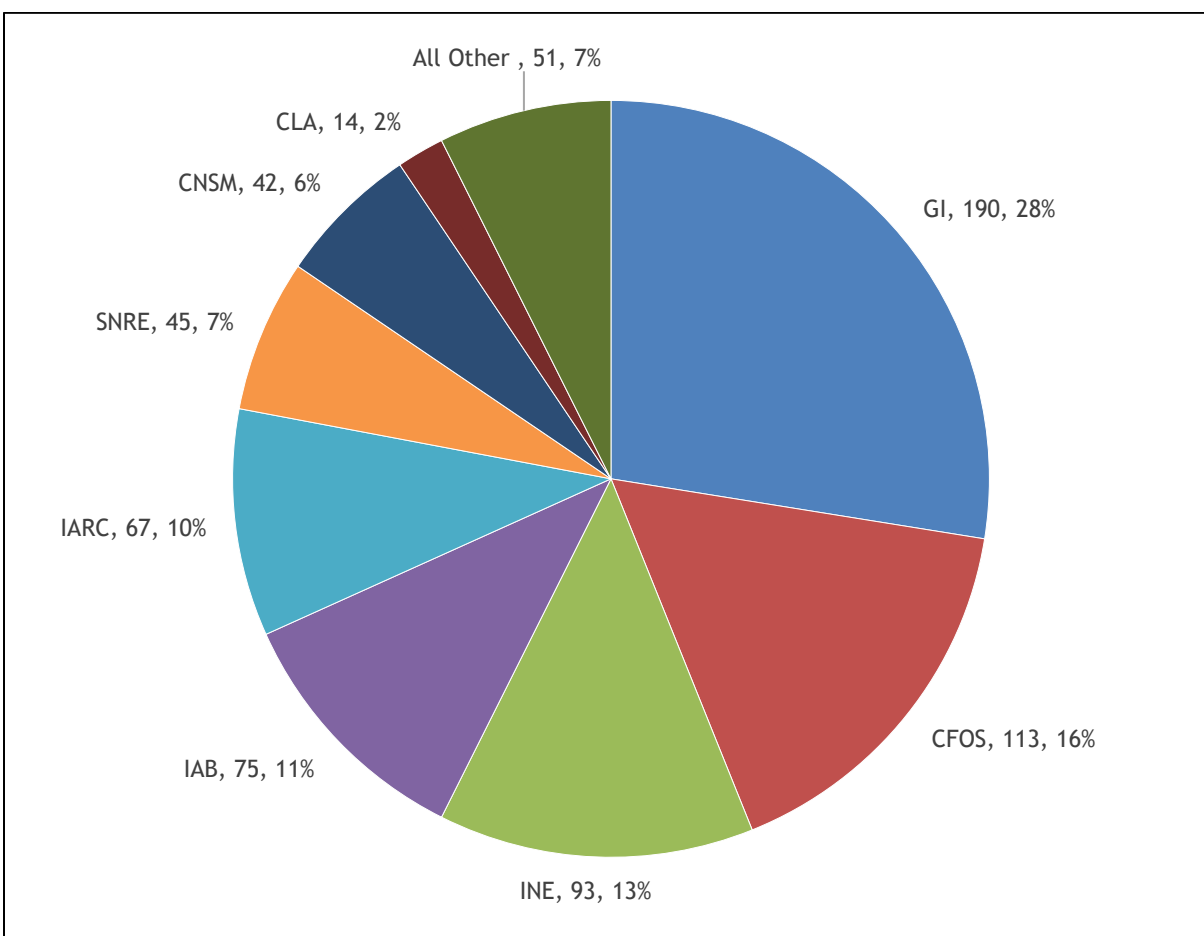
### Executive Summary:

Beginning in FY17, in order to better represent success rates, research reporting definitions were updated to assign proposals and award to the fiscal year in which the proposal was submitted. Due to this change, beginning in FY17, proposal and award amounts in prior fiscal years may increase in subsequent fiscal years as proposals are approved and awarded.

Award values in this report are a snapshot as of October 23, 2018.

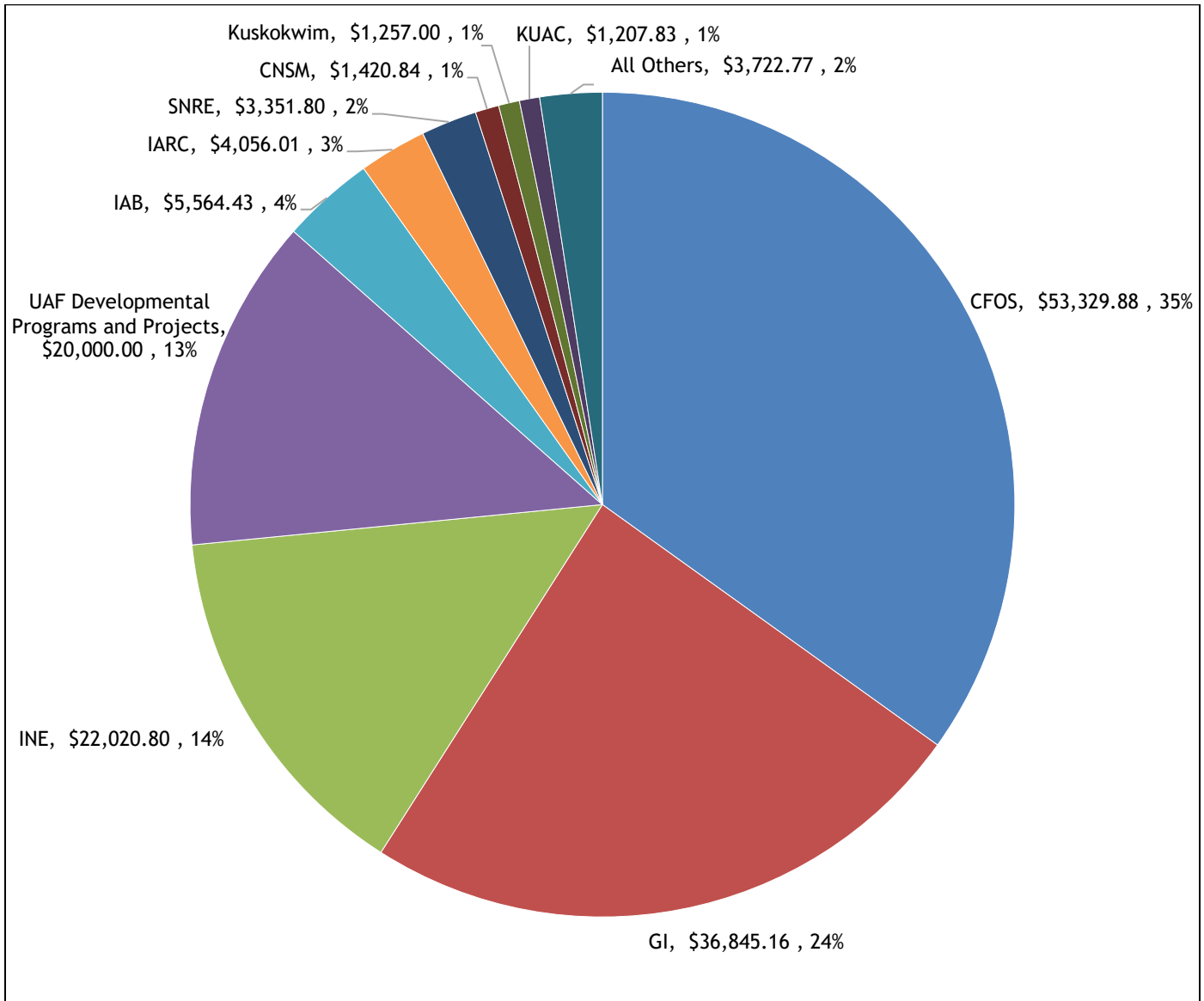
- UAF currently has a total of 690 approved proposals from FY18, a three percent increase at the time of this report. Note that the submitted number reflects data in Banner which relies on manual updates, and may increase once all data is updated.
- Currently, FY18 award values are 38 percent higher than FY17. As noted above, awards with FY18 will continue to increase in the coming months as proposals are processed and award. In FY18, the Geophysical Institute accounted for 28 percent of proposal submissions and 24 percent of awarded funds, while CFOS account for 16 percent of proposal submissions and 35 percent of awarded funds.

**Figure 1. FY18 UAF Proposal Volume by Unit, 690 Total (count)**





**Figure 2. FY18 UAF Award Values by Unit, \$152,776.5 (in thousands)**



## UAF FY18 Proposal and Award Summary

Units	2014	2015	2016	2017	2018
<b>KUAC FM-TV</b>					
Proposal Counts	6.0	6.0	6.0	8.0	8.0
Award Count	6.0	6.0	6.0	8.0	8.0
Award Values (thousands)	1,254.5	1,253.6	1,186.8	1,272.3	1,207.8
Avg Award Amount (thousands)	209.1	208.9	197.8	159.0	239.7
<b>UAF Bristol Bay Campus</b>					
Proposal Counts	3.0	2.0	7.0	3.0	1.0
Award Count	3.0	2.0	7.0	3.0	1.0
Award Values (thousands)	3,585.9	141.4	4,453.4	370.4	22.6
Avg Award Amount (thousands)	1,195.3	70.7	636.2	123.5	22.6
<b>UAF CEM Institute of Northern Engineering</b>					
Proposal Counts	119.0	128.0	128.0	108.0	93.0
Award Count	72.0	68.0	67.0	58.0	54.0
Award Values (thousands)	17,579.5	21,751.9	9,876.2	14,475.7	22,020.8
Avg Award Amount (thousands)	147.7	169.9	77.2	134.0	236.8
<b>UAF Chukchi Campus</b>					
Proposal Counts		1.0	1.0	3.0	1.0
Award Count		1.0	1.0	2.0	-
Award Values (thousands)		100.0	100.0	112.0	-
Avg Award Amount (thousands)		100.0	100.0	37.3	-
<b>UAF CNSM Natural Science and Mathematics</b>					
Proposal Counts	42.0	39.0	53.0	43.0	42.0
Award Count	18.0	17.0	20.0	17.0	12.0
Award Values (thousands)	2,815.6	2,905.4	1,856.8	4,117.9	1,420.8
Avg Award Amount (thousands)	67.0	74.5	35.0	95.8	33.8
<b>UAF College of Fisheries and Ocean Sciences</b>					
Proposal Counts	141.0	132.0	193.0	153.0	113.0
Award Count	63.0	61.0	65.0	56.0	48.0
Award Values (thousands)	22,301.5	36,681.9	14,679.5	17,334.7	53,329.9
Avg Award Amount (thousands)	158.2	277.9	76.1	113.3	472.0
<b>UAF College of Liberal Arts</b>					
Proposal Counts	18.0	17.0	16.0	12.0	14.0
Award Count	13.0	12.0	10.0	7.0	7.0
Award Values (thousands)	1,279.4	3,334.5	400.5	756.6	437.0
Avg Award Amount (thousands)	71.1	196.2	25.0	63.1	31.2
<b>UAF Community and Technical College</b>					
Proposal Counts	1.0	1.0	2.0	1.0	1.0
Award Count	1.0	1.0	2.0	1.0	1.0
Award Values (thousands)	120.0	100.0	278.0	100.0	70.0
Avg Award Amount (thousands)	120.0	100.0	139.0	100.0	70.0
<b>UAF Cooperative Extension Services</b>					
Proposal Counts	47.0	36.0	32.0	13.0	4.0
Award Count	45.0	34.0	30.0	12.0	3.0
Award Values (thousands)	5,611.6	2,704.6	3,871.1	1,835.7	560.1
Avg Award Amount (thousands)	119.4	75.1	121.0	141.2	140.0
<b>UAF Developmental Programs and Projects</b>					
Proposal Counts	2.0		1.0	1.0	2.0
Award Count	1.0		1.0	-	1.0
Award Values (thousands)	2.2		4.7	-	20,000.0
Avg Award Amount (thousands)	1.1		4.7	-	10,000.0

