Validation of the Polarimetric Radio **Occultation and Heavy Precipitation (ROHP) Data and Potential Applications to Weather** Modeling



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Ramon Padullés, Estel Cardellach, Antonio Rius (Instituto de Ciencias del Espacio (ICE-CSIC/IEEC), Barcelona, Spain)

Sergio Tomás (now at Spire, Inc.)

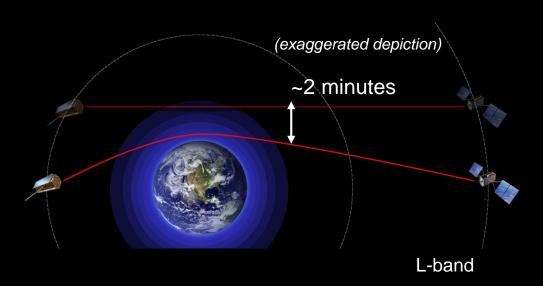
## **Topics for This Presentation**

- 1) Background on radio occultation measurements
- 2) Polarimetric RO (PRO) data from PAZ/ROHP (launched Feb 2018)
- 3) PRO data analysis and science
- 4) Ideas for uses within weather modeling
- 5) Constellation concept

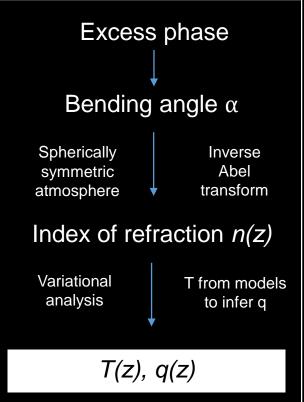
### **Global Navigation Satellite System (GNSS) Radio Occultations (RO)**

# Dedicated L-band (near 1.4 GHz) GNSS receivers track the GNSS (GPS, Galileo, GLONASS etc.) phase delay as they occult (rise or set) behind the Earth

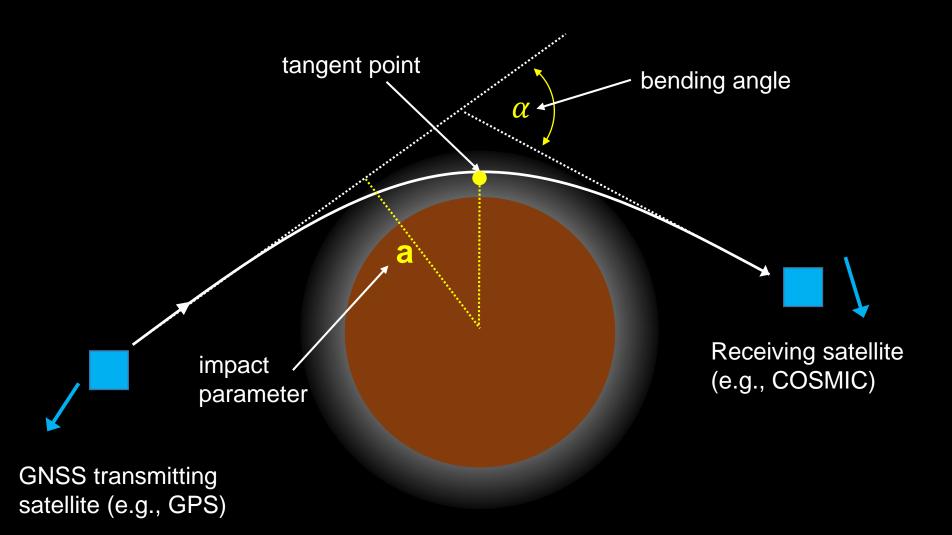
- The signal is bent due to the index of refraction gradients in the atmosphere
- RO receivers precisely track the time derivative of the phase between consecutive measurements (Doppler shift)
- After removing geometric effects due to relative motion of the two involved satellites, the atmospheric bending angle can be inferred



High vertical resolution (~200m), global distribution, all weather capability; coarse along-ray resolution (~100 km)



# **RO Geometry and Terms**



single ray shown (exaggerated depiction)

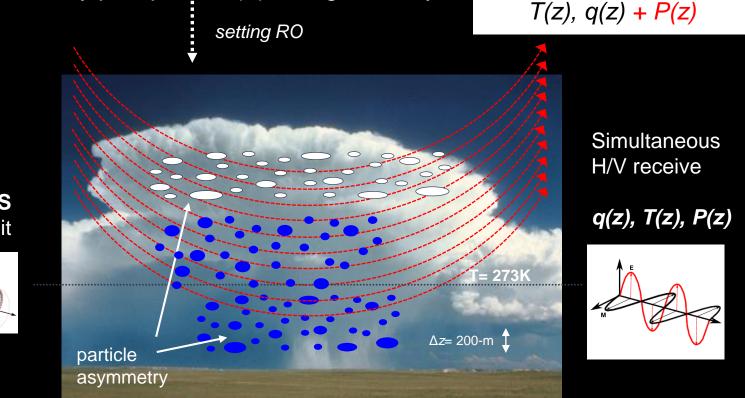
# **RO Capabilities**

- RO provides the thermodynamic profiles essential for understanding weather events, which may be degraded or difficult to retrieve from other sensors (e.g., GPM radar, IR, passive MW):
  - Temperature/water vapor under all-weather, all-sky conditions (e.g., extreme weather, tropical convection)
  - High vertical resolution (100–200 m) (e.g., PBL profiling, gravity waves)
- An ever-expanding set of RO observations (COSMIC-2, GRACE-FO, Sentinel-6, commercial, etc.) provides improved sampling and accuracy, and extended record for studying interannual, intraseasonal, and diurnal variabilities.
- Polarimetric RO (secondary payload on the Spanish PAZ s/c) provides additional information on precipitation and convective environment. Subject of the remainder of this presentation.

