

# Monochromatic plane waves

Plane waves have straight wave fronts

– As opposed to spherical waves, etc.

– Suppose



$$\mathbf{E}(\mathbf{r}) = \mathbf{E}_0 e^{i\mathbf{k}\cdot\mathbf{r}}$$

$$\mathbf{E}(\mathbf{r}, t) = \text{Re}\{\mathbf{E}(\mathbf{r})e^{-i\omega t}\}$$

$$= \text{Re}\{\mathbf{E}_0 e^{i\mathbf{k}\cdot\mathbf{r}} e^{-i\omega t}\}$$

$$= \text{Re}\{\mathbf{E}_0 e^{i(\mathbf{k}\cdot\mathbf{r} - \omega t)}\}$$

–  $\mathbf{E}_0$  still contains: amplitude, polarization, phase

– Direction of propagation given by wavevector:

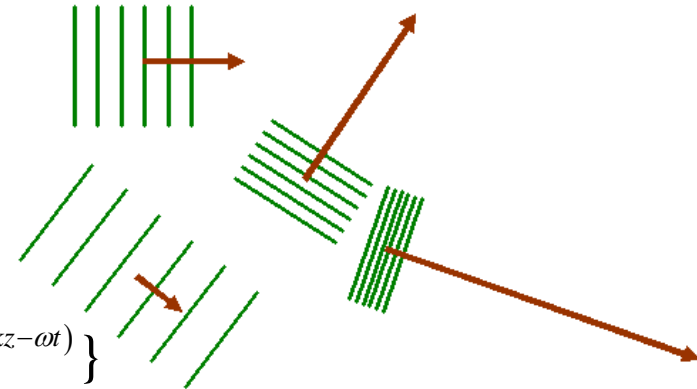
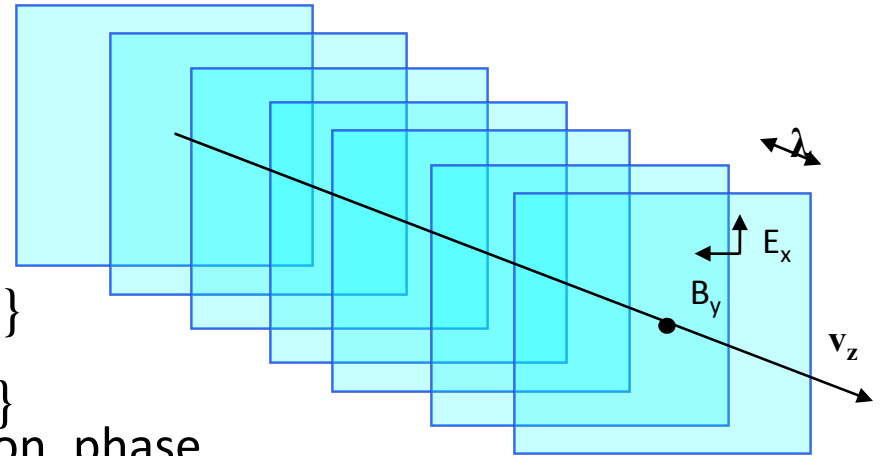
$$\mathbf{k} = (k_x, k_y, k_z) \text{ where } |\mathbf{k}| = 2\pi/\lambda = \omega/c$$

– Can also define

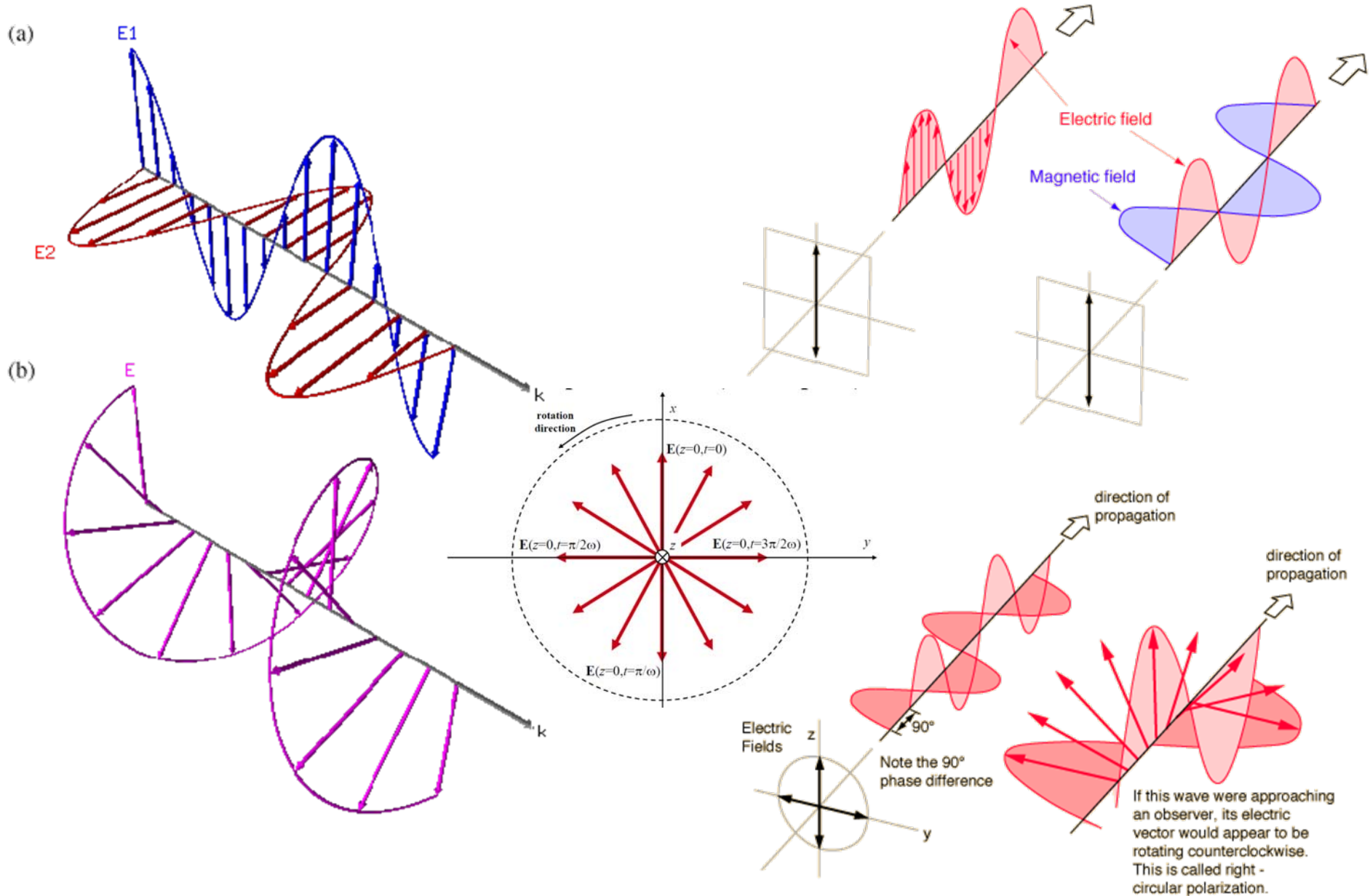
$$\mathbf{E} = (E_x, E_y, E_z)$$

– Plane wave propagating in z-direction

$$\mathbf{E}(z, t) = \text{Re}\{\mathbf{E}_0 e^{i(kz - \omega t)}\} = \frac{1}{2}\{\mathbf{E}_0 e^{i(kz - \omega t)} + \mathbf{E}_0^* e^{-i(kz - \omega t)}\}$$



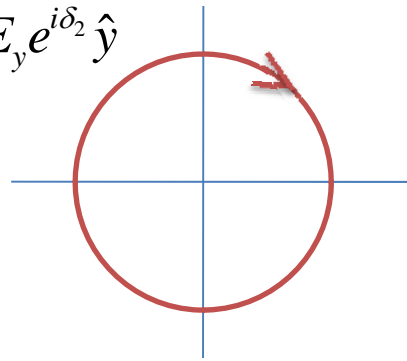
# Linear versus Circular polarization



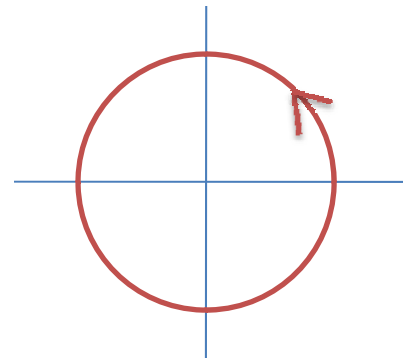
# Polarization: Summary

$$\vec{E} = E_x e^{i\delta_1} \hat{x} + E_y e^{i\delta_2} \hat{y}$$

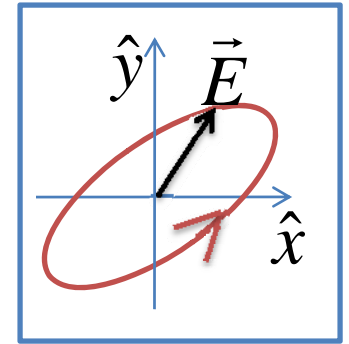
linear polarization  
y-direction



right circular  
polarization

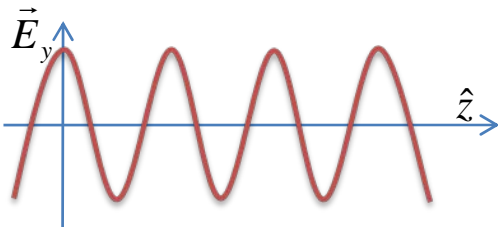
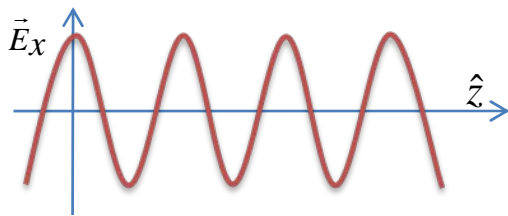


left circular  
polarization

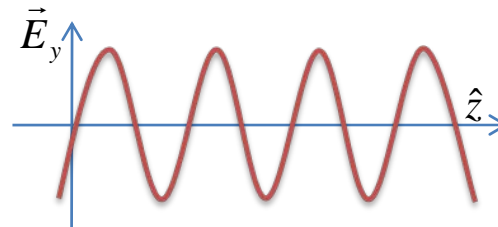
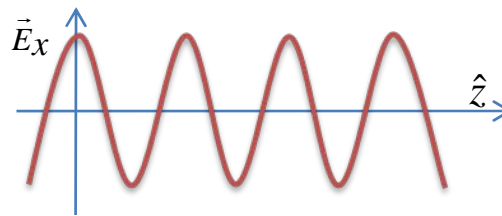


left elliptical  
polarization

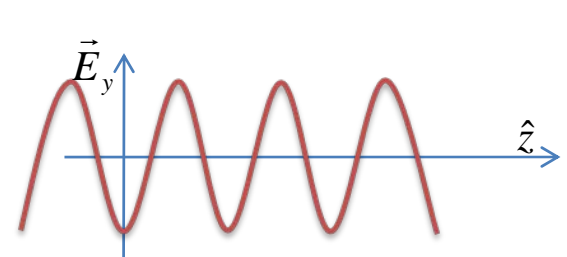
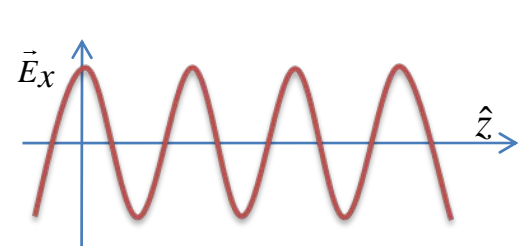
Phase difference =  $0^0$