<b>UAF Enrollment Services</b>					
Proposal Counts	5.0		2.0		
Award Count	5.0		1.0		
Award Values (thousands)	7,883.4		5.0		
Avg Award Amount (thousands)	1,576.7		2.5		
<b>UAF Geophysical Institute</b>					
Proposal Counts	176.0	171.0	166.0	205.0	190.0
Award Count	79.0	62.0	57.0	92.0	84.0
Award Values (thousands)	76,807.5	33,936.2	24,335.5	50,318.3	36,845.2
Avg Award Amount (thousands)	436.4	198.5	146.6	245.5	193.9
<b>UAF Health Safety Fire and Risk Management</b>					
Proposal Counts	2.0	3.0	2.0	1.0	1.0
Award Count	2.0	3.0	-	1.0	1.0
Award Values (thousands)	11.4	9,416.8	-	744.0	39.0
Avg Award Amount (thousands)	5.7	3,138.9	-	744.0	39.0
<b>UAF Institute of Arctic Biology</b>					
Proposal Counts	108.0	106.0	106.0	94.0	75.0
Award Count	50.0	37.0	48.0	35.0	33.0
Award Values (thousands)	14,924.6	10,664.1	38,477.2	12,473.0	5,564.4
Avg Award Amount (thousands)	138.2	100.6	363.0	132.7	74.2
<b>UAF Interior Alaska Campus</b>					
Proposal Counts	4.0	6.0	6.0	2.0	5.0
Award Count	4.0	5.0	5.0	2.0	5.0
Award Values (thousands)	572.7	7,680.6	617.1	325.5	389.5
Avg Award Amount (thousands)	143.2	1,280.1	102.9	162.7	77.9
<b>UAF International Arctic Research Center</b>					
Proposal Counts	78.0	73.0	96.0	69.0	67.0
Award Count	37.0	34.0	48.0	32.0	31.0
Award Values (thousands)	7,897.7	12,060.8	16,221.4	16,732.8	4,056.0
Avg Award Amount (thousands)	101.3	165.2	169.0	242.5	60.5
<b>UAF Kuskokwim Campus</b>					
Proposal Counts	5.0	4.0	4.0	5.0	3.0
Award Count	4.0	4.0	3.0	4.0	3.0
Award Values (thousands)	355.2	78.4	324.6	366.9	1,257.0
Avg Award Amount (thousands)	71.0	19.6	81.2	73.4	419.0
<b>UAF Northwest Campus</b>					
Proposal Counts	1.0		1.0	1.0	
Award Count	1.0		-	1.0	
Award Values (thousands)	7,451.1		-	2,975.4	
Avg Award Amount (thousands)	7,451.1		-	2,975.4	
<b>UAF Office Information Technology</b>					
Proposal Counts	2.0	1.0	1.0	2.0	1.0
Award Count	2.0	1.0	1.0	2.0	1.0
Award Values (thousands)	294.7	212.6	174.0	212.8	109.7
Avg Award Amount (thousands)	147.3	212.6	174.0	106.4	109.7
<b>UAF Office of the Provost</b>					
Proposal Counts	3.0	2.0	1.0	4.0	
Award Count	2.0	2.0	-	2.0	
Award Values (thousands)	11,991.1	1,000.4	-	5,883.6	
Avg Award Amount (thousands)	3,997.0	500.2	-	1,470.9	
<b>UAF Rasmuson Library</b>					
Proposal Counts	8.0	7.0	7.0	8.0	3.0
Award Count	7.0	4.0	4.0	6.0	1.0
Award Values (thousands)	682.5	168.8	272.8	456.4	18.6
Avg Award Amount (thousands)	85.3	24.1	39.0	57.1	6.2

<b>UAF Rural College</b>					
Proposal Counts	3.0	4.0	5.0	1.0	3.0
Award Count	3.0	4.0	4.0	1.0	2.0
Award Values (thousands)	4,759.0	728.1	384.2	43.5	115.0
Avg Award Amount (thousands)	1,586.3	182.0	76.8	43.5	38.3
<b>UAF School of Education</b>					
Proposal Counts		3.0	6.0	5.0	1.0
Award Count		2.0	5.0	4.0	-
Award Values (thousands)		975.0	387.9	2,802.7	-
Avg Award Amount (thousands)		325.0	64.7	560.5	-
<b>UAF School of Management</b>					
Proposal Counts	4.0	5.0	6.0	5.0	2.0
Award Count	4.0	3.0	4.0	3.0	2.0
Award Values (thousands)	341.4	387.9	68.3	502.5	36.4
Avg Award Amount (thousands)	85.3	77.6	11.4	100.5	18.2
<b>UAF School of Natural Resources and Extension</b>					
Proposal Counts	29.0	26.0	29.0	49.0	45.0
Award Count	20.0	12.0	22.0	31.0	26.0
Award Values (thousands)	2,397.5	1,947.8	3,124.6	3,791.9	3,351.8
Avg Award Amount (thousands)	82.7	74.9	107.8	77.4	74.5
<b>UAF Summer Sessions and Lifelong Learning</b>					
Proposal Counts		1.0			
Award Count		1.0			
Award Values (thousands)		34.0			
Avg Award Amount (thousands)		34.0			
<b>UAF Univ &amp; Student Advancement Central Support</b>					
Proposal Counts		1.0			
Award Count		1.0			
Award Values (thousands)		10.0			
Avg Award Amount (thousands)		10.0			
<b>UAF VC Administrative Services</b>					
Proposal Counts	1.0				
Award Count	1.0				
Award Values (thousands)	1.2				
Avg Award Amount (thousands)	1.2				
<b>UAF VC Research</b>					
Proposal Counts	2.0	1.0	1.0	6.0	4.0
Award Count	1.0	1.0	1.0	4.0	1.0
Award Values (thousands)	12.0	40.0	32.2	157.4	1,000.0
Avg Award Amount (thousands)	6.0	40.0	32.2	26.2	250.0
<b>University of Alaska Museum of the North</b>					
Proposal Counts	21.0	17.0	14.0	21.0	11.0
Award Count	17.0	14.0	10.0	12.0	9.0
Award Values (thousands)	1,271.6	820.5	697.2	1,033.1	925.0
Avg Award Amount (thousands)	60.6	48.3	49.8	49.2	84.1
<b>Total Proposal Counts</b>	<b>831.0</b>	<b>793.0</b>	<b>892.0</b>	<b>823.0</b>	<b>690.0</b>
<b>Total Award Count</b>	<b>461.0</b>	<b>392.0</b>	<b>422.0</b>	<b>396.0</b>	<b>334.0</b>
<b>Total Award Values (thousands)</b>	<b>192,204.6</b>	<b>149,135.0</b>	<b>121,828.9</b>	<b>139,195.1</b>	<b>152,776.5</b>
<b>Total Avg Award Amount (thousands)</b>	<b>18,064.2</b>	<b>7,704.8</b>	<b>2,832.5</b>	<b>8,035.1</b>	<b>12,691.6</b>