# **Polarimetric Radio Occultations (PRO) Concept**

GNSS (L-band) propagation through precipitation induces a cross-polarized component, measureable as a differential phase delay between H and V polarizations:  $\Delta \phi$ 

Potential to extend the capabilities of normal RO, with *simultaneous* measurements of the profile of water vapor (q), temperature (T) and an *indication* of heavy precipitation (P), along each ray



(exaggerated depiction)

GNSS RHC transmit

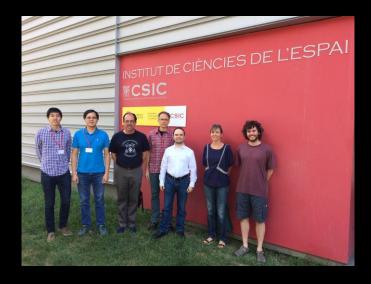


# ROHP-PAZ (Radio Occultation Heavy Precipitation with PAZ)

PI: Dr. Estel Cardellach (ICE – IEEC/CSIC, Barcelona) JPL Participation through the NASA ESUSPI program

- Proof of concept mission for precipitation detection using Polarimetric RO on the Spanish PAZ satellite
- Main payload of PAZ is an X-band SAR, operated by Hisdesat for the Spanish government
- Equipped with dual-pol RO antenna in the aft-direction.
- Launched Feb 22, 2018 from VAFB
- Sun-synchronous dusk/dawn polar orbit, 514-km
- Polarimetric experiment activated on May 10, 2018
- Expected lifetime: 7-10 years (Note TerraSAR-X is at 13 yrs and still operational)







Credit: Hisdesat



Credit: Hisdesat



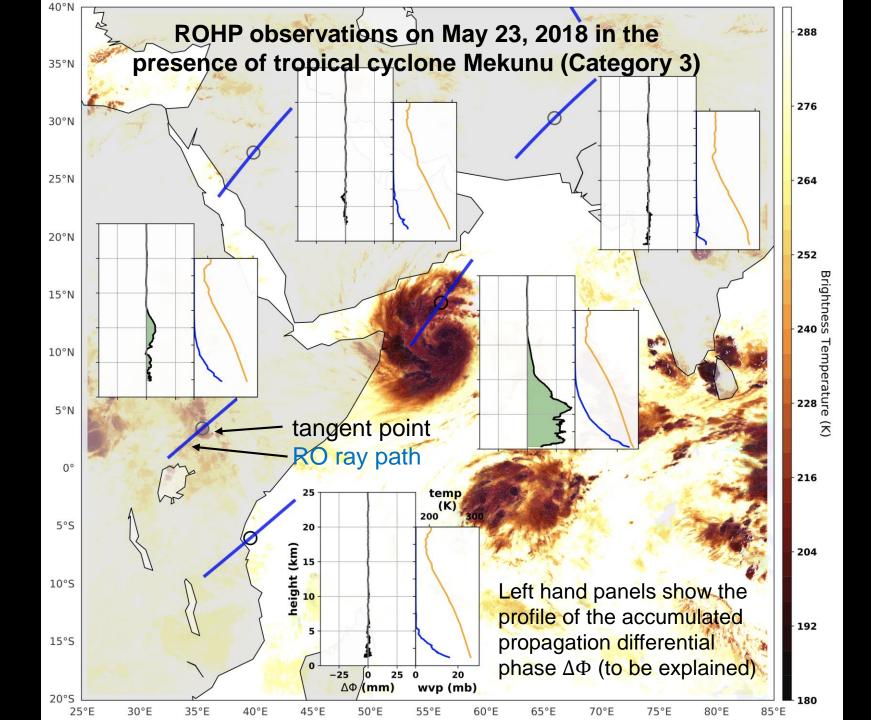


Credit: Hisdesat

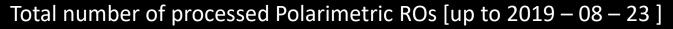
PAZ satellite deployment

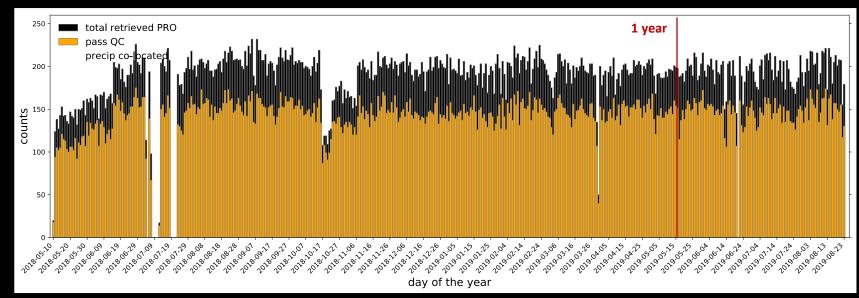


Credit: SpaceX



# Status of PAZ processing at JPL





Total number of processed profiles: 87,938 Total gone through QC: 68,557 Precipitation information (surface): 47,228

Near real time **standard RO products** are processed by UCAR and distributed via the GTS (since Dec 2019) for NWP data assimilation.

**Polarimetric RO products** are available from official PAZ-ROHP website at ICE-CSIC/IEEC (https://paz.ice-csic.es).

# **Contributions to the Polarimetric Phase**

The polarimetric phase difference (H - V)observable  $\Delta \phi$  depends on factors besides precipitation (e.g., antenna & ionosphere).

Tomas et al., IEEE TGRS, 2018

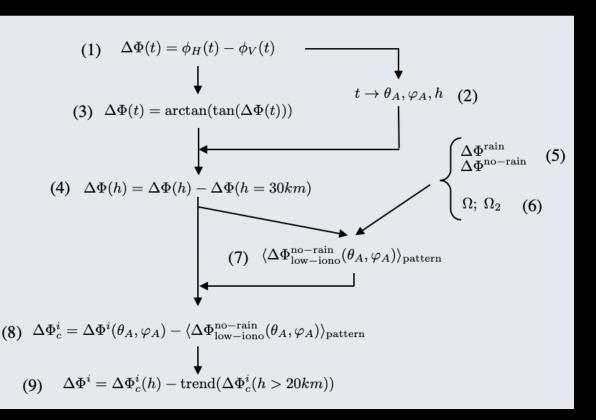
Careful calibration is needed to remove nonhydrometeor effects.

$$\mathbf{E} = e^{-i\Phi} \frac{e^{-ikr}}{r} \begin{bmatrix} 1 & 0 \\ 0 & e^{i\phi_{arc}} \end{bmatrix} \begin{bmatrix} a_{hh} & 0 \\ 0 & a_{vv}e^{i\phi_{ant}} \end{bmatrix} \mathbf{R}(\Omega_2) \begin{bmatrix} e^{-ik_h} & 0 \\ 0 & e^{-ik_v} \end{bmatrix} \mathbf{R}(\Omega_1) \mathbf{E}^{i}_{\{\hat{e}_h, \hat{e}_v\}}$$