Phase difference  $\rightarrow$   
 $90^0 (\pi/2, \lambda/4)$



Phase difference  $\rightarrow$   
 $180^0 (\pi, \lambda/2)$

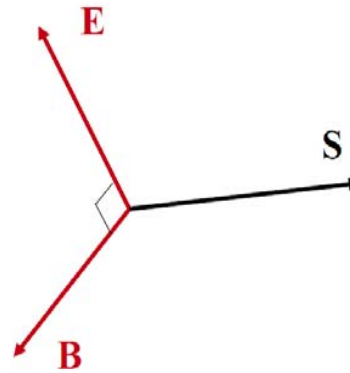


# Poynting vector & Intensity of Light $\mathbf{S} = \mathbf{E} \times \mathbf{H}$

Summary (free space or isotropic media)

$$\mathbf{S} = \frac{1}{\mu_0} \mathbf{E} \times \mathbf{B}; \quad \|\mathbf{S}\| = c\epsilon_0 \|\mathbf{E}\|^2 \quad \text{Poynting vec}$$

$$\langle \|\mathbf{S}\| \rangle = \frac{1}{T} \int_t^{t+T} \|\mathbf{S}\| dt \quad \text{Irradiance (or intensity)}$$



$$\mathbf{S} = \frac{1}{\mu_0} \mathbf{E} \times \mathbf{B} = c^2 \epsilon_0 \mathbf{E} \times \mathbf{B}$$

so in free space

$$\mathbf{S} \parallel \mathbf{k}$$

$\mathbf{S}$  has units of  $\text{W}/\text{m}^2$   
so it represents  
energy flux (energy per  
unit time & unit area)

- Poynting vector describes flows of E-M power
- Power flow is directed along this vector
  - Usually parallel to  $\mathbf{k}$
- Intensity is equal to the magnitude of the time averaged Poynting vector:  $I = \langle \mathbf{S} \rangle$

$$\langle \|\mathbf{S}\| \rangle = I \equiv \langle \mathbf{E}(t) \times \mathbf{H}(t) \rangle = \frac{c\epsilon_0}{2} E^2 = \frac{c\epsilon_0}{2} (E_x^2 + E_y^2)$$

$$c\epsilon_0 \approx 2.654 \times 10^{-3} \text{ A/V}$$

**example**  $E = 1 \text{ V/m}$

$$I = ? \text{ W/m}^2$$

$$\hbar\omega[\text{eV}] = \frac{1239.85}{\lambda[\text{nm}]}$$

$$\hbar = 1.05457266 \times 10^{-34} \text{ Js}$$

# Maxwell's equations in a medium (source-free)

The induced polarization,  $P$ , contains the effect of the medium:

$$\begin{aligned}\vec{\nabla} \cdot \vec{E} &= 0 & \vec{\nabla} \times \vec{E} &= -\mu \frac{\partial \vec{H}}{\partial t} & \vec{B} &= \mu \vec{H} \\ \vec{\nabla} \cdot \vec{H} &= 0 & \vec{\nabla} \times \vec{H} &= \varepsilon_0 \frac{\partial \vec{E}}{\partial t} + \frac{\partial \vec{P}}{\partial t} = \varepsilon \frac{\partial \vec{E}}{\partial t} & \vec{D} &= \varepsilon_0 \vec{E} + \vec{P} = \varepsilon \vec{E} \\ & & & & \vec{P} &= \varepsilon_0 \chi \vec{E}\end{aligned}$$

The polarization is proportional to the field:

$$\vec{P} = \varepsilon_0 \chi \vec{E}$$

This has the effect of simply changing the dielectric constant (refractive index  $n$ ):

$$\varepsilon = \varepsilon_0 (1 + \chi) = n^2$$

# Wave equations in a medium

The induced polarization in Maxwell's Equations yields another term in the wave equation:

$$\frac{\partial^2 \mathbf{E}}{\partial z^2} - \mu\epsilon \frac{\partial^2 \mathbf{E}}{\partial t^2} = 0 \quad \frac{\partial^2 \mathbf{E}}{\partial z^2} - \frac{1}{v^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} = 0$$

This is the **Inhomogeneous Wave Equation**.

The polarization is the driving term for a new solution to this equation.

$$\frac{\partial^2 \mathbf{E}}{\partial z^2} - \mu_0\epsilon_0 \frac{\partial^2 \mathbf{E}}{\partial t^2} = 0 \quad \frac{\partial^2 \mathbf{E}}{\partial z^2} - \frac{1}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} = 0$$

**Homogeneous (Vacuum) Wave Equation**

$$\mathbf{E}(z, t) = \text{Re}\{\mathbf{E}_0 e^{i(kz - \omega t)}\}$$

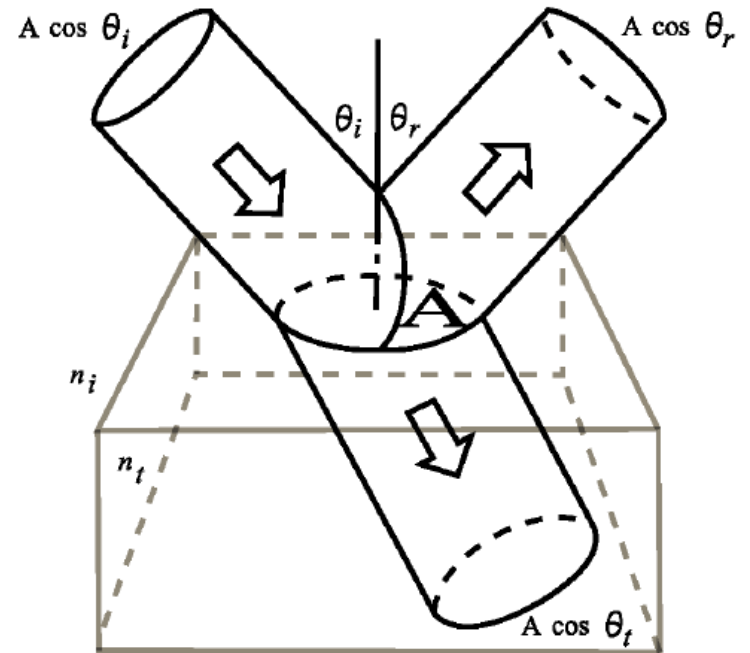
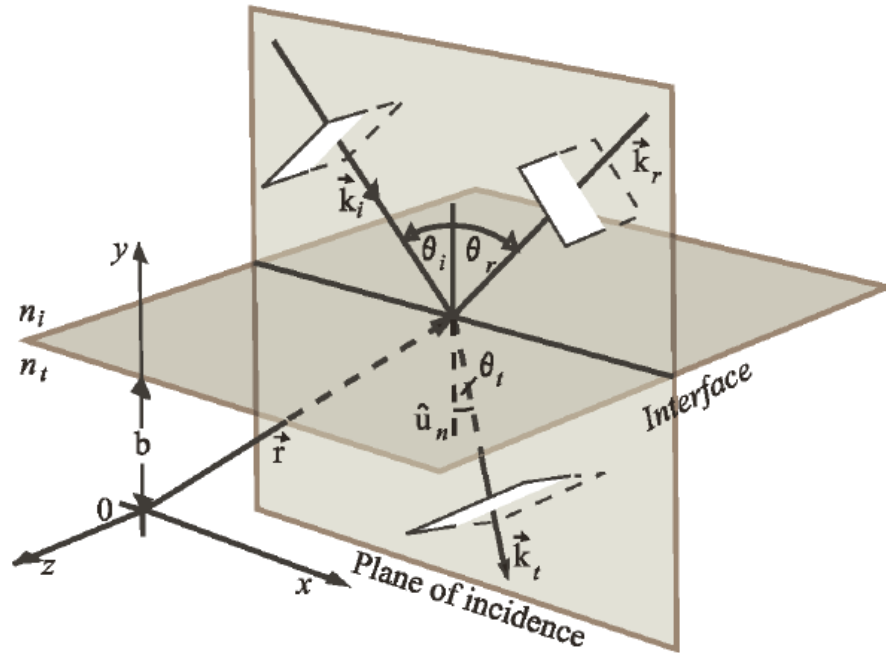
$$= \frac{1}{2} \{\mathbf{E}_0 e^{i(kz - \omega t)} + \mathbf{E}_0^* e^{-i(kz - \omega t)}\}$$

$$= |\mathbf{E}_0| \cos(kz - \omega t)$$

$$\frac{c}{v} = n$$

Phase velocity

# Reflection and Transmission @ dielectric interface

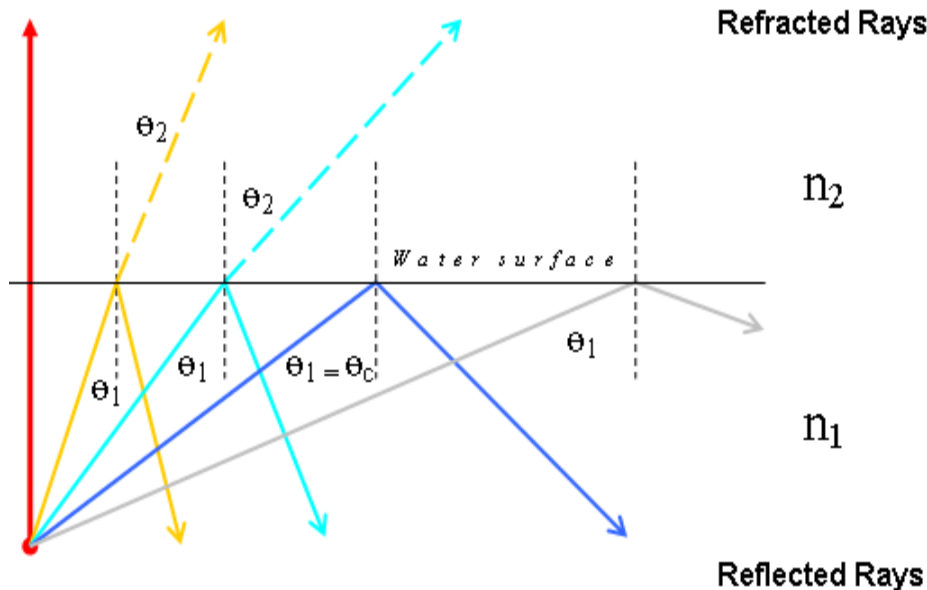
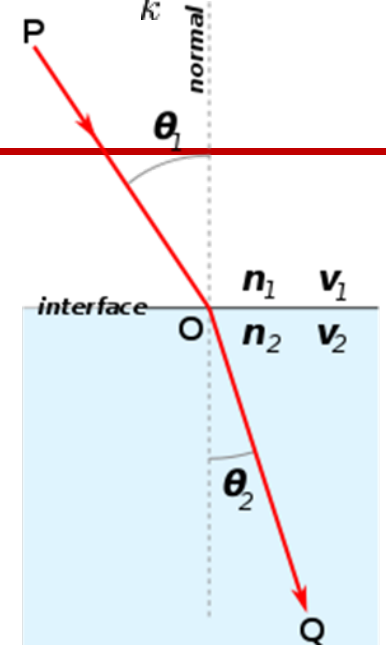