FY14-18 Recharge Operations  
3.A.2

	Alaska Stable Isotope Facility	SFOS Ocean Acidification RC	SFOS R/V Alpha Helix Recharge	SFOS ASLC Analytic Services	SFOS UAV Gliders Recharge	R/V Sikuliaq Recharge Center	CLA FRAME Recharge Center	CNSM Advance Instrument Lab	Real Estate Mgmt	Physical Plant Maint & Ops	Utilities	Warehouse	FS Transportation Services	Design & Construction	Polar Express Office
<b>FY14</b>															
Beg Fund Balance	233.2		65.5	(0.1)				(6.8)	64.3	301.4	2,307.8	121.1	584.0	2,819.0	(4.4)
Revenue	305.7		-	15.0				198.6	216.3	7,211.9	4,336.9	5,812.8	2,164.5	4,898.4	-
Expenditures	235.3		-	11.9				358.3	222.0	7,345.6	17,861.3	5,731.5	1,751.6	5,857.3	-
Net Operations	70.3	-	-	3.1	-	-	-	(159.7)	(5.7)	(133.7)	(13,524.4)	81.3	412.9	(959.0)	-
Transfers	-	-	-	-	-	-	-	(101.0)	0.0	256.3	(14,636.6)	12.4	72.4	33.7	-
End Fund Balance	303.5		65.5	3.0				(65.6)	58.6	(88.7)	3,419.9	190.0	924.5	1,826.3	(4.4)
Depr Reserve Fund Bal	37.9		-	33.8				67.9	-	128.9	1,652.7	6.7	1,409.4	37.5	-
Total Fund Balance	341.4	-	65.5	36.8	-	-	-	2.3	58.6	40.3	5,072.7	196.7	2,333.9	1,863.8	(4.4)
<b>FY15</b>															
Beg Fund Balance	303.5		65.5	3.0		-		(65.6)	58.6	(88.7)	3,419.9	190.0	924.5	1,826.3	(4.4)
Revenue	369.0		-	52.3		2,592.4		230.8	153.4	7,412.6	4,141.5	5,805.4	1,741.1	5,387.2	1.4
Expenditures	244.0		-	47.9		1,680.4		316.0	154.5	7,225.1	16,816.7	5,776.8	1,820.0	5,253.1	-
Net Operations	124.9	-	-	4.4	-	912.0	-	(85.2)	(1.1)	187.5	(12,675.2)	28.6	(78.9)	134.1	1.4
Transfers	-	-	-	-	-	-	-	(111.3)	-	103.6	(15,265.4)	7.0	82.1	(23.1)	-
End Fund Balance	428.5		65.5	7.4		912.0		(39.5)	57.5	(4.8)	6,010.1	211.6	763.5	1,983.4	(3.0)
Depr Reserve Fund Bal	68.5		-	12.6		-		106.9	-	110.3	1,837.4	6.7	1,493.6	43.1	-
Total Fund Balance	497.0	-	65.5	20.0	-	912.0	-	67.4	57.5	105.5	7,847.5	218.3	2,257.1	2,026.6	(3.0)
<b>FY16</b>															
Beg Fund Balance	428.5	-	65.5	7.4	-	912.0	-	(39.5)	57.5	(4.8)	6,010.1	211.6	763.5	1,983.4	(3.0)
Revenue	285.8	57.9	-	52.6	-	3,676.5	10.6	228.6	125.5	7,309.3	3,703.5	5,480.5	1,513.5	4,818.4	-
Expenditures	232.5	1.7	-	57.7	49.8	8,445.0	-	131.6	55.0	6,888.9	16,494.0	5,538.0	1,565.5	4,552.7	-
Net Operations	53.2	56.2	-	(5.1)	(49.8)	(4,768.5)	10.6	97.0	70.4	420.5	(12,790.4)	(57.5)	(51.9)	265.6	-
Transfers	-	-	-	-	-	-	-	-	-	236.0	(12,256.3)	12.0	50.3	(1.6)	-
End Fund Balance	481.7	56.2	65.5	2.3	(49.8)	(3,856.5)	10.6	57.6	127.9	179.7	5,476.0	142.2	661.2	2,250.7	(3.0)
Depr Reserve Fund Bal	41.7	-	-	15.8	-	-	-	73.6	-	112.6	1,928.1	6.7	1,163.8	52.4	-
Total Fund Balance	523.3	56.2	65.5	18.2	(49.8)	(3,856.5)	10.6	131.2	127.9	292.3	7,404.1	148.8	1,825.0	2,303.1	(3.0)
<b>FY17</b>															
Beg Fund Balance	481.7	56.2	65.5	2.3	(49.8)	(3,856.5)	10.6	57.6	127.9	179.7	5,476.0	142.2	661.2	2,250.7	(3.0)
Revenue	293.0	104.2	-	58.6	-	15,595.0	40.4	224.5	78.0	7,288.2	3,794.1	9,477.8	1,529.9	5,593.1	-
Expenditures	338.5	89.7	-	56.1	49.8	10,743.0	36.8	336.3	37.2	7,099.6	17,976.0	9,556.6	1,474.5	4,749.9	0.2
Net Operations	(45.6)	14.5	-	2.6	(49.8)	4,851.9	3.6	(111.8)	40.8	188.6	(14,181.9)	(78.8)	55.4	843.2	(0.2)
Transfers	-	-	-	-	-	-	(25.5)	(50.0)	-	246.3	(14,276.2)	24.3	49.4	(0.4)	-
End Fund Balance	436.1	70.7	65.5	4.9	(99.6)	995.4	39.7	(4.3)	168.7	122.0	5,570.3	39.0	667.2	3,094.2	(3.2)
Depr Reserve Fund Bal	(381.1)	-	-	19.1	-	(15.1)	-	156.5	-	108.5	1,929.7	6.7	1,038.5	59.8	-
Total Fund Balance	55.1	70.7	65.5	24.0	(99.6)	980.3	39.7	152.3	168.7	230.5	7,500.0	45.7	1,705.8	3,154.0	(3.2)
<b>FY18</b>															
Beg Fund Balance	436.1	70.7	65.5	4.9	(99.6)	995.4	39.7	(4.3)	168.7	122.0	5,570.3	39.0	667.2	3,094.2	(3.2)
Revenue	294.3	90.3	-	44.2	-	10,258.3	26.2	198.2	40.1	7,088.7	3,480.2	10,415.3	1,591.6	3,707.6	-
Expenditures	423.4	90.1	-	55.5	-	11,295.2	22.7	249.5	34.2	6,675.4	19,548.3	10,409.5	1,464.0	4,288.9	-
Net Operations	(129.0)	0.2	-	(11.3)	-	(1,036.8)	3.5	(51.3)	5.9	413.3	(16,068.0)	5.8	127.6	(581.3)	-
Transfers	-	-	-	-	-	-	-	-	-	272.1	(14,101.7)	41.7	30.4	-	-
End Fund Balance	307.1	70.9	65.5	(6.4)	(99.6)	(41.4)	43.2	(55.6)	174.6	263.3	3,604.0	3.2	764.4	2,512.9	(3.2)
Depr Reserve Fund Bal	(246.2)	-	-	22.4	-	(15.1)	-	156.5	-	105.0	1,892.8	6.7	1,140.0	52.7	-
Total Fund Balance	60.9	70.9	65.5	15.9	(99.6)	(56.5)	43.2	101.0	174.6	368.3	5,496.8	9.9	1,904.4	2,565.7	(3.2)

FY14-18 Recharge Operations  
3.A.2

	GI Machine Shop	GI Electronic Shop	IAB Toolik Field Station Recharge	IARC Nutrient Analytical Center	UAMN Specimen Repository	Copy Pool Recharge	OIT Telephone Services	OIT Server Support	OIT Software Application Services	VCR Animal Resources Center	Time & Materials Centers	Closed Recharge Centers	Total
<b>FY14</b>													
Beg Fund Balance	67.3	5.8	(160.4)			(110.2)	238.3	38.2	(0.1)	(46.9)	145.6	(289.2)	6,373.3
Revenue	384.4	246.6	642.8			302.3	2,336.8	128.6	-	192.7	442.3	780.2	30,616.5
Expenditures	404.3	351.3	657.1			271.3	2,839.9	117.7	(0.0)	188.8	413.6	893.9	45,512.7
Net Operations	(19.9)	(104.7)	(14.3)	-	-	31.0	(503.1)	10.9	0.0	3.9	28.7	(113.7)	(14,896.2)
Transfers	(200.0)	(100.0)	(6.7)			-	(166.8)	-	-	(62.6)	167.2	0.3	(14,731.3)
End Fund Balance	247.4	1.0	(167.9)			(79.2)	(97.9)	49.1	(0.1)	19.5	7.0	(403.2)	6,208.4
Depr Reserve Fund Bal	13.9	0.4	49.4			14.7	60.8	18.6	(0.1)	(15.8)	-	158.2	3,675.0
<b>Total Fund Balance</b>	<b>261.3</b>	<b>1.4</b>	<b>(118.6)</b>	<b>-</b>	<b>-</b>	<b>(64.5)</b>	<b>(37.1)</b>	<b>67.7</b>	<b>(0.2)</b>	<b>3.7</b>	<b>7.0</b>	<b>(245.0)</b>	<b>9,883.4</b>
<b>FY15</b>													
Beg Fund Balance	247.4	1.0	(167.9)			(79.2)	(97.9)	49.1	(0.1)	19.5	7.0	(403.2)	6,208.4
Revenue	453.4	158.2	624.4			309.5	3,045.1	125.6	-	90.1	462.3	377.6	33,533.0
Expenditures	393.7	253.7	678.5			218.6	2,947.4	97.2	-	111.0	309.8	375.5	44,719.9
Net Operations	59.7	(95.5)	(54.1)	-	-	90.9	97.6	28.5	-	(21.0)	152.5	2.1	(11,186.9)
Transfers	-	(150.0)	-			-	(425.0)	-	-	(8.2)	(84.6)	(399.3)	(16,274.2)
End Fund Balance	307.1	55.5	(222.0)			11.7	424.7	77.6	(0.1)	6.7	244.1	(1.8)	11,295.7
Depr Reserve Fund Bal	17.5	0.4	58.4			14.7	59.0	24.8	(0.1)	(13.4)	-	(2.0)	3,838.4
<b>Total Fund Balance</b>	<b>324.6</b>	<b>55.9</b>	<b>(163.7)</b>	<b>-</b>	<b>-</b>	<b>26.4</b>	<b>483.6</b>	<b>102.4</b>	<b>(0.2)</b>	<b>(6.6)</b>	<b>244.1</b>	<b>(3.8)</b>	<b>15,134.0</b>
<b>FY16</b>													
Beg Fund Balance	307.1	55.5	(222.0)			11.7	424.7	77.6	(0.1)	6.7	244.1	(1.8)	11,295.7
Revenue	291.8	51.3	740.6			298.8	2,426.5	104.6	-	127.9	565.6	(1.5)	31,868.3
Expenditures	420.9	148.1	767.8			266.4	2,511.5	87.0	-	147.7	474.1	(0.0)	48,835.9
Net Operations	(129.1)	(96.8)	(27.2)	-	-	32.3	(85.0)	17.6	-	(19.9)	91.5	(1.5)	(16,967.7)
Transfers	-	(150.0)	-			(55.0)	-	-	-	-	55.4	(5.2)	(12,114.5)
End Fund Balance	177.9	108.8	(249.2)			99.0	339.6	95.2	(0.1)	(13.1)	280.3	1.9	6,442.5
Depr Reserve Fund Bal	17.6	0.4	68.5			14.4	399.9	29.0	(0.1)	(9.4)	-	(2.0)	3,913.0
<b>Total Fund Balance</b>	<b>195.6</b>	<b>109.2</b>	<b>(180.8)</b>	<b>-</b>	<b>-</b>	<b>113.4</b>	<b>739.5</b>	<b>124.2</b>	<b>(0.2)</b>	<b>(22.6)</b>	<b>280.3</b>	<b>(0.1)</b>	<b>10,355.5</b>
<b>FY17</b>													
Beg Fund Balance	177.9	108.8	(249.2)			99.0	339.6	95.2	(0.1)	(13.1)	280.3	1.9	6,442.5
Revenue	244.5	95.9	660.1			292.5	1,598.5	96.0	-	215.9	482.8	-	47,763.0
Expenditures	496.7	133.7	684.8			234.3	2,331.4	98.4	-	214.4	448.2	2.2	57,188.4
Net Operations	(252.2)	(37.8)	(24.7)	-	-	58.2	(732.9)	(2.4)	-	1.6	34.5	(2.2)	(9,425.5)
Transfers	(300.0)	(150.0)	-			(6.7)	(280.0)	-	-	-	36.8	(0.3)	(14,732.2)
End Fund Balance	225.8	221.0	(274.0)			163.9	(113.3)	92.8	(0.1)	(11.6)	278.0	-	11,749.2
Depr Reserve Fund Bal	-	-	76.9			22.3	1,175.9	30.1	(0.1)	(5.6)	-	(2.0)	4,220.2
<b>Total Fund Balance</b>	<b>225.8</b>	<b>221.0</b>	<b>(197.1)</b>	<b>-</b>	<b>-</b>	<b>186.3</b>	<b>1,062.6</b>	<b>122.8</b>	<b>(0.2)</b>	<b>(17.2)</b>	<b>278.0</b>	<b>(2.0)</b>	<b>15,969.4</b>
<b>FY18</b>													
Beg Fund Balance	225.8	221.0	(274.0)	-	-	163.9	(113.3)	92.8	(0.1)	(11.6)	278.0	-	11,749.2
Revenue	256.5	109.4	819.1	19.4	9.6	246.6	2,232.8	92.5	-	139.9	589.2	-	41,750.2
Expenditures	388.7	216.9	832.0	20.2	-	186.2	1,763.4	100.6	-	148.7	489.9	-	58,703.2
Net Operations	(132.2)	(107.5)	(12.8)	(0.8)	9.6	60.4	469.4	(8.1)	-	(8.8)	99.3	-	(16,953.0)
Transfers	(200.0)	(100.0)	-	-	-	-	(240.0)	-	-	-	72.8	-	(14,224.8)
End Fund Balance	293.6	213.4	(286.8)	(0.8)	9.6	224.3	596.2	84.7	(0.1)	(20.4)	304.5	-	9,021.0
Depr Reserve Fund Bal	-	-	58.0	-	-	36.2	839.1	30.1	(0.1)	(2.5)	-	(2.0)	4,073.8
<b>Total Fund Balance</b>	<b>293.6</b>	<b>213.4</b>	<b>(228.8)</b>	<b>(0.8)</b>	<b>9.6</b>	<b>260.5</b>	<b>1,435.3</b>	<b>114.7</b>	<b>(0.2)</b>	<b>(22.9)</b>	<b>304.5</b>	<b>(2.0)</b>	<b>13,094.8</b>