R. Padullés, Ph.D. dissertation, Univ. of Barcelona, 2017

# **Calibration Procedure**

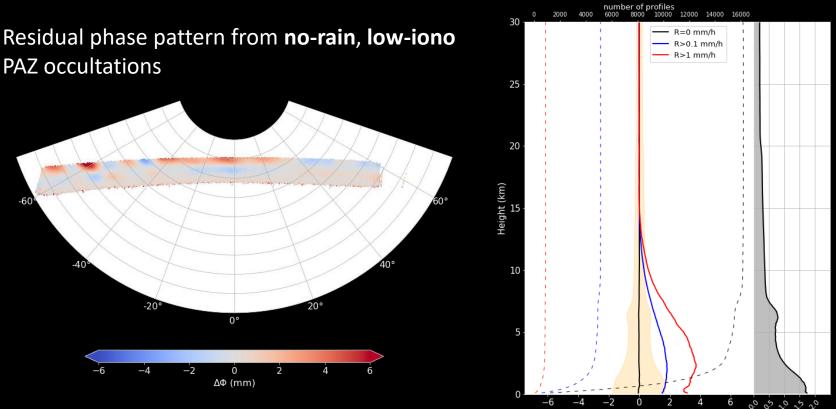
Padullés et al. Atmos. Meas. Tech., https://doi.org/10.5194/amt-13-1299-2020



- 1) "Raw" observable
- Mapping time -> height, elevation, azimuth, etc...
- 3) Correction of remaining cycle slips
- 4) Set a zero-reference at the top of the observation (well above clouds)
- 5) Colocations with Precipitation (\*)
- 6) Colocations with  $n_e \& B$  (\*)
- Antenna pattern (free of rain data and low ionospheric activity)
- 8) Correction of antenna pattern
- 9) Remove remaining trends

(\*) Requires external data or model

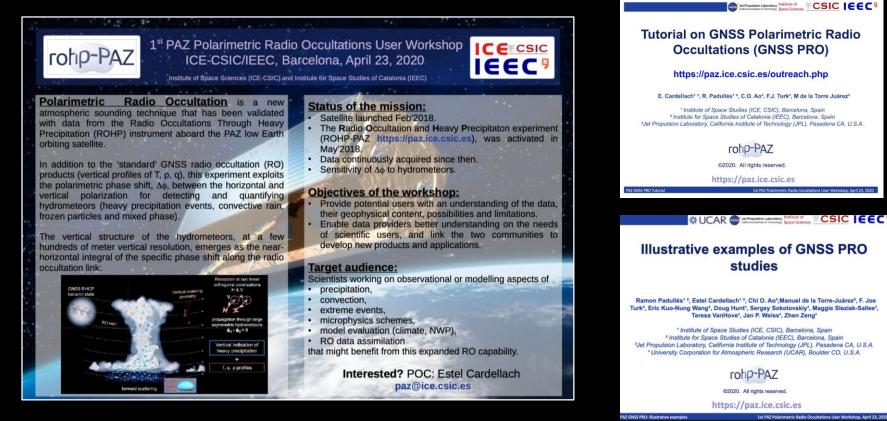
# **On-Orbit Calibration of Antenna Pattern** (largest impact)



-2 6 Differential phase shift (mm)

## 1<sup>st</sup> Polarimetric RO User Workshop (23 April 2020, online)

# Participation from 50 attendees including major weather agencies (NOAA, ECMWF, MetOffice, JMA), NASA, universities



#### Links to the presentations:

https://paz.ice.csic.es/documents/outreach/GNSS-PRO\_PAZ\_Part-1\_Tutorial\_1st\_PAZ\_Workshop\_April23\_2020.pdf https://paz.ice.csic.es/documents/outreach/GNSS-PRO\_PAZ\_Part-2\_IllustrativeExamples\_1st\_PAZ\_Workshop\_April23\_2020.pdf

#### Links to the videos of the first two parts of the workshop:

https://paz.ice.csic.es/documents/outreach/GNSS-PRO\_PAZ\_Part-1\_Tutorial\_1st\_PAZ\_Workshop\_April23\_2020\_video.mp4 https://paz.ice.csic.es/documents/outreach/GNSS-PRO\_PAZ\_Part-2\_IllustrativeExamples\_1st\_PAZ\_Workshop\_April23\_2020\_video.mp4

# **Current PAZ Status**

- PAZ has been in orbit for over two years. The ROHP instrument has provided more than 80,000 polarimetric RO (as of late 2019).
- On-orbit calibration has been proven useful to remove nonhydrometeor effects (dominated by antenna cross-pol).
- The PAZ-ROHP data demonstrated, for the first time, that polarimetric RO can be used to detect heavy precipitation as well as upper-level ice (more on that later).
- Together with standard RO products that provide the moist thermodynamical environment, polarimetric RO technique can lead to potential new applications.

PAZ polarimetric products currently available from ICE-CSIC/IEEC (https://paz.ice-csic.es) and will be available from JPL in July 2020 (https://genesis.jpl.nasa.gov).