# Snell's Law

$$n_1 \sin \theta_1 = n_2 \sin \theta_2 .$$

$$\begin{aligned} k_{x\text{Region1}} &= k_{x\text{Region2}} \\ n_1 k_0 \sin \theta_1 &= n_2 k_0 \sin \theta_2 \\ n_1 \sin \theta_1 &= n_2 \sin \theta_2 \end{aligned}$$

$$k_0 = \frac{2\pi}{\lambda_0} = \frac{\omega}{c}$$

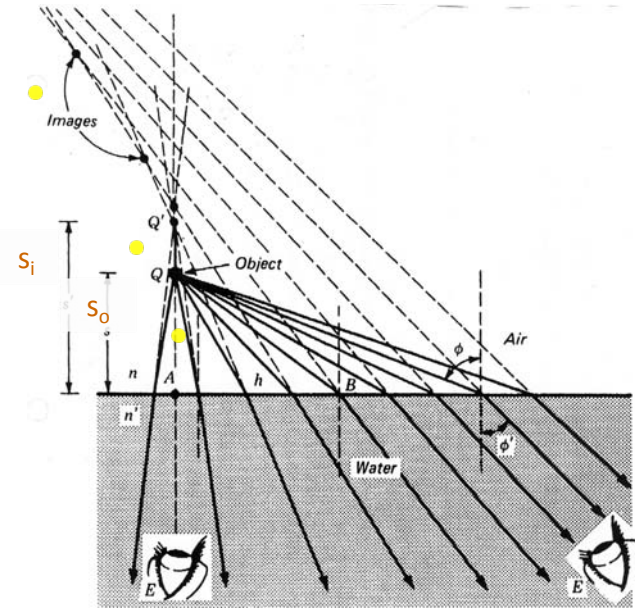
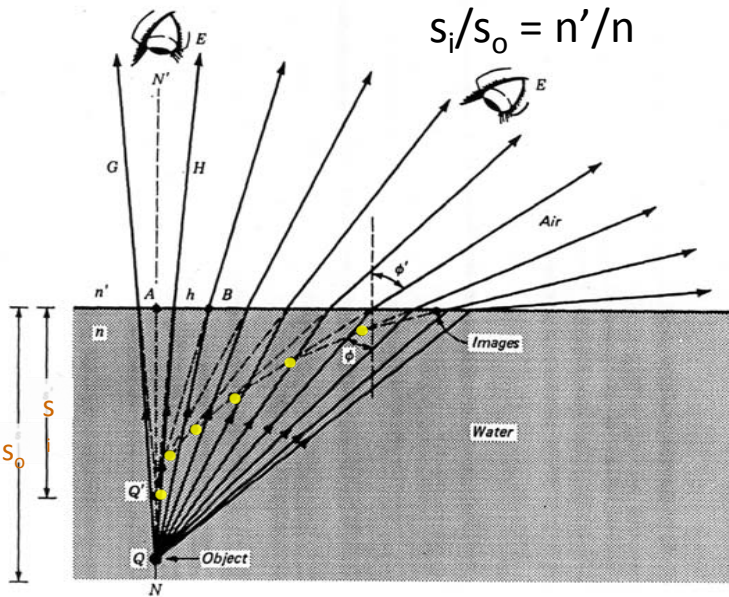


$$\sin \theta_2 = \frac{n_1}{n_2} \sin \theta_1 = 1.333 \cdot 0.766 = 1.021,$$

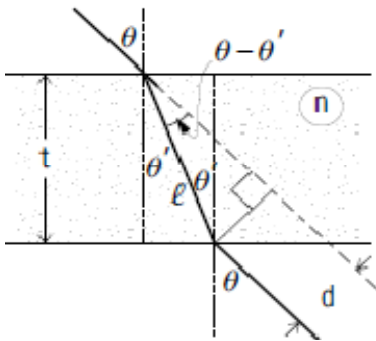
$$\theta_{\text{crit}} = \arcsin \left( \frac{n_2}{n_1} \sin \theta_2 \right) = \arcsin \frac{n_2}{n_1} = 48.6^\circ.$$



# The fish problem ...

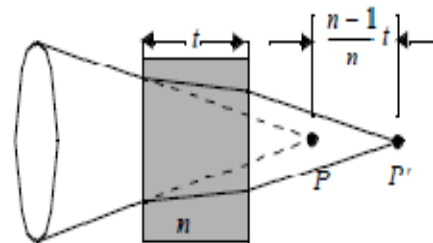


## Displacement by a glass plate



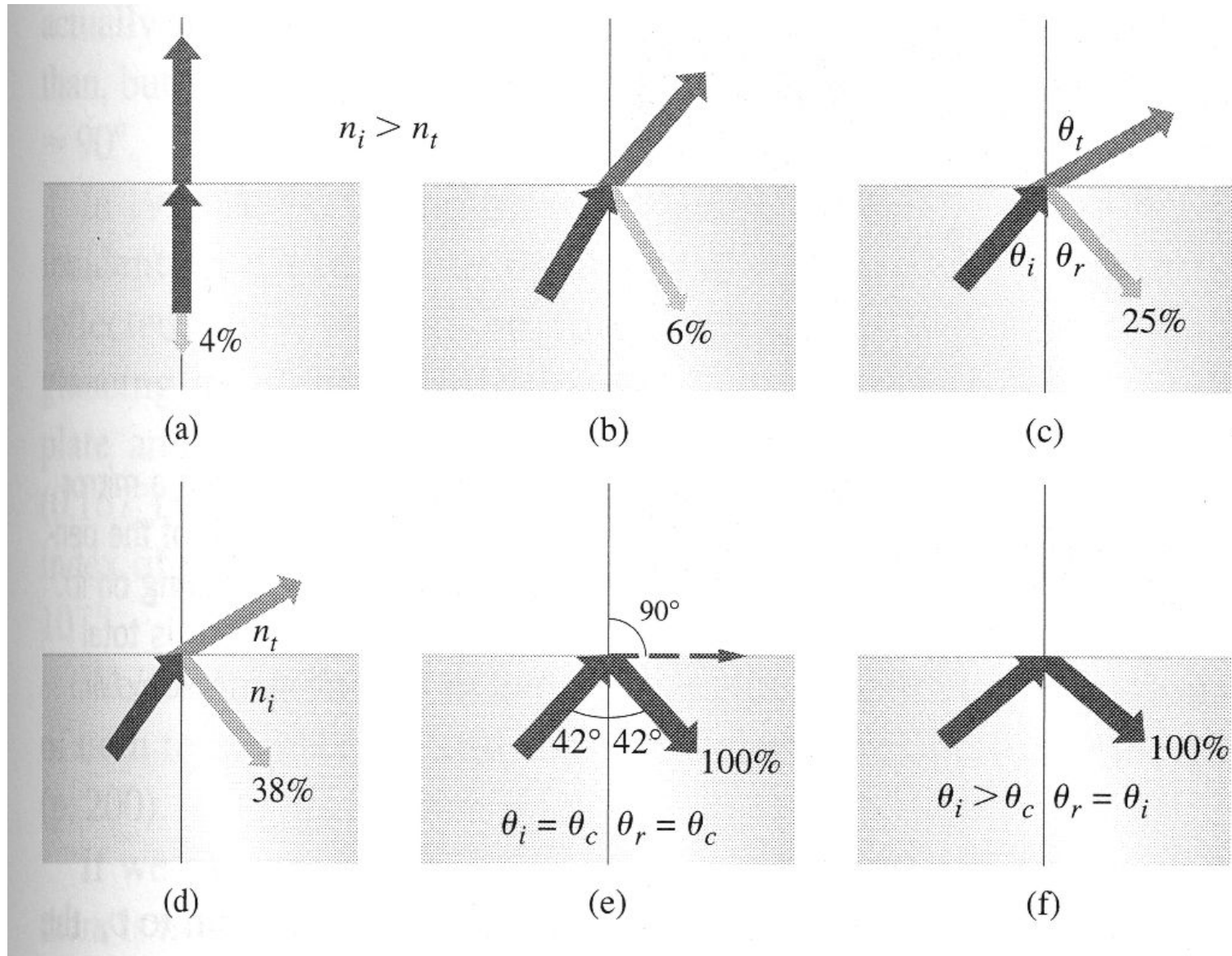
$$d = \frac{t \sin(\theta - \theta')}{\cos(\theta')}$$

## Plane parallel plate placed in between a lens and its focus:



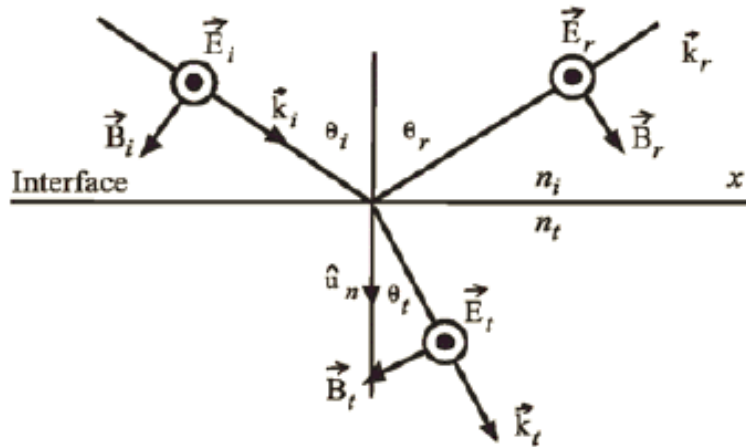
A simple calculation based on the paraxial approximation shows that the focus is displaced by amount  $\frac{n-1}{n}t$ . However, at steeper incidence angles, the focal shift becomes a function of the incidence angle, which leads to spherical aberration.