**FY14-18 Enterprise Operations  
3.A.3**

	CEM ACEP Test Facility	CEM MIRL Recharge Center	CNSM Veterinary Med Service Ctr	GI Chaparral Physics Center	GI Alaska Satellite Facility	GI HAARP Recharge Center	Closed Enterprise Centers	Total
<b>FY14</b>								
Beg Fund Balance	(73.5)			273.7	1,211.1		(3.7)	1,407.6
Revenue	500.1			346.6	525.3		0.6	1,372.6
Expenditures	557.4			320.3	354.8		-	1,232.6
Net Operations	(57.3)	-	-	26.2	170.4	-	0.6	140.0
Transfers	-			-	(27.3)		(3.1)	(30.4)
End Fund Balance	(130.8)			299.9	1,408.8		-	1,577.9
Depr Reserve Fund Bal	(485.0)			17.8	(456.7)		-	(923.9)
<b>Total Fund Balance</b>	<b>(615.8)</b>	<b>-</b>	<b>-</b>	<b>317.8</b>	<b>952.1</b>	<b>-</b>	<b>-</b>	<b>654.1</b>
<b>FY15</b>								
Beg Fund Balance	(130.8)			299.9	1,408.8			1,577.9
Revenue	136.1			291.0	97.0			524.2
Expenditures	750.4			340.6	330.7			1,421.7
Net Operations	(614.3)	-	-	(49.5)	(233.7)	-	-	(897.5)
Transfers	(20.0)			150.0	170.0			300.0
End Fund Balance	(725.1)			100.4	1,005.2			380.5
Depr Reserve Fund Bal	(6.6)			23.1	(490.7)			(474.2)
<b>Total Fund Balance</b>	<b>(731.7)</b>	<b>-</b>	<b>-</b>	<b>123.5</b>	<b>514.5</b>	<b>-</b>	<b>-</b>	<b>(93.7)</b>
<b>FY16</b>								
Beg Fund Balance	(725.1)			100.4	1,005.2			380.5
Revenue	449.1			178.6	258.8			886.5
Expenditures	418.1			348.6	247.5			1,014.2
Net Operations	31.0	-	-	(170.1)	11.4	-	-	(127.7)
Transfers	-			1.5	74.3			75.8
End Fund Balance	(694.1)			(71.2)	942.3			177.0
Depr Reserve Fund Bal	(124.1)			27.2	(411.8)			(508.7)
<b>Total Fund Balance</b>	<b>(818.1)</b>	<b>-</b>	<b>-</b>	<b>(44.0)</b>	<b>530.4</b>	<b>-</b>	<b>-</b>	<b>(331.7)</b>
<b>FY17</b>								
Beg Fund Balance	(694.1)			(71.2)	942.3	-		177.0
Revenue	224.5			276.7	171.1	3.1		675.4
Expenditures	298.7			666.1	318.1	1,623.9		2,906.8
Net Operations	(74.2)	-	-	(389.5)	(147.0)	(1,620.8)	-	(2,231.4)
Transfers	-			-	170.0	(332.1)		(162.1)
End Fund Balance	(768.2)			(460.6)	625.3	(1,288.8)		(1,892.3)
Depr Reserve Fund Bal	(71.2)			(18.1)	(356.9)	-		(446.1)
<b>Total Fund Balance</b>	<b>(839.4)</b>	<b>-</b>	<b>-</b>	<b>(478.7)</b>	<b>268.4</b>	<b>(1,288.8)</b>	<b>-</b>	<b>(2,338.4)</b>
<b>FY18</b>								
Beg Fund Balance	(768.2)	-	-	(460.6)	625.3	(1,288.8)		(1,892.3)
Revenue	283.6	16.0	8.5	589.5	164.7	289.6		1,351.9
Expenditures	331.9	18.2	3.0	693.4	252.6	1,723.7		3,022.7
Net Operations	(48.3)	(2.2)	5.5	(103.9)	(87.8)	(1,434.0)	-	(1,670.7)
Transfers	(50.0)	-	-	(150.0)	173.4	(356.3)		(382.9)
End Fund Balance	(766.5)	(2.2)	5.5	(414.5)	364.0	(2,366.5)		(3,180.1)
Depr Reserve Fund Bal	(71.2)	-	-	(15.3)	(303.5)	(30.1)		(420.1)
<b>Total Fund Balance</b>	<b>(837.7)</b>	<b>(2.2)</b>	<b>5.5</b>	<b>(429.8)</b>	<b>60.5</b>	<b>(2,396.6)</b>	<b>-</b>	<b>(3,600.3)</b>



December 16, 2016

To: UAF Employees and Students  
From: Interim Chancellor Dana Thomas  
RE: FY18 Budget Update

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Yesterday afternoon, Gov. Bill Walker released his state budget proposal for fiscal year 2018.

The proposal includes about \$325 million for the University of Alaska system, which is the same funding level as our current fiscal year. In this difficult fiscal climate, we appreciate the governor's recognition of the importance of Alaska's higher education system in his budget proposal.

The governor's budget now goes to the Legislature for consideration during their regular session, which begins January 17, 2017.

As many of you already know, flat state funding translates to a reduction in the services or programs we can offer. That's because our fixed costs—for things like utilities, debt payments, benefits and facility maintenance and repair—increase each year. In addition, as noted in President Johnsen's budget message, the Regents have identified high-priority areas for investment that will require reallocations. Therefore, for UAF, even flat funding from the legislature could mean spending reductions in excess of \$10 million next year.

We know that a lot of things can change during the legislative session, and our legislators this year have a big challenge ahead as they consider how to balance the state's budget. After the first of the year, UAF leaders will begin working to shape our budget plans for next year, using the governor's budget proposal as a guide. We will be sharing information with you as it becomes available, so please keep an eye on your emails and Cornerstone newsletters over the course of the coming months. It's important for all of us to be as informed as possible.

As in past years, your input is important. There will be a variety of ways you can share your ideas and feedback on the budget at campus forums, in writing and in public testimony. UAF leadership will work with our public and government relations team to ensure we have a coordinated advocacy effort. I took careful note of your comments at the revenue forum earlier this year. Another way to weigh in now is the UAF Office of Management and Budget's online form. If you have an idea or something you would like us to consider in our budget planning, please feel free to share those thoughts at <http://bit.ly/ombfeedback>.

I hope you all have a restful holiday break. The coming year will demand creativity, diligence and careful consideration from all of us as we work together to manage another challenging budget cycle. I am confident we can rise to that challenge.

February 3, 2017

## FY18 Budget update

This week, university leaders received preliminary targets for the FY2018 budget.

Our state continues to face decreased revenue as a result of low oil prices. Gov. Bill Walker's proposed budget includes \$325 million for the University of Alaska, which is the same funding level as our current fiscal year. We were pleased that the governor recognized the value of higher education in his proposal and are hopeful that the Legislature will do the same in their budget deliberations.

However, even if we do receive flat funding, UAF will still need to reduce its spending next year, because the costs of doing business increases each year. We anticipate that units will need to trim their general fund budgets by about 5 to 8 percent.

It's important to remember that the Legislature has just started its work. It will be several months before we have solid state funding numbers. In the meantime, these preliminary targets will allow units throughout UAF to begin planning for next year.

Many of you will have the chance to offer input as part of your unit-level budget planning. In addition, if you want to share your input with the UAF leadership team, don't hesitate to do so via our online form: <http://bit.ly/ombfeedback>. We read and consider each suggestion. If you want to sign up to receive legislative updates from the university's government relations team, you can do so by visiting [www.alaska.edu/state/report/](http://www.alaska.edu/state/report/).

— Dana Thomas, UAF chancellor



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**MEMORANDUM**

**DATE:** June 30, 2017

**TO:** Dana Thomas, Interim Chancellor  
 Kari Burrell, Executive Officer & Vice Chancellor Administrative Services

**FROM:** Julie M. Queen, Associate Vice Chancellor for Financial Services *JMQueen*

**RE:** FY18 Strategic Reinvestments:  
 \$1M Chancellor Reallocation & Central UFB Commitments

Please allow this memo to serve as record of the FY18 Chancellor’s strategic investment decisions. UAF leadership recognized the need for continued investments in areas of strategic importance and institutional growth opportunities, especially in challenging budgetary times. As part of the plan developed to address the budget gap, UAF included funding to allow for a managed level of strategic investment.

The FY18 Chancellor’s distribution considers prior commitments, items included in UAF’s budget request that were not funded by the State of Alaska, issues identified by the Chancellor’s Core Cabinet, and alignment with UA Strategic Initiatives (e.g. enrollment, workforce development and online programs, research, and process automation).

The Office of Finance & Accounting (OFA) will distribute or set up the funding for the investments noted below. If you have questions, please do not hesitate to contact me.