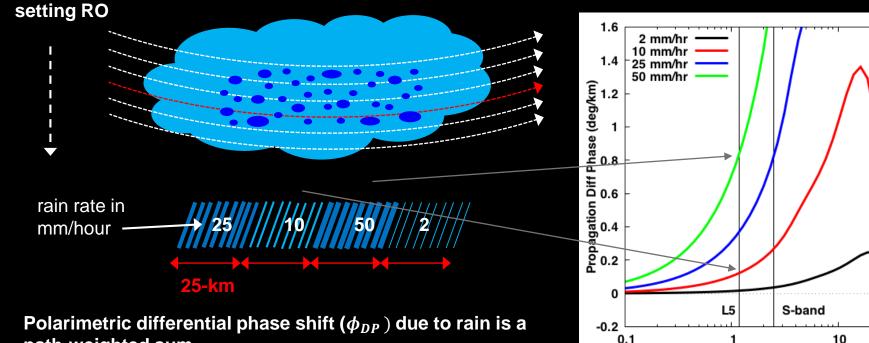
# **Up Next:**

PRO data analysis and science

Some ideas for uses within weather modeling

Constellation concept

## **Relating Polarimetric Phase Difference to Precipitation**



path-weighted sum =

0.35(25) + 0.1(25) + 0.8(25) + 0.05(25) = 14.5 deg = 5 mm

### **Prelaunch Assumption:**

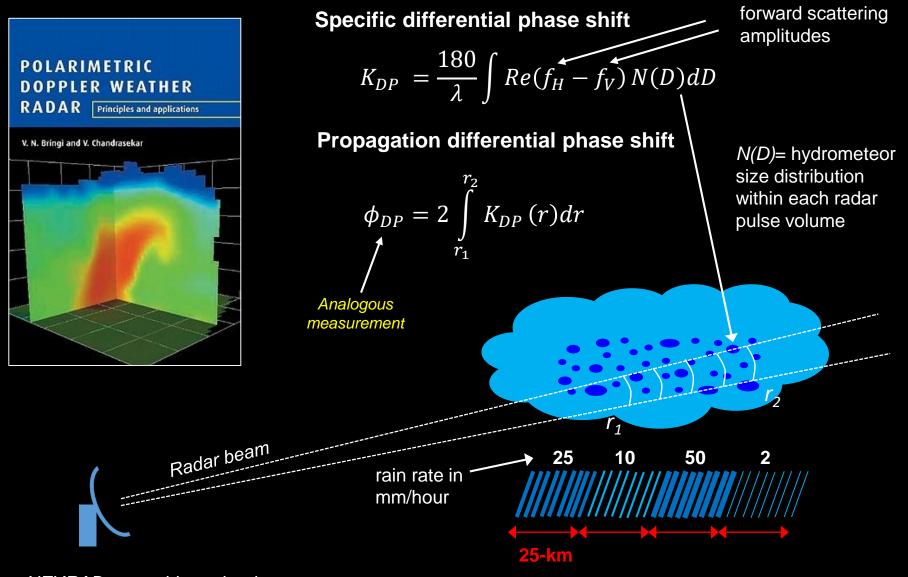
Assuming -3dB performance with respect to COSMIC-1 equipment, PAZ will detect precipitation events inducing  $\Delta \phi > 1.5$  mm.

This value would clearly indicate the presence of heavy precipitation somewhere along the ray path.

Frequency (GHz)

But different path lengths and rain intensities could yield a similar phase difference.

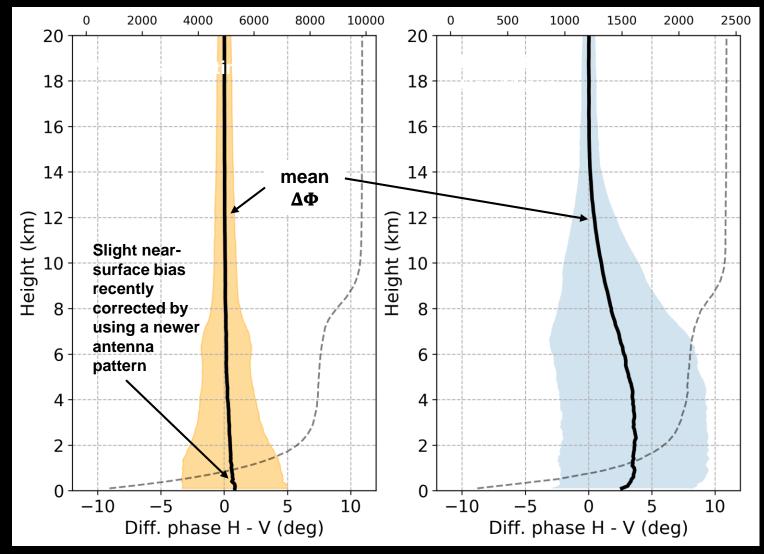
## Long Heritage in Polarimetric Doppler Radar Community



NEXRAD ground-based radars

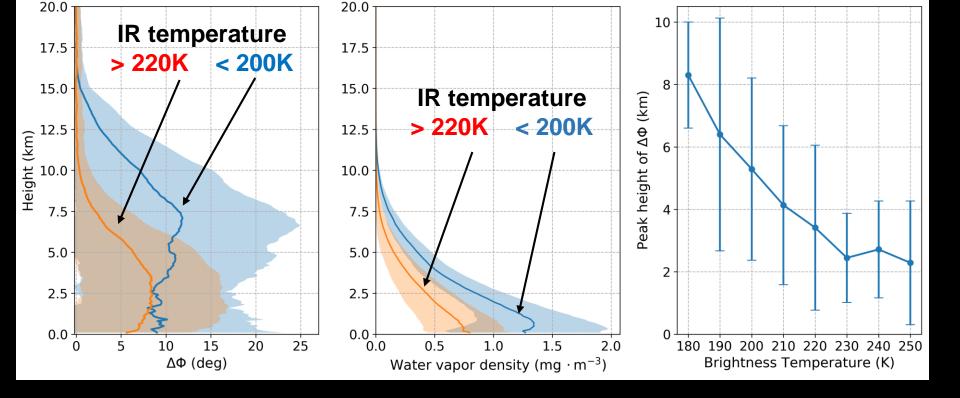
(exaggerated depiction)

### **ROHP data through mid-2019** Nearest 30-min IMERG used to separate rain/no-rain events



rohp-PAZ

Padullés, R., C.O. Ao, F.J. Turk, and M. de la Torre-Juárez, B.A. Iijima, K.N. Wang, E. Cardellach, 2019. Calibration and Validation of the Polarimetric Radio Occultation and Heavy Precipitation experiment Aboard the PAZ Satellite. *Atmos. Meas. Techniques*, <u>https://doi.org/10.5194/amt-2019-237</u>

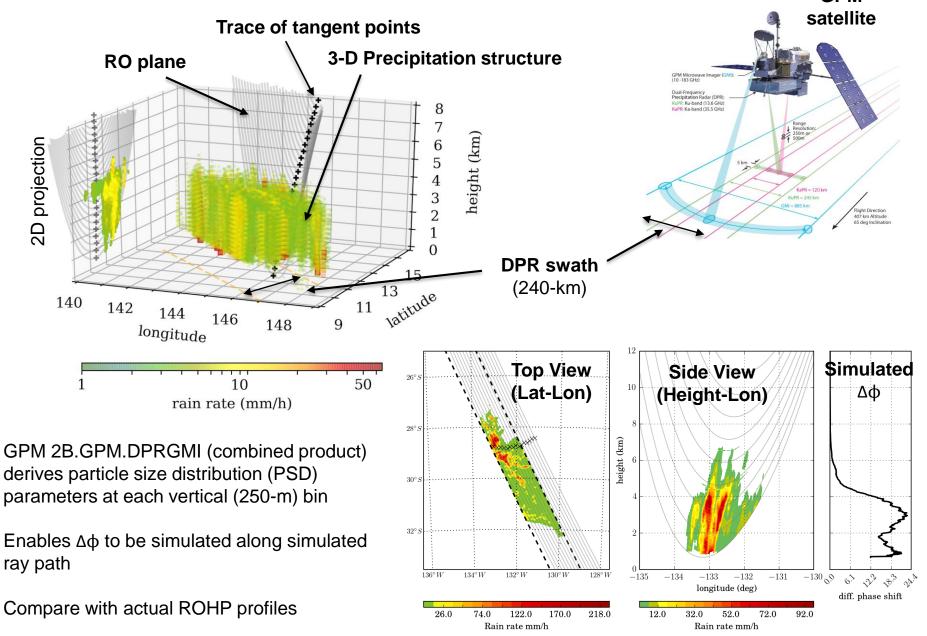


### **ROHP data through mid-2019** Nearest 30-min IMERG used to separate rain/no-rain events Global IR composite (used by IMERG) for IR cloud top temp