# Reflection and refraction at a flat dielectric interface



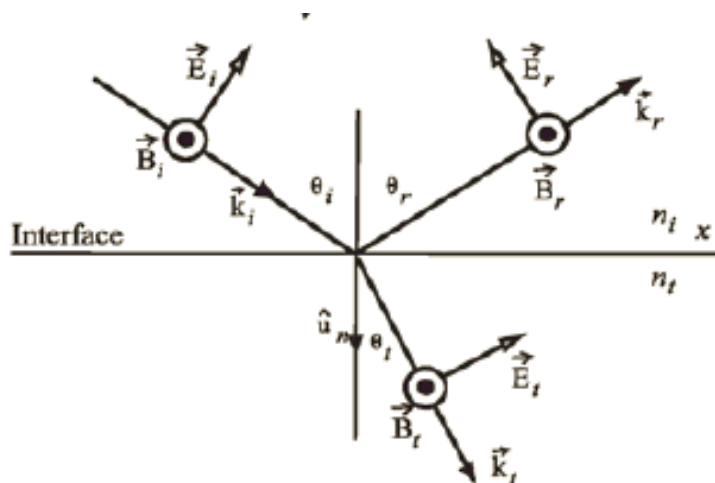
# Reflection and Transmission (Fresnel's equations)

Can be deduced from the application of boundary conditions of EM waves.



$$r_{\perp} = \left( \frac{E_{0r}}{E_{0i}} \right)_{\perp} = \frac{n_i \cos \theta_i - n_t \cos \theta_t}{n_i \cos \theta_i + n_t \cos \theta_t}$$

$$t_{\perp} = \left( \frac{E_{0t}}{E_{0i}} \right)_{\perp} = \frac{2n_i \cos \theta_i}{n_i \cos \theta_i + n_t \cos \theta_t}$$



$$r_{\parallel} = \left( \frac{E_{0r}}{E_{0i}} \right)_{\parallel} = \frac{n_t \cos \theta_i - n_i \cos \theta_t}{n_t \cos \theta_i + n_i \cos \theta_t}$$

$$t_{\parallel} = \left( \frac{E_{0t}}{E_{0i}} \right)_{\parallel} = \frac{2n_i \cos \theta_t}{n_t \cos \theta_i + n_i \cos \theta_t}$$

# Normal Incidence

$$r_{\perp} = \left( \frac{E_{0r}}{E_{0i}} \right)_{\perp} = \frac{n_i \cos \theta_i - n_t \cos \theta_t}{n_i \cos \theta_i + n_t \cos \theta_t}$$

$$t_{\perp} = \left( \frac{E_{0t}}{E_{0i}} \right)_{\perp} = \frac{2n_i \cos \theta_i}{n_i \cos \theta_i + n_t \cos \theta_t}$$

$$r_{\parallel} = \left( \frac{E_{0r}}{E_{0i}} \right)_{\parallel} = \frac{n_t \cos \theta_i - n_i \cos \theta_t}{n_t \cos \theta_i + n_i \cos \theta_t}$$

$$t_{\parallel} = \left( \frac{E_{0t}}{E_{0i}} \right)_{\parallel} = \frac{2n_i \cos \theta_t}{n_t \cos \theta_i + n_i \cos \theta_t}$$

Note: independent of polarization

$$\theta_i = 0 \text{ and } \theta_t = 0$$



$$r_{\perp} = r_{\parallel} = \frac{n_t - n_i}{n_t + n_i}$$

$$t_{\perp} = t_{\parallel} = \frac{2n_i}{n_t + n_i}$$

$$R_{\perp} = R_{\parallel} = \left( \frac{n_t - n_i}{n_t + n_i} \right)^2$$

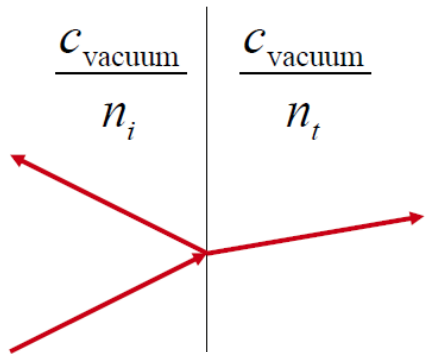
$$T_{\perp} = T_{\parallel} = \frac{4n_t n_i}{(n_t + n_i)^2}$$

# Reflection and Transmission of Energy @ dielectric interfaces

Recall Poynting vector definition:

$$\|\mathbf{S}\| = c\epsilon_0 \|\mathbf{E}\|^2$$

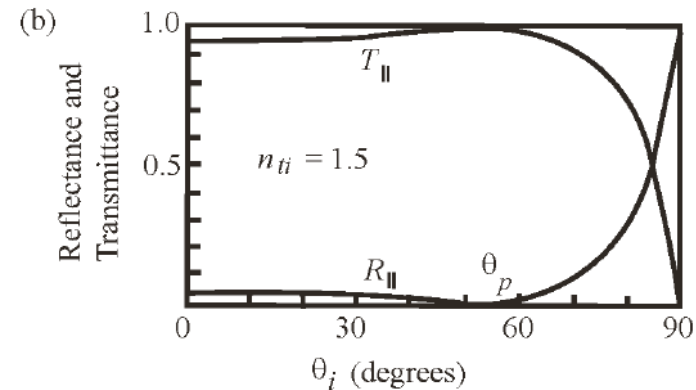
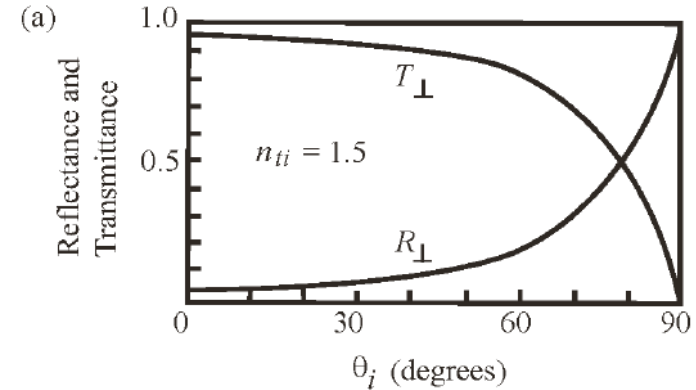
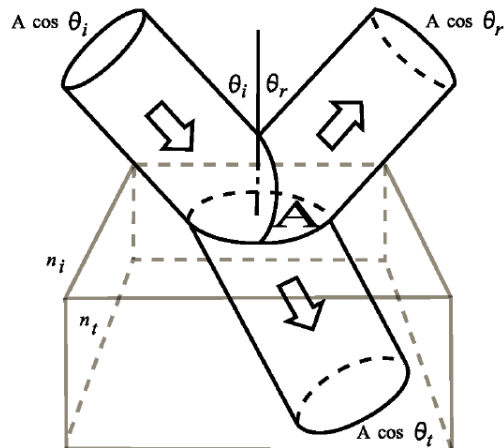
different on the two sides of the interface



$$R = \left( \frac{E_{0r}}{E_{0i}} \right)^2 = r^2$$

$$T = \frac{n_t \cos \theta_t}{n_i \cos \theta_i} \left( \frac{E_{0t}}{E_{0i}} \right)^2 = \frac{n_t \cos \theta_t}{n_i \cos \theta_i} t^2$$

$$R + T = 1, \text{ i.e. } r^2 + \frac{n_t \cos \theta_t}{n_i \cos \theta_i} t^2 = 1$$





# Prisms

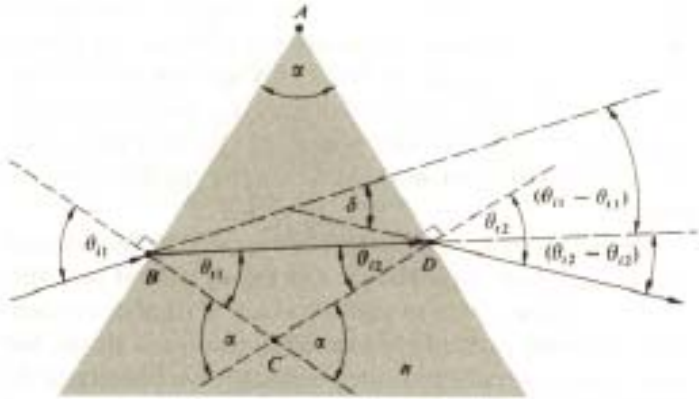


Figure 5.56 Geometry of a dispersing prism.

The total deviation:

$$\delta = (\theta_{i1} - \theta_{r1}) + (\theta_{i2} - \theta_{r2})$$

Since  $\alpha = \theta_{i1} + \theta_{i2}$ ,

$$\delta = \theta_{i1} + \theta_{i2} - \alpha$$

From Snell's law

$$\theta_{r2} = \sin^{-1}(n \sin \theta_{i2}) = \sin^{-1}[(\sin \alpha)(n^2 - \sin^2 \theta_{i1})^{1/2} - \sin \theta_{i1} \cos \alpha]$$

The deviation is then

$$\delta = \theta_{i1} + \sin^{-1}[(\sin \alpha)(n^2 - \sin^2 \theta_{i1})^{1/2} - \sin \theta_{i1} \cos \alpha] - \alpha$$

$\delta$  increases with  $n$ . For visible light,  $n$  increases as frequency increases.

Therefore, blue light deviates more than red light.

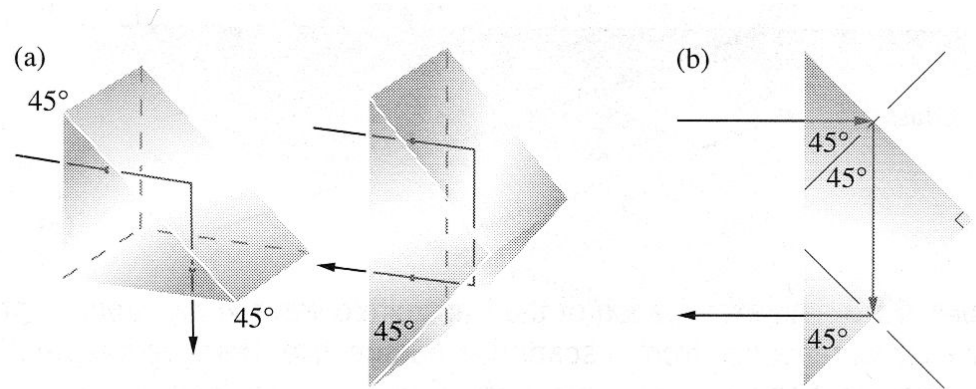
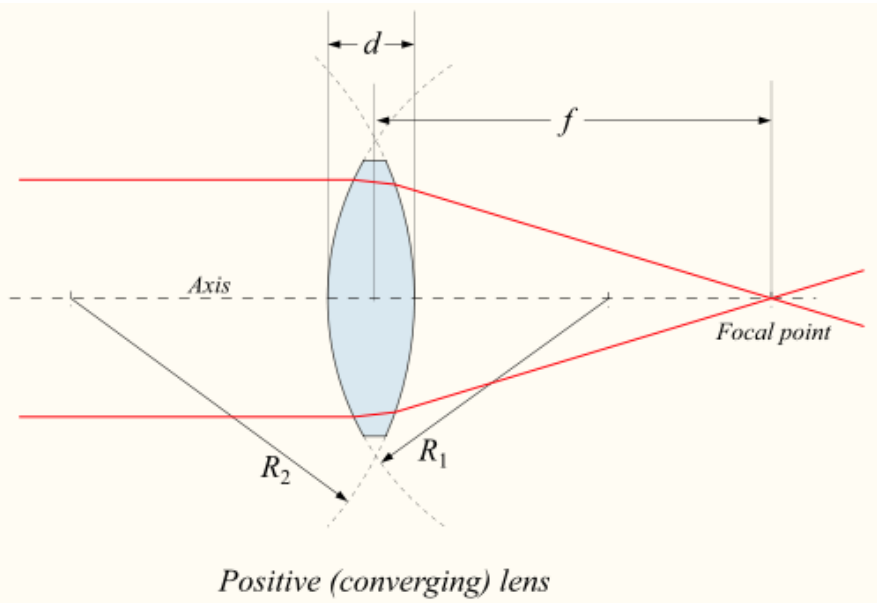


Figure 4.52 Total internal reflection.