For FY18, UAF will internally invest central base funding in the following categories:

Enrollment:	\$610,000
Workforce Development & Online Program:	\$100,000
Research (excluding \$1.4M in Statewide funds):	\$230,000
<u>Chancellor’s Office core operations:</u>	<u>\$60,000</u>
Total Chancellor’s Strategic (Base) Investment:	\$1,000,000

Statewide and the UA Board of Regents have also directed UAF to allocate unreserved fund balance (UFB) in FY18 to UA Strategic Initiatives. Some units will be committing funds to these areas. In addition, the Chancellor’s Core Cabinet has agreed to allocate central UFB as follows:

Digital Marketing:	\$200,000
Traditional Marketing:	\$100,000
Moving Additional Degree Programs Online:	\$150,000
<u>Research Equipment &amp; Match Needs (R&amp;R):</u>	<u>\$500,000</u>
Total Central UFB Commitments to UA Initiatives:	\$950,000

UAF Strategic Investments: \$1M Chancellor's Reallocation & Central UFB Commitments

VC Level	Initiative	Unit	Description	Central Funding Type	
				Base (Ongoing)	FY17 UFB (1x)
VC Student Services	Enrollment	Admissions & Registrar	Admissions Recruiter	\$90,000	\$0
TBD	Enrollment	TBD	Military/Veteran Coordination	\$90,000	\$0
Provost	Enrollment	College of Natural Sciences & Mathematics	One Health Program Development & Delivery	\$40,000	\$0
Provost	Enrollment	Planning, Analysis & Institutional Research	Microtargeting & Scholarship Analyst	\$90,000	\$0
VC Student Services	Enrollment	Financial Aid	Backfill Support	\$65,000	\$0
VC Student Services	Enrollment	Admissions & Registrar	Backfill Support	\$200,000	\$0
VC Student Services/Provost	Enrollment	Dean of Students	Backfill Support	\$35,000	\$0
VC RCNE	WFD & Online	TBD	Expand an Existing WFD Program or Add New	\$100,000	\$0
VC Research	Research	Institute of Arctic Biology	MRI Lab Support	\$30,000	\$0
VC Research	Research	College of Natural Sciences & Mathematics	CNSM Research & Education Joint Faculty Support	\$200,000	\$0
Chancellor	Core Operations	TBD	Backfill Support	\$60,000	\$0
VC Student Services	Enrollment	University Relations	Digital Marketing	\$0	\$200,000
VC Student Services	Enrollment	University Relations	Traditional Marketing	\$0	\$100,000
Provost	WFD & Online	College of Liberal Arts	Moving Addt'l Degree Programs Online	\$0	\$150,000
VC Research	Research	VC Research	Equipment & Match R&R Fund	\$0	\$500,000
<b>Total</b>				<b>\$1,000,000</b>	<b>\$950,000</b>


June 30, 2017  
 Approved: Dana Thomas, Interim Chancellor Date

cc: UAF Core Cabinet, Jason Theis, Briana Walters, Ali Knabe, Ian Olson, Paul Layer, Mike Earnest, Mary Kreta, Deanna Dieringer, Laura McCollough, Cecelia Chamberlain, Brian Barnes, Margo Griffith, Michelle Renfrew, Todd Sherman, Michele Stalder

To: UAF Staff and Faculty  
From: Daniel M. White, chancellor  
Date: December 15, 2017  
RE: FY19 Budget from Governor's Office

As President Jim Johnsen shared in his message to the university community today, Gov. Bill Walker's proposed FY19 budget includes flat funding for the University of Alaska. State funding represents about 38 percent of UAF's revenue stream and provides the foundation for our teaching, research and service mission.

The governor's proposal is a starting point for the state budget discussions. Both the House and the Senate will propose their own budgets and together arrive at a single figure that will be proposed to the governor. The legislative session starts on Jan. 16.


Fixed costs typically increase year to year. If, in the end, we receive flat state funding, we will need to balance funding of programs and services against changes in fixed costs. We will start developing budget scenarios in January. I hope to minimize the number of "what if" scenarios we ask units to complete. Developing multiple scenarios can be very time consuming in the midst of an already busy spring semester.

I will do my best to keep you updated as things change throughout the session, and I welcome your ideas for how to manage our budget in the most effective way possible. UAF and the work all of you do here is vital to the future of the communities and state we serve. Thank you for your commitment to UAF, whether it be in research, teaching, service or all three. You are making a better future for Alaska.

MEMORANDUM

DATE: February 14, 2018

TO: Susan Henrichs, Provost & Executive Vice Chancellor for Academic Affairs  
Kari Burrell, Vice Chancellor Administrative Services & Executive Officer  
Keith Champagne, Vice Chancellor Student Affairs  
Larry Hinzman, Vice Chancellor for Research  
Evon Peter, Vice Chancellor for Rural, Native & Community Education  
Karl Kowalski, UA Chief Information Technology Officer

FROM: Daniel M. White, Chancellor 

SUBJECT: FY19 Initial Budget Planning Targets

As you know, the Board of Regents (BOR) passed a University of Alaska (UA) budget request of \$341M for FY19. This is a \$24M increase compared to UA's FY18 budget level, and would have a meaningful impact for UAF, if funded.

The Governor's proposed budget would fund UA at \$317M, flat funding in a general fund (GF) context compared to FY18. UA and UAF will continue to advocate for a fully-funded budget throughout the legislative session as anything lower will make it difficult to achieve UA's overarching enrollment, research, economic development, workforce development, and efficiency goals.

Although we remain optimistic that the final FY19 budget for UA will be higher than \$317M, to be prudent, our initial budget contingency planning efforts will be guided by the Governor's proposed budget level. With a flat budget, UAF will need to reallocate internally to fund fixed cost obligations and strategic reallocation.

Your initial FY19 budget planning reduction target is listed in the "Total Reductions" column in the table below. Targets are by Vice Chancellor (VC) area. Each of you has the discretion to allocate reductions as necessary within your management area, adjusting, for example, to protect areas of strategic importance or areas of safety or compliance. Targets listed do not include adjustments for unfunded compensation, as these will be managed by individual units as they apply. The community campuses have been exempted from reductions for Fairbanks campus facilities and fixed cost increases in utilities. Although the table identifies the reduction calculations against GF and F1 used to arrive at the "Total Reductions" targets, all FY19 reductions will be applied against GF allocations.

<b>VC Planning Targets as of February 13, 2018</b>					
<b>Governor's Proposed UA Budget Level: \$317M</b>					
<b>UAF FY19 Planning Scenario #1</b>	<b>GF Reduction</b>	<b>F1 Reduction</b>	<b>Total Reductions</b>	<b>% of GF</b>	<b>% of F1</b>
<b>Chancellor</b>	\$ 20,500	\$ 180,100	\$ 200,600	4.4%	4.2%
<b>Provost</b>	\$ 227,700	\$ 3,180,400	\$ 3,408,100	6.7%	4.0%
<b>VCAS</b>	\$ 89,200	\$ 992,700	\$ 1,081,900	5.5%	4.1%
<b>VCSA</b>	\$ 29,400	\$ 524,800	\$ 554,200	8.5%	4.0%
<b>VCR</b>	\$ 49,900	\$ 808,800	\$ 858,700	7.8%	4.0%
<b>VCRCNE</b>	\$ 69,200	\$ 399,500	\$ 468,700	3.1%	1.8%
<b>OIT</b>	\$ 14,100	\$ 153,800	\$ 167,900	5.4%	4.1%
<b>Total</b>	\$ 500,000	\$ 6,240,100	\$ 6,740,100		

As more information becomes available over the course of the legislative session, I may revisit these targets, adjusting for the most likely outcomes.

Thank you for your continued leadership during these challenging budget times.

cc: Julie Queen, Associate Vice Chancellor Financial Services

DMW/jdp

Cornerstone, published March 23, 2018

During the board of regents meeting earlier this month, President Johnsen shared information on the University of Alaska budget, including information about three possible funding scenarios.

The Board of Regents budget request is the preferred scenario. The \$341 million request includes \$9.4 million for fixed cost increases and \$14.7 million in new investment in programs throughout the UA system that aim to increase enrollment and degree attainment, and to grow our research enterprise.

The second scenario is the budget proposed by Gov. Bill Walker. It would provide \$317 million in state funding to the university, the same amount allocated in FY18.

The third scenario is midway between the two. The House Finance Committee has proposed a budget of \$336 million, an increase of \$19 million over FY18. The Senate still needs to make its own budget proposal. If the House and Senate proposals are not the same, the two bodies will meet in conference committee to create a final budget. After the House and Senate pass the budget, it will go back to the governor for his consideration.

In an effort to minimize the number of budget scenarios that UAF unit leaders have to create, I have asked vice chancellors to prepare for the \$317 million, flat-funding level with the hope that we land at or near the regents' request of \$341 million.

As in past years, it's important to remember that flat state funding means we will have to make some reductions across UAF to cover fixed costs and any new investments. Even with an increase in state funding, covering the UA/UAF proposed investments will likely require either one-time funds from statewide or reallocation within the university.

The president's strategic investment plan allocates additional funding to areas of the university that will generate revenue. Building that capacity at UAF is critical to successfully meeting our education, research and outreach missions.

It's still too early to say where the university budget will land, but I felt it prudent that we begin planning now. The potential for an increase in base funding over last year is good news. I hope you will join me in advocating for that increase.


— Daniel M. White, chancellor



MEMORANDUM

DATE: July 9, 2018

TO: Susan Henrichs, Provost  
Kari Burrell, Vice Chancellor Administrative Services  
Keith Champagne, Vice Chancellor for Student Affairs  
Larry Hinzman, Vice Chancellor for Research  
Evon Peter, Vice Chancellor Rural Community & Native Education

FROM: Daniel M. White, Chancellor 

RE: FY19 Strategic Investment Allocations

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This memorandum outlines my FY19 UAF strategic investment decisions. The final funding decisions were based on input from the UAF planning and budget committee (PBC), core cabinet, and the UA system office.

Major investment themes align with the Board of Regents' (BOR) priorities: Economic Development, Skilled Workforce Development, Research, and Enrollment/Degree Attainment. An important criterion in the selection of initiatives for funding is the potential for high return on investment in increased enrollment or external research funding. The allocations are from three different funding sources: one-time funds from UAF's FY18 central unreserved fund balance (UFB); three-year funds allocated to UAF by President Johnsen from a UA system internal initiative pool; and UAF's allocation of new base general funds (GF) allocated to UA in the FY19 state operating budget.