### Mixed phase and upper level ice detection?

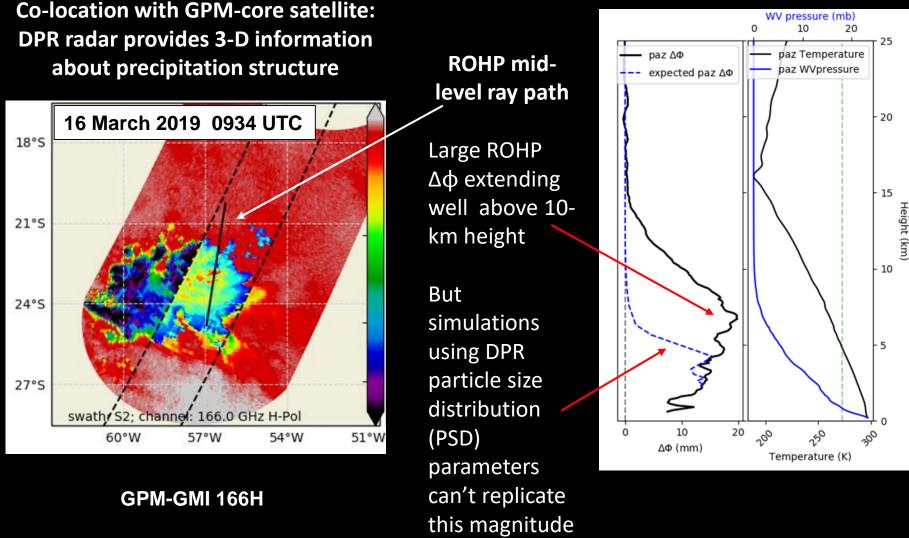


# Use of GPM radar precipitation data for pre- and post-launch ROHP – PAZ analysis, coupled with a ray-tracing model GPM



# **Precipitation Microphysics**

# What is being sensing above the freezing level?



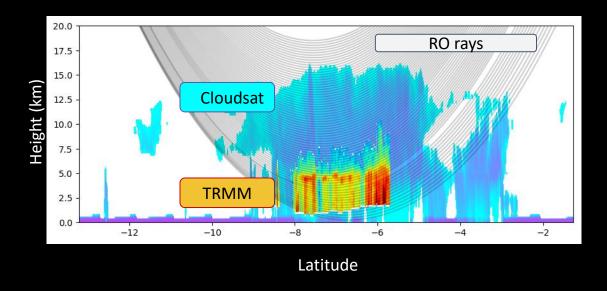
#### **Vertically-Resolved Precipitation Structure**

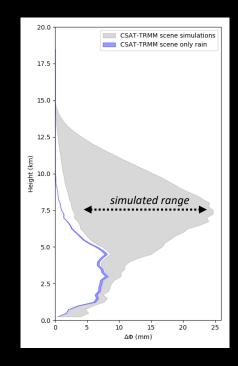
# **Precipitation Microphysics**

### **Sensing of Upper-Level Ice**

- Exploit 2BCSAT-TRMM and 2BCSAT-GPM coincidence database
  - > 20000 observations 2006-2019
- GPM DPR (13/35 GHz), TRMM PR (13 GHz): Sensitive to rain, large ice
- Cloudsat: W-band radar (94 GHz): Sensitive to smaller particles

 $\Delta \varphi$  simulations using TRMM-PR PSD augmented by CloudSat ice PSD (where PR is insensitive) cover the observed range, showing evidence of sensitivity to upper level ice



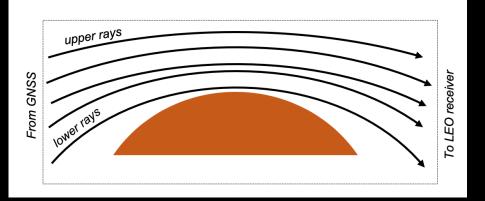


Particles randomly oriented + a variable % of fully horizontally oriented

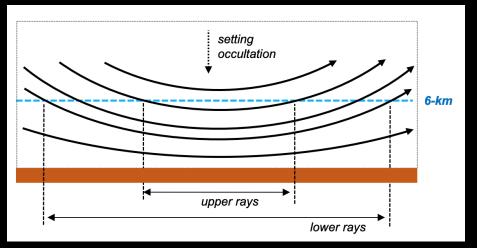
- The % of horizontally oriented increases linearly with height from 1% at the top to:
  - O 10 % at the freezing Level (left gray)
  - O 75 % at the freezing Level (right gray)

# **Precipitation Climatology**

### Spherical geometry



Projected onto a flat Earth



The  $\Delta \phi$  profile provides an indication of "cloud top", in the sense of the level where sufficiently large aspherical hydrometeors are present

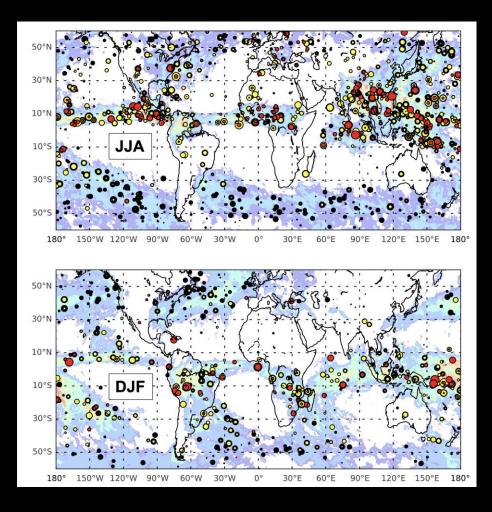
In the example shown,  $\Delta \phi$  exceeded a threshold value beginning at 6-km

Find the level of the  $\Delta \phi$  maximum

How does this compare to known precipitation patterns?

Padullés, R., Cardellach, E. & Rius, A., 2016. Untangling rain structure from polarimetric GNSS Radio Occultation observables: A 2D tomographic approach. *European Journal of Remote Sensing* 571–585. doi:<u>10.5721/eujrs20164930</u>.