# Thin Lens Imaging



Paraxial approximation

$$\sin(\theta) \approx \tan(\theta) \approx \theta$$

$$\cos(\theta) \approx 1$$

See Hecht Ch. 5 and review the following Equations. **“Sign” convention :**

**(See Hecht Table 5.1, Fig. 5.12, Table 5.2)**

$$\frac{1}{f} = \frac{1}{s_0} + \frac{1}{s_i}$$

$$x_0 x_i = f^2$$

$$M_T \equiv \frac{y_i}{y_0} = -\frac{s_i}{s_0}$$

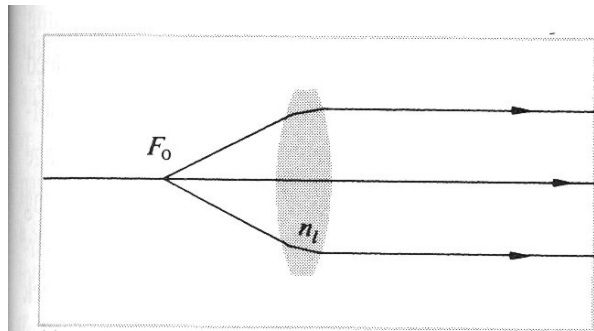
$$M_L \equiv \frac{dx_i}{dx_0} = -\frac{f^2}{x_0^2}$$

$$\frac{1}{f} = (n - 1) \left[ \frac{1}{R_1} - \frac{1}{R_2} + \frac{(n - 1)d}{nR_1R_2} \right], \quad \text{Thick lens}$$

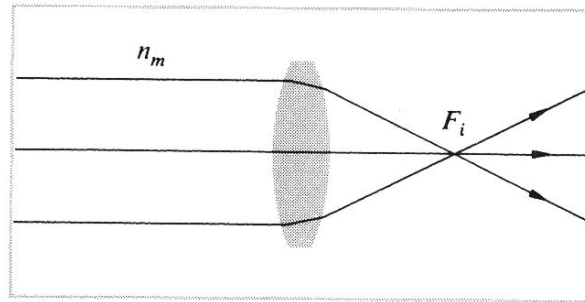
$$\frac{1}{f} \approx (n - 1) \left[ \frac{1}{R_1} - \frac{1}{R_2} \right]. \quad n = n_l/n_m \quad \text{Thin lens (d is negligible)}$$

Object

Image

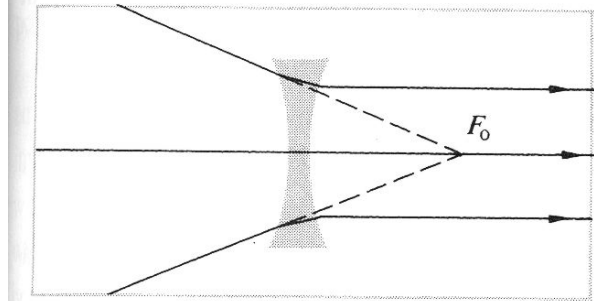


(a)

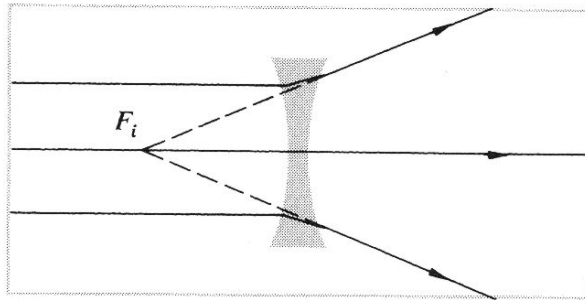


(b)

Bi-convex



(d)

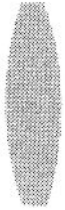



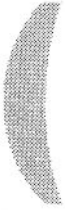
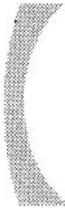


(e)

Bi-concave

$$\frac{1}{s_o} + \frac{1}{s_i} = \frac{(n_l - n_m)}{n_m} \left[ \frac{1}{R_1} - \frac{1}{R_2} \right] = (n_{lm} - 1) \left[ \frac{1}{R_1} - \frac{1}{R_2} \right] = \frac{1}{f}$$



CONVEX	CONCAVE
 $R_1 > 0$ $R_2 < 0$ <p>Bi-convex</p>	 $R_1 < 0$ $R_2 > 0$ <p>Bi-concave</p>
 $R_1 = \infty$ $R_2 < 0$ <p>Planar convex</p>	 $R_1 = \infty$ $R_2 > 0$ <p>Planar concave</p>
 $R_1 > 0$ $R_2 > 0$ <p>Meniscus convex</p>	 $R_1 > 0$ $R_2 > 0$ <p>Meniscus concave</p>

Positive

Negative

$$\frac{n_m}{s_o} + \frac{n_m}{s_i} = (n_l - n_m) \left[ \frac{1}{R_1} - \frac{1}{R_2} \right]$$

$n_l$  is the lens index,  $n_m$  is the medium index

$$\frac{1}{s_o} + \frac{1}{s_i} = (n_{lm} - 1) \left[ \frac{1}{R_1} - \frac{1}{R_2} \right] = \frac{1}{f}$$

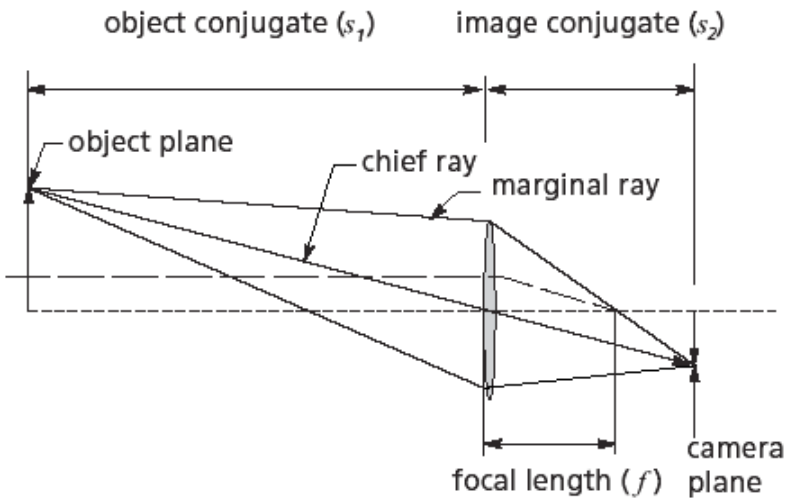
A ray propagates from left to right, passing through surface 1, then surface 2

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If  $R_1 < R_2$ , and  $R_1, R_2 > 0$ ,  $[\ ] > 0$  (**convex**)

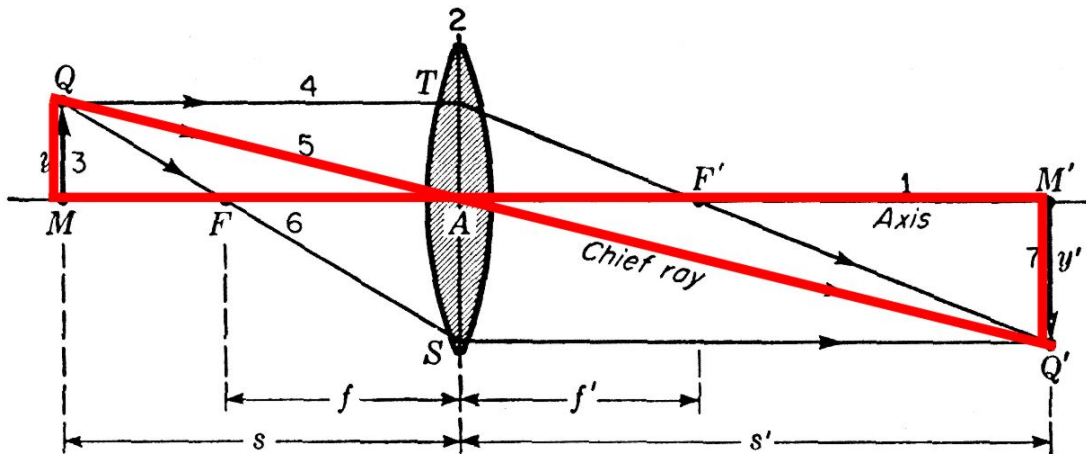
If  $R_1 > R_2$ , and  $R_1, R_2 > 0$ ,  $[\ ] < 0$  (**concave**)

# Imaging by a single thin lens

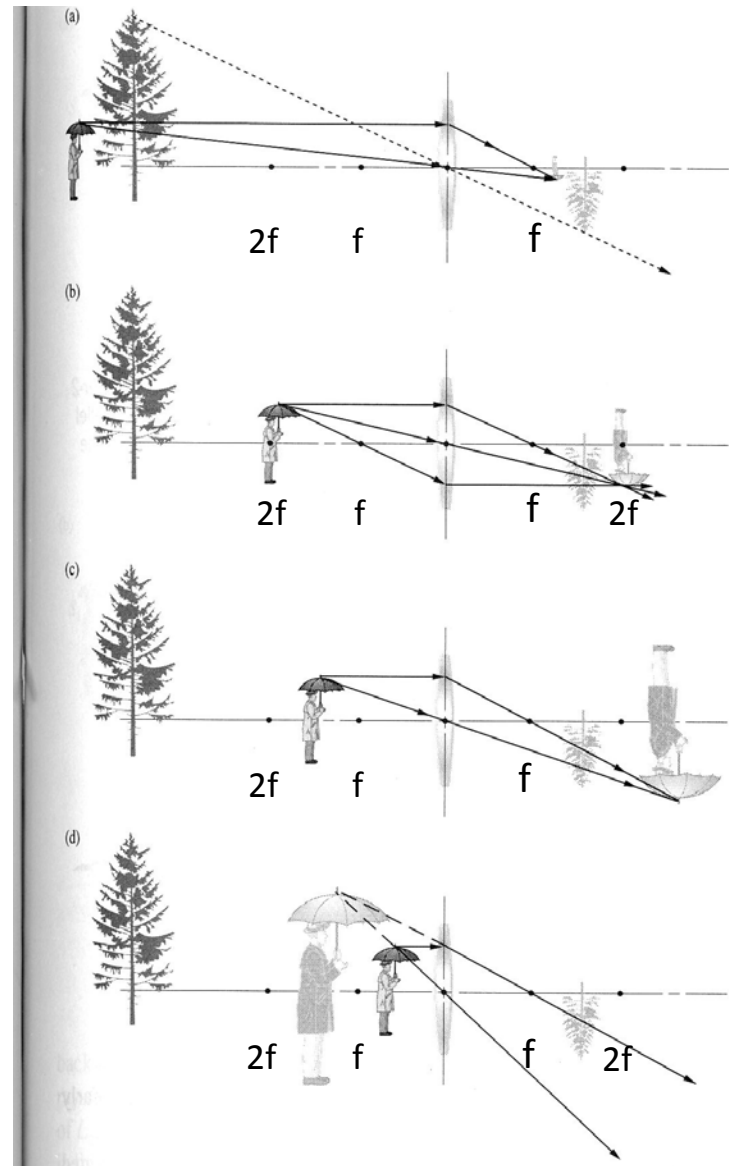


Ray tracing  $s > f$

Positive lens: real, inverted image



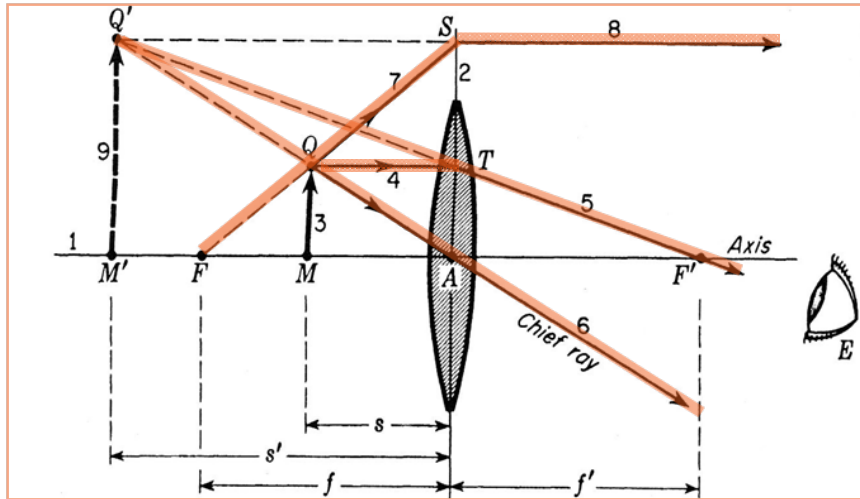
magnification  $M_T = y'/y = -s'/s = -s_i/s_o$