UAF Financial Services will distribute/set-up the funding for the investments listed below. If you have questions, please contact me.

cc: Julie Queen, Associate Vice Chancellor Financial Services

## UAF FY19 Strategic Investment Allocations

Priority Area & Core Cabinet Lead	Unit & Dept.	Description	Funding Type			Total
			UAF FY18 UFB (1x)	FY19 Base (Ongoing)	FY19 UA Initiative Pool (3 Yr)	
<b>Economic Development</b>			\$ -	\$ 120,000	\$ -	\$ 120,000
VCR	OIPC	Restore staffing	\$ -	\$ 120,000	\$ -	\$ 120,000
<b>Skilled Workforce Development</b>			\$ -	\$ 200,000	\$ -	\$ 200,000
VC RCNE	CRCD/RAHI	Create RAHI-like education track	\$ -	\$ 100,000	\$ -	\$ 100,000
Provost	CNSM/SOE	Preparing teachers for rural schools	\$ -	\$ 100,000	\$ -	\$ 100,000
<b>Research</b>			\$ 200,000	\$ 645,000	\$ 895,000	\$ 1,740,000
VCR/Provost	VCR's Office/CNSM	One Health Circumpolar Initiative	\$ 200,000	\$ 270,000	\$ 30,000	\$ 500,000
VCR	IARC	Center for Arctic Policy Studies (CAPS)	\$ -	\$ -	\$ 150,000	\$ 150,000
VCR	IAB	COBRE biomedical proposal	\$ -	\$ 70,000	\$ 70,000	\$ 140,000
Provost	CFOS	CFOS mariculture faculty support/hire	\$ -	\$ 120,000	\$ 145,000	\$ 265,000
Provost	CEM	CEM mining research (MIRL) faculty/hire	\$ -	\$ 55,000	\$ -	\$ 55,000
VCR	GI	GI sea ice faculty hire	\$ -	\$ 130,000	\$ 25,000	\$ 155,000
VCR	VCR's Office	Arctic data collaborative/decision support	\$ -	\$ -	\$ 100,000	\$ 100,000
VCR	VCR's Office	Research match & seed funding pool	\$ -	\$ -	\$ 250,000	\$ 250,000
Chancellor	UR	Branding & marketing (research focus)	\$ -	\$ -	\$ 125,000	\$ 125,000
<b>Enrollment/Degree Attainment</b>			\$ 1,182,500	\$ 895,000	\$ 1,188,500	\$ 3,266,000
VC RCNE	CRCD/CTC	CTE 25% tuition discount support	\$ -	\$ -	\$ 716,000	\$ 716,000
VCSA	Admissions	Restore staffing	\$ -	\$ 250,000	\$ -	\$ 250,000
VCSA	Financial Aid	Restore staffing	\$ -	\$ 250,000	\$ -	\$ 250,000
Provost	Provost's Office/Gen. Studies	Staffing for transfer student degree completion	\$ -	\$ 100,000	\$ -	\$ 100,000
VCSA	Admissions	Ruffalo Noel Levitz recruitment consulting project	\$ 457,500	\$ -	\$ 45,000	\$ 502,500
Provost	Provost's Office/Gen. Studies	EAB student success collaborative	\$ -	\$ 13,500	\$ 101,500	\$ 115,000
VC RCNE	NW Campus	Pilot to reengage non-enrolled AK Scholars	\$ -	\$ 31,500	\$ -	\$ 31,500
Provost	SOM	Launch Bachelor of Applied Management (BAM) program	\$ -	\$ 150,000	\$ 150,000	\$ 300,000
Provost	CLA	Online Psychology program faculty hire (high demand)	\$ -	\$ 100,000	\$ 76,000	\$ 176,000
Chancellor	UR	Branding & marketing (enrollment focus)	\$ 425,000	\$ -	\$ 100,000	\$ 525,000
Provost	CNSM	Boost enrollment in high demand online STEM GERs	\$ 150,000	\$ -	\$ -	\$ 150,000
VC RCNE	CTC	Expansion of academic program capacity	\$ 150,000	\$ -	\$ -	\$ 150,000
<b>Compliance</b>			\$ 150,000	\$ -	\$ -	\$ 150,000
VCSA	Athletics	Title IX Haven training software module (Athletics)	\$ 25,000	\$ -	\$ -	\$ 25,000
Chancellor	Chancellor's Office/D&EO	Compliance staffing: investigator hire/support	\$ 125,000	\$ -	\$ -	\$ 125,000
<b>Total</b>			\$ 1,532,500	\$ 1,860,000	\$ 2,083,500	\$ 5,326,000

September 26, 2018

### **FY19 budget recap**

This spring the Legislature and governor approved a one-time FY19 capital budget allocation of \$5 million. In the operating budget, a \$10 million increase to the University of Alaska's FY19 funding brought the systemwide total to \$327 million. This is the first increase in state operating funds since FY14. Of that \$10 million increase, the Board of Regents directed \$5 million toward increases in fixed costs and the remaining \$5 million toward the board's strategic priorities. In addition, UA President Jim Johnsen reallocated \$4 million of system office funds to help support the board's strategic priorities for the next three years.

At UAF, the state funding increases meant \$3 million more in new base funding to offset fixed costs, such as increases in utility costs and operation and maintenance funding for the new Engineering Learning and Innovation Facility.

UAF also received \$3.94 million to fund the following Board of Regents' strategic priorities:

- Contributing to Alaska's economic development
- Providing Alaska's skilled workforce
- Growing UAF's world-class research
- Increasing enrollment
- Increasing degree attainment

Of the \$3.94 million, \$1.86 million is new base funding and \$2.08 million is time-limited funding. I was able to supplement the new funding provided by the system office with an internal reallocation from UAF's FY19 central reserve of \$1.5 million. These combined increases helped fund a suite of proposals from across UAF to advance enrollment and research.

Based on recommendations from the Planning and Budget Committee, discussion with Core Cabinet and final FY19 funding levels, I issued [a memorandum on July 9](#) allocating the available new funding. These allocations were distributed to

applicable units within the last several weeks.

UAF's portion of the FY19 \$5 million capital budget allocation was approximately \$3.1 million and will be used to help address UAF's facilities and deferred maintenance backlog.

We greatly appreciate the Legislature's and the governor's recognition of the importance of Alaska's higher education system in the final FY19 budget.

### **FY20 budget planning**

Earlier this month, at the September Board of Regents' meeting in Juneau, President Johnsen presented a draft FY20 budget proposal for the board's consideration. That proposal was based on input from all three universities, including UAF. I based my budget proposal to President Johnsen on input I received from vice chancellors, unit leaders and the Planning and Budget Committee.

The proposal would request a \$351.5 million operating budget for the University of Alaska system, a \$24.5 million increase over this year's funding.

The \$24.5 million proposed increase includes:

- \$12.71 million for fixed cost increases, which include technology, facilities maintenance, utilities and campus safety;
- \$10.04 million for investments in the board's priorities: economic development, Alaska's skilled workforce, research and enrollment; and
- \$1.75 million for Title IX support.

As most employees are aware, compensation adjustments have been very limited over the past few years. President Johnsen's draft budget proposal did not contain a request to support compensation increases, but he conveyed that he hopes to bring forward a compensation proposal to the board at its November meeting after the Statewide Human Resources Office completes the salary market and equity survey. More information on that effort is available [here](#).

On the capital side, President Johnsen proposed a \$55 million appropriation for the

university system, of which \$50 million would be used for deferred maintenance system-wide and \$5 million would be used to support UAF's proposed operation of the USArray earthquake monitoring system.

The Board of Regents is expected to adopt a final budget proposal at its November meeting in Fairbanks. After that, the UA budget proposal will be submitted to the governor, who will in turn release a proposed budget in mid-December. That proposed budget will then go to the Legislature, which begins its regular session in January 2019. Because this is a gubernatorial election year, it is also possible a new governor will be seated in January. If this is the case, the new governor will have the option to submit a revised budget proposal to the Legislature.

We will be sharing additional budget information with you as it becomes available, so please keep an eye on your emails and Cornerstone newsletters over the course of the coming months. It's important for all of us to be as informed as possible.

— Daniel M. White, chancellor

**UAF Construction in Progress**  
**5.A.1**

TITLE	TPC AUTHORITY *	TPC FUNDED	EXP/ENC **	STATUS/CONSIDERATIONS
UAF Combined Heat and Power Plant Replacement	248,000,000	248,000,000	244,770,246	Construction in Progress
Cutler Apartments Roof Replacement-300 Block	1,125,870	1,075,870	925,317	Construction in Progress
Elvey Deferred Maintenance Phase I Server Relocation	1,773,000	1,773,000	48,746	Design Stage
UAF Engineering Facility	121,600,000	115,429,299	114,831,880	Construction in Progress
Kuskokwim Campus Maggie Lind HVAC Renovation	1,959,900	1,959,900	260,720	Design Stage
Lola Tilly Walking Deck Roof Repair	1,400,000	1,400,000	1,294,969	Construction in Progress
Northwest Campus Phased Renovations	8,216,076	8,148,049	8,131,160	Construction in Progress
Patty Center Shower and Locker Room Renovation	2,299,900	2,299,900	2,090,433	Construction in Progress
Road Improvements, Tanana Loop and South Chandalar Intersection	3,267,000	369,800	289,727	Construction in Progress
UAF-CTC Fire and Emergency Services Training and Education Facility	32,000,000	400,000	398,153	Design Stage/Awaiting Funding
<b>Grand Total</b>	<b>421,641,746</b>	<b>380,855,818</b>	<b>373,041,350</b>	
* Total project costs could change over time dependent upon changes to project scope and funding availability.				
** Expenditures and encumbrances are current through September 30, 2018.				
<b>Note:</b> This project listing represents those with an estimated total project cost in excess of \$250,000 for community campuses and \$1,000,000 for UAF main campus in accordance with BOR Policy P05.12.075. Other projects that do not meet these dollar thresholds are not listed.				