# **Precipitation Climatology**



Geographical distribution of the upper percentile (top 2%) of the measured  $\Delta\phi$  from all ROHP observations

Each dot color denotes a vertical region where the  $\Delta \phi$  from all rays were averaged

0–5 km (black) 5–10 km (orange) 10–15 km (red)

The color contour background is IMERG over the same 3-month period

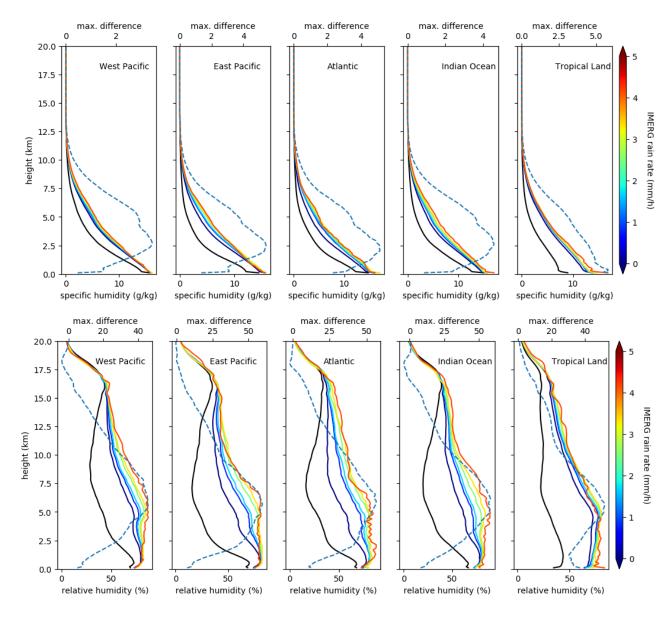
Good agreement with known global precipitation patterns

ROHP adds an additional indication of vertical precipitation structure

### Some Ideas for Use in Weather Modeling

- 1) Since the RO propagates through heavy precipitation, the  $\Delta \phi$  signal may directly reveal details on sensitivity to the water vapor structure, or model bias under heavy precipitation conditions.
- 2) Knowledge of the moist thermodynamic profile within precipitation may be useful to evaluate the convective parameterization schemes used in climate and NWP forecast models. Even if RO's are assimilated,  $\Delta \phi$  is a coincident, independent observation.
- Traditional RO's are already routinely assimilated in NWP models and have demonstrated positive impact. Advance RO forward observation operators and the assimilation of rain-affected data.
- 4) As the number of RO observations continues to increase, assess the value of RO's in close space/time proximity (versus the more homogeneous spread e.g. COSMIC-2), to capture close-by observations near low-level moisture gradients (indicative of the presence or development of heavy precipitation).
- 5) Improved depiction of 3-D water vapor structure from increasingly dense constellations of RO and ATMS-like passive MW sounders.

### Vertical Water Vapor Structure Conditioned on Precipitation



# Co-located PAZ and IMERG data

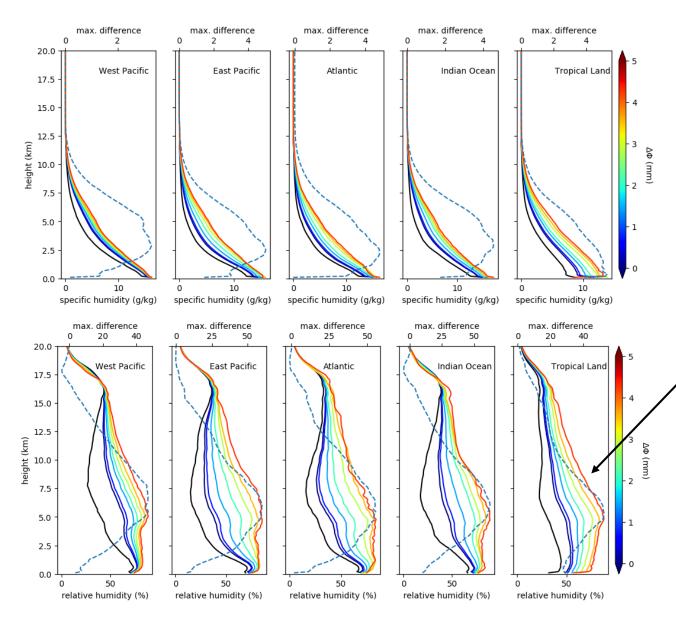
Specific humidity (top) Relative humidity (bottom)

Each region as a function of height (0-20 km)

Data are averaged on different IMERG **precipitation ranges** (solid colored lines, according to color scale)

Dashed line shows the difference between those profiles averaged when there is no precipitation, and those in the maximum precipitation bin, as a function of height.

### Vertical Water Vapor Structure Conditioned on Precipitation



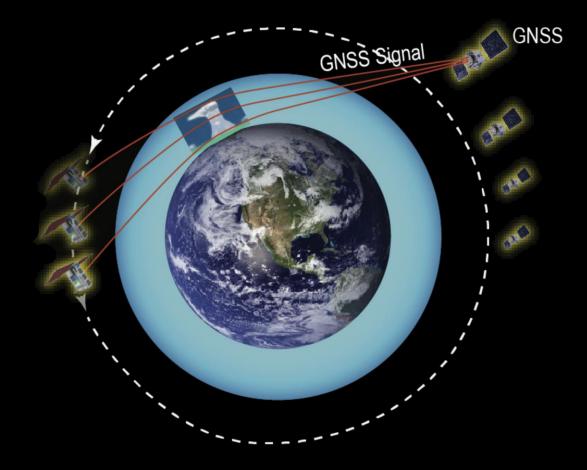
# Same data as shown in previous slide, the difference being:

Data are averaged on different ROHP  $\Delta \phi$ ranges (solid colored lines, according to color scale)

Importance of the free tropospheric water vapor controlling the onset of deep convection

Schiro, K. A. & J.D. Neelin, 2019. Deep Convective Organization, Moisture Vertical Structure, and Convective Transition Using Deep-Inflow Mixing. *J. Atmos. Sci.* **76**, 965–987.