# Magnifying glass

Ray tracing  $s < f$

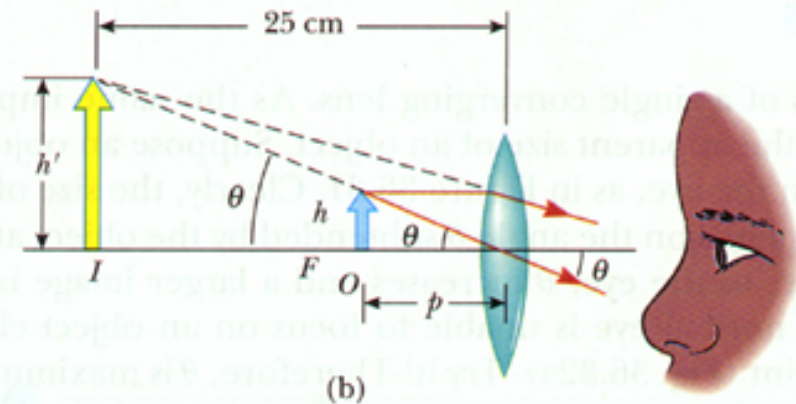
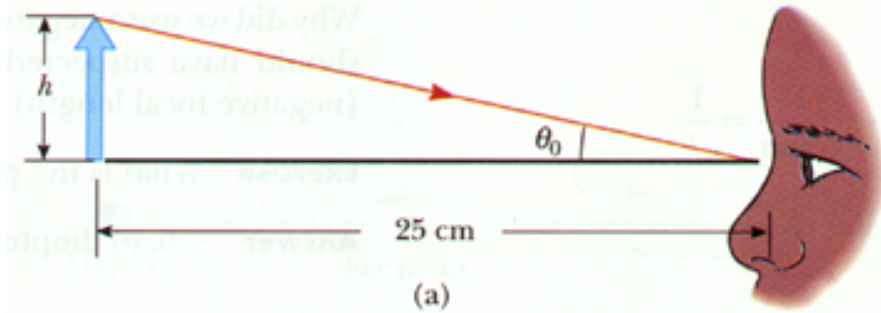
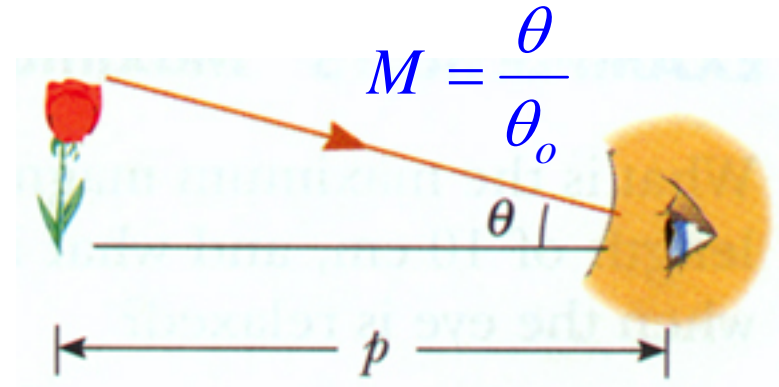
Positive lens: virtual, erect image



Magnifying glass

$$M = 1 + \frac{25\text{cm}}{f} \quad \text{For reading distance}$$

$$M = \frac{25\text{cm}}{f} \quad \text{For infinite image}$$



# Negative lenses: Real and virtual images

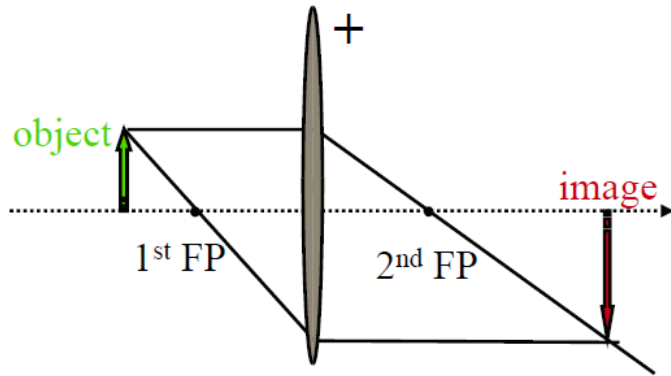


image: real & inverted;  $M_T < 0$

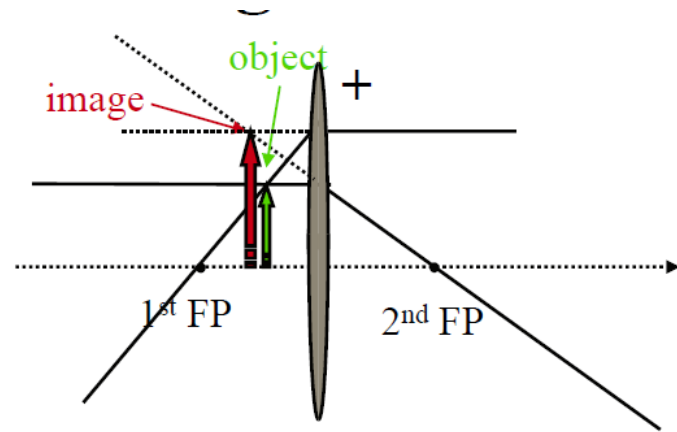


image: virtual & erect;  $M_T > 1$

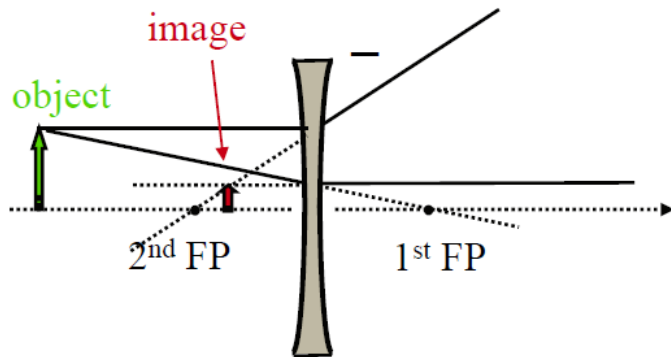


image: virtual & erect;  $0 < M_T < 1$

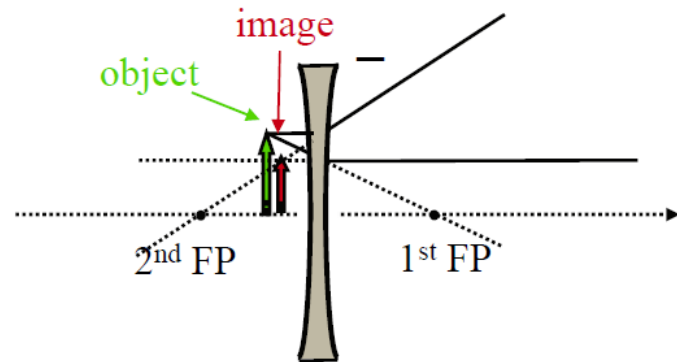
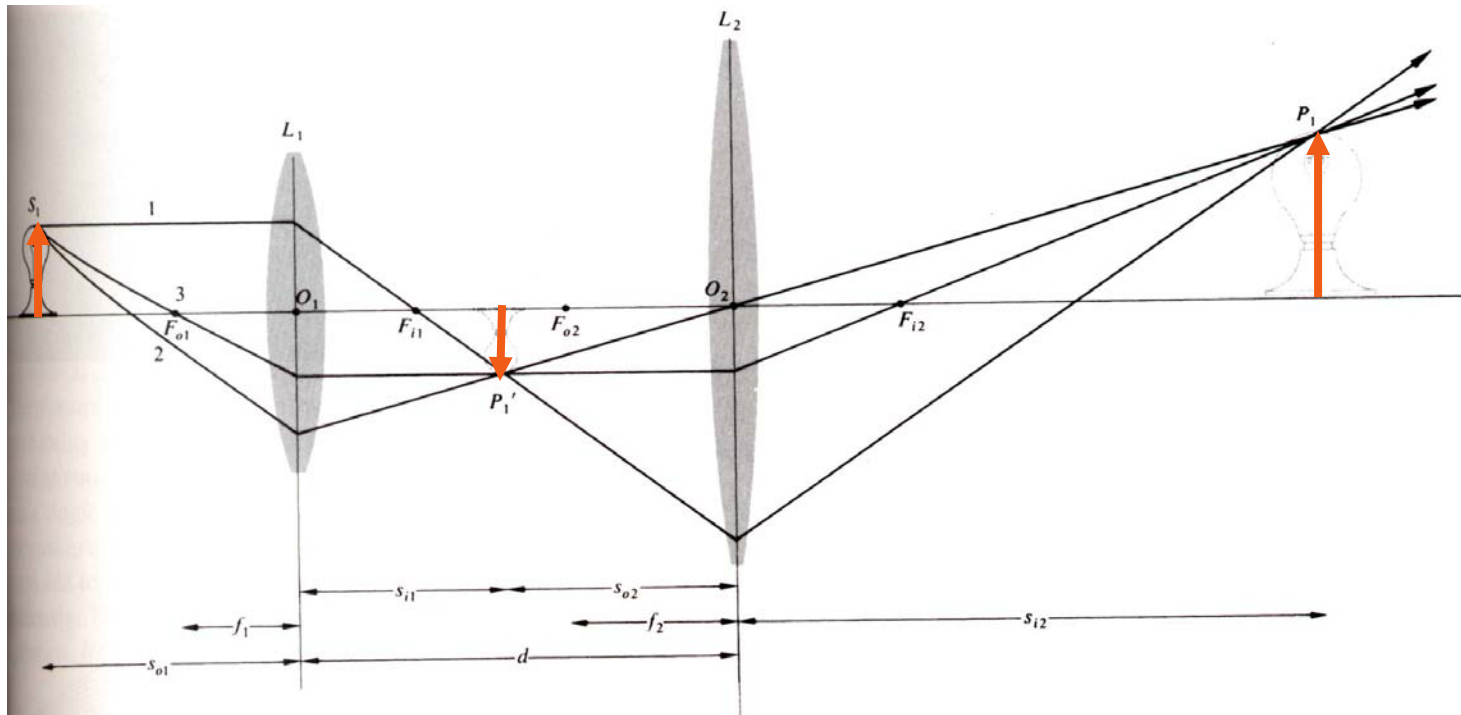