Lease, Joint Use, Debt and Rental:

D(1)(a) Percentage of Total MAU Utilized Space that is Leased Off Campus

D(1)(b) Off Campus Leased Space Expiring Within Next 24 Months and Actions at Expiration

Lessor	Off Campus Lease Description	Building Number	City	FY18 Annual Payments	Square Feet	Effective Date	Lease Expiration	Action on Leases Expiring in the Next 24 Months
West Valley Plaza, LLC	Advancement Services West Valley Plaza Office	FL083	Fairbanks	\$60,760	2,450	04/17/12	4/16/17	Terminated (FY18)
City of Togiak	BBC Ikaiyurvik Family Residence Center Space	FL144	Togiak	\$5,991	1,020	02/15/06	2/14/18	Terminated (FY18)
Togiak Public Library & Cultural Center	BBC Learning Center	FL190	Togiak	\$3,500	850	01/29/18	2/1/19	Auto Annual Renewal
City of New Stuyahok	BBC New Stuyahok Learning Center	FL201	New Stuyahok	\$7,200	221	07/01/14	6/30/15	Holdover - month to month
SW Alaska Vocational & Education	BBC SW Alaska Vocational & Education Center	FL149	King Salmon	\$0	677	07/15/02	7/14/19	Auto Annual Renewal
S&S Center	CES Doors & Windows Building	FL110	Soldotna	\$35,880	2,300	08/28/95	6/30/19	Renew
Fairbanks Community Food Bank	CES Fairbanks Office	FL195	Fairbanks	\$29,370	4,300	12/21/10	6/30/17	Terminated (FY17)
State of Alaska, MHLTO	CES Juneau Office	FL200	Juneau	\$43,246	2,171	04/17/14	4/30/18	Holdover - month to month
Jarvis Properties, LLC	CES Office Space	FL104	Delta	\$17,238	1,105	12/31/20	12/31/18	Extend
Kodiak Island Borough	CFOS Kodiak Island Borough	FL107	Kodiak	\$66,054	6,574	10/01/98	9/30/18	Terminating 9/30/2018 (FY19)
Monterey Bay Aquarium	CFOS/GURU Lab & Office Space	FL118	California	\$26,512	374	09/01/08	8/31/19	Renew
Petersburg Indian Association	CFOS/MAP Office Space	FL188	Petersburg	\$9,500	500	11/01/08	3/31/18	Renewals available through 3/31/2020
Prince William Sound Aquaculture	CFOS/MAP Office Space	FL088	Cordova	\$0	360	05/01/04	4/30/19	Auto Annual Renewal
North Pacific Research Board	CFOS/MAP Office Space	FL178	Anchorage	\$59,486	3,332	11/01/12	10/31/22	
KRK Management	CFOS/MAP Parking Spaces	FL199	Anchorage	\$3,200	8 spaces	10/01/12	month-to-month	Terminated (FY18)
Roth, James	FS Division of Design & Construction	FL116	Fairbanks	\$140,957	8,718	09/01/94	2/28/18	Terminated (FY18)
Unalaska City School Dist.	IA-C Aleutian Learning Center	FL141	Unalaska	\$10,350	700	07/01/96	6/30/19	Auto Annual Renewal
Gwitchyaa Zhee Corp	IA-C Dorm Facilities	FL081	Ft. Yukon	\$0	1,920	10/01/04	9/30/19	One additional 5-year renewal @ \$20,000 available
Native Village of Hooper Bay	KuC Hooper Bay Learning Center	FL197	Hooper Bay	\$5,000	222	09/01/17	6/1/18	Terminated (FY18)
FNSBSD	UAF CTC Hutchison Institute of Technology	FL125	Fairbanks	\$272,127	50,334	07/01/04	6/30/19	Negotiating to reduce space
Fairbanks Pipeline Training Center Trust	UAF CTC Process Technology & Environmental Safety	FL193	Fairbanks	\$252,954	12,252	12/01/09	11/30/19	Renew
			<b>GRAND TOTAL</b>	<b>\$1,049,325</b>	<b>100,380</b>			

FY18 UA in Review Total UAF Square Footage (non-lease):	3,758,892
D(1)(a) - Total Percentage UAF Utilized Space Leased:	2.67%

Lease, Joint Use, Debt and Rental:

D(1)(c) Building and Space Leased to Third-Parties

D(1)(d) Third Party Leased Space Expiring Within Next 24 Months and Action at Expiration

Building Name	Lessee	City	FY18 Annual Payments	Square Feet	Renewals through	Expiration	Notes
Poker Flat	Summit Telephone	Fairbanks	\$3,234	145	auto annual	3/31/19	Renew, unless UAF needs the space
Syun Ichi Akasofu	National Weather Service	Fairbanks	\$314,094	5,829	12/31/56	12/31/16	Renew
Constitution Hall	Campus Barbershop	Fairbanks	\$4,608	200	auto annual	6/30/19	Renew
Moore Hall (Cellular Antennas)	The Alaska Wireless Networ, LLC (fka GCI)	Fairbanks	\$19,841	20	0	10/14/22	Renew
Arctic Health Research Building	Cellco Partnership d/b/a Verizon Wireless	Fairbanks	\$31,410	n/a	8/31/28	8/31/23	Renew
Orca Building	State of Alaska	Seward	\$39,297	1,350	1/31/27	1/31/22	Renew, unless UAF needs the space
Orca Building	Independent Living Center, Inc.	Seward	\$24,900	1,290	0	9/30/19	Renew, unless UAF needs the space
Orca Building	Chugachmiut, Inc.	Seward	\$148,716	6,100	2/4/20	2/4/19	Renew, unless UAF needs the space
Orca Building	Knik Construction	Seward	\$5,832	729	0	9/30/17	Terminated
Syun Ichi Akasofu	National Institute of Polar Research	Fairbanks	\$60,000	616	negotiable	3/31/18	Renew, unless UAF needs the space
Northwest Campus (NW002/NW007)	Korea Polar Research Institute	Nome	\$10,000	1,099	negotiable	9/30/20	Renew, unless UAF needs the space
Owen Building	Kodiak Premium Oceanic	Kodiak	\$18,063	varies	negotiable	12/31/18	Renew, unless UAF needs the space
Cow Barn	SoA Fish & Game	Palmer	\$5,265	1,813	4/30/28	4/30/23	Renew, unless UAF needs the space
MBS/Wood Center	Wells Fargo	Fairbanks	\$12,000	ATMs	4/30/21	4/30/19	Renew, unless UAF needs the space
Northwest Campus (NW002/NW007)	UT Battelle	Nome	\$10,000	933	negotiable	8/31/20	Renew, unless UAF needs the space
Marika Building	Fairbanks North Star Borough	Fairbanks	\$140,119	16,538	5/31/22	5/31/20	Renew, unless UAF needs the space
<b>GRAND TOTAL</b>			<b>\$847,379</b>	<b>14,934</b>			

FY18 UA in Review Total UAF Square Footage (non-lease):	<b>3,758,892</b>
D(1)(c) - Total Percentage UAF Owned Space Leased to Third Party:	<b>0.40%</b>

Section 5 -- Facilities and IT Issues

D(1)(e) Non-UA Owned and Non-UA Occupied Facilities

UAF does not have any non-UA owned and non-UA occupied facilities situated on its educational property. However, UAF does have non-UA owned facilities which are shared occupancy with the following agencies:

City	Third Party	Expiration	Renewals through	Type of Agreement(s)
Fairbanks	Cold Climate Housing Research Center	1/31/2025	1/31/2055	Land Lease and Joint Use Agreement
Fairbanks	State of Alaska Virology Lab	9/30/2030	9/30/2050	Land Lease and Collaborative Research, Maintenance and Operating Agreement



UAF Debt Service Schedule  
5.C.1

Category / Description	Debt Principal Amount Outstanding	FY18	FY19	FY20	FY21	FY22	FY23	FY24	FY25	FY26	FY27	FY28
<b>Current Debt</b>												
SERIES O - Lena Point, Museum, Arctic Health, Virology	\$ 835	850	-	-	-	-	-	-	-	-	-	-
SERIES P - Refinancing Series H & J	\$ 5,222	1,245	779	775	775	777	779	775	-	-	-	-
SERIES Q - Life Sciences Murie and Deferred Maintenance I	\$ 33,350	3,484	3,485	3,482	3,485	3,485	3,478	3,483	3,481	3,477	3,477	1,515
SERIES R - Refinance Series K, L, and M	\$ 11,815	993	1,462	1,460	1,460	1,458	1,463	875	877	878	877	880
SERIES S - Def Maint II & Multiple Refinancings	\$ 16,445	1,977	1,687	1,687	1,694	1,577	1,571	1,706	1,708	1,579	1,577	1,579
SERIES T - Central Heating and Power Plant (CHPP) G.O.B.	\$ 65,350	3,268	4,896	4,900	4,900	4,900	4,895	4,896	4,896	4,900	4,898	4,895
SERIES U - Central Heating and Power Plant (CHPP) M.B.B.	\$ 86,085	4,058	5,587	5,590	5,586	5,588	5,590	5,588	5,590	5,588	5,590	5,586
SERIES V - Engineering Building and Re-Finance N, O	\$ 37,895	2,745	2,901	2,899	2,895	2,939	2,935	2,417	2,413	2,412	2,413	2,416
<b>Sub-Total: Debt Service on Current Debt Issues</b>		<b>\$ 18,619</b>	<b>\$ 20,795</b>	<b>\$ 20,791</b>	<b>\$ 20,795</b>	<b>\$ 20,724</b>	<b>\$ 20,712</b>	<b>\$ 19,740</b>	<b>\$ 18,966</b>	<b>\$ 18,834</b>	<b>\$ 18,832</b>	<b>\$ 16,871</b>
<b>Capital Lease</b>												
UAF Student Dining Facility Base Rent Payments <sup>[1]</sup>	\$ 24,125	1,389	1,385	1,384	1,383	1,385	1,382	1,384	1,382	1,382	1,379	1,375
<b>Sub-Total: Current Debt and Capital Lease Pmts</b>		<b>\$ 20,008</b>	<b>\$ 22,181</b>	<b>\$ 22,176</b>	<b>\$ 22,177</b>	<b>\$ 22,109</b>	<b>\$ 22,094</b>	<b>\$ 21,124</b>	<b>\$ 20,348</b>	<b>\$ 20,216</b>	<b>\$ 20,212</b>	<b>\$ 18,247</b>
<b>Projects with Anticipated Debt Funding</b>												
None	\$ -	-	-	-	-	-	-	-	-	-	-	-
<b>Sub-Total: Debt Service on Anticipated Debt Issues</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>TOTAL Debt Service and Long-Term Leases</b>		<b>\$ 20,008</b>	<b>\$ 22,181</b>	<b>\$ 22,176</b>	<b>\$ 22,177</b>	<b>\$ 22,109</b>	<b>\$ 22,094</b>	<b>\$ 21,124</b>	<b>\$ 20,348</b>	<b>\$ 20,216</b>	<b>\$ 20,212</b>	<b>\$ 18,247</b>

<sup>[1]</sup> Student Dining Facility total Lease payment includes "Base Rent" portion to cover debt service and "Additional Rent" portion to cover leaseholder costs, which ran about \$64,500 in FY18 plus 3% increase thereafter. The additional rents portion is considered a contractual service paid by Dining Services, not debt service, and is therefore not included in this table.

**UAF Employee Change Snapshot, Headcount and FTE, Fall and Spring 2014-2018  
December 2018**

**Overview**

- Over several years of budget reductions, employee reductions and cost savings have materialized through unfilled vacancies, eliminated positions, layoffs, reduced employee contracts, furloughs, departmental soft closures, and non-renewals of contracts. Some of these changes may be better reflected in FTE tables, rather than headcount tables.
- All employee categories declined from Fall 2014-2018 and from Spring 2014-2018.
- This report does not include vacant positions.
- FTE numbers do not include temporary or extended temporary positions.