### **Benefits of a Closely-Spaced Satellite Constellation of Atmospheric Polarimetric Radio Occultation Measurements**

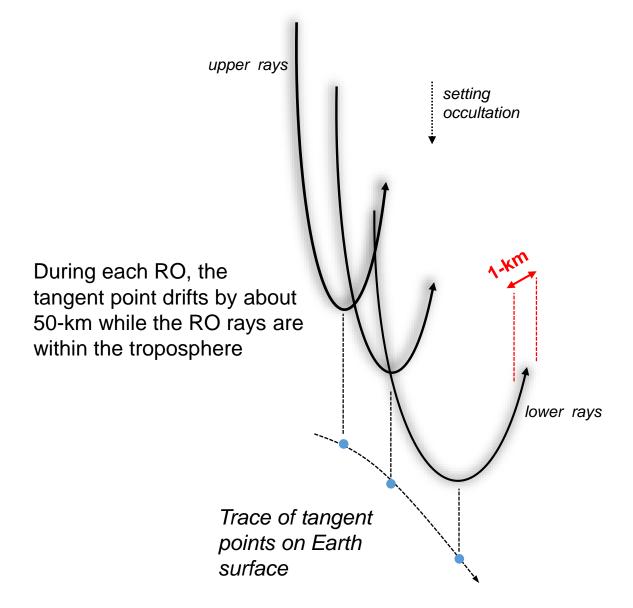


*Turk et. al., Remote Sens.* **2019**, *11*(20), 2399 <u>https://doi.org/10.3390/rs11202399</u> A polarimetric RO ray path from a ROHP observation may traverse and detect a region of heavy precipitation, but there is no direct way contrast the T/q profile with the surrounding environment

Most RO constellation orbits emphasize maximizing sampling density

A closely spaced train of PRO data may open up new perspectives on convective cloud processes

### **RO Horizontal Resolution: "Along-ray" View**

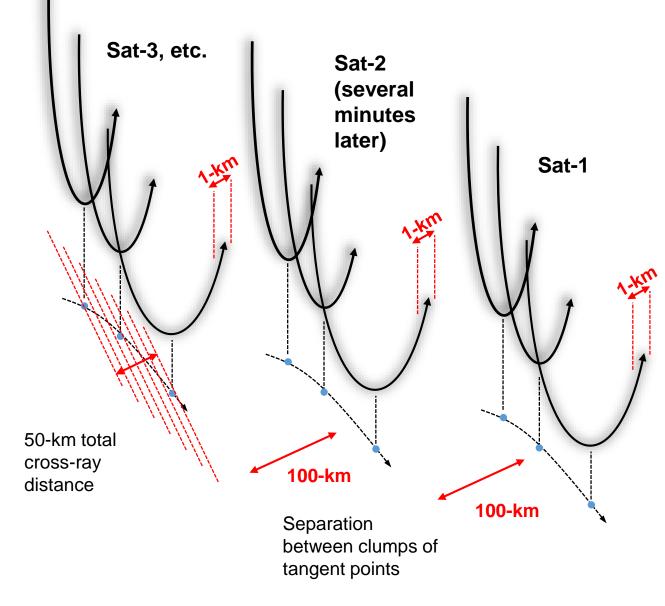


The resolution in the "along-ray" dimension is fairly coarse, ~150-km

Th resolution in the "across-ray" dimension is very high (1-km), finer than any current passive MW radiometer horizontal resolution

Essentially limited by the Fresnel volume of the propagation path

### Benefits of a Closely-Spaced Satellite Constellation of Atmospheric Polarimetric Radio Occultation Measurements



After an RO completes, there is an ~10-15-min time window when trailing receiving satellites (same orbital plane) see the same transmitting GNSS satellite

Each RO takes about 2-mins

Transmitting GNSS sat has moved by the time the next sat begins its RO

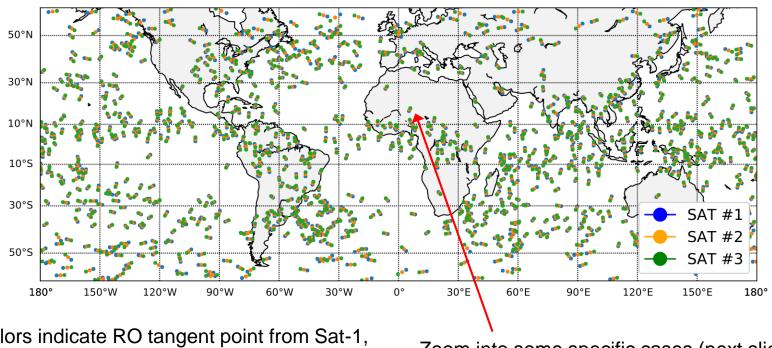
RO's are separated, but "clump" together

Rays paths are essentially "parallel" to each other (don't cross)

(conceptual only, not to scale)

### Benefits of a Closely-Spaced Satellite Constellation of Atmospheric Polarimetric Radio Occultation Measurements

- 3 satellites, 45-deg inclination, each with PRO capability
- Tracking GPS and GLONASS, nominal 2-min separation between adjacent satellites
- Precession of -3 deg/day is included to obtain realistic sampling
- Provides approx. 400,000 events/year (an "event" is all three RO's together)



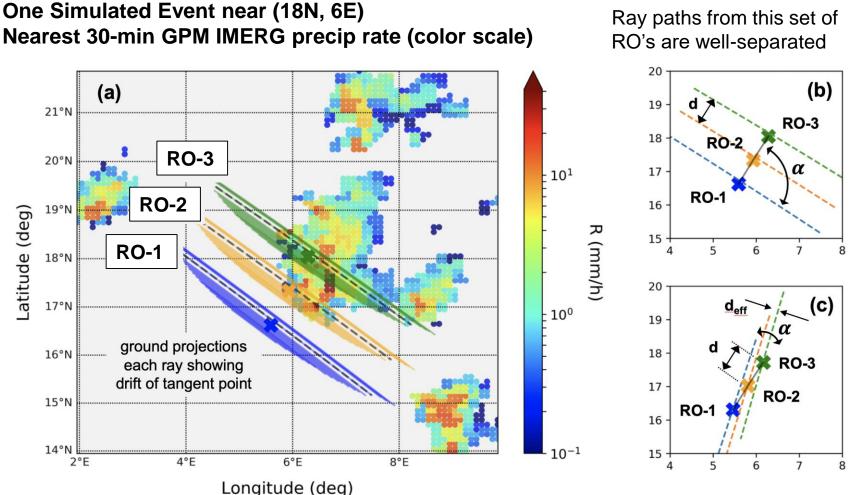
### One-Day Events Intersecting Extreme (IMERG) Precipitation ( $\Delta \phi > 6 \text{ mm}$ )

Colors indicate RO tangent point from Sat-1, Sat-2 and Sat-3

Zoom into some specific cases (next slide)