image: virtual & erect;  $0 < M_T < 1$

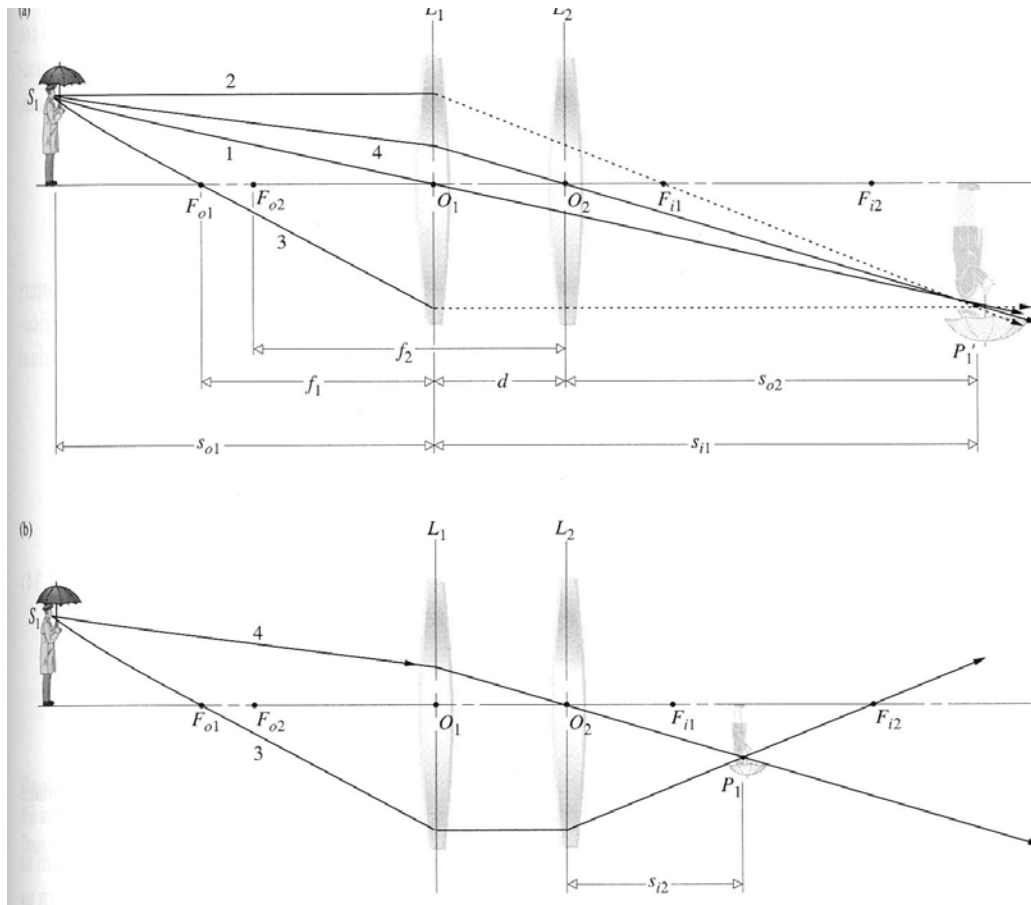
# Lens Combination



1. Form intermediate image from  $L_1$  at  $P'$ .
2. Use intermediate image at  $P'$  as input to  $L_2$ .

# Ray tracing – two lens combination

Lens distance  $d < f$ , i.e.  $f_1$  or  $f_2$



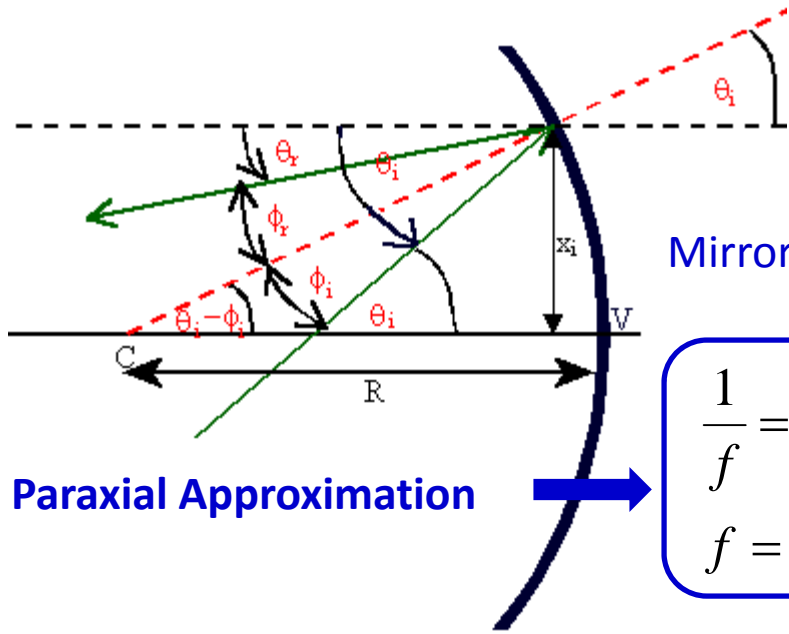
1. Draw rays 2 & 3 without the presence of  $L_2$ . Form intermediate image at  $P'$ .

2. Draw ray 4 through  $O_2$ . This is unchanged after  $L_2$  is inserted.

3. Draw ray 3 in the presence of  $L_2$  so that it passes through  $F_{i1}$ . Image appears at  $P_1$ .

**Figure 5.28** Two thin lenses separated by a distance smaller than either focal length.

# Spherical Mirror



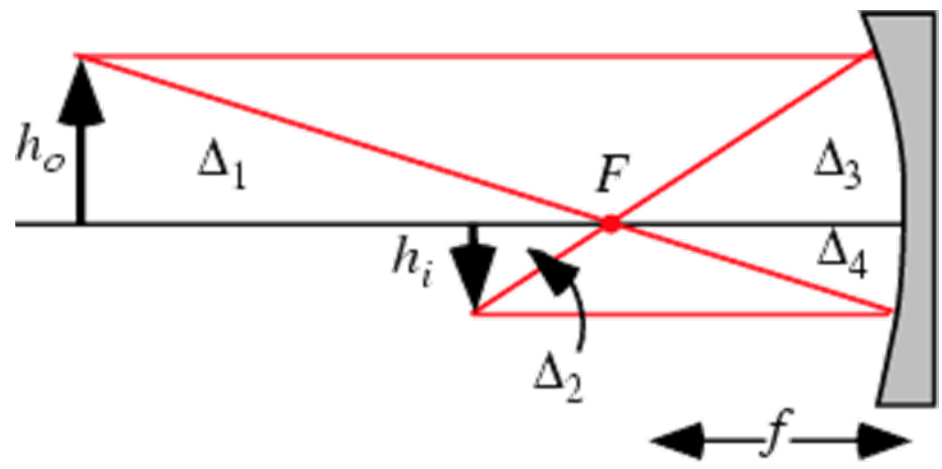
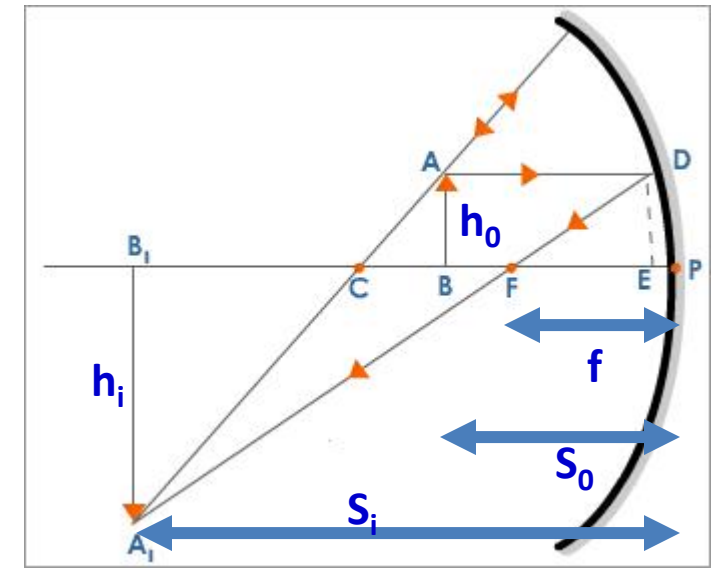
Mirror Formula