**Reporting Change**

- Prior to spring 2017, UA Institutional Research and Analysis reported extended temporary employees under the regular employee type for all universities. Now, extended temporary employees are reported separately. For purposes of this report, extended temporary employees roll into the temporary employee type. This change has been mapped back to previous periods in order to demonstrate apples to apples comparisons. Figures in the tables below may reflect slight differences from previously reported figures.

**Fall Headcount**

*Table 1. UAF Employee Headcounts, Fall 2014-2018.*

Employee Type	Fall 2014	Fall 2015	Fall 2016	Fall 2017	Fall 2018	Change	% Change	Change	% Change
						14-18	14-18	17-18	17-18
<b>REGULAR</b>	<b>2,127</b>	<b>2,020</b>	<b>1,890</b>	<b>1,818</b>	<b>1,790</b>	<b>-337</b>	<b>-15.8%</b>	<b>-28</b>	<b>-1.5%</b>
Faculty	649	610	579	544	523	-126	-19.4%	-21	-3.9%
Officers/Sr. Administrators	71	65	59	57	62	-9	-12.7%	5	8.8%
Staff	1,407	1,345	1,252	1,217	1,205	-202	-14.4%	-12	-1.0%
<b>TEMPORARY</b>	<b>1,846</b>	<b>1,753</b>	<b>1,663</b>	<b>1,581</b>	<b>1,530</b>	<b>-316</b>	<b>-17.1%</b>	<b>-51</b>	<b>-3.2%</b>
Adjunct Faculty	358	318	343	338	322	-36	-10.1%	-16	-4.7%
Staff	426	444	364	347	370	-56	-13.1%	23	6.6%
Student	1,062	991	956	896	838	-224	-21.1%	-58	-6.5%
<b>Grand Total</b>	<b>3,973</b>	<b>3,773</b>	<b>3,553</b>	<b>3,399</b>	<b>3,320</b>	<b>-653</b>	<b>-16.4%</b>	<b>-79</b>	<b>-2.3%</b>

Note: Headcount includes an unduplicated count of temporary staff, adjuncts, and student employees, therefore represents a meaningful way to understand changes in temporary job types. Temporary employees include extended temporary positions.

- Regular and Term Regular employee headcounts have decreased by 15.8 percent from Fall 2014-2018 (337 employees). These types of positions generally create the largest savings because these include benefited (staff and leave benefits) employees.
- Temporary staff, adjuncts and students decreased by 17.1 percent from Fall 2014-2018 (316 employees). This category of employee typically results in less significant savings since these are not benefited employees. However, decreases in this group may result in savings that can be realized more quickly, since ceasing contract renewals is a relatively prompt/immediate action.

## Fall Regular Full Time Equivalency (FTE)

- FTE excludes extended temporary employee types. Data contained in Table 2 and Table 4 may differ from FTEs previously reported.

Table 2. UAF Employee FTE, Fall 2014-2018.

Cabinet	Fall 2014	Fall 2015	Fall 2016	Fall 2017	Fall 2018	Change 14-18		Change 17-18	
						% Change 14-18	% Change 17-18		
Chancellor	57.6	49.4	48.4	47.8	43.0	-14.6	-25.3%	-4.8	-9.9%
Provost	883.4	858.5	807.0	764.6	738.6	-144.8	-16.4%	-26.0	-3.4%
UAF Office Information Technology	35.0	34.6	34.6	33.7	30.7	-4.3	-12.3%	-3.0	-8.9%
VC Rural, Community & Native Educ	249.7	236.2	202.4	193.2	188.2	-61.4	-24.6%	-5.0	-2.6%
Vice Chancellor for Admin. Services	312.3	276.1	251.0	242.3	255.7	-56.5	-18.1%	13.5	5.6%
Vice Chancellor for Research	377.8	360.6	352.0	347.0	350.9	-26.9	-7.1%	3.9	1.1%
Vice Chancellor for Student Affairs	129.9	122.7	113.3	112.9	117.0	-12.9	-9.9%	4.1	3.6%
<b>Grand Total</b>	<b>2,045.5</b>	<b>1,938.2</b>	<b>1,808.8</b>	<b>1,741.5</b>	<b>1,724.1</b>	<b>-321.4</b>	<b>-15.7%</b>	<b>-17.4</b>	<b>-1.0%</b>

**Note 1:** One FTE is equivalent to one regular full-time employee's effort in a biweekly pay period, but does not take into account the number of pay periods for which an assignment is established; this is not a meaningful way to measure temporary employee assignments. Temporary and extended temporary employees are not included in the table above.

**Note 2:** Effective FY18 (fall 2018), Vice Chancellor for Student Affairs was restructured. As a result, the Development Office, KUAC and University Relations now report to the Chancellor. FTEs in both areas are mapped to previous periods in order to present apples to apples comparisons.

- Changes in regular employee FTE from Fall 2014-2018 show the impact of budget reductions across the university; total UAF employee FTE decreased by 15.7 percent (321.4 FTE).
- From Fall 2014-2018, UAF reduced FTEs across all areas.
- The largest reductions occurred under the Provost (-144.8 FTE, -16.4 percent), Vice Chancellor for Rural, Community & Native Education (-61.4 FTE, -24.6 percent), and Vice Chancellor for Admin Services (-56.5 FTE, -18.1 percent). Reductions in these areas influence UAF's ability to serve its mission as well as the ability to generate revenue, compounding the budget reduction.
- FTEs under the Vice Chancellor for Administrative Services increased for the first time in several years between Fall 2017-2018 (13.5 FTE, 5.6 percent), mainly in Facilities Services. Vice Chancellor for Research and Vice Chancellor for Students Affairs saw a modest increase between Fall 2017-2018 as well.
- Support functions from the Office of Information Technology continued a downward trend from Fall 2014-2018 (-3.0 FTE, -8.9 percent).
- Regular employees are able to voluntarily reduce their contract lengths (i.e. from 12 to 11 months). Reduced contracts have an impact to FTE, rather than headcount.

## Spring Headcount

- Spring figures are typically higher than Fall on an annual basis since Spring includes an increase in seasonal and research field season employees. Spring numbers are not typically compared to Fall numbers, as the trends would have greater variance. Additionally, there is a change in fiscal year (annually) between these time periods.

Table 3. UAF Employee Headcounts, Spring 2014-2018.

Employee Type	Spring 2014	Spring 2015	Spring 2016	Spring 2017	Spring 2018	Change 14-18	% Change 14-18	Change 17-18	% Change 17-18
<b>REGULAR</b>	<b>2,214</b>	<b>2,102</b>	<b>2,015</b>	<b>1,876</b>	<b>1,826</b>	<b>-388</b>	<b>-17.5%</b>	<b>-50</b>	<b>-2.7%</b>
Faculty	675	639	608	565	538	-137	-20.3%	-27	-4.8%
Officers/Sr. Administrators	71	69	66	58	60	-11	-15.5%	2	3.4%
Staff	1,468	1,394	1,341	1,253	1,228	-240	-16.3%	-25	-2.0%
<b>TEMPORARY</b>	<b>2,028</b>	<b>1,987</b>	<b>1,847</b>	<b>1,733</b>	<b>1,655</b>	<b>-373</b>	<b>-18.4%</b>	<b>-78</b>	<b>-4.5%</b>
Adjunct Faculty	403	383	374	344	353	-50	-12.4%	9	2.6%
Staff	436	450	398	376	359	-77	-17.7%	-17	-4.5%
Student	1,189	1,154	1,075	1,013	943	-246	-20.7%	-70	-6.9%
<b>Grand Total</b>	<b>4,242</b>	<b>4,089</b>	<b>3,862</b>	<b>3,609</b>	<b>3,481</b>	<b>-761</b>	<b>-17.9%</b>	<b>-128</b>	<b>-3.5%</b>

Note: Headcount includes an unduplicated count of temporary staff, adjuncts, and student employees, therefore represents a meaningful way to understand changes in temporary job types. Temporary employees include extended temporary positions.

- Regular and Term Regular employee headcounts decreased by 17.5 percent from Spring 2014-2018 (388 employees). These types of positions generally create the largest savings because these include benefited (staff and leave benefits) employees. This trend may likely continue and accelerate in future years due to the budget climate.
- Temporary staff, adjuncts and students decreased by 18.4 percent from Spring 2014-2018 (373 employees). This category of employee typically results in less significant savings since these are not benefited employees. However, decreases in this group may result in savings that can be realized more quickly, since ceasing contract renewals is a relatively prompt/immediate action.

## Spring Regular Full Time Equivalency (FTE)

Table 4. UAF Employee FTE, Spring 2014-2018

Cabinet	Spring 2014	Spring 2015	Spring 2016	Spring 2017	Spring 2018	Change 14-18	% Change 14-18	Change 17-18	% Change 17-18
Chancellor	84.3	56.1	51.6	51.9	45.3	-39.1	-46.3%	-6.6	-12.8%
Provost	889.3	876.2	854.5	790.7	753.1	-136.2	-15.3%	-37.6	-4.8%
UAF Office Information Technology	44.5	39.2	36.6	32.6	29.7	-14.8	-33.3%	-2.9	-8.9%
VC Rural, Community & Native Educ	263.4	253.8	234.2	203.5	199.1	-64.4	-24.4%	-4.5	-2.2%
Vice Chancellor for Admin. Services	321.3	297.1	267.8	244.0	258.3	-63.0	-19.6%	14.3	5.8%
Vice Chancellor for Research	390.9	375.0	365.3	355.0	350.0	-40.9	-10.5%	-5.0	-1.4%
Vice Chancellor for Student Affairs	128.9	125.6	122.6	113.0	118.9	-10.0	-7.8%	6.0	5.3%
<b>Grand Total</b>	<b>2,122.6</b>	<b>2,022.9</b>	<b>1,932.7</b>	<b>1,790.6</b>	<b>1,754.3</b>	<b>-368.3</b>	<b>-17.3%</b>	<b>-36.3</b>	<b>-2.0%</b>

Note: One FTE is equivalent to one regular full-time employee's effort in a biweekly pay period, but does not take into account the number of pay periods for which an assignment is established; this is not a meaningful way to measure temporary employee assignments. Temporary and extended temporary employees are not included in the table above.

- Spring 2014-2018 exhibits similar trends as the fall terms although Vice Chancellor for Research saw a decrease between Spring 2017-2018 (-5.0 FTE, -1.4 percent).

**Daniel M. White  
Chancellor**