Note that the PRO tangent point locations tend to clump in triplicates

### Benefits of a Closely-Spaced Satellite Constellation of Atmospheric Polarimetric Radio Occultation Measurements

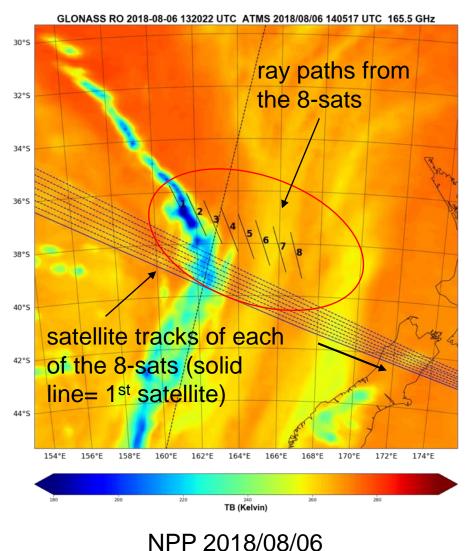


Depending upon the relative azimuth of the RO, others are less well-separated

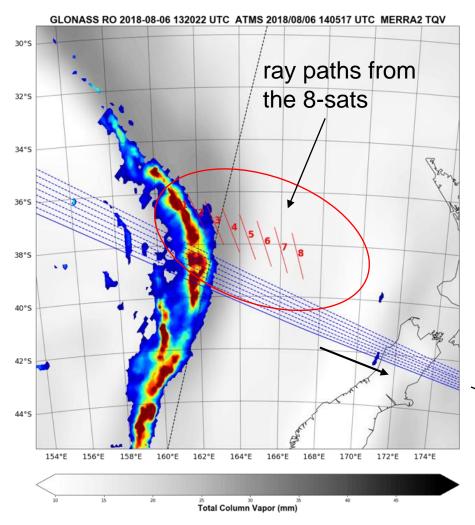
Only rays below 6-km shown Ray path (high rays) < ray path (lower rays)

### **Combining PRO and ATMS-like Passive MW Observations**

- Same simulation configuration as before, but 8 satellites instead of three
- High-frequency passive MW provides an additional constraint on cloud structure



ATMS 165.5 GHz TB (Kelvin)



Nearest 30-min GPM IMERG precip (colors) Interpolated MERRA2 total vapor (grayscale)

### **Recent Publications**

Cardellach, E., S. Oliveras, A. Rius, S. Tomás, C.O. Ao., G.W. Franklin, B.A. Iijima, D. Kuang, T. Meehan, R. Padullés, F.J. Turk, et al., 2019. Sensing Heavy Precipitation with GNSS Polarimetric Radio Occultations. *Geophysical Research Letters*, *46*, 1024–1031. https://doi.org/10.1029/2018GL080412

Padullés, R., C.O. Ao, F.J. Turk, and M. de la Torre-Juárez, B.A. Iijima, K.N. Wang, E. Cardellach, 2019. Calibration and Validation of the Polarimetric Radio Occultation and Heavy Precipitation experiment Aboard the PAZ Satellite. *Atmos. Meas. Techniques*, <u>https://doi.org/10.5194/amt-2019-237</u>

Turk, F.J.; Padullés, R.; Ao, C.O.; Juárez, M.T.; Wang, K.-N.; Franklin, G.W.; Lowe, S.T.; Hristova-Veleva, S.M.; Fetzer, E.J.; Cardellach, E.; Kuo, Y.-H.; Neelin, J.D., 2019. Benefits of a Closely-Spaced Satellite Constellation of Atmospheric Polarimetric Radio Occultation Measurements. *Remote Sens.*, *11*, 2399. <u>https://doi.org/10.3390/rs11202399</u>

Padullés, R., Cardellach, E., Wang, K. N., Ao, C. O., Turk, F. J., and de la Torre-Juárez, M., 2018. Assessment of GNSS radio occultation refractivity under heavy precipitation, *Atmospheric Chemistry and Physics*, <u>https://doi.org/10.5194/acp-2018-66</u>.

Juárez, M. de la T., R. Padullés, F.J. Turk, and E. Cardellach, 2018: Signatures of Heavy Precipitation on the Thermodynamics of Clouds Seen From Satellite: Changes Observed in Temperature Lapse Rates and Missed by Weather Analyses. *J. Geophys. Res: Atmospheres*, *123*, 13033-13045. <u>https://doi.org/10.1029/2017JD028170</u>

Tomás, S., Padullés, R. & Cardellach, E., 2018. Separability of Systematic Effects in Polarimetric GNSS Radio Occultations for Precipitation Sensing. *IEEE Transactions on Geoscience and Remote Sensing* **56**, 4633–4649. <u>https://doi.org/10.1109/TGRS.2018.2831600</u>

Cardellach, E., Padullés, R., Tomás, S, Turk, F. J., Ao, C. O., and de la Torre-Juárez, M., 2017. Probability of intense precipitation from polarimetric GNSS radio occultation observations, *Q. J. Royal Meteorological Soc*iety, 12. <u>https://doi.org/10.1002/qj.3161</u>

Padullés, R. Cardellach, E. de la Torre Juárez, M., Tomas, S., Turk, F. J., Oliveras, S., Ao, C. O. and Rius, A., 2016. Atmospheric polarimetric effects on GNSS Radio Occultations: the ROHP-PAZ field campaign, *Atmospheric Chemistry and Physics*, 16, 635-649, <u>https://doi.org/10.5194/acp-16-635-2016</u>.

Cardellach, E., Tomás, S., Oliveras, S., Padullés, R., Rius, A., De la Torre-Juárez, M., Turk, F.J., Ao, C.O., Kursinski, E.R., Schreiner, B., Ector, D. and Cucurull, L., 2014. Sensitivity of PAZ LEO Polarimetric GNSS Radio-Occultation Experiment to Precipitation Events, *IEEE Trans. Geoscience and Remote Sens.*, 53,190-206, <u>http://doi.org/10.1109/TGRS.2014.2320309</u>

### Summary

ROHP-PAZ has been successfully been collecting polarimetric RO for (as of May 2020) over two years, ROHP instrument fully functional

Data have been successfully calibrated and validated for  $\Delta \phi$  sensitivity to precipitation using coincidences with NASA Global Precipitation Measurement (GPM) data products (IMERG, DPR+GMI combined algorithm), and geostationary satellite imagery

Evidence of sensitivity to upper-level ice noted, by comparing ROHP data to simulations derived from 3-frequency (DPR Ku/Ka + CloudSat W-band) radar observations, and 166 GHz GMI polarization differences

Currently further analyzing for RO sensitivity to solid phase, including coldseason precipitation

Potential for use in weather modeling: Model diagnostics, independent validation data source, potential for DA

https://paz.ice.csic.es