$$\frac{1}{f} = \frac{1}{s_o} + \frac{1}{s_i}$$

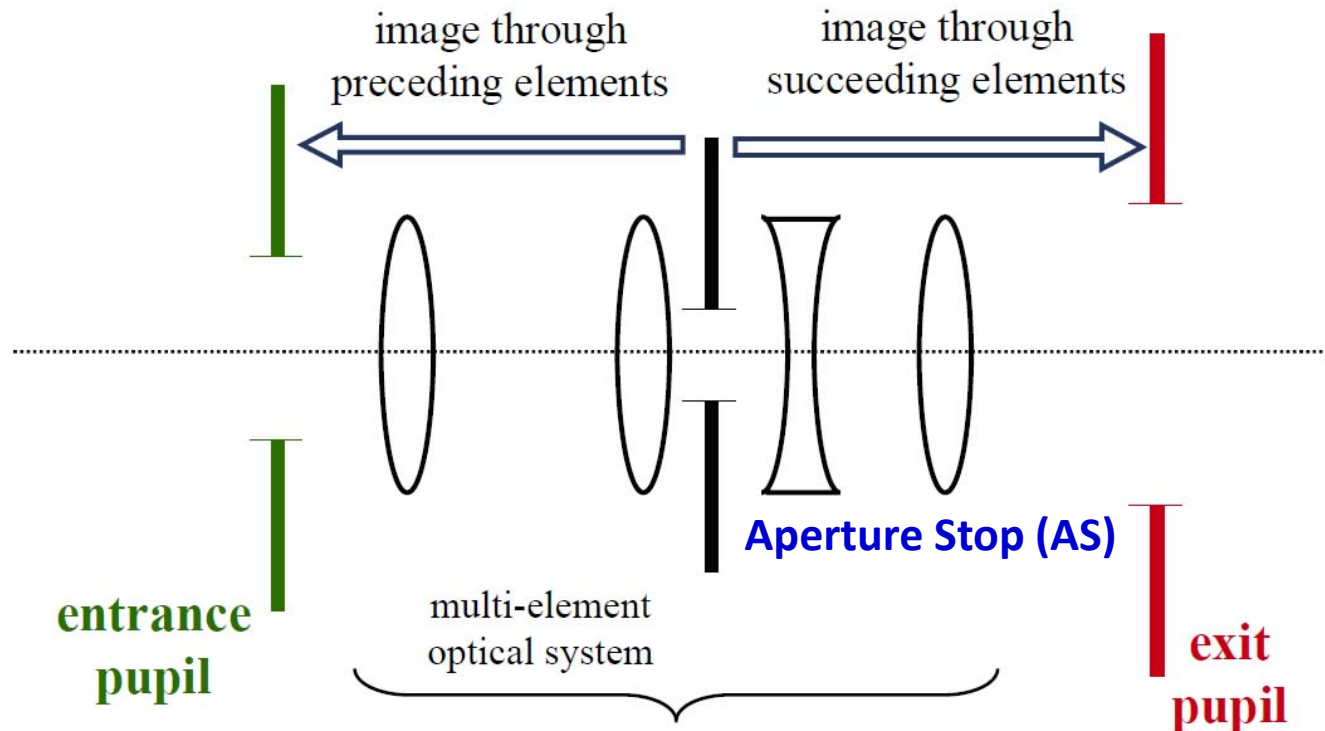
$$f = R / 2$$

See Hecht Table 5.4 for sign convention

Paraxial Approximation



# Aperture Stop and Entrance & Exit Pupil



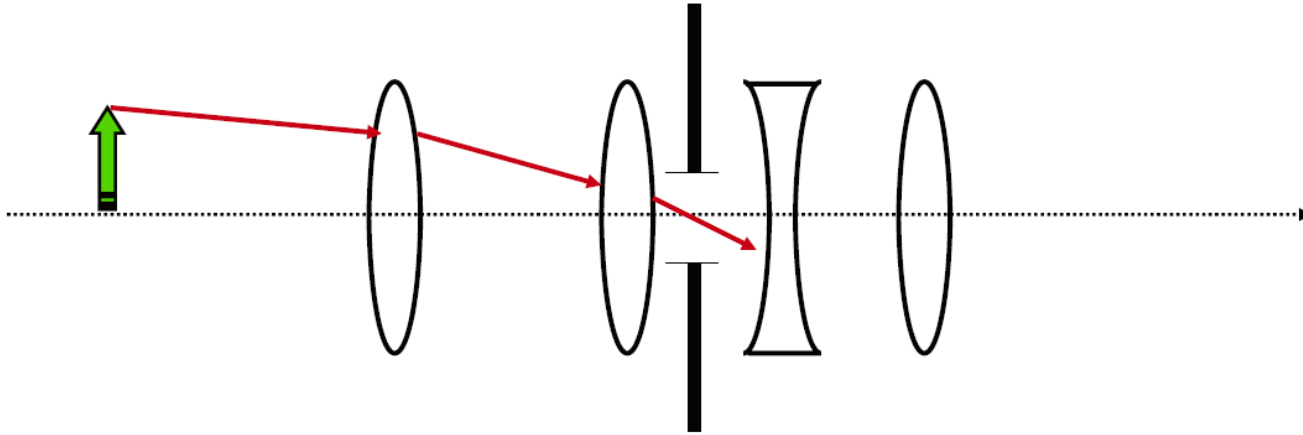
The **aperture stop** (AS) is defined to be the stop or lens ring, which physically limits the solid angle of rays passing through the system from an **on-axis** object point. The aperture stop limits the brightness of an image.

The **entrance pupil** of a system is **the image of the aperture stop as seen from an axial point on the **object** through those elements **preceding** the stop.** (Hecht p. 171)

The **exit pupil** of a system is **the image of the aperture stop as seen from an axial point on the **image** plane through the interposed lenses, if there is any.** (Hecht p. 172)



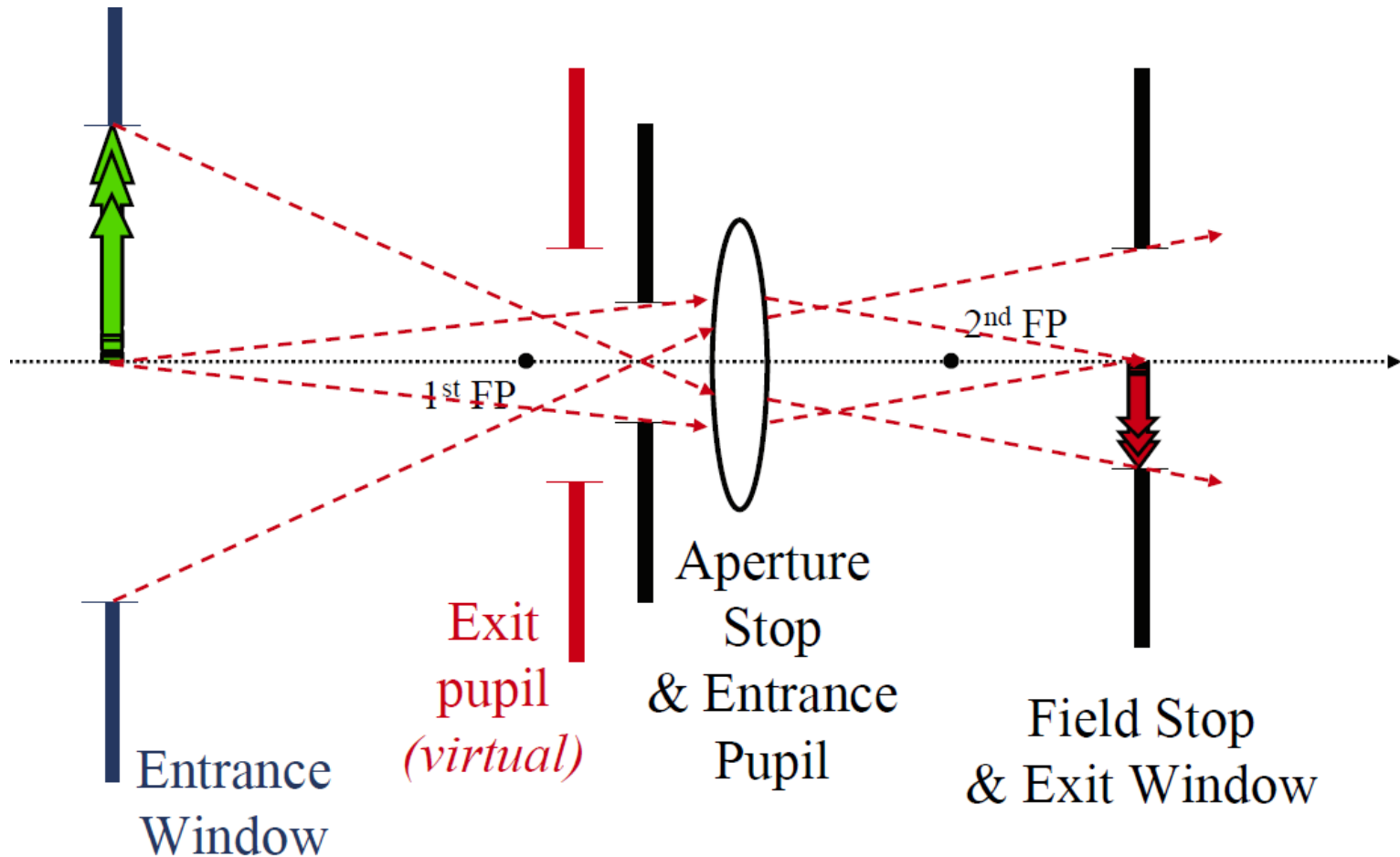
# The Chief Ray



Starts from off-axis object,  
Goes through the center of the Aperture

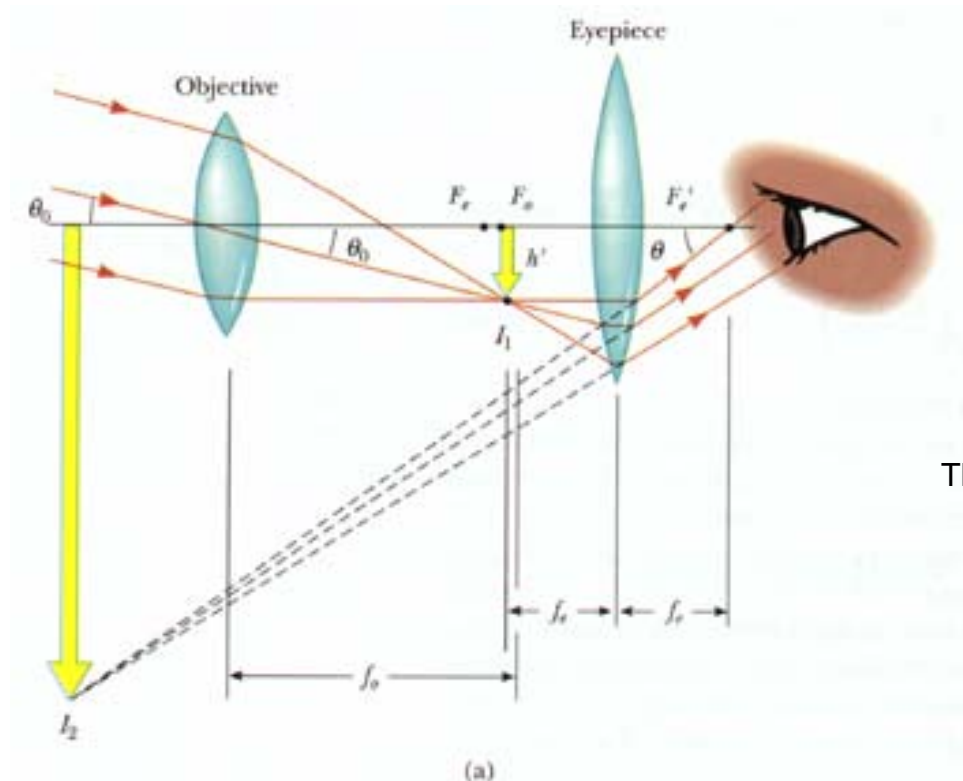
For an off-axis object, the chief ray (CR) is the ray that passes through the center of the aperture stop. Rays that pass through the edge of the aperture stop are marginal rays (MR).

## Example II: Aperture Stop + Field Stop



# Telescope

- Object is at infinity so image is at  $f$
- Measure angular magnification
- Length of telescope light path is sum of focal lengths of objective and eyepiece

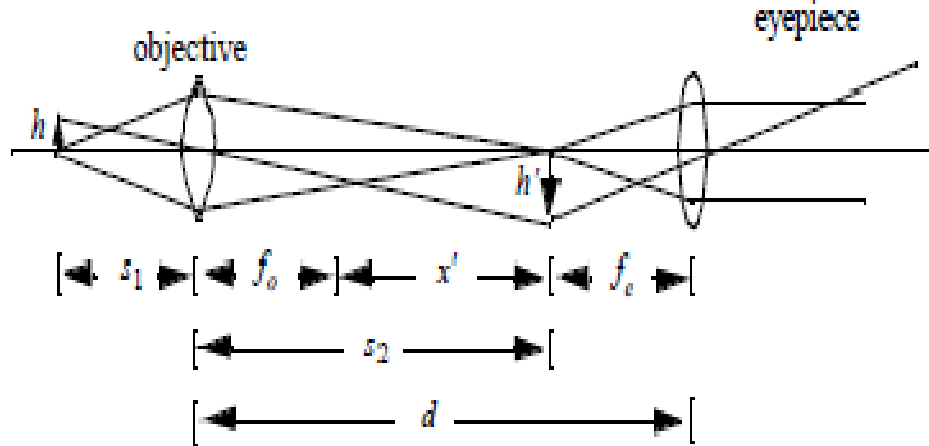


$$M = -\frac{f_o}{f_e}$$

$$\frac{CA_0}{CA_e} = \frac{s}{s'} = \frac{\theta'}{\theta} = M.$$

The **exit pupil** is the image of the aperture stop (AS).  
Define  $CA_0$  = entrance pupil clear aperture  
 $CA_e$  = exit pupil clear aperture  
From the diagram, it is clear that

# Microscope



- The objective lens produces a real (inverted), magnified image of the object.
- The eyepiece re-images to a comfortable viewing distance and provides additional magnification.

- Magnification is product of lateral magnification of objective and angular magnification of eyepiece
- Note: Image is viewed at infinity

$$M_0 = \frac{h'}{h} = -\frac{s_2}{s_1} = \frac{-x'}{f_0}$$

$$M_e = \frac{25}{f_e}$$

$$M_{total} = M_0 \times M_e = \frac{-x'}{f_0} \cdot \frac{25}{f_e}$